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2 3	Adolescent Stress Confers Resilience to Traumatic Stress Later in Life: Role of the Prefrontal Cortex
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22	
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28	ABSTRACT: Stress during adolescence is usually associated with psychopathology later in life.
29	However, under certain circumstances, developmental stress can promote an adaptive
30	phenotype, allowing individuals to cope better with adverse situations in adulthood, thereby
31	contributing to resilience. The aim of the study was to understand how adolescent stress alters
32	behavioral and physiological responses to traumatic stress in adulthood. Sprague Dawley rats
33	were subjected to adolescent chronic variable stress (adol CVS) followed by single prolonged
34	stress (SPS) in adulthood. One week after SPS, animals were tested for acquisition, extinction,
35	extinction recall and reinstatement of auditory-cued fear conditioning, with neuronal
36	recruitment during reinstatement assessed by Fos expression. Patch clamp electrophysiology
37	was performed to examine physiological changes associated with resilience. We observed that
38	adol CVS blocked SPS-induced impairment of extinction learning (males) and enhancement fear
39	reinstatement (both sexes). SPS effects were associated with a reduction of infralimbic (IL)
40	cortex neuronal recruitment after reinstatement in males and increased engagement of the
41	central amygdala in females, both of which were also prevented by adol CVS. We explored the
42	mechanism behind reduced IL recruitment in male rats by studying the intrinsic excitability of IL
43	pyramidal neurons. SPS reduced excitability of IL neurons and prior adol CVS prevented this
44	effect, indicating that adolescent stress can impart resilience to the effects of traumatic stress
45	by modification of IL output in males. Overall, our study suggests that prior stress exposure can
46	limit the impact of a subsequent severe stress exposure in adulthood, effects that are mediated
47	by sex-specific modification of infralimbic and amygdala signaling.
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# 51 Introduction:

52 53 Understanding factors that affect the brain during adolescence has substantial health 54 relevance, given the onset of numerous affective conditions during this developmental period 55 (e.g., depression, anxiety disorders) (Andersen and Teicher, 2008; Kessler et al., 2005; Paus et 56 al., 2008). In general, chronic stress during development is associated with the emergence of 57 pathology, particularly when occurring during early life (de Kloet et al., 2005; Heim et al., 2008; 58 Oitzl et al., 2010; Riboni and Belzung, 2017; Tost et al., 2015). However, mild to moderate stress 59 during some development periods may also promote an adaptive response to adverse 60 situations later in life, contributing to stress resilience (Ordoñes Sanchez et al., 2021; Ricon et 61 al., 2012; Romeo, 2015; Schmidt, 2011; Southwick and Charney, 2012). Previous work from our 62 lab indictates that chronic variable stress (CVS) during adolescence can evoke specific effects 63 later in life that may determine either risk or resilience (Cotella et al., 2020, 2019). While the 64 mechanisms implicated in developmental vulnerability to stress dysregulation are widely 65 studied, resiliency after stress is poorly understood. 66 67 Memories acquired under stressful situations are usually strongly consolidated and can be 68 retrieved more easily than those acquired in neutral situations (Meir Drexler and Wolf, 2017). 69 Prior exposure to stress can further enhance the acquisition and/or expression of the fear 70 related behaviors (Blouin et al., 2016), processes linked to the prelimbic (PL) and infralimbic (IL) 71 divisions of the rodent medial prefrontal cortex (Giustino and Maren, 2015). Learned fear has 72 an obvious adaptive value, increasing the chance of survival in life threatening situations 73 (Giustino and Maren, 2015). However, traumatic experiences can lead to exaggerated and

74	prolonged fear responses that can have pathological consequences, as seen in post-traumatic
75	stress disorder (PTSD). Here, individuals experience recurring episodes of involuntary memories
76	associated with an intense stress response, resulting in hyperalertness and avoidance of
77	situations that remind them of the traumatic event (Blouin et al., 2016; Sareen, 2014).
78	Interestingly, although there is a high chance of experiencing trauma in the population, only
79	about 7% of people develop PTSD (Benjet et al., 2016; Kessler et al., 2005), suggesting that
80	resilience or vulnerability to development of PTSD may be determined by experiential and/or
81	genetic factors.
82	
83	Rodents are widely used to study how stress affects learned fear memories. Stress-enhanced
84	fear models usually combine exposure to one or more stressors, with fear responses tested in a
85	conditioning paradigm (Blouin et al., 2016). One of the most widely-used and reproduced
86	models is the single-prolonged stress protocol (SPS) developed by Liberzon (Liberzon et al.,
87	1999, 1997). Exposure to SPS impairs extinction and extinction recall of a fear conditioned
88	response one week later (Knox et al., 2012b, 2012a; Kohda et al., 2007), comprising a late-
89	emerging enhancement of fear, as is characteristic of PTSD.
90	
91	Prefrontal activity and neuronal intrinsic excitability is associated with stress resilience and
92	vulnerability (Kumar et al., 2014). For example, in humans the aberrent fear response in PTSD
93	is associated with ventromedial PFC (homolog to the rodent IL)(Öngür and Price, 2000)
94	hypoactivity and loss of top-down control over the amygdala (Milad et al., 2009). In rodents,
95	SPS also reduces neuronal activation in the IL (Piggott et al., 2019), which may play a role in the

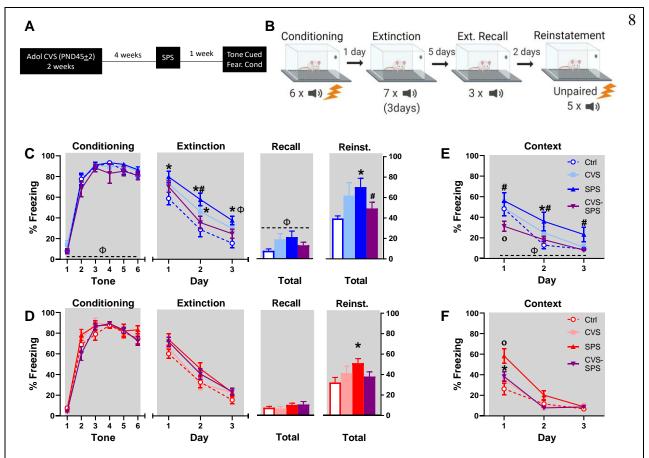
96	abnormal fear extinction deficits associated with SPS. Conversely, optogenetic drive of
97	the mPFC can promote stress resilience, and successful stress coping is linked to elevated mPFC
98	activation after social defeat stress (Covington et al., 2010) . However, the circuitry underlying
99	vulnerability and resilience are largely unknown (Russo et al., 2012).
100	
101	In the present study we assess the impact of adolescent CVS on stress vulnerability or
102	resilience to subsequent SPS in adulthood. Our data indicate that the experience of stress
103	during adolescence blocks fear potentiation following SPS, leading to resilience, a phenomenon
104	that can be linked to descreases in intrinsic excitability of IL mPFC glutamatergic pyramidal
105	neurons.
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108	<u>Results:</u>
109	Experiment 1: Cued conditioned response: Fig. 1.C-F illustrates the conditioned freezing
110	response throughout the different sessions of the fear conditioning paradigm in animals
111	that were submitted to chronic variable stress during adolescence (adol CVS) and later
112	subjected to single prolonged stress (SPS) in adulthood. Animals were submitted to a tone-
113	conditioned paradigm as shown in Fig 1.B. Fig 1.C-D show the effects for each phase of
114	paradigm evaluated.
115	Conditioning: None of the treatments had effects on the conditioning phase. There was an
116	interaction between adol CVS x SPS ( $F_{(1,79)}$ = 5.075, p=0.027) but no individual differences in the
117	Bonferroni test. The significant effect of time (F <sub>(5,395)</sub> = 469.308, p<0.0001) confirmed conditioning

118	of the response.	There was main effect of	sex (	(F <sub>(1,79)</sub> = 7.724,	p=0.007	), with Bonferroni
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- 119 comparisons indicating a general higher expression of freezing in male rats.
- 120 <u>3-day extinction</u>: There were significant effects of SPS ( $F_{(1,76)}$ = 6.698, p=0.012) and time ( $F_{(2,152)}$ =
- 121 475,661, p<0.0001) and an adol CVS x SPS interaction ( $F_{(1,76)}$ = 7.414, p= 0.008), with no effect of
- 122 sex. The CVS x SPS post hoc analysis confirmed that, in general, SPS groups had higher freezing
- 123 levels compared to controls over the whole extinction procedure regardless of sex. When
- 124 performing planned comparisons by sex, only male rats showed statistical significant effects,
- 125 with the SPS group having higher freezing than the control group on all three days (p<0.05) (the
- 126 CVS only group had enhanced freezing only on day 2 (p<0.05)). Prior adol CVS prevented the
- 127 SPS effects, as the double-hit group remained at control levels on all testing days and had
- significantly less freezing than the SPS group on day 2 (p<0.05). Sex differences were observed
- 129 only on day 3, with SPS evoking higher freezing in male rats (p<0.05).
- 130 <u>Recall:</u> The levels of extinction attained were stable for both sexes as tested in the recall phase.
- 131 In this case, five days after extinction, animals received a brief extra extinction session (3 tones)
- 132 to test for possible spontaneous recovery of the conditioned response and to corroborate that
- 133 the levels of freezing in all the groups were equal before reinstatement. We observed a
- main effect of sex (F<sub>(1,76)</sub>=7.958, p=0.006) with males expressing more freezing in general, and a
- 135 triple interaction sex x adol CVS x SPS (F<sub>(1,76)</sub>=4.792, p=0.032) with no group differences emerging
- 136 for any individual Bonferroni comparison.
- 137 <u>Reinstatement:</u> We observed a significant adol CVS x SPS interaction F<sub>(1,76)</sub>= 11.8095,
- 138 p=0.001. Posthoc comparison indicated that regardless of sex, the SPS group expressed higher
- 139 freezing than the control group (p<0.05 respectively), while the double-hit group prevented the

- 140 effect of SPS, remaining at control freezing levels and expressing significantly less freezing time
- 141 than the SPS group (p<0.05). When analyzing the individual responses by sex
- 142 (planned comparisons), we observed that in female rats, only the SPS group differed from
- 143 control (p<0.05). In the case of male rats, the SPS group had higher freezing than control
- 144 (p<0.05) and the adol CVS-SPS group (p<0.05).

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**Figure 1: A)** Experimental timeline. **B)** Fear conditioning paradigm. **C, D)** Previous adolescent stress prevented SPS extinction deficit in male rats and prevented reinstatement of tone-conditioned freezing after SPS in both male and female rats. Multiple planned comparisons: \*p<0.05 compared to same sex control group, # p<0.05 compared to same sex adol CVS-SPS (extinction) or SPS (reinstatement) group.  $\square$  = sex effect. **E-F)** Context conditioning was tested as freezing during the 2 minutes prior to the first tone each day of the extinction procedure. Previous adolescent stress reduced conditioned response to context in SPS animals re exposure (day 1) in both sexes and enhanced extinction of this response in male rats. Male rats had higher freezing than females (p<0.05 <sup> $\square$ </sup>). Individual planned comparisons: \*p<0.05 compared to same sex control group, # p<0.05 compared to same sex adol CVS-SPS, ° p<0.05 compared to all other same sex groups. Data represented as Mean<u>+</u>SEM. Image created with BioRender.com

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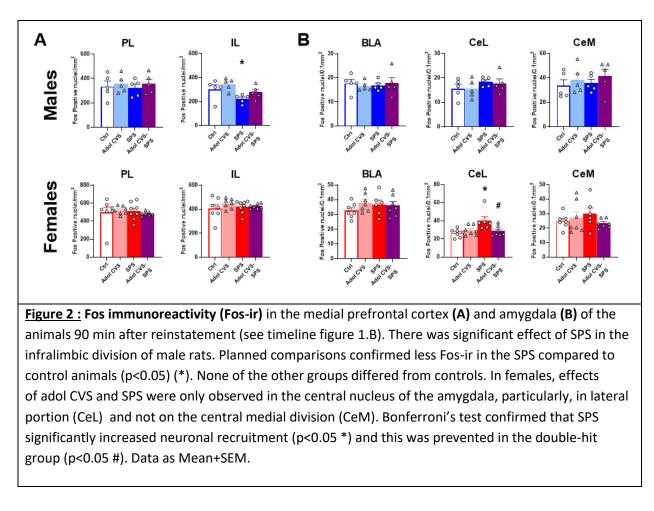
146	Context conditioned response:	To quantify the conditioned	d response to the conditioning
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147 context, we evaluated the freezing response evoked every day of the extinction procedure

- 148 before the first tone was presented and analyzed the progression over 3 days (Fig 1.E-F). We
- observed a main effect of adol CVS F<sub>(1,76)</sub>=4.097, p=0.046 and significant adol CVS x SPS
- 150 interaction F<sub>(1,76)</sub>=11.729, p=0.001. The posthoc analysis indicated that animals subjected to SPS

151	expressed higher freezing when re-exposed to the conditioning context compared to all the
152	other groups (p<0.05 respectively). There was a sex effect $F_{(1,76)}$ =6.971, p=0.01, with male rats
153	having more freezing time. There was also an effect of time F <sub>(2,152)</sub> =172.946, p<0.00001,
154	indicating reduction of freezing to subsequent exposure. Finally, there was a time x sex x
155	SPS (F <sub>(2,152)</sub> =9.253, p=0.0002) interaction. Planned comparisons showed that male rats subjected
156	to SPS alone expressed higher freezing than the control group on day 2 while the group
157	subjected to the double-hit model of stress had less context freezing compared to all the other
158	groups on day 1 (p<0.05 respectively). This difference was maintained against the SPS group on
159	the rest of the days tested (P<0.05 respectively). In the case of females, SPS group had higher
160	freezing to the context than all the other groups on day 1 (p<0.05 respectively) and
161	the adol CVS-SPS group also had more freezing compared to controls on that day (p<0.05).
162 163	Fos expression after reinstatement: Figure 2 summarizes Fos activation (Fos immunoreactivity,
	<u>Fos expression after reinstatement</u> : Figure 2 summarizes Fos activation (Fos immunoreactivity, Fos-ir) in the mPFC and amygdala assessed following the reinstatement trial (90
163	
163 164	Fos-ir) in the mPFC and amygdala assessed following the reinstatement trial (90
163 164 165	Fos-ir) in the mPFC and amygdala assessed following the reinstatement trial (90 min after the onset of the session). In the case of males, we observed a significant effect of SPS
163 164 165 166	Fos-ir) in the mPFC and amygdala assessed following the reinstatement trial (90 min after the onset of the session). In the case of males, we observed a significant effect of SPS in the infralimbic (IL) cortex of male rats, $F_{(1,16)}$ =7.706, p=0.0135. Planned comparisons
163 164 165 166 167	Fos-ir) in the mPFC and amygdala assessed following the reinstatement trial (90 min after the onset of the session). In the case of males, we observed a significant effect of SPS in the infralimbic (IL) cortex of male rats, $F_{(1, 16)}$ =7.706, p=0.0135. Planned comparisons confirmed that the SPS groups had significantly less Fos-ir than the control and CVS animals
163 164 165 166 167 168	Fos-ir) in the mPFC and amygdala assessed following the reinstatement trial (90 min after the onset of the session). In the case of males, we observed a significant effect of SPS in the infralimbic (IL) cortex of male rats, $F_{(1, 16)}$ =7.706, p=0.0135. Planned comparisons confirmed that the SPS groups had significantly less Fos-ir than the control and CVS animals (p<0.05), while the other groups did not differ from controls (Fig 2.A). In females, effects
<ol> <li>163</li> <li>164</li> <li>165</li> <li>166</li> <li>167</li> <li>168</li> <li>169</li> </ol>	Fos-ir) in the mPFC and amygdala assessed following the reinstatement trial (90 min after the onset of the session). In the case of males, we observed a significant effect of SPS in the infralimbic (IL) cortex of male rats, $F_{(1,16)}$ =7.706, p=0.0135. Planned comparisons confirmed that the SPS groups had significantly less Fos-ir than the control and CVS animals (p<0.05), while the other groups did not differ from controls (Fig 2.A). In females, effects of adol CVS and SPS were only observed in the central nucleus of the amygdala, particularly, in
<ol> <li>163</li> <li>164</li> <li>165</li> <li>166</li> <li>167</li> <li>168</li> <li>169</li> <li>170</li> </ol>	Fos-ir) in the mPFC and amygdala assessed following the reinstatement trial (90 min after the onset of the session). In the case of males, we observed a significant effect of SPS in the infralimbic (IL) cortex of male rats, $F_{(1, 16)}$ =7.706, p=0.0135. Planned comparisons confirmed that the SPS groups had significantly less Fos-ir than the control and CVS animals (p<0.05), while the other groups did not differ from controls (Fig 2.A). In females, effects of adol CVS and SPS were only observed in the central nucleus of the amygdala, particularly, in lateral (CeL) but not medial subdivision of the central amygdala (CeM) (SPS $F_{(1, 23)}$ = 5.945,





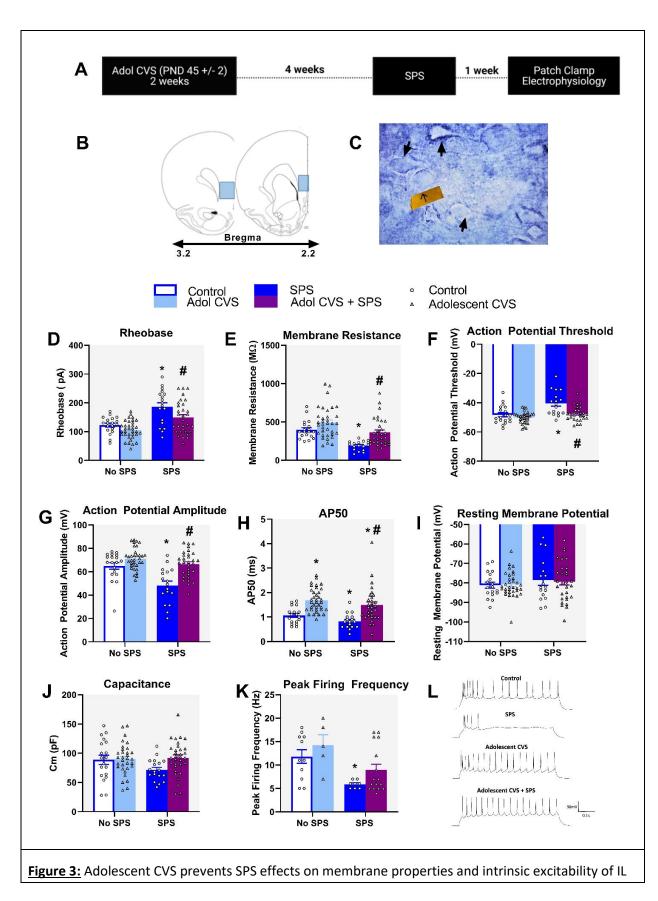
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176	Experiment 3: Electrophysiology: We next investigated the potential cellular mechanisms
177	underlying how adolescent stress can prevent SPS-induced changes in fear behavior and Fos
178	activation in IL in male rats. Male rats were selected based on the clear effects of both SPS and
179	adolCVS/SPS on extinction learning, a process linked to the IL. We measured the intrinsic
180	membrane properties and firing frequency of IL pyramidal neurons in layer V, the major source
181	of subcortical output from the IL (Baker et al., 2018). We found that prior experience of adol
182	CVS prevented SPS-mediated changes in intrinsic excitability of IL pyramidal neurons. There was
183	a significant main effect of SPS ( $F_{(1,99)}$ = 32.3, p<0.0001 <sup>a (table 1)</sup> ) and adol CVS ( $F_{(1,99)}$ = 8.5,

184	p=0.005 <sup>a</sup> ) on rheobase (Fig.3D). SPS significantly increased rheobase compared to the control
185	group (p<0.05), which was prevented by prior adol CVS (p<0.05 compared to SPS). There was a
186	significant main effect of SPS (F <sub>(1,98)</sub> = 41.96, p<0.0001 <sup>b</sup> ), adol CVS (F <sub>(1,98)</sub> = 21.7, p=0.0002 <sup>b</sup> ) and a
187	significant adol CVS X SPS interaction (F <sub>(1,98)</sub> = 6.7, p=0.01 <sup>b</sup> ) on membrane resistance (Fig.3E).
188	Bonferroni's test indicated that SPS significantly decreased membrane resistance (p<0.05),
189	which was prevented by prior adol CVS (p<0.05 compared to SPS). Statistical analysis for
190	membrane resistance was performed on log transformed data. There were significant main
191	effects of SPS ( $F_{(1,92)}$ =19, p<0.0001 <sup>c</sup> ), adol CVS ( $F_{(1,92)}$ =14.3, p=0.0003 <sup>c</sup> ) and a significant adol CVS
192	x SPS interaction ( $F_{(1,92)}$ =5.0, p=0.02 <sup>c</sup> ) on action potential (AP) threshold (Fig 3F) . Bonferroni's
193	test indicated that SPS significantly increased AP threshold compared to controls (p<0.05), with
194	prior adol CVS preventing the effect (p<0.05 compared to SPS). There were main effects of SPS
195	(F <sub>(1,97)</sub> =20, p<0.001 <sup>d</sup> ), adol CVS (F <sub>(1,97)</sub> =25.9, p<0.0001 <sup>d</sup> ) and significant adol CVS x SPS interaction
196	(F <sub>(1,97)</sub> = 6.5, p=0.01 <sup>d</sup> ) on action potential amplitude (Fig.3G). Bonferroni's test indicated that SPS
197	significantly lowered AP amplitude compared to controls (p<0.05), and prior experience adol
198	CVS prevented it (p<0.05 compared to SPS). There was a significant effect of SPS ( $F_{(1,97)}$ =8.3,
199	p=0.005 <sup>e</sup> ) and adol CVS (F <sub>(1,97)</sub> = 42.2, p<0.0001 <sup>e</sup> ) on AP50 (Fig. 3H). Planned comparisons
200	indicated a decrease in AP50 following SPS compared to control (p<0.05) and prior adol CVS
201	prevented that effect (p<0.05 compared to SPS). Increase in AP50 was also observed following
202	adol CVS only (p<0.05 compared to control). Resting membrane potential (RMP) was unaltered
203	among the groups (Fig.3I). 2 way ANOVA revealed no significant main effect of SPS ( $F_{(1,95)}$ =1.3,
204	p=0.3), adol CVS ( $F_{(1,95)}$ =0.02, p=0.9) or SPSx adol CVS interaction on RMP ( $F_{(1,95)}$ =0.1, p=0.7).

205	Membrane capacitance was unaltered among groups (Fig.3J) indicating the treatments did not
206	likely affect cell size. 2 way ANOVA of membrane capacitance revealed no significant main
207	effect of SPS ( $F_{(1,97)}=1.8$ , p=0.2), Adol CVS ( $F_{(1,97)}=3.6$ , p=0.06) or SPSx adol CVS interaction
208	( $F_{(1,97)=}2.9$ , p=0.09). Analysis of peak firing frequency revealed a significant main effect of SPS
209	(F <sub>(1,36)</sub> =13.4, p=0.0008 <sup>h</sup> ). Planned comparisons indicated that SPS significantly reduced peak
210	firing frequency compared to controls (p<0.05), whereas the prior adol CVS+SPS group did not
211	differ from the control group (Fig.3K). Figure 3L shows representative traces of action potentials
212	evoked by 20pA current injection for the respective groups. Together these data indicate that
213	prior experience of adolescent stress is able to prevent the reduction in intrinsic excitability and
214	firing rate of IL layer V pyramidal neurons following SPS.





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pyramidal neurons. (A) Experimental timeline; (B) Schematic of coronal brain sections through PFC where recordings were performed, blue boxes indicate infralimbic region of the PFC; (C) Pyramidal neurons were identified based on somal morphology and presence of prominent apical dendrite . Arrows indicate pyramidal neurons; SPS increased rheobase (D) and decreased membrane resistance (E), whereas prior experience of adol CVS was able to prevent these effects. SPS increased the threshold for action potential (AP) firing (F) and decreased AP amplitude (G), both of which were prevented by prior adol CVS. SPS also reduced the duration of AP (AP 50), which was also blocked by prior adol CVS. It should be noted that adol CVS alone increased AP duration (H). Finally, adol CVS was also able to attenuate the reduction in peak firing frequency observed following SPS (K). No changes in resting membrane potential (I) or membrane capacitance (J) were observed. (L) demonstrates representative traces of action potentials evoked by 20pA current injection for the respective groups; For D,H,K \* and # represents planned comparison effects compared with control and SPS respectively. Data represented as Mean<u>+</u>SEM.

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## 217 Discussion

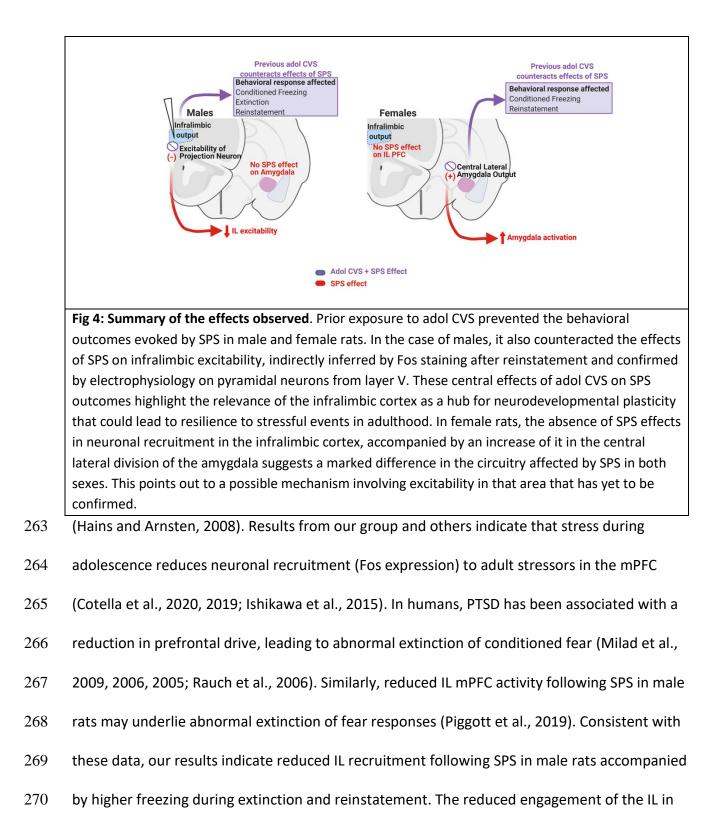
Our results strongly suggest that prior experience with stress during adolescence can evoke a resilient phenotype in the adult, characterized by the prevention of the effects of SPS in a fear conditioning paradigm and on IL pyramidal cell excitability. Our data indicate that the adaptations resulting from exposure to chronic stress during adolescence buffer the behavioral impact of a model of traumatic stress in adulthood, blocking known effects of SPS on subsequent fear potentiation.

224	While prior CVS is able to block enhancement of reinstatement in both sexes, it appears to do
225	so by distinct neuronal mechanisms. Reversal of SPS-induced resinstatement was accompanied
226	by IL hypoactivity in males and CeL recruitment in females, suggestion differential engagement
227	of cortical regions regulating extinction (males) vs. fear expression (females) across the sexes.
228	Notably extinction deficits were only observed in males, consistent with the known role of the
229	IL in extinction of conditioned fear. A role for the IL in CVS-induced resilience in males is further
230	supported by hypoactivity of layer V pyramidal cells following SPS, which is blocked by prior
231	adolescent CVS (Figure 4).
232	
233	Stress during development is generally thought to evoke negative behavioral effects later in life
234	(Begni et al., 2020; Bourke and Neigh, 2011; Cotella et al., 2020, 2019; Green et al., 2013;
235	Negrón-Oyarzo et al., 2014; Wilkin et al., 2012; Wulsin et al., 2016). However, prior studies also
236	support the ability of adolescent stress to confer stress resilience in adulthood, using a number
237	of stress models, e.g., intermittent predator stress (Kendig et al., 2011) and predictable chronic
238	mild stress (PCMS) (Suo et al., 2013). Adolescent PCMS enhances extinction and prevents
239	reinstatement and spontaneous recovery in a fear conditioning model evaluated immediately
240	and one week following PCMS (Deng et al., 2017). Consistent with our results, these suggest
241	that adolescent stress enhancement of resilience endures well beyond the time of exposure.
242	The impact of adolescent stress differs thatt of stress imposition earlier in life, where the data
243	generally report detrimental effects of stress (Johnson and Casey, 2014; Lukkes et al., 2009;
244	McEwen, 2007; Vyas et al., 2002; Yee et al., 2012).
245	

246	Although some authors proposed that the resilient phenotype is promoted by the predictability
247	of the stressors (Deng et al., 2017), the general unpredictable nature of CVS suggests that the
248	resilience mechanism is independent of response habituation In our study, the adol CVS
249	paradigm employs exposure to swim and restraint, albeit in an isolated and time-attenuated
250	fashion relative to SPS. Nonetheless, the length and consecutive application of the stressors
251	during SPS represents a distinct and intense unpredictable experience. This contention is
252	supported by a recent report demonstrating behavioral resilience to SPS using exposure to
253	completely different stressors during adolescence (Chaby et al., 2020).
254	Timing combined with stressor modality seem to be an important factor as well. In this sense,
255	prior work indicates adult resilience even after a single intense stressor protocol at PND37
256	(Moore et al., 2014) or following 3 days of predator related stressors at PND33-35 (Chaby et al.,
257	2020). In contrast, a 3-day pre-pubertal exposure to variate stressors failed to attenuate
258	exaggeration of fear responses in adulthood (Tsoory et al., 2010; Yee et al., 2012), indicating
259	that developmental timing is critical for establishment of resilience.
260	

### 17

### 262 Hypoactivity of the medial PFC is observed in several mental health disorders, including PTSD



271	response to conditioned cues during the reinstatement procedure in the SPS male group also
272	suggests a possible reduction of IL activity occurring during the prior extinction procedure,
273	which would explain the impairment of extinction learning previously observed only in males
274	rats.
275	Neurons in the mPFC are specifically activated during stressful situations and modulate their
276	responses to subsequent exposure to the same stressor experience (Jackson and Moghaddam,
277	2006), thus playing a critical role in eliciting adaptive responses to aversive stimuli (Milad and
278	Quirk, 2002). Modification of PFC responses to the same stimulus can be mediated through
279	altered glutamatergic or dopaminergic drive onto the mPFC projection neurons (Bagley and
280	Moghaddam, 1997; Jackson and Moghaddam, 2004). Adolescent social defeat decreases adult
281	NMDA receptor expression in the IL PFC, and also reduces freezing to fear conditioning (Novick
282	et al., 2016). Thus, the enhanced excitability we observed in SPS rats with prior history of adol
283	CVS might be a long-term adaptation to the reduced excitatory drive that may occur following
284	adol CVS.
285	Intrinsic membrane properties play an important role indetermining the prefrontal
286	excitatory/inhibitory balance, as they directly shape neuronal output by influencing the
287	probability of a neuron firing an action potential in response to synaptic inputs (Anderson et
288	al., 2019). Our data indicate that the IL intrinsic excitability changes do not manifest at baseline
289	conditions under adol CVS alone, consistent with prior work in mice resilient to social defeat
290	(Friedman et al., 2014; Han and Nestler, 2017). Thus it is possible that prior adol CVS may serve
291	to prime the pyramidal cells to react appropriately when faced with the second hit of SPS,
292	compensating for reduced excitability associated with SPS. The exact mechanism underlying the

293	altered excitability of IL pyramidal neurons observed in our study is yet to be determined.
294	Possibilities include lasting alteration in ion channel function (e.g., G protein-gated inwardly
295	rectifying K+ channels) (Anderson et al., 2019; Hearing et al., 2013) or modulation on
296	excitability by hyperpolarization-activated cyclic nucleotide–gated channels (Shah, 2014).
297	Further work is needed to identify the specific ionic mechanisms by which adolescent stress can
298	protect against future stressors during adulthood. (Matovic et al., 2020).
299	
300	Conclusion:
301	Our results support the idea that certain combinations of stressful situations during
302	adolescence can be beneficial, evoking resilience to stress in adult life. We propose that, in
303	rats, chronic variable stress during late adolescence determines differential activation or
304	recruitment of the IL in response to intense stress in adulthood. This rearrangement of
305	prefrontal activity results in a phenotype that is resilient to stress-enhanced fear learning,
306	reducing contextual response, facilitating extinction and preventing reinstatement of the fear

308 susceptibility of resilience toPTSD. Furthermore, our data guide our next steps to understand

309 the sex specific effects in behavioral resilience following adolescent stress that pointed to

310 fundamental sex differences in stress reactive brain regions and their involvement in

311 resiliencelt would be important as well to determine which stressor type might result in a

312 positive emotional valence and whether that ultimately evokes a resilience response. For

313 example, the exercise (swim) or social component (crowding) of the adolescent CVS regimen

might help individuals cope with subsequent stress in adulthood (Herring et al., 2010; Ozbay et

315	al., 2007). The next challenge is to find the most efficient developmental triggers for the	ۆ
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- 316 generation of resilience to the effects of adult stress, possibly including positive developmental
- 317 interventions, with the goal of reducing the incidence of stress-related affect conditions,
- 318 including PTSD.
- 319

## 320 Materials and Methods

- 321 Animals: Male and female Sprague Dawley rats were bred in-house, weaned at postnatal day
- 322 21 (PND21) and pair-housed in standard clear cages (20 cm height x22 cm width x43 cm length)
- 323 under a 12 h light/ 12 h dark cycle (lights on at 7:00 am), at constant room temperature (23+2
- 324 °C), with *ad libitum* access to food and water. All tests were performed during the light cycle,
- 325 between 09:00 AM and 2:00 PM. All procedures and care performed in the animals were
- 326 approved by the University of Cincinnati Institutional Animal Care and Use Committee.

Table	of str	ressors
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328 <b>A</b>	Adolescent chronic	1 h shaker stress (100 rpm)	variable stress
		1 h cold room (4 °C)	
329 (	(adol CVS): After	30 min hypoxia exposure (8 % O2 and 92 % N2)	weaning the
<u>52)</u>		30 min restraint in plexiglass adjustable cylinder	wearing the
220		10 min group cold swim (17 <u>+</u> 1 °C)	
330 a	animals, a cohort	20 min group warm swim (32+1 °C )	was randomly

331	assigned to 4 experimental groups Control (No CVS – No SPS), Adol CVS (only chronic stress in
332	adolescence), SPS (only single prolonged stress in adulthood) and CVS-SPS or double-hit group
333	(adol CVS + SPS in adulthood). The remaining animals from the litter were assigned to control
334	and adol CVS groups for the evaluation in the novel object test and Morris water maze to assess
335	general cognitive function. No more than 2 littermates were included in each experimental
336	group. Rats were subjected to our standard 14 days CVS protocol during late adolescence (PND
337	45 <u>+</u> 2) following prior work from our group (Jankord et al., 2011). The <u>CVS paradigm</u> included a
338	set of unpredictable variable stressors applied twice daily (AM and PM, see table). In addition,
339	animals were exposed to overnight stressors every two days: 1) individual housing, 2) social
340	crowding (six rats per cage). Control animals were maintained in the same room and only
341	handled for normal husbandry. Except for overnight stressors, all the procedures related to CVS
342	were performed in a different room. Following CVS, animals were allowed to recover for 4
343	weeks to be then subjected to the single prolonged stress (SPS) protocol evaluated during
344	adulthood. The timeline of the experiment is shown in Fig. 1.
345	
346	
347	

348 Single prolonged stress (SPS): A groups of rats subjected to adolescent CVS (and their
 349 respective controls) were subjected to SPS 4 weeks after the end of CVS. For this, starting at

350	9:00 AM, animals were restrained for 2 hours in plexiglass adjustable cylinders dimensions
351	20cm X 7 cm. Immediately after 2h elapsed, they were subjected to 20 minutes of group swim
352	(25 + 2°C) in a bucket with dimensions 50cm X 33cm. Immediately after they were retrieved
353	from the water and the excess of water was eliminated from their coat, they were allowed to
354	recover for 15 minutes in their home cage with their cage mate. Next, rats were placed into a
355	glass chamber where they were exposed to ether vapor until loss of consciousness (loss of
356	righting position and palpebral reflex). Immediately after unconsciousness was confirmed they
357	were placed in a cage with clean bedding material and returned to their housing room. SPS was
358	administered in a novel experimental room. After SPS, animals remained undisturbed for a
359	week (the usual time required to observe SPS effects (Knox et al., 2012b; Kohda et al., 2007;
360	Wen et al., 2015)). Control rats remained in the housing room during the application of SPS and
361	were only subjected to cage change during that time.
362	
363	Cued Fear Conditioning Paradigm: A week after SPS all groups were subjected to an auditory
364	tone cued fear conditioning protocol to evaluate the performance of the animals during the
365	conditioning, extinction, and reinstatement sessions. Behavioral evaluation occurred between
366	8:30 AM – 2:00 PM. Conditioning: animals were allowed to explore the conditioning chamber
267	$f_{ab}$ 2 min of the subject the subject of the s

367 for 3 min, after which they were exposed to a 20s auditory tone (conditioned stimulus, CS), co-

368 terminating with a 0.5s, 0.45mA shock (unconditioned stimulus, US), with an inter-trial interval

- 369 (ITI) of 120s. The tone-shock pairings were repeated six times. Data were presented as %
- 370 Freezing over CS time (20s). **Extinction:** 24 h after conditioning animals were subjected to 3
- 371 consecutive days of extinction in which they were exposed to 7 repetitions of the CS with 120s

372	ITI in the same conditioning chambers. Data were presented as Total % Freezing over the 3 days
373	of the extinction procedure, corresponding to the cumulative % of freezing expressed over the
374	cumulative CS time during the whole extinction session (7x20s: 140s). Recall test: 5 days after
375	the last extinction session animals were placed in the conditioning chambers and exposed to 3
376	repetitions of the CS, with 120 s ITI with the purpose of evaluating spontaneous recovery of the
377	conditioned response. Data were presented as Total % Freezing calculated as cumulative % of
378	freezing expressed over the cumulative CS time during the session (3x20s: 60s).
379	Reinstatement: 48h after the recall session animals were exposed to a reinstatement session
380	in the same conditioning chambers, consisting of 3 min of chamber exploration followed by 1
381	unpaired shock (US) (0.45 mA, 0.5s). After a delay of 120s animals were exposed to 5
382	repetitions of the CS (120s ITI). Data were expressed as total % Freezing during tones after
383	unpaired shock, Total % Freezing over the cumulative duration of CS time (5x20s= 100s). Rats
384	were euthanized 90 min after the onset of the session to obtain brains for
385	immunoshitochemistry.
386	Context fear conditioning test: As a way of quantifying the conditioned response to the
387	context, we evaluated the initial freezing response exerted every day of the extinction
388	procedure before the first tone was presented and analyzed the progression of this response
389	over the 3 days. Data were expressed as % Freezing over the initial pre-tone exploration time
390	(120s).
391	The conditioned response evaluated was freezing behavior, considered as general absence of
392	movement, which was scored using a video tracking system (EthovisionXT-Noldus). We did not
393	consider other behaviors as, in our set up, animals do not show conditioned darting or any

394	other escape related behaviors, with both sexes consistently exhibiting freezing in response to
395	the tone. 90 minutes after reinstatement rats were euthanized to obtain their brains for
396	immunodetection of Fos, a marker of neuronal activation. Results were analyzed considering
397	sex as a variable.
398	Group composition fear conditioning experiment: The experiment was originally designed with
399	10 male rats per group and 12 female rats per group. A male rat assigned to CVS died of
400	unknown reasons during the first week of CVS resulting in a n of 9 for that group. A spare rat
401	was added to that home cage to avoid having to exclude the house mate of the lost individual
402	due to isolation. Later, on extinction day 2, there was a malfunction of the conditioning
403	chambers which resulted in shocking the animals as soon as the session started. This resulted in
404	the exclusion of the 3 animals run during that session (2 controls, 1 CVS). Therefore beginning
405	day 2 of the paradigm, the n for control and CVS males was 8.
406	In the case of females, we originally planned to include 12 females per group, nevertheless, due
407	to a mistake during the day of SPS, 1 cage of CVS animals was wrongfully submitted to SPS in
408	lieu of a cage without CVS planned to get SPS. That resulted in an imbalance in the final number
409	of animals per group: Control and CVS-SPS groups had n=14 and CVS and SPS groups had n=10.
410	The experimental timeline is shown in Figure 1.
411	Immunohistochemistry: Rats were euthanized with an overdose of sodium pentobarbital and
412	immediately transcardially perfused with 0.9 % saline followed by 4 % paraformaldehyde in
413	0.1M phosphate buffer (PBS), pH 7.4. Brains were post-fixed in 4 % paraformaldehyde at 4 $^\circ$ C
414	for 24 h, then transferred to 30 % sucrose in 0.1 M PBS at 4 $^\circ$ C where they were kept until tissue
415	processing. Brains were sliced into serial 35 $\mu m$ coronal sections using a freezing microtome

41.6	
416	(-20 °C). Sections were collected into multi-well plates containing cryoprotectant solution (30 %
417	Sucrose, 1 % polyvinyl-pyrolidone (PVP-40), and 30 % ethylene glycol in 0.1M PBS). For
418	immunolabeling, sections were washed 6×5 min in 0.01M PBS at room temperature (RT). After
419	being rinsed, sections were incubated with 1 % sodium borohydride in 0.1 M PBS for 30 min at
420	RT. After rinsing 6×5 min 0.1 M PBS, they were incubated in 3 % hydrogen peroxide diluted in
421	0.1M PBS for 20 min. Subsequently, brain slices were rinsed 6×5 min and 4×15 min in 0.1M PBS
422	and then incubated in blocking solution (4 % normal goat serum (NGS), 0.4 % TritonX-100, 0.2 %
423	bovine serum albumin (BSA) in 0.1M PBS, 2 h at RT. Sections were then incubated with c-Fos
424	rabbit polyclonal antibody (1:1000, Santa Cruz, sc-52) in blocking solution, overnight at RT. The
425	next day, sections were rinsed (3×5 min) in 0.1 M PBS at RT, followed by incubation with
426	secondary antibody (biotinylated goat anti-rabbit, 1:400; Vector Laboratories, BA1000) in
427	blocking solution at RT for 1 h. Sections were again rinsed (3×5 min) in 0.1 M PBS and then
428	reacted with avidin-biotin horseradish peroxidase complex (1:800 in 0.1 M PBS; Vector
429	Laboratories) for 1 h at RT. Sections were then rinsed (3×5 min) in 0.1 M PBS and then
430	developed with a 8 min incubation in DAB-Nickel solution: 10 mg 3,3'-diaminobenzidine (DAB)
431	tablet (Sigma, DF905), 0.5 ml of a 2 % aqueous nickel sulfate solution, 20ul of 30 % hydrogen
432	peroxide in 50 ml of 0.1 M PBS. Sections were finally washed in PBS, mounted on superfrost
433	slides (Fisherbrand, Fisher), allowed to dry, dehydrated in xylene, and then coverslipped in DPX
434	mounting medium (Sigma). Sections from 5 to 7 brains per experimental group were processed.
435	For analysis, we counted 3 bilateral sections from equivalent coordinates covering the anterior,
436	medial and posterior portions of the prefrontal cortex (PFC), nuclei in the amygdala: central
437	amygdaloid nucleus (CeAm), medial amygdaloid nucleus (MeAm), lateral amygdaloid nucleus

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438	(LAm) and basolateral amygdala (BLA). Each brain region limit and coordinates were defined
439	following a brain atlas (Paxinos and Watson, 2007). The number of Fos positive nuclei was
440	counted with a semiautomatized method using ImageJ software (National Institutes of Health,
441	Bethesda, MD). Counts of Fos immunoreactive cells were obtained from each area of interest
442	using the Analyze Particle tool, using a defined common level of background intensity, nuclei
443	circularity and size (previously validated manually). Once the number of Fos positive nuclei was
444	determined in each section, the relative density of the population of immunopositive cells was
445	calculated by dividing this number by the area measured in each case. Considering that the
446	number of animals used simultaneously in the study makes it logistically complicated to process
447	all the tissue at the same time or separating in batches and obtain homogenous immune
448	staining, we decided to prioritize the within sex results for the Fos quantification and tissue
449	from male and female rats was processed and analyzed independently.
450	
451	
452	Electrophysiology: Following the same timeline as the behavioral studies, whole-cell patch
453	clamp recordings were obtained from layer V pyramidal neurons in the IL PFC. Details of slice
454	preparation and electrophysiology recordings from adult PFC are given below.
455	
456	Slice Preparation: Rats were sacrificed 7 days post SPS. Animals were deeply anesthetized with
457	sodium pentobarbital (390 mg/kg, Fatal-Plus) and decapitated. A warm slicing protocol was
458	used to prepare healthy adult rat brain slices as previously described (Ting et al. 2014) Brains

used to prepare healthy adult rat brain slices as previously described (Ting et al., 2014). Brains

459 were quickly isolated and dura matter carefully removed before removing the cerebellum. The

27

460	brain was then immediately glued to a cutting stage immersed in NMDG solution (92 mM
461	NMDG, 2.5 mM KCl, 1.2 mM NaH <sub>2</sub> PO <sub>4</sub> , 30 mM NaHCO <sub>3</sub> , 20 mM HEPES, 25 mM glucose, 5 mM
462	sodium ascorbate, 2 mM thiourea, 3 mM sodium pyruvate, 10 mM MgSO <sub>4</sub> , and 0.5 mM CaCl <sub>2</sub> )
463	at a temperature of 34-36°C and continuously bubbled with 95% oxygen and 5% carbon-
464	dioxide. Coronal slices containing the mPFC were sectioned at 300 $\mu m$ thickness using a
465	vibrating microtome (7000smz-2; Campden Instruments, Lafayette, IN) with ceramic blades
466	(Campden Instruments) at an advance speed of 0.03 mm/s. Vertical vibration of the blade was
467	manually tuned in accordance with the user manual, and was set to $0.1-0.3~\mu$ m. Bath
468	temperature was kept within the desired range of 34-36°C, by adding warm or cold water into
469	the external chamber of the slicer, and was monitored throughout the cutting procedure with a
470	conventional mercury/glass thermometer. The slices were allowed to recover for 1 hour in
471	oxygenated NMDG solution at 34-36°C. At the end of recovery, slices were transferred to a
472	chamber containing oxygenated artificial CSF solution (125 mM NaCl, 2.5 mM KCl, 25 mM
473	NaHCO <sub>3</sub> , 1 mM NaH <sub>2</sub> PO <sub>4</sub> , 25 mM glucose, 1 mM MgCl <sub>2</sub> , 2 mM CaCl <sub>2</sub> ) for at least 30 minutes at
474	room temperature after which the slices were ready for in vitro patch clamp recordings for the
475	next 1-6 hours.

476 <u>Electrophysiological recording</u>: Brain slices were transferred to a submersion-type recording 477 chamber (RC-22; Warner Instruments, Hamden, CT) and mounted onto the stage of an upright 478 microscope (BX51WI, Olympus, Center Valley, PA). Slices were then perfused at a flow rate of 479 2–4 ml/min with oxygenated aCSF at 34-36°C. Patch electrodes were constructed from thin-480 walled single-filamented borosilicate glass (1.5 mm outer diameter; World Precision 481 Instruments) using a microelectrode puller (P-97; Sutter Instruments, Novato, CA) and filled

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with an intracellular solution (130 mM K-gluconate, 10 mM KCl, 10 mM HEPES, 10 mM sodium phosphocreatine, 4 mM MgATP, and 0.3 mM Na<sub>2</sub>-GTP, pH 7.2, 295-300 mOsm). Pipette resistances ranged from 4 to 6 M $\Omega$ , and seal resistances were > 1 G $\Omega$ .

485 Whole-cell patch clamp recordings were obtained from layer V pyramidal in the mPFC using a 486 MultiClamp 700B Amplifier (Molecular Devices, Sunnyvale, CA). Pyramidal neurons were easily 487 identifiable in the slice based on soma morphology and the presence of a prominent apical 488 dendrite. In the current clamp mode, once a stable membrane potential was observed, intrinsic 489 excitability measurements were performed at the resting membrane potential (RMP). Cell 490 capacitance was measured using the membrane test function in pClamp 10.4 (Molecular 491 Devices, Sunnyvale, CA, USA). All measurements of intrinsic membrane excitability were taken 492 from RMP. Rheobase was measured by applying depolarizing current steps (10 pA steps, 100 493 msec duration) until the generation of a single action potential (AP). Input resistance was 494 measured by applying a hyperpolarizing current step (-20 pA) via the patch electrode. AP 495 threshold was defined as the Vm measured 0.5ms before the peak in the second derivative of 496 the waveform. The action potential threshold and amplitude were analyzed for the first spike at 497 the rheobase current injection. Duration of APs ( $AP_{50}$ ) was determined by measuring the 498 elapsed time from the peak of the AP to 50% maximum amplitude during the repolarization 499 phase. Cells with RMP lower than -55mV were included for the final analysis. Outliers were 500 detected using the Grubbs' test (GraphPad Software) and removed from analysis. For AP<sub>50</sub>: 1 501 neuron from control and adol CVS+ SPS group; RMP: 1 neuron from control and adol CVS; AP 502 amplitude: 1 neuron from adol CVS group; Membrane resistance: 1 neuron from adol CVS + SPS 503 group; Capacitance: 1 neuron from control and 3 neurons from adol CVS group and for AP

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504 threshold 3 neurons from adol CVS and adol CVS+SPS were removed as outliers. Firing rate was 505 measured in response to 20 pA and 1 sec duration depolarizing current steps in the current 506 clamp configuration. Only cells that produced greater or equal to 5 action potentials for up to 507 240 pA current injection were included in the final analysis. Peak firing frequency was reported 508 as the maximum number of action potentials generated in a given neuron following a current 509 injection step. Number of cells used for electrophysiology are outlined in table 1. Membrane 510 voltages were adjusted for liquid junction potentials (approximately -14 mV) calculated using 511 JPCalc software (P. Barry, University of New South Wales, Sydney, Australia; modified for 512 Molecular Devices). Signals were filtered at 4–6 kHz through a –3 dB, four-pole low-pass Bessel 513 filter and digitally sampled at 20 kHz using a commercially available data acquisition system 514 (Digidata 1550A with pClamp 10.4 software). Data were recorded using pClamp, version 10.4 515 (Molecular Devices) and stored on a computer for offline analysis. Recordings were detected 516 and analysed using Clampfit (Molecular Devices).

## 517 Statistical analysis

518 Fear conditioning data were analyzed by repeated measurements ANOVA (adol CVS x SPS x Sex 519 x time), with a level of significance of p < 0.05. Novel object recognition and Morris water maze 520 data were analyzed by 2x2 ANOVA (Adol CVS x Sex). Fos data were analyzed by a 2-way ANOVA 521  $(2 \times 2 \text{ design: adol CVS x SPS})$  within each sex with a level of significance p < 0.05. 522 Electrophysiology data were analyzed by 2x2 ANOVA (adol CVS x SPS). Details of number of cells 523 used for electrophysiology data analysis are outlined in table 1. In the cases where significant 524 differences and interactions were found, the Bonferroni test was used for post hoc analysis. In 525 the case there were only main effects of the factors but no significant interaction between

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- 526 them, we performed planned comparisons to evaluate individual differences. Data were
- 527 analyzed using STATISTICA 7.0 (Statsoft, Inc., Tulsa, USA) and Prism 8 (GraphPad Software, La
- 528 Jolla California USA). Data not following a normal distribution were log transformed for
- 529 statistical analysis.

# 530 <u>Table 1.</u>

531 Table depicts number of cells used for the electrophysiology data analysis

	Number of cells
а	Rheobase was measured in 19 neurons in the control group, 17 neurons in SPS group, 35 neurons in adolescent stress group, 31 neurons in adolescent stress and SPS group n=2-3 animals/group
b	Membrane resistance was measured in 19 neurons in control group, 17 neurons in SPS group, 35 neurons in adolescent stress group and 30 neurons in the adolescent stress and SPS group n=2-3 animals/group
С	AP threshold was measured in 19 neurons in control group, 17 neurons in SPS group, 32 neurons in adolescent stress group and 28 neurons in the adolescent stress and SPS group n=2-3 animals/group
d	AP amplitude was measured in 19 neurons in control group, 17 neurons in SPS group, 34 neurons in adolescent stress group and 31 neurons adolescent stress and SPS group n=2-3 animals/group
е	AP <sub>50</sub> was measured in 18 neurons in control group, 17 neurons in SPS group, 35 neurons in adolescent stress group and 30 neurons in the adolescent stress and SPS group n=2-3 animals/group
f	Resting membrane potential was measured in 18 neurons in control group, 17 neurons in SPS group, 34 neurons in adolescent stress group and 31 neurons in the adolescent stress and SPS group n=2-3 animals/group
g	Capacitance was measured in 19 neurons in control group, 17 neurons in SPS group, 32 neurons in adolescent stress group and 31 neurons in the adolescent stress and SPS group n=2-3 animals/group
h	Peak firing frequency was measured in 11 neurons in control group, 8 neurons in SPS group, 5 neurons in adolescent stress group and 16 neurons in the adolescent stress and SPS group n=2-3 animals/group

532

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542	
543	Competing Interests: The authors declare that this study was conducted in the absence of any
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545	
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