# Social Selection and the Evolution of Maladaptation

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# 1 Abstract

2 Evolution by natural selection is often viewed as a process that inevitably leads to 3 adaptation, or an increase in population fitness over time. However, maladaptation, 4 an evolved decrease in fitness, may also occur in response to natural selection 5 under some conditions. Social effects on fitness (or social selection) have been 6 identified as a potential cause of maladaptation, but we lack a general rule identifying 7 when social selection should lead to a decrease in population mean fitness. Here we 8 use a quantitative genetic model to develop such a rule. We show that maladaptation 9 is most likely to occur when social selection is strong relative to the nonsocial 10 component of selection and acts in an opposing direction. In this scenario, 11 evolutionary increases in traits that impose fitness costs on others may outweigh 12 evolved gains in fitness for the individual, leading to a net decrease in population 13 mean fitness. Further, we find maladaptation may also sometimes occur when 14 phenotypes of interacting individuals negatively covary. We outline the biological 15 situations where maladaptation in response to social selection can be expected, 16 provide both quantitative genetic and phenotypic versions of our derived result, and 17 suggest what empirical work would be needed to test it. We also consider the effect 18 of social selection on inclusive fitness and support previous work showing that 19 inclusive fitness cannot suffer an evolutionary decrease. Taken together, our results 20 show that social selection may decrease population mean fitness when it opposes 21 individual-level selection, even as inclusive fitness increases.

22

23 **Subject area**: Quantitative genetics and Mendelian inheritance

24 Key words: adaptation, fundamental theorem of natural selection, indirect genetic effects,

25 maladaptation, social selection

# 26 Introduction

27 Adaptive evolution is often visualized as a hill-climbing process, with natural selection 28 causing populations to ascend peaks of higher fitness on an adaptive landscape (Wright 1932; 29 Simpson 1944; Lande 1976, 1979; Arnold et al. 2001; Estes and Arnold 2007; Svensson and 30 Calsbeek 2012; Hendry 2017). The adaptive landscape view implies that natural populations 31 should tend to be either well adapted to their current environment, i.e., occupying a local 32 fitness peak, or adapting, i.e., climbing a local fitness peak. Directional selection has been 33 shown to be particularly common in nature, suggesting that populations are indeed often 34 adapting to stable or changing environmental conditions (Hoekstra et al. 2001; Kingsolver et 35 al. 2001; Hereford et al. 2004; Siepielski et al. 2009, 2013; Kingsolver and Diamond 2011; 36 Morrissey and Hadfield 2012; Hendry 2017). More limited evidence for stabilizing selection 37 suggests that natural populations are sometimes at or near fitness peaks, at least for some 38 traits (Kingsolver et al. 2001; Estes and Arnold 2007; Kingsolver and Diamond 2011). Under 39 certain circumstances, however, maladaptation may occur; i.e., populations may have 40 suboptimal mean fitness or may even be undergoing an evolved reduction in mean fitness. 41 Maladaptation has many potential causes, including genetic constraints, genetic drift, and 42 mutational load (Brady et al. 2019a, b). Here, we focus on the somewhat counterintuitive 43 possibility that selection itself may drive maladaptation (Wright 1942; Lande 1976; Svensson 44 and Connallon 2019).

45 Models and empirical studies of the evolution of population fitness under natural 46 selection often focus on Fisher's (1930) fundamental theorem of natural selection, which 47 states that the change in population mean fitness due to natural selection is equal to a 48 population's additive genetic variance for fitness (Price 1972a; Frank and Slatkin 1992; Burt 49 1995; Frank 1997; Hendry et al. 2018; Bonnet et al. 2019). Interpreted naïvely, the 50 fundamental theorem would appear to suggest that maladaptation is impossible, as the 51 additive genetic variance in fitness can never be less than zero. However, in Fisher's view, 52 adaptive evolution was often opposed by what he called the "deterioration of the 53 environment," which would reduce mean fitness, allowing populations to undergo continuous 54 evolutionary change (Fisher 1930; Frank and Slatkin 1992). In some cases, the deterioration 55 of the environment may overwhelm adaptation, causing evolutionary stasis or maladaptation 56 (Merilä et al. 2001a, b; Brady et al. 2019a).

57 Deterioration of the environment is often equated with changes in the abiotic 58 environment or interspecific competition, but Fisher viewed the concept as encompassing 59 non-additive gene action and other within-species effects (Price 1972a; Frank and Slatkin 60 1992; Hadfield et al. 2011). Selection itself may even underlie environmental deterioration— 61 and thus maladaptation—particularly when selection is frequency dependent (Wright 1942, 62 1969; Lande 1979; Hadfield et al. 2011; Svensson and Connallon 2019). In extreme cases, 63 selection may decrease population mean fitness enough to lead to population extinction, a 64 phenomenon known as "self-extinction" (Matsuda and Abrams 1994), "evolutionary suicide" 65 (Gyllenberg and Parvinen 2001), or "Darwinian extinction" (Webb 2003). Social interactions 66 are particularly likely to create conditions where selection leads to a decrease in fitness 67 (Matsuda and Abrams 1994; Kokko and Brooks 2003; Fisher and McAdam 2019; Henriques 68 and Osmond 2020). While social effects on the fitness of others may enhance adaptation 69 when the interests of social partners are aligned (Henriques and Osmond 2020), 70 maladaptation and even population extinction are possible when selection leads to the 71 evolution of competitive traits that increase individual fitness at the expense of others 72 (Wright 1969; Matsuda and Abrams 1994; Webb 2003). Such social fitness effects give rise 73 to social selection (West-Eberhard 1979; Wolf et al. 1999), which is often inherently 74 frequency-dependent because an individual's fitness depends not only on its own phenotype 75 but also those of its social partners (Maynard Smith 1982; Sinervo and Lively 1996; Sinervo 76 and Calsbeek 2006). Social selection is therefore a potential driver of maladaptation.

77 Several recent models have explicitly considered social interactions in the context of 78 Fisher's fundamental theorem (Bijma 2010b; Hadfield et al. 2011; Queller 2014; Fisher and 79 McAdam 2019). Bijma (2010b) developed a model that included heritable influences of an 80 individual's social environment, or indirect genetic effects (IGEs) on fitness. Bijma's 81 approach showed that the increase in population fitness predicted by Fisher's fundamental 82 theorem is equivalent to a population's change in inclusive fitness, supporting earlier 83 conclusions that selection tends to lead to the optimization of inclusive fitness (Hamilton 84 1964; Grafen 2006). Bijma identified that the deviation between change in inclusive fitness 85 and change in population mean fitness stems from the deterioration of the environment 86 caused by social competition. Hadfield et al. (2011) further showed that earlier models of 87 decreases in population fitness due to intraspecific competition (Cooke et al. 1990) produced 88 an effect equivalent to Fisher's (1930) deterioration of the environment. Queller (2014) 89 elaborated on these results, explicitly modeling the decrease in fitness caused by antagonistic 90 social interactions and discussing conditions in which the change in mean population fitness 91 would diverge from the change in inclusive fitness. Finally, Fisher and McAdam (2019) 92 showed that such socially mediated decreases in population fitness may be viewed as arising 93 from a negative covariance between direct and indirect genetic effects on fitness. Although 94 the direct component of fitness should always increase, there can be correlated changes in 95 how individuals influence the fitness of others, perhaps due to competition for limited 96 resources. An increase in the detrimental effects on the fitness of others due to a negative 97 direct-indirect genetic covariance is equivalent to a deterioration of the social environment 98 and can potentially be large enough to lead to maladaptation.

99 Despite these earlier works, we lack a clear rule identifying when selection should 100 lead to maladaptation. Here, we develop such a rule by reformulating earlier results in terms 101 of social selection, which we define as the influence of the traits of an individual's social 102 partners on its fitness (Wolf et al. 1999). Our model expresses fitness as a function of 103 individual and social traits, which allows us to make explicit connections between phenotypic 104 evolution and the change in population fitness. We then develop expressions predicting when 105 social interactions should lead to maladaptation in terms of selection gradients that can be 106 estimated in natural populations, providing an empirical test for socially mediated 107 maladaptation that can be employed in natural populations.

108

# **109** Social selection and the evolution of fitness

110 To determine when social interactions should lead to the evolution of maladaptation, we 111 model the predicted change in fitness in response to two types of phenotypic selection. 112 Nonsocial (or natural) selection ( $\beta_N$ ) measures the effect of a focal individual's own traits on 113 its own fitness, while social selection ( $\beta_s$ ) measures the effect of the traits of social partners 114 on a focal individual's fitness. For the purposes of the model, we define maladaptation as an 115 evolved decrease in fitness (W) from one generation to the next. We make no assumptions 116 about fitness other than it can be decomposed into a heritable component (or breeding value, 117  $A_W$ ) and a residual component ( $e_W$ ), which are assumed to be uncorrelated. The absolute 118 fitness of an individual is often operationally defined in quantitative genetics as its lifetime 119 reproductive success, with the generational dividing line placed at the zygote stage (Arnold 120 and Wade 1984; Wolf and Wade 2001). Because our model involves only a single generation 121 of evolutionary change, lifetime reproductive success is a suitable surrogate for absolute

122 fitness in the derivation that follows. Extension of our results to long-term predictions would

123 require incorporating an explicit model of population regulation (Hendry 2017), which is

124 beyond the scope of this paper.

Although maladaptation ultimately involves a change in population mean fitness, for a
single generation, this change is proportional to the predicted change in mean relative fitness.
We define relative fitness (*w*) as absolute fitness divided by the population mean fitness, or

129 
$$w = \frac{W}{\overline{W}} = \frac{1}{\overline{W}} (A_W + e_W). \tag{1}$$

130

131 Equation 1 implies that the breeding value for relative fitness can be written as

132

133 
$$A_w = \frac{A_W}{\bar{W}}, \qquad (2)$$

134

which indicates that for a single generation, the change in absolute fitness due to selection will be related to the change in relative fitness multiplied by a constant,  $\overline{W}$ . From the Price (1970, 1972b) equation, the component of evolutionary change in fitness due to selection is

138

139 
$$\Delta \overline{w} = \operatorname{Cov}(A_w, w). \quad (3)$$

140

Equation 3 does not include changes in mean fitness that are solely due to environmental
effects, and in the absence of social effects, is equal to the additive genetic variance in
relative fitness, or equivalently, the evolvability of fitness (Fisher 1930; Price 1972a; Frank
and Slatkin 1992; Houle 1992; Burt 1995; Orr 2009; Hendry et al. 2018).

When there are social effects on fitness, however, Equation 3 will not equal the
additive genetic variance in relative fitness. Previous models have decomposed the total
breeding value for fitness into direct and indirect (social) components (Bijma 2010b; Fisher
and McAdam 2019). Here we link this decomposition to phenotypic selection, which

149 ultimately underlies genetic variance for fitness and in most cases, is easier to estimate in

150 nature. Our goal is to describe the conditions in which a combination of nonsocial and social

151 selection should lead to maladaptation ( $\Delta \overline{w} < 0$ ). To this end, we first express relative fitness

- 152 as a function of focal and social phenotypes,
- 153

154 
$$w = w_0 + \boldsymbol{\beta}_N^{\mathrm{T}} \mathbf{z} + n \boldsymbol{\beta}_S^{\mathrm{T}} \bar{\mathbf{z}}' + \varepsilon, \qquad (4)$$

155

156 (Wolf et al. 1999; McGlothlin et al. 2010) where the intercept  $(w_0)$  is a population parameter 157 and the error term  $(\varepsilon)$  has a mean of zero. In Equation 4, **z** is a vector of a focal individual's 158 traits, and  $\overline{z}'$  is a vector containing the mean phenotypes of that individual's social 159 interactants. The superscript T designates matrix transposition, which here indicates that a 160 column vector is to be written as a row vector. The product of each selection gradient and 161 phenotype vector is thus a scalar.

- 162 Mean relative fitness can calculated by taking the expectation of Equation 4,
- 163

164 
$$\overline{w} = w_0 + \left(\boldsymbol{\beta}_{\mathrm{N}}^{\mathrm{T}} + n\boldsymbol{\beta}_{\mathrm{S}}^{\mathrm{T}}\right)\overline{\overline{\mathbf{z}}}, \quad (5)$$

165

where  $\overline{\mathbf{z}}$  is the vector of population mean phenotypes. Equation 5 assumes that all individuals have *n* social partners, but if social group size varies and *n* and  $\overline{\mathbf{z}}'$  are uncorrelated *n* can be rewritten as  $\overline{n}$ . We then define an individual's total breeding value for relative fitness ( $A_w$ ) as

169

170 
$$A_w = \left(\boldsymbol{\beta}_{\mathrm{N}}^{\mathrm{T}} + n\boldsymbol{\beta}_{\mathrm{S}}^{\mathrm{T}}\right)\mathbf{A}, \ (6)$$

171

172 where **A** represents an individual's vector of total breeding values for the phenotypes

173 represented by z. This definition of  $A_w$  decomposes an individual's effect on population

174 mean fitness into effects of individual traits. Through the two selection gradients, trait effects

175 are further decomposed into nonsocial and social components. Therefore, these components

176 ( $\beta_N^T A$  and  $n\beta_S^T A$ , respectively) are the trait-based equivalents of the total direct and indirect

177 genetic effects on fitness (Fisher and McAdam 2019).

178 Because breeding values for fitness are now a function of phenotypic breeding values,

- 179 the change in relative fitness due to one generation of phenotypic selection can be found by
- 180 substituting Equation 6 into Equation 3:
- 181

182 
$$\Delta \overline{w} = \left(\boldsymbol{\beta}_{N}^{T} + n\boldsymbol{\beta}_{S}^{T}\right) \text{Cov}(\boldsymbol{A}, w). \tag{7}$$

183

184 From the Price (1970, 1972b) equation, the covariance between the phenotypic breeding

- 185 value and fitness equals the total phenotypic change due to selection ( $\Delta \overline{\overline{z}}$ ), so Equation 7 can
- 186 be rewritten as
- 187

188 
$$\Delta \overline{w} = \left( \boldsymbol{\beta}_{\mathrm{N}}^{\mathrm{T}} + n \boldsymbol{\beta}_{\mathrm{S}}^{\mathrm{T}} \right) \Delta \overline{\overline{\mathbf{z}}}.$$
 (8)

189

This phenotypic change can itself be expanded as a function of selection, direct and indirect
genetic effects, and relatedness (Bijma and Wade 2008; McGlothlin et al. 2010). When social
selection, relatedness, and IGEs are absent, our equation is equivalent to the result derived by
Lande (1979).

194 Without further expansion, Equation 8 leads to a very simple result. Because the 195 product of two vectors is proportional to the cosine of the angle between them ( $\theta$ ), we can 196 rewrite the right-hand side of Equation 8 as

197

198 
$$(\mathbf{\beta}_{N}^{T} + n\mathbf{\beta}_{S}^{T})\Delta \overline{\mathbf{z}} = \|\mathbf{\beta}_{N}^{T} + n\mathbf{\beta}_{S}^{T}\|\|\Delta \overline{\mathbf{z}}\|\cos\theta \quad (9)$$

199

200 where the double vertical bar notation indicates the vector norm. The cosine function is

bound by -1 and 1, implying that  $\theta$  is between 0° (vectors completely aligned) and 180°

- 202 (vectors completely misaligned). It then follows that selection will lead to adaptation ( $\Delta \overline{w} >$
- 203 0) when  $0^{\circ} \le \theta < 90^{\circ}$  and maladaptation ( $\Delta \overline{w} < 0$ ) when  $\theta > 90^{\circ}$ , with the maximum

204 decrease in fitness occurring when  $\theta = 180^{\circ}$  (cf. Hadfield and Thomson 2017). In other 205 words, an alignment between the sum of nonsocial and social selection and phenotypic 206 evolutionary change leads to adaptation, while misalignment leads to maladaptation.

207 Lande (1979) showed that when social effects are absent and when selection is frequency-independent,  $\Delta \overline{w} = \beta_N^T \Delta \overline{\overline{z}} = \beta_N^T G \beta_N \ge 0$ , where G is the additive genetic 208 209 (co)variance matrix. Lande's result indicates that, under these assumptions, natural selection 210 and evolutionary change are always aligned (or at least orthogonal) and that selection should 211 never lead to a decrease in fitness. However, Equation 9 shows that when social effects exist, 212 selection and evolutionary change may be misaligned (Fisher and Pruitt 2019), which 213 predicts a decrease in population fitness. Fisher and McAdam (2019) discuss a number of 214 scenarios involving social interactions that can lead to ongoing trait evolution with zero 215 change in population fitness or even maladaptation. For example, population may be limited 216 by the availability of refuges, food, mates, or some other resource, leading to social 217 competition. When competition occurs, the same traits that lead to individual benefits will 218 reduce the success of competitors, which should tend to create nonsocial and social selection 219 gradients in opposing directions. This effect has been identified as a source of evolutionary 220 constraint and has been referred to as both the "intraspecific Red Queen" and the "treadmill 221 of competition" (Rice and Holland 1997; Wolf 2003; Wilson et al. 2009, 2011; Wilson 2014).

To understand the types of selection that may lead to a misalignment between selection and evolutionary response, it is instructive to examine the simplest case, the evolution of a single trait. In this case, the condition for maladaptation simplifies to

225

$$(\beta_N + \beta_S)(C_{Az}\beta_N + C_{Az'}\beta_S) < 0.$$
(10)

227

where  $C_{Az}$  is the covariance between and individual's breeding value and its own phenotype and  $C_{Az'}$  is the covariance between an individual's breeding value and its partner's phenotype (McGlothlin et al., 2010). These covariance terms in turn depend on genetic variance (*G*), relatedness (*r*), which we define as the correlation between a focal individual's additive genetic value and that of its social partner, and the interaction coefficient  $\psi$ , which measures the effect of a social partner's trait on phenotypic expression in the focal individual. When

traits are heritable,  $\psi$  represents the strength and direction of trait-based IGEs (Moore et al.

235 1997). Expanding, the two covariances in Equation 10 can be written as

236

237 
$$C_{Az} = \frac{G(1+r\psi)}{(1-\psi)(1-\psi^2)}$$
(11a)

238 
$$C_{Az'} = \frac{G(r+\psi)}{(1-\psi)(1-\psi^2)}$$
(11b)

239

(McGlothlin et al. 2010). The denominators in Equation 11 represent IGE feedback effects
that occur because the effects on trait expression are bidirectional (Moore et al. 1997).
Substituting these terms into Equation 10 and rearranging, we can express the conditions for
maladaptation as

244

245 
$$(1+r\psi)\beta_N^2 + (1+r)(1+\psi)\beta_N\beta_S + (r+\psi)\beta_S^2 < 0.$$
(12)

246

247 Because r and  $\psi$  are normally bound by -1 and 1, the occurrence of maladaptation depends 248 on two key quantities,  $\beta_N \beta_S$  and  $r + \psi$ . These two quantities respectively represent conflict in 249 the levels of selection and the two pathways, relatedness and IGEs, by which interacting 250 phenotypes may become correlated. On their own, or in concert, these two quantities can be 251 sufficiently negative to overwhelm the first term of on the left-hand side of Equation 12, 252 which is always positive. Stated another way, maladaptation is most likely to occur when 253 nonsocial and social selection are in conflict and/or when the phenotypes of interacting 254 individuals are negatively correlated due to the combination of relatedness and IGEs.

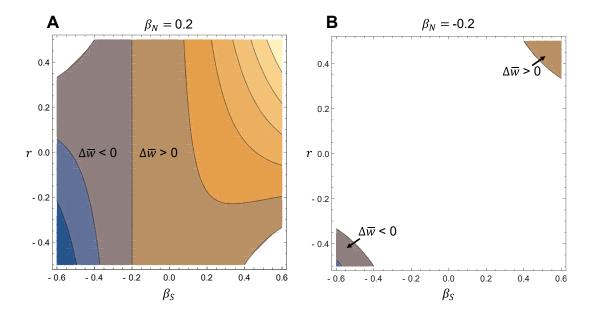
255 When we remove IGEs by setting 
$$\psi = 0$$
, Equation 12 becomes

256

257 
$$\beta_N^2 + (1+r)\beta_N\beta_S + r\beta_S^2 < 0.$$
(13)

258

In Figure 1, we show the regions where the condition in Equation 13 is satisfied for two different scenarios: when a trait confers an individual benefit ( $\beta_N > 0$ ) and when a trait is costly to self ( $\beta_N < 0$ ). Only the regions where the trait will increase by natural selection



**Figure 1.** Conditions for the evolution of maladaptation derived from Equation 13. Here we consider a single trait under positive (A) or negative (B) nonsocial selection ( $\beta_N$ ). Regions where selection leads to an increase in fitness are shown in orange, and regions where fitness decreases are shown in blue. Regions where the trait cannot increase via natural selection are shown in white. Contour lines represent increments of 0.066. Maladaptation occurs when social selection ( $\beta_S$ ) negative and outweighs individual benefits (A, left) or in the evolution of spite (B, bottom left corner).

262  $(\Delta \bar{z} > 0)$  are shown. Figure 1 shows that maladaptation can occur in two different scenarios. 263 The most likely scenario occurs when a trait may either be beneficial to self and harmful to others ( $\beta_N > 0$ ,  $\beta_S < 0$ ), which represents selfishness or competition (Figure 1A, left side). 264 265 This scenario corresponds to classic results showing that interspecific competition may lead 266 to selection that decreases population fitness (Cooke et al. 1990; Matsuda and Abrams 1994; 267 Gyllenberg and Parvinen 2001; Webb 2003; Fisher and McAdam 2019; Svensson and 268 Connallon 2019; Henriques and Osmond 2020). As a selfish or competitive trait increases by 269 natural selection, the trait imposes fitness costs on an individual's social interactants. If the 270 magnitude of  $\beta_S$  is large enough, this will lead to an evolutionary decrease in population 271 mean fitness (Figure 1A). In Equation 13, this effect is caused primarily by the second term. 272 When  $\beta_N \beta_S < 0$  and is relatively large in magnitude, social costs will tend to overwhelm 273 individual benefits and fitness will decrease even as the trait itself evolves. Relatedness 274 interacts with this effect in a complex way. When r > 0, relatives tend to pay the social cost. 275 As relatedness increases, eventually the accumulation of costs to relatives means that the trait

276 cannot increase by natural selection (Figure 1A, upper left corner). When r < 0, an

277 individual is more likely to interact with nonrelatives than would be expected by chance. As r

278 becomes more negative, the third term in Equation 13 becomes important, intensifying the

279 effect of maladaptation (Figure 1A, bottom left corner).

280 When  $\beta_N < 0$ , the regions in which the trait may evolve are limited (Figure 1B). 281 Hamilton's (1964) rule predicts the evolution of a costly trait in two scenarios. The classic 282 case is altruism, where a costly trait leads to a social benefit ( $\beta_S > 0$ ). This can only occur 283 when relatedness is high enough to give a product with social benefits that outweighs 284 individual costs (Figure 1B, upper right corner). The evolution of altruism leads to an 285 increase in population fitness as would be expected from previous work (e.g. Bijma 2010b; 286 but see Henriques and Osmond 2020 for one scenario where the evolution of altruism may 287 lead to a decrease in fitness). An interesting case arises when  $\beta_N$  and  $\beta_S$  are both negative, 288 which corresponds to the selection expected for a spiteful behavior. If r is negative and of 289 large enough magnitude, a spiteful trait can evolve (Gardner and West 2004), which in our 290 model leads to an evolved decrease in fitness as both individuals and social partners suffer a 291 fitness cost (Figure 1B, bottom left). From Equation 13, it is evident that this effect arises 292 when the third term is sufficiently negative to overwhelm the other two terms, which will be 293 positive in this scenario.

294 Although Equation 13 is written for pairwise interactions, it can be generalized to 295 larger social groups by replacing  $\beta_S$  with  $n\beta_S$ , where *n* is the number of groupmates 296 excluding the focal individual. When doing so, the condition for the evolution of 297 maladaptation in Equation 13 is equivalent to results derived from variance-component based 298 models that include IGEs for fitness (Bijma 2010b; Fisher and McAdam 2019). In such models, the trait under selection is fitness itself, so  $G\beta_N^2$  would represent the direct genetic 299 variance for fitness,  $n^2 G \beta_s^2$  would represent indirect genetic variance for fitness, and  $G \beta_N \beta_s$ 300 301 would represent the direct-indirect covariance. Fisher and McAdam (2019) noted that the 302 most likely scenario for maladaptation occurs when the direct-indirect covariance is negative 303 and further from zero than the direct genetic variance for fitness. Our trait-based model 304 corresponds to this conclusion and further shows that this scenario is most likely when social 305 selection is strong and acting in opposition to nonsocial selection.

306 In the special case with no relatedness, Equation 13 reduces to

307

$$\beta_N(\beta_N + \beta_S) < 0 \qquad (14a)$$

309 or

$$\frac{\beta_S}{\beta_N} < -1. \tag{14b}$$

311

312 Thus, when non-relatives interact in the absence of IGEs, maladaptation is driven only by the 313 magnitude and sign of the selection gradients. As discussed above, the most likely scenario 314 satisfying the condition in Equation 14 is strong social competition, where positive values of 315 a trait are beneficial to self ( $\beta_N > 0$ ) but harmful to others ( $\beta_S < 0$ ), and the magnitude of the harm outweighs the benefit ( $|\beta_S| > |\beta_N|$ ). As the selfish trait increases each generation, 316 317 the population fitness declines. If the trait were harmful to self ( $\beta_N < 0$ ) and beneficial to 318 others ( $\beta_s > 0$ ) however, which represents an altruistic trait, the trait would be removed by 319 natural selection. This is because in the absence of relatedness and IGEs, social selection 320 would not contribute to a response to selection and altruism cannot evolve (Bijma and Wade 321 2008; McGlothlin et al. 2010).

322

#### 323 Empirical applications

324 The results of our model support previous work indicating that selection arising from social

325 interactions can decrease population mean fitness, particularly in the case of competition

326 (Wright 1969; Matsuda and Abrams 1994; Gyllenberg and Parvinen 2001; Webb 2003;

327 Hadfield et al. 2011; Fisher and McAdam 2019; Svensson and Connallon 2019; Henriques

328 and Osmond 2020). However, because our results are given in terms of quantitative genetic

329 selection gradients, the parameters of our model may be readily estimated in natural

- 330 populations, leading to empirical tests of the contribution of phenotypic selection to
- 331 maladaptation. Nonsocial and social selection gradients may be estimated in natural
- 332 populations via a modification of the standard Lande-Arnold (1983) method by incorporating
- 333 social phenotypes into a multiple regression as in Equation 5 (Wolf et al. 1999). Once
- 334 selection gradients have been estimated, they may be compared to the vector of phenotypic
- responses to selection (either predicted or observed) using Equation 8 or 9 to test whether

selection is contributing to maladaptation in a given generation. Below we outline howdifferent types of data may be used for such tests.

338 The most straightforward empirical test of the role of social selection in maladaptation 339 would involve studying a population for at least two generations. In the first generation, 340 measurements of the phenotypes of focal and social individuals and relative fitness from the 341 same individuals (preferably measured as relative lifetime reproductive success) would be 342 used to estimate nonsocial and social selection gradients. In the second generation, 343 measurements of the same phenotypes as in the previous generation would be used to 344 estimate evolutionary change ( $\Delta \overline{\overline{z}}$ ). Then, Equation 8 or 9 could be used to test for 345 maladaptation by taking the product or the angle between the vector of total selection and the

346 vector of evolutionary change.

347 If quantitative genetic data are available, the predicted response to selection may be 348 substituted for observed evolutionary change. For phenotypes involving social interactions, 349 the total predicted evolutionary change involves estimates of the additive genetic 350 (co)variance matrix G, IGEs, and relatedness (Bijma and Wade 2008; McGlothlin et al. 351 2010). IGEs may be measured using a variance-component model or a trait-based model, 352 which make equivalent predictions of evolutionary response to selection (McGlothlin et al. 353 2010). However, because IGEs are difficult to measure in practice (McGlothlin and Brodie 354 2009; Bijma 2010a), this approach may be infeasible in many cases if IGEs cannot be 355 ignored. Alternatively, the genetic covariance between traits and fitness, if estimable from the 356 data, can be used to predict phenotypic evolutionary change (Morrissey et al. 2010; 357 Morrissey et al. 2012). Using predicted evolutionary change is beneficial for two reasons. 358 First, it helps disentangle genetic shifts in the population mean from environmental change, 359 which might bias the method outlined above. Second, it may allow the application of 360 Equation 8 or 9 when phenotypic data are not available from the offspring generation. 361 In the absence of quantitative genetic data or observed evolutionary change, it is 362 possible to use a phenotypic version of Equation 8 or 9 as a proxy to investigate whether 363 social interactions can lead to maladaptation. In place of  $\Delta \overline{z}$ , one may substitute the realized

364 selection differential  $\mathbf{s} = \mathbf{P}\boldsymbol{\beta}_{N} + n\mathbf{C}^{T}\boldsymbol{\beta}_{S}$ , where **P** is the phenotypic (co)variance matrix and

365 **C**<sup>I</sup> is the covariance matrix of the phenotypes of interacting individuals (Wolf et al. 1999).

366 This vector represents the total effect of phenotypic selection on the mean phenotype of the

367 population before accounting for genetic transmission. Both  $\mathbf{P}$  and  $\mathbf{C}^{\mathbf{I}}$  include effects of

368 relatedness and IGEs (Moore et al. 1997; Wolf et al. 1999), allowing them to act as 369 surrogates for their genetic counterparts. Relatedness and IGEs are particularly important for  $C^{I}$ : random interactions with no IGEs should lead to elements of  $C^{I}$  that are close to zero, 370 371 while both relatedness and IGEs may lead to either positive or negative values (Wolf et al. 372 1999). The disadvantage of this approximation, however, is that environmental sources of 373 (co)variance also contribute to both **P** and **C**<sup>I</sup>, making **s** potentially deviate from  $\Delta \overline{z}$ . If such 374 deviations are primarily in magnitude, the purely phenotypic version of Equation 9 will still 375 be a useful to indicate whether social interactions will alter the change in mean fitness, but if 376 environmental effects lead s and  $\Delta \overline{z}$  to differ in direction, Equation 9 may be misleading. We 377 argue that the benefits of such phenotypic approximations are likely to outweigh their costs. 378 Using a phenotypic version of Equation 9 will allow a much wider application of our results 379 to systems where collecting quantitative genetic data is difficult or impossible, providing a 380 much broader picture of the potential importance of social selection for maladaptation at the 381 expense of a degree of accuracy.

382 Finally, we note that the simplest test of the contribution of social selection to 383 maladaptation can be conducted with selection gradients alone. Equation 14 shows that when 384 relatedness and IGEs are absent, the contribution of social selection on a single trait to 385 maladaptation depends only on the signs and relative magnitude of the two selection 386 gradients. Specifically, a single trait can be considered to contribute to maladaptation if social 387 selection is stronger than natural selection and opposite in sign. Further, as shown in Figure 1, 388 the selection gradients dominate the direction of the predicted change in population fitness 389 unless the covariance between interactants is strong. Thus, selection gradients alone may be 390 used as a rough estimate of whether a single trait contributes to maladaptation. Data from 391 natural populations suggest that the condition in Equation 14b may hold for some cases of 392 competition. Fisher and Pruitt (2019) reviewed studies in which nonsocial and social 393 selection were both measured. These two selection gradients often differed in sign, indicating 394 conflict, and in a few cases conflicting social selection was stronger than nonsocial selection. 395 Intriguingly, one such case involved selection for arrival date in a territorial songbird, hinting 396 that social competition for nest sites may be individually beneficial but detrimental to 397 population mean fitness (Farine and Sheldon 2015).

398

## 399 Inclusive fitness

400 Our results align with previous studies showing that natural selection does not always lead to 401 an increase in population mean fitness when social interactions occur. While we have equated 402 a decrease in population mean fitness with maladaptation, this view is not universally held. 403 For example, Gardner and Grafen have argued that because selection tends to optimize 404 inclusive fitness, adaptation should be viewed from the perspective of inclusive fitness rather 405 than population mean fitness (Grafen 2006; Gardner 2009; Gardner and Grafen 2009). As 406 defined by Hamilton (1964), inclusive fitness removes fitness effects of social partners but 407 adds in fitness effects on social partners, weighted by relatedness (or when IGEs are present, 408 by a function of relatedness and IGEs, McGlothlin et al. 2010). Thus, although social context 409 is important, inclusive fitness effects are defined as arising from the focal individual. 410 Inclusive fitness theory predicts that individuals are expected to act as if they are maximizing 411 inclusive fitness, which provides the rationale for using it to define adaptation (Hamilton 412 1964; Dawkins 1982; Grafen 2006; Gardner and Grafen 2009; Bijma 2010b). Bijma's 413 (2010b) results, which show that the partial increase in population mean fitness predicted by 414 Fisher's fundamental theorem is identical to the predicted increase in inclusive fitness, 415 support this perspective.

By defining inclusive fitness as a function of nonsocial and social selection as in
(McGlothlin et al. 2010) and following the logic of Equations 1-4, the change in mean
inclusive relative fitness is predicted by

419

420 
$$\Delta \overline{w}_{inc} = (\boldsymbol{\beta}_{\mathbf{N}} + n \mathbf{C}_{\mathbf{A}\mathbf{z}}^{-1} \mathbf{C}_{\mathbf{A}\mathbf{z}'} \boldsymbol{\beta}_{\mathbf{S}})^{\mathrm{T}} \Delta \overline{\mathbf{z}}, \quad (15)$$

421

422 which, although expressed in different notation, is equivalent to Equation 37 of Hadfield and 423 Thomson (2017). In Equation 15, the covariance matrices are the multivariate equivalents of 424 the covariances in Equation 7 (McGlothlin et al. 2010). Hadfield and Thomson (2017) show 425 that, except in the case of singularity of G, Equation 15 is always positive, indicating that 426 relative inclusive fitness always increases even when population mean fitness does not. 427 Comparing Equation 15 to Equation 8 shows why inclusive fitness should always increase, 428 even while population mean fitness may decrease. As shown in Equation 8, the contribution 429 of social selection to the change in population mean fitness does not depend on the matrix

430  $C_{Az'}$ . Because this matrix determines the contribution of social selection to phenotypic

431 evolutionary change, the total effect of selection on fitness can diverge from evolutionary

432 response, causing an evolutionary decrease in population mean fitness. However, in Equation

433 15,  $C_{Az'}$  determines the effect of social selection on both change in inclusive fitness and

434 evolutionary response to selection, meaning the two should always be aligned. Organisms

435 should therefore act to maximize their inclusive fitness, even if this means reducing the

436 fitness of others and ultimately lowering population mean fitness.

437

# 438 Conclusion

Here we show that previous treatments of maladaptation via selection (e.g. Fisher and
McAdam 2019; Svensson and Connallon 2019) may be usefully viewed from the perspective

441 of social selection. When social selection is strong and in conflict with nonsocial selection,

442 and/or when there is strong negative covariance in the phenotypes of interacting individuals,

the increase in population mean fitness predicted by Fisher's fundamental theorem may be

444 overwhelmed by a deterioration of the social environment, leading to maladaptation.

445 Interestingly, the conditions for maladaptation are identical to the conditions previously

446 identified for responses to selection that occur in an opposing direction to selection itself

447 (Fisher and Pruitt 2019). Indeed, the crucial test for whether a group of traits contributes to

448 maladaptation involves the misalignment of selection and its predicted response.

Our results also provide further rationale for measuring social selection in the wild (Wolf et al. 1999). Although estimates of social selection are accumulating (Formica et al. 2011; Farine and Sheldon 2015; Fisher and Pruitt 2019), they are still rare. Most arguments advocating for the measurement of social selection consider it in the context of altruism and Hamilton's rule (McGlothlin et al. 2014), but the fact that the strength of social selection may reflect whether a population is undergoing adaptation or maladaptation indicates that it may be equally important in the context of social competition.

456

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