Title: Echolocation reverses information flow in a cortical vocalization network.

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Abstract

The mammalian frontal and auditory cortices are important for vocal behaviour. Here, using local field potential recordings, we demonstrate for the first time that the timing and spatial pattern of oscillations in the fronto-auditory cortical network of vocalizing bats (*Carollia perspicillata*) predict the purpose of vocalization: echolocation or communication. Transfer entropy analyses revealed predominantly top-down (frontal-to-auditory cortex) information flow during spontaneous activity and pre-vocal periods. The dynamics of information flow depended on the behavioural role of the vocalization and on the timing relative to vocal onset. Remarkably, we observed the emergence of predominantly bottom-up (auditory-to-frontal cortex) information transfer patterns specific echolocation production, leading to self-directed acoustic feedback. Electrical stimulation of frontal areas selectively enhanced responses to echolocation sounds in auditory cortex. These results reveal unique changes in information flow across sensory and frontal cortices, potentially driven by the purpose of the vocalization in a highly vocal mammalian model.

Introduction

Vocal production is a crucial behaviour that underlies the evolutionary success of various animal species. Several cortical and subcortical structures in the mammalian brain support vocalization [1], their activities related to vocal control [2, 3, 4], motor preparation [2, 5, 6], and feedback correction [7, 8]. However, the precise neural dynamics that underpin vocal production, and the nature of long-distance interactions in large-scale neural networks related to vocal utterance, remain poorly understood.

The connectivity patterns of the frontal cortex make it a major hub for cognitive control and behavioural coordination [9, 10, 11]. Frontal cortical areas are anatomically connected with structures directly involved in vocal production, such as the periaqueductal grey [12] and the dorsal striatum [13]. Experimental evidence demonstrates that the neural activity in frontal regions relates to vocalization [4, 14, 15, 16], correlating with the acoustic and behavioural properties of produced calls [14, 16]. Frontal regions are also anatomically and functionally connected with the auditory cortex (AC; [17, 18, 19, 20, 21, 22]), which exhibits suppression to self-produced sounds generated by body movements [23, 24, 25, 26] or vocalizations [27, 28, 29, 30]. This suppression is thought to be mediated by preparatory motor signals originating in the motor system (i.e. "corollary discharges" or "efference copies"; [31, 32, 33]). The attenuation of neural responses in AC during vocal production supports precise vocal control by means of feedback mechanisms [7, 8] in coordination with frontal cortical areas [34, 35, 36, 37]. Although current evidence shows that fronto-auditory circuits are essential for the accurate control of vocalization, neural interactions in these networks remain obscure.

In this study, we addressed the neural mechanisms of vocal production in the fronto-auditory system of a highly vocal mammal: the bat *Carollia perspicillata* [38, 39, 40, 41]. Bats constitute an excellent model to study the underpinnings of vocalization because they rely heavily on vocal behaviour for communication and navigation (i.e. echolocation). Communication and echolocation utterances are vocalized for very different behavioural purposes, and typically differ markedly in their spectrotemporal design [40]. The production of these calls is distinctly controlled at the level of the brainstem [42], possibly mediated by frontal cortical circuits involving regions such as the anterior cingulate cortex [43] and the frontal-auditory field (FAF; [16]).

Vocalization circuits were studied by measuring local-field potential (LFP; [44]) oscillations simultaneously in frontal and auditory cortex regions of vocalizing bats. In frontal and

sensory cortices, LFPs are involved in cognitive processes, sensory computations, and

interareal communication via phase coherence [17, 45, 46, 47, 48, 49]. In the FAF, a richly connected

auditory region of the bat frontal cortex [20, 50], LFP activity predicts vocal output and

synchronizes differentially with dorso-striatal oscillations according to vocalization type [16].

Oscillations in the bat frontal cortex synchronize across socially interacting bats [51], and seem

to be involved in the cognitive aspects of social exchange [52]. The roles of auditory cortical

oscillatory activity in vocal production are less clear, although human studies suggest that

oscillations mediate synchronization with frontal and motor areas for feedback control [35, 53, 54].

However, the precise dynamics of information exchange in the fronto-auditory circuit during

vocalization are unknown.

The goal of this study was to unravel the nature of information exchange in the bat's FAF-AC

network, and to understand whether information between these structures flows in accordance

with the canonical roles of the frontal cortex for vocal coordination, and of the AC for

feedback control. We further aimed to address whether the distinct behavioural contexts of

echolocation and communication affect the dynamics of information transfer in the fronto-

auditory circuit. We found complex causal interactions (within a transfer entropy framework)

between frontal and auditory cortices during spontaneous activity and periods of vocal

production. Directed connectivity in the FAF-AC network varied dynamically according to

whether animals produced communication or echolocation calls, and to the timing relative to

vocal onset. For echolocation the changes were drastic, resulting in a reversal of information

flow from pre-vocal to post-vocal periods. Altogether, our data suggest that dynamic

information transfer patterns in large-scale networks involved in vocal production, such as the

FAF-AC circuit, are shaped by the behavioural consequences of produced calls.

Results

Neural activity was studied in the FAF and AC of *C. perspicillata* bats (3 males) while

animals produced self-initiated vocalizations. From a total of 12494 detected vocalizations,

138 echolocation and 734 non-specific communication calls were preceded by a period of

silence lasting at least 500 ms and were therefore considered for subsequent analyses.

Representative echolocation and communication vocalizations are shown in **Fig. 1a**. Overall,

the two types of vocalizations did not differ significantly in terms of call length (Wilcoxon

rank sum test, p = 0.78; **Fig. 1b**), although call length distributions differed significantly (2-

sample Kolmogorov-Smirnov test, p = 7.93×10^{-7}). There were clear differences in the power

spectra of echolocation and communication calls (**Fig. 1c**, left), such that peak frequencies of

echolocation utterances were significantly higher than their communication counterparts

(Wilcoxon rank sum test, $p = 2.24 \times 10^{-66}$; **Fig. 1c**, right). These differences are consistent with

the structure of echolocation and communication sounds in bats (*C. perspicillata*) described in

previous studies {Knornschild, 2014 #245}{Porter, 1979 #374}.

Oscillations in frontal and auditory cortices predict vocalization type

Figure 1d illustrates electrophysiological activity recorded simultaneously from FAF and AC

at various cortical depths, as the echolocation and communication vocalizations shown in **Fig.**

1a were produced (see location of recording sites in **Fig. S1**). Single-trial LFP traces revealed

conspicuous pre-vocal oscillatory activity in low and high-frequencies, more pronounced in

frontal regions, and strongest when animals produced echolocation pulses. Power spectral

densities (PSD) obtained from pre-vocal LFP segments (i.e. -500 to 0 ms relative to vocal

onset; **Fig. 1f**) indicated low- and high-frequency power increases (relative to a no-

vocalization baseline, or "no-voc") associated with vocal production, particularly in FAF and

for electrodes located at depths > 100 μm (**Fig. 1e** illustrates this at 300 μm; black arrows).

Differences in AC across types of vocal outputs were less pronounced and appeared limited to

low LFP frequencies (grey arrows in **Fig. 1e**). These pre-vocal spectral patterns were analysed

using canonical LFP frequency bands, namely: delta (δ), 1-4 Hz; theta (θ), 4-8 Hz; alpha (α),

8-12 Hz; low beta (β_1), 12-20 Hz; high beta (β_2), 20-30 Hz; and three sub-bands of gamma

(γ): γ_1 (30-60 Hz), γ_2 (60-120 Hz), and γ_3 (120-200 Hz). Pre-vocal LFP power in each band

normalized to no-voc periods on a trial-by-trial basis.

There were significant power changes between no-voc and pre-vocal periods across frequency

bands (**Fig. 1f**, **S2**). Notably, the power increase in low- (δ-α) and high-frequency (γ_2) LFP

bands of the FAF was different when animals produced echolocation and communication

vocalizations, with the highest increase in the pre-vocal echolocation case. The opposite

pattern was observed in the AC, where differences between ensuing vocalization types were

most prominent in β_1 (but not δ-α or γ) frequencies, and were explained by higher pre-vocal

power increase for communication than for echolocation vocalizations (**Fig. 1f**).

We addressed whether pre-vocal LFP power in frontal and auditory cortices was a significant

predictor of ensuing call type. To this effect, generalized linear models (GLMs) were fit using

echolocation and communication pre-vocal power changes as predictors, for all channels (in

both structures) and frequency bands. A summary of these models is given in **Fig. 1g** (see

outcomes of two representative GLMs illustrated in **Fig. S2**). Low- and high-frequency power increase (mostly in the δ-α and $γ_2$ bands) in FAF predicted whether animals produced echolocation or communication calls, typically with moderate effect sizes ($p < 0.05$; $R^2m >= 0.1$), highest in middle-to-deep electrodes (i.e. depths > 300 μm; **Fig 1g**, left). In the AC, pre-vocal power predicted ensuing call type mostly in the α-β bands of the spectrum, although more strongly in $β_1$ frequencies. Moderate effect sizes were also observed ($p < 0.05$; $R^2m >= 0.1$). Overall, pre-vocal oscillatory power significantly predicted ensuing call type in frontal and auditory cortices with complementary frequency specificity and functionally opposite effects.

We evaluated whether differences in the spectral dynamics of pre-vocal LFPs could be explained by differences in the frequency content of echolocation and communication utterances. To that end, communication calls were separated into two groups: high-frequency and low-frequency communication (HF- and LF-communication, respectively). The spectral content of pre-vocal LFPs predicted ensuing call type, even when HF-communication calls were pitched against echolocation utterances ($p < 0.05$; $R^2m >= 0.1$; **Fig. S3**). Additionally, pre-vocal spectral differences were considerably less noticeable when comparing HF- vs. LF-communication vocalizations, with even significant models ($p < 0.05$) performing poorly in FAF and AC ($R^2m < 0.1$; **Fig. S3b, d**). Thus, pre-vocal spectral differences are not fully accounted for by differences in the spectral content of echolocation and communication calls.

Directed connectivity in the FAF-AC circuit related to vocal production

Oscillations in FAF and AC predict ensuing vocal output with functionally opposite patterns, but how rhythms in this network interact during vocal production remains unknown. In previous work, we reported low-frequency (1-12 Hz) phase coherence in the FAF-AC circuit during spontaneous activity, with emergence of γ-band (> 25 Hz) coherence at the onset of external acoustic stimulation [17]. To study FAF-AC oscillatory dynamics during vocal production, we looked beyond phase correlations and examined causal interactions (within a transfer entropy framework) in the fronto-auditory circuit. Causal interactions were quantified using directed phase transfer entropy (dPTE), a metric that measures the degree of preferential information transfer between signals based on phase time series [55, 56]. dPTE calculations were performed across vocal conditions for all channel pairs, and for frequency bands which most strongly predicted vocalization type: δ, θ, α, $β_1$, and $γ_2$.

Average dPTE connectivity matrices across conditions (echolocation and communication pre-

and post-vocal periods, and no-voc segments) are illustrated in **Fig. S4**. dPTE matrices were

used as adjacency matrices for directed graphs, which characterized patterns of directional

information flow in the FAF-AC network (**Fig. 2**). In each graph, nodes represent adjacent

channels pooled according to cortical depth and layer distribution in AC (where layer borders

are well-defined anatomically; **Fig. S1**): superficial (*sup*), channels 1-4 (0-150 μm); top-

middle (*mid1*), channels 5-8 (200-350 μm); bottom-middle (*mid2*), channels 9-12 (400-550

μm); and *deep*, channels 13-16 (600-750 μm). Directed edges were weighted according to a

directionality index (DI), obtained from normalizing dPTE values to 0.5 (dPTE = 0.5

indicates no preferred direction of information transfer). Only edges with significant DI

values, based on bootstrapping, are shown.

Upon inspection of the connectivity graphs, we noticed general patterns that entailed strong

top-down preferential information transfer (i.e. in the FAF → AC direction) during

spontaneous activity, pre-vocal periods irrespective of vocalization type, and post-vocal

communication periods (**Fig. 2**). Top-down information flow (blue arrows in the figure) was

strongest for δ, θ, and γ_2 frequencies, although also ocurred sparsely in α and β_1 bands with

patterns that depended on ensuing call type. Within FAF and during pre-vocal echolocation

periods, information flowed predominantly from deep to superficial layers in δ and β_1

frequencies (**Fig. 2b**), and in the opposite direction for α-LFPs, also during no-voc periods

(**Fig. 2a**, **b**). Within AC, information flowed in the superficial to deep direction during no-voc

and pre-vocal communication periods in γ_2 frequencies.

To our surprise, a predominance of bottom-up information flow (i.e. AC → FAF direction)

appeared to be specific to post-vocal echolocation periods in the δ and β_1 bands, although

bottom-up information transfer did occur in α frequencies during post-vocal communication

epochs (**Fig. 2c**). Note that, for echolocation, there was strong to-down information transfer

before vocalization onset, particularly in the δ-band (cf. **Fig. 2c** with **Fig. 2b**, top). These

results hint toward a pre- to post-vocal reversal of information flow in the FAF-AC network

during echolocation, evident in low frequencies of the LFP. Considering within-structure

information transfer, patterns were diverse in FAF, consisting of information exchange in the

deep-to-superficial (bands: δ, echolocation and communication; α, communication; and β_1,

both) and the superficial-to-deep (α-band, for echolocation) directions. Within AC,

predominant information flow occurred both in the superficial-to-deep (δ-band, echolocation)

and in the deep-to-superficial directions (bands: θ, echolocation; α, echolocation and

communication; β_1, echolocation, γ_2, communication) as well.

Taken together, the data in **Fig. 2** illustrate rich patterns of information exchange within and

between frontal and auditory cortices. Information transfer patterns depended on whether a

vocalization was produced and on its type, either considering within-structure connectivity, or

information transfer across regions. Differences in the information flow dynamics of the

fronto-auditory circuit, across vocal conditions and call-types, are depicted in **Figures S5** and

S6, and quantified in detail in the supplementary results.

<u>Information flow in the fronto-auditory circuit reverses for echolocation production</u>

The contrasts between pre- and post-vocal periods for echolocation (**Fig. 2b**, **c**) suggest that

the preferred directionality of information flow reverses when animals echolocate.

Differences in the direction of information transfer between pre-vocal and post-vocal

activities were addressed by statistically comparing connectivity graphs associated to each

case (**Fig. 3**). Paired statistics were performed for these comparisons (Wilcoxon singed-rank

tests, significance when $p < 10^{-4}$; see Methods); edges, representing significant differences in

dPTE, were only shown for large effect sizes ($|d| > 0.8$). As expected from the data depicted in

Figure 2, echolocation-related FAF → AC preferred information flow was significantly

higher during pre-vocal than post-vocal periods in the δ and θ bands (**Fig. 3a**, top). In γ_2

frequencies, the effect was the opposite: FAF → AC directionality was highest during post-

vocal periods than during pre-vocal ones. Remarkably, AC → FAF preferred directionality of

information flow was significantly stronger during post-vocal periods in δ and β_1 frequency

bands (**Fig. 3a**, top). Within FAF, differences in preferred information flow occurred in

frequency bands δ, α, and β_1. Within AC, differences in dPTE occurred mostly in α and β_1

bands (**Fig. 3a**, top). Information flow was strongest in the deep-to-superficial direction

during post-vocal periods, and in superficial-to-deep directions during pre-vocal periods. In

the case of communication call production (**Fig. 3b**, top), differences in dPTE occurred only

in the δ and θ bands. Values were significantly higher (with large effect sizes) in the FAF →

AC direction during pre-vocal periods.

Changes in directional information transfer in the FAF-AC network were quantified by

calculating the net information outflow (DI_{net}) from each area. The DI_{net} represents the sum of

DI values obtained from outgoing connections per region (e.g. all edges in FAF related to

FAF → AC connections, representing the net strength of preferential information flow in the

fronto-auditory direction). DI_{net} values were used to statistically compare pre- and post-vocal

periods in terms of information transfer from one cortical area to another. Considering this

metric, significant differences (FDR-corrected Wilcoxon singed-rank tests, $p_{corr} < 0.05$) with

large effect sizes ($|d| > 0.8$) occurred mostly for low and intermediate frequency bands (i.e. δ

and β_1) of the LFP. Specifically, for the pre-vocal vs. post-vocal echolocation condition (**Fig.**

3a, bottom), the information outflow from FAF was significantly higher in the δ band during

pre-vocal periods related to echolocation call production ($p_{corr} = 1.63 \times 10^{-82}$, $d = 3.44$).

Notably, the net information outflow from AC was significantly higher when considering

post-vocal periods than pre-vocal ones ($p_{corr} = 3.27 \times 10^{-64}$, $d = -1.5$). In the β_1 frequency range,

there were no significant differences with large effect sizes between pre-vocal and post-vocal

net information outflow from the FAF. However, DI_{net} values from AC were significantly

higher during post-vocal periods ($p_{corr} = 3.71 \times 10^{-36}$, $d = -0.88$). Pre-vocal vs. post-vocal

comparisons of DI_{net} values from FAF and AC related to communication calls revealed

significant differences with large effect sizes only for δ frequencies in FAF (**Fig. 3b**, bottom;

DI_{net} higher for pre-vocal periods: $p_{corr} = 1.63 \times 10^{-82}$, $d = 1.39$).

The passive listening of echolocation-like or communication sounds did not account for the

data above (**Fig. S7-9**), suggesting that mere feedback from the calls was not sufficient to

explain echolocation-related, δ-band information flow reversal in the network. Likewise, the

production of HF-communication sounds did not account for the patterns observed during

echolocation (**Fig. S10**), indicating that the information transfer dynamics for echolocation are

not fully explained by the frequency content of the vocalizations themselves. Altogether,

these results unveil dynamic changes of predominant connectivity patterns in the FAF-AC

network from pre- to post-vocal periods, exhibiting frequency specificity and particularly

associated with echolocation production.

<u>Electrical stimulation of the FAF enhances auditory cortical responses</u>

The data thus far indicate strong top-down modulation in the FAF-AC network, which can

nevertheless be significantly altered when animals produce echolocation sounds. However,

the dPTE analyses cannot rigorously establish whether FAF activity indeed modulates AC

responses. To examine this question, we conducted perturbation experiments of the FAF to

evaluate whether manipulations in this region affect auditory cortical responses to external

sounds.

The FAF was stimulated electrically with biphasic pulse trains (6 pulses/train; pulse interval: 500 ms) while simultaneously recording from the AC (n = 20 penetrations; **Fig. 4a**). Electrical stimulation of FAF did not produce detectable artefacts or LFP power changes in AC (**Figs. 4c** and **S11**), nor did it elicit vocalization production (**Fig. S12**), potentially due to weaker stimulation as compared to previous work with measurable behavioural outputs [43, 57]. Acoustic stimuli were presented after the train (either a distress -a type of communication sound- or an echolocation call; see **Fig. S7b**, and **Fig. 4b**) at different delays. Response strengths in AC to sounds after FAF electrical stimulation ("Estim" condition) were compared to response strengths related to the same sounds, but presented without prior electrical stimulation ("no-Estim" condition). Representative responses to distress and echolocation calls for both conditions and for a delay of 135 ms (in the Estim case) are depicted in **Fig. 4d**.

We observed differences between the ERP energy measured in Estim and no-Estim conditions (red and blue, respectively), more evidently when considering AC responses to echolocation sounds (**Fig. 4d**). These differences occurred consistently at a population level. **Figure 4e** depicts response strengths for all AC depths across recordings, related to a distress syllable presented with a delay of 135 ms (in the Estim case; red traces). Response strengths from the no-Estim case are shown for one example iteration out of 500 conducted for comparisons (see Methods). A trend was present, wherein responses in the Estim condition were stronger than those in the no-Estim condition, although without statistically significant differences (**Fig. 5f**; FDR-corrected Wilcoxon signed-rank tests, significance if $p_{corr} < 0.05$). Overall, when acoustic stimulation was done with a distress syllable, significant differences between Estim and no-Estim occurred in ~40 % of out 500 iterations in total. Such differences were concentrated mostly in middle-to-deep layers (depths > 300 μm) with small to medium values of Cliff's delta ([58]; **Fig. 4i**, **j**, *left*).

In terms of AC responses to echolocation sounds (**Fig. 4g**), differences between Estim and no-Estim conditions appeared most prominent in superficial-to-middle layers (depths 50 – 350 μm). Responses were significantly stronger in the Estim condition than in the no-Estim condition at depths of 50 – 300 μm (**Fig. 4h**; $p_{corr} < 0.05$), in particular for a sound-delay of 135 ms. Significant differences between Estim and no-Estim conditions were very reliable, observed in up to 90.6 % of the iterations for a delay of 135 ms and a cortical depth of 150 μm (**Fig. 4i**, *right*). At depths ranging 50-350 μm, for the same delay, reliability was larger than 70 %, with medium effect sizes (**Fig. 4j**, *right*). These data indicate that electrical

287   stimulation on the FAF enhances response strength in the AC, with particularly high

288   reliability when the animals listen to echolocation sounds.

Discussion

In this study, we addressed the dynamics of information exchange between frontal and

auditory cortices of vocalizing bats (summarized in **Fig. 5**). Consistent with previous reports [4,

14, 16, 35, 46, 59], we show that neural activity in the frontal cortex predicts vocal outputs. Taken

together, the data from this and previous work suggest that oscillations in frontal regions may

be instrumental for vocal production. From our perspective, the above is further supported by

call-type specific, pre-vocal LFP spectral dynamics and information transfer patterns in the

FAF-AC network. The relationship between oscillations and vocal production remains,

nevertheless, correlational: our results do not allow to rigorously assert a causal role of LFPs

for the initiation or planning of vocal outputs.

Neural activity in the AC also relates to vocalization [27], but the involvement of auditory

cortical oscillations in vocal production is still to be fully understood. Our results indicate that

pre-vocal LFPs in AC, as previously reported with single-unit spiking [27, 29, 30], relate to vocal

initiation. We show, for the first time to our knowledge, that pre-vocal oscillatory patterns in

AC are call-type specific and, remarkably, complementary to those observed in frontal cortex

in frequency and effect (**Fig. 1**). These patterns may be explained by our current

understanding of the roles of AC for vocal production. Neuronal activity in the AC is

predominantly suppressed during vocalization, with inhibition occurring hundreds of

milliseconds prior to call onset [8, 27, 28, 60]. Vocalization-related inhibition is mediated by motor

control regions, which send a copy of the motor command to the AC as "corollary discharge"

or "efferent copy" signals [33, 61]. These signals, respectively, have either a general suppressive

effect, or carry specific information about the produced sound which potentially facilitates

feedback processing [32]. Thus, pre-vocal, call-type unspecific power changes in low

frequencies could reflect general inhibitory mechanisms in AC consistent with corollary

discharges from higher order structures. Directed connectivity analyses support the notion of

top-down (FAF → AC) control of pre-vocal low-frequency activity (**Fig. 2**). In contrast, pre-

vocal β-band LFPs might constitute oscillatory correlates of efference copies, given the

observed call-type specificity. Because FAF → AC causal influences did not equally extend

to the β frequencies, pre-vocal β activity in AC might be influenced instead by specialized

regions such as the premotor cortex, providing a more specific copy of the motor commands

required for vocalization. Channels for motor-auditory communication (see [62, 63]) could in fact

operate over β frequencies [53, 64, 65].

Differences in spectral patters cannot be solely explained by the distinct frequency content of

echolocation and communication calls (**Fig. S3**). However, considering that orofacial

movement in primates [15, 66] and vocalization-specific movements in bats [50] are associated to

neural activity in frontal areas, distinct pre-vocal motor related activity for echolocation or

communication calling is a plausible explanation for our results. Microstimulation of *C.*

perpsicillata's FAF can result in motor effects such as pinna and nose-leaf movements, as

well as vocalizations (including echolocation-like calls; [50]). These movements also occur

naturally before spontaneous vocalization [50], suggesting that the FAF may be involved in the

motor aspect of vocal production. Nevertheless, vocalization-specific neural populations in

primates coexist with those related to orofacial movements [67]. Therefore, the vocal-motor

explanation does not necessarily entail that the FAF fails to participate in other forms of vocal

preparation beyond the orchestration of motor programs.

In terms of a cortical network for vocalization, the FAF and AC are engaged in rich

information transfer dynamics with functional relationships to vocalization. Moreover,

interactions extend to periods of vocal quiescence, when information flows top-down (FAF →

AC) in low (δ-α) and high (γ$_2$) frequencies. Low-frequency top-down influences from higher-

order structures (like the FAF) modulate neuronal activity in sensory cortices according to

cognitive variables such as attention, also during spontaneous activity [55, 68, 69]. However,

whether and how attentional processes exploit the nature of neural connections in the FAF-

AC circuit remains thus far unknown. Our data resonate with the hypothesis of top-down

modulation of oscillatory activity in AC, and suggest a strict control of higher-order structures

over sensory areas reflected in concurrent LFP activity across regions. Such strong top-down

control is supported by the fact that FAF microstimulation enhances auditory cortical

responses to sounds (**Fig. 4**).

Vocalization-specific changes in power may affect causality estimations, e.g. by creating

confounding differences between the vocal conditions studied. However, the dPTE is a

causality estimate that shows robustness to the influence of power, noise, and other variables.

In our dataset, the pre-vocal δ-band power increase within each region when animals

produced echolocation (call-type specific in FAF, unspecific in AC) was nonetheless

accompanied by a decrease of interareal dPTE values. In addition, a δ-band power increase of

communication pre-vocal LFPs relative to baseline (**Figs. 1**, **S1**) did not result in significant

differences of dPTE values during pre-vocal and spontaneous periods. Thus, changes in

causality did not necessarily follow changes in power, as has been reported in previous work

{Hillebrand, 2016 #559}.

Based on dPTE values associated with spontaneous and pre-vocal activities (**Fig. 2** and **S5**), it

appears that as animals prepare the production of an echolocation call, the FAF gradually

relinquishes control over the AC in the low-frequency (δ) channel. That is, the top-down

control wanes during echolocation pre-vocal periods in δ LFPs. The weakening of preferred

top-down information transfer could be taken as a preamble of emerging bottom-up

information flow in δ frequencies after an echolocation call is emitted (**Fig. 3**). This fails to

happen in the communication case. Echolocation, the predominant strategy for navigation, is

essential for bats. After vocalizing an echolocation pulse, the bat auditory system must be

ready to process incoming echoes and use them to construct a representation of surrounding

objects [70], potentially involving higher order structures. The observed switch from top-down

to bottom-up processing when animals find themselves in echolocation mode could represent

the readiness of the bat's auditory machinery for the aforementioned task. Concretely, our

data suggest that the former may occur over a continuum encompassing a gradual release of

the AC from top-down influences (i.e. from the FAF), which opens the way for auditory-

frontal information transfer supporting the processing and integration of incoming echoes. A

reversal in information transfer is also visible (albeit weaker and in a different LFP frequency

band) during the production of high frequency communication sounds (**Fig. S10**). This result

could hint towards smooth transition in the way the FAF-AC network operates, which finds

its two extremes in echolocation and low-frequency communication call production.

In all, processing feedback information directly related to navigation appears to have a larger

weight in the bottom-up processing of acoustic cues resulting from a self-generated sound.

Echolocation pulses are produced to generate echoes that must be listened to. Communication

calls are often targeted to an audience as means of transmitting internal behavioural

information (e.g. distress, aggressive mood, etc.), not aimed at the emitter itself. For the

emitter, in such scenario, feedback processing mostly contributes to the adjustment of vocal

parameters such as loudness or pitch [7, 71, 72]. Since in this study animals vocalized without an

audience (i.e. they were isolated in the recording chamber), further research could elucidate

whether the presence of conspecifics increases bottom-up information transfer when

vocalizing communication calls, as animals could expect a response.

The reversal of information flow reported in the δ-band when animals echolocate cannot be

solely attributed to passively hearing feedback from their own utterances (**Fig. S7-10**): active

vocalization seems to be necessary to trigger bottom-up information transfer in the FAF-AC

circuit. The current data, together with the fact that passive listening fails to significantly alter

low-frequency coherence in the FAF-AC network [17], indicate that passive listening alone is

not sufficient to significantly alter the dynamics of communication between FAF and AC.

Likewise, information flow dynamics associated to echolocation calls could not be attributed

to their high frequency content alone, since qualitatively similar differences between

echolocation and communication calls were observed when considering only HF-

communication utterances, particularly for δ-LFPs (**Fig. S10**). Echolocation, therefore,

triggers unique patterns of information flow reversal in the fronto-auditory network of *C.*

perspicillata. Our data indicates that the connectivity in this circuit is shaped by the

behavioural implications of the calls produced.

The transfer entropy analyses discussed above indicate that the frontal cortex exerts top-down

modulation over its auditory cortical counterpart, particularly during spontaneous activity

(**Fig. 2**). Consistent with the top-down modulation perspective, electrical perturbation of the

FAF enhanced the strength of responses in AC, echoing known effects of frontal stimulation

in other mammals [21]. Given that FAF manipulation most reliably altered responses to

echolocation sounds (**Fig. 4**), and that the production of echolocation pulses reverses

information flow in the fronto-auditory circuit, the data suggest that dynamic interactions in

the bat's FAF-AC network favour echolocation behaviour.

Methods

<u>Animal preparation and surgical procedures</u>

The study was conducted on five awake *Carollia perspicillata* bats (one female).

Experimental procedures were in compliance with European regulations for animal

experimentation and were approved by the Regierungspräsidium Darmstad (experimental

permit #FU-1126 and FR-2007). Bats were obtained from a colony at the Goethe University,

Frankfurt. Animals used for experiments were kept isolated from the main colony.

Prior to surgical procedures, bats were anaesthetized with a mixture of ketamine (10

mg*kg−1, Ketavet, Pfizer) and xylazine (38 mg*kg−1, Rompun, Bayer). For surgery and for

any subsequent handling of the wounds, a local anaesthetic (ropivacaine hydrochloride, 2

mg/ml, Fresenius Kabi, Germany) was applied subcutaneously around the scalp area. A

rostro-caudal midline incision was cut, after which muscle and skin tissues were carefully

removed in order to expose the skull. A metal rod (ca. 1 cm length, 0.1 cm diameter) was

attached to the bone to guarantee head fixation during electrophysiological recordings. The

FAF and AC were located by means of well-described landmarks, including the sulcus

anterior and prominent blood vessel patterns (see [17, 50, 73]). The cortical surface in these

regions was exposed by cutting small holes (ca. 1 mm^2) with the aid of a scalpel blade on the

first day of recordings. In the AC, recordings were made mostly in the high frequency fields

[17, 50, 73])

After surgery, animals were given no less than two days of rest before the onset of

experiments. No experiments on a single animal lasted longer than 4 h per day. Water was

given to the bats every 1-1.5 h periods, and experiments were halted for the day if the animal

showed any sign of discomfort (e.g. excessive movement). Bats were allowed to rest a full

day between consecutive experimental sessions.

<u>Electrophysiological and acoustic recordings</u>

Electrophysiology was performed chronically in fully awake animals, inside a sound-proofed

and electrically isolated chamber. Inside the chamber, bats were placed on a custom-made

holder which was kept at a constant temperature of 30 °C by means of a heating blanket

(Harvard, Homeothermic blanket control unit). Electrophysiological data were acquired from

FAF and AC on the left hemisphere, using two 16-channel laminar electrodes (one per

structure; Model A1x16, NeuroNexus, MI; 50 μm channel spacing, impedance: 0.5-3 MW per

electrode). Probes were carefully inserted into the brain perpendicular to the cortical surface,

and lowered with piezo manipulators (one per probe; PM-101, Science 455 products GmbH,

Hofheim, Germany) until the top channel was barely visible above the surface of the tissue.

The placing and properties of the probes allowed us to record simultaneously at depths

ranging from 0-750 μm, spanning all six cortical layers (see [74]). Probes were connected to a

micro-preamplifier (MPA 16, Multichannel Systems, MCS GmbH, Reutlingen, Germany),

and acquisition was done with a single, 32-channel portable system with integrated

digitization (sampling frequency, 20 kHz; precision, 16 bits) and amplification steps (Multi

Channel Systems MCS GmbH, model ME32 System, Germany). Acquisition was online-

monitored and stored in a computer using the MC_Rack_Software (Multi Channel Systems

MCS GmbH, Reutlingen, Germany; version 4.6.2).

Vocal outputs were recorded by means of a microphone (CMPA microphone, Avisoft Bioacustics, Glienicke, Germany) located 10 cm in front of the animal. Recordings were performed with a sampling rate of 250 kHz and a precision of 16 bits. Vocalizations were amplified (gain = 0.5, Avisoft UltraSoundGate 116Hm mobile recording interface system, Glienicke, Germany) and then stored in the same PC used for electrophysiology. Electrophysiological and acoustic data were aligned using two triggers, an acoustic one (5 kHz tone, 10 ms long) presented with a speaker located inside of the chamber (NeoCD 1.0 Ribbon Tweeter; Fountek Electronics), and a TTL pulse sent to the recording system for electrophysiology (see above). Note that the onsets of the tones were in synchrony with the TTL pulses registered by the acquisition system for electrophysiology.

Acoustic stimulation

Two acoustic stimuli were used to evaluate transfer entropy patterns during passive listening. One of them, the high-frequency frequency modulated sound (HF-FM; 2 ms long; downward frequency sweep from 80-50 kHz), mimicked the spectrotemporal structure of echolocation pulses; the other, consisted of a distress syllable (distress, 3.8 ms long) typical of *C. perspicillata*'s vocal repertoire. The latter stimulus was embedded in a sequence in which the syllable was presented every 500 ms for 2 seconds (2 Hz rate); other sequences with faster rates were also presented to the animal, but were not considered for this study. Only the first syllable of the 2 Hz sequence was used for analyses. Stimuli for determining frequency tuning consisted of short (10 ms) pure tones at various frequencies (5-90 kHz, in steps of 5 kHz) and levels (15-75 dB SPL, steps of 15 dB). Since the HF-FM and the distress sounds were presented at 70 dB SPL (rms), we focused on the frequency tuning curved obtained with pure tone stimuli presented at 75 dB SPL.

The setup for stimulation has been described in previous studies (see [17]). In short, sounds were digital-to-analog converted using a sound card (M2Tech Hi-face DAC, 384 kHz, 32 bit), amplified (Rotel power amplifier, model RB-1050), and presented through a speaker (description above) inside of the chamber. The speaker was located 12 cm away from the bat's right ear, contralateral to the cerebral hemisphere on which electrophysiological recordings were made. Prior to stimulation, sounds were downsampled to 192 kHz and low-pass filtered (80 kHz cut-off) Sound presentation was controlled with custom written Matlab softwares (version 8.6.0.267246 (R2015b), MathWorks, Natick, MA) from the recording computer.

 Classification of vocal outputs

Two sessions of concurrent acoustic recordings (~10 min long) were made per paired

penetrations in FAF and AC. Vocalizations were automatically detected based on the acoustic

envelope of the recordings. The envelope was z-score normalized to a period of no

vocalization (no less than 10 s long), which was manually selected, per file, after visual

inspection. If a threshold of 5 standard deviations was crossed, a vocalization occurrence was

marked and its start and end times were saved. Given the stereotyped spectral properties of *C.*

perspicillata's echolocation calls, a preliminary classification between echolocation and

communication utterances was done based on each call's peak frequency (a peak frequency >

50 kHz suggested an echolocation vocalization, whereas a peak frequency below 50 kHz

suggested a communication call). In addition, vocalizations were labelled as candidates for

subsequent analyses if there was a time of silence no shorter than 500 ms prior to call

production to ensure no acoustic contamination on the pre-vocal period that could affect LFP

measurements in FAF or AC. Finally, echolocation and communication candidate

vocalizations were individually and thoroughly examined via visual inspection to validate

their classification (echolocation or communication), the absence of acoustic contamination in

the 500 ms prior to vocal onset, and the correctness of their start and end time stamps.

According to the above, and out of a total of 12494 detected vocalizations, 138 echolocation

and 734 communication calls were then used in further analyses.

High-frequency communication calls (HF-communication) were selected according the

frequency component of the vocalizations. Specifically, an HF-communication call was a

communication utterance with more than 50 % of its power in the 50-100 kHz range. HF-

communication calls represented 21.12% of the communication calls used (155/734).

Extraction of LFP signals and power analyses

Data analyses were performed using custom-written scripts in MatLab (versions

9.5.0.1298439 (R2018b), and 9.10.0.1684407 (R2021a)), Python (version 2.6 or 3.6), and R

(RStudio version 1.3.1073). For extracting LFPs, the raw data were band-pass filtered (zero-

phase) between 0.1 and 300 Hz (4th order Butterworth filter; *filtfilt* function, MatLab), after

which the signals were downsampled to 1 kHz.

All LFP spectral analyses were done using the Chronux toolbox [75]. Pre-vocal power was

calculated with LFP segments spanning -500-0 ms relative to vocal onset, using a TW of 2,

and 3 tapers. No-vocalization baseline periods (*no-voc*) with a length of 500 ms were pseudo-

randomly selected and their power spectra calculated in order to obtain baseline power values

for spontaneous activity. The total number of *no-voc* periods matched the total number of

vocalizations (n = 872), in a way that the number of selected *no-voc* periods per recording file

matched the number of vocalizations found in that particular file. The power of individual

frequency bands (i.e. δ, 1-4 Hz; θ, 4-8 Hz; α, 8-12 Hz; $β_1$, 12-20 Hz; $β_2$, 20-30 Hz; $γ_1$, 30-60

Hz; $γ_2$, 60-120 Hz; $γ_3$, 120-200 Hz) was calculated by integration of the power spectral density

accordingly for each case. Finally, the increase of pre-vocal power relative to the baseline

periods was calculated as follows (per frequency band, on a call-by-call basis):

$$Relative\ power\ change = \frac{BP_{pre-voc} - BP_{no-voc}}{BP_{no-voc}} * 100 \qquad [1],$$

where $BP_{pre-voc}$ is the pre-vocal power (in the case of either an echolocation or communication

vocalization) of the given frequency band and a trial (i.e. a specific call), and BP_{no-voc} is the

baseline no-voc power associated to the same frequency band and trial.

<u>Generalized linear model for vocal output prediction</u>

To determine whether pre-vocal power change relative to baseline was able to predict the type

of ensuing vocal output, we used a GLM with a logistic link function (i.e. logistic regression).

The model analysis was done in Rstudio with the *lme4* package. In brief, logistic regression

was used to predict the probability of a binary outcome (0 or 1; communication or

echolocation, respectively) based on the pre-vocal power change as the predictor variable.

The probabilities are mapped by the inverse logit function (sigmoid):

$$σ(x) = \frac{1}{1+\exp(-x)} \qquad [2],$$

which restricts the model predictions to the interval [0, 1]. Because of these properties, a

logistic regression with GLMs is well suited to compare data (and thus, evaluate predictions

of ensuing vocal-output) on a single-trial basis [76].

To estimate the effect size of the fitted models, we used the marginal coefficient of

determination (R^2m) with the *MuMIn* pacakage. The R^2m coefficient quantifies the variance

in the dependent variable (echolocation vs. communication vocalization) explained by the

predictor variable (i.e. the relative pre-vocal power change). This value is dimensionless and

independent of sample size [76, 77], which makes it ideal to compare effect sizes of different

models (e.g. across channels and frequency bands, as in **Fig. 1g**). Effect sizes were considered

small when $R^2m < 0.1$, medium when $0.1 <= R^2m < 0.4$, and large when $R^2m >= 0.4$ [76].

<u>Directionality analyses</u>

Directional connectivity in the FAF-AC network was quantified with the directed phase

transfer entropy (dPTE; [55]), based on the phase transfer entropy (PTE) metric [78]. PTE is a

data-driven, non-parametric directionality index that relates closely to transfer entropy (TE;

[79]), but is based on the phase time-series of the signals under consideration (here, FAF and

AC field potentials). PTE is sensitive to information flow present in broad- and narrowband

signals, and is in a large degree robust to the effects of, for example, noise, linear mixing, and

sample size [78, 80].

In terms of TE, a signal X causally influences signal Y (both of them can be considered as

phase times series), if the uncertainty about the future of Y can be reduced from knowing both

the past of signal X and signal Y, as compared to knowing the past of signal Y alone.

Formally, the above can be expressed as follows:

$$TE_{xy} = \sum p(Y_{t+\delta}, Y_t, X_t)\log\left(\frac{p(Y_{t+\delta}|Y_t, X_t)}{p(Y_{t+\delta}|Y_t)}\right) \quad [4],$$

where δ represents the delay of the information transfer interaction, and TE_{xy} is the transfer

entropy between signals X and Y. The estimation of the probabilities for TE quantification

requires large computational times and the tuning of various parameters [55]. PTE, on the other

hand, converts the time series into a sequence of symbols (binned-phase time series, see

below), and is able to estimate TE on the phase series reducing significantly both processing

times and the necessity for parameter fitting [78].

Phase time series were obtained after filtering the LFP signals in a specific frequency band

(e.g. θ, 4-8 Hz) and Hilbert transforming the filtered data. To avoid edge artefacts, the full

~10 minutes recordings were filtered and Hilbert transformed before chunking segments

related to individual trials (i.e. pre-voc: -500-0 ms relative to call onset, post-voc: 0-250 ms

relative to call onset, or no-voc baseline periods). According to the condition under

consideration (echolocation/communication and pre-voc/post-voc, or baseline periods), we

selected 50 trials pseudo-randomly and then concatenated them before quantifying directional

connectivity. This process was repeated 500 times and the distribution of dPTE values

obtained from each repetition used for further analyses. The former resulted in a distribution

of 500 dPTE connectivity matrices; the median value across these was used for constructing

connectivity graphs (see below).

Given the phase of the LFP signals, the PTE was calculated according to equation [4].

However, probabilities in this case were estimated by constructing histograms of binned

phases [78] instead of using the full, continuous time series. Following [81], the number of bins in

the histograms was set to:

$$3.49 * \mu\big(\sigma(\phi)\big) * N_s^{-\frac{1}{3}} \qquad [5],$$

where m and s represent the mean and standard deviation, respectively, f represents the phase

time series, and N_s denotes the number of samples.

The prediction delay d was set to $(N_s \ x \ N_{ch})/ N_{+-}$ [55], where N_s and N_{ch} are the number of

samples and channels ($N_{ch} = 32$), respectively. The value of N_{+-} corresponds to the number of

times the LFP phase changes sign across all channels and times.

The dPTE was calculated from the PTE as follows [55]:

$$dPTExy = \frac{PTExy}{PTExy+PTEyx} \qquad [6]$$

With values ranging between 0 and 1, dPTEs > 0.5 indicate information flow preferentially in

the $X \rightarrow Y$ direction, dPTE values below 0.5 indicate preferential information flow in the

opposite direction, and dPTE = 0.5 indicates no preferred direction of information flow. In

other words, dPTE is a metric of preferred directionality between two given signals. Note that

the dPTE analysis among a set of electrodes yields a directed connectivity matrix that can be

considered as an adjacency matrix of a directed graph (see below). All PTE and dPTE

calculations were done with the Brainstorm toolbox in MatLab [82].

<u>Connectivity graphs</u>

A graph-theoretic examination of the connectivity patterns was made by constructing directed

graphs based on the results obtained from the dPTE analyses (i.e. the median across the 500

repetitions; see above). For simplicity, channels in the FAF and AC within a range of 150 μm

were grouped as follows (in the FAF, as an example): FAF_{top}, channels 1-4 (0-150 μm);

FAF_{mid1}, channels 5-8 (200-350 μm); FAF_{mid2}, channels 9-12 (400-550 μm); FAF_{bottom},

channels 13-16 (600-750 μm). A similar grouping was done for electrodes located in AC.

These channel groups were considered as the nodes of a directed graph. A directed edge *(u, v)*

between any two nodes then represents a preferential information flow from node *u* to node *v*.

The weight of the edge was taken as the median dPTE for the channel groups corresponding

to the nodes, according to the dPTE connectivity matrices. For instance, if the groups

considered were FAF$_{top}$ and AC$_{bottom}$, then the weight between both nodes was the median of

the obtained dPTE values calculated from channels 1-4 in FAF towards channels 13-16 in

AC. The weight of an edge was quantified as a directionality index (DI):

$$DI = \frac{median(dPTE_{uv}) - 0.5}{0.5} * 100 \qquad [7],$$

which expresses, in percentage points, the strength of the preference of information flow in a

certain direction. Equation [6] is based on the fact that a dPTE of 0.5 corresponds to no

preferred direction of information flow [55].

To statistically validate the directionality shown in the graphs we used a bootstrapping

approach. Surrogate adjacency matrices were built for the same channel groups (top, mid1,

mid2 and bottom), but electrodes were randomly assigned to each group, independently of

their depths or cortical location. This randomization was done independently within each of

the 500 dPTE matrices obtained from the main connectivity analysis. Then, an adjacency

matrix was obtained from these surrogate data in the same way as described above (i.e. using

the median across 500 randomized dPTE matrices). Such a procedure was repeated 10,000

times, yielding an equal number of surrogate graphs. An edge in the original graph was kept if

the DI of that edge was at least 2.5 standard deviations higher than the mean of the surrogate

distribution obtained for that edge (i.e. higher than the 99.38% of the surrogate observations).

Edges that did not fulfil this criterion were labelled as non-significant and were therefore not

considered for any subsequent analyses.

<u>Directionality analyses for passive listening conditions</u>

dPTE values and connectivity graphs for passive listening conditions were quantified using

the same methodology described for the cases of active vocalization. Analyses based on

responses to acoustic stimulation were made on a trial-by-trial basis. Trials were randomly

selected 500 times across all penetrations, depending on whether responses to the HF-FM or

the distress sound were considered. We ensured that the number of trials chosen for each

penetration, in every randomization run, matched the number of vocalizations taken (in a HF-

FM/echolocation or distress/communication scheme) from that particular penetration. With

this we aimed to avoid possible biases in the comparisons across passive listening and active
vocalizations conditions.

<u>Electrical stimulation experiments</u>

The FAF was electrically stimulated by means of biphasic pulses lasting 410 μs (200 μs per
phase, with 10 a μs gap between them) and with an amplitude of 2 μA. Electric pulses were
delivered by inserting an A16 Neuronexus shank (same used for recordings) into the frontal
cortex, using the channels at depths of 350 and 450 μm as stimulating electrodes. These
channels were directly connected to the outputs of an A365 stimulus isolator (World Precision
Instruments, Friedberg, Germany). Pulse amplitude was selected based on values used in the
literature (e.g. [83, 84, 85]), and after empirically establishing that electrical artefacts were
undetectable in the AC online during recordings. Recordings in AC were conducted with a
second A16 shank (as described above); in the AC no electrical stimulation was delivered.

Precisely, the electrical and acoustic stimulation protocol was as follows. Six biphasic electric
pulses were delivered into FAF with inter-pulse intervals of 500 ms (2 Hz, within the δ-band
range). After the electrical pulse train, acoustic stimuli were presented to the bats at given
delays relative to the time last electrical pulse (10, 135, 260, 385, 510, 635, 760 and 885 ms;
"Estim" condition). Delays were consistently with sampling four different phases per
electrical stimulation cycle (period is considered as the inter-pulse interval), for a total of 2
cycles after the last electrical pulse delivered (see **Fig. 4a**). Acoustic stimuli consisted of a
distress (same syllable used for the passive listening experiments) and an echolocation call
(duration, 1.2 ms; spectrum shown in **Fig. 4b**), both presented at 50 dB SPL (rms). All
possible combinations of acoustic stimulus type (i.e. distress or echolocation) and sound onset
delay were pseudorandomly presented 25 times each. The interval between a trial block (i.e.
electric pulse train and acoustic stimulus) was of 2.5 seconds. Sounds were also presented
without any prior electrical stimulation ("no-Estim" condition), with a variable inter-stimulus
interval between 4 and 16 seconds, a total of 50 times each. Acoustic stimuli were delivered
using the same speaker setup described above, but for these experiments a different sound
card was used (RME Fireface UC; 16 bit precision, 192 kHz; RME Audio, Haimhausen,
Germany).

Comparisons of auditory cortical response strength between Estim and no-Estim conditions
were made by calculating the energy of the event-related potential (ERP). Specifically, the

response strength was calculated as the area under the curve of the absolute value of the

Hilbert-transform of the high-frequency component (25 – 80 Hz) of the ERP, for the first 150

ms after sound onset. We used the high frequency component of the ERP to avoid biases

related with low-frequency pre-stimulus trends in the LFPs. Because the number of trials

differed in the Estim and no-Estim conditions (i.e. 25 and 50, respectively), the response

strength of the no-Estim condition was calculated using 25 randomly selected trial out of 50.

The ERP is sensitive to the number of trials as it is a trial-average response; the number of

trials must therefore be equalized. Only then we compared response strengths between Estim

and no-Estim conditions, for each channel and delay relative to the last electrical pulse

delivered. Statistical comparisons were made with FDR-corrected Wilcoxon signed-rank tests,

with an alpha of 0.05. The effect sizes of these comparisons were calculated using the Cliff's

delta metric (a non-parametric approach). Effect sizes are considered negligible when Cliff's

delta < 0.147, small when 0.33 ≤ Cliff's delta < 0.33, medium when 0.33 ≤ Cliff's delta <

0.474, and large when Cliff's delta ≥ 0.474 [58]. On account of selecting 25 random trials from

the no-Estim condition, the above procedures were repeated 500 times, with the aims of

testing whether the outcomes of the statistical comparisons (Estim vs. no-Estim response

strength) were reliable and independent of the randomized trial selection.

<u>Statistical procedures</u>

All statistical analyses were made with custom-written MatLab scripts. Paired and unpaired

statistical comparisons were performed with Wilcoxon singed-rank and rank sum tests,

respectively. These are appropriately indicated in the text, together with sample sizes and p-

values. All statistics, unless otherwise noted, were corrected for multiple comparisons with

the False Discovery Rate approach, using the Benjamini and Hochberg procedure [86]. An alpha

of 0.05 was set as threshold for statistical significance. The effect size metric used, unless

stated otherwise (as in the GLM case), was Cohen's d:

683
$$d = \frac{\mu_{D1} - \mu_{D2}}{\sqrt{\left(\frac{(n_1-1)\sigma_{D1}^2 + (n_2-1)\sigma_{D2}^2}{n_1 + n_2 - 2}\right)}} \qquad [8],$$

where D1 and D2 are two distributions, μ represents the mean, σ^2 represents the variance,

while n_1 and n_2 are the sample sizes. Effect sizes were considered small when $|d| < 0.5$,

medium when $0.5 <= |d| <= 0.8$, and large when $|d| > 0.8$ [87].

To test differences in the connectivity graphs across conditions (e.g. echolocation vs.

communication, or passive listening vs. active vocalization), we obtained adjacency matrices

for each of the 500 penetrations (one per dPTE connectivity matrix; see above) and compared

the distributions using Wilcoxon signed rank tests. Given that the large sample size ($n = 500$

here) increases the occurrence of significant outcomes in statistical testing, edges were only

shown when comparisons were significant and produced large effect sizes ($|d| > 0.8$).

When comparing connectivity graphs between pre-voc and post-voc conditions, we used the

exact same trials per repetitions to construct the distribution of dPTE matrices for the pre- and

post-voc cases. A certain repetition m for each condition was then treated as paired, and

therefore Wilcoxon signed rank tests were used for comparing (as opposed to unpaired

statistics above). Again, only edges representing significant differences ($p_{corr} < 0.05$) with

large effect sizes were shown.

Conflict of interests

The authors declare no financial or non-financial conflicts of interest.

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 Figure legends

Fig. 1. Pre-vocal oscillations in frontal and auditory cortices predict ensuing vocal output. (**a**)
Oscillograms (top) and spectrogram (bottom) of exemplary echolocation (left) and communication
calls produced by *C. perspicillata*. (**b**) Cumulative probability distribution of echolocation (blue, n
= 138) and communication (orange, n = 734) call lengths. No significance differences were
observed (Wilcoxon rank sum test, p = 0.12). (**c**) (*Left*) Normalized average power spectral density
(PSD) of echolocation (blue) and communication (orange) calls. (*Right*) Distribution of peak
frequencies of echolocation and communication utterances; communication calls were significantly
higher in frequency than their counterparts (p = 2.24×10^{-66}). (**d**) Single-trial LFPs recorded
simultaneous to echolocation and communication utterances. The vertical red dashed line, at time
0, indicates the moment of vocalization onset. The top 16 traces correspond to LFPs recorded in the
FAF; the bottom 16 LFP traces were recorded from the AC. Auditory cortical layers are marked.
LFP amplitude was normalized within structure independently. (**e**) Average pre-vocal (-500 to 0
ms, relative to call onset) power spectral densities (PSD) at a representative depth (300 μm) in FAF
and AC. Blue: echolocation; orange: communication; black, dashed: no-voc periods. The difference
between echolocation and communication PSDs is depicted in grey (right). (**f**) Percentage pre-vocal
power change across representative LFP bands (δ, 1-4 Hz; β_1, 12-20 Hz; γ_2, 60-120 Hz), relative to
a no-voc baseline, across cortical depths in FAF (top) and AC (bottom). Values related to
echolocation utterances (n = 138) are depicted in blue; those related to communication utterances
(n = 734) are depicted in orange. Data shown as mean ± sem. (**g**) Pre-vocal power change in frontal
and auditory regions predict vocalization type. Effect size (R^2_m) of GLMs considering all frequency
bands and channels, both in frontal and auditory cortices. Effect sizes were considered small when
$R^2_m < 0.1$, and medium for $R^2_m >= 0.1$. For illustrative purposes, effect size values from non-
significant models were set to 0.

Fig. 2. Directed connectivity patterns in the FAF-AC network. (**a**) Graph visualization of directed
connectivity between FAF and AC during no-voc periods. Channels in frontal and auditory cortices
were combined into four categories: top (0-150 μm), mid1 (200-350 μm), mid2 (400-550 μm), and
bottom (600-750 μm). Graph edges are weighted according to the strength of the preferred
directionality (FAF→AC in blue; AC→FAF in orange; within area directionality in grey). Edges
are only shown if there was significant preferred directionality according to a threshold defined by
bootstrapping. (**b**) Similar to **a**, but directed connectivity was calculated in the pre-vocal
echolocation and communication conditions. (**c**) Same as **b**, with connectivity patterns obtained for
post-vocal echolocation and communication conditions.

Fig. 3. Pre-vocal and post-vocal directionality differences in the FAF-AC network. (**a**) (*Top*)
Graphs illustrating the differences between pre-vocal and post-vocal directionality, across
frequency bands and during the production of echolocation calls. Edges were shown if three
conditions were met: (i) the differences were significant (FDR-corrected Wilcoxon signed-rank
tests, $p_{corr} < 0.05$), (ii) the effect size was large (|d| > 0.8), and (iii) edges were already significantly
directional (see edges in **Fig. 2**). Edge thickness is weighted according to the effect size of the
comparison. Continuous lines indicate pre-vocal dPTEs (first condition) higher than post-vocal
dPTEs (second condition). Dashed lines indicate the opposite. (*Bottom*) Net information outflow
(DI_{net}) from FAF (blue bars) and AC (orange bars), in the two conditions considered (pre-vocal vs.
post-vocal). Significant differences across conditions are marked with stars (FDR-corrected
Wilcoxon signed-rank tests; * $p_{corr} < 0.05$, ** $p_{corr} < 0.01$, *** $p_{corr} < 0.001$, n.s.: not significant; n =
500). Grey numbers in the panels indicate effect sizes (d; not shown for non-significant

differences). Values were considered independently of whether there was previous significant
directionality in any of the two conditions. Data shown as mean ± sem. (**b**) Same as in **a**, but
illustrating comparisons of directionality between pre-vocal vs. post-vocal conditions related to the
vocalization of communication calls.

Fig. 4. Electrical stimulation of the FAF increases response strength in AC. (**a**) Schematic
representation of the paradigm for electrical and acoustic stimulation. The timestamps for acoustic
stimulation (coloured according to delay; see also panels **e**-**g**) represent the delay of sound onset
relative to the end of electrical stimulation train. (**b**) Oscillograms of the natural distress syllable
and echolocation pulse used for acoustic stimulation. On the right, the normalized power spectra of
both calls are shown (orange, distress; blue, echolocation). (**c**) Broadband (0 – 10kHz), raw data
recorded simultaneously from FAF and AC (at representative depths of 50, 250 and 600 μm)
illustrating a single trial of electrical stimulation. Note that no electrical artefacts are visible in AC.
(**d**) Auditory cortical LFPs (left column), and time-course of their energy (right), in response to
either the distress syllable (top) or the echolocation pulse (bottom). Responses corresponding to the
no-Estim conditions shown in blue; responses related to the Estim condition, in red. (**e**) Strength of
auditory cortical ERPs in response to the distress syllable, across all recorded columns (n = 20) and
depths. In blue, responses associated to the no-Estim condition; in red, those associated to the Estim
condition (data as mean ± s.e.m). (**f**) Corrected p-values obtained after statistical comparisons
between response strengths related to Estim and no-Estim conditions, across all channels and
delays (paired, FDR-corrected Wilcoxon signed rank tests, alpha = 0.05). (**g-h**) Same as in **e-f**, but
dealing with responses to the echolocation pulse. (**i**) Proportion iterations (out of 500) in which
responses associated to the Estim condition were significantly larger than those associated to the
no-Estim condition (same test as above). Data are presented across all channels and delays
analysed, for responses to the distress and echolocation sounds. (**j**) Median effect size (Cliff's delta)
for the same comparisons summarized in **i**.

Fig. 5. The FAF-AC network during vocal production. (**a**) Oscillations in frontal and auditory
cortices provide a neural correlate of vocal production, allowing the prediction of ensuing call type.
Prediction is possible in complementary frequency bands in each region, and with opposite effects.
(**b**) Schematic representation of causal interactions (within a TE framework) in the FAF-AC
network. Strong top-down control, mostly in δ and γ frequencies, occurs during spontaneous
activity (no-voc) and prior to vocal utterance. In the δ-band, information flows top-down in the
circuit (FAF → AC) during pre-vocal periods, but changes to bottom-up (AC → FAF) information
transfer during post-vocal periods. The directionality patterns and the strength of preferential causal
interactions depend on the type of call produced, and on the timing relative to vocal onset. (**c**)
Electrical stimulation results provide strong support to the notion that FAF alters the manner in
which AC processes acoustic information, preferentially when animals listen to echolocation
sounds. In comparison to listening of distress sounds, auditory cortical responses to echolocation
sounds were more reliably enhanced after electrically stimulating the frontal cortex.

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Fig. 1. Pre-vocal oscillations in frontal and auditory cortices predict ensuing vocal output.

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Fig. 4. Electrical stimulation of the FAF increases response strength in AC.

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1090   **Fig. 5. The FAF-AC network during vocal production.**

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