

1 **Asymmetric structures and conformational plasticity of the uncleaved full-length**
2 **human immunodeficiency virus (HIV-1) envelope glycoprotein trimer**

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55 **ABSTRACT**

56 The functional human immunodeficiency virus (HIV-1) envelope glycoprotein (Env)
57 trimer [(gp120/gp41)₃] is produced by cleavage of a conformationally flexible gp160
58 precursor. Gp160 cleavage or the binding of BMS-806, an entry inhibitor, stabilizes the
59 pre-triggered, “closed” (State-1) conformation recognized by rarely elicited broadly
60 neutralizing antibodies. Poorly neutralizing antibodies (pNAbs) elicited at high titers
61 during natural infection recognize more “open” Env conformations (States 2 and 3)
62 induced by binding the receptor, CD4. We found that BMS-806 treatment and
63 crosslinking decreased the exposure of pNAb epitopes on cell-surface gp160; however,
64 after detergent solubilization, crosslinked and BMS-806-treated gp160 sampled non-
65 State-1 conformations that could be recognized by pNAbs. Cryo-electron microscopy of
66 the purified BMS-806-bound gp160 revealed two hitherto unknown asymmetric trimer
67 conformations, providing insights into the allosteric coupling between trimer opening
68 and structural variation in the gp41 HR1_N region. The individual protomer structures in
69 the asymmetric gp160 trimers resemble those of other genetically modified or antibody-
70 bound cleaved HIV-1 Env trimers, which have been suggested to assume State-2-like
71 conformations. Asymmetry of the uncleaved Env potentially exposes surfaces of the
72 trimer to pNAbs. To evaluate the effect of stabilizing a State-1-like conformation of the
73 membrane Env precursor, we treated cells expressing wild-type HIV-1 Env with BMS-
74 806. BMS-806 treatment decreased both gp160 cleavage and the addition of complex
75 glycans, implying that gp160 conformational flexibility contributes to the efficiency of
76 these processes. Selective pressure to maintain flexibility in the precursor of functional

- 77 Env allows the uncleaved Env to sample asymmetric conformations that potentially
- 78 skew host antibody responses toward pNAbs.

79 **IMPORTANCE**

80 The envelope glycoprotein (Env) trimers on the surface of human immunodeficiency
81 virus (HIV-1) mediate the entry of the virus into host cells and serve as targets for
82 neutralizing antibodies. The functional Env trimer is produced by cleavage of the gp160
83 precursor in the infected cell. We found that the HIV-1 Env precursor is highly plastic,
84 allowing it to assume different asymmetric shapes. This conformational plasticity is
85 potentially important for Env cleavage and proper modification by sugars. Having a
86 flexible, asymmetric Env precursor that can misdirect host antibody responses without
87 compromising virus infectivity would be an advantage to a persistent virus like HIV-1.

88

89 Key words: Env, cleavage, furin, processing, conformation, cryo-electron microscopy,
90 structure, antibody, asymmetry

91

92 **INTRODUCTION**

93 Human immunodeficiency virus (HIV-1), the etiologic agent of acquired
94 immunodeficiency syndrome (AIDS), utilizes a metastable envelope glycoprotein (Env)
95 trimer to engage host receptors and enter target cells (1). The functional Env trimer
96 consists of three gp120 exterior subunits and three gp41 transmembrane subunits (1-3).
97 During virus entry, gp120 engages the receptors, CD4 and CCR5/CXCR4, and gp41
98 fuses the viral and cell membranes (4-16). Env is the only virus-specific protein on the
99 viral surface and is targeted by host antibodies (17-20).

100

101 In infected cells, the HIV-1 Env trimer is synthesized in the rough endoplasmic
102 reticulum (ER), where signal peptide cleavage, folding, trimerization and the addition of
103 high-mannose glycans take place (21-24). The resulting gp160 Env precursor is
104 transported to the Golgi apparatus, where some of the glycans are modified to complex
105 types and proteolytic cleavage by host furin-like proteases produces the gp120 and
106 gp41 subunits (25-41). The proteolytically processed, mature Env trimers are
107 transported to the cell surface and incorporated into virions.

108

109 On the membrane of primary HIV-1, Env exists in a pre-triggered, “closed”
110 conformation (State 1) that resists the binding of commonly elicited antibodies (42-47).
111 Binding to the receptor, CD4, on the target cell releases the restraints that maintain Env
112 in State 1, allowing transitions through a default intermediate conformation (State 2) to
113 the pre-hairpin intermediate (State 3) (42,48,49). In the more “open” State-3 Env
114 conformation, a trimeric coiled coil composed of the gp41 heptad repeat (HR1) region is

115 formed and exposed, as is the gp120 binding site for the second receptor, either CCR5
116 or CXCR4 (50-58). Binding to these chemokine receptors is thought to promote the
117 insertion of the hydrophobic gp41 fusion peptide into the target cell membrane and the
118 formation of a highly stable six-helix bundle, which mediates viral-cell membrane fusion
119 (14-16,59-62).

120

121 The ability of HIV-1 to establish persistent infections in humans requires an Env
122 trimer that minimally elicits neutralizing antibodies and resists the binding of antibodies
123 generated during the course of natural infection. In addition to a heavy glycan shield
124 and surface variability, the conformational flexibility and plasticity of Env may help HIV-1
125 avoid the host antibody response (45,47,63-66). Flexible Envs could present epitopes
126 that are not exposed on the State-1 Env trimer, misdirecting host antibodies away from
127 the functional virus spike. The vast majority of antibodies elicited by Env during natural
128 HIV-1 infection are unable to bind the functional State-1 Env trimer, and instead
129 recognize downstream conformations (States 2, 2A and 3) (67-71). These antibodies
130 cannot access their epitopes once the virus has bound CD4 and therefore do not
131 neutralize efficiently (70). Uncleaved Envs that assume State-2/3 conformations are
132 abundant on the surface of HIV-1-infected cells, in some cases reaching the cell surface
133 by bypassing the Golgi (72). Poorly neutralizing antibodies (pNAbs) with State-2/3
134 specificity typically recognize these uncleaved Envs more efficiently than cleaved Env
135 (73-79). Crosslinking the uncleaved cell-surface Env exerted effects on Env antigenicity
136 similar to those resulting from gp120-gp41 cleavage, suggesting that the uncleaved Env
137 might be more flexible than mature Env (80). Indeed, recent single-molecule

138 fluorescence resonance energy transfer (smFRET) analysis confirmed that, in contrast
139 to the dominant State-1 conformation of the wild-type Env, an Env mutant unable to be
140 proteolytically processed due to an alteration of the cleavage site occupies States 2 and
141 3 more frequently than State 1 (81). Thus, the abundant, cell-surface-accessible and
142 conformationally heterogeneous uncleaved Env could misdirect host immune responses
143 away from the elicitation of broadly neutralizing antibodies, which generally recognize
144 the State-1 Env conformation (42,45,46,48,81). Broadly neutralizing antibodies (bNAbs)
145 typically appear after several years of HIV-1 infection and only in a minority of HIV-1-
146 infected individuals (83-91).

147

148 Here, we investigate the conformation of the uncleaved HIV-1 Env trimer, both on
149 the cell surface and purified from membranes. Cryo-electron microscopy (cryo-EM)
150 reconstructions reveal that purified uncleaved Envs preferentially assume asymmetric
151 trimer conformations, exposing epitopes for pNAbs. We identified a gp41 region in
152 which structural changes are coupled to the asymmetric opening of the Env trimer. We
153 tested the effect of a State-1-stabilizing gp120 ligand, BMS-378806 (herein called BMS-
154 806) on the cleavage and glycosylation of the wild-type Env. Our findings indicate the
155 importance of conformational plasticity of the uncleaved HIV-1 Env trimer for efficient
156 proteolytic maturation, complex glycan addition and evasion of host antibody responses.

157

158 **RESULTS**

159 **Analysis of the conformation of uncleaved HIV-1 Env on cell surfaces**

160 Cleavage of the HIV-1 Env precursor affects its antigenicity (73-79). The recognition of
161 the uncleaved and mature HIV-1_{JR-FL} Envs on the surface of transfected HOS cells
162 exhibited distinct patterns for State 1-preferring bNAbs versus State 2/3-preferring
163 pNAbs (Fig. 1A). Whereas the uncleaved Env was bound by antibodies capable of
164 recognizing all three states, the mature Env was bound only by the potently neutralizing
165 antibodies with State-1 preferences. The uncleaved Env apparently samples multiple
166 conformations, but the mature Env assumes a conformation that precludes the binding
167 of pNAbs.

168

169 The HIV-1 entry inhibitor, BMS-806, hinders transitions from State 1 and
170 modestly increases the occupancy of State 1 by the mature, wild-type HIV-1 Env (see
171 Table 1) (42,53,54,79,81). BMS-806 treatment or glutaraldehyde crosslinking has been
172 shown to shift the antigenic profile of uncleaved HIV-1 Env closer to that of the cleaved
173 Env (79,80). Incubating virions containing uncleaved Env with BMS-806 significantly
174 enriched the low-FRET State-1 conformation, resulting in a conformational profile closer
175 to that of the unliganded mature HIV-1 Env (Table 1) (81). We tested the effects of
176 BMS-806 and the lysine-specific crosslinker, bis (sulfosuccinimidyl) suberate (BS3), on
177 the antigenic profile of cleavage-defective HIV-1_{JR-FL} Env(-) expressed on the surface of
178 CHO cells (Fig. 1B). Treatment with BMS-806 and BS3 additively decreased Env(-)
179 recognition by pNAbs (19b, b6, F105 and F240) and CD4-Ig, which preferentially bind
180 Env conformations other than State 1 (45,48,54,78,81). In comparison, for the bNAbs

181 2G12, b12 and VRC01, the BMS-806/BS3-treated Env(-) was recognized at more than
182 40% the level observed for the untreated Env(-). These results are consistent with
183 previous studies suggesting that BMS-806 can decrease the State-2/3 occupancy of
184 uncleaved HIV-1 Envs anchored in the viral or cell membranes (Table 1) (79,81).

185

186 **Purification and characterization of Env(-) trimers**

187 To investigate further the range of conformations sampled by the uncleaved HIV-1 Env,
188 we purified full-length HIV-1_{JR-FL} Env(-) trimers from the membranes of inducibly-
189 expressing CHO cells (Fig. 2A and B). The CHO cells were incubated with BMS-806
190 during Env(-) synthesis in an attempt to shift occupancy from States 2/3 to State 1.
191 BMS-806 treatment of the Env(-)-expressing cells reduced the synthesis of sialidase-
192 sensitive and Endoglycosidase H-resistant glycoforms that are relatively enriched in
193 complex carbohydrates (Fig. 2C). Glycosylation analysis revealed that BMS-806
194 treatment led to decreased complex sugar addition to the glycans modifying gp120
195 asparagine residues 88, 156, 160, 241, 362 and 463 (Fig. 2D and E). The effects of
196 BMS-806 on Env(-) conformation apparently influence the conversion of particular high-
197 mannose glycans to complex carbohydrates in the Golgi.

198

199 To purify the Env(-) trimer complexes, membranes from BMS-806-treated CHO
200 cells were incubated with saturating concentrations of BMS-806, crosslinked with BS3,
201 and solubilized in Cymal-5. The detergent in the Env(-) glycoprotein solution was
202 exchanged to a mixture of 4.5 mg/ml amphipol A8-35 and 0.005% Cymal-6 prior to cryo-
203 plunging the samples in preparation for eventual cryo-electron microscopy (cryo-EM)

204 imaging. Parallel smFRET studies estimated that only 26% of detergent-solubilized
205 Env(-) was in a low-FRET conformation consistent with State 1 (Fig. 3A). The majority
206 (74%) of the solubilized Env(-) glycoproteins assumed high- and intermediate-FRET
207 conformations consistent with States 2 and 3, respectively. Thus, compared with BMS-
208 806-treated Env(-) on virions, the Env(-) glycoproteins solubilized and purified from
209 CHO cells exhibit less State 1 and more State 2/3 conformations (Table 1).

210

211 The increased exposure of the gp120 V3 loop is a sensitive indicator of HIV-1
212 Envs that have undergone transitions from a State-1 conformation (48,54,92-94). We
213 tested the ability of the 19b anti-V3 antibody, which does not neutralize most primary
214 HIV-1 strains, to precipitate the BMS-806-treated, BS3-crosslinked Env(-) trimers
215 solubilized in Cymal-5 detergent (Fig. 3B). After successive precipitations with the 19b
216 antibody, approximately 85% of the Env(-) glycoprotein was removed from the CHO cell
217 lysate. Therefore, even in the presence of BMS-806 and after BS3 crosslinking, most of
218 the Env(-) trimers solubilized in Cymal-5 detergent apparently sample non-State-1
219 conformations. Together with the above cell-based ELISA and smFRET results, these
220 experiments suggest that detergent solubilization destabilizes the uncleaved Env, even
221 after BMS-806 and BS3 treatment. Therefore, the cell membrane and lipid-protein
222 interactions may be important for the stabilization of the Env(-) State-1 conformation.

223

224 **Env(-) structure determination by cryo-electron microscopy (cryo-EM)**

225 The BMS-806-treated, BS3-crosslinked HIV-1_{JR-FL} Env(-) trimers, purified in Cymal-5
226 and exchanged into amphipol A8-35 and Cymal-6, were analyzed by cryo-EM. We

227 collected cryo-EM data from both a 200-kV FEI Tecnai Arctica microscope without an
228 energy filter and a 300-kV FEI Titan Krios microscope with a Gatan BioQuantum energy
229 filter, in video frames of a super-resolution counting mode with the Gatan K2 Summit
230 direct electron detector (Fig. 4A-F). While both 200-kV and 300-kV cryo-EM datasets
231 gave rise to consistent results, the final reconstructions at near-atomic resolution were
232 achieved using the 300-kV cryo-EM dataset; the 300-kV dataset incorporates single-
233 particle data collected at a high tilt angle of the sample stage to alleviate the effect of
234 the strong orientation preference of the Env(-) particles. By contrast, the 200-kV cryo-
235 EM dataset, which lacks tilted data, fell short of achieving a comparable level of
236 resolution and suffered from the orientation preference of the particle images. However,
237 despite the modest level of resolution (5.5-8 Å), extensive 3D classification of the 200-
238 kV dataset, as detailed in a bioRxiv preprint (95), indicated the existence of multiple
239 Env(-) conformations, some of which are consistent with the higher-resolution
240 reconstructions obtained from the 300-kV dataset. This paper focuses on interpreting
241 two higher-resolution maps of the uncleaved Env(-) trimer derived from the 300-kV
242 dataset.

243

244 Analysis of the 300-kV data resulted in two major 3D classes, herein designated
245 State U₁ and State U₂, respectively comprising 37% and 17% of the imaged particles,
246 after removal of junk particles. The State-U₁ and State-U₂ maps were refined to 4.1 and
247 4.7 Å, respectively, without imposing any symmetry during refinement and
248 reconstruction (Fig. 4G and H). The map quality allowed atomic modelling and
249 refinement with accuracy to the level of the C α backbone trace. By contrast, imposing

250 C3 symmetry during refinement and reconstruction resulted in lower resolution and
251 poorer structural features in the refined density maps of both State-U₁ and State-U₂,
252 suggesting that both conformations indeed lack rigorous three-fold symmetry. Other 3D
253 classes derived from the HIV-1_{JR-FL} dataset were not able to be refined to comparable
254 levels of resolution, and thus are not further analyzed and discussed herein. Curiously,
255 no major 3D classes with rigorous three-fold symmetry were found when extensive 3D
256 classification was conducted using the maximum-likelihood method without imposing C3
257 symmetry (96). This likely reflects the intrinsic conformational plasticity of the Env(-)
258 glycoprotein, although we do not rule out the contribution of preparation-dependent
259 variables, such as asymmetric crosslinking between adjacent protomers.

260

261 **Key structural features of the asymmetric uncleaved HIV-1 Env trimers**

262 The U₁ and U₂ Env(-) trimers share an overall topology with existing structures of
263 soluble and membrane HIV-1 Env trimers (97-108) (Fig. 5A). A central feature of all
264 these structures is a 3-helix bundle (3-HB_C) formed by the C-terminal portion of the
265 gp41 HR1 region (HR1_C); the gp120 subunits project outward from this central helical
266 coiled coil. These common features allowed us to use existing symmetric and
267 asymmetric HIV-1 Env trimer structures as references to build structural models of
268 states U₁ and U₂. All three individual protomers in the U₁ and U₂ trimers exhibit similar
269 folds, with C α RMSD values of ~2 Å (Fig. 5B and C). While both the U₁ and U₂
270 conformations of Env(-) are asymmetric, they exhibit different degrees of such
271 asymmetry in terms of the relative rotation of the individual protomers with respect to
272 the trimer axis. The protomers are differentially translated and rotated with respect to

273 each other in unique ways in the U₁ and U₂ trimers (Fig. 5D), generating ~3-4 Å
274 movement overall in the gp120 outer domain (OD). When one of the protomers is used
275 to align both conformations, the other two protomers of U₂ are notably rotated by 2.8
276 and 4 degrees relative to the corresponding protomers of U₁ (Fig. 5A). This creates the
277 smallest and largest openings between two adjacent protomers in U₂, the more
278 asymmetric of the two Env(-) conformations. Alignment of all three protomer structures
279 in each conformation indicates that the asymmetric conformations are facilitated by local
280 structural rearrangements of residues 546-568 at the inter-protomer interface. This
281 gp41 segment (HR1_N) is immediately N-terminal to the central 3-HB_C and exhibits the
282 greatest local structural variation among the protomers. Notably, the overall structural
283 variation of gp41 among the U₁ and U₂ protomers is greater than that of the gp120 core
284 structure, presumably because gp41 contributes more interactions to the inter-protomer
285 interface. Consistently, the gp120 trimer association domain (TAD), which includes the
286 V1/V2 and V3 regions, exhibits greater conformational variation in U₂ than in U₁, leading
287 to an overall greater extent of asymmetry in U₂ (Fig. 5B and C). There is similarly
288 greater gp41 structural variation among the protomers in U₂ than in U₁.

289

290 **Comparison with structures of cleaved HIV-1 Env trimers**

291 We compared the U₁ and U₂ HIV-1_{JR-FL} Env(-) structures to those of mature (cleaved)
292 HIV-1 Env trimers. The structure of the unliganded HIV-1_{BG505} sgp140 SOSIP.664
293 glycoprotein (PDB: 4ZMJ) provides an example of a stabilized soluble Env trimer with
294 C3 symmetry (104). Structures of cytoplasmic tail-deleted, detergent-solubilized HIV-
295 1_{JR-FL} and HIV-1_{AMC011} EnvΔCT trimers have been solved in complex with Fab

296 fragments of the PGT151 neutralizing antibody (PDB: 5FUU and 6OLP, respectively)
297 (105,108). Binding of the PGT151 Fabs introduces asymmetry into the Env trimer,
298 limiting the binding stoichiometry to two Fabs per trimer.

299

300 The folds of the U_1 and U_2 Env(-) protomers resemble those of the sgp140
301 SOSIP.664 and PGT151-bound Env Δ CT protomers (Fig. 6). The largest structural
302 difference is localized in HR1_N residues 534-570 leading to the central 3-HB_C of gp41.
303 When the U_1 and sgp140 SOSIP.664 trimer structures are aligned using one of the
304 protomers, the other two protomers of U_1 exhibit rotations in opposite directions relative
305 to the symmetric sgp140 SOSIP.664 trimer structure, causing a prominent narrowing of
306 the opening angle between these two protomers in the U_1 trimer structure (Fig. 6A). By
307 contrast, when the U_1 structure is aligned to the PGT151-bound Env Δ CT trimer using
308 the protomer free of the antibody, both the other two protomers exhibit rotations in the
309 same direction; this results in two smaller opening angles and one notably larger
310 opening angle in comparison with those seen in the symmetric sgp140 trimer (Fig. 6B).
311 In addition to relative rotation, the gp120 components of the U_1 protomers also exhibit
312 outward movement in both comparisons (Fig. 6A and B), giving rise to a slightly wider
313 trimer footprint (Fig. 7A). Some local divergence in the gp120 V1/V2 region and gp41
314 α 8 helix between HIV-1_{JR-FL} Env(-) and HIV-1_{BG505} sgp140 SOSIP.664 likely results from
315 strain-dependent differences in primary sequence. Consistent with this explanation, the
316 protomer structures of the Env(-) and Env Δ CT trimers, both derived from the HIV-1_{JR-FL}
317 strain, align well in these regions. As is the case for all current HIV-1 Env trimer

318 structures, the gp41 membrane-proximal external region (MPER) and transmembrane
319 region are disordered in the U₁ and U₂ maps.

320

321 We next compared the topology of the Env(-) trimers to that of cleaved Env
322 trimers. The inter-protomer distances between arbitrarily chosen atoms on the outer
323 surface of gp120 and gp41 provide a measure of trimer geometry (Fig. 7A). Of the
324 trimers that we compared, the symmetric HIV-1_{BG505} sgp140 SOSIP.664 trimer is the
325 most tightly packed, with the respective gp120 and gp41 sides 77.3 and 39.3 Å in
326 length. The two sides of the EnvΔCT trimers bound to the PGT151 antibody Fabs are
327 similar in length (gp120: 75.4, 77.1/gp41: 37.4, 37.4 Å and gp120: 75.5, 76.0/gp41:
328 37.5, 37.8 Å in the HIV-1_{JR-FL} and HIV-1_{AMC011} EnvΔCT trimers, respectively); these
329 Fab-bound sides are shorter than the “opened” unliganded side (gp120: 83.6/gp41: 46.2
330 Å and gp120: 84.8/gp41: 46.6 Å in the HIV-1_{JR-FL} and HIV-1_{AMC011} EnvΔCT trimers,
331 respectively). The asymmetry of the U₁ Env(-) trimer is qualitatively similar to that of the
332 U₂ trimer; the asymmetry of the Env(-) trimers is distinguished by three sides of
333 different lengths and therefore differs from the asymmetry in the EnvΔCT trimers
334 induced by the PGT151 antibody. Notably, the average lengths of the gp120/gp41
335 sides of the Env(-) trimers are longer than those of the unliganded sgp140 SOSIP.664
336 or PGT151-bound EnvΔCT trimers, indicating that the uncleaved Env(-) trimers are
337 packed less tightly than the cleaved Env trimers.

338

339 **To evaluate the basis for the increased “openness” of the uncleaved Env(-)**
340 **trimers, we compared the structures of the gp41 3-HB_C coiled coil and HR1_N**

341 **region in the Env(-) and cleaved Env trimers. Changes in the packing or**
342 **orientation of the 3-HB_c coiled coil could potentially influence trimer topology.**
343 **Although it appears that the crossing angles between two adjacent helices in the**
344 **gp41 3-HB_c coiled coil are very similar in the U₁ and U₂ trimers, these 3-HB_c**
345 **helices exhibit differential packing and asymmetric features in U₁ and U₂ that are**
346 **amplified into a greater degree of overall trimeric asymmetry. Compared to the**
347 **PGT151-bound cleaved Env structures (PDB IDs 5FUU and 6OLP), the U₁**
348 **conformation has clearly larger crossing angles and thus a greater 3-HB_c coiled-**
349 **coil footprint (Fig. 7B). By contrast, the crossing angles in U₁ are nearly identical**
350 **to those of the sgp140 SOSIP.664 trimers, but the U₁ 3-HB_c helices exhibit marked**
351 **translation in opposite directions that breaks the trimer symmetry seen in the**
352 **crystal structures of the sgp140 SOSIP.664 trimers (PDB IDs 5FYK and 4ZMJ).**
353 **Being able to sustain structural rearrangements involving both of the orthogonal**
354 **degrees of freedom demonstrates that the Env trimer metastability and lability is**
355 **potentially rooted in the conformational plasticity and flexibility of the central 3-**
356 **HB_c structure.**

357

358 Despite a high degree of primary sequence conservation among HIV-1 strains,
359 the gp41 HR1_N region (residues 541-570) exhibits significant conformational
360 polymorphism among current HIV-1 Env trimer structures. In the pre-triggered (State-1)
361 Env conformation, the gp41 HR1_N region has been implicated in the non-covalent
362 association with gp120; in the pre-hairpin intermediate (State 3), the HR1_N region forms
363 part of the extended HR1 helical coiled coil (14-16,109-111). Therefore, HR1_N may

364 transition from an as-yet-unknown State-1 structure to a helical coiled coil (State 3) as
365 Env “opens” upon binding CD4. The HR1_N region is relatively disordered in most
366 sgp140 SOSIP.664 structures, probably as a result of the I559P change used to
367 stabilize these soluble Env trimers (112-115). Even in asymmetric structures of sgp140
368 SOSIP.664 trimers bound to soluble CD4 and the E51 CD4-induced antibody (116),
369 HR1_N disorder precludes analysis. We therefore limited our comparison to asymmetric
370 Env trimers for which HR1_N structural information is available. Comparison of the HR1_N
371 conformation in the asymmetric Env trimers suggested that the helicity of the HR1_N
372 region is related to the degree of “openness” of the corresponding protomer (Fig. 8).
373 **Lower helicity of the HR1_N region leads to a somewhat collapsed conformation**
374 **that is correlated with a smaller inter-protomer opening angle. This is consistent**
375 **with the notion that a non-helical, loop-like and more collapsed HR1_N, which is**
376 **located in the crevice formed by the protomer arms, would not have sufficient**
377 **structural strength to sustain a wider opening angle. These observations support**
378 **the proposition that the HR1_N conformation is allosterically coupled with**
379 **asymmetric features of the 3-HB_C and the overall asymmetry of the entire trimer.**

380

381 **Env(-) glycosylation**

382 Most of the peptide-proximal density associated with N-linked glycosylation is preserved
383 in the U₁ map and was modeled (Fig. 9). Most distal glycan residues are not well
384 resolved, reflecting their dynamic nature and heterogeneity. As has been previously
385 shown, the high-mannose glycans are clustered in a patch on the surface of the gp120
386 outer domain (39,40,65,117). No glycan-associated density on Asn 297 is detectable,

387 and the glycan signal on Asn 448 is weak. The signals associated with the complex
388 glycans on gp41 residues Asn 611 and Asn 637 are buried in noise. The most
389 membrane-proximal gp41 glycan on Asn 616 is largely modified by high-mannose
390 glycans.

391

392 BMS-806 treatment of Env(-)-expressing cells led to a reduction in the
393 modification of glycans on Asn 88, 156, 160, 241, 362 and 463. Asn 88 and 241 are
394 located at the gp120-gp41 interface, and Asn 156 and 160 at the trimer apex (Fig. 9).
395 Previous studies have suggested that BMS-806 can strengthen inter-subunit and inter-
396 protomer interactions in the Env trimer, increasing the binding of neutralizing antibodies
397 that recognize the gp120-gp41 interface and trimer apex (79). Strengthening these
398 interactions may render the carbohydrates in these regions less available for
399 modification to complex carbohydrates. Consistent with this, two other BMS-806-
400 sensitive glycans (on Asn 362 and Asn 463) reside on the perimeter of the gp120 outer
401 domain that, in a more closed trimer, might be sterically limited by inter-protomer
402 effects.

403

404 **BMS-806 binding site**

405 The binding site of BMS-806 in sgp140 SOSIP.664 complexes (PDB: 5U7M) has been
406 previously characterized (118). In the Env(-) maps, density corresponding to the
407 location of BMS-806 in the sgp140 SOSIP.664 complexes is evident. In the Env(-)
408 complexes, BMS-806 is located in the gp120 Phe 43 cavity and the adjacent water-filled
409 channel, sandwiched between Trp 427 and Trp 112. Although the level of resolution

410 does not allow unambiguous definition of the binding mode, the position and orientation
411 of BMS-806 is consistent with that in the sgp140 SOSIP.664 complexes (118) (Fig. 10).
412 In the U₁ Env(-)-BMS-806 structure, as in the unliganded and BMS-806-bound sgp140
413 SOSIP.664 structures (104,118), Layer 1 of the gp120 inner domain appears to be
414 stabilized by the insertion of Trp 69 into the back end of the Phe 43 cavity, where it
415 interacts orthogonally with Trp 112. During the course of Env binding to CD4, Layer 1 is
416 thought to undergo rearrangement to decrease the off-rate of CD4 (119); fixation of
417 Layer 1 by BMS-806 could help to inhibit Env conformational transitions to the CD4-
418 bound State 3.

419

420 **Effect of BMS-806 on processing of wild-type HIV-1 Env**

421 BMS-806 and its analogues block transitions from the pre-triggered Env conformation;
422 thus, addition of these compounds to cleaved and uncleaved Envs on virions enriches
423 State 1 (Table 1) (42,53,54,79,81). The studies shown in Figure 2D and E suggest that
424 limiting the conformational flexibility of the cleavage-defective Env(-) by exposing
425 Env(-)-expressing cells to BMS-806 can influence the processing of carbohydrate
426 structures. To evaluate more thoroughly how Env conformation influences its
427 processing, we used A549-Gag/Env cells, which produce virus-like particles (VLPs)
428 containing Env (72). The wild-type HIV-1_{AD8} Env in the A549-Gag/Env cells is
429 proteolytically processed and the VLPs contain mostly cleaved Env, as is the case for
430 authentic HIV-1 virions (72). Therefore, the use of A549-Gag/Env cells allowed us to
431 evaluate the effects of BMS-806 on the cleavage and glycosylation of wild-type HIV-1
432 Env in cells and on VLPs.

433

434 We incubated A549-Gag/Env cells with BMS-806 and studied Env in cell lysates
435 and VLPs. BMS-806 treatment during Env expression resulted in a decrease in the
436 efficiency of Env cleavage (Fig. 11A). The uncleaved Env produced in the presence of
437 BMS-806 was efficiently incorporated into VLPs (Fig. 11B). This contrasts with the
438 relative exclusion of uncleaved Env from VLPs produced in the absence of BMS-806
439 (Fig. 11B) (72). In the untreated cells, some of the glycans on the uncleaved Env are
440 Endoglycosidase Hf-resistant and therefore are complex carbohydrates (Fig. 11A). The
441 Endoglycosidase Hf-resistant fraction of the uncleaved Env migrated faster on SDS-
442 polyacrylamide gels following BMS-806 treatment, indicating that fewer complex sugars
443 are added to Env produced in A549-Gag/Env cells treated with BMS-806 (Fig. 11A).
444 Nonetheless, in the BMS-806-treated cells, the uncleaved Env that is modified by
445 complex glycans (and therefore has passed through the Golgi) is incorporated into
446 VLPs (Fig. 11B). These results suggest that the BMS-806-induced reduction in the
447 conformational flexibility of the Env precursor decreases the efficiency of gp160
448 cleavage and addition of some complex glycans, without significantly affecting Env
449 transport through the Golgi or incorporation into VLPs.

450

451 **DISCUSSION**

452 The uncleaved HIV-1 Env serves as a precursor to the cleaved functional Env and, by
453 eliciting poorly neutralizing antibodies, as a potential decoy to the host immune system.
454 Antibody or ligand binding and smFRET analyses indicate that the Env precursor can
455 sample multiple conformations that resemble States 1, 2 and 3 of the mature viral Env
456 spike (73-81). The conformational plasticity of the Env precursor contrasts with the
457 behavior of the mature Env, which in the absence of ligands largely resides in State 1
458 (42,81). Therefore, proteolytic cleavage stabilizes State-1 Env, which is highly resistant
459 to neutralization by antibodies recognizing other Env conformations. Although
460 proteolytic maturation also primes the membrane-fusing potential of other Class I viral
461 membrane fusion proteins, the effects of cleavage on HIV-1 Env conformational
462 plasticity are unusual. For example, crystal structures comparing the influenza virus
463 precursor, HA0, with the cleaved HA1/HA2 trimer showed differences only in the
464 immediate vicinity of the cleavage site (120). Uncleaved HIV-1 Envs can be transported
465 from the endoplasmic reticulum to the cell surface by bypassing the Golgi or, when
466 trafficking through the classical secretory pathway, by escaping furin cleavage in the
467 Golgi (72). Both subsets of uncleaved Envs on the surface of expressing cells can be
468 recognized by pNAbs and therefore represent a potentially abundant source of Env
469 conformations other than State 1 (72,79). The resulting diversion of host antibody
470 responses away from State-1 Env, the major target for neutralizing antibodies, would
471 have considerable advantages for a persistent virus like HIV-1.

472

473 BMS-806 can enrich State 1 in the uncleaved membrane-anchored Env (79,81)
474 and BS3 crosslinking could hypothetically help to stabilize this conformation.
475 Nonetheless, once Env(-) glycoproteins were solubilized in detergent, these treatments
476 did not prevent Env(-) from assuming non-State-1 conformations. The loss of
477 membrane interactions (122), the effects of detergents or other manipulations during
478 purification may have contributed to diminished State-1 occupancy in this case.

479

480 **Our structural and biophysical analyses indicate that the cleaved Env**
481 **conformation seen in the sgp140 SOSIP.664 trimers is also sampled by the**
482 **uncleaved Env, but notably, in an asymmetric fashion. Thus, although the**
483 asymmetry of the U₁ and U₂ uncleaved Env trimers alters the quaternary relationships
484 among the Env protomers, the fold of the individual Env(-) protomers resembles those
485 of sgp140 SOSIP.664 and PGT151-bound Env Δ CT trimers. Analysis by smFRET has
486 suggested that these Envs are predominantly in a State-2-like conformation (121). By
487 analogy, we deduce that U₁ and U₂ represent State-2-like conformations. State 2 has
488 been suggested to represent a default intermediate conformation favored by Envs that
489 experience a destabilization of State 1 (48,49,54,82,121). CD4 binding to the wild-type
490 HIV-1 Env trimer sequentially induces State-2 and State-3 conformations in the bound
491 protomer, whereas the other, ligand-free protomers in the Env trimer assume State-2
492 conformations (49). Although PGT151 is a broadly neutralizing antibody and can
493 presumably interact with State-1 Envs, it induces asymmetry in the Env trimer, causing
494 the Env protomers to assume State-2-like conformations (121). Thus, breaking

495 symmetry in the HIV-1 Env trimer often results in the adoption of a State-2
496 conformation, consistent with the proposed default nature of this intermediate.

497

498 **Asymmetry of both uncleaved and cleaved Env trimers appears to be**
499 **related to the structural plasticity and flexibility of the gp41 HR1_N region, which is**
500 **directly situated in the inter-protomer interface and is allosterically coupled with**
501 **the quaternary Env conformation. On the one hand, the HR1_N structure can**
502 **directly affect the packing of the central 3-HB_C coiled coils; on the other hand, the**
503 **HR1_N rigidity can allosterically regulate the inter-protomer opening angle.**
504 **Mutagenesis studies have suggested that in the pre-triggered (State-1) Env**
505 **conformation, the HR1_N region contributes to the non-covalent association of**
506 **gp120 with gp41 (109-111). We observed a relationship between the inter-**
507 **protomer opening angle of asymmetric Env trimers and HR1_N helicity. As initial**
508 **CD4 binding to the Env trimer occurs asymmetrically, with State-2 conformations**
509 **assumed by the unbound protomers (49), the HR1_N regions presumably transition**
510 **from as-yet-unknown State-1 conformations to predominantly helical**
511 **conformations. Subsequent assembly of three HR1_N helices into the extended**
512 **gp41 coiled coil [(HR1_{N+C})₃] projects the fusion peptide toward the target**
513 **membrane.**

514

515 **The symmetry of the mature, pre-triggered (State-1) HIV-1 Env trimer likely**
516 **contributes to its ability to evade pNAbs. Supporting this assertion is the**
517 **previous observation that the fraction of cell-surface Env recognized by bNAbs**

518 **crosslinked into trimers, whereas the cell-surface Env that was recognized by**
519 **pNAbs crosslinked into dimers and monomers, possible reflecting trimer**
520 **asymmetry (72). The asymmetry observed for the uncleaved Env(-) U₁ and U₂**
521 **trimers potentially allows pNAbs to access their epitopes with minimal steric**
522 **hindrance. Indeed, pNAbs directed against the gp120 V3 region or CD4-binding**
523 **site can be docked into the open face of the U₁ Env trimer with only minimal**
524 **readjustment of surrounding structures to remove steric clashes (data not**
525 **shown). Maintaining C3 symmetry may be one prerequisite for preserving an**
526 **antibody-resistant State-1 Env conformation. Our study implicates the**
527 **conformationally labile gp41 HR1_N segment in maintaining trimer symmetry, and**
528 **the high-resolution structure of this functionally important region in a State-1-**
529 **compatible Env conformation is a future goal.**

530

531 The intrinsic conformational heterogeneity of the uncleaved HIV-1 Env trimer and
532 the low occupancy of certain conformational states present significant challenges to
533 their structural characterization. Previous studies of detergent-solubilized uncleaved
534 HIV-1 Envs with truncated cytoplasmic tails were performed without extensive 3D
535 classification and with C3 symmetry imposed, resulting in lower-resolution structures
536 (123,124). **Our current study takes advantage of subsequent advances in 3D**
537 **classification in cryo-EM technology and data processing to identify two major**
538 **classes of Env(-) trimers, both asymmetric. Cryo-EM and smFRET analyses**
539 **support the existence of other conformations in the Env(-) preparation, but high-**
540 **resolution reconstruction of these conformers was unsuccessful (95). Current 3D**

541 **hierarchical classification methods are prone to ignore or completely miss lowly**
542 **populated conformational states or experience difficulties in precisely classifying**
543 **these low-population conformations, which then leads to insufficient resolution**
544 **for structure determination and functional interpretation (125). A more complete**
545 **characterization of the multiple conformations assumed by the uncleaved HIV-1**
546 **Env may require approaches better able to deal with a high degree of structural**
547 **heterogeneity than maximum-likelihood-based 3D classification (125,126).**

548

549 BMS-806 inhibits HIV-1 entry, blocking CD4-induced transitions of the mature
550 Env from a pre-triggered (State-1) conformation to downstream states (42,53,54,79,81).
551 On the cell or viral membrane, uncleaved Env can respond to treatment with BMS-806
552 by increasing the occupancy of State 1 (79,81). Consequently, BMS-806 decreases
553 recognition of uncleaved Env by pNAbs, whereas recognition by most bNAbs is
554 maintained or increased (55,79). We found that BMS-806 also exerts a significant
555 effect on Env during its maturation. BMS-806 treatment of cells expressing wild-type
556 HIV-1 Env resulted in decreases in both gp160 cleavage and modification by complex
557 carbohydrate structures; transport through the Golgi and incorporation into VLPs were
558 not apparently blocked by BMS-806. These observations imply that gp160
559 conformational flexibility contributes to the efficiency with which the Env precursor is
560 acted upon by furin and glycosylation enzymes. The requirement that functional Env is
561 cleaved (25,127) therefore provides selective pressure to maintain flexibility in the HIV-1
562 Env precursor. The resulting conformational heterogeneity of the Env precursor
563 represents a potential advantage for a persistent virus like HIV-1 by skewing host

564 antibody responses away from State 1. For immunization strategies employing
565 membrane-anchored HIV-1 Env or during natural HIV-1 infection, treatment with BMS-
566 806 analogues could potentially increase the presentation of the State-1 Env
567 conformation to the immune system. BMS-806 analogues (79) could also assist future
568 investigation of State-1-like conformations of uncleaved and cleaved HIV-1 Env trimers.
569

570

571 **MATERIALS AND METHODS**

572 **Protein expression and purification.** For expression of the uncleaved full-length
573 membrane-anchored HIV-1_{JR-FL} Env(-) glycoprotein, the *env* cDNA was codon-optimized
574 and was cloned into an HIV-1-based lentiviral vector. These Env sequences contain a
575 heterologous signal sequence from CD5 in place of that of wild-type HIV-1 Env. The
576 proteolytic cleavage site between gp120 and gp41 was altered, substituting two serine
577 residues for Arg 508 and Arg 511. In the HIV-1_{JR-FL} Env(-) glycoprotein, the amino acid
578 sequence LVPRGS-(His)₆ was added to the C-terminus of the cytoplasmic tail. For
579 Env(-) expression, the *env* coding sequences were cloned immediately downstream of
580 the tetracycline (Tet)-responsive element (TRE). Our expression strategy further
581 incorporated an internal ribosomal entry site (IRES) and a contiguous puromycin (puro)
582 T2A enhanced green fluorescent protein (EGFP) open reading frame downstream
583 of *env* (TRE-*env*-IRES-puro.T2A.EGFP). Uncleaved membrane-anchored Env(-) was
584 produced by exogenous expression in CHO cells. Briefly, the HIV-1-based lentiviral
585 vector encoding HIV-1_{JR-FL} Env(-) was packaged, pseudotyped with the vesicular
586 stomatitis virus (VSV) G protein, and used to transduce CHO cells (Invitrogen)

587 constitutively expressing the reverse Tet transactivator (rtTA). High-producer clonal cell
588 lines were derived using a FACS Aria cell sorter (BD Biosciences) to isolate individual
589 cells expressing high levels of EGFP. The integrity of the recombinant *env* sequence in
590 the clonal lines was confirmed by sequence analysis of PCR amplicons. Clonal cultures
591 were adapted for growth in a serum-free suspension culture medium (CDM4CHO;
592 Thermo Fisher).

593

594 For the exogenous production of the Env(-) glycoprotein, cells were expanded in
595 a suspension culture using a roller bottle system (Thermo) and were treated with 1
596 µg/ml of doxycycline and 10 µM BMS-378806 (herein referred to as BMS-806)
597 (Selleckchem) after reaching a density of $>4 \times 10^6$ cells per ml. After 18 to 24 h of
598 culture with doxycycline and BMS-806, the cells were harvested by centrifugation.
599 During the remainder of the purification procedure, 10 µM BMS-806 was added to all
600 buffers. The cell pellets were homogenized in a homogenization buffer (250 mM
601 sucrose, 10 mM Tris-HCl [pH 7.4], and a cocktail of protease inhibitors [Roche
602 Complete EDTA-free tablets]). Membranes were then extracted from the homogenates
603 by ultracentrifugation. The extracted crude membrane pellet was collected,
604 resuspended in 1×PBS to a final concentration of 5 mg of wet membrane per ml of
605 1×PBS and crosslinked with 5 mM BS3 (Proteochem), followed by solubilization with a
606 solubilization buffer containing 100 mM $(\text{NH}_4)_2\text{SO}_4$, 20 mM Tris-HCl (pH 8.0), 300 mM
607 NaCl, 20 mM imidazole, 1% (wt/vol) Cymal-5 (Anatrace), and a cocktail of protease
608 inhibitors (Roche Complete EDTA-free tablets). The suspension was ultracentrifuged for
609 30 min at $100,000 \times g$ and 4°C. The supernatant was collected and was mixed with a

610 small volume of preequilibrated Ni-nitrilotriacetic acid (NTA) beads (Qiagen) for 2 h on a
611 rocking platform at 4°C. The mixture was then injected into a small column and washed
612 with a buffer containing 20 mM Tris-HCl (pH 8.0), 100 mM (NH₄)₂SO₄, 1 M NaCl, 30 mM
613 imidazole, and 0.5% Cymal-5. The beads were resuspended in a buffer containing 20
614 mM Tris-HCl (pH 8.0), 100 mM (NH₄)₂SO₄, 250 mM NaCl, 4.5 mg/ml Amphipol A8-35
615 (Anatrace), 0.006% DMNG (Anatrace) and a cocktail of protease inhibitors (Roche
616 Complete EDTA-free tablets), and incubated for 2 hours on a rocking platform. The
617 mixture was applied to a column and the buffer was allowed to flow through. The beads
618 were then resuspended in a buffer containing 20 mM Tris-HCl (pH 8.0), 100 mM
619 (NH₄)₂SO₄, 250 mM NaCl, 4.5 mg/ml Amphipol A8-35 (Anatrace) and a cocktail of
620 protease inhibitors (Roche Complete EDTA-free tablets), and incubated for 2 hours on a
621 rocking platform. The mixture was added to a column and the buffer allowed to flow
622 through, followed by washing with 10 bed volumes of a buffer containing 20 mM Tris-
623 HCl (pH 8.0), 100 mM (NH₄)₂SO₄, and 250 mM NaCl. Proteins were eluted from the
624 bead-filled column with a buffer containing 20 mM Tris-HCl (pH 8.0), 100 mM
625 (NH₄)₂SO₄, 250 mM NaCl, and 250 mM imidazole. The buffer of the eluted Env(-)
626 glycoprotein solution was exchanged with imaging buffer containing 20 mM Tris-HCl
627 (pH 8.0), 100 mM (NH₄)₂SO₄, and 250 mM NaCl with a Centrifugal Filter (Millipore), and
628 was concentrated. Before cryo-plunging, Cymal-6 (Anatrace) was added to the Env(-)
629 glycoprotein solution at a final concentration of 0.005%.

630

631 **Expression of wild-type HIV-1 Env and virus-like particles (VLPs).** Human A549
632 lung epithelial cells (ATCC) inducibly expressing Env and an HIV-1 Gag-mCherry fusion

633 protein under the control of a tetracycline-regulated promoter were established as
634 described (72). Briefly, A549-rtTA cells constitutively expressing the reverse tet
635 transactivator were transduced with an HIV-1-based lentivirus vector expressing Rev
636 and Env from HIV-1_{AD8}, a primary HIV-1 strain (128). These A549-Env cells were
637 transduced with a lentivirus vector expressing the HIV-1 Gag precursor fused with
638 mCherry (72). The doxycycline-regulated expression of the Gag-mCherry fusion protein
639 resulted in the release of Env-containing VLPs into the medium. Herein, we designate
640 these cells A549-Gag/Env. The A549-Gag/Env cells were grown in DMEM/F12
641 supplemented with 10% FBS, L-glutamine and penicillin-streptomycin.

642

643 **Antibodies.** Antibodies against HIV-1 Env were kindly supplied by Dr. Dennis Burton
644 (Scripps), Drs. Peter Kwong and John Mascola (Vaccine Research Center, NIH), Dr.
645 Barton Haynes (Duke), Dr. Hermann Katinger (Polymun), Dr. James Robinson (Tulane)
646 and Dr. Marshall Posner (Mount Sinai Medical Center). In some cases, anti-Env
647 antibodies were obtained through the NIH AIDS Reagent Program. Antibodies for
648 Western blotting include goat anti-gp120 polyclonal antibody (ThermoFisher) and the
649 4E10 human anti-gp41 antibody (Polymun). An HRP-conjugated goat anti-human IgG
650 (Santa Cruz) and an HRP-conjugated goat anti-rabbit antibody (Santa Cruz) were used
651 as secondary antibodies for Western blotting.

652

653 **Single-molecule FRET: sample preparation, data acquisition and analysis.**

654 Analysis of the conformational dynamics of HIV-1 Env was performed after enzymatic
655 labeling of the V1 and V4 regions of gp120 on the purified (His)₆-tagged HIV-1_{JR-FL}

656 Env(-) glycoprotein with Cy3 and Cy5 fluorophores, respectively, as previously
657 described (42). A transfection ratio of 20:1 of non-tagged: V1/V4-tagged HIV-1_{JR-FL}
658 Env(-) was used to ensure that only one protomer within a trimer carries enzymatic tags
659 for site-specific labeling. The HIV-1_{JR-FL} Env(-) glycoprotein was purified from transiently
660 expressing 293T cells that had been treated with BMS-806 and crosslinked with BS3,
661 as described above. The purified HIV-1_{JR-FL} Env(-) glycoprotein in buffer (20 mM Tris-
662 HCl (pH 8.0), 10 mM MgCl₂, 10 mM CaCl₂, 100 mM (NH₄)₂SO₄, 250 mM NaCl, 0.005%
663 Cymal-6, 10 μM BMS-806) was labeled with Cy3B(3S)-cadaverine (0.5 μM),
664 transglutaminase (0.65 μM; Sigma Aldrich), LD650-CoA (0.5 μM) (Lumidyne
665 Technologies), and AcpS (5 μM) at room temperature overnight. After labeling, Env(-)
666 trimers were purified using Zeba™ spin desalting columns (ThermoFisher) to remove
667 free dyes. Finally, prior to imaging, fluorescence-labeled HIV-1_{JR-FL} Env(-) carrying the
668 (His)₆ epitope tag was incubated with biotin-conjugated anti-(His)₆ tag antibody (HIS.H8,
669 Invitrogen) at 4° for two hours.

670

671 All smFRET data were acquired on a home-built total internal reflection
672 fluorescence (TIRF) microscope, as previously described (42,129). Fluorescently
673 labeled HIV-1_{JR-FL} Env(-) trimers were immobilized on passivated streptavidin-coated
674 quartz microscopy slides and washed with pre-imaging buffer specifically made for this
675 experiment. The pre-imaging buffer consisted of 20 mM Tris HCl (pH 8.0), 100 mM
676 (NH₄)₂SO₄, 250 mM NaCl, 0.005% Cymal-6, and 10 μM BMS-806. For smFRET
677 analysis, a cocktail of triplet-state quenchers and 2 mM protocatechuic acid (PCA) with
678 8 nM protocatechuic 3,4-dioxygenase (PCD) were added to the above pre-imaging

679 buffer to remove molecular oxygen. Cy3 and Cy5 fluorescence was detected with a 60x
680 water-immersion objective (Nikon), split by a dichroic mirror (Chroma), and imaged
681 on two synchronized ORCA-Flash4.0v2 sCMOS cameras (Hamamatsu) at 40
682 frames/seconds for 80 seconds.

683

684 smFRET data analysis was performed on the customized Matlab (Mathworks)
685 program SPARTAN (129). Fluorescence intensity trajectories were extracted from
686 recorded movies, and FRET efficiency (FRET) was calculated based on $FRET =$
687 $I_A / (\gamma I_D + I_A)$, where I_D and I_A are the fluorescence intensities of donor (D) and acceptor
688 (A), respectively, and γ is the correlation coefficient, which incorporates the difference in
689 quantum yields of donor and acceptor and detection efficiencies of the donor and
690 acceptor channels. FRET trajectories were further compiled into a FRET histogram,
691 which provides information about the distribution of Env(-) molecules among the
692 conformational states. The state distributions in the FRET histogram were then fitted to
693 the sum of three Gaussian distributions (based on previously identified FRET
694 trajectories) (42,81,121) in Matlab, and the occupancy of each state was further
695 obtained from the area under each Gaussian distribution.

696

697 **Immunoprecipitation of cell-surface Env.** One day prior to transfection, HOS cells
698 were seeded in 6-well plates (6×10^5 cells/well). The cells were transfected the next day
699 with 0.4 μg of the pSVIIIenv plasmid expressing the wild-type HIV-1_{JR-FL} Env and 0.05
700 μg of a Tat-expressing plasmid. Two days later, the cells were washed twice with
701 blocking buffer (1 \times PBS with 5% FBS) and then incubated for 1 hour at 4°C with 6 $\mu\text{g}/\mu\text{l}$

702 anti-gp120 monoclonal antibody. Cells were then washed four times with blocking
703 buffer, four times with washing buffer (140 mM NaCl, 1.8 mM CaCl₂, 1 mM MgCl₂ and
704 20 mM Tris, pH 7.5), and lysed in NP-40 buffer (0.5 % NP-40, 0.5 M NaCl and 10 mM
705 Tris, pH 7.5) for 5 min at 4°C with gentle agitation. Lysates were cleared by
706 centrifugation at 15,000 x g for 30 min at 4°C. Antibody-bound Env was precipitated
707 using Protein A-Sepharose beads and analyzed by SDS-PAGE and Western blotting
708 with a horseradish peroxidase (HRP)-conjugated rabbit anti-gp120 polyclonal serum.

709

710 **Cell-based enzyme-linked immunosorbent assay (ELISA).** CHO cells expressing
711 HIV-1_{JR-FL} Env(-) were induced with 1 µg/ml doxycycline with or without 10 µM BMS-
712 806. Fifteen to twenty-four hours later, the cells were washed twice with washing buffer
713 #1 (20 mM Hepes, pH 7.5, 1.8 mM CaCl₂, 1 mM MgCl₂, 140 mM NaCl), and crosslinked
714 with 5 mM BS3 or incubated in buffer without crosslinker. Forty-five minutes later, the
715 cells were quenched with quench buffer (50 mM Tris, pH 8.0, 1.8 mM CaCl₂, 1 mM
716 MgCl₂, 140 mM NaCl). The cells were blocked with a blocking buffer (35 mg/ml BSA, 10
717 mg/ml non-fat dry milk, 1.8 mM CaCl₂, 1 mM MgCl₂, 25 mM Tris, pH 7.5 and 140 mM
718 NaCl) and incubated with the indicated primary antibody in blocking buffer for 30 min at
719 37°C. Cells were then washed three times with blocking buffer and three times with
720 washing buffer #2 (140 mM NaCl, 1.8 mM CaCl₂, 1 mM MgCl₂ and 20 mM Tris, pH 7.5)
721 and re-blocked with the blocking buffer. A horseradish peroxidase (HRP)-conjugated
722 antibody specific for the Fc region of human IgG was then incubated with the samples
723 for 45 min at room temperature. Cells were washed three times with blocking buffer
724 and three times with washing buffer #2. HRP enzyme activity was determined after

725 addition of 35 μ l per well of a 1:1 mix of Western Lightning oxidizing and luminal
726 reagents (Perkin Elmer Life Sciences) supplemented with 150 mM NaCl. Light
727 emission was measured with a Mithras LB940 luminometer (Berthold Technologies).

728

729 **Analysis of Env(-) glycoforms in BMS-806-treated cells.** CHO cells expressing HIV-
730 1_{JR-FL} Env(-) were treated with 1 μ M BMS-806 or an equivalent volume of the carrier,
731 DMSO. After 18-24 h of culture, the cells were harvested and lysed in homogenization
732 buffer (see above) and treated with different glycosidases following the manufacturer's
733 instructions. The lysates were analyzed by Western blotting with a horseradish
734 peroxidase (HRP)-conjugated anti-HIV-1 gp120 antibody, as described above.

735

736 **Analysis of Env glycopeptides.** The sample preparation and mass spectrometric
737 analysis of Env(-) glycopeptides has been described previously (39,40), and no
738 changes were made to the procedure for the current analysis. Briefly, the Env(-)
739 glycoprotein was denatured with urea, reduced with TCEP, alkylated with
740 iodoacetamide, and quenched with dithiothreitol. The protein was then buffer
741 exchanged, digested with trypsin alone or with a combination of trypsin and
742 chymotrypsin, generating glycopeptides.

743

744 The glycopeptides were analyzed by LC-MS on an LTQ-Orbitrap Velos Pro
745 (Thermo Scientific) mass spectrometer equipped with ETD (electron transfer
746 dissociation) that was coupled to an Acquity Ultra Performance Liquid Chromatography
747 (UPLC) system (Waters). About 35 micromoles of digest was separated by reverse

748 phase HPLC using a multistep gradient, on a C18 PepMap™ 300 column. The mass
749 spectrometric analysis was performed using data-dependent scanning, alternating a
750 high-resolution scan (30,000 at m/z 400), followed by ETD and collision-induced
751 dissociation (CID) data of the five most intense ions. The glycopeptides were identified
752 in the raw data files using a combination of freely available glycopeptide analysis
753 software and expert identification, as described previously (39).

754

755 **Analysis of A549-Gag/Env cells and VLPs treated with BMS-806.** To analyze the
756 effect of BMS-806 on the processing of the wild-type HIV-1_{AD8} Env, 150-mm dishes of
757 30-40% confluent A549-Gag/Env cells were seeded and, on the following day, treated
758 with 2 µg/ml doxycycline. At the same time, 10 µM BMS-806 was added.
759 Approximately 72 hours after induction, cell lysates and medium were harvested. To
760 prepare VLPs, the culture medium was cleared by low-speed centrifugation (500 x g for
761 15 minutes at 4°C) and 0.45-µm filtration. VLPs were pelleted by centrifugation at
762 100,000 x g for one hour at 4°C. The resuspended VLP preparation was clarified by
763 low-speed centrifugation.

764

765 Env solubilized from cell lysates and VLPs was denatured by boiling in
766 denaturing buffer (New England Biolabs) for 10 minutes. Samples were mock-treated
767 or treated with PNGaseF or Endo Hf (New England Biolabs) for 1.5 hours according to
768 the manufacturer's protocol. The treated samples were then analyzed by reducing
769 SDS-PAGE and Western blotting.

770

771 **Cryo-EM sample preparation.** A 3- μ l drop of 0.3 mg/ml Env(-) protein solution was
772 applied to a glow-discharged C-flat grid (R1/1 and R1.2/1.3, 400 Mesh, Protochips, CA,
773 USA), blotted for 2 sec, then plunged into liquid ethane and flash-frozen using an FEI
774 Vitrobot Mark IV.

775

776 **Cryo-EM data collection.** Cryo-EM grids were first visually screened on a Tecnai
777 Arctica transmission electron microscope (FEI) operating at 200 kV. Qualified grids
778 were then imaged in a 200-kV FEI Tecnai Arctica microscope, equipped with an
779 Autoloader, at a nominal magnification of 210,000 times, and in a 300-kV Titan Krios
780 electron microscope (FEI) equipped with a Gatan BioQuantum energy filter, at a
781 nominal magnification of 105,000 times, operating at 300 kV. Coma-free alignment and
782 astigmatism were manually optimized prior to data collection. Cryo-EM data from the
783 200-kV Arctica microscope were collected semi-automatically by Legion version 3.1
784 (130,131) on the Gatan K2 Summit direct electron detector camera (Gatan Inc., CA,
785 USA) in a super-resolution counting mode, with a dose rate of 8 electrons/pixel/second
786 and an accumulated dose of 50 electrons/ \AA^2 over 38 frames per movie. The calibrated
787 physical pixel size and the super-resolution pixel size were 1.52 \AA and 0.76 \AA ,
788 respectively. The defocus for data collection was set in the range of -1.0 to -3.0 μ m. A
789 total of 12,440 movies were collected on the 200-kV Arctica microscope without tilting
790 the stage, from which 10,299 movies were selected for further data analysis after
791 screening and inspection of data quality.

792

793 Cryo-EM data from the 300-kV Krios microscope, including both zero-tilted and
794 45°-tilted images, were collected on the K2 Summit direct electron detector (Gatan) at a
795 pixel size of 0.685 Å in a super-resolution counting mode, with an accumulated dose of
796 ~53 electrons/Å² across 40 frames per movie. With defocus ranging from -1.0 to -2.7
797 µm, a total of 10,929 movies were acquired across three sessions.

798

799 Zero-tilted and 45°-tilted images were collected by a semi-automatic process set
800 up in Serial EM (132), which is compatible with customized scripts. For the collection of
801 zero-tilted movies, the process normally involved the following steps: Square selection
802 and focusing, hole selection, serial local focusing and data acquisition. In the final step,
803 precise adjustment of the defocus was conducted each time before recording movies for
804 a new group of holes. However, for the collection of tilted movies, precise adjustment of
805 the defocus was performed for all holes in the first place, followed by an extra
806 coordinate transformation for the x-axis and y-axis. Tilted movies were then recorded
807 serially with the new defocus and coordinates.

808

809 **Cryo-EM data processing and analysis.** The raw movie frames of each dataset were
810 first corrected for their gain reference and each movie was used to generate a
811 micrograph that was corrected for sample movement and drift with the MotionCor2
812 program (133) at a super-resolution pixel size (0.76 Å for the 200-kV dataset, 0.685 Å
813 for the 300-kV dataset). These drift-corrected micrographs were used for the
814 determination of the actual defocus of each micrograph with the CTFFind4 (134) and

815 Gctf (135) programs. Icy or damaged micrographs were removed through manual per-
816 image screening.

817

818 For the 200-kV dataset, using DeepEM, a deep learning-based particle extraction
819 program that we developed (136), 1,436,424 particles of Env(-) were automatically
820 selected in a template-free fashion. All 2D and 3D classifications were done at a pixel
821 size of 1.52 Å. After the first round of reference-free 2D classification, bad particles were
822 rejected upon inspection of class-average quality, which left 1,366,095 particles. The
823 initial model, low-pass filtered to 60 Å, was used as the input reference to conduct
824 unsupervised 3D classification into 5 classes with C3 symmetry, using an angular
825 sampling of 7.5° and a regularization parameter T of 4. Iterative 3D classification in
826 RELION (137) and ROME (138) resulted in a 3D class of 121,979 particles that reached
827 a resolution of 5.5 Å (gold-standard FSC at 0.143 cutoff) after refinement, with
828 imposition of C3 symmetry. More details of this preliminary, intermediate analysis were
829 described in an online bioRxiv preprint (95).

830

831 For the zero-tilt 300-kV dataset, micrographs without dose-weighting were used
832 by Gctf (135) to estimate the global CTF parameters; for the 45°-tilt dataset, particles
833 were first picked by a program based on a VGG deep neural network improved from the
834 DeepEM algorithm design (136). The coordinates were then applied for local CTF
835 estimation in Gctf (135). We found that for most of 45°-tilted micrographs, limiting the
836 resolution range used for CTF determination in Fourier space improved the accuracy of
837 the resulting CTF parameters. This was realized by including the variables “local_resL”

838 and “local_resH” in the Gctf (135) command. Automatic picking followed by manual
839 examination yielded 1,941,541 particles of the HIV-1_{JR-FL} Env(-) trimers, with 785,844
840 zero-tilted and 1,155,697 tilted particles.

841
842 All 2D and 3D classifications of the particles from the 300-kV datasets were
843 conducted with dose-weighted micrographs generated by MotionCor2 (133). Particles
844 were stacked at 2.74 Å/pixel using a box size of 84*84 for initial sorting. Two rounds of
845 reference-free 2D classification were performed in RELION 3.0 (137), followed by one
846 round in ROME (138), which combines maximum likelihood-based image alignment and
847 statistical manifold learning-based classification. Bad particles were rejected upon
848 inspection of the class average’s quality after each round of 2D classification, leaving
849 572,205 particles for 3D refinement. The initial model was generated in RELION 3.0
850 (137) using particles from diversely oriented 2D classes, and was low-pass filtered to 60
851 Å.

852
853 3D classification and refinement of the 300-kV dataset were performed in
854 RELION 3.0 (137), as summarized in Table 3. In the first round of unsupervised 3D
855 classification, the Healpix order was enhanced from 2 to 3 at the 20th iteration. To
856 prevent tilted particles from being separated as a sole 3D class, the resolution limit to
857 restrict the probability calculation was set at 15 Å in the preceding 20 iterations and 10
858 Å in the posterior iterations. The 2nd round of 3D classification retained the same
859 parameters except that K (the number of classes) was changed to 6. The 3rd round of
860 3D classification was performed by local searching ($\sigma=4$, meaning that the standard

861 deviation of the Euler angles equals 4 times the Healpix order) to discard amorphous
862 particles. Particles with the correct size and detailed secondary structures were selected
863 and binned two-fold into 1.37 Å/pixel for further refinement. The selected 278,582
864 particles were first aligned together by auto-refinement, and then were classified into 12
865 classes within a soft, global mask without alignment. Particles from 5 classes with
866 complete domain constitution were sorted out and used for per-particle CTF refinement
867 in RELION 3.0 (137). Imposed with updated CTF correction, the sorted stacks were
868 classified with local searching into two major classes.

869

870 As observed in Chimera (139), the distribution of particles concentrated in the
871 top-view orientation for both maps, leading to anisotropy of the final resolution.
872 Therefore, we retrieved the tilt-view particles excluded by previous rounds of 3D
873 classification, and combined them with particles from the two classes. This was
874 accomplished by several rounds of screening satisfying classes from the results of deep
875 2D classification in ROME (138). The new particle dataset, containing 171,342 zero-
876 tilted particles and 157,607 45°-tilted particles, was used for one round of 3D
877 classification under global searching with Healpix order 2. Particles from 3 of the 4
878 classes were identified as HIV-1_{JR-FL} Env(-) trimers with improved isotropic resolution;
879 these 284,664 particles were combined for the next round of 3D classification. Another
880 round of 3D classification using the same parameters except for K=3 was performed to
881 exclude particles with poor quality. The principal class consisting of 92% of this round's
882 particles was reserved.

883

884 For elaborate 3D classification, we adopted a hierarchical enhancement of
885 Healpix order in the next 9 rounds (Table 3): Sorted particles from the previous round of
886 3D classification were used for auto-refinement followed by classification into four
887 classes with local searching under a Healpix order of 4. In every round, this process
888 produced a major class consistent with the structure of the conventional Env trimer and
889 consisting of more than 80% of the input particles, while the other classes appeared in
890 incomplete form. Therefore, this major class of particles was used for auto-refinement
891 and was chosen as input for next round of 3D classification. This classification-
892 selection-refinement-classification process was iterated four times, using different K
893 (class number) values and the same Healpix order 4, until the result demonstrated more
894 than one principal class. C1 symmetry was imposed throughout all these unsupervised
895 3D classifications. In the last two rounds, we enhanced the Healpix order to 5 to perform
896 local-searching 3D classification again, and finally obtained five classes. Four of these
897 classes, consisting of 96% of the input particles, exhibited different degrees of
898 asymmetry. By carefully comparing their features, two classes with similar topology
899 were designated State-U₁ while the other two classes were designated State-U₂,
900 containing 123,372 and 55,571 particles respectively. The last round of auto-refinement
901 for the U₁ and U₂ datasets was done in RELION 3.0 (137), applied with a soft-edged
902 global mask when it fell into local searching. According to the in-plane shift and Euler
903 angles of each particle from the final refinement, we reconstructed the two half-maps of
904 each state at a super-resolution counting mode with a pixel size of 0.685 Å. The overall
905 masked resolutions for the reconstructed maps of State-U₁ and State-U₂ were 4.1 Å and
906 4.7 Å respectively, measured by the gold-standard FSC at 0.143-cutoff.

907

908 **Atomic model building and refinement.** The symmetric structure of the HIV-1_{BG505}
909 sgp140 SOSIP.664 trimer with three BMS-806 molecules bound (PDB: 6MTJ) (118) and
910 the asymmetric structure of the HIV-1_{JR-FL} Env Δ CT glycoprotein bound to PGT151 Fabs
911 (PDB: 5FUU) (105) were used as reference models to build a U₁ structure. The template
912 structures were docked in Coot (140), and then main-chain and side-chain fitting was
913 improved manually to generate the starting coordinate file. The fitting of the U₁ model
914 was further improved by `real_space_refinement` with secondary structure restraints in
915 Phenix (141). Glycans of U₁ were manually refined in Coot (140) with “Glycan” model,
916 using 5FUU as a reference. The U₁ model was used as a whole to perform rigid-body
917 fitting into the U₂ density. Structural comparison was conducted in Pymol (142) and
918 Chimera (139). All figures of the structures were produced in Pymol (142).

919

920 **Accession numbers**

921 The cryo-EM reconstructions of states U₁ and U₂ reported in this paper have been
922 deposited in the Electron Microscopy Data Bank under accession numbers EMD-XXXX
923 and EMD-XXXX, respectively. The models of U₁ and U₂ have been deposited in the
924 Protein Data Bank under ID codes XXXX and XXXX. The cryo-EM raw data, including
925 the motion-corrected micrographs and the particle stacks of U₁ and U₂ used for final
926 refinement, have been deposited into the Electron Microscopy Pilot Image Archive
927 (www.ebi.ac.uk/emdb/ampiar) under accession no. EMPIAR-10163.

928

929 **Author contributions**

930 J.S. and Y.M. conceived this study. H.Ding and J.C.K. prepared the Env(-)-expressing
931 CHO cells and the A549-Gag/Env cells. S.Z. and R.T.S. analyzed Env(-) antigenicity
932 and established a purification scheme for the Env(-) protein. S.Z. and W.L.W. screened
933 the samples for optimization of cryo-EM imaging. W.L.W. conducted cryo-electron
934 microscopy, collected all data and preprocessed the data. K.W. and S.C. performed
935 data analysis and refined the maps. K.W., S.Z., S.C. and Y.M. built the structural
936 models. E.P.G., S.Z. and H.Desaire analyzed the Env(-) glycans. M.L. and S.Z.
937 conducted smFRET experiments. H.T.N. studied the effect of BMS-806 on the
938 processing of wild-type Env. Y.M. and J.S. wrote the manuscript. All authors
939 contributed to data analysis and manuscript preparation.

940

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957

958 **Table 1. Summary of HIV-1_{JR-FL} conformational states^a**

Env	Source	Treatment	Occupancy of conformational states (%)			Reference
			State 1	State 2	State 3	
Wild-type HIV-1 _{JR-FL} Env	virion	None	50	26	24	42,81
		BMS-806	55	18	27	
HIV-1 _{JR-FL} Env(-)	virion	None	25	42	33	81
		BMS-806	40	32	28	
	Purified from cell membranes	BMS-806 + BS3 crosslinking	26	37	37	This study

959

960 ^aThe relative occupancies (%) of conformational states for the indicated sources and
 961 treatments of HIV-1 Envs were derived from smFRET histograms. The FRET
 962 histograms were compiled from individual smFRET traces. The state distributions in the
 963 FRET histograms were fitted to the sum of three Gaussian distributions by hidden
 964 Markov modeling, and the occupancy of each state obtained from the area under each
 965 Gaussian curve.

966

967

Table 2. Cryo-EM data collection, refinement and validation statistics

	HIV-1 _{JR-FL} Env(-) U ₁	HIV-1 _{JR-FL} Env(-) U ₂
Data collection and processing		
Magnification	105,000	105,000
Voltage (kV)	300	300
Electron exposure (e/Å)	53	53
Defocus range (µm)	-1.0 to -2.7	-1.0 to -2.7
Pixel size (Å)	0.685	0.685
Symmetry imposed	C1	C1
Initial particle images (no.)	572,205	572,205
Final particle images (no.)	123,372	55,571
Map resolution (Å)	4.1	4.7
FSC threshold	0.143	0.143
Map resolution range (Å)	3.8 to 8	3.8 to 10
Refinement		
Initial model used (PDB code)	5FUU	5FUU
Model resolution (Å)	4.2	4.8
FSC threshold	0.143	0.143
Model resolution range (Å)	3.8 to 8	3.8 to 10
Map sharpening B factor (Å ²)	-75	-75
Model composition		
Non-hydrogen atoms	14070	14062
Protein residues	1776	1775
Ligands	3	3
B factors (Å²)		
Protein	191.26	191.13
Ligands	3.16	12.91
R.m.s. deviations		
Bond lengths (Å)	0.008	0.008
Bond angles (degree)	1.455	1.204
Validation		
MolProbity score	2.67	2.86
Clashscore	34.63	46.01
Poor rotamers (%)	0.18	1.78
Ramachandran plot		
Favored (%)	85.97	90.65
Allowed (%)	13.75	9.06
Disallowed (%)	0.29	0.29

968

969

970 **Table 3. Summary of 3D classification parameters (300-kV dataset)**

Iteration number	K	Healpix order	Global searching or local searching	Particles left for next round
1	4	2 & 3	Global	479,120
2	6	2 & 3	Global	362,017
3	8	4	Local, $\sigma=4$	278,582
4	12	—	—	271,277
5	8	4	Local, $\sigma=4$	243,313
<i>Retrieve and Combine</i>				
6	4	2 & 3	Global	284,664
7	3	2	Global	269,801
8	4	2	Global	265,901
9	8	4	Local, $\sigma=4$	229,246
10	6	4	Local, $\sigma=4$	223,613
11	6	4	Local, $\sigma=8$	211,023
12	6	4	Local, $\sigma=4$	164,789
13	5	5	Local, $\sigma=4$	156,714
14	5	5	Local, $\sigma=4$	—

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1608 **FIGURE LEGENDS**

1609

1610 **Figure 1. Antibody recognition of cleaved and uncleaved HIV-1 Envs on the cell**
1611 **surface.** (A) HOS cells transiently expressing the wild-type HIV-1_{JR-FL} Env, a fraction of
1612 which is cleaved in these cells, were incubated with the indicated broadly neutralizing
1613 antibodies or poorly neutralizing antibodies. After washing and lysis of the cells, the
1614 antibody-Env complexes were purified with Protein A-Sepharose beads and analyzed
1615 by Western blotting with a rabbit anti-gp120 polyclonal serum. (B) The effect of
1616 crosslinking with BS3 and/or BMS-806 treatment on antibody binding to HIV-1_{JR-FL}
1617 Env(-) on the surface of CHO cells was evaluated by cell-based ELISA. BMS-806 (10
1618 µM) was added to the CHO cells at the time of induction of Env(-) expression with
1619 doxycycline. All values were normalized against 2G12 antibody binding and were
1620 derived from at least three independent experiments. Note that the HIV-1_{JR-FL} Env(-)
1621 glycoprotein is not recognized by the PGT145 V2 quaternary antibody, which serves as
1622 a negative control.

1623

1624 **Figure 2. Characterization of the full-length HIV-1_{JR-FL} Env(-) glycoprotein in CHO**
1625 **cell lysates and in detergent-solubilized purified forms.** (A) Purified HIV-1_{JR-FL}
1626 Env(-) without and with crosslinking by BS3 was run on a NUPAGE 4-12% BT gel
1627 stained by Coomassie Blue. (B) Purified HIV-1_{JR-FL} Env(-) crosslinked by BS3 was run
1628 on a NativePAGE 4-16%BT gel and subjected to Western blotting with an HRP-
1629 conjugated anti-HIV-1 gp120 antibody. (C-E) To evaluate the effect of BMS-806 on the

1630 glycosylation of the synthesized Env(-) glycoprotein, BMS-806 (10 μ M) was added to
1631 the CHO cells at the time of doxycycline induction. (C) The effect of BMS-806 on
1632 HIV-1_{JR-FL} Env(-) glycosylation was evaluated by Western blotting after digestion with
1633 glycosidases (sialidase, Peptide-N-glycosidase F (PNGase F), and Endoglycosidase H
1634 (Endo H)). The purified HIV-1_{JR-FL} Env(-) glycoproteins were digested with the indicated
1635 glycosidase, run on a NUPAGE 4-12% BT gel, and subjected to Western blotting with
1636 an HRP-conjugated anti-HIV-1 gp120 antibody. The results shown are representative of
1637 those obtained in three independent experiments. Note that BMS-806 treatment
1638 decreases Env(-) heterogeneity by reducing the levels of sialidase-sensitive and Endo
1639 H-resistant glycoforms. (D,E) The bar graphs show the glycan profiles at each
1640 glycosylation site of HIV-1_{JR-FL} Env(-) purified from untreated CHO cells (D) or CHO
1641 cells treated with 10 μ M BMS-806 (E), as determined by mass spectrometry. The
1642 glycan composition (in percent) was broadly characterized as high-mannose (red bars)
1643 or processed (complex + hybrid) glycans (blue bars).

1644

1645 **Figure 3. Conformations of purified HIV-1_{JR-FL} Env(-) treated with BMS-806 and**
1646 **crosslinked with BS3.** (A) HIV-1_{JR-FL} Env(-) with V1 and V4 labeling tags was purified
1647 from 293T cell membranes using a protocol identical to that used for preparation of
1648 Env(-) for cryo-EM imaging. The purified Env(-) was labeled and analyzed by smFRET.
1649 FRET trajectories were compiled into a population FRET histogram and fit to the
1650 Gaussian distributions associated with each conformational state, according to a hidden
1651 Markov model (42). The percentage of the population that occupies each state as well
1652 as the number of molecules analyzed (N) is shown. The error bars represent the

1653 standard deviation from three independent data sets. (B) Membranes from BMS-806-
1654 treated CHO cells expressing HIV-1_{JR-FL} Env(-) were crosslinked with BS3 and then
1655 solubilized in Cymal-5 detergent. The lysate was successively incubated with the 19b
1656 anti-gp120 (V3) antibody and Protein-A Sepharose beads. The Env(-) glycoproteins
1657 precipitated by the 19b antibody or by the negative-control Protein A-Sepharose beads
1658 during the indicated rounds of immunoprecipitation were analyzed by SDS-PAGE and
1659 Western blotting (upper left panel). The Env(-) glycoproteins in the initial cell membrane
1660 lysate (Input) and those glycoproteins remaining after four rounds of 19b
1661 counterselection were precipitated with Ni-NTA beads or the indicated antibodies; the
1662 precipitated Env(-) glycoproteins were analyzed by SDS-PAGE and Western blotting
1663 (upper right panel). The total amounts of Env(-) glycoprotein in the input and after 19b
1664 counterselection, normalized to the input Env(-) glycoprotein amount precipitated by the
1665 Ni-NTA beads, are shown in the bar graph (lower panel). Means and standard
1666 deviations derived from two independent experiments are shown.

1667

1668 **Figure 4. Cryo-EM analysis of the full-length HIV-1_{JR-FL} Env(-) trimer.** (A) A typical
1669 cryo-EM micrograph of Env(-) trimers taken with a Gatan K2 direct electron detector at 0
1670 degrees of tilt. (B) Fourier transform of the image in A. (C) Unsupervised 2D class
1671 averages for non-tilt particles. (D) A typical cryo-EM micrograph of Env(-) trimers taken
1672 with a Gatan K2 direct electron detector at 45 degrees of tilt. (E) Fourier transform of the
1673 image in D. (F) Unsupervised 2D class averages for tilted particles. (G) The local
1674 resolution measurement of the State-U₁ and State-U₂ maps, as measured by ResMap
1675 (143). The maps are colored according to the local resolution, indicated by the color

1676 gradient (units in Angstroms). Side views of the Env(-) maps are shown, with gp120 at
1677 the bottom of the figure and gp41 at the top. (H) The gold-standard FSC plots of the
1678 State-U₁ and State-U₂ cryo-EM maps.

1679

1680 **Figure 5. Comparison of U₁ and U₂ Env(-) structures.** (A) Protomer 2 of the State-U₁
1681 and State-U₂ models are superposed, showing that protomer 1 and protomer 3 are
1682 rotated 4.0° and 2.8°, respectively. (B) Three protomers of the State-U₁ model are
1683 superposed. (C) Three protomers of the State-U₂ model are superposed. (D) With
1684 protomer 2 of the State-U₁ and State-U₂ models superposed, the C α distances between
1685 the same residues on the U₁ and U₂ structures are measured for four residues (from (i)
1686 to (iv): T90, D230, S481 and N392). In the side views of Env(-) shown in B-D, gp120 is
1687 at the bottom of the figure and gp41 at the top.

1688

1689 **Figure 6. Comparison of Env(-) structures with those of cleaved HIV-1 Envs.**

1690 (A) Left: Protomer 1 of the State-U₁ trimer is superposed on the unliganded HIV-1_{BG505}
1691 sgp140 SOSIP.664 trimer (PDB ID 4ZMJ) (104), demonstrating how the other two
1692 protomers in State-U₁ are rotated towards each other. Right: Side views of the
1693 superposed protomers, with red parts representing the major areas of difference
1694 between the two protomers. (B) Left: Protomer 1 of the State-U₁ trimer is superposed on
1695 the HIV-1_{JR-FL} Env Δ CT trimer complexed with PGT151 Fabs (PDB ID 5FUU) (105),
1696 indicating that binding of the PGT151 antibodies introduces asymmetry into the Env
1697 trimer that differs from that of U₁. Right: Side views of the superposed protomers, with
1698 red parts representing the major areas of difference between the two protomers. In the

1699 side views of the Env protomers shown in the right-hand panels of A and B, gp120 is at
1700 the bottom of the figure and gp41 at the top.

1701

1702 **Figure 7. Comparison of Env trimer geometry among Env(-) trimers and mature**
1703 **Env trimers.** (A) The inter-protomer distances (in Å) between selected atoms of gp120
1704 and gp41 are shown for different Env structures: the smaller, inner triangle depicts
1705 distances measured between gp41 residues W628 and I635; the larger, outer triangle
1706 depicts distances measured between gp120 residues A336 and Q352. The U₁ and U₂
1707 structures are compared with those of the unliganded sgp140 SOSIP.664 trimer (PDB
1708 ID 4ZMJ) (104) and the PGT151-bound HIV-1_{JR-FL} and HIV-1_{AMC011} EnvΔCT trimers
1709 (PDB IDs 5FUU and 6OLP, respectively) (105,108). For 5FUU and 6OLP, the sides of
1710 the Env trimer that are bound by the PGT151 Fabs are marked. (B) The three gp120
1711 subunits of four Env trimer atomic structures were superposed with the gp120 subunits
1712 of the State-U₁ Env(-) trimer. Each protomer was aligned separately. After gp120
1713 alignment, the relative positions of the gp41 HR1_C helices are jointly shown here. In
1714 each case, the U₁ HR1_C helices are colored cyan. With gp120 aligned, the gp41 in
1715 State U₁ is displaced compared with the other structures. Upper row: top views of 3-
1716 helix bundles; Bottom row: side views of 3-helix bundles. 5FYK is the structure of an
1717 HIV-1_{JR-FL} sgp140 SOSIP.664 trimer complexed with several neutralizing antibody Fabs
1718 (65).

1719

1720 **Figure 8. Relationship between HR1_N helicity and the opening angle of the trimer.**

1721 (A) Sequences of the gp41 HR1_N region from three U₁ protomers are shown, with
1722 residues in α -helices highlighted in red. (B) The relationship between HR1_N helicity and
1723 the opening angle of three asymmetric HIV-1 Env trimers (U₁ and two PGT151-Fab-
1724 bound Env Δ CT trimers (PDB IDs 5FUU and 6OLP)) is shown. The x-axis represents
1725 the opening angle for each of three sides, measured using the
1726 “angle_between_domains” command in Pymol (142). The y-axis represents the number
1727 of residues in an α -helical conformation for the HR1_N region of that side. (C) The HR1_N
1728 and HR1_C regions from the three indicated atomic models are superposed. (D) The
1729 HR1_N regions from the three protomers in State U₁ are shown .

1730

1731 **Figure 9. HIV-1_{JR-FL} Env(-) glycan structure.** Glycans on State-U₁ trimers are colored
1732 according to the following scheme: glycans that exhibit significant decreases in the
1733 addition of processed glycans as a result of BMS-806 treatment are colored purple;
1734 high-mannose glycans are colored yellow; and the remaining mixed or processed
1735 glycans that are not affected by BMS-806 binding are colored green.

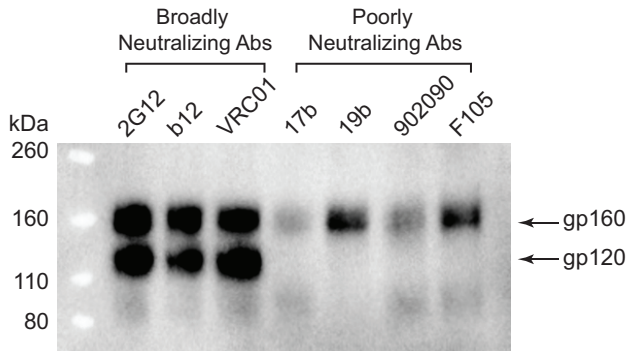
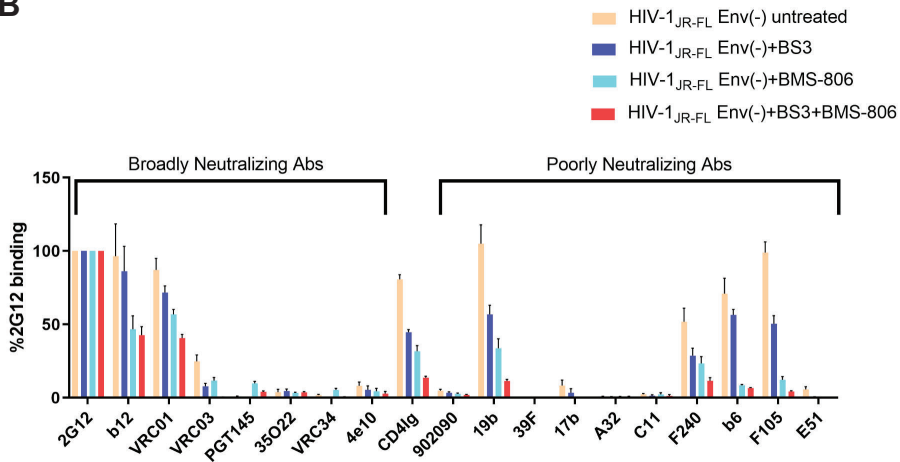
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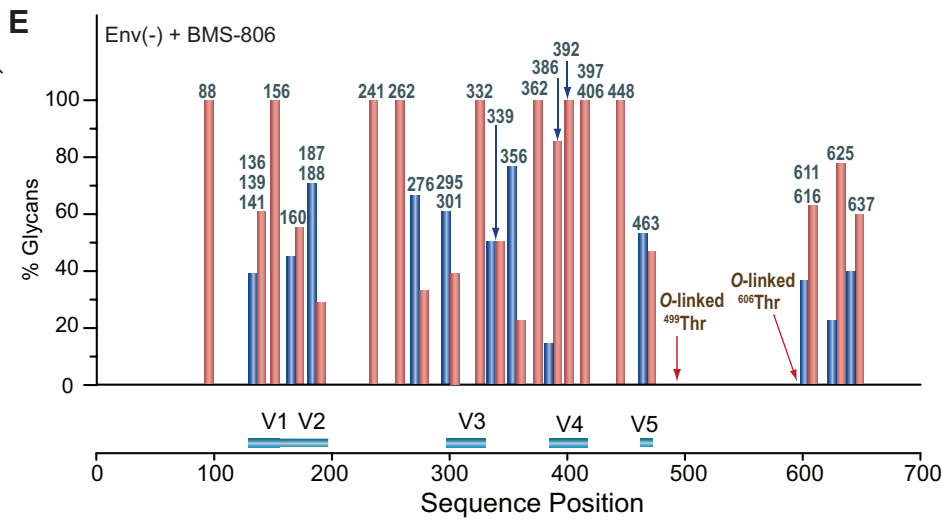
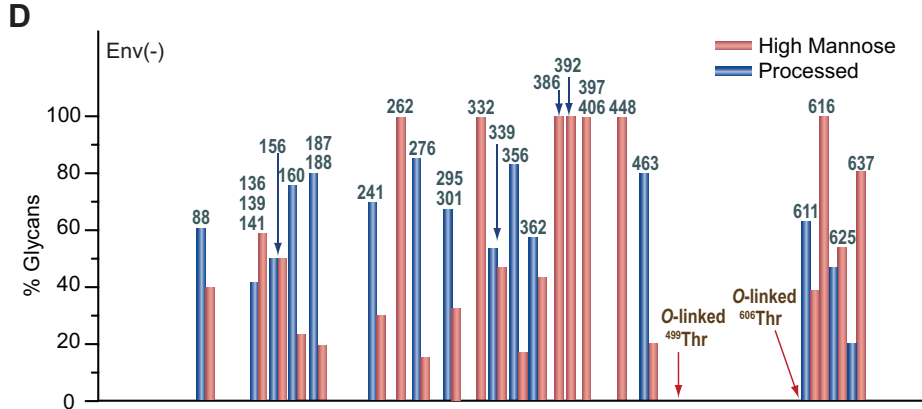
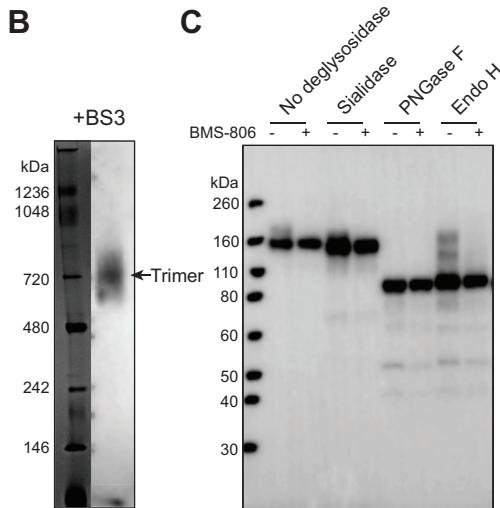
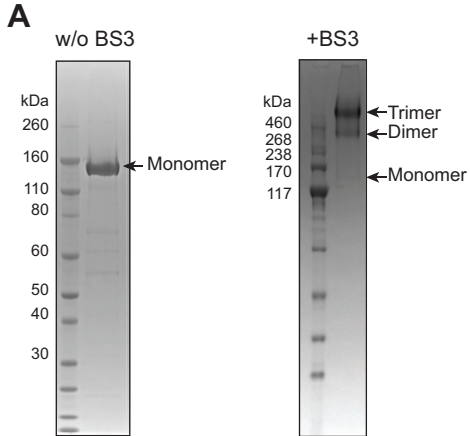
1737 **Figure 10. BMS-806 binding site.** The BMS-806 binding sites within three protomers
1738 of the State-U₁ structure (cyan) are compared with those in the BMS-806-bound sgp140
1739 SOSIP.664 trimer (PDB 5U70) (118).

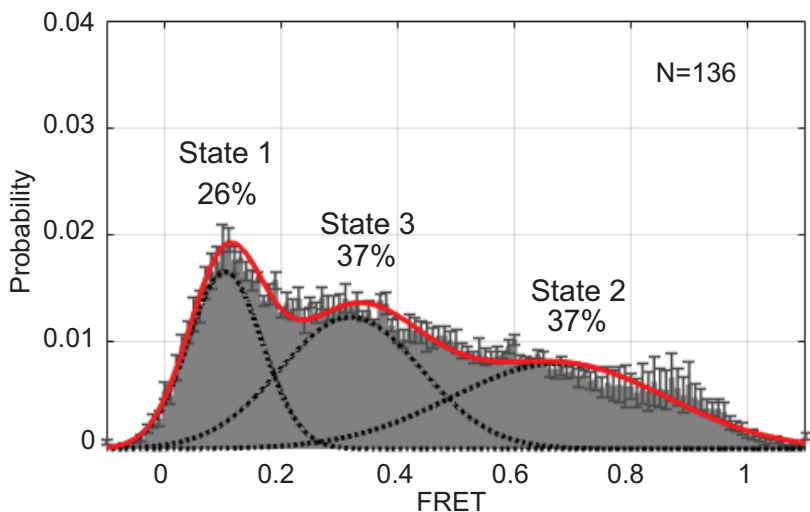
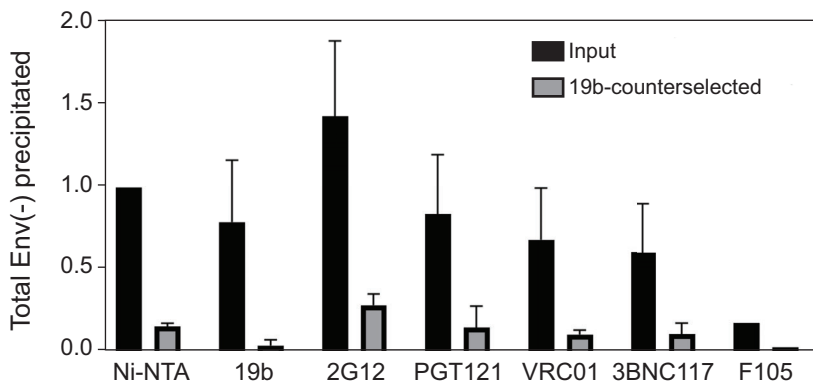
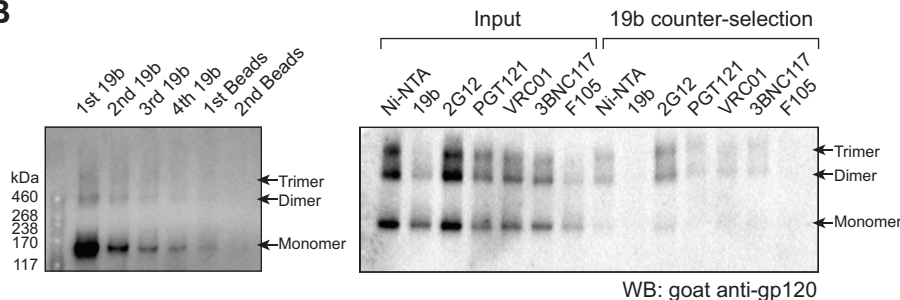
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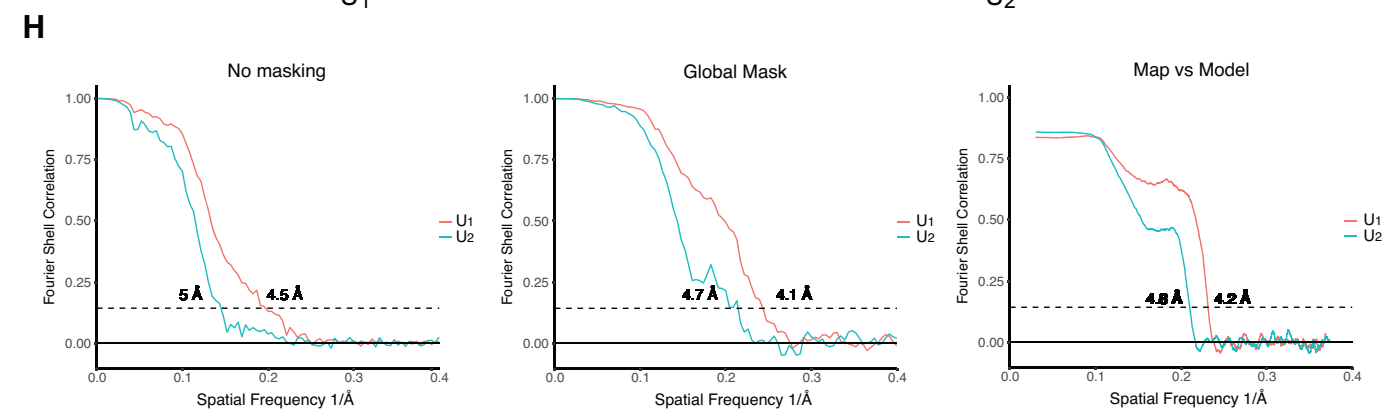
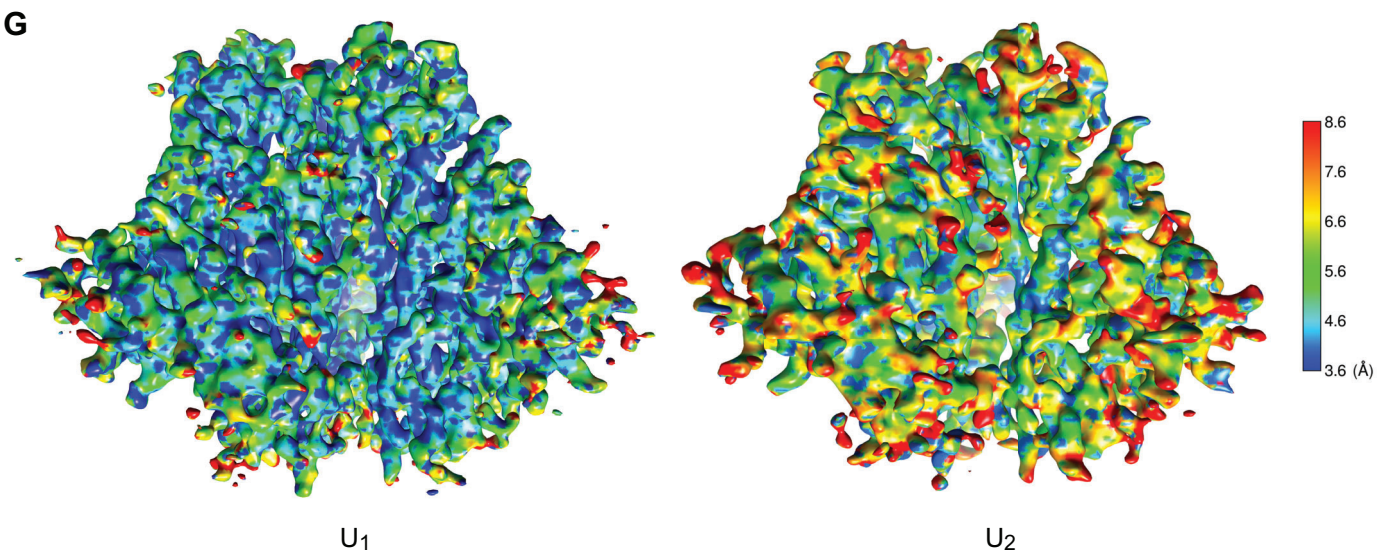
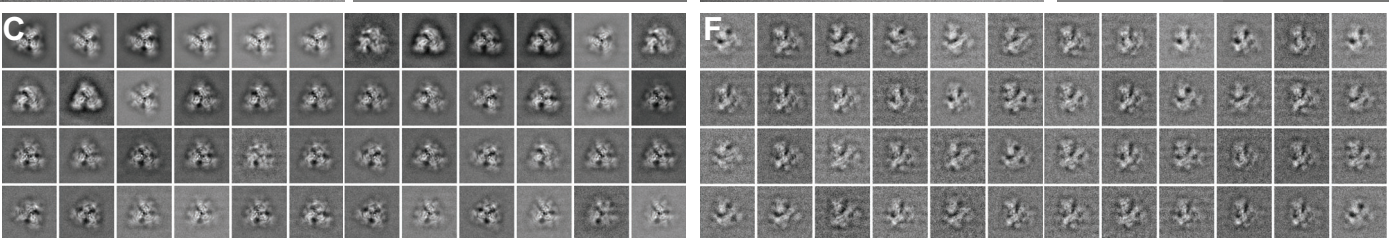
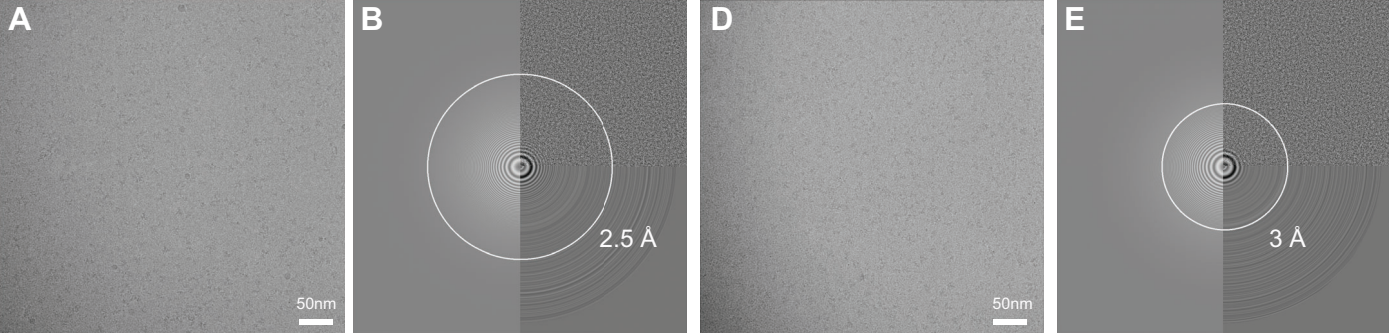
1741 **Figure 11. Effect of BMS-806 on the synthesis, processing and glycosylation of**
1742 **wild-type HIV-1_{AD8} Env.** A549-Gag/Env cells were treated with BMS-806 (10 μ M) or
1743 mock treated during doxycycline induction of Gag/Env expression. Lysates were

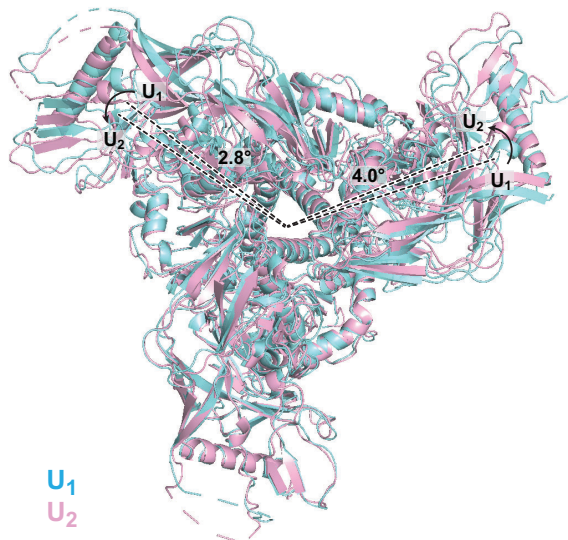
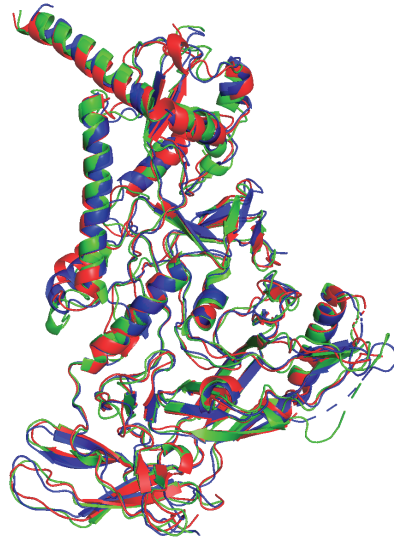
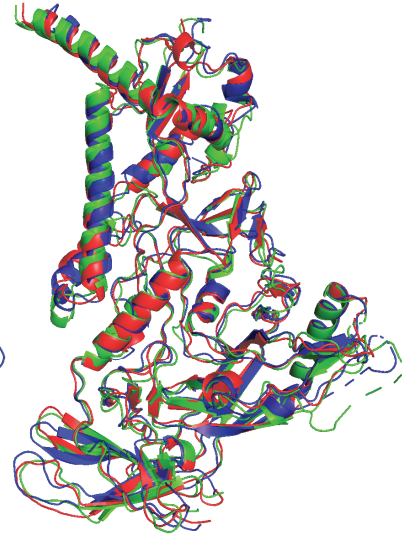
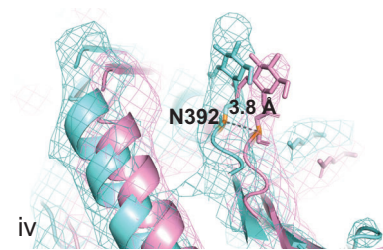
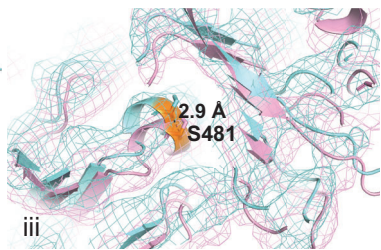
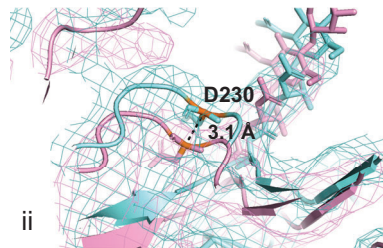
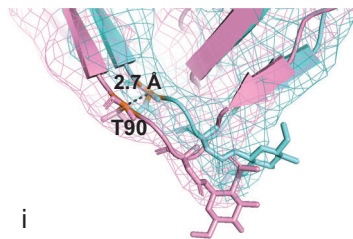
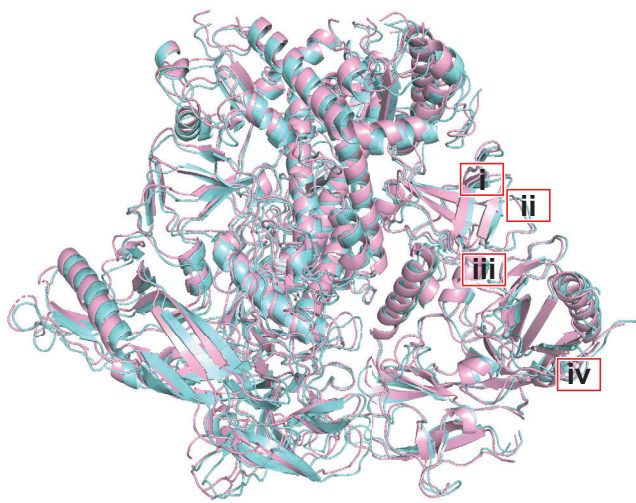
1744 prepared from cells (A) and supernatants containing virus-like particles (VLPs) (B), and
1745 were treated with Peptide-N-glycosidase F (PNGase F) or Endoglycosidase Hf (Endo
1746 Hf), or mock treated (no Rx). The Envs were run on reducing SDS-polyacrylamide gels
1747 and analyzed by Western blotting. The deglycosylated gp160, gp120 and gp41 proteins
1748 (dgp160, dgp120 and dgp41, respectively) are indicated by arrows (red – PNGase F-
1749 treated sample; green – Endo Hf-treated sample). Data in this figure are representative
1750 of those obtained in two independent experiments.
1751

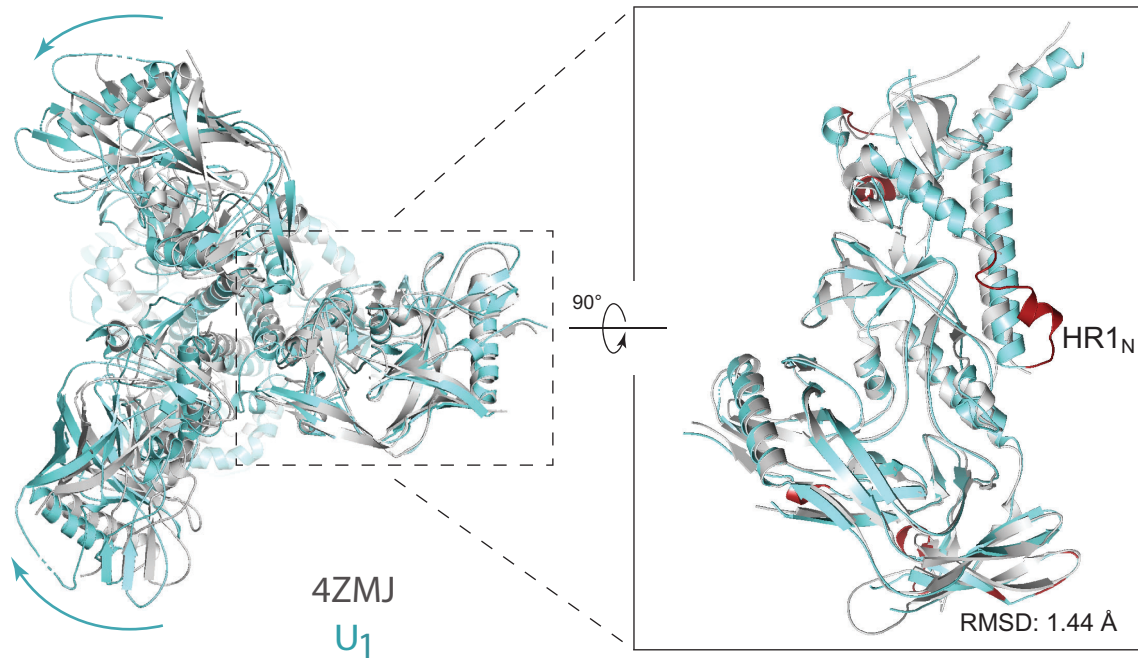
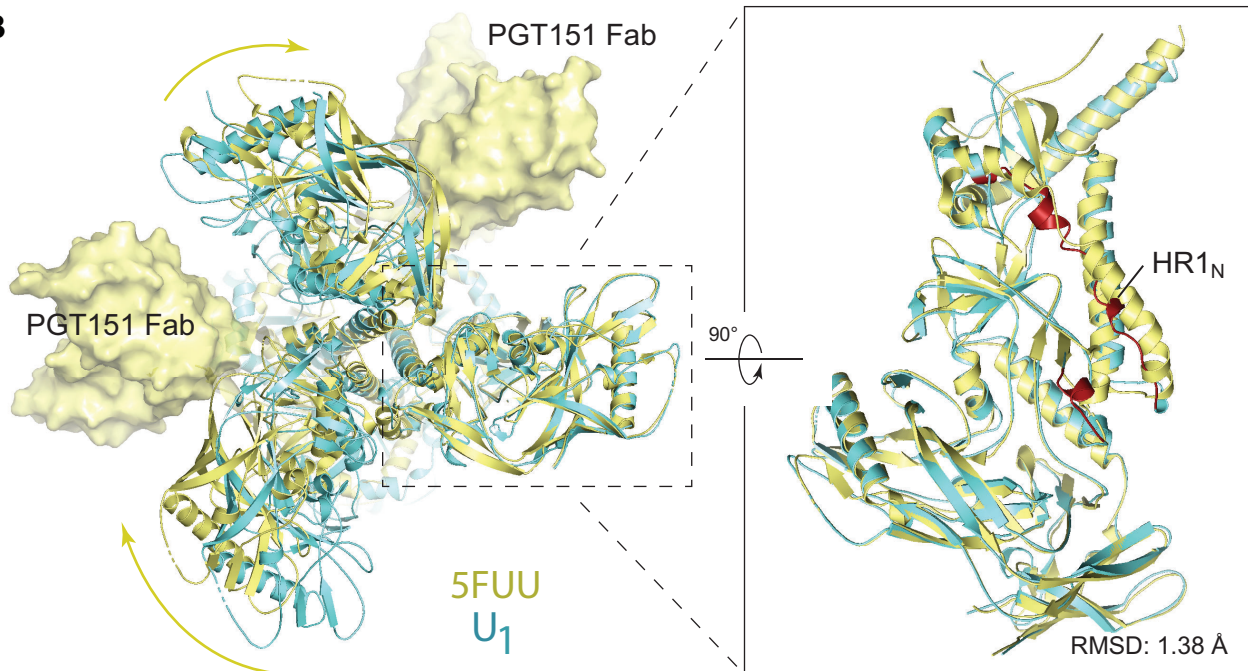
A**B**

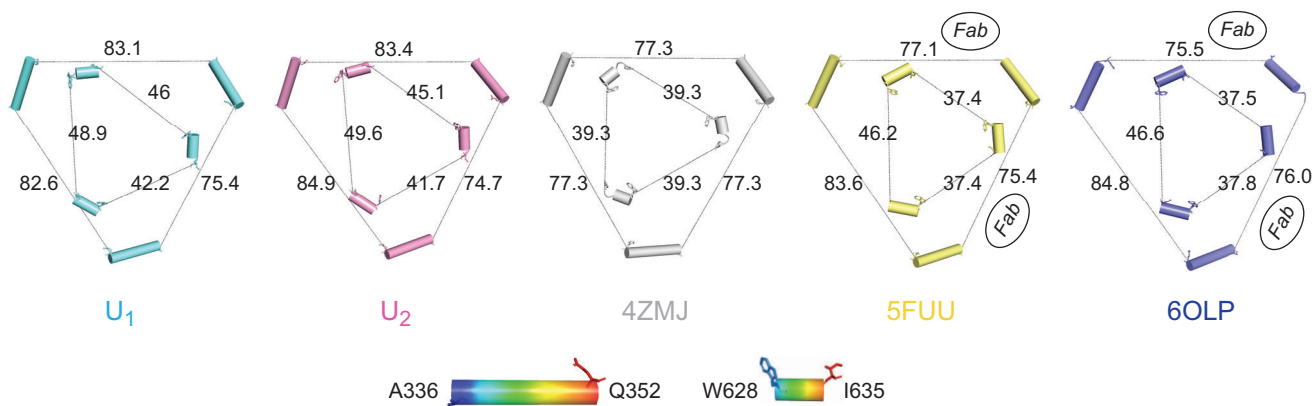
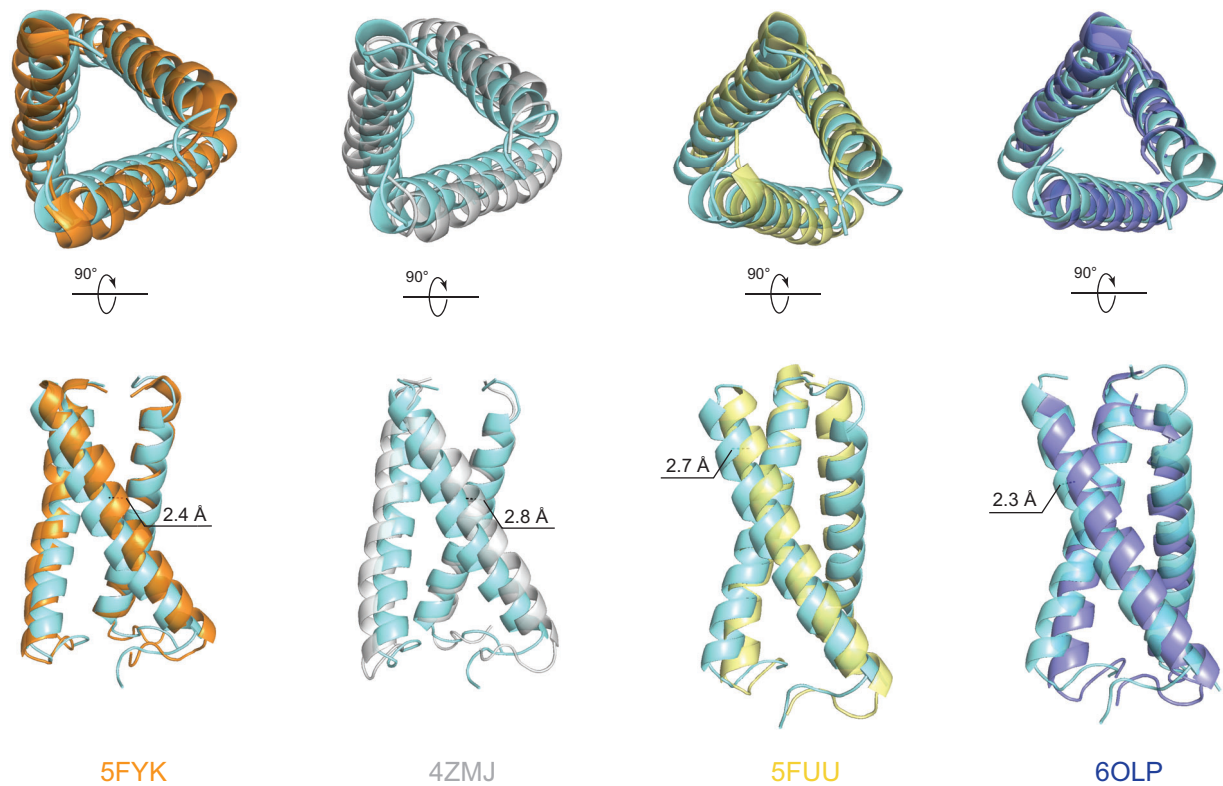


A**B**



A**B****C****D**

A**B**

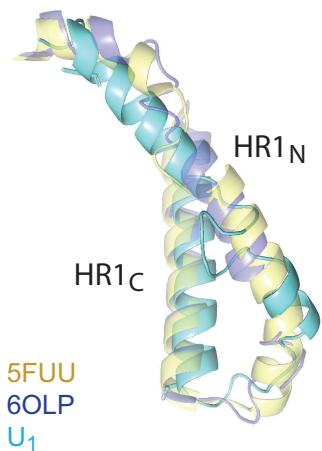
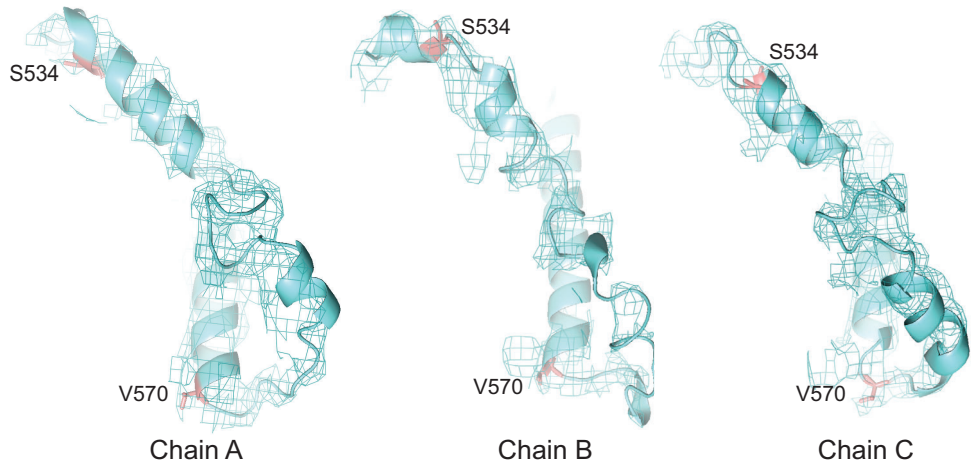
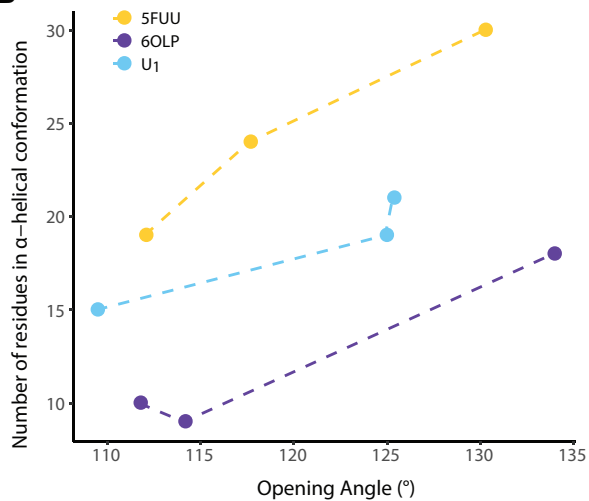
A**B**

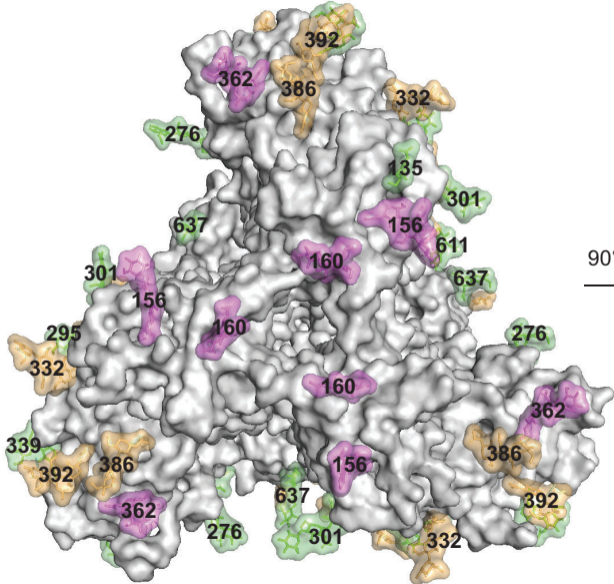
A

Chain A: SMTLTVQARLLLSGIVQQQNNLLRAIEAQQRMLQLTV

Chain B: SMTLTVQARLLLSGIVQQQNNLLRAIEAQQRMLQLTV

Chain C: SMTLTVQARLLLSGIVQQQNNLLRAIEAQQRMLQLTV

C**D****B**



90°

