1 2	Biallelic mutations in cancer genomes reveal local mutational determinants
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13	The infinite sites model of molecular evolution requires that every position in the genome
14	is mutated at most once ¹ . It is a cornerstone of tumour phylogenetic analysis ² , and is often
15	implied when calling, phasing and interpreting variants ^{3,4} or studying the mutational
16	landscape as a whole ⁵ . Here we identify 20,555 biallelic mutations, where the same base
17	is mutated independently on both parental copies, in 722 (26.0%) bulk sequencing
18	samples from the Pan-Cancer Analysis of Whole Genomes study (PCAWG). Biallelic
19	mutations reveal UV damage hotspots at ETS and NFAT binding sites, and hypermutable
20	motifs in POLE-mutant and other cancers. We formulate recommendations for variant
21	calling and provide frameworks to model and detect biallelic mutations. These results
22	highlight the need for accurate models of mutation rates and tumour evolution, as well as
23	their inference from sequencing data.
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Recent studies have shown systematic variation in mutation rates across the genome, resulting in specific hotspots^{5–7}. In addition, breakdown of the infinite sites assumption at the scale of individual single nucleotide variants (SNVs) was flagged up in single cell tumour sequencing data as a potential confounder during phylogenetic reconstruction⁸. It is unclear however, whether mutational recurrence is likely to be observed in practice within bulk tumour samples. Population averaging and limited long-range information carried by short-read bulk sequencing make it difficult to directly assess the validity of the infinite sites model.

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34 During the evolution of a single diploid lineage, four classes of infinite sites model violations may be considered (Figure 1): (i) biallelic parallel, where two alleles independently mutate to 35 36 the same alternate base; (ii) biallelic divergent, by independent mutation of two alleles, each to 37 another base; (iii) monoallelic forward, where one variant is mutated to another; and (iv) 38 monoallelic back, whereby an earlier variant reverts back to wild type. We focus on biallelic 39 mutations - which can also serve as a proxy for parallel events in different lineages -40 hypothesising these may be observed directly in bulk tumour genome sequencing data. Loss of 41 a variant owing to large-scale genomic deletion is not considered, as it does not strictly 42 contradict the infinite sites assumption, yet such events should be adequately assessed when interpreting cancer genomes^{2,8,9}. 43

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45 To assess the landscape of infinite sites violations, we start with a simulation approach using 46 the PCAWG dataset of 2,658 whole-genome sequenced cancers. We resample a tumour's 47 observed mutations, preserving mutational signature exposures (96 mutation types, trinucleotide contexts)^{10,11} but otherwise assuming uniform activity of mutational processes 48 49 across the callable regions of a diploid genome (uniform permutation model; Table S1, 50 Methods). Given that mutation rates are most certainly not uniform and that any deviation from uniformity will only increase the number of violations⁵, this derives a lower bound. Even 51 52 at this lower bound, these simulations indicate at least one violation in 147 tumours (5.5%, 53 Figure 2a). Overall, biallelic parallel mutations represent the most common class of infinite 54 sites model violation, with different tumour types showing different contributions from the 55 other classes. A second simulation approach, resampling (without replacement) mutations from 56 tumours of the same cancer type with similar mutational signature activities, confirms these 57 observations (neighbour resampling model; Figure 2b, Table S2, Methods). Consistent 58 differences between the simulators, in the number of violations per tumour type, inform on the 59 non-uniformity of the mutational processes, *i.e.* a reduced "effective genome size" (akin to the population genetics concept of effective population size). With a median 75-fold excess 60 61 violations compared to the uniform permutation model, the effective genome size is smallest in Lymph-BNHL ($\sim 2,782/75 = 37Mb$; Figure 2c, Methods), driven by somatic 62 63 hypermutation recurrently targeting specific regions¹².

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65 The distinct preferences for parallel, divergent, forward and back mutation may be understood 66 from the active mutational processes (**Figure 2d**). For instance, the dominant mutagenic 67 activity of UV light in cutaneous melanoma (single base substitution signature 7a/b, SBS7a/b)

68 yields almost uniquely C>T substitutions in CC and CT contexts^{10,11}, which can only result in the accumulation of biallelic parallel mutations. In contrast, in a case of oesophageal 69 adenocarcinoma, the interplay between SBS17a and b^{10,11} results in various substitutions of T 70 in a CTT context, generating both parallel and divergent variants. Back and forward mutation 71 72 may occur when the variant allele retains considerable mutability. A case of biliary 73 adenocarcinoma shows SBS1 (ageing) in combination with SBS21 and 44 (mismatch 74 repair)^{10,11}, which can result in A[T \sim C]G and G[T \sim C]G back mutation. An example of forward mutation comes from lung adenocarcinoma, in which tobacco smoking (SBS4)^{10,11} 75 76 drives C[C>A]C substitutions which can be followed by G[T>A]G, appearing as a single 77 C[C>T]C change.

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79 Encouraged by the simulation results, we set out to directly detect biallelic mutations in the 80 bulk sequenced PCAWG tumours. Parallel mutation increases the variant allele frequency (VAF) and may be distinguished from local copy number gains by comparing the VAF to the 81 82 allele frequencies of neighbouring heterozygous SNPs, taking tumour purity and total copy 83 number into account (Methods). Additionally, when proximal to a heterozygous germline 84 variant, read phasing information can also evidence independent mutation of both alleles. This dual-pronged approach is illustrated for melanoma DO220906, where we identify a total of 85 480 parallel mutations, 74 of which are supported by phasing data (Figure 3a,b, Table S3). 86 87 Leveraging SNV-SNP phasing, we estimate our VAF-based approach shows a median 88 precision of 86.8% and recall of 54.5% (Methods). No parallel mutations are called in regions 89 with loss of heterozygosity, as they cannot be distinguished from early mutations followed by 90 copy number gains.

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92 Likewise, divergent mutations can be picked up by variant callers but are traditionally 93 considered artefacts and filtered out⁴. As neither the PCAWG consensus nor the four 94 contributing variant callers report divergent mutations, we recall mutations with Mutect2 for 95 195 relevant cases, allowing up to two alternative alleles instead of one (Methods). In 96 melanoma DO220906, this yields 8 divergent mutations: 1 with two novel alleles and 7 which 97 add a second alternative allele to a PCAWG consensus variant (Figure 3c, Table S3-4). 98 Overall, recalling identifies a median 96.3% of consensus variants and adds 9.5% novel 99 variants, with 0.04% of the latter contributed by divergent mutations (Figure S1, Table S4). 100 For 90% of divergent mutations, one of the two alternate alleles is already reported in the 101 PCAWG consensus.

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In total, we identify 5,330 divergent mutations, 15,167 parallel SNVs and 29 dinucleotide 103 104 variants in 722 (26.0%) PCAWG samples (Tables S4–5). We reveal 8 candidate biallelic driver 105 events, including parallel nonsense mutations in tumour suppressors ASXL2 and CDKN2A 106 (Table S6). VAF outlying parallel mutations confirmed by phasing to proximal SNPs are found 107 in cases of hepatocellular carcinoma and pancreatic adenocarcinoma with as few as 8,892 and 108 8,941 SNVs (Figure 4). Likewise, divergent mutations matching the predicted types are 109 repeatedly identified in oesophageal adenocarcinoma samples with 20,000-30,000 SNVs, 110 while they are absent from melanoma cases with a similar total mutation burden. On the other 111 end of the spectrum, phasing indicates that two ultra-hypermutated colorectal adenocarcinomas 112 each boast around 8,000 parallel and 1,700 divergent mutations.

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114 As hinted above (Figure 2d), biallelic mutations are expected to carry a mutational footprint 115 determined by, but distinct from, the overall mutational profile. For example, as parallel 116 mutations require two independent and identical hits, they are predicted to show a mutation 117 spectrum similar to the square of that of the regular SNVs (Figure 5a,b). Indeed, the observed 118 biallelic mutations are better explained by the simulated violation spectra than the overall mutation spectra ($p = 1.47 \times 10^{-2}$ and 1.35×10^{-8} for parallel and divergent, respectively, median 119 120 simulated–observed cosine similarities 0.945 and 0.944, Mann–Whitney U, samples with ≥ 10 121 violations). This further supports the accuracy of our biallelic mutation calls, excluding major 122 contributions from sequencing and alignment artefacts, missed germline variants, undetected 123 focal tandem duplicator phenotypes, precursor lesions or an as yet unknown somatic gene 124 conversion process.

125

While there is a close match between the simulated and observed biallelic mutation spectra, the assumption of a uniform distribution results in a gross underestimate of the number of observable violations. Various melanomas and oesophageal adenocarcinomas harbour over 8 to 32-fold excess biallelic mutations (**Figure 5c**). In contrast, the neighbour resampling model is more accurate, confirming that the effective genome size perceived by various mutational processes is only a fraction of the callable human genome (**Figures 3c** and **5d**, **Methods**).

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Non-uniformity of the mutation rate should result in more biallelic mutations at loci with a higher mutability (*i.e.* hot spots). Indeed, the fraction of loci with biallelic hits can be seen to increase along with the mutation rate as observed in the PCAWG cohort (**Figure S2**). In

136 addition, recurrent biallelic events suggest a high base-wise mutation rate at some positions in the genome (Figure 6a). The most frequently hit locus is the promoter of RPL18A 137 (chr19:17,970,682), showing three parallel violations and one biallelic variant, accounting for 138 8 independent hits, all in melanoma (Figure S3). Across PCAWG, 9 more melanomas carry 139 140 monoallelic SNVs at this position (12% total)¹³. Differential motif enrichment at loci with 141 biallelic vs. trinucleotide-matched monoallelic hits in melanoma reveals enrichment of 142 YCTTCCGG and WTTTCC motifs (Figure 6a,b)¹⁴. YCTTCCGG motifs are recognised by 143 E26 transformation-specific (ETS) transcription factor family members. Binding has been 144 shown to render them more susceptible to UV damage due to a perturbation of the TpC C5-C6 interbond distance d and torsion angle η , favouring cyclobutane pyrimidine dimer 145 formation (Figure 6c,d)^{15,16}. The WTTT<u>C</u>C motif matches the recognition sequence for 146 Nuclear factor of activated T-cells (NFAT) transcription factors^{17,18}. Analysis of crystal 147 structures of NFATc1-4 in complex with its cognate DNA indicates that binding induces 148 149 similar, albeit less outspoken, conformational changes to the TpC dinucleotide which may 150 explain its increased UV-mutability (Figure 6d).

151

152 Motif enrichment analysis on bi- vs. monoallelic sites from colorectal adenocarcinoma reveals 153 special cases of the sequence contexts of SBS10a/b and SBS28, which are associated with Pol ε exonuclease domain mutations (Figure 6a,e)^{10,11,19}. AWTTCT and TTCGAA carry extra 154 adenosine and thymine bases surrounding the regular trinucleotide context of the mutated C in 155 156 SBS10, a preference also observed in the recent extension from tri- to pentanucleotide contexts¹¹. It is unclear how these additional bases contribute to the mutability of these motifs. 157 158 A 5mC mutator phenotype of *POLE*-mutant cancers has been described however²⁰, and we confirm methylation of these loci in normal colon (median methylation rate 0.84, one-sided 159 160 Mann–Whitney U test vs. background, $p = 4.87 \times 10^{-5}$), providing context for the latter motif. In case of the SBS28 hypermutable motif AAA<u>TTT</u>, the presence of an AAA stretch upstream 161 of the mutated T is also yet to be explained. Likewise, AT-rich sequences surrounding the 162 163 canonical SBS17-mutable trinucleotide context CTT can render some loci hypermutable in 164 oesophageal and stomach adenocarcinomas (AAACTTA motif; Figure 6a,e). Pentanucleotide mutational signatures confirm the local AT-bias¹¹ and it is tempting to speculate secondary 165 166 structure could be involved.

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Last, it is worth highlighting recurrent (biallelic) mutation at chr6:142,706,206, in an intron of
 ADGRG6 (Figure 6a). The CTCTTTGTAT-GTT<u>C</u>-ATACAAAGAG palindromic sequence

170 may adopt a hairpin structure, exposing the hypermutable C at the last position of a 4bp loop

171 and rendering it susceptible to APOBEC3A deamination, in line with recent findings⁷.

172

Taken together, we identify 20,555 biallelic mutations in 26% of PCAWG cases, 173 174 demonstrating how the infinite sites model breaks down at the bulk level for a considerable 175 fraction of tumours. By extension, the model is untenable in most, if not all, tumours at the 176 multi-sample or single cell level, as violations become increasingly frequent for larger sets of 177 mutations and lineages (Figure S4). If not correctly identified, biallelic mutations confound 178 variant interpretation, ranging from driver inference to subclonal clustering and timing 179 analyses, as well as phylogenetic inference. Nevertheless, at-scale detection of biallelic 180 mutations affords an intimate look at previously hidden features of the mutational processes operative in cells, such as hot spots, hypermutable motifs and the molecular mechanisms of 181 182 DNA damage and repair. These observations underscore the need for accurate models of mutation rates and tumour evolution as well as careful interpretation of allele frequencies, 183 184 phasing data and driver inference.

185 Figure legends

186 Figure 1 | Possible violations of the infinite sites assumption in a single clonal lineage

187 Two subsequent mutations at a diploid locus can affect the same or alternate alleles. Depending188 on the base changes, there are four scenarios: biallelic parallel or divergent mutations affect

- 189 separate alleles, whereas monoallelic forward and back mutation hit the same allele twice.
- 190

191 Figure 2 | Simulated landscape of infinite sites violations in the PCAWG cohort

- 192 (a) Number and type of infinite sites violations in 147 PCAWG samples with ≥ 1 expected 193 violation under a uniform mutation distribution. Bar height indicates the expected number of 194 violations and coloured subdivisions represent the fractions contributed by each violation type. 195 Tumour histology of the samples is colour-coded below the bars. The four samples highlighted 196 in (d) are indicated. (b) Comparison of the expected biallelic violations from the uniform 197 permutation and neighbour resampling models. Every dot represents a tumour simulated 1000x with each model. Colour and size reflect, respectively, tumour type and the cosine similarity 198 199 of the predicted infinite sites violation mutation spectra. (c) Box and scatterplot showing the 200 effective genome size perceived by the mutational processes per cancer type, as estimated from 201 the per sample differences between simulation approaches. The dashed line indicates the 202 callable genome size. (d) Mutational spectra of four tumours with distinct violation 203 contributions indicated in (a). The 16 distinct trinucleotide contexts are provided on the x-axis 204 for C>A type substitutions and are the same for each coloured block. The proportion of parallel, 205 divergent, back and forward mutation is indicated in the stacked bar on the right. Frequent 206 combinations of mutations leading to specific infinite site violations are highlighted.
- 207

208 Figure 3 | Detecting biallelic mutations in a case of melanoma

209 (a) Tumour allele-specific copy number and binned mutation copy number (hexagons) plotted 210 for chromosomes 1–5 of melanoma DO220906. Somatic SNVs with a mutation copy number 211 exceeding that of the major allele (and equal to the total copy number) are evident, suggesting 212 biallelic parallel mutation events. Error bars represent the posterior 95% highest density 213 intervals. (b,c) IGV visualisation of DO220906 tumour (top) and matched normal (bottom) 214 sequencing data at two loci, illustrating how read phasing information can confirm independent 215 mutation of both parental alleles for (b) parallel and (c) divergent mutations detected after 216 recalling using Mutect2 (Methods). Reads (horizontal bars) are downsampled for clarity and 217 local base-wise coverage is indicated left of the histograms.

218

219 Figure 4 | Landscape of biallelic mutations across PCAWG

- Number of observed parallel (red) and divergent (blue) mutations plotted in context of the total SNV burden for 84 PCAWG samples with \geq 1 phasing-confirmed VAF hit. The range of parallel mutations expected purely from SNV-SNP phasing is also indicated (95% confidence interval, red vertical bars) as this approach is less sensitive to purity and copy number state than the VAF-based analysis. Samples for which the number of divergent mutations is not shown, were not considered for Mutect2 recalling.
- 226

227 Figure 5 | Comparison between observed and simulated biallelic mutations

228 (a) Bar chart highlighting the mutation spectrum of observed and predicted parallel mutations 229 as well as the background SNVs for melanoma DO47331. Cosine similarities between the 230 spectra are indicated. (b) Similar as (a) but showing divergent mutations for oesophageal 231 adenocarcinoma DO50406. Bars are stacked to reflect the frequency of the colour-coded base 232 changes indicated on top. Error bars represent the posterior 95% highest density intervals. (c,d) 233 Scatterplots of the observed *vs.* expected number of biallelic mutations (parallel + divergent) 234 for all PCAWG samples for the uniform permutation (c) and neighbour resampling models (d). 235 A spline regression fit is shown together with the Pearson correlation.

236

237 Figure 6 | Biallelic mutations reveal tumour type-specific mutational hot spot contexts

238 (a) Heatmap of the fifty most frequently mutated loci in PCAWG with at least one biallelic 239 mutation. The number of parallel/divergent mutations at each site is indicated, as are gene 240 annotations, the underlying mutational processes, and the local sequence context with emerging motifs. For chr6:142,706,206, part of the stem and loop of a local sequence 241 242 palindrome are indicated. MSI, miscrosatellite instability. (b) Sequence logos of motifs 243 enriched at loci with biallelic mutations in melanoma (top) and corresponding transcription 244 factor recognition sequences (bottom). (c) Superposition of TpC dinucleotides in crystal 245 structures of ETS-bound (GABP), NFAT-bound (NFAT1c) and free B-DNA (PDB IDs, 246 1AWC, 10WR and 1BNA, respectively). The distance d between the midpoints of the two 247 adjacent C5–C6 bonds as well as their torsion angle is indicated. (d) Scatter plot showing the 248 distances and angles indicated in (c) as observed in crystal structures from the RCSB protein 249 data bank. (e) Sequence logos of motifs enriched at loci with biallelic mutations in colorectal 250 adenocarcinoma (SBS10, 28) and oesophageal/stomach adenocarcinoma (SBS17).

251

252 Supplementary figure legends

253 Figure S1 | Variant recalling results on 195 PCAWG tumours

- 254 Dot plot showing the total number of PCAWG consensus SNV calls and the number of
- 255 divergent mutations identified after recalling with Mutect2 (top). Fraction of PCAWG
- 256 consensus calls recovered during recalling and fraction of new calls (bottom).
- 257

258 Figure S2 | Loci with biallelic mutations have higher intrinsic mutability

- 259 The fraction of loci with biallelic mutations is plotted for loci with 1, 2, ..., 7 monoallelic SNVs
- 260 across PCAWG. Loci are further stratified per trinucleotide context. Bootstrap resampling is
- 261 performed to obtain 95% confidence intervals.
- 262

263 Figure S3 | Recurrent mono- and biallelic mutation of the *RPL18A* promoter

- 264 Histograms of read and base coverage in 13 melanoma tumour-normal pairs showing mono-
- 265 or biallelic mutation of the ETS-binding T<u>C</u>TTCCG motif at the *RPL18A* promoter.
- 266

267 Figure S4 | Infinite sites violations in a multi-sample setting

- 268 Simulation results showing how the number of infinite sites violations increases when multiple
- samples are considered, each with the indicated mutational load (coloured lines). Gray bands
- 270 indicate 95% confidence intervals of a spline fit.
- 271 272

273	Supplementary tables
274	Table S1 Uniform permutation-based infinite sites simulation results
275	Number of biallelic parallel and divergent, and forward and backwards-type infinite sites
276	violations in 1000 simulations using a uniform permutation approach across the callable
277	genome
278	
279	Table S2 Neighbour resampling-based infinite sites simulation results
280	Number of biallelic parallel and divergent-type infinite sites violations in 1000 simulations of
281	a resampling-based approach using tumours of the same cancer type with similar mutational
282	processes.
283	
284	Table S3 Mutect2 variant calling in 195 PCAWG samples
285	For each sample – selected for its likelihood of harbouring biallelic divergent mutations or
286	belonging to the same cohort of samples with a high likelihood – variant calls are compared
287	to the PCAWG consensus SNV calls and the number of biallelic mutations is given.
288	
289	Table S4 Biallelic divergent mutations in 195 PCAWG samples
290	List of identified biallelic divergent mutations with read counts and additional quality control
291	metrics.
292	
293	Table S5 Biallelic parallel mutations in PCAWG
294	List of all identified biallelic parallel mutations in PCAWG with read counts and additional
295	quality control metrics.
296	
297	Table S6 Candidate biallelic driver mutations
298	List of all nonsynonymous biallelic mutations in known cancer driver genes (COSMIC and
299	PCAWG consensus driver gene lists).
300	

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315 **Online methods**

316 Singe Nucleotide Variant calling

Consensus single and multi-nucleotide variant calls are obtained from the ICGC-TCGA 317 PCAWG project¹². Briefly, these calls were constructed according to a "2+ out of 4" strategy, 318 319 where calls made by at least two callers (the three Broad, EMBL/DKFZ, and Sanger core 320 PCAWG pipelines, plus MuSE v1.0) were selected as consensus calls. Post-merging, these 321 calls were subject to further quality control including filtering against oxidative artefacts 322 (OxoG) and alignment (BWA vs. BLAT) or strand biases resulting from different artefact-323 causing processes, as well as checks for tumour-in-normal and sample cross-contamination. Crucially, great care was taken to avoid "bleed-through" of germline variants into the somatic 324 325 mutation calls. Specifically, absence from the Broad panel-of-normals based on 2,450 PCAWG samples and a higher read coverage (≥ 19 reads with at most one read reporting the alternate 326 327 allele) in the matched normal sample were required to call a somatic mutation at one of the 328 >14M common (>1%) polymorphic loci of the 1000 genomes project. SNVs that overlapped a 329 germline SNV or indel call in the matched normal were also removed. Sensitivity and precision 330 of the final consensus somatic SNV calls were 95% (90% confidence interval, 88–98%) and 331 95% (90% confidence interval, 71-99%), respectively, as evaluated by targeted deep-332 sequencing validation¹². Of note, 18 biallelic parallel mutations identified here were covered 333 by the PCAWG validation effort with 17 passing and one not being observed.

334

335 To identify biallelic divergent variants, which are filtered out in PCAWG, we recalled variants 336 on 195 non-graylisted PCAWG tumour-normal pairs (that do not show any tumour-in-normal 337 contamination) where we might reasonably expect to find such mutations according to our 338 uniform permutation simulations. Included also, as an internal control, are all other samples 339 from the Australian PCAWG melanoma cohort (MELA-AU) which meet these criteria but in 340 which we do not expect biallelic divergent mutations. SNVs and indels are called using 341 Mutect2 (GATK v4.0.8.1) on the base quality score-recalibrated PCAWG bam files and filtered following best practices²¹. The Genome Aggregation Database (gnomAD) was 342 343 provided as a germline resource for population allele frequencies and an additional panel of 344 normals was also derived from all matched normal cases. To prevent filtering of biallelic 345 variants, FilterMutectCalls is run with the --max-alt-allele-count flag set to 2. Additional filtering against potentially missed germline SNPs was done by requiring a posterior 346 probability for the alternative allele to be germline (P GERMLINE) < -1 for both of the 347

alternate alleles and requiring a minimal depth of 19 high quality reads (mapping quality \ge 35 and base quality \ge 20) in the matched normal sample.

350

351 Consensus copy number, purity and ploidy

352 PCAWG consensus copy number profiles were obtained from Dentro et al.³. Briefly, we first 353 segmented each cancer's genome into regions of constant copy number using six individual 354 copy number callers. Segment breakpoints were based on the PCAWG consensus structural 355 variants (SVs) complemented with high-confidence breakpoints identified by several of the 356 copy number callers. The six callers were then re-run, enforcing this consensus segmentation 357 as well as separately established consensus tumour purity and ploidy values, to determine the allele-specific copy number of each segment. The allele-specific copy number calls were then 358 359 combined into a consensus profile using a multi-tiered approach and segments were assigned 360 a level of confidence.

361

362 Simulating infinite sites violations

363 To estimate the number of infinite sites violations in tumours, we developed two distinct364 simulation approaches leveraging the SNV calls in the PCAWG cohort.

365

366 Our first simulator (termed uniform permutation model) resamples the observed SNVs in a 367 tumour uniformly across the callable regions of the chromosomes, according to the observed 368 trinucleotide-based mutational spectrum. A single simulation proceeds as follows. First, the 369 total mutational load $n_{t,sim}$ is resampled from a gamma–Poisson mixture where the Poisson 370 rate parameter $\lambda \sim$ Gamma with mode equal to the observed mutational load $n_{t,obs}$ and a 371 standard deviation $\sigma = 0.05 \times n_{t,obs}$. That is: $n_{t,sim} \sim Poisson(\lambda \sim Gamma(r, \beta))$ where

the rate of the Gamma distribution $r = n_{t,obs} + \sqrt{n_{t,obs}^2 + 2\sigma^2} / \frac{2\sigma^2}{2\sigma^2}$ and the shape $\beta = 1 + n_{t,obs} \times r$. Mimicking the observed distribution, these mutations are then divided across the chromosomes according to a Dirichlet-multinomial model with $n_{t,sim}$ trials and parameter vector $\boldsymbol{\alpha}$ where α_i is equal to 1 + the total mutational burden on chromosome *i*. That is: $n_{sim} \sim Mult \left(n_{t,sim}, \pi \sim Dir(\boldsymbol{\alpha}) \right)$ with $\boldsymbol{\alpha} = \left(n_{1,obs}, n_{2,obs}, \dots, n_{X,obs} \right) + 1$. Next, mutation spectra per chromosome $(\boldsymbol{\pi}_i)$ are sampled from a Dirichlet distribution with parameter vector $\boldsymbol{\mu}_i$ where $\boldsymbol{\mu}_{i,j}$ is equal to a pseudocount $\boldsymbol{\psi}_j$ derived from the overall mutational spectrum plus

the observed number of mutations of type j on chromosome i. That is: $\pi_i \sim Dir(\mu_i)$ with $\mu_i =$ 379 $(\mu_{i,A[C>A]A,obs}, \mu_{i,A[C>G]A,obs}, \dots, \mu_{i,T[T>G]T,obs}) + \psi$ 380 with $\psi =$ $(\mu_{t,A[C>A]A,obs} + 1, \ \mu_{t,A[C>G]A,obs} + 1, \dots, \ \mu_{t,T[T>G]T,obs} + 1) \times 23/n_{t,obs}$. These spectra are 381 then normalised to mutation type probabilities using the trinucleotide content on the 382 corresponding chromosomes. In turn, the probabilities are used for rejection sampling of $n_{i,sim}$ 383 mutations at trinucleotides sampled uniformly along the two (diploid) copies of the callable 384 385 parts of chromosome *i*. The resulting mutation spectra are indistinguishable from the observed 386 spectrum of the sample. During simulation, the algorithm keeps track of which allelic positions 387 have been mutated and considers them accordingly for (i) biallelic parallel mutation, where 388 two alleles independently mutate to the same alternate base; (ii) biallelic divergent mutation, 389 by independent mutation of two alleles each to another base; (iii) back mutation, whereby an 390 earlier introduced variant is mutated back to the wild type; and (iv) forward mutation, where 391 an earlier variant is mutated to another. Simulations are repeated 1,000 times for each sample 392 and the totals, median and 95% intervals are reported for each violation type and context.

393

394 In a second simulation approach (termed neighbour resampling model), we resample without 395 replacement the mutational landscape of a tumour from the pooled SNVs of representative 396 PCAWG tumours. In this context, we define a tumour as representative for the simulation target 397 when it is of the same tumour type (PCAWG histology) and has similar mutational signature 398 exposures (cosine similarity of their mutation spectra ≥ 0.9). Note that this approach allows to 399 simulate biallelic events but not back and forward mutation. We further exclude all gravlisted 400 and non-preferred multi-sample tumours as well as 21 prostate cancer cases from the PRAD-401 CA cohort which were suspect of contamination harbouring excess low VAF SNV calls in 402 repetitive regions.

403

404 Identification of parallel mutations – allele frequencies

Parallel mutation increases the variant allele frequency, which can be picked up by comparing it to the B-allele frequency (*BAF*) of local heterozygous SNPs, taking tumour purity and local total copy number (log R) into account. In the first part of the approach, we obtain phased *BAF* values and log R as an intermediate output of the Battenberg copy number calling pipeline³. Briefly, allele counts at 1000 Genomes v3 SNP loci are extracted from the matched tumour and normal bam files using alleleCount with a minimal base quality of 20 and mapping quality of 35. Heterozygous SNPs are identified as having 0.1 < BAF < 0.9. in the matched normal

412 sample and poorly behaving loci are filtered out (Battenberg problematic loci file). Haplotypes 413 are imputed using Beagle5 followed by a piecewise constant fit of the phased tumour *BAF* 414 values and flipping of haplotype blocks with mean BAF < 0.5. Total allele counts of tumour 415 and normal are converted into Log R values and corrected for GC-content and replication 416 timing artefacts.

417

418 BAFseq and log Rseq estimates are computed for all PCAWG consensus copy number 419 segments³. Allele counts at phased heterozygous SNPs are considered to be generated according to a beta-binomial model with $V_i \sim Bin(n_i = V_i + R_i, p \sim Beta(BAF_{seg} \times \psi, (1 - V_i)))$ 420 BAF_{seg} ($\times \psi$)) where V_i and R_i are, respectively, the observed counts of the major and minor 421 422 allele of SNP *i*, and ψ is a sample-specific concentration parameter (*i.e.* a pseudo-coverage of the average segment). For each sample, ψ is optimised between 50 and 1000, by computing 423 424 for each SNP a two-sided P-value from the beta-binomial model above and ensuring the robustly fitted slope of a QQ-plot of these P-values is equal to 1. 425

426

427 A similar model can subsequently be used to test whether a variant is present on a higher 428 number of copies than the number of copies of the major allele present in the tumour. In pure 429 tumour samples, this would be directly observable as their allele frequency exceeds that of 430 local heterozygous SNPs on the major allele. Considering admixed normal cells, however, the 431 maximal expected allele frequency needs to be corrected for tumour purity and total copy 432 number of the segment. This corrected "somatic" BAF can be derived as follows:

433

$$BAF_{som} = BAF_{seg} - \frac{1 - \rho}{(2(1 - \rho) + \rho\Psi_t)2^{\log R_{seg}}}$$

434 with ρ and Ψ_t , the PCAWG consensus tumour purity and ploidy (*i.e.* the average tumour copy 435 number), respectively³. This amounts to subtracting from the segment *BAF* the contribution of 436 the major allele from admixed normal cells.

437

The final beta-binomial model with BAF_{som} and ψ then describes the expected allele counts of clonal somatic variants carried on all copies of the major allele. This model is used to perform independent filtering and assess powered loci using a one-sided test for the SNVs contained on that copy number segment as $P(V_i \ge v | V_i + R_i, BAF_{som}, \psi)$. *P*-values are corrected for multiple testing according to Benjamini–Hochberg and SNVs are considered as potential parallel mutations when $q \le 0.1$.

444				
445	A number of additional quality checks and filters are in place to mitigate effects of potential			
446	errors and biases in allele counts, consensus genome segmentation, purity and ploidy:			
447				
448	i.	SNVs overlapping a known (1000 genomes v3) heterozygous germline SNP in the		
449		individual are filtered out.		
450	ii.	The robustly fitted slope of a QQ-plot of the final SNV <i>P</i> -values should be ≤ 1 , if not,		
451		sample purity may have been underestimated and the sample is excluded.		
452	iii.	Candidate parallel mutations with ≥ 2 heterozygous SNPs within 25 bp are filtered out		
453		as these affect mapping qualities and bias allele counts.		
454	iv.	SNVs in regions with inferred loss of heterozygosity (copy number of the minor allele		
455		equal to 0) in the PCAWG consensus copy number are not tested. Similarly, in males,		
456		only the pseudoautosomal regions of X are considered.		
457	v.	BAF and $log R$ of proximal heterozygous SNPs on either side of a candidate variant		
458		should not represent outliers on the segment as a whole, which could indicate a missed		
459		copy number event. For the BAF, we require the two-sided beta-binomial P-values of		
460		these SNPs, as computed above, to be > 0.001 and their combined <i>P</i> -value (Fisher's		
461		method) > 0.01. For the log R, identical thresholds apply, with P-values derived using		
462		a two-tailed test assuming a Gaussian distribution with mean equal to the median		
463		segment $log R$ and standard deviation the median absolute deviation adjusted for		
464		asymptotic consistency.		
465	vi.	If BAF_{som} is estimated to be < 0.05 for a segment, it is conservatively raised back to		
466		BAF_{seg} .		
467	vii.	Candidate variants from tumours in which neither the permutation nor the resampling-		

467 vii. Candidate variants from tumours in which neither the permutation nor the resampling 468 based simulator yielded any biallelic mutations across 1000 simulations were excluded.
 469

Further flags were included for quality control, but were not used during filtering of the final call set. (i) Candidate biallelic hits at T- and B-cell receptor loci are flagged to assess the impact of small V(D)J recombination-derived deletions in infiltrating immune cells on allele frequencies and coverage. (ii) For each variant, we checked whether it lifted over from the 1000 Genomes GRCh37 build (hg19) to a single location on the hg38 assembly, also requiring the same reference base at that position. (iii) SNVs were flagged if near a somatic or germline indel (position -10 to +25) in the same sample.

477

478 Identification of parallel mutations – variant phasing

Phasing information is obtained for all heterozygous SNP–SNV pairs that are within 700bp of one another. We apply the following stringent filtering: count only read pairs with mapping quality ≥ 20 , mismatch bases quality ≥ 25 , no hard or soft-clipping, that are properly paired, are not flagged as duplicates and do not have a failed vendor quality control flag. Furthermore, we remove read pairs with indels and those that have ≥ 2 mismatches in a single read or ≥ 3 in the whole pair (if both phased variants are spanned by different reads in the pair).

485

We infer a parallel mutation when, for a heterozygous SNP–SNV pair, at least 2 reads from each allele of the SNP report the somatic variant, *i.e.* at least 2 Ref-Alt and 2 Alt-Alt reads. In addition, Ref-Alt and Alt-Alt reads each should represent > 10% of the total phased reads. To avoid a scenario where, after a gain of the chromosome copy carrying the somatic variant, the phased allele of the heterozygous SNP is mutated to the non-phased allele, we require that the BAF of this SNP is not an outlier on the segment. As described above, this is accomplished by demanding that its two-sided beta-binomial *P*-value > 0.001.

493

494 While phasing info is sparse, it is less dependent on the local copy number state, purity and 495 coverage than the VAF approach detailed above. For instance, in contrast to tests on the allele 496 frequency, phasing to a heterozygous SNP can detect parallel mutations on a segment with 497 copy number 2+1 where both parental alleles have only one copy mutated. Phasing results may 498 therefore be used to evaluate the performance of the VAF approach in a sample. However, both 499 approaches are effectively blind in regions with loss of heterozygosity. Parallel mutations can 500 occur in these contexts when the copy number > 2 but cannot readily be distinguished from 501 early mutations which have occurred before the duplication.

502

503Precision and recall of the VAF-based approach are assessed by taking all phaseable SNVs (*i.e.*504SNP-SNV pairs having \geq 2 reads each for the SNP Ref and Alt alleles and \geq 4 reads reporting505the somatic variant) which have been evaluated in the VAF pipeline. Precision is calculated as506the fraction of VAF-inferred biallelic parallel mutations which are confirmed by phasing.507Recall is the fraction of phasing hits picked up through their allele frequencies. Overall508performance is reported as the median precision and recall for samples with \geq 10,000 phaseable509SNVs.

510

By extrapolating the rate of parallel mutation at phaseable SNVs to all testable SNVs (*i.e.* those passing the quality checks and filters listed above), we estimate the total number of parallel mutations in a sample i ($n_{viol,i}$). The estimate and its uncertainty can be described using a betabinomial: $n_{viol,i} \sim Bin(n = n_i, p \sim Beta(n_{phas,par,i} + 0.001, n_{phas,single,i} + 0.001))$ where n_i is the total number of passed SNVs, $n_{phas,par,i}$ is the number of phasing-informed biallelic parallel mutations and $n_{phas,single,i}$ is the number of phaseable SNVs with no phasing evidence for parallel hits.

518

519 Birthday problem approximation

520 The total number of infinite sites violations in a sample may also be roughly approximated by 521 a variant of the birthday problem, which asks for the probability that at least two people share 522 a birthday in a group of N random people. While this simplification ignores intricacies of 523 genomes such as mutation types and copy number, it provides a reasonable first approximation 524 and straightforward mathematical formulation. We start with the probability that mutation A and B hit the same locus, *i.e.* they violate the infinite sites model $P(A = B) = \frac{1}{N}$ where N is 525 the size of the genome. From this it is easy to derive the probability they do not share a locus 526 $P(A \neq B) = 1 - \frac{1}{N}$. The probability A does not hit the same locus as n other mutations is 527 then $P(A \neq B_1, ..., B_n) = (1 - 1/N)^{n-1}$. To obtain the expected number of mutations not 528 529 sharing a locus, this probability is multiplied by the total mutation burden n. Finally, the number of infinite sites violations is then $E[\#violations] = n_{viol} = n - n \cdot (1 - \frac{1}{N})^{n-1}$. 530 Given that for a human genome $1/N \cong 3^{-10} \approx 0$, Taylor approximation yields $n_{viol} \cong n - 1$ 531 $n.(1-(n-1)/N) \cong \frac{n^2}{N}$, indicating that the number of infinite sites violations scales with 532 533 the square of the total mutation burden and the inverse of the genome size.

534

535 Motif enrichment

To assess enrichment of specific motifs at sites with biallelic mutations, we extracted 15bp sequence contexts (+ strand where C or T is the reference base and - strand otherwise), for all parallel and divergent biallelic mutations in melanoma, colorectal, oesophageal and stomach adenocarcinomas. For every biallelic mutation, we sampled 10 mutation type-matched (trinucleotide context + alternate base) somatic SNVs from the same tumour and extracted their 15bp contexts as a control set. The Multiple EM for Motif Elicitation suite of tools (STREME

- and TomTom; v5.3.2) was used to discover sequence motifs enriched in the biallelic set relative
- 543 to the control set¹⁴. In the case of melanoma, identified motifs linked to known TF recognition
- 544 sequences from the HOCOMOCO Human v11 Core collection¹⁸. *P*-values were computed
- 545 according to STREME and TomTom.
- 546

547 Structural analysis

- 548 Crystal and NMR-structures for free B-DNA, NFAT- or ETS-bound DNA were obtained from
- 549 the RCSB Protein Databank. The C5–C6 interbond distances d and torsion angles η were
- 550 extracted using PyMOL at the relevant TpC dinucleotide in the ETS and NFAT recognition
- 551 motifs and at non-terminal TpC dinucleotides in the free B-DNA. When multiple chains were
- 552 present in a single structure, the average d and η were used.
- 553

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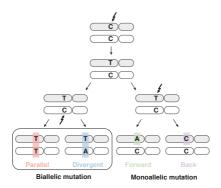


Figure 1 | Possible violations of the infinite sites assumption in a single clonal lineage

Two subsequent mutations at a diploid locus can affect the same or alternate alleles. Depending on the base changes, there are four scenarios: biallelic parallel or divergent mutations affect separate alleles, whereas monoallelic forward and back mutation hit the same allele twice.

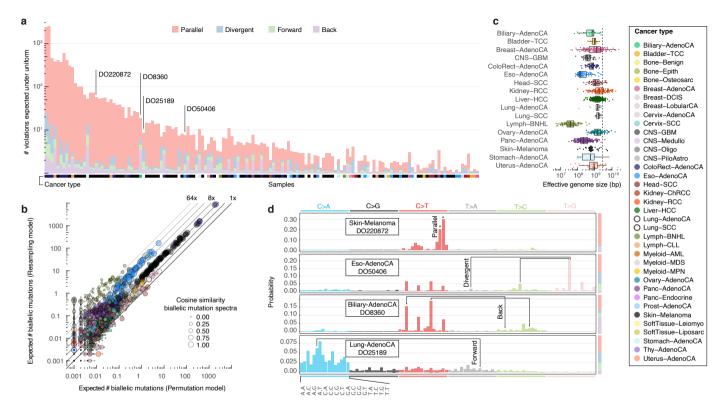


Figure 2 I Simulated landscape of infinite sites violations in the PCAWG cohort

(a) Number and type of infinite sites violations in 147 PCAWG samples with \ge 1 expected violation under a uniform mutation distribution. Bar height indicates the expected number of violations and coloured subdivisions represent the fractions contributed by each violation type. Tumour histology of the samples is colour-coded below the bars. The four samples highlighted in (d) are indicated. (b) Comparison of the expected biallelic violations from the uniform permutation and neighbour resampling models. Every dot represents a tumour simulated 1000x with each model. Colour and size reflect, respectively, tumour type and the cosine similarity of the predicted infinite sites violation mutation spectra. (c) Box and scatterplot showing the effective genome size perceived by the mutational processes per cancer type, as estimated from the per sample differences between simulation approaches. The dashed line indicates the callable genome size. (d) Mutational spectra of four tumours with distinct violation contributions indicated in (a). The 16 distinct trinucleotide contexts are provided on the x-axis for C>A type substitutions and are the same for each coloured block. The proportion of parallel, divergent, back and forward mutation is indicated in the stacked bar on the right. Frequent combinations of mutations leading to specific infinite site violations are highlighted.

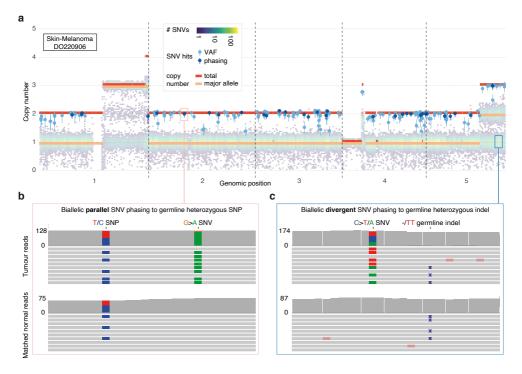


Figure 3 I Detecting biallelic mutations in a case of melanoma

(a) Tumour allele-specific copy number and binned mutation copy number (hexagons) plotted for chromosomes 1–5 of melanoma DO220906. Somatic SNVs with a mutation copy number exceeding that of the major allele (and equal to the total copy number) are evident, suggesting biallelic parallel mutation events. Error bars represent the posterior 95% highest density intervals. (b,c) IGV visualisation of DO220906 tumour (top) and matched normal (bottom) sequencing data at two loci, illustrating how read phasing information can confirm independent mutation of both parental ¬alleles for (b) parallel and (c) divergent mutations detected after recalling using Mutect2 (Methods). Reads (horizontal bars) are downsampled for clarity and local base-wise coverage is indicated left of the histograms.

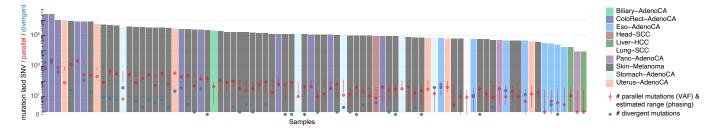


Figure 4 I Landscape of biallelic mutations across PCAWG

Number of observed parallel (red) and divergent (blue) mutations plotted in context of the total SNV burden for 84 PCAWG samples with \geq 1 phasing-confirmed VAF hit. The range of parallel mutations expected purely from SNV-SNP phasing is also indicated (95% confidence interval, red vertical bars) as this approach is less sensitive to purity and copy number state than the VAF-based analysis. Samples for which the number of divergent mutations is not shown, were not considered for Mutect2 recalling.

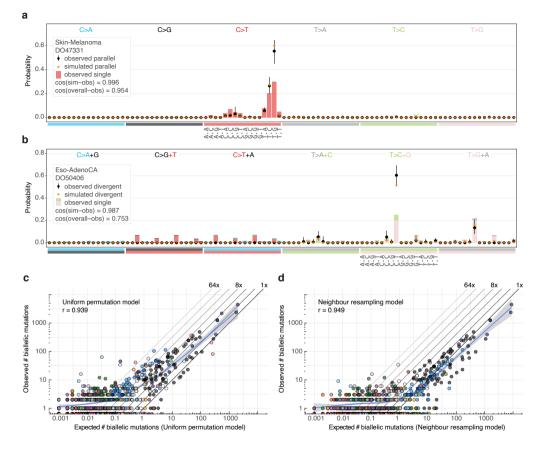


Figure 5 I Comparison between observed and simulated biallelic mutations

(a) Bar chart highlighting the mutation spectrum of observed and predicted parallel mutations as well as the background SNVs for melanoma DO47331. Cosine similarities between the spectra are indicated. (b) Similar as (a) but showing divergent mutations for oesophageal adenocarcinoma DO50406. Bars are stacked to reflect the frequency of the colour-coded base changes indicated on top. Error bars represent the posterior 95% highest density intervals. (c,d) Scatterplots of the observed vs. expected number of biallelic mutations (parallel + divergent) for all PCAWG samples for the uniform permutation (c) and neighbour resampling models (d). A spline regression fit is shown together with the Pearson correlation.

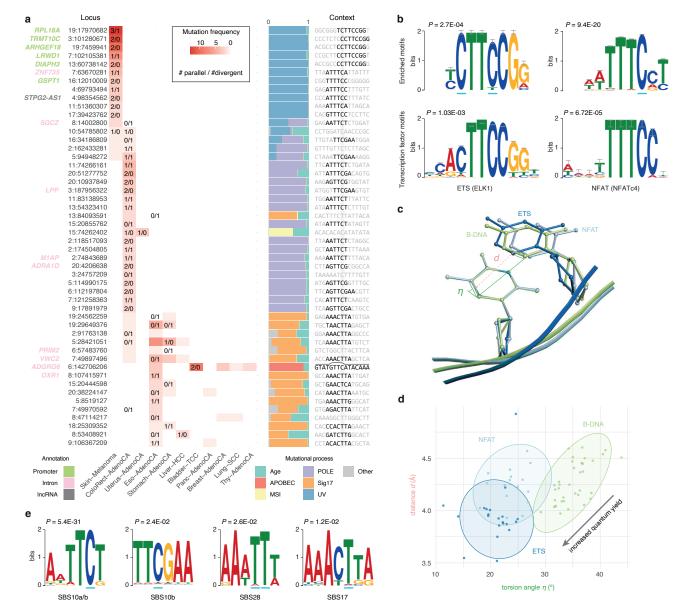


Figure 6 | Biallelic mutations reveal tumour type-specific mutational hot spot contexts

(a) Heatmap of the fifty most frequently mutated loci in PCAWG with at least one biallelic mutation. The number of parallel/divergent mutations at each site is indicated, as are gene annotations, the underlying mutational processes, and the local sequence context with emerging motifs. For chr6:142,706,206, part of the stem and loop of a local sequence palindrome are indicated. MSI, miscrosatellite instability. (b) Sequence logos of motifs enriched at loci with biallelic mutations in melanoma (top) and corresponding transcription factor recognition sequences (bottom). (c) Superposition of TpC dinucleotides in crystal structures of ETS-bound (GABP), NFAT-bound (NFAT1c) and free B-DNA (PDB IDs, 1AWC, 10WR and 1BNA, respectively). The distance d between the midpoints of the wo adjacent C5–C6 bonds as well as their torsion angle is indicated. (d) Scatter plot showing the distances and angles indicated in (c) as observed in crystal structures from the RCSB protein data bank. (e) Sequence logos of motifs enriched at loci with biallelic mutations in colorectal adenocarcinoma (SBS10, 28) and oesophageal/stomach adenocarcinoma (SBS17).

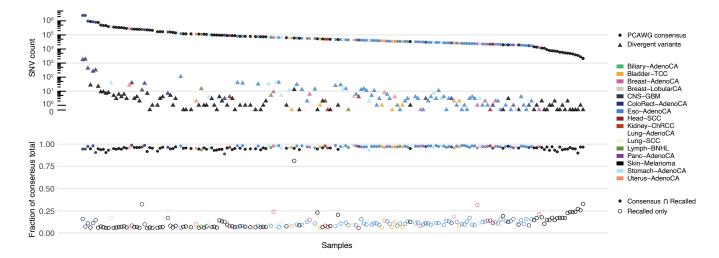


Figure S1 | Variant recalling results on 195 PCAWG tumours

Dot plot showing the total number of PCAWG consensus SNV calls and the number of divergent mutations identified after recalling with Mutect2 (top). Fraction of PCAWG consensus calls recovered during recalling and fraction of new calls (bottom).

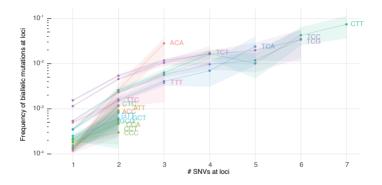


Figure S2 I Loci with biallelic mutations have higher intrinsic mutability The fraction of loci with biallelic mutations is plotted for loci with 1, 2, ..., 7 monoallelic SNVs across PCAWG. Loci are further stratified per trinucleotide context. Bootstrap resampling is performed to obtain 95% confidence intervals.

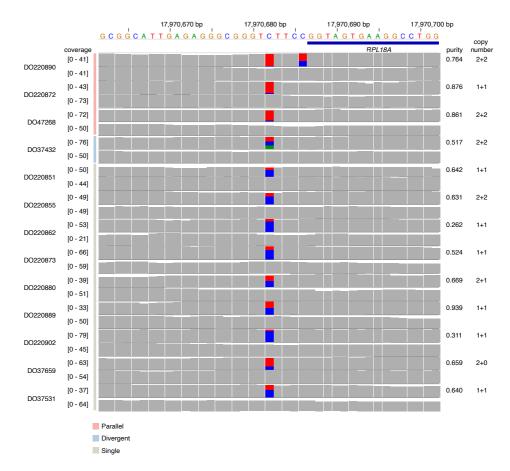


Figure S3 | Recurrent mono- and biallelic mutation of the RPL18A promoter

Histograms of read and base coverage in 13 melanoma tumour-normal pairs showing mono- or biallelic mutation of the ETS-binding TCTCCG motif at the *RPL18A* promoter.

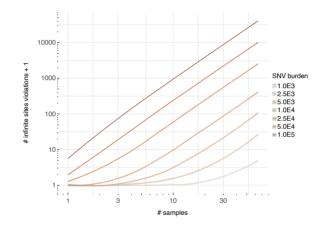


Figure S4 | Infinite sites violations in a multi-sample setting

Simulation results showing how the number of infinite sites violations increases when multiple samples are considered, each with the indicated mutational load (coloured lines). Gray bands indicate 95% confidence intervals of a spline fit.