

1 **Fine-tuning of SUMOylation modulates drought tolerance**

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18 Running title: SUMOylation regulates drought tolerance

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24 **Abstract**

25 SUMOylation is involved in various aspects of plant biology, including drought
26 stress. However, the relationship between SUMOylation and drought stress tolerance
27 is complex; whether SUMOylation has a crosstalk with ubiquitination in response to
28 drought stress remains largely unclear. In this study, we found that both increased and
29 decreased SUMOylation led to increased survival of apple (*Malus × domestica*) under
30 drought stress: both transgenic *MdSUMO2A* overexpressing (OE) plants and
31 *MdSUMO2* RNAi plants exhibited enhanced drought tolerance. We further confirmed
32 that MdDREB2A is one of the MdSUMO2 targets. Both transgenic *MdDREB2A* OE
33 and *MdDREB2A*^{K192R} OE plants (which lacked the key site of SUMOylation by
34 MdSUMO2A) were more drought tolerant than wild-type plants. However,
35 *MdDREB2A*^{K192R} OE plants had a much higher survival rate than *MdDREB2A* OE
36 plants. We further showed SUMOylated MdDREB2A was conjugated with ubiquitin
37 by MdRNF4 under drought stress, thereby triggering its protein degradation. In
38 addition, *MdRNF4* RNAi plants were more tolerant to drought stress. These results
39 revealed the molecular mechanisms that underlie the relationship of SUMOylation
40 with drought tolerance and provided evidence for the tight control of MdDREB2A
41 accumulation under drought stress mediated by SUMOylation and ubiquitination.

42 **Key words:** Apple, Drought, SUMOylation, Ubiquitination, MdSUMO2,
43 MdDREB2A, MdRNF4

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45 **Introduction**

46 Drought stress is one of the main abiotic constraints that limit agricultural
47 development and productivity (Li et al., 2019; Geng et al., 2020). As the global
48 climate warms in the twenty-first century, the frequency of severe drought conditions
49 is increasing (Dai, 2013). Water shortage impairs plant growth and development,
50 limiting plant production and reducing the performance of crop plants and fruit trees
51 (Basu et al., 2016). In fruit trees, water deficit inhibits flower bud differentiation and
52 tree vegetative growth, thereby causing flowers and fruits to drop (Virlet et al., 2015;
53 Niu et al., 2019). To cope with drought stress, plants respond at both the
54 morphological and molecular levels, exhibiting changes in photosynthesis, stomatal
55 movement, hormone content, leaf development, stem extension, root proliferation,
56 hydraulic conductivity, and gene expression (Yordanov et al., 2000; Seiler et al., 2011;
57 Basu et al., 2016; Liao et al., 2016; Sun et al., 2018; Geng et al., 2020; Li et al., 2020).
58 Therefore, decoding the molecular mechanisms that underlie drought responses is
59 critical to the development of new cultivars for future agriculture (Sun et al., 2013b;
60 Liao et al., 2016; Geng et al., 2018; Sun et al., 2018; Li et al., 2020).

61 Small Ubiquitin-like Modifier (SUMO) is a ~100-amino-acid polypeptide that is
62 structurally related to ubiquitin (Vierstra and R., 2012). Similar to ubiquitin, SUMOs
63 are encoded as precursor proteins. To attain their mature form, precursor SUMOs
64 require SUMO protease to cleave a C-terminal peptide and expose two consecutive
65 glycine residues that are essential for conjugation to substrates. The biochemical
66 pathway of SUMOylation is also analogous to that of ubiquitination. The first step is
67 SUMO activation, an ATP-dependent reaction that is catalyzed by the heterodimeric
68 E1-activating enzyme, SAE1/SAE2. In the second step, activated SUMO is
69 transferred from SAE to the SUMO-conjugating enzyme (SCE). Finally, the
70 conjugation of SUMO to its substrates is catalyzed by SCE (Colby et al., 2006). The
71 consensus sequence ψ KXE/D (where ψ is a hydrophobic aliphatic residue; X can be
72 any residue; K, E, and D are standard one-letter symbols for amino acids; and K is the
73 attachment site for SUMO) is considered to be the canonical SUMO attachment site

74 (Novatchkova et al., 2004; Nabil et al., 2014), although other sites may exist. SUMO
75 proteases de-SUMOylate the SUMOylated substrates to recycle SUMO. In addition to
76 its covalent attachment to target proteins, SUMO can also attach to cellular proteins
77 through noncovalent interactions (Galanty et al., 2012).

78 In addition to the regulation of development and cellular homeostasis under
79 normal growth conditions, SUMOylation is also involved in various biotic and abiotic
80 stress responses, including the response to drought stress (Castro et al., 2012).
81 OsbZIP23 is a SUMOylation substrate that is targeted by the SUMO protease OTS1.
82 SUMOylation of OsbZIP23 causes the transcriptional activation of drought protection
83 genes and improves drought tolerance (Srivastava et al., 2017). Overexpression of
84 SUMO E2-conjugating enzyme (CE) in rice (*Oryza sativa*) impairs drought tolerance
85 by reducing the accumulated proline content relative to the wild type (Nurdiani et al.,
86 2018). However, overexpression of SaSce9 from the halophyte grass *Spartina*
87 *alterniflora* enhances salinity and drought stress tolerance in Arabidopsis (Karan and
88 Subudhi, 2012), indicating that CE plays various roles in different plants. The SUMO
89 E3 ligase MMS21 negatively influences Arabidopsis drought response through an
90 ABA-dependent pathway (Zhang et al., 2013). Likewise, the rice SUMO protease
91 OsOTS1 also plays a negative role in drought stress response through an
92 ABA-dependent pathway (Srivastava et al., 2017). Another SUMO E3 ligase, SIZ1,
93 plays more complicated roles in drought stress response in different plant species.
94 Rice OsSIZ1 confers drought tolerance in transgenic bentgrass and cotton (Neelam et
95 al., 2017). Transgenic tobacco plants ectopically expressing tomato SIZ1 are more
96 tolerant to drought stress (Zhang et al., 2017b), as are Arabidopsis plants
97 overexpressing SIZ1 (Zhang et al., 2013). However, *siz1* mutant plants displayed
98 drought-sensitive or drought-tolerant phenotypes in three independent studies (Catala
99 et al., 2007; Miura et al., 2013; Kim et al., 2017). Reports on Arabidopsis SIZ1
100 overexpressing (OE) plants and *siz1* mutants indicate that either increased or
101 decreased SUMOylation levels can improve drought resistance. However, the
102 physiological and molecular basis for this effect is unclear. In addition, despite the

103 identification of drought-related SUMO targets, the biological function of their
104 SUMOylation modification is largely unknown.

105 The SUMO-interacting proteins (SIPs) play a crucial role in the regulation of
106 SUMOylated proteins; they usually interact with SUMO through SUMO-interacting
107 motifs (SIMs). Proteins with SIMs include a group of RING-type ubiquitin E3
108 ligases, DNA methyltransferases or demethylases, and histone methyltransferases or
109 demethylases (Nabil et al., 2014; Kumar et al., 2017). The best-studied SIPs are the
110 RING-type ubiquitin E3 ligases that target SUMOylated proteins for degradation by
111 the proteasome pathway. RING finger protein 4 (RNF4, also known as
112 SUMO-targeted ubiquitin E3 ligase or STUbL) ubiquitinates promyelocytic leukemia
113 protein (PML) or the nuclear receptor NR4A1 that has been SUMOylated by
114 SUMO2/3 in mammals (Valérie et al., 2008; Geoffroy and Hay, 2009; Zhang et al.,
115 2017a). RNF4 also ubiquitinates SUMOylated proteins in the fission yeast
116 *Schizosaccharomyces pombe* (Sun et al., 2007) and promotes the ubiquitination of
117 activated MEK1 in a RING-finger-dependent manner in *Dictyostelium* (Sobko et al.).
118 SUMOylation of PML recruits RNF4, triggering its Lys 48-linked polyubiquitination
119 and degradation (Tatham et al., 2008; Valérie et al., 2008). NR4A1 is SUMOylated by
120 SUMO2/3 and targeted by RNF4 for polyubiquitination and subsequent degradation
121 to control macrophage cell death (Zhang et al., 2017a). Although several studies have
122 reported the important and conserved role of RNF4 in multicellular eukaryotes, only
123 one study has investigated the role of AT-STUbL4 in the floral transition in plants
124 (Nabil et al., 2014) *at-stubl4* mutant plants flowered later than the wild type, whereas
125 AT-STUbL4 OE plants flowered earlier (Nabil et al., 2014). To date, it remains
126 unclear whether RNF4 can recognize and ubiquitinate SUMOylated proteins in plants,
127 especially during the response to drought stress.

128 Dehydration-responsive element-binding factor (DREB2A) is a transcription
129 factor that binds specifically to the DRE/CRT *cis*-element and is rapidly induced by
130 dehydration (Liu et al., 1998; Li et al., 2019). DREB2A is a key factor in plant
131 drought stress tolerance. Overexpression of full-length DREB2A in apple, *Pennisetum*

132 *glaucum*, *Zea mays*, and *O. sativa* enhances tolerance to drought stress (Agarwal et
133 al., 2007; Qin et al., 2007; Cui et al., 2011; Liao et al., 2016). In *Arabidopsis*,
134 DREB2A is unstable under control conditions owing to its negative regulatory domain
135 (NRD) (Sakuma et al., 2006b; Qin et al., 2008; Mizoi et al., 2013; Sadhukhan et al.,
136 2014; Morimoto et al., 2017). The overexpression of *DREB2A-CA* (a constitutively
137 active form of DREB2A with the NRD domain deleted) increases drought tolerance in
138 *Arabidopsis* (Sakuma et al., 2006b). Various posttranslational modifications of
139 DREB2A, including SUMOylation and ubiquitination, are tightly associated with its
140 stability and transcriptional activity in *Arabidopsis* (Qin et al., 2008; Wang et al.,
141 2020). Two types of ubiquitin E3 ligase, BPMs and DRIPs, mediate the degradation
142 of DREB2A in *Arabidopsis* (Qin et al., 2008; Morimoto et al., 2017). However,
143 SUMOylation of DREB2A by SCE1 can repress the interaction between DREB2A
144 and BPM2, thereby increasing DREB2A protein stability under high temperature
145 (Wang et al., 2020). Whether SUMOylated DREB2A can be targeted by ubiquitin E3
146 ligases for degradation remains unclear.

147 Apple DREB2A does not contain the NRD domain that is targeted for
148 degradation in *Arabidopsis*. Unlike *Arabidopsis* DREB2A, MdDREB2A is
149 consistently stable under normal conditions (Li et al., 2019). Overexpression of
150 *MsDREB6.2* (a *MdDREB2A* homolog) or *MpDREB2A* enhanced drought tolerance of
151 apple or *Arabidopsis* (Liao et al., 2016; Li et al., 2019). Given the lack of an NRD
152 domain in MdDREB2A, its posttranslational modifications and the molecular
153 mechanisms of its protein stability under stress require clarification. In this study, we
154 found that both increased and decreased SUMOylation levels improved apple drought
155 tolerance. We further identified MdDREB2A as one of the SUMOylation target
156 proteins and demonstrated that SUMOylation of MdDREB2A was critical for protein
157 stability and drought tolerance. In addition, we further provided evidence that
158 SUMOylated MdDREB2A could be recognized and ubiquitinated by MDRNF4 under
159 drought stress, leading to the degradation of MdDREB2A. Our results highlight the
160 roles of SUMOylation in apple drought tolerance and provide insight into the

161 RNF4-mediated ubiquitination of SUMOylated MdDREB2A in response to drought.

162

163 **Results**

164 **Expression patterns and localization of SUMO2s in apple**

165 The apple genome contains six SUMO2 genes (Fig. 1A). Due to genome duplication,
166 each pair of genes on different chromosomes has almost identical coding sequences,
167 and we therefore named the three pairs MdSUMO2A, MdSUMO2B, and
168 MdSUMO2C. Protein alignment revealed a protein sequence similarity of 76%–88%
169 among these three MdSUMO2 proteins (Fig. 1A). To characterize the function of
170 apple SUMO2 proteins in response to drought stress, we first examined their
171 expression patterns under drought. We found that the *MdSUMO2s* had similar
172 expression patterns in response to drought (Fig. 1B), suggesting that they may have
173 similar functions under drought stress. Apple *SUMO2A* and *SUMO2B* were more
174 abundant in all tissues, whereas *SUMO2C* was less abundant in all tissues examined
175 (Fig. S1A). When *MdSUMO2A::GUS* was ectopically expressed in Arabidopsis,
176 similar results were observed, and GUS signal was detected in all tissues (Fig. S1B–
177 I).

178 We aligned SUMO2A proteins from different plant species and found that their
179 sequences were highly conserved throughout the plant kingdom (Fig. S2A).
180 MdSUMO2A was highly similar to SUMO2A from *Prunus mume* (Fig. S2B). We
181 then cloned SUMO2A, SUMO2B, and SUMO2C from the apple genome.
182 Co-localization with mCherry suggested that apple SUMO2A, SUMO2B, and
183 SUMO2C are localized in the nucleus, plasma membrane, and cytoplasm (Fig. 1C).

184 **Knocking down *MdSUMO2s* or knocking in one *MdSUMO2* gene leads to** 185 **drought stress tolerance**

186 To understand the biological function of the MdSUMO2s, we generated a series of
187 transgenic plants: *MdSUMO2A* OE (over expression) with a higher *MdSUMO2A*

188 expression level; *MdSUMO2A* RNAi with reduced expression of *MdSUMO2A* only;
189 and *MdSUMO2* RNAi with reduced expression of *MdSUMO2A*, *MdSUMO2B*, and
190 *MdSUMO2C* (Fig. S3).

191 After transplant, the transgenic plants and non-transgenic plants (GL-3) were
192 exposed to prolonged drought stress by maintaining soil volumetric water content of
193 18-23% for three months. As shown in Fig. 2A and B, long-term moderate drought
194 stress reduced the growth of all plants. However, compared with GL-3 plants,
195 *MdSUMO2A* OE plants were taller, and *MdSUMO2* RNAi plants were shorter (Fig.
196 2A-B, Fig. S4). In addition to differences in plant height, *MdSUMO2A* OE plants had
197 greater stem diameters and longer internodes than GL-3 plants under drought stress,
198 whereas *MdSUMO2* RNAi plants had smaller stem diameters and shorter internodes
199 (Fig. S5). Moreover, *MdSUMO2A* OE plants had greater shoot dry weights under
200 control and drought conditions, whereas *MdSUMO2* RNAi plants had lower
201 aboveground biomass (Fig. S6). These results indicate that *MdSUMO2A* OE plants
202 grew more vigorously under long-term drought, whereas *MdSUMO2* RNAi plants
203 grew more slowly.

204 Drought can adversely affect crop photosynthetic capacity, water use efficiency,
205 and yield (Xu et al., 2008; Sun et al., 2013a; Mao et al., 2015), and drought stress
206 reduced the photosynthetic capacity of all plants in the current experiment (Fig. S7).
207 However, *MdSUMO2A* OE plants had a greater photosynthetic capacity than GL-3
208 plants under drought stress, whereas that of *MdSUMO2A* RNAi plants was lower (Fig.
209 S7A). Under drought stress, stomatal conductance and transpiration rate were also
210 higher in *MdSUMO2A* OE plants than in GL-3 plants, and both parameters were
211 lower in *MdSUMO2* RNAi plants (Fig. S7B-C). We also measured the photosynthetic
212 capacity of GL-3 and transgenic plants under drought during the daytime from 7:00
213 AM to 5:00 PM. Similar results were observed. That is, *MdSUMO2A* OE plants
214 maintained the highest photosynthetic rate under drought stress and exhibited a higher
215 transpiration rate and stomatal conductance after noon, whereas *MdSUMO2* RNAi
216 plants had the lowest values for these parameters (Fig. 2C and Fig. S8).

217 The root system plays an important role in plant drought resistance (Liao et al.,
218 2016; Geng et al., 2018; Hu et al., 2018). After long-term drought, the root systems of
219 *MdSUMO2A* OE plants were much more extensive (Fig. 2D), as indicated by root dry
220 weight in Fig. S10. However, the root systems of *MdSUMO2* RNAi plants were much
221 smaller than those of GL-3 under control and drought conditions (Fig. 2D and Fig.
222 S9). Consistent with their strong root systems and greater shoot growth, *MdSUMO2A*
223 OE plants had higher hydraulic conductivity of roots and shoots (Fig. 2E and F),
224 whereas those of *MdSUMO2* RNAi plants were lower. These results suggest that
225 *MdSUMO2A* OE plants performed better under drought, exhibiting vigorous shoot
226 and root growth, as well as higher hydraulic conductivity and photosynthetic capacity.

227 Leaf morphology is important for drought tolerance (Anyia and Herzog, 2004; Sun
228 et al., 2013a; Wu et al., 2014). *MdSUMO2* RNAi leaves were smaller than those of
229 GL-3 and *MdSUMO2A* OE plants under control and drought conditions (Fig. 3A), as
230 indicated by leaf area measurements in Fig. 3B. Leaf lengths and widths were also
231 smaller in *MdSUMO2* RNAi plants under control and drought conditions (Fig.
232 S10A-B). Likewise, under both conditions, single-leaf dry weight was much lower in
233 *MdSUMO2* RNAi plants than in GL-3 and *MdSUMO2A* OE plants (Fig. S10C).
234 *MdSUMO2* RNAi leaves were much thicker than GL-3 and *MdSUMO2A* OE leaves
235 under control and drought conditions (Fig. 3C and D). Consistently, *MdSUMO2* RNAi
236 leaves had a greater water holding capacity (Fig. 3E). By contrast, the leaf area, dry
237 weight, thickness, and water holding capacity of *MdSUMO2A* OE leaves were
238 comparable to those of GL-3 leaves under control and drought conditions (Fig. 3A–
239 E). Water use efficiency was measured using ^{13}C , and *MdSUMO2* RNAi plants
240 maintained a higher WUE than GL-3 and *MdSUMO2* OE plants under control and
241 drought conditions (Fig. 3F). Plants accumulate the phytohormone abscisic acid
242 (ABA) after drought stimulus (Zhu, 2016). After drought stress, the ABA content of
243 *MdSUMO2A* OE plants was lower than that of GL-3 plants, whereas that of
244 *MdSUMO2* RNAi plants was higher (Fig. S11). These results suggest that *MdSUMO2*
245 RNAi plants resist drought by adjusting their leaf morphology, increasing their WUE,

246 and accumulating more ABA.

247 There were no significant differences in the parameters mentioned above between
248 *MdSUMO2A* RNAi and GL-3 plants under drought stress (Fig. 1–4, Fig. S4–12),
249 suggesting that the *MdSUMO2s* have redundant functions in response to drought.

250 To further support the notion that both *MdSUMO2A* OE and *MdSUMO2* RNAi
251 plants were tolerant to drought stress, we treated all plants with a shorter-term drought
252 stress. After 3 weeks of drought treatment, 83% of the GL-3 plants had wilted,
253 whereas 40% of the *MdSUMO2A* OE plants and 58% of the *MdSUMO2* RNAi plants
254 were still alive (Fig. 4A-D), suggesting that the *MdSUMO2* RNAi plants had a higher
255 survival capacity than the *MdSUMO2A* OE plants. By contrast, *MdSUMO2A* RNAi
256 plants did not differ in survival rate from GL-3 plants under drought stress (Fig.
257 S4E-F). We also performed an extreme drought treatment after the long-term drought
258 treatment by withholding water for 10 days. Both the *MdSUMO2* RNAi and
259 *MdSUMO2A* OE plants performed better than the GL-3 plants under drought, and the
260 *MdSUMO2* RNAi plants were more drought tolerant than the *MdSUMO2A* OE plants
261 (Fig. S4B). All these data suggest that *MdSUMO2A* OE and *MdSUMO2* RNAi plants
262 were more drought tolerant than GL-3 plants and that *MdSUMO2* RNAi plants had
263 higher survival ability than *MdSUMO2A* OE plants.

264 In addition, we examined the SUMOylation of GL-3 and *MdSUMO2* transgenic
265 plants under control and prolonged drought stress conditions. As shown in Fig. S12,
266 *MdSUMO2A* OE plants had a slightly higher SUMOylation level than GL-3 plants
267 under control and drought conditions, whereas the SUMOylation level of *MdSUMO2*
268 RNAi plants was lower.

269 **Identification of *MdSUMO2* targets reveals SUMOylation of *MdDREB2A* by** 270 ***MdSUMO2s***

271 To identify potential targets of *MdSUMO2* proteins, we performed proteomic
272 analysis according to previous methods (Miller et al., 2010; Miller and Vierstra,
273 2011). Since *Arabidopsis* SUMO1 has high sequence similarity with *MdSUMO2* (Fig.

274 S3A), we used the anti-SUMO1 antibody to recognize three MdSUMO2s. After mass
275 spectrometry, we identified 1314 potential targets of MdSUMO2A (Supplemental
276 Data Set 1), including MdDREB2A, MdALI, MdAQP2, MdHSP20, MdH2B,
277 MdCAT2, and MdbZIP (Fig. S13). Using a SUMOylation reconstitution assay in
278 *Escherichia coli* in which MdSUMO2 and the candidate substrates were expressed
279 (Elrouby and Coupland, 2010), we verified the SUMOylation of MdDREB2A,
280 MdAQP2, and MdALI by the MdSUMO2s (Fig. 5A-G). Three and one lysine sites
281 are potential SUMO conjugation sites in MdDREB2A and MdAQP2, respectively. To
282 determine the actual SUMOylation sites, each candidate lysine (K) was replaced by
283 arginine (R) singly or in combinations. SUMOylation assays using the *E. coli* system
284 suggested that K192 and K272 were required for MdSUMO2A-mediated
285 SUMOylation of MdDREB2A and MdAQP2, respectively (Fig. 5A and E). In
286 addition, K192 was also required for MdDREB2A SUMOylation by MdSUMO2C,
287 whereas K192, K217, and K369 were required for MdDREB2A SUMOylation by
288 MdSUMO2B (Fig. 5B and C). For MdALI, there are five lysine sites and one SIM for
289 potential SUMO conjugation. Deleting the SIM or mutating each lysine to R could not
290 abolish the SUMOylation of MdALI by MdSUMO2A (Fig. S14). However, mutation
291 of all five lysine sites to R or mutation of four lysine sites to R and in combination
292 with SIM deletion could almost completely abolish the SUMO conjugation by
293 MdSUMO2A, indicating that these five lysine sites and the SIM were all required for
294 SUMOylation of MdALI by MdSUMO2A (Fig. 5G).

295 Because it is an important factor in plant drought stress response (Sakuma et al.,
296 2006b; Chen et al., 2007; Qin et al., 2007; Reis et al., 2014), we next focused on
297 MdDREB2A. Since MdDREB2A could be SUMOylated in the *E. Coli* system, and
298 MdDREB2A did not contain the SIM, we tested the interaction of MdDREB2A and
299 MdCE, the SUMO E2-conjugating enzyme. MST and CO-IP analysis revealed that
300 MdCE interacts with MdDREB2A *in vitro and vivo* (Fig. S15). SUMOylation can
301 affect target protein localization, protein-protein interaction, and protein stability. We
302 co-localized MdSUMO2A with MdDREB2A and found that SUMOylation of

303 MddREB2A did not affect its subcellular localization (Fig. S16). We also examined
304 the effect of SUMOylation on the stability of MddREB2A. As shown in Fig. 5H,
305 MddREB2A protein level was significantly increased in the *MdSUMO2* RNAi plants
306 under drought conditions but also slightly higher in the *MdSUMO2A* OE plants.

307 In addition to the *in vitro* SUMOylation of MddREB2A, we also examined the *in*
308 *vivo* SUMOylation of MddREB2A by *MdSUMO2* under control and drought
309 conditions. After immunoprecipitation using anti-MddREB2A antibody,
310 SUMOylation of MddREB2A was detected in GL-3 plants under drought stress, but
311 much less SUMOylation was observed in *MdSUMO2* RNAi plants (Fig. 5H).

312 **SUMOylation of MddREB2A is critical for drought stress tolerance and is** 313 **coupled with ubiquitination during drought**

314 DREB2A is a positive regulator of plant drought and heat stress tolerance (Kim et al.,
315 2011; Meng et al., 2011; Li et al., 2019). Arabidopsis wild-type plants overexpressing
316 *DREB2A*^{K163R} (in which K was mutated to R) exhibited decreased thermotolerance
317 (Wang et al., 2020). We therefore examined whether SUMOylation of MddREB2A
318 affected apple drought stress resistance. We transformed 35S::*MdDREB2A*
319 (*MdDREB2A* OE) and 35S::*MdDREB2A*^{K192R} (*MdDREB2A*^{K192R} OE, in which K192
320 was mutated to arginine) into wild-type GL-3 apple plants. Both transgenic plants had
321 better survival ability under drought stress compared with the wild type (Fig. 6A-B).
322 However, *MdDREB2A*^{K192R} OE plants had a higher survival rate than *MdDREB2A* OE
323 plants (Fig. 6A-B). In addition, after drought stress, *MdDREB2A* OE plants had higher
324 photosynthetic capacity than *MdDREB2A*^{K192R} OE plants (Fig. 6C). These data
325 suggest that SUMOylation of MddREB2A tightly controls plant drought tolerance.

326 Because SUMOylation can affect protein stability, we then examined MddREB2A
327 protein levels in both transgenic plants under control and drought conditions. As
328 shown in Fig. 6D, both transgenic plants had more MddREB2A than GL-3 plants
329 under control conditions. Under drought conditions, *MdDREB2A* OE plants
330 accumulated more MddREB2A protein than GL-3 plants, but less than transgenic

331 plants carrying 35S::*MdDREB2A*^{K192R} (Fig. 6D). In addition, the transcripts of
332 *MdDREB2A* were comparable between two transgenic plants (Fig. 6E). We also
333 transformed 35S::*MdDREB2A* and 35S::*MdDREB2A*^{K192R} into apple calli and found
334 that transgenic calli carrying either constructs were more tolerant to simulated drought
335 treatment than wild-type calli. Furthermore, calli carrying 35S::*MdDREB2A*^{K192R} were
336 more tolerant to PEG than calli carrying 35S::*MdDREB2A* (Fig. S17). In apple,
337 *MdDREB2A* targets *MdCKX4a* to modulate drought tolerance (Liao et al., 2016). We
338 next evaluated the *MdCKX4a* expression in transgenic plants and GL-3. As shown in
339 Fig. 6F, *MdCKX4a* expression was higher in transgenic apple plants under normal and
340 drought conditions and much higher in plants expressing 35S::*MdDREB2A*^{K192R} than
341 in plants carrying 35S::*MdDREB2A*. These results indicate that SUMOylation of
342 *MdDREB2A* is important for its stability and activity.

343 The above phenomena prompted us to investigate whether other protein
344 modifications were involved. Indeed, we found that *MdDREB2A* accumulation was
345 similar in both genotypes of transgenic plants under drought stress when they were
346 treated with MG132, a 26S proteasome inhibitor (Fig. 7A). The 26S proteasome is
347 essential for the degradation of ubiquitin-modified proteins (Smalle et al., 2004). We
348 then examined SUMOylation and ubiquitination in transgenic plants. As shown in
349 Fig. 7B, both transgenic plants had higher levels of SUMOylation and ubiquitination
350 after drought stress. Compared with that of *MdDREB2A* OE plants, the SUMOylation
351 level of *MdDREB2A*^{K192R} OE plants was much lower. However, their ubiquitination
352 level was also lower (Fig. 7B), suggesting that SUMOylated *MdDREB2A* may
353 undergo ubiquitination in response to drought in *MdDREB2A* OE plants.

354 **MdRNF4 mediates ubiquitination of SUMOylated *MdDREB2A***

355 To identify the proteins responsible for the ubiquitination of SUMOylated
356 *MdDREB2A*, we performed affinity purified mass spectrometry (AP-MASS) analysis
357 of *MdDREB2A* under control and drought stress conditions. We identified 1414 and
358 1472 proteins that may associate with *MdDREB2A* *in planta* under control and
359 drought conditions, respectively (Supplemental Data set 2). One of the potential

360 MdDREB2A interacting proteins under drought stress was MdRNF4, which encodes
361 an E3 ubiquitin ligase. Homologs of MdRNF4 in mammalian cells and yeast target
362 SUMOylated proteins for degradation by the proteasome pathway (Sun et al., 2007;
363 Tatham et al., 2008; Kumar et al., 2017). We verified the *in vivo* association of
364 MdDREB2A with MdRNF4 using co-immunoprecipitation (Co-IP) analysis (Fig.
365 S18). MdRNF4 contains two SUMO interacting motifs (SIMs) (Fig. S19A). To
366 investigate whether SUMO could be bound to the SIMs of MdRNF4, we performed
367 an Y2H analysis and found that MdRNF4 could interact with MdSUMO2A. When
368 both SIMs were deleted, no interaction was detected. However, deletions of only one
369 SIM did not impair the interaction, indicating that both SIMs are required for the
370 interaction of MdSUMO2A with MdRNF4 (Fig. S19B–C). A microscale
371 thermophoresis (MST) approach and Co-IP assay further verified the interaction
372 between MdSUMO2A and MdRNF4 (Fig. S19D-E).

373 RNF4 is a SUMO-targeted ubiquitin E3 ligase that is required for degradation of
374 SUMOylated substrates in mammals (Valérie et al., 2008; Geoffroy and Hay, 2009;
375 Zhang et al., 2017a) and the fission yeast *Schizosaccharomyces pombe* (Sun et al.,
376 2007). We hypothesized that this protein is responsible for the ubiquitination of
377 SUMOylated MdDREB2A. To test our hypothesis, we extracted total proteins from
378 GL-3 and *MdDREB2A* OE plants under drought stress and then added purified
379 MdRNF4 to the protein extracts for specific durations. The addition of MdRNF4 for 2
380 h increased the ubiquitination level of MdDREB2A. However, greater MdDREB2A
381 ubiquitination was observed in *MdDREB2A* OE plants that had higher MdDREB2A
382 SUMOylation levels (Fig. 8A). When MG132 was applied, the ubiquitination of
383 MdDREB2A decreased. To further confirm the requirement of MdRNF4 for
384 degradation of SUMOylated MdDREB2A, we generated transgenic plants with a
385 reduced level of *MdRNF4* (Fig. S20). After immunoprecipitation with
386 anti-MdDREB2A antibody, MdDREB2A ubiquitination decreased in *MdRNF4* RNAi
387 plants under drought conditions (Fig. 8B), further suggesting that MdRNF4 mediates
388 the ubiquitination of MdDREB2A.

389 To further analyze the modulation of MdDREB2A stability by MdRNF4, we
390 performed immunoblot analysis of plants under control and drought conditions.
391 MdDREB2A protein levels were higher in *MdRNF4* RNAi plants than in GL-3 plants,
392 either under control or drought conditions; although drought stress induced
393 MdDREB2A accumulation (Fig. 8C). In addition, the *MdRNF4* RNAi plants were
394 more tolerant to drought stress, consistent with the increased tolerance of *MdRNF4*
395 RNAi calli to simulated drought (Fig. 8D-F; Fig. S21A-C).

396 Because SUMO2s affect MdDREB2A SUMOylation and stability (Fig. 5G), we
397 asked whether this effect was related to MdRNF4. We examined the ubiquitination of
398 MdDREB2A in *MdSUMO2* transgenic plants under control and drought conditions.
399 After drought stress, *MdSUMO2A* OE plants had higher levels of MdDREB2A
400 ubiquitination, and *MdSUMO2* RNAi plants had lower levels (Fig. 8G). In addition,
401 less MdRNF4 accumulated in *MdSUMO2* RNAi plants under drought stress, while
402 more in *MdSUMO2A* OE plants (Fig. 8H), implying the involvement of ubiquitination
403 mediated by MdRNF4 in the MdDREB2A SUMOylation and stability.

404

405 Discussion

406 Drought stress is one of the major environmental fluctuations that affect plant
407 productivity and survival (Geng et al., 2018; Li et al., 2019). During evolution, plants
408 have acquired divergent strategies to respond to water deficiency, including
409 shortening their life cycles to complete vegetative growth and reproduction before soil
410 water is depleted, evolving unique morphologies and root systems to avoid drought
411 stress, and developing the ability to withstand low tissue water content under drought
412 stress. The latter ability may involve processes such as osmotic adjustment, cellular
413 elasticity, and epicuticular wax formation (Polania et al., 2016; Wei et al., 2016;
414 Yıldırım and Kaya, 2017). In our study, both *MdSUMO2A* OE plants and
415 *MdSUMO2A* RNAi plants were more drought tolerant than the wild type. The
416 *MdSUMO2A* OE plants exhibited greater root system development, more vigorous

417 growth, and higher photosynthetic capacity and hydraulic conductivity (Fig. 2-3; Fig.
418 S4–11). The *MdSUMO2A* RNAi transgenic plants had smaller but thicker leaves,
419 much lower stomatal conductance, and higher water use efficiency (Fig. 2-3; Fig.
420 S4-11). However, the *MdSUMO2A* RNAi plants had a much higher survival rate than
421 the *MdSUMO2A* OE plants. These results suggested that both increased and decreased
422 SUMOylation levels can increase plant drought tolerance.

423 SUMO is a crucial post-translational modifier in plants that is covalently
424 conjugated with target substrates to maintain chromatin integrity, transduce signals,
425 stabilize proteins, and change cell locations (Dohmen, 2004; Elrouby, 2015; Rytz et
426 al., 2016). Previous studies identified a large number of SUMO substrates in
427 Arabidopsis under heat and oxidative stress, including TPL (TOPLESS), ARF, JAZ,
428 ABF, and NAC proteins (Miller et al., 2010; Rytz et al., 2016; Rytz et al., 2018). Here,
429 we identified 1314 potential targets modified by MdSUMO2A in apple (Supplemental
430 Data set 1). Some MdSUMO2A target proteins were homologous to proteins in
431 Arabidopsis, whereas the majority was unique proteins in the apple genome. The
432 reconstituted Arabidopsis SUMOylation cascade in *E. coli* is a rapid and effective
433 method for evaluating the SUMOylation of potential SUMO target proteins (Okada et
434 al., 2009; Saitoh et al., 2009). We used the apple SUMOylation cascade in *E. coli* as a
435 powerful tool to elucidate the SUMOylation level of targets and confirmed that
436 MdDREB2A, MdALI, and MdAQP2 were MdSUMO2 substrates in apple (Fig. 5),
437 highlighting the power and reliability of this system.

438 DREB2A encodes a transcription factor that binds to the dehydration-responsive
439 element (DRE) (Yamaguchishinozaki and Shinozaki, 1994; Liu et al., 1998).
440 Numerous studies have reported the positive role of DREB2A in response to drought
441 stress in various plants, including apple, Arabidopsis, rice, maize, and *Pennisetum*
442 *glaucum*. However, DREB2A sequences from these species did not show high
443 similarity outside of the conserved DNA binding domain in the N-terminal region that
444 may function as a nuclear localization signal (Agarwal et al., 2007; Qin et al., 2007;
445 Qin et al., 2008; Cui et al., 2011; Liao et al., 2016). The N-terminal region of

446 DREB2A that contains the DNA binding and NRD domains is responsible for its
447 protein stability. The DREB2A NRD domain has been shown to interact with DRIP
448 and BPM ubiquitin E3 ligases, leading to ubiquitination and degradation of DREB2A
449 (Qin et al., 2008; Morimoto et al., 2017). DREB2A can be transformed into a stable
450 and constitutively active form (DREB2A-CA) by deleting its NRD domain, thereby
451 facilitating plant drought and heat stress tolerance (Sakuma et al., 2006a). In addition,
452 SUMOylation of DREB2A can increase its protein stability under heat stress by
453 suppressing its interaction with BPM2 (Wang et al., 2020). However, apple
454 MdDREB2A does not contain the NRD domain (Fig. S22). Whether apple
455 MdDREB2A undergoes any protein modifications was previously unknown. In this
456 study, we found that MdDREB2A was a SUMOylation target of the MdSUMO2s
457 (Fig. 5A–C). The critical SUMOylation site of MdDREB2A by MdSUMO2A was the
458 K192 (Fig. 5A). Similar to DREB2As in other plant species, MddREB2A was a
459 positive regulator of apple drought stress resistance (Fig. 6A–C). SUMOylation of
460 targets often increases their stability, as well as overall environmental stress resistance
461 (Miura et al., 2007; Zhou et al., 2017; Wang et al., 2020). To our surprise, we found
462 that the mutation of K192 to R caused MdDREB2A protein levels to be more stable in
463 *MdDREB2A^{K192R}* OE plants (Fig. 6D). In addition, transgenic plants carrying
464 *MdDREB2A^{K192R}* had a higher survival rate than *MdDREB2A* OE plants, implying that
465 DREB2A SUMOylation may serve different functions and proceed by different
466 mechanisms in different plant species in response to stress.

467 In addition to its covalent attachment to target substrates, SUMO can also interact
468 noncovalently with proteins that contain SIMs (Sun et al., 2007; Nabil et al., 2014;
469 Kumar et al., 2017). SIPs in mammals and Arabidopsis include ubiquitin E3 ligases,
470 DNA methyltransferases or demethylases, and histone methyltransferases or
471 demethylases (Nabil et al., 2014; Kumar et al., 2017). We also identified SIPs in the
472 apple genome and obtained similar results (Supplemental Data set 3). Among the
473 SIPs, we identified a RING finger protein 4, MdRNF4, that appeared with the highest
474 frequency in the Y2H screen. Similar RING-type ubiquitin E3 ligases (RNF4s) have

475 been reported to interact with SUMO and ubiquitinate SUMOylated substrates via the
476 26S proteasome in mammals and yeast (Sun et al., 2007; Valérie et al., 2008;
477 Geoffroy and Hay, 2009; Zhang et al., 2017a). Our study found that MdRNF4
478 mediated ubiquitination of SUMOylated MdDREB2A by a 26S proteasome pathway,
479 resulting in the degradation of SUMOylated MdDREB2A (Fig. 8). These results
480 suggest a widely conserved function for RNF4 in ubiquitination among eukaryotes.

481 In summary, we investigated the relationship between SUMOylation and drought
482 stress tolerance in perennial apple trees. Using *MdSUMO2A* OE and *MdSUMO2*
483 RNAi plants, we observed that both decreased and increased SUMOylation can
484 increase plant drought tolerance, although decreased SUMOylation was associated
485 with relatively higher survival rates. We also showed that increased SUMOylation of
486 MdDREB2A in *MdSUMO2A* OE and *MdDREB2A* OE plants was associated with
487 MdRNF4-mediated greater ubiquitination under drought stress, thereby relatively
488 decreasing MdDREB2A accumulation in *MdSUMO2A* OE and *MdDREB2A* OE
489 plants compared with *MdSUMO2* RNAi and *MdDREB2A*^{K192R} OE plants.

490

491 **Methods**

492 **Plant materials and growth conditions**

493 The experiments were conducted at Northwest A&F University, Yangling, China
494 (34°20'N, 108°24'E). The transgenic lines and GL-3 plants after rooting on MS were
495 transplanted to soil and grown for 3 months at 25°C under a long day photoperiod (14
496 h : 10 h, light : dark). The general management was conducted using the method
497 described by Xie (Xie et al., 2017).

498 The leaves of apple 'Golden delicious' (*Malus x domestica*) were used for gene
499 cloning. A line isolated from 'Royal Gala' (*Malus x domestica*) named GL-3 (Dai et
500 al., 2013), which has high regeneration capacity, was used for genetic transformation.
501 GL-3 tissue-cultured plants were subcultured every 4 weeks. They were grown on MS

502 medium (4.43 g/L MS salts, 30 g/L sucrose, 0.2 mg/L 6-BA, 0.2 mg/L IAA, and 7.5
503 g/L agar, pH 5.8) under long-day conditions (14 h : 10 h, light : dark) at 25°C.

504 **Generation of transgenic apple plants and calli**

505 201-bp (3' UTR region), 121-bp (conserved CDS of *MdSUMO2s*), or 74-bp
506 fragments of *MdSUMO2A*, *MdSUMO2*, or *MdRNF4* were individually cloned into the
507 pDONR222 vector by multisite Gateway recombination, as described by Karimi et al.
508 (Karimi and Hilson, 2007) and subsequently transferred to RNA silencing vector
509 pK7GWIWG2, a destination vector containing an N-terminal GFP tag by LR
510 recombination. To overexpress genes, the coding sequences of *MdSUMO2A*,
511 *MdDREB2A*, or *MdDREB2A*^{K192R} were constructed to pCambia 2300 with N-myc tag
512 or pGWB418. All the constructed vectors were transformed into *Agrobacterium* strain
513 *EHA105*. *Agrobacterium*-mediated transformation of apple was carried out as
514 described, using GL-3 as the genetic background (Holefors et al., 1998; Dai et al.,
515 2013).

516 To generate transgenic apple calli, 'Orin' (*Malus* × *domestica*) calli grown on MS
517 media (1.5 mg/L 2,4-Dichlorophenoxyacetic acid (2,4-D), and 0.4 mg/L 6-BA at the
518 dark environment) were used as the wild type. The coding sequence of *MdDREB2A*,
519 *MdDREB2A*^{K192R}, or *MdRNF4* was cloned into plant binary vector pGWB418. A
520 300-bp sequence of *MdRNF4* was cloned into pK7GWIWG2 to knock down
521 *MdRNF4* expression. The resulting plasmids were transformed into *Agrobacterium*
522 strain *EHA105* and then transformed to 'Orin' calli according to previous methods (An
523 et al., 2019; An et al., 2020) The primers used for constructing these vectors are
524 shown in Supplemental Data Set 4.

525 **Stress treatment**

526 For long-term drought treatment, 3-month-old GL-3 and transgenic apple
527 plants were transplanted to a greenhouse at the beginning of April, 2019. The drought
528 treatment was performed two months later in June. The plants were grown in plastic
529 pots (15 cm × 20 cm, ~1.3 L) filled with a mixture of sand and substrate

530 (PINDSTRUP, Denmark) (1:1, v/v). The measurement of soil volumetric water
531 content (VWC) was conducted by TDR (FS6430 , USA). At the beginning of drought
532 treatment, uniform trees of each line (30 trees for each line) were divided into two
533 groups for the following treatments (15 trees for each treatment for each line): (1)
534 control, well-watered, irrigated daily to maintain 43-48% of VWC and (2) moderate
535 drought, irrigated daily to maintain 18-23% of VWC. The treatment was lasted for
536 three months. The photosynthetic capacity was determined with LI-Cor 6400 portable
537 photosynthesis system (LI-COR, Huntington Beach, CA, USA). Hydraulic
538 conductivity of roots and shoots were conducted by an HPFM (Dynamax, Houston) as
539 described previously (Geng et al., 2018). The thickness of leaves were measured by
540 using tungsten filament scanning electron microscope (JSM-6360LV, Japan)
541 according to the methods described by Liao (Liao et al., 2016) with modifications. For
542 the detection of leaves $\delta^{13}\text{C}$ ‰, mature leaves were collected. Leaves were
543 oven-dried at 105°C for 0.5 h, and then 70°C for 3 days to dry completely. Dried
544 leaves were ground and filtered through a sieve (80 holes per cm^2). The $\delta^{13}\text{C}$ ‰ of
545 leaves was determined with an elementary analysis-isotope ratio mass spectrometer
546 (Flash EA 1112 HT-Delta V Advantages, Thermo Fisher Scientific) as described
547 previously (Wang et al., 2018).

548 For short-term drought treatment, 3-month-old uniform trees of GL-3 and
549 transgenic apples were used. Before treatment, plants were irrigated to maintain
550 saturation of soil water content. Then plants were withheld with water until VWC
551 reached 0, and survival rate was calculated after rewatering for one week. The soil
552 VWC was measured by TDR (FS6430, USA).

553 **RNA extraction and quantitative real-time RT-PCR**

554 Total RNA from apple leaves was extracted by a CTAB method. DNase I (Fermentas)
555 was used to remove residual genomic DNA. We used total RNA to generate cDNA
556 according to the manufacturer's instructions by using the RevertAidTM First Strand
557 cDNA synthesis kit (Thermo Scientific, USA). The qRT-PCR was performed in a

558 reaction containing GoTaq[®] qPCR Master Mix (Promega, USA), cDNA, and primers
559 (described in Supplemental Data Set 4) on an CFX96 real-time PCR detection
560 systems (Bio-Rad, USA). *MdMDH* (malate dehydrogenases) was used as the
561 reference gene.

562 **Subcellular localization**

563 To generate the constructs for subcellular localization assay, coding region of
564 *MdSUMO2A*, *MdSUMO2B*, or *MdSUMO2C* was amplified and cloned into
565 pEarleyGate104 vector by BP and LR reactions (Invitrogen), and were then
566 transformed into *Agrobacterium* strain *C58C1*. The empty vector pGWB455 which
567 carries 35S::mCherry was also transformed into *Agrobacterium* strain *C58C1*. The
568 *C58C1* carrying the resulting plasmid, 35S::mCherry, and 35S:p19 (p19 is an RNA
569 silencing repressor protein from *Tomato bushy stunt virus*) was coinfiltrated into
570 tobacco leaves (*Nicotiana benthamiana*). Three days later, the leaf epidermal cells
571 were observed by Nikon A1R/A1 confocal microscope system (Nikon, Tokyo, Japan)
572 for yellow fluorescence observation.

573 For colocalization of *MdSUMO2A* with *MdDREB2A* or *MdDREB2A*^{K192R}, the
574 full length sequence of *MdDREB2A* or *MdDREB2A*^{K192R} was cloned into pGWB455
575 and then transformed into *C58C1*. Mature fragments of *MdSUMO2A*, *MdSUMO2B*
576 and *MdSUMO2C* (*MdSUMO2s* with exposed GG) were amplified and individually
577 cloned into pEarleyGate104 vector by BP and LR reactions (Invitrogen), and were
578 then transformed into *Agrobacterium* strain *C58C1*. The *C58C1* carrying
579 mCherry-*MdDREB2A*, 35S:p19, and YFP-*MdSUMO2A*, YFP-*MdSUMO2B*, or
580 YFP-*MdSUMO2C* were resuspended in the buffer containing 10 mM MgCl₂, 10 mM
581 MES-KOH, 180 μM acetosyringone and then co-infiltrated into the tobacco leaves
582 for 3 d to detect signals with confocal microscope. The primers used are listed in
583 Supplemental Data Set 4.

584 **Histochemical and fluorometric assays for GUS activity**

585 For the promoter-GUS reporter assay, an ~1000 bp DNA fragment upstream of the
586 *MdSUMO2A* was cloned into pMDC164, and then transformed into *Agrobacterium*
587 strain *GV3101*. The resulting plasmid was introduced into Col-0 using the
588 floral-dipping method (Clough and Bent, 1998) for stable transformation in
589 *Arabidopsis*. GUS activity was observed after staining with 0.5 mg/mL
590 5-bromo-4-chloro-3-indolyl-b-D-glucuronide as described previously (Guan et al.,
591 2013). The primers used are listed in Supplemental Data Set 4.

592 **Endogenous ABA determination**

593 After three months of moderate drought treatment, the mature leaves were collected
594 from GL-3 and *MdSUMO2* transgenic lines to determine ABA content. Leaves were
595 weighed and immediately frozen in liquid nitrogen. Frozen leaves were then
596 pulverized and ABA was extracted as described previously (Chen et al., 2012; Xie et
597 al., 2020). Quantitative determination of endogenous ABA was performed on a
598 UPLC–MS/MS system (QTRAP™ 5500 LC/MS/MS, USA) and a Shimadzu
599 LC-30AD UPLC system (Tokyo, Japan).

600 **SUMOylation assay in *E. coli***

601 SUMOylation assays in *E. coli* were conducted as described previously (Elrouby and
602 Coupland, 2010). The coding region of *MdAE1* or *MdAE2* were amplified and cloned
603 into binary expression vector pCDFDuet-1, and mature *MdSUMO2s* or *MdCE* was
604 cloned into pACYCDuet-1. Prokaryotic expression vector PGEX-4T-1 was used to
605 express GST-MdDREB2A, MdALI, and MdaQP2 protein. Subsequently, the
606 resulting plasmids in certain combination were introduced into *Escherichia coli* strain
607 BL21 (DE3). After incubation at 37°C until OD₆₀₀ reached 0.6, 1 mM IPTG
608 (Isopropyl β-D-1-thiogalactopyranoside) was added to induce protein expression.
609 Eight hours later, the bacterium was harvested and denatured for western blot
610 analysis with GST antibody (M20007, Abmart). The primers used are listed in
611 Supplemental Data Set 4.

612 **Immunoblot analysis**

613 The proteins of transgenic apple plants and GL-3 were extracted with protein
614 extraction buffer [50 mM Tris-HCl, pH 8.0, 150 mM NaCl, 2 mM EDTA, 1 mM DTT,
615 10% glycerol, 1% Triton X-100, 1 mM phenylmethylsulfonyl fluoride (PMSF), and 1
616 × Halt protease inhibitor cocktail (Fisher Scientific)] and centrifuged at 14,000 g at
617 4°C for 10 min. The extracted proteins were used for western blot analysis with
618 polyclonal MdDREB2A antibody against rabbit, or anti-SUMO (ab5316, Abcam),
619 anti-Ubiquitin (P4D1, Cell Signaling Technology[®]), anti-MdRNF4 (rabbit
620 polyclonal antibody, ABclonal Technology), or anti-Actin (AC009, ABclonal
621 Technology).

622 ***In vivo* SUMOylation and ubiquitination analysis**

623 Total proteins extracted from transgenic plants (*MdSUMO2A* OE, *MdSUMO2* RNAi,
624 *MdDREB2A* OE, *MdDREB2A*^{K192R} OE, *MdRNF4* RNAi, and GL-3) were
625 immunoprecipitated with anti-MdDREB2A and immunoblotted with anti-SUMO
626 (ab5316, Abcam), or anti-Ubiquitin (P4D1, Cell Signaling Technology[®]) antibodies.
627 To examine the ubiquitination and SUMOylation of MdDREB2A under drought stress
628 conditions, plants were dehydrated for 2 hours.

629 To detect the effects of recombinant MdRNF4 on ubiquitination and
630 SUMOylation of MdDREB2A under simulated drought stress, proteins were extracted
631 from PEG-treated GL-3 and *MdDREB2A* OE plants, and recombinant MdRNF4 or 50
632 μM MG132 was added for 2 hours (An et al., 2019; An et al., 2020). Total proteins
633 were extracted and immunoprecipitated with anti-MdDREB2A and immunoblotted
634 with anti-SUMO (ab5316, Abcam), or anti-Ubiquitin (P4D1, Cell Signaling
635 Technology[®]) antibodies.

636 **Yeast two-hybrid assay**

637 To identify MdSUMO2 interacting proteins, 1-95 aa of MdSUMO2A (mature
638 MdSUMO2A with exposed GG) was amplified and cloned into pGBKT7 vector to
639 generate bait plasmid. Y2H screen was performed to screen the apple library

640 according to the user manual of Matchmaker™ Gold Yeast Two Hybrid System
641 (Clontech, Japan) by using *Saccharomyces cerevisiae* strain Y2H Gold.

642 To perform the point-to-point Y2H, full length MdSUMO2A was cloned into
643 pGBKT7, resulting in MdSUMO2A-pGBKT7. Full-length or truncated MdRNF4
644 with SIM deltion was constructed to pGADT7 vector. MdSUMO2A-pGBKT7 and
645 MdRNF4-pGADT7 or truncated MdRNF4-pGADT7 were co-transformed into yeast
646 strain Y2H Gold. The positive clones were selected on SD-Leu-Trp, and then on
647 SD-Leu-Trp-His-Ade + x- α -gal plates for growth observation and the x- α -gal assay.
648 The primers used are listed in Supplemental Data Set 4.

649 **CO-IP assay**

650 For Co-IP analysis, the leaves of GL-3 were dehydrated for 2 hours. Total proteins
651 were extracted from leaf samples with extraction buffer [50 mM Tris-HCl, pH 8.0,
652 150 mM NaCl, 2 mM EDTA, 1 mM DTT, 10% glycerol, 1% Triton X-100, 1 mM
653 phenylmethylsulfonyl fluoride (PMSF), and 1 \times Halt protease inhibitor cocktail
654 (Fisher Scientific)]. The protein extracts were incubated overnight with polyclonal
655 MdDREB2A antibody. The immunocomplexes were collected by adding protein A/G
656 agarose beads (Thermo Fisher) and were washed with immunoprecipitation buffer [50
657 mM Tris-HCl, pH 8.0, 150 mM NaCl, 2 mM EDTA, 1 mM DTT, 10% glycerol,
658 0.15% Triton X-100, 1 mM PMSF, and 1 \times Halt protease inhibitor cocktail (Fisher
659 Scientific)]. The pellet (immunocomplexes with beads) was resuspended in 1 \times
660 SDS-PAGE loading buffer. Eluted proteins were analyzed by immunoblotting using
661 anti-MdRNF4 antibody or anti-MdDREB2A antibody. Chemiluminescence signals
662 were detected by autoradiography.

663 **AP-MASS assay**

664 To identify the interacting proteins of MdDREB2A *in vivo*, AP-MASS assay was
665 performed as described previously (Maio et al., 2020) with modifications. Total
666 proteins were extracted in leaves of GL-3 plants with or without 2 h dehydration

667 treatments using extraction buffer [50 mM Tris-HCl, pH 8.0, 150 mM NaCl, 2 mM
668 EDTA, 1 mM DTT, 10% glycerol, 1% Triton X-100, 1 mM phenylmethylsulfonyl
669 fluoride (PMSF), and 1× Halt protease inhibitor cocktail (Fisher Scientific)]. The
670 protein extracts were incubated overnight with polyclonal MddREB2A antibody and
671 then added protein A/G agarose beads (Thermo Fisher) to incubate at 4 °C for
672 additional 4-5 hours. After incubation, the beads were captured with a magnetic rack
673 and washed three times in 0.5 ml of washing buffer (10 mM Tris-HCl pH 7.5, 150
674 mM NaCl, 0.5 mM EDTA, 1 mM PMSF protease inhibitor). The pellet
675 (immunocomplexes with beads) was resuspended in 1× SDS-PAGE loading buffer
676 and subjected to mass spectrometry analysis (Applied protein technology, China).

677 **Microscale thermophoresis (MST) assay**

678 Full length of MdSUMO2A and MddREB2A were cloned into pET-32a. MdCE or
679 MdRNF4 was cloned into pGEX-4T and pMAL-c5X, respectively. The resulting
680 plasmids were expressed in *E. coli* BL21. Recombinant protein MdSUMO2A-HIS
681 and MddREB2A were purified by HIS Sepharose beads (GE Healthcare, Fairfield,
682 CT, USA), GST-MdCE was purified by Pierce™ Glutathione Spin Columns (16105,
683 Thermo Scientific™, USA) and MBP-MdRNF4 was purified by MBP TRAP HP (GE
684 Healthcare). MST was conducted according the manufacturer's manual (NanoTemper,
685 Germany). The primers used are listed in Supplemental Data Set 4.

686 **Accession numbers**

687 The accession numbers in GDR are as follows: MdSUMO2B (MD17G1103900,
688 MD09G1113800), MdSUMO2A (MD03G1194700, MD11G1211000), MdSUMO2C
689 (MD05G1173700, MD10G1161600); and in NCBI under the following: MddREB2A
690 (NP_001280947.1), MdAE1 (XP_028948277.1), MdAE2 (XP_008382303.1), MdCE
691 (XP_008338336.1), MdRNF4 (XP_008346210.1), MdALI (XP_008341016.1),
692 MdAQP2 (XP_008363507.1).

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697 **Author contributions**

698 We thank Dr. Zhihong Zhang from Shenyang Agricultural University for providing
699 tissue-cultured GL-3 plants. Q.G. and F.M. designed the project. X.L., S.Z., L.L.,
700 H.D., Z.L., P. C., Z.M., S.Z., and B.C. performed the experiments. Q.G., X.L., H.D.,
701 B.C. and L.L. analyzed the data. Q.G., X.L. and F.M. wrote the manuscript.

702 **Conflict of interests**

703 The authors declare that they have no conflicts of interest.

704

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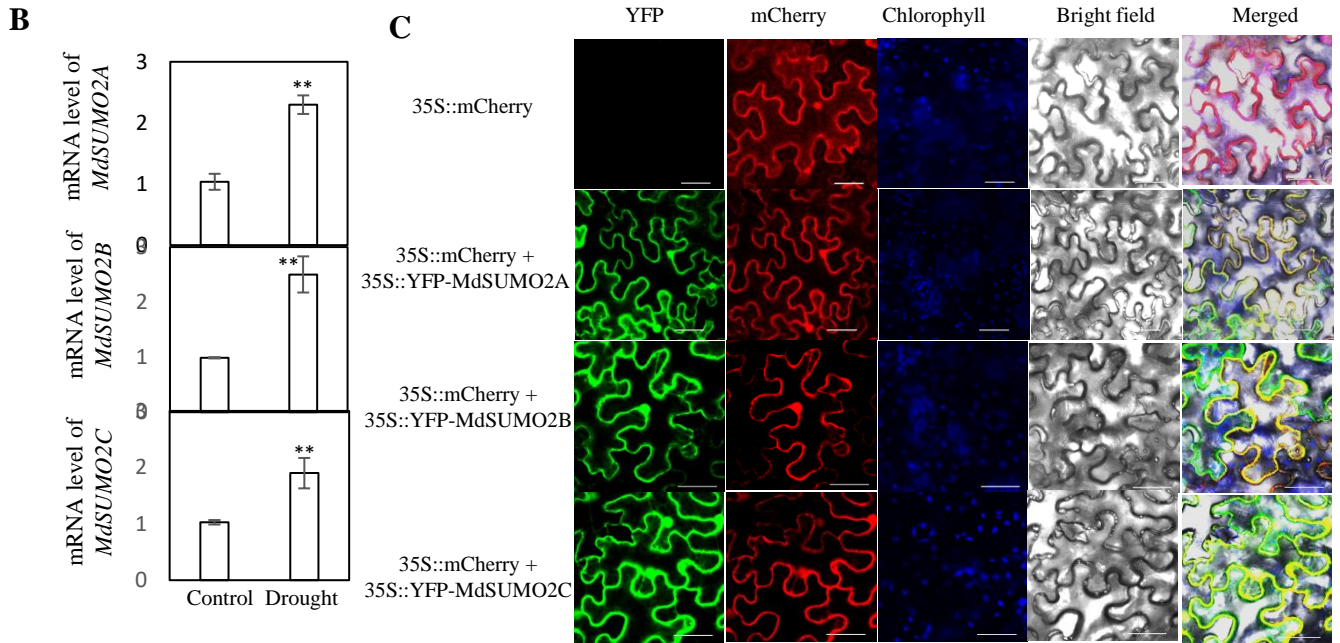


Fig. 1. Sequences, responses to drought stress, and localization of MdSUMO2s. (A) Comparison of amino acid sequences of MdSUMO2A, MdSUMO2B, MdSUMO2C in apple. MD03G1194700 and MD11G1211000 were named MdSUMO2A; MD17G1103900 and MD09G1113800 were named MdSUMO2B; MD5G1173700 and MD10G1161600 were named MdSUMO2C. (B) *MdSUMO2* expression in response to drought in 2-month old GL-3 plants which were exposed to drought for 0 and 6 days. (C) Subcellular localization of MdSUMO2s. YFP-MdSUMO2A, YFP-MdSUMO2B, or YFP-MdSUMO2C was transformed into 5-week-old tobacco (*Nicotiana benthamiana*) leaves for 3 days, and YFP and mCherry fluorescent signals were then observed. Bars = 40 μ m. Error bars indicate standard error (n = 3). Asterisks indicate significant differences based on one-way ANOVA and Tukey test (**, $P < 0.01$).

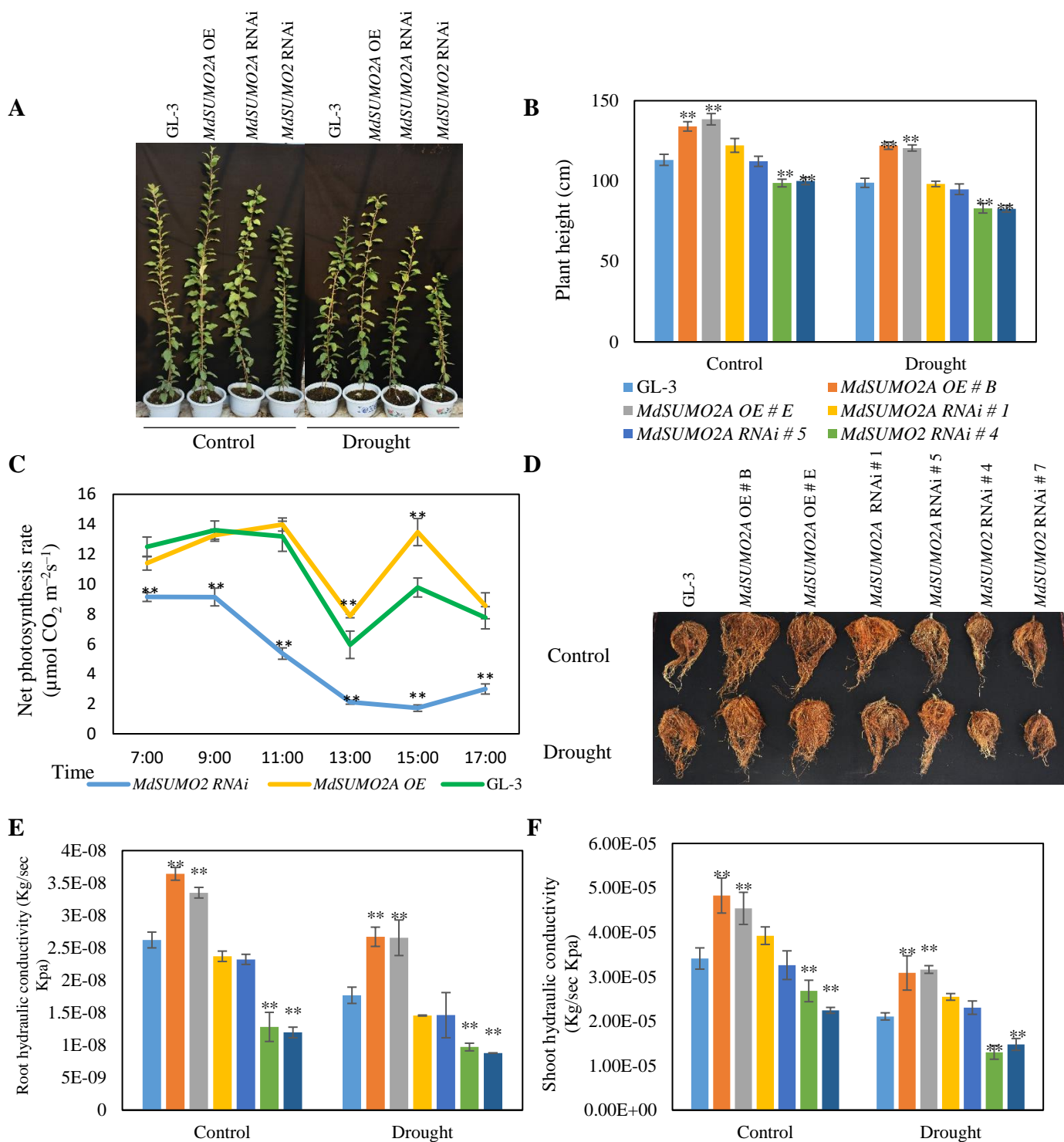


Fig. 2. *MdsUMO2A* OE plants show increased tolerance to drought stress. (A) Morphology of *MdsUMO2* transgenic plants under control and long-term drought stress. (B)-(F) Plant height (B), net photosynthesis (C), root morphology (D), root hydraulic conductivity (E), and shoot hydraulic conductivity (F) of GL-3 and *MdsUMO2* transgenic plants under control and long-term drought stress. Plants were exposed to drought for up to 3 months. During treatment, 43-48% soil volumetric water content (VWC) was maintained as control and 18-23% of VWC was maintained as drought treatment. Error bars indicate standard error [n = 12 in (B), 7 in (C), 5 in (E) and (F)]. Asterisks indicate significant differences based on one-way ANOVA and Tukey test (*, $P < 0.05$; **, $P < 0.01$). OE, overexpression.

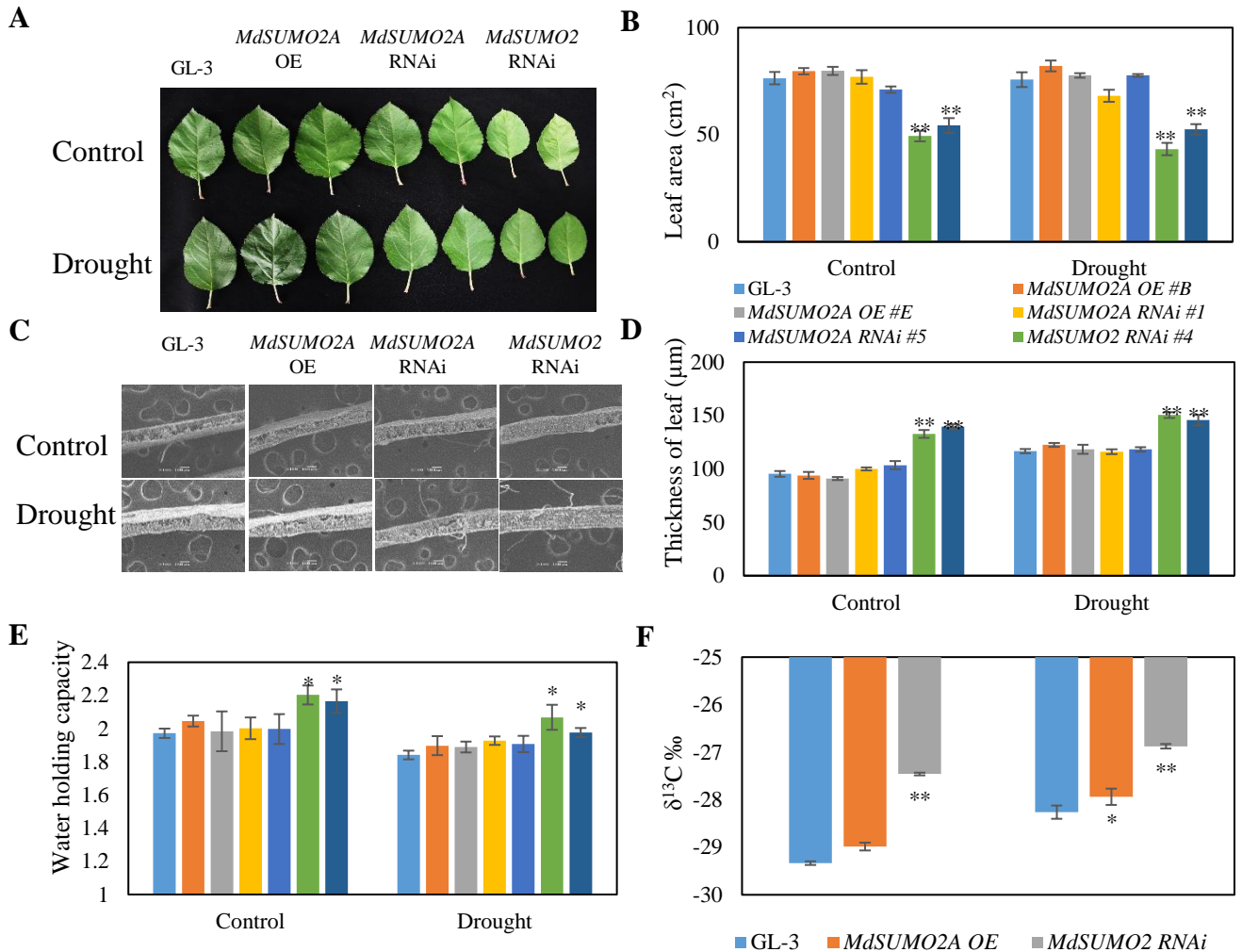


Fig. 3. *MdSUMO2* RNAi plants display increased tolerance to drought stress. (A)-(F) Leaf morphology (A), leaf area (B), leaf thickness (C and D), water holding capacity (E), and water use efficiency (F) of GL-3 and *MdSUMO2* transgenic plants under control and long-term drought stress. Leaf thickness was observed using tungsten filament scanning electron microscope (TEM); water holding capacity = (leaf saturated weight-dry weight) /dry weight; water use efficiency was detected by carbon isotope (¹³C) composition. Plants were exposed to drought for up to 3 months. During treatment, 43-48% soil volumetric water content (VWC) was maintained as control and 18-23% of VWC was maintained as drought treatment. Error bars indicate standard error [n = 9 in (B), 16 in (D), 6 in (E), 3 in (F)]. Asterisks indicate significant differences based on one-way ANOVA and Tukey test (*, *P* < 0.05; **, *P* < 0.01). OE, overexpression.

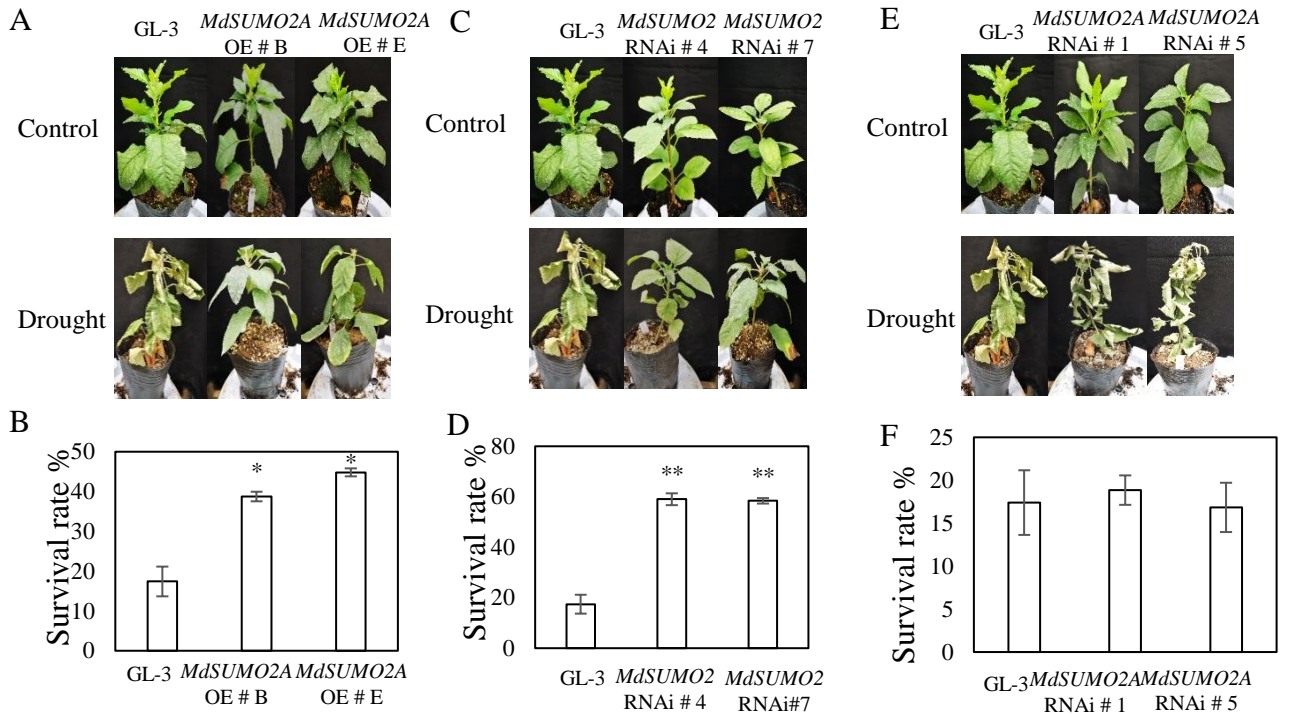


Fig. 4. Tolerance of *MdSUMO2* transgenic plants and GL-3 in response to short-term drought stress. (A) Tolerance of *MdSUMO2A* OE and GL-3 plants under short-term drought. (B) Survival rate of plans shown in (A). (C) Tolerance of *MdSUMO2* RNAi and GL-3 plants under short-term drought. (D) Survival rate of plans shown in (C). (E) Tolerance of *MdSUMO2A* RNAi and GL-3 plants under short-term drought. (F) Survival rate of plans shown in (E). Error bars indicate standard error (n = 3). Asterisks indicate significant differences based on one-way ANOVA and Tukey test (*, $P < 0.05$; **, $P < 0.01$). OE, overexpression.

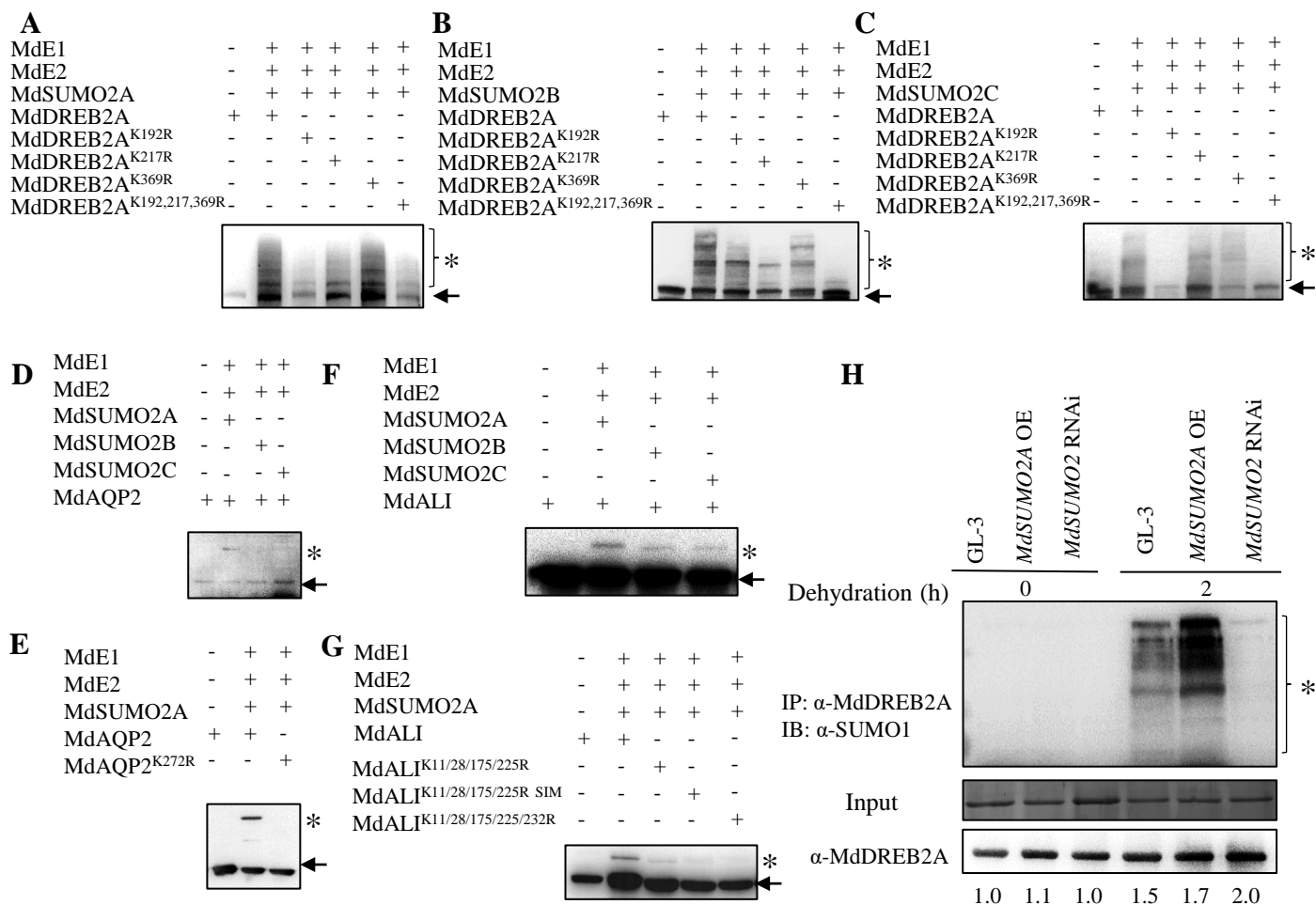


Fig. 5. SUMOylation of MdDREB2A, MdaQP2, and MdALI using *E. Coli* system. (A)-(C) MdDREB2A was SUMOylated by MdSUMO2A, MdSUMO2B, and MdSUMO2C. Putative SUMOylation sites (K) of MdDREB2A were mutated to arginine (R). (D) and (E) SUMOylation of AQP2 by MdSUMO2A. Putative SUMOylation site (K272) of MdaQP2 was mutated to arginine (R). (F) and (G) SUMOylation of MdALI by MdSUMO2A, MdSUMO2B, and MdSUMO2C. Putative SUMOylation sites (K) or SIM of MdALI was mutated to arginine (R). (H) SUMOylation of MdDREB2A and MdDREB2A protein in GL-3, *MdSUMO2* RNAi and *MdSUMO2A* OE plants under control or dehydration conditions. * indicates SUMOylated substrates; arrows indicate substrates. OE, overexpression.

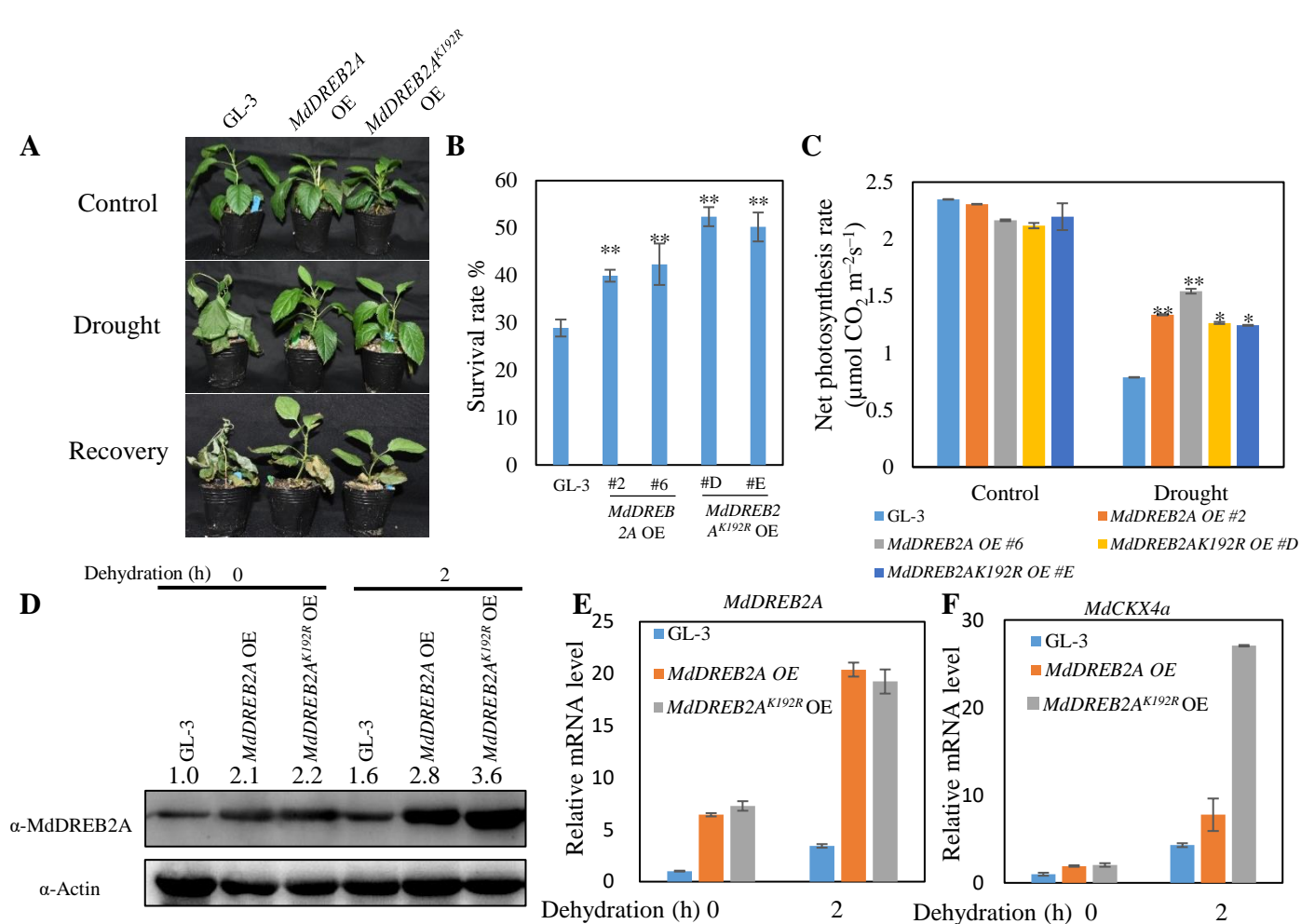


Fig. 6. SUMOylation of MdDREB2A is critical for drought stress tolerance. (A) Morphology of *MdDREB2A* OE and *MdDREB2A*^{K192R} OE transgenic plants under drought treatment for 3 weeks. **(B)** Survival rate of the plants shown in (A). **(C)** Net photosynthesis rate of *MdDREB2A* OE and *MdDREB2A*^{K192R} OE transgenic plants under drought treatment. **(D)** MdDREB2A accumulation in *MdDREB2A*^{K192R} OE and *MdDREB2A* OE plants under dehydration treatment. **(E)** and **(F)** mRNA level of *MdDREB2A* and *MdCKX4a* in *MdDREB2A* OE and *MdDREB2A*^{K192R} OE transgenic plants under dehydration treatment. Error bars indicate standard error [n = 4 in (B), 13 in (C), 3 in (E) and (F)]. Asterisks indicate significant differences based on one-way ANOVA and Tukey test (*, $P < 0.05$; **, $P < 0.01$). OE, overexpression.

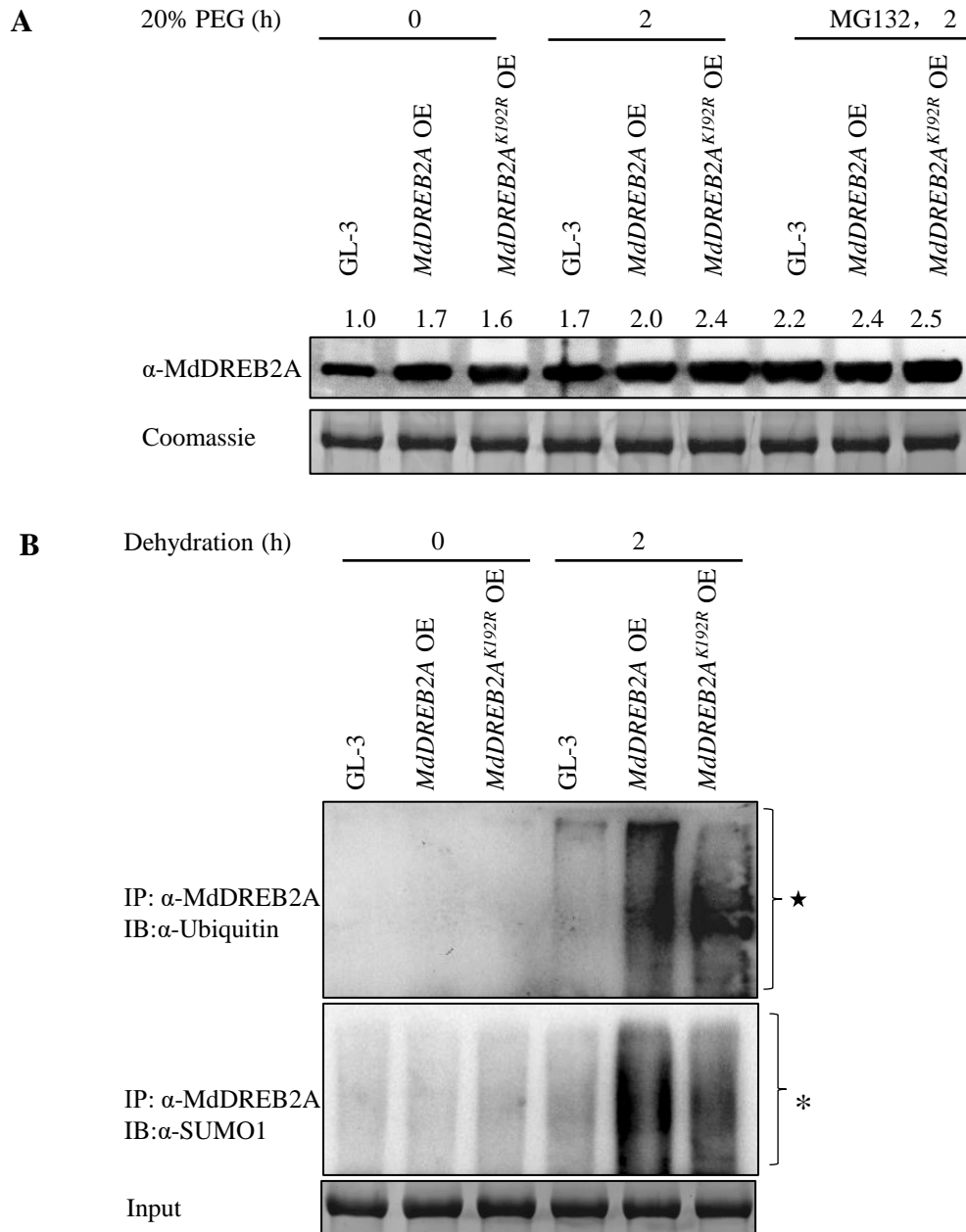


Fig. 7. SUMOylation of MdDREB2A couples with ubiquitination mediated by 26S proteasome pathway under drought stress. (A) MdDREB2A accumulation in *MdDREB2A* OE and *MdDREB2A*^{K192R} OE transgenic plants under simulated drought stress with or without 50 μ M MG132 treatment. (B) Ubiquitination and SUMOylation of MdDREB2A in *MdDREB2A* OE and *MdDREB2A*^{K192R} OE plants in response to dehydration. * indicates SUMOylated substrates; \star indicates ubiquitinated substrates. OE, overexpression.

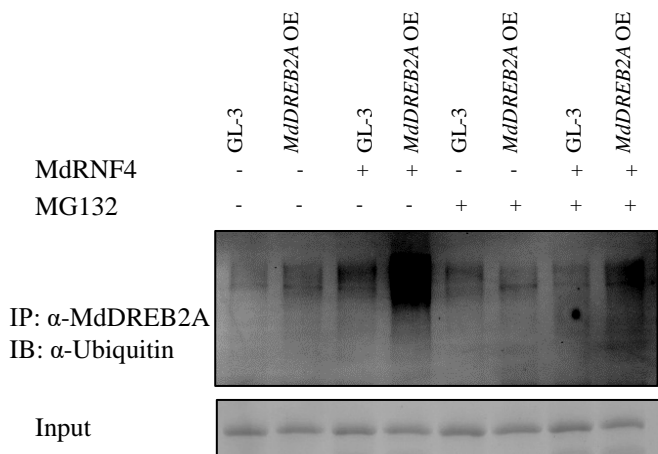
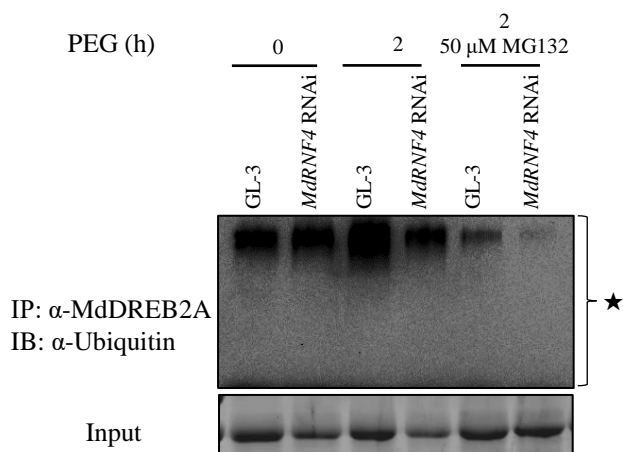
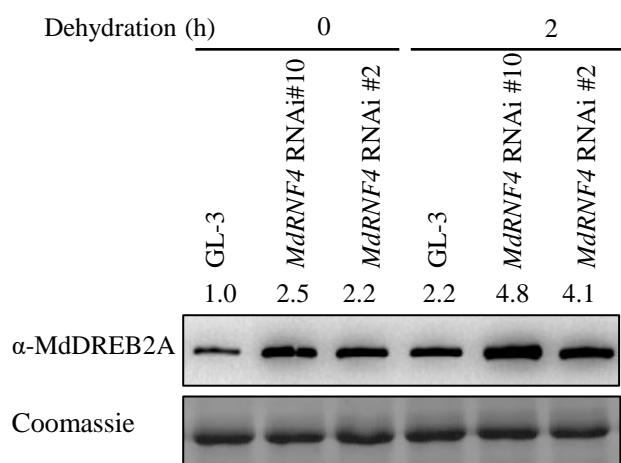
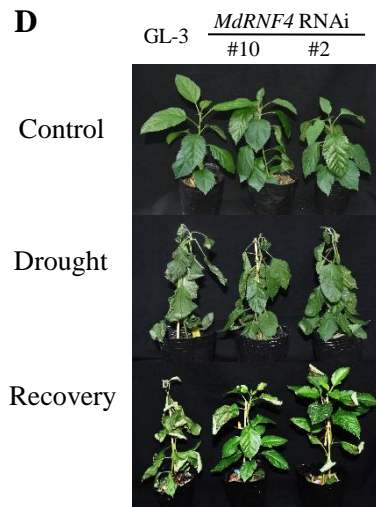
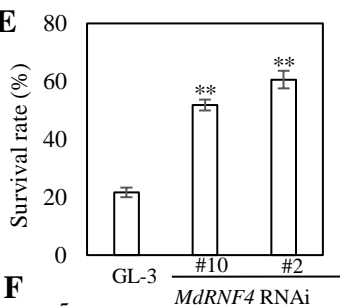
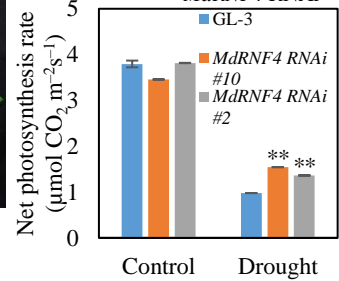
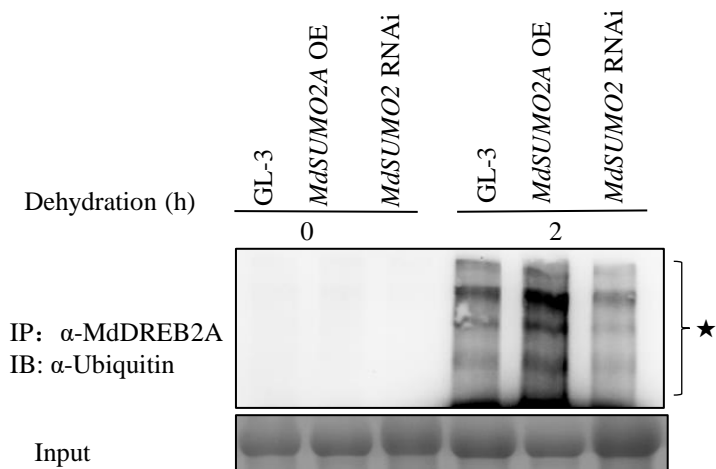
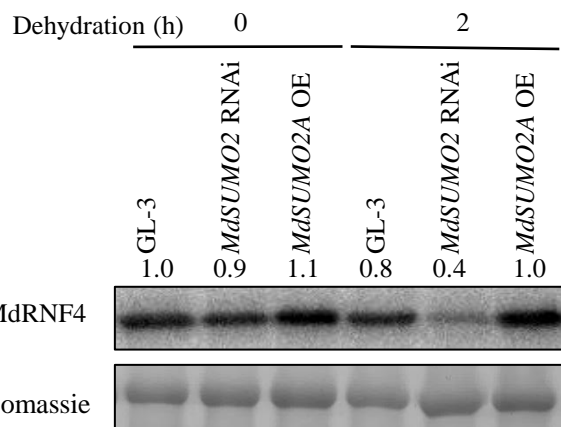
A**B****C****D****E****F****G****H**

Fig. 8. MdRNF4 mediates degradation of SUMOylated MdDREB2A under dehydration conditions. (A) Effects of recombinant MdRNF4 on ubiquitination of MdDREB2A under simulated drought stress. Proteins were extracted from PEG-treated GL-3 and *MdDREB2A* OE plants, and recombinant MdRNF4 or 50 μ M MG132 was added. (B) Ubiquitination of MdDREB2A in *MdRNF4* RNAi plants in response to dehydration. (C) MdDREB2A accumulation in *MdRNF4* RNAi plants in response to dehydration. (D) Morphology of GL-3 and *MdRNF4* RNAi plants under control and drought stress conditions. (E) and (F) Survival rate (E) and photosynthetic capacity (F) of the plants shown in (D). (G) Ubiquitination of MdDREB2A in *MdSUMO2* RNAi or *MdSUMO2A* OE plants in response to dehydration. (H) MdRNF4 level in *MdSUMO2* RNAi or *MdSUMO2A* OE plants in response to dehydration. Error bars indicate standard error [n = 8 in (D), 3 in (E), 20 in (F)]. Asterisks indicate significant differences based on one-way ANOVA and Tukey test (*, $P < 0.05$; **, $P < 0.01$). OE, overexpression. ★ indicates ubiquitinated substrates. OE, overexpression.

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