Perceptual and Semantic Representations at Encoding Contribute to True and False Recognition of Objects

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Abstract

2 When encoding new episodic memories, visual and semantic processing are proposed to 3 make distinct contributions to accurate memory and memory distortions. Here, we used 4 functional magnetic resonance imaging (fMRI) and representational similarity analysis to 5 uncover the representations that predict true and false recognition of unfamiliar objects. Two 6 semantic models captured coarse-grained taxonomic categories and specific object features, 7 respectively, while two perceptual models embodied low-level visual properties. Twenty-8 eight female and male participants encoded images of objects during fMRI scanning, and 9 later had to discriminate studied objects from similar lures and novel objects in a recognition 10 memory test. Both perceptual and semantic models predicted true memory. When studied 11 objects were later identified correctly, neural patterns corresponded to low-level visual 12 representations of these object images in the early visual cortex, lingual, and fusiform gyri. In 13 a similar fashion, alignment of neural patterns with fine-grained semantic feature 14 representations in the fusiform gyrus also predicted true recognition. However, emphasis on 15 coarser taxonomic representations predicted forgetting more anteriorly in ventral anterior 16 temporal lobe, left perirhinal cortex, and left inferior frontal gyrus. In contrast, false 17 recognition of similar lure objects was associated with weaker visual analysis posteriorly in 18 early visual and left occipitotemporal cortex. The results implicate multiple perceptual and 19 semantic representations in successful memory encoding and suggest that fine-grained 20 semantic as well as visual analysis contributes to accurate later recognition, while processing 21 visual image detail is critical for avoiding false recognition errors.

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Significance Statement

27	People are able to store detailed memories of many similar objects. We offer new insights
28	into the encoding of these specific memories by combining fMRI with explicit models of how
29	image properties and object knowledge are represented in the brain. When people processed
30	fine-grained visual properties in occipital and inferior temporal cortex, they were more likely
31	to be recognize the objects later, and less likely to falsely recognize similar objects. In
32	contrast, while object-specific feature representations in fusiform predicted accurate memory,
33	coarse-grained categorical representations in frontal and temporal regions predicted
34	forgetting. The data provide the first direct tests of theoretical assumptions about encoding
35	true and false memories, suggesting that semantic representations contribute to specific
36	memories as well as errors.
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Introduction

52 Humans are able to remember objects in great detail and discriminate them in memory from 53 others that are similar in appearance and type (Standing, 1973). To achieve this, highly 54 specific memories must be encoded. Successful object encoding engages diverse cortical 55 regions alongside the hippocampus (Kim, 2011). These areas intersect with networks 56 involved in visual object processing and semantic cognition (Binder et al., 2009; Clarke and 57 Tyler, 2014). However, little is known about the neural operations these regions support 58 during encoding. According to fuzzy-trace theory, the specific memory traces that contribute 59 to true recognition depend on encoding of perceptual features, while semantic gist 60 representations promote both true and false recognition (Brainerd and Reyna, 1990). 61 However, recent data suggest that perceptual relations between studied items and lures can 62 also trigger false recognition (Naspi et al., 2020). Here, we used functional magnetic 63 resonance imaging (fMRI) and representational similarity analysis (RSA) to investigate the 64 perceptual and semantic representations engaged that allow people to recognize these same 65 objects later among perceptually and semantically similar lures. 66 67 In line with fuzzy-trace theory, a few fMRI studies have shown stronger activation in 68 occipito-temporal regions when people later successfully recognize specific studied objects 69 than when they misrecognize similar lures (Garoff et al., 2005; Gonsalves et al., 2004; Okado

and Stark, 2005). However, activation of similar posterior areas has also been associated with

71 later false recognition (Garoff et al., 2005), and activation in left inferior frontal gyrus – a

region typically associated with semantic processing – with later true recognition (Pidgeon

and Morcom, 2016). Such results appear to challenge any simple mapping between

- 74 perceptual and semantic processing and true and false recognition (see also Naspi et al.,
- 75 2020). However, one cannot infer type of processing based on presence or absence of

activation alone. Here, we investigated the underlying processes that give rise to such effects,
using RSA to test whether patterns of neural similarity that indicate visual and semantic
processing predict subsequent memory performance.

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80 Object recognition involves visual analysis and the computation of meaning, proceeding in an 81 informational gradient along the ventral visual pathway (Clarke and Tyler, 2015). The coarse 82 semantic identity of an object emerges gradually from vision in posterior cortices including 83 lingual, fusiform, parahippocampal, and inferior temporal gyri that integrate semantic 84 features capturing taxonomic relationships (Devereux et al., 2013; Mahon et al., 2009; Tyler 85 et al., 2013). The lingual and fusiform gyri in particular are also engaged when memories of 86 objects are encoded (Kim, 2011). At the apex of the ventral pathway, the perirhinal cortex 87 provides the finer-grained feature integration required to differentiate similar objects (Clarke 88 and Tyler, 2014; Devlin and Price, 2007; Winters and Bussey, 2005), and activation here 89 predicts later memory for specific objects (Chen et al., 2019). Other researchers ascribe this 90 role more broadly to the anterior ventral temporal cortex, considered a semantic hub that 91 integrates modality-specific features into transmodal conceptual representations (Lambon 92 Ralph et al., 2017). Beyond the ventral stream, left inferolateral prefrontal regions supporting 93 controlled, selective semantic processing are also critical for memory encoding (Gabrieli et 94 al., 1998; Kim, 2011).

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According to theory, the perceptual and semantic representations encoded in memory traces
reflect how items were originally processed (Craik and Lockhart, 1972; Otten and Rugg,
2001). We therefore expected that some of these ventral pathway and inferior frontal
representations would be revealed in distinct distributed activity patterns giving rise to later
true and false recognition. We quantified perceptual representations in terms of low-level

visual attributes of object images, and semantic representations of the objects' concepts in
terms of their coarse taxonomic category membership as well as their specific semantic
features. We used these models to identify representational similarity patterns between
objects at encoding using a novel approach that combined RSA and the subsequent memory
paradigm in a single step. This allowed us to test where the strength of perceptual and
semantic object representations predicts subsequent accurate memory and false recognition of
similar lures.

108 Materials and Methods

109 Participants

110 Twenty-eight right-handed adults aged 18-35 years underwent fMRI scanning (M = 23.07years, SD = 3.54; 18 females, 10 males). Data from a further 4 participants were excluded 111 112 due to technical failures. All participants also spoke English fluently (i.e., had spoken English 113 since the age of 5 or lived in an English-speaking country for at least 10 years) and had 114 normal or corrected-to-normal vision. Exclusion criteria were a history of a serious systemic 115 psychiatric, medical or a neurological condition, visual issues precluding good visibility of 116 the task in the scanner, and standard MRI exclusion criteria (see https://osf.io/ypmdj for 117 preregistered criteria). Participants were compensated financially. They were contacted by 118 local advertisement and provided informed consent. The study was approved by the 119 University of Edinburgh Psychology Research Ethics Committee (Ref. 116-1819/1). All the 120 following procedures were pre-registered unless otherwise specified. 121 Stimuli 122 Stimuli were pictures of objects corresponding to 491 of the 638 basic-level concepts in The 123 Centre for Speech, Language and the Brain concept property norms (the CSLB norms;

124 Devereux et al., 2014). These were members of 24 superordinate categories (*Appliance, Bird*,

125 Body Part, Clothing, Container, Drink, Fish, Flower, Food, Fruit, Furniture, Invertebrate, 126 Kitchenware, Land Animal, Miscellaneous, Music, Sea Creature, Tool, Toy, Tree, Vegetable, 127 Vehicle, Water Vehicle, Weapon), and 238 were living things and 253 non-living things. We 128 sourced two images for each basic-level concept. Of the 982 images, 180 were a subset of the 129 images used by Clarke and Tyler (2014), 180 were compiled from the Bank of Standardized 130 Stimuli (BOSS; Brodeur et al., 2014) and the remaining 622 were taken from the Internet. 131 Each study list included single exemplar images of either 328 or 327 concepts. Of these, half 132 were subsequently tested as old and half were subsequently tested test as lures. Each test list 133 consisted of 491 items: 164 (or 163) studied images, 164 (or 163) similar lures (i.e., images 134 of different exemplars of studied basic-level concepts), and 163 (or 164) novel items (i.e., 135 images of basic-level concepts that had not been studied). Three filler trials prefaced the test 136 phase. For each participant, living and non-living concepts were randomly allocated to the 137 conditions with equal probability, i.e., to be studied/lure or novel items. Each study and test 138 list was presented in a unique random trial order.

139 Procedure

140 The experiment comprised a scanned encoding phase followed by a recognition test phase outside the scanner. Stimuli were presented using MATLAB 2019b (The MathWorks Inc., 141 142 2019) and PsychToolbox (Version 2.0.14; Kleiner et al., 2007). In the scanner, stimuli were 143 viewed on a back-projection screen via a mirror attached to the head coil. Earplugs were 144 employed to reduce scanner noise, and head motion was minimized using foam pads. During the study phase participants viewed one image at a time, and they were asked to judge 145 146 whether the name of each object started with a consonant or with a vowel, responding with 147 either index finger via handheld fiber-optic response triggers. By requiring participants to 148 retrieve the object names, we ensured that they processed the stimuli at both visual and 149 semantic levels. Participants were not informed of a later memory test. Images were

150 presented centrally against a white background for 500 ms. This was followed by a black 151 fixation cross with duration sampled from integer values of 2 to 10 s with a flat distribution, 152 and then a red fixation cross of 500 ms prior to the next trial, for a stimulus onset asynchrony 153 (SOA) of 3-11 s (M = 6). At test, participants viewed one image at a time for 3 s followed by a black fixation cross for 500 ms, and they judged each picture as "old" or "new" indicating 154 155 at the same time whether this judgment was accompanied by high or low confidence using 156 one of 4 responses on a computer keyboard. Mappings of responses to hands were 157 counterbalanced at both encoding and retrieval. 158 fMRI acquisition

159 Images were acquired with a Siemens Magnetom Skyra 3T scanner at the Queen's Medical

160 Research Centre (QMRI) at the Royal Infirmary of Edinburgh. T2*-weighted functional

161 images were collected by acquiring multiple echo-time sequences for each echo-planar

162 functional volume (repetition time (TR) = 1700 ms, echo time (TE) = 13 ms (echo-1), 31

163 (echo-2) ms, and 49 ms (echo-3)). Functional data were collected over 4 scanner runs of 360

164 volumes, each containing 46 slices (interleaved acquisition; 80×80 matrix; $3 \text{ mm} \times 3 \text{ mm} \times 3$

165 3 mm, flip angle = 73°). Each functional session lasted ~ 10 min. Before functional scanning,

high-resolution T1-weighted structural images were collected with TR = 2620 ms, TE = 4.9

167 ms, a 24-cm field of view (FOV), and a slice thickness of 0.8-mm. Two field map magnitude

168 images (TE = 4.92 ms and 7.38 ms) and a phase difference image were collected after the 2^{nd}

- 169 functional run. At the end, T2-weighted structural images were also obtained (TR= 6200 ms
- 170 and TE = 120 ms).
- 171

172 Image preprocessing

173 Except where stated, image processing followed procedures preregistered at

174 <u>https://osf.io/ypmdj</u> and was conducted in SPM 12 (v7487) in MATLAB 2019b. The raw

175 fMRI time series were first checked to detect artefact volumes that were associated with high 176 motion or were statistical outliers (e.g. due to scanner spikes). We checked head motion per 177 run using an initial realignment step, classifying volumes as artefacts if their absolute motion 178 was > 3 mm or 3 deg, or between-scan relative motion > 2 mm or 2 deg. Outlier scans were 179 then defined as those with normalized mean or standard deviation (of absolute values or 180 differences between scans) > 7 SD from the mean for the run. Volumes identified as 181 containing artefacts were replaced with the mean of the neighboring non-outlier volumes, or 182 removed if at the end of a run. If more than half of the scans in a run had artefacts, that run 183 was discarded. Artefacts were also modeled as confound regressors in the first level design 184 matrices. Next, BOLD images acquired at different echo times were realigned and slice time 185 corrected using SPM12 defaults. The resulting images were then resliced to the space of the 186 first volume of the first echo-1 BOLD time series. A brain mask was computed based on 187 preprocessed echo-1 BOLD images using Nilearn 0.5.2 and combined with a grey-and-white 188 matter mask in functional space for better coverage of anterior and ventral temporal lobes 189 (Abraham et al., 2014). The three echo time series were then fed into the Tedana workflow 190 (Kundu et al., 2017), run inside the previously created brain mask. This workflow 191 decomposed the time series into components and classified each component as BOLD signal 192 or noise. The three echo series were optimally combined and noise components discarded 193 from the data. The resulting time series were unwarped to correct for inhomogeneities in the 194 scanner's magnetic field: the voxel displacement map calculated from the field maps was 195 coregistered to the first echo-1 image from the first run, and applied to the combined time 196 series for each run. The preprocessed BOLD time series corresponding to the optimal 197 denoised combination of echoes outputted by the Tedana workflow were then used for RSA 198 analysis, where we used unsmoothed functional images in native space to keep the finer-199 grained structure of activity. For univariate analysis, the preprocessed BOLD time series were

also spatially normalized to MNI space using SPM's non-linear registration tool, DARTEL;

201 spatially normalized images were then smoothed with an 8 mm isotropic full-width half

- 202 maximum Gaussian kernel.
- 203

204 *Experimental design and statistical analysis*

205 Sample size

206 The sample size was determined using effect sizes from two previous studies. Staresina et al.

207 (2012) reported a large encoding-retrieval RSA similarity effect (d = 0.87). However,

subsequent memory effects are typically more subtle, for example d = 0.57 for an activation

209 measure (Morcom et al., 2003). We calculated that, with N = 28, we would have .8 power to

detect d = 0.55 for a one sample *t*-test at alpha = 0.05 (G*Power 3.1.9.2).

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212 Behavioral analysis

213 To assess whether differences in task engagement during memory encoding predicted later 214 memory, we modelled the effects of encoding task accuracy (0, 1) on subsequent memory 215 outcomes using two separate generalized linear mixed effect models (GLMM) for studied 216 items tested as old (subsequent hits and misses as predictors), and for studied items tested as 217 lures (subsequent false alarms and correct rejection as predictors). Similarly, to assess any 218 differences in study phase reaction times (RTs) according to subsequent memory status, we 219 used two further linear mixed effect models (LMM). At test, to evaluate the effects on 220 memory of perceptual and semantic similarity between objects, we also applied a generalized 221 linear mixed model following the methods of Naspi et al. (2020). This had dependent 222 measures of response at test ("old" or "new") and confusability predictors calculated for each 223 image and concept. C1 visual and color confusability were defined as the similarity value of 224 an image with its most similar picture (i.e., the nearest neighbor) from Pearson correlation

and earth's mover distance metrics, respectively. Concept confusability was calculated by a
weighted sum of the cosine similarities between objects in which each weight was the
between-concept similarity itself, i.e., the sum of squared similarities (see Naspi et al.,
(2020)). All the analyses described above were carried out data with the lme4 package
(Version 1.1-23) in R (Version 4.0.0). Models included random intercepts to account for
variation over items and participants.

231

232 Multivariate fMRI analysis

233 Overview

234 The goal of our study was to investigate how perceptual and semantic representations 235 processed at encoding predict successful and unsuccessful mnemonic discrimination. To test 236 this, we used RSA to assess whether the fit of perceptual and semantic representational 237 models to activity patterns at encoding predicted subsequent memory. In two main sets of 238 analyses we examined representations predicting later true recognition of studied items, and 239 representations predicting false recognition of similar lures. We implemented a novel 240 approach that models the interaction of representation similarity with subsequent memory in 241 a single step. Each memory encoding model contrasts the strength of visual and semantic 242 representations of items later remembered versus forgotten (or falsely recognized versus 243 correctly rejected) within the same representational dissimilarity matrix (RDM). In a third set 244 of analyses we also aimed to replicate Clarke and Tyler (2014) key findings regarding 245 perceptual and semantic representations irrespective of memory. All RSA analyses were 246 performed separately for each participant on trial-specific parameter estimates from a general 247 linear model (GLM). We then followed three standard steps: 1) For each theoretical 248 perceptual and semantic model, we created model RDMs embodying the predicted pairwise 249 dissimilarity over items; 2) For each ROI (or searchlight sphere), we created fMRI data

RDMs embodying the actual dissimilarity of multivoxel activity patterns over items; 3) We
determined the fits between the model RDMs and the fMRI data RDM for each ROI (or
searchlight sphere). The implementation of these steps is outlined in the following sections.

254 RSA first level general linear model

Statistical analysis of fMRI data was performed in SPM12 using the first-level GLM and a 255 256 Least-Squares-All (LSA) method (Mumford et al., 2012). For each participant, the design 257 matrix included one regressor for each trial of interest, for a total of 327 or 328 regressors 258 (depending on counterbalancing), computed by convolving the 0.5 s duration stimulus 259 function with a canonical hemodynamic response function (HRF). For each run, we also 260 included twelve motion regressors comprising the three translations and three rotations 261 estimated during spatial realignment, and their scan-to-scan differences, as well as individual 262 scan regressors for any excluded scans, and session constants for each of the 4 scanner runs. 263 The model was fit to native space pre-processed functional images using Variational Bayes 264 estimation with an AR(3) autocorrelation model (Penny et al., 2005). A high-pass filter with a 265 cutoff of 128 s was applied and data were scaled to a grand mean of 100 across all voxels and 266 scans within sessions. Rather than using the default SPM whole-brain mask (which requires a 267 voxel intensity of 0.8 of the global mean and can lead to exclusion of ventral anterior 268 temporal lobe voxels), we set the implicit mask threshold to 0 and instead included only 269 voxels which had at least a 0.2 probability of being in grey or white matter, as indicated by 270 the tissue segmentation of the participant's T1 scan.

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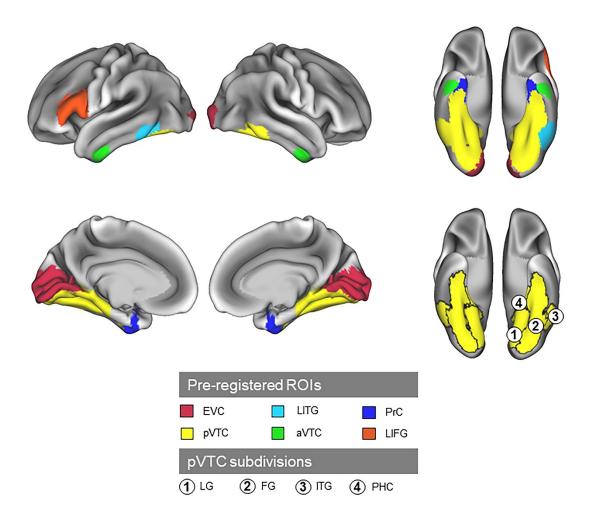
272 Regions of interest

273 All regions of interests (ROIs) are shown in Figure 1. We defined six ROIs including areas

spanning the ventral visual stream, which have been implicated in visual and semantic

feature-based object recognition processes (Clarke and Tyler, 2014; Clarke and Tyler, 2015).

276 We also included the left inferior frontal gyrus, strongly implicated in semantic contributions 277 to episodic encoding (Kim, 2011), and bilateral anterior ventral temporal cortex, which is 278 implicated in semantic representation (Lambon Ralph et al., 2017) and is hypothesized to 279 contribute to false memory encoding, albeit mainly in associative false memory tasks 280 (Chadwick et al., 2016; Zhu et al., 2019). Except where explicitly stated, ROIs were bilateral 281 and defined in MNI space using the Harvard-Oxford structural atlas: 1) the early visual cortex 282 (EVC; BA17/18) ROI was defined using the Julich probabilistic cytoarchitectonic maps 283 (Amunts et al., 2000) from the SPM Anatomy toolbox (Eickhoff et al., 2005); 2) the posterior 284 ventral temporal cortex (pVTC) ROI consisted of the inferior temporal gyrus (occipito-285 temporal division; ITG), fusiform gyrus (FG), lingual gyrus (LG), and parahippocampal 286 cortex (posterior division; PHC); 3) the perirhinal cortex (PrC) ROI was defined using the 287 probabilistic perirhinal map including voxels with a > 10% probability to be in that region 288 (Devlin and Price, 2007; Holdstock et al., 2009); 4) the anterior ventral temporal cortex 289 (aVTC) ROI included voxels with >30% probability of being in the anterior division of the 290 inferior temporal gyrus and >30% probability of being in the anterior division of the fusiform 291 gyrus; 5) the left inferior frontal gyrus (LIFG; BA44/45) consisted of the pars triangularis and 292 pars opercularis. Lastly, we used univariate analysis as a preregistered method to define 293 additional ROIs for RSA around any regions not already in the analysis that showed 294 significant subsequent memory effects. Based on this analysis, we also included 6) the left 295 inferior temporal gyrus (occipito-temporal division; LITG) as defined using the Harvard-296 Oxford atlas (see Results, Univariate fMRI analysis). The LITG has been previously 297 implicated in true and false memory encoding (Dennis et al., 2007; Kim and Cabeza, 2007). 298 The ROIs in Figure 1 are mapped on a pial representation of cortex using the Connectome 299 Workbench (https://www.humanconnectome.org/software/connectome-workbench).



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Figure 1. Binary ROIs overlaid on a pial cortical surface based on the normalized structural
 image averaged over participants. Colored ROIs represent regions known to be important in
 episodic encoding and in visual or semantic cognition. Circled numbers specify different
 subregions within pVTC (see Region of Interest for details).

306

307 RSA region of interest analysis

- 308 Model RDMs. We created four theoretical RDMs using low-level visual, color, binary-
- 309 categorical, and specific object semantic feature measures. Figure 2 illustrates the
- 310 multidimensional scale (MDS) plots for the perceptual and semantic relations expressed by
- 311 these models, and Figure 3 shows the model RDMs. Memory encoding RDMs are displayed
- in Figure 3A and 3B, and overall RDMs irrespective of memory in Figure 3C.

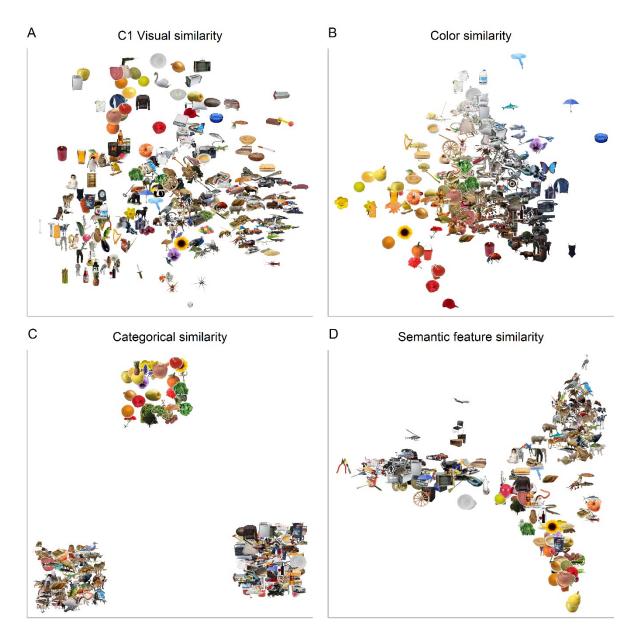




Figure 2. MDS plots for perceptual and semantic similarities for the four models. Pair-wise similarities were calculated to create representational dissimilarity matrices (RDMs). **A**, C1 visual similarity codes for a combination of orientation and shape (e.g., round objects towards the top, horizontal shapes on the right, vertical shapes at the bottom). **B**, Color similarity represents color saturation and size information (i.e., from bright on the left to dark at the bottom, and white towards the top). **C**, Binary categorical semantic similarity codes for domain-level representations distinguishing animals, plants and nonbiological objects

322 (bottom-left, top, bottom-right, respectively). D, Semantic feature similarity codes for finer323 grained distinctions based on features of each concept (e.g., differences within living things at
324 the bottom, non-living things on the left, and many categories of animal on the top-right). The
325 objects shown are taken from a single subject at encoding.

326

327 1) The early visual RDM was derived from the HMax computational model of vision 328 (Riesenhuber and Poggio, 1999; Serre et al., 2007) and captured the low-level (V1) visual 329 attributes of each picture in the C1 layer. Pairwise dissimilarity values were computed as 1 -330 Pearson's correlations between response vectors for gray-scale versions of each image. 331 2) The *color RDM* was calculated using the color distance package (Version 1.1.0; Weller 332 and Westneat, 2019) in R. After converting the RGB channels into CIELab space we 333 calculated the earth mover's distance between each pair of images (Rubner et al., 2000). We 334 then normalized the distance so that the dissimilarity values ranged from 0 (lowest) to 1 335 (highest). 336 3) The animal-nonbiological-plant RDM combined the 24 object categories together 337 according to 3 domains: animal, nonbiological, and plants (Clarke and Tyler, 2014). Pairwise 338 dissimilarity values in this RDM were either 0 (same domain) or 1 (different domain).

4) Construction of the *semantic feature RDM* followed Clarke and Tyler (2014), but used

340 updated property norms (Devereux et al., 2014). We first computed pairwise feature

341 similarity between concepts from a semantic feature matrix in which each concept is

342 represented by a binary vector indicating whether a given feature is associated with the

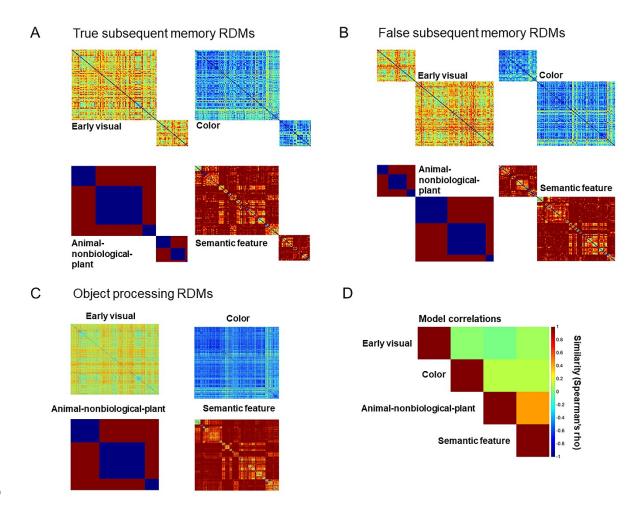
343 concept or not. Pairwise dissimilarity between concepts was computed as 1 – S where S is

344 equal to the cosine angle between feature vectors. This RDM captures both categorical

345 similarity between objects (as objects from similar categories have similar features) and

346 within-category object individuation (as objects are composed of a unique set of features).

347 For the analyses of memory encoding, model RDMs were split into two, giving one RDM for 348 each subsequent memory analysis. The true subsequent memory RDMs included only items 349 that were subsequently tested as old; these were coded as subsequent hits or subsequent 350 misses (Fig. 3A). The false subsequent memory RDMs included only items that were 351 subsequently tested as lures; these were coded as subsequent false alarms or subsequent 352 correct rejections (Fig. 3B). For true subsequent memory, we computed dissimilarity between 353 all pairs of subsequently remembered items, and all pairs of subsequently forgotten items, 354 omitting pairings of subsequently remembered and subsequently forgotten items. Then, to 355 assess how dissimilarity depended on subsequent memory we weighted the model RDMs so 356 that the sum of the cells corresponding to remembered items equaled 1 and the sum of the 357 cells corresponding to forgotten items equaled -1, so the dissimilarity values for all included 358 trials summed to 0 (i.e., subsequent hits – subsequent misses). Thus, positive correlations of 359 the model RDMs with the fMRI data RDMs indicate that the representations are aligned more 360 strongly with neural patterns for items that are later remembered than forgotten. Conversely, 361 negative correlations indicate greater alignment for items that are later forgotten than remembered items. For false subsequent memory, we followed the same procedure, but 362 363 subsequent false alarms were substituted for subsequent hits, and subsequent correct 364 rejections for subsequent misses. Analyses were implemented using custom MATLAB 2019b 365 (The MathWorks Inc., 2019) and R (Version 4.0.0; R Core Team, 2017) functions 366 (https://osf.io/ypmdj). For the RSA analyses irrespective of memory, we modeled 367 dissimilarities between all item pairs, treating all trials in the same way (see Fig. 3C). 368



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370 Figure 3. Representational dissimilarity matrices. A, Dissimilarity predictions of the four true 371 subsequent memory models which included items that were later tested as old, coding subsequent hits positively (upper-left quadrants) and subsequent misses negatively (bottom-372 373 right quadrants). **B**, Dissimilarity predictions of the four false subsequent memory models 374 which included items that were later tested as lures, coding subsequent false alarms positively 375 (upper-left quadrants) and subsequent correct rejections negatively (bottom-right quadrants). 376 C, Dissimilarity models of object processing including all the items. D, Similarity between 377 theoretical models. The specific models are unique for each participant. For visualization 378 purposes, similarity values within true and false subsequent memory RDMs have not been 379 scaled. 380

382 fMRI data RDMs. Parameter estimates were extracted from gray matter voxels in each ROI 383 for all trials of interest. For each voxel, these betas were then normalized by dividing them by 384 the standard deviation of its residuals (Walther et al., 2016). As for the model RDMs, we 385 constructed separate fMRI data RDMs for the true and false subsequent memory and overall 386 object processing analyses. For the true subsequent memory analysis, the fMRI data RDM 387 represented activity patterns for concepts subsequently tested as old, and for the false 388 subsequent memory analysis, the fMRI data RDM represented activity patterns for concepts 389 subsequently tested as lures. For the overall analysis, the RDM represented activity patterns 390 for all study trials. For the fMRI data RDMs for the subsequent memory analysis, as for the 391 model RDMs, we computed dissimilarity between all pairings of subsequently remembered 392 (or falsely recognized) items, and between all pairings of subsequently forgotten (or correctly 393 rejected) items, omitting pairings between different trial types. Distance between each item 394 pair was computed as 1 - Pearson's correlation, creating a dissimilarity matrix.

396 Fitting model to data RDMs. Each fMRI data RDM was compared with each theoretical 397 model RDM using Spearman's rank correlation, and the resulting dissimilarity values were 398 Fisher-transformed. For the subsequent memory analysis, we tested for significant positive 399 and negative similarities between model RDM and fMRI data RDMs at the group level using 400 a two-sided Fisher's one-sample randomization (10,000 permutation) test for location with a 401 Bonferroni correction over 6 ROIs. The permutation distribution of the test statistic T402 enumerates all the possible ways of permuting the correlation signs, positive or negative, of 403 the observed values and computes the resulting sum. Thus, for a two-sided hypothesis, the p-404 value is computed from the permutation distribution of the absolute value of T, calculating 405 the proportion of values in this permutation distribution that are greater or equal to the 406 observed value of T (Millard and Neerchal, 2001). For the overall analysis we only tested for 407 significant positive similarities between model RDM and fMRI data RDMs (Clarke and 408 Tyler, 2014), using a one-sided test, in which the *p*-value is evaluated as the proportion of 409 sums in the permutation distribution that are greater than or equal to the observed sum T410 (Millard and Neerchal, 2001). To find the unique effect of model RDMs, each fMRI data 411 RDM showing a significant effect was also compared with each theoretical model RDM 412 while controlling for effects of all other significant model RDMs (using partial Spearman's 413 rank correlations).

414

415 RSA searchlight analysis

In addition to the targeted ROI analysis, we ran a whole-brain searchlight analysis. This followed the same 3 main steps as the ROI analysis (see RSA region of interest analysis). For each voxel, the fMRI data RDM was computed from parameter estimates for gray matter voxels within a spherical searchlight of radius 7 mm, corresponding to maximum dimensions $5 \times 5 \times 5$ voxels. Dissimilarity was again estimated using 1 - Pearson's correlation. As in the 421 ROI analysis, this fMRI data RDM was compared with the model RDMs, and the resulting

422 dissimilarity values were Fisher transformed and mapped back to the voxel at the center of

423 the searchlight. The similarity map for each model RDM and participant was then normalized

424 to the MNI template space (see Image preprocessing). For each model RDM, the similarity

425 maps were entered into a group-level random-effects analysis and thresholded using

426 permutation-based statistical nonparametric mapping (SnPM;

427 <u>http://www.nisox.org/Software/SnPM13/</u>). This corrected for multiple comparisons across

428 voxels and the number of theoretical model RDMs. As for the ROIs we performed two-tailed

429 tests in the subsequent memory analyses and one-tailed tests for the overall analysis.

430 Variance smoothing of 6 mm FWHM and 10,000 permutations were used in all analyses. We

431 used cluster-level inferences with FWE-correction at $\alpha = 0.025$ in each direction for the two-

432 tailed tests and $\alpha = 0.05$ for the one-tailed test, in both cases with a cluster forming threshold

433 of 0.005 uncorrected. All results are presented on an inflated representation of the cortex

using the BrainNet Viewer (Xia et al., 2013, <u>http://www.nitrc.org/projects/bnv/</u>) based on a
standard ICBM152 template.

436

437 Univariate fMRI analysis

438 In addition to RSA, we used univariate analysis to test whether activation in PrC was related 439 to the conceptual confusability of an object, in a replication of Clarke and Tyler (2014), and 440 whether this activation predicted memory. We also used activations to define additional ROIs 441 (see Regions of interest). The first level GLM for each participant included one regressor of 442 interest for each of the 4 experimental conditions (subsequent hits, misses, false alarms, and 443 correct rejections). For each condition, we also included 4 linear parametric modulator 444 regressors representing concept confusability values for each concept with other concepts in the CSLB property norms (Devereux et al., 2014). We first computed a semantic similarity 445

446 score between each pair of concepts (see RSA region of interest analysis, Model RDMs). The 447 concept confusability score of each concept was then equal to the sum of squared similarities 448 between it and the other concepts in the set. This was equivalent to a weighted sum of pair-449 wise similarities in which each weight was the between-concept similarity itself, a measure 450 used in our recent behavioral study (Naspi et al., 2020). As also specified in the 451 preregistration, since the results of the concept confusability analysis diverged from those of 452 Clarke and Tyler (2014), we ran an additional analysis using a measure of concept 453 confusability with a stronger weighting scheme equivalent to theirs. They defined concept 454 confusability as the exponential of the ranked similarities of all the paired concepts, which is 455 very close to a nearest neighbor scheme in which each concept's similarity is equal to its 456 similarity to the most similar concept in the set. Due to our larger number of items the 457 exponential weighting produced extremely large weights, so we substituted the simpler 458 nearest neighbor scheme (the two measures were correlated at r = 0.98). We used an explicit 459 mask including only voxels which had at least a 0.2 probability of being in grey matter as 460 defined using the MNI template. To permit inferences about encoding condition effects 461 across participants, contrast images were submitted to a second-level group analysis (one sample *t*-test) to obtain *t*-statistic maps. The maps were thresholded at p < 0.05, FWE-462 463 corrected for multiple comparisons at the voxel level using SPM (the preregistration specified 464 3dClustSim in AFNI, but this function had since been updated (Cox et al., 2017) so for 465 simplicity we used the SPM default). Only regions whose activations involved contiguous 466 clusters of at least 5 voxels were retained as ROIs for subsequent RSA analysis. 467

468 *Code accessibility*

- 469 All analyses were performed using custom code and implemented either in MATLAB or R.
- 470 All code and the data for the behavioral and the fMRI analyses are available through
- 471 <u>https://osf.io/z4c62/</u>.

472 **Results**

473 *Memory task performance*

474 In the study phase, participants correctly identified most of the time whether concepts began 475 with a consonant or vowel on the incidental encoding task (M proportion = 0.78). Analysis on task engagement (see Materials and Methods, Behavioral data) using a GLMM showed that 476 477 accuracy at encoding did not differ according to whether items that were tested as studied 478 were later remembered relative to forgotten ($\beta = 0.110$, SEM = 0.242, z = 0.456, p = 0.649), 479 or whether items that were tested as lures were later falsely recognized relative to correctly rejected ($\beta = 0.051$, SEM = 0.202, z = 0.251, p = 0.802). Similarly, a linear mixed model did 480 481 not reveal any difference in RTs related to subsequent old items that were later remembered 482 relative to forgotten ($\beta = 0.002$, SEM = 0.017, t = 0.123, p = 0.902), or subsequent lures that 483 were later falsely recognized relative to correctly rejected ($\beta = -0.013$, SEM = 0.015, t = -484 0.873, p = 0.383). Thus, the fMRI subsequent memory effects are not attributable to 485 differences in accuracy or time on task at encoding.

486

487 At test, as a simple check on the overall level of performance we used the discrimination 488 index *Pr*, i.e., the difference between the probability of a hit to studied items and the 489 probability of a false alarm to novel items. All participants passed the preregistered inclusion 490 criterion of *Pr* > 0.1. Overall, discrimination collapsed across confidence was very good (M = 491 0.649, SD = 0.131, $t_{(27)} = 26.259$, p < 0.001). Discrimination was also above chance for high 492 confidence (M = 0.771, SD = 0.152, $t_{(27)} = 26.868$, p < 0.001) and low confidence judgments 493 $(M = 0.330, SD = 0.145, t_{(27)} = 12.014, p < 0.001)$. This suggests that low confidence 494 responses at test carried veridical memory, so we followed our preregistered plan to include 495 trials attracting both high and low confidence responses in the subsequent memory analysis. 496 Following an analogous procedure for false recognition of similar lures corrected by 497 subtracting the proportion of false alarms to novel items, we also found that this was 498 significantly above chance for judgments collapsed across confidence (M = 0.271, SD =499 0.090, $t_{(27)} = 15.996$, p < 0.001), and for both high confidence (M = 0.293, SD = 0.133, $t_{(27)} =$ 500 11.618, p < 0.001) and low confidence (M = 0.157, SD = 0.160, $t_{(27)} = 5.187, p < 0.001$) 501 considered separately. 502 503 We then used a GLMM to quantify the influence of perceptual and semantic variables on 504 memory performance according to item status. Our variables of interest were condition 505 (studied, lure, or novel), concept confusability, C1 visual confusability, and color 506 confusability (see Behavioral data for details). Results revealed modulations of memory by 507 perceptual and semantic variables in line with our recent behavioral study (Naspi et al., 508 2020). People were less likely to recognize studied items for which the low-level visual 509 representations (C1) were more similar to those of their nearest neighbor ($\beta = -0.166$, SEM = 510 0.064, z = -2.584, p = 0.015), and also less likely to recognize studied items with high concept confusability relative to novel items ($\beta = -0.533$, SEM = 0.067, z = -7.963, p < -0.533511 512 0.001). As expected, concept confusability also had a substantial effect on false recognition 513 of similar lures relative to novel items, whereby images whose concepts were more confusable

514 with other concepts in the set were less likely to be falsely recognized ($\beta = -0.273$, SEM =

515 0.064, z = -4.292, p < 0.001).

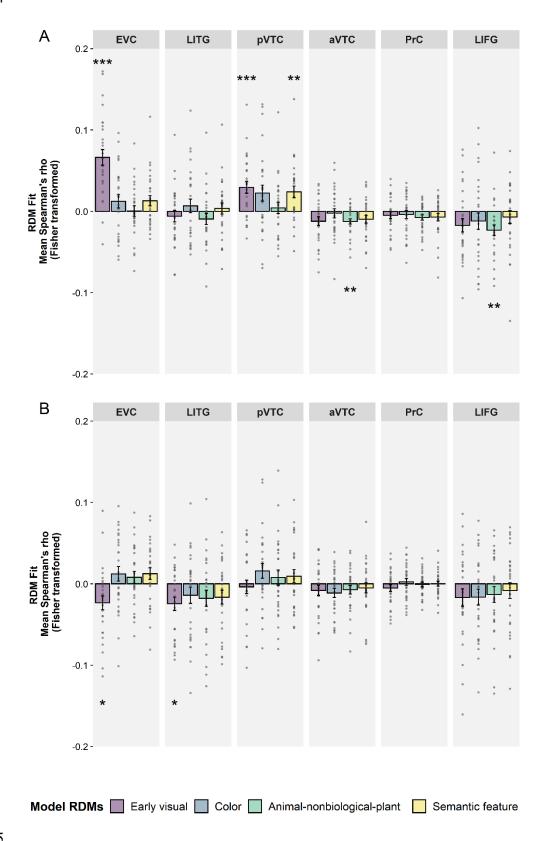
517 Preregistered RSA analysis in regions of interest

- 518 Perceptual and semantic representations predict true recognition
- 519 To examine representations engaged during successful encoding we compared the fit of early 520 visual, color, animal-nonbiological-plant, and semantic feature models for studied items 521 tested as old that were subsequently remembered (number of trials, M = 61.41; range = 60-522 146) versus forgotten (number of trials, M = 19.93; range = 17-104) (Fig. 4A). These 523 comparisons were bidirectional, since engagement of perceptual and/or semantic processing 524 in a region might either support or be detrimental to later memory. Thus, we used a two-sided 525 Fisher's randomization test T. In posterior ROIs, engagement of both perceptual and finer-526 grained semantic representations tended to predict successful later recognition. In EVC, the 527 early visual model strongly predicted later true recognition of studied items (M = 0.07, 95%528 CI [0.05, 0.09], T = 1.86, p < 0.001). Thus, when the neural patterns at study were 529 representing visual information, items were more likely to be correctly recognized. Both the 530 early visual and semantic feature models also predicted true recognition in pVTC (M = 0.03, 531 95% CI [0.02, 0.04], T = 0.82, p < 0.001, and M = 0.02, 95% [CI: 0.01, 0.04], T = 0.67, p =532 0.007, respectively). In contrast, taxonomic semantic representations coded more anteriorly 533 were associated with later forgetting. In aVTC and in the LIFG, model fit for categorical 534 semantic information represented by the animal-nonbiological-plant domain was less for 535 remembered than forgotten studied items (M = -0.01, 95% CI [-0.02, -0.01], T = 0.35, p =536 0.001, and M = -0.02, 95% CI [-0.04. -0.01], T = 0.65, p = 0.004, respectively). Thus, when 537 neural patterns in these regions were aligned with items' taxonomic categories, participants 538 were less likely to successfully recognize them. No other results were significant.
- 539

540 We also checked which representations showed unique effects that predicted memory after541 controlling for effects of other significant models using partial correlation. In pVTC, only the

542 early visual model uniquely predicted successful recognition memory for studied items (M =

543 0.02, 95% CI [0.01, 0.03], T = 0.64, p = 0.004) (but see Exploratory ROI analysis).



546 Figure 4. Perceptual and semantic representations predicting subsequent memory for *a priori* 547 ROIs and models. Plots show the group mean Fisher-transformed Spearman correlation 548 coefficient reflecting perceptual and semantic representations associated with: A, true 549 subsequent memory; **B**, false subsequent memory. Error bars represent the standard error of 550 the mean (SEM) across participants. Asterisks indicate models for which Spearman's rho 551 differed significantly from zero at the group level (two-sided Fisher's randomization test for 552 location; Bonferroni correction calculated multiplying the uncorrected *p*-value by the number of comparisons made). * p < 0.05, ** p < 0.01, *** p < 0.001553 554

555 Weak perceptual representations predict false recognition

556 To examine how the perceptual and semantic representations embodied in our theoretical 557 models contributed to subsequent memory for lures, we compared RSA model fit for items 558 that were later falsely recognized (number of trials, M = 30.71; range = 26-107) versus 559 correctly rejected (number of trials, M = 50.61; range = 54-131) (Figure 4B). In posterior 560 regions, weaker low-level visual representations of pictures predicted subsequent false 561 recognition of lures. We observed this pattern in both the EVC and the LITG (M = -0.02, 562 95% CI [-0.04, -0.01], T = 0.66, p = 0.047, and M = -0.02, 95% CI [-0.04, -0.01], T = 0.69, p 563 = 0.026, respectively). Thus, when neural patterns in these regions were not aligned with the 564 early visual model, items were more likely to be falsely recognized. No other results were 565 significant.

566

567 Perceptual and semantic object processing irrespective of memory

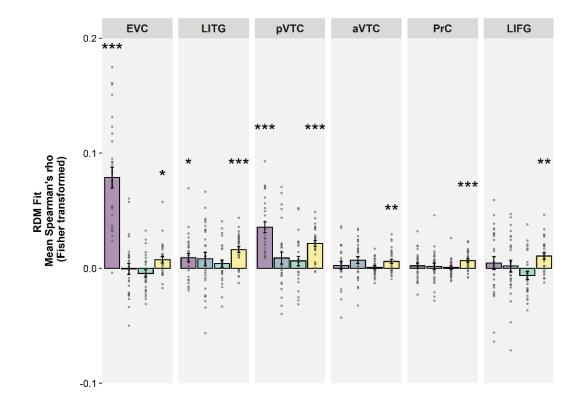
568 Replicating Clarke and Tyler (2014), we also examined the perceptual and semantic

569 representations of objects that were reflected in fMRI activity patterns regardless of memory

570 encoding. The results (Fig. 5) showed that while visual information is broadly represented

571 posteriorly, activity patterns in the aVTC, PrC, and LIFG reflect finer-grained semantic

- 572 information. Posteriorly, EVC showed a strong relationship with the low-level visual model
- 573 (M = 0.08, 95% CI [0.06, 0.10], T = 2.21, p < 0.001), and a weaker but significant relation
- 574 with the semantic feature model (M = 0.01, 95% CI [0.00, 0.01], T = 0.20, p = 0.032). More
- anteriorly, the low-level visual and semantic feature models were both significantly related to
- 576 activity patterns in pVTC (M = 0.04, 95% CI [0.03, 0.04], T = 1.00, p < 0.001, and M = 0.02,
- 577 95% CI [0.02, 0.03], T = 0.60, p < 0.001, respectively) and in LITG (M = 0.01, 95% CI [0.00,
- 578 0.02], T = 0.26, p < 0.038, and M = 0.02, 95% CI [0.01, 0.02], T = 0.45, p < 0.001,
- 579 respectively). At the apex of the ventral visual pathway, semantic feature information was
- 580 coded in both the bilateral aVTC (M = 0.01, 95% CI [0.00, 0.01], T = 0.17, p = 0.006) and in
- 581 bilateral PrC (M = 0.01, 95% CI [0.00, 0.01], T = 0.19, p < 0.001). These findings replicated
- those of Clarke and Tyler (2014). The specific semantic properties of objects were also
- 583 represented in the LIFG (M = 0.01, 95% CI [0.01, 0.02], T = 0.30, p = 0.001).



585 **Model RDMs** Early visual Color Animal-nonbiological-plant Semantic feature

Figure 5. Semantic and perceptual representations represented in ROIs regardless of memory encoding. Region of Interest (ROI) results comparing four model RDMs to patterns of activity along the ventral stream and frontal regions. Error-bars are standard error of the mean (SEM) across subjects. Asterisks above and below the bars depict *p*-values for tests of whether each individual Spearman's correlation is greater than zero (one-sided Fisher's randomization test for location; Bonferroni correction calculated multiplying the uncorrected *p*-value by the number of comparisons made). * p < 0.05, ** p < 0.01, *** p < 0.001

593

We then ran a partial correlation on those ROIs showing significant effects for different models. As expected, patterns of activity in the EVC were uniquely related to the early visual model (M = 0.08, 95% CI [0.06, 0.10], T = 2.20, p < 0.001), replicating Clarke and Tyler's (2014) results. Thus, the semantic feature model was no longer significant when the early visual model was controlled for. More anteriorly, both low-level visual and semantic feature 599 information were uniquely related to the pattern of activity in the pVTC (M = 0.03, 95% CI 600 [0.03, 0.04], T = 0.96, p < 0.001, and M = 0.02, 95% CI [0.01, 0.02], T = 0.54, p < 0.001, 0.02]601 respectively). However, after controlling for the low-level visual model, activity patterns in 602 the LITG were only uniquely associated with semantic feature representations (M = 0.02, 95% CI [0.01, 0.02], T = 0.44, p < 0.001). Thus, like Clarke and Tyler (2014), we found that 603 604 visual information is represented in early visual regions. We also replicated their finding that 605 semantic feature similarity information was coded more anteriorly in the PrC, and found 606 further, also anterior, regions that showed a similar pattern, in the aVTC and the LIFG (see 607 also RSA searchlight fMRI analysis).

608

609 Exploratory RSA analysis in regions of interest

610 Perceptual and semantic representations in pVTC subdivisions predict true recognition
611 In the preregistered analyses reported above, our large pVTC ROI showed evidence of both

612 visual and semantic feature representations predicting memory success. We therefore

613 explored whether four subdivisions of this large bilateral region showed distinct effects: the

614 LG, ITG, FG, and PHC (see Regions of interest). Moreover, given our strong a priori

615 prediction of involvement of PrC in subsequent memory, we ran exploratory analyses in left

and right PrC separately. The results are shown below in Figure 6. Posteriorly, in bilateral

617 LG, perceptual information related to the early visual model predicted later recognition of

618 studied items (M = 0.03, 95% CI [0.01, 0.04], T = 0.74, p = 0.002), as it did in the EVC ROI.

619 In contrast, more anteriorly, activity patterns in the FG related to both the low-level visual

and semantic feature models predicted subsequent true recognition (M = 0.03, 95% CI [0.02,

621 0.05], T = 0.87, p = 0.002, and M = 0.04, 95% CI [0.02, 0.05], T = 1.01, p < 0.001,

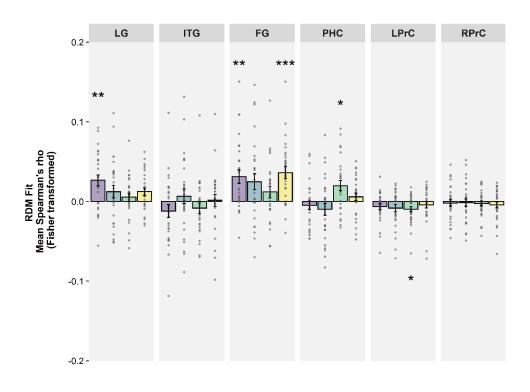
622 respectively), as did categorical semantic information represented by the animal-

623 nonbiological-plants model in the PHC (M = 0.02, 95% CI [0.01, 0.03], T = 0.55, p = 0.019).

624 Lastly, activity related to the categorical semantic model in the left PrC predicted subsequent

625 forgetting (M =
$$-0.01$$
, 95% CI [-0.02 , 0.00], $T = 0.28$, $p = 0.023$).

626



627 Model RDMs Early visual Color Animal-nonbiological-plant Semantic feature

Figure 6. Perceptual and semantic representations predicting true subsequent memory in 628 629 exploratory ROIs. Plots show the group mean Fisher-transformed Spearman correlation 630 coefficient reflecting perceptual and semantic representations associated with true subsequent memory. Error bars represent the standard error of the mean (SEM) across participants. 631 632 Asterisks indicate significance of tests of group level differences of Spearman's rho from 633 zero (two-sided Fisher's randomization test for location; Bonferroni correction calculated 634 multiplying the uncorrected *p*-value by the number of comparisons made). * p < 0.05, ** p < 0.050.01, *** *p* < 0.001 635

636

637 A partial correlation analysis for the FG (which showed effects of multiple models)

638 confirmed that both the early visual and semantic feature models were uniquely associated

with later true recognition (M = 0.02, 95% CI [0.01, 0.03], T = 0.58, p = 0.034, and M = 0.03, 95% CI [0.01, 0.04], T = 0.71, p = 0.002, respectively). Thus, both simple visual and objectspecific semantic information contributed to memory after controlling for each other.

643 Lastly, following our main analyses of true and false memory encoding, we wanted to check 644 for evidence that some of the key results differed according to encoding type. Thus, we 645 compared the fit of our theoretical models for studied items tested as old that were 646 subsequently remembered versus lures that were subsequently falsely recognized. Results 647 showed that low-level visual information mapped in EVC was stronger for items that were 648 subsequently remembered than falsely recognized (M = 0.04, 95% CI [0.02, 0.06], T = 1.16, 649 p < 0.001). No other results were significant at the Bonferroni-corrected threshold, but 650 without a correction the theoretically important object-specific semantic representations in 651 FG were also stronger for true than false recognition (M = 0.02, 95% CI [0.00, 0.04], T = 652 0.61, p = 0.030).

653

654 Preregistered RSA searchlight analysis

655 Perceptual and semantic representations associated with memory encoding

656 The RSA searchlight analysis tested for any further brain regions coding for perceptual and 657 semantic information associated with memory encoding (Figure 7 and Table 1). The true 658 subsequent memory models showed significant fit to activity patterns in several areas beyond 659 the *a priori* ROIs. The color similarity model was related to patterns in the right parietal opercular cortex, superior frontal gyrus, and precentral gyrus, and this representation at 660 661 encoding predicted later successful recognition of studied items. Fine-grained semantic 662 features represented in the right lateral occipital cortex (LOC) also predicted true recognition. 663 Coarse categorical semantic representations in right inferior frontal gyrus (RIFG;

- 664 BA44/45/47) and frontal pole (FP) were associated with later forgetting, paralleling the
- 665 findings for the *a priori* ROI in LIFG (BA44/45).

True subsequent memory

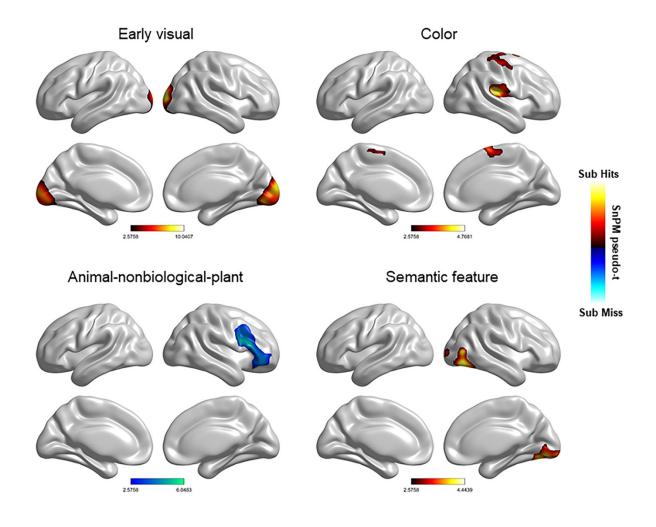


Figure 7. RSA searchlight results for perceptual and semantic models. The figure shows regions in which multivoxel activity pattern predicted successful subsequent true recognition (hot map) and unsuccessful true recognition (i.e., subsequent forgetting, cool map). All significant clusters are shown at the FWE-corrected threshold used for analysis (see Materials and Methods: RSA searchlight analysis). No suprathreshold voxels survived for the subsequent false recognition models. Similarity maps are presented on an inflated representation of the cortex based on the normalized structural image averaged over participants.

674

675

676 Table 1. RSA searchlight results showing perceptual and semantic effects on successful

677 true memory encoding

Regions	Cluster	Cluster-level	Pseudo- <i>t</i>	Х	У	Z
	extent	<i>p</i> (FWE)				
Early visual						
R occipital pole	2493	0.005	10.04	18	-93	9
R lingual gyrus			8.91	15	-78	-6
L occipital pole			7.20	-12	-96	6
Color						
R parietal operculum cortex	1756	0.010	4.77	48	-21	24
R superior frontal gyrus			3.91	9	3	66
R precentral gyrus			3.58	18	-18	69
Animal-nonbiological-plant						
R inferior frontal gyrus (BA44)	1405	0.012	6.05	54	15	27
R inferior frontal gyrus (BA45)			5.27	52	24	18
R frontal pole			4.35	51	39	3
R inferior frontal gyrus (BA47)			3.34	33	30	-18
Semantic feature						
R lingual gyrus	1230	0.018	4.44	12	78	-12
R lateral occipital cortex			4.32	42	-75	-12
R occipital fusiform gyrus			4.29	39	-72	-12
R inferior temporal gyrus (OT)			3.43	45	-60	-15

679 MNI coordinates and significance levels are shown for the peak voxel in each cluster.

680 Anatomical labels are provided for peak locations in each cluster. Effects in clusters smaller

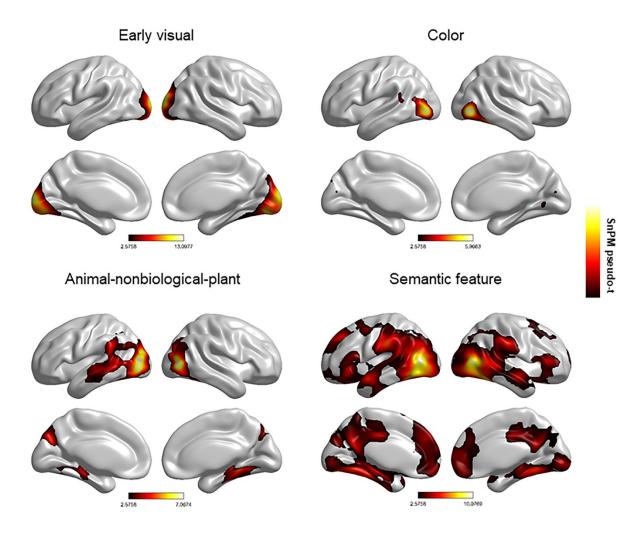
than 20 voxels not shown. OT = Occipito-temporal division.

682

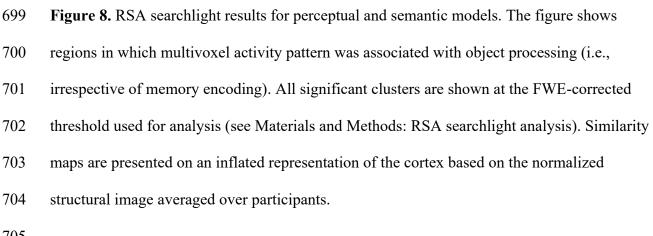
683 Perceptual and semantic object processing irrespective of memory

684 Searchlight analysis was also conducted for the perceptual and semantic model RDMs across 685 all trials regardless of memory encoding (Fig. 5 and Table 2). The models showed significant 686 fit to multivoxel activity patterns in several areas beyond the *a priori* ROIs. In particular, the 687 effects for the color model were largely restricted to the right lateral occipital cortex, right 688 middle temporal gyrus, and intracalcarine cortex, but also extended into the left lateral 689 occipital cortex and supramarginal gyrus. Categorical semantic representations represented 690 by the animal-nonbiological-plant domain were largely restricted to posterior parts of the 691 ventral stream, highlighting the coarse nature of object information represented in the 692 posterior ventral temporal cortex. This included the right temporal fusiform cortex, the right 693 lingual gyrus, and the posterior division of parahippocampal cortex, but also extended into 694 the middle temporal lobe. In contrast, representation of finer-grained semantic properties of 695 objects extended more anteriorly in the ventral pathway beyond the preregistered ROIs, into 696 bilateral hippocampus, temporal pole and ventromedial frontal regions.

Main effect of model RDMs







- 705
- 706

707 Table 2. RSA results showing perceptual and semantic effect of object processing

Regions	Cluster	Cluster-level	Pseudo-t	X	у	Z
	extent	<i>p</i> (FWE)				
Early visual						
R occipital pole	4844	0.002	13.10	18	-96	12
L occipital pole			13.02	-15	-99	6
R occipital fusiform gyrus			11.53	18	-78	-12
Color						
R lateral occipital cortex	1121	0.019	5.97	45	-75	-3
R middle temporal gyrus			3.45	36	-57	15
R intracalcarine cortex			3.45	21	-72	3
L lateral occipital cortex	714	0.044	5.67	-42	-81	-3
L supramarginal gyrus			3.66	-60	-48	15
Animal-nonbiological-plant						
R lateral occipital cortex	2110	0.005	6.05	45	-78	6
R lingual gyrus			5.98	30	-39	-6
R temporal fusiform cortex			4.18	39	-54	-18
L parahippocampal cortex	3865	0.002	5.14	-18	-39	-21
L middle temporal gyrus			4.58	-63	-42	0
L supramarginal gyrus			4.43	-60	-42	30
Semantic feature						
L lateral occipital cortex	28111	0.000	10.08	-48	-75	9
R lateral occipital cortex			9.69	51	-72	6
R temporal fusiform cortex			8.12	42	-51	-15
L temporal fusiform cortex			7.10	-45	-60	-15
L middle temporal gyrus			6.46	-60	0	-18

L hippocampus	5.50	-33	-27	-12
L perirhinal cortex	4.58	-27	-12	-36
R inferior frontal gyrus (BA45)	4.20	51	27	0
R inferior frontal gyrus (BA44)	4.10	51	18	9
R ventromedial prefrontal cortex	4.08	9	51	-12
L ventromedial prefrontal cortex	4.03	-6	51	-12
L inferior frontal gyrus (BA44)	4.02	-51	18	12
L ventral anterior temporal lobe	3.84	-45	-9	-39
L inferior frontal gyrus (BA45)	3.62	-51	27	0
L temporal pole	3.60	-36	3	-36
R hippocampus	3.34	33	-12	-18

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708
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709 MNI coordinates and significance levels shown for the peak voxel in each cluster.

710 Anatomical labels are provided for locations in each cluster. Effects in clusters smaller than

711 20 voxels not shown.

712

713 Preregistered univariate fMRI analysis

714 Encoding activity predicting true and false recognition

715 Univariate analysis was run to derive ROIs for RSA based on subsequent memory effects in

regions where prior literature is suggestive, but not clear, regarding their involvement. This

showed significant activation for subsequently remembered > subsequently forgotten items in

718 the LITG (cluster size: k = 13, p < 0.05 FWE). No significant activation was revealed for

subsequently falsely recognized > subsequently corrected rejected items after FWE

720 correction.

722 Parametric effect of concept confusability

723 Finally, we were interested in the specific role of the PrC, and possibly aVTC, in processing 724 conceptually confusable objects. These regions were not related to parametric changes in 725 concept confusability regardless of memory encoding. Therefore, we did not replicate Clarke 726 and Tyler (2014)'s finding of increased activation for more conceptually confusable objects (uncorrected p = 0.139 and p = 0.05 for PrC and aVTC, respectively). Subsequent memory 727 728 effects were also not significant at the preregistered FWE-corrected threshold. However, at an 729 uncorrected threshold, activity associated with concept confusability was greater for subsequently forgotten than remembered items in right PrC (cluster size: k = 12, p < 0.005) 730 731 and bilateral aVTC (right cluster size: k = 19, p < 0.001; left cluster size: k = 6, p < 0.001). 732 Activity associated with concept confusability was also greater for subsequently falsely recognized than correctly rejected items in bilateral PrC (right cluster size: k = 35, p < 0.005; 733 734 left cluster size: k = 11, p < 0.005), and right aVTC (cluster size: k = 22, p < 0.005), and for 735 subsequently falsely recognized than remembered items in bilateral PrC (right cluster size: k 736 = 25, p < 0.005; left cluster size: k = 12, p < 0.005), and right aVTC (cluster size: k = 16, p < 0.005) 737 0.005).

738 Discussion

Our results show that semantic and perceptual representations play distinct roles in true and false memory encoding. By combining explicit models of prior conceptual knowledge and image properties with a subsequent memory paradigm, we were able to probe their separate contributions to encoding of objects. Fine-grained perceptual and semantic processing in the ventral visual pathway both predicted later recognition of studied objects, while coarsergrained categorical semantic information processed more anteriorly predicted forgetting. In contrast, only weak low-level visual representations in posterior regions predicted false

recognition of similar objects. The data provide the first direct tests of fuzzy-trace theory's
assumptions about how memories are encoded, and suggest that semantic representations
may contribute to specific as well as gist memory phenomena (Brainerd and Reyna, 2002).

750 Our results for the early visual model converge with studies showing univariate subsequent 751 memory effects in the same regions (Kim and Cabeza, 2007; Kirchhoff et al., 2000; Pidgeon 752 and Morcom, 2016; Wagner et al., 1998). Distributed low-level visual representations in early 753 visual cortices predicted successful later recognition of specific studied objects. The C1 754 HMax representations embody known properties of primary visual cortex relating to local 755 edge-orientations in images (Kamitani and Tong, 2005), and this model clustered our object 756 images by overall shape and orientation (Fig. 2). These results converge with Davis et al. 757 (2020)'s recent finding that RSA model fit for an early layer of a deep convolutional neural 758 network (DNN) in early visual cortex predicted later memory for pictures. Our models are 759 directly interpretable, allowing us to show unambiguously that representing lower-level 760 properties available in the presented images contributes to memory.

761

762 In late visual regions, such as LG and FG, activity patterns fitting the early visual model also 763 predicted true recognition (Fig. 5 and 7), as hypothesized based on activation studies (Garoff et al., 2005; Kim, 2011; Kirchhoff et al., 2000; Stern et al., 1996; Vaidva et al., 2002). We 764 765 also found that specific object features coded in FG predicted true recognition. These pVTC 766 regions receive low-level properties as input to compute complex shape information (Kanwisher, 2001). Emerging data suggest that the FG supports visuo-semantic processing of 767 768 modality-specific semantic features. Devereux et al. (2018) combined deep visual and 769 semantic attractor networks to model the transformation of vision to semantics, revealing a 770 confluence of late visual representations and early semantic feature representations in FG (see 771 also Tyler et al., 2013). This converges with Martin et al.'s (2018) finding that FG activity 772 reflected representations of explicitly rated visual object features. Davis et al. (2020) reported 773 that in FG the mid-layer of a visual DNN predicted memory for object names when the 774 objects were forgotten, while semantic features of the object images predicted memory for 775 the images when the names were forgotten. Our findings clarify that both image-based visual 776 codes and non-image-based semantic feature codes are represented during successful 777 encoding. Together, the data further suggest that this initial extraction of semantic features 778 from vision is important for the effective encoding of memories of specific objects, more than 779 false recognition of similar objects.

780

781 More anteriorly, taxonomic categorical representations in aVTC and left PrC predicted 782 forgetting of studied items. Similar findings in LIFG support the idea that coarse-grained 783 domain-level semantic processing is detrimental to memory for specific objects. LIFG 784 typically shows strong univariate subsequent memory effects for verbal or nameable object 785 stimuli (Kim, 2011). It is thought to support selection and control processes involved in 786 elaborative semantic encoding (Jackson et al., 2015; Prince et al., 2007). Our overall analysis 787 showed that object-specific semantic information was represented in this region, but did not 788 predict recognition. One possibility is that domain-level taxonomic processing impeded 789 selection of specific semantic information. Another possibility, in line with the levels of 790 processing principle, is that the object naming encoding task did not strongly engage 791 semantic control operations that promote subsequent memory (Craik and Lockhart, 1972; 792 Otten and Rugg, 2001). Object naming depends on basic-level object-specific processing in 793 the FG, consistent with the current findings (Taylor et al., 2012). Future studies can test this 794 by manipulating cognitive operations at encoding to determine whether the representations 795 promoting later memory are also task-dependent.

796

797	The absence of any association between object-specific representations in PrC and encoding
798	was unexpected, although we replicated Clarke and Tyler (2014)'s central finding that PrC
799	represents object-specific semantic features. The PrC encodes complex conjunctions of visual
800	(Barense et al., 2012; Bussey et al., 2002) and semantic features (Bruffaerts et al., 2013;
801	Clarke and Tyler, 2014) that enable fine-grained object discrimination and may contribute to
802	later item memory (Brown and Aggleton, 2001; Yonelinas et al., 2005). As the object-
803	specific semantic model fit embodied both shared and distinctive feature information, we ran
804	a further, univariate analysis to examine the directional effect of shared features (concept
805	confusability). We did not replicate Clarke & Tyler's (2014) finding that PrC activation was
806	higher overall for more confusable objects, interpreted in terms of feature disambiguation.
807	However, we found preliminary evidence that in both PrC and vATL, activity correlating
808	with concept confusability predicted forgetting of studied objects. This is consistent with our
809	finding that concept confusability strongly impairs true recognition, as well as discrimination
810	between studied objects and lures (Naspi et al., 2020), results replicated here. The RSA data
811	also suggest an interpretation of Davis et al.'s (2020) report that semantic feature model fit in
812	PrC predicted later true recognition of object concepts when their pictures were forgotten,
813	which may correspond to nonspecific encoding.

814

An important and novel feature of our study is the investigation of the representational
content associated with encoding of false memories. Our results revealed that weak visual
representations coded in EVC and extending to LITG predicted later false recognition (Fig.
5), and model fit differed significantly from true recognition. This supports fuzzy-trace
theory's proposal that visual detail is encoded in specific memory traces that confer
robustness to later true recognition (Brainerd and Reyna, 2002). Several univariate fMRI

821 studies of memory retrieval have shown greater early and late visual cortex activation for true 822 than false memories of objects (Dennis et al., 2012; Karanian and Slotnick, 2017, 2018; 823 Schacter and Slotnick, 2004). Of the few encoding studies, two have found occipital 824 activation predicting true but not false recognition (Dennis et al., 2008; Kirchhoff et al., 2000; 825 Pidgeon and Morcom, 2016; but see Garoff et al., 2005). Here, we not only show that 826 visually specialized regions are engaged more when encoding true than false memories, but also characterize the visual features involved. Thus, insufficient early visual analysis at 827 828 encoding leads to poor mnemonic discrimination of similar lures. This may prevent later 829 recollection of details of the studied item that would allow people to reject the similar lures 830 (recollection rejection; Brainerd et al., 2003). The RSA result is also consistent with the 831 behavioral increase in false recognition for more visually confusable objects (see also Naspi 832 et al., 2020).

833

834 We did not find any evidence here that semantic processing contributes to false memory 835 encoding, and in FG, feature semantic representations impacted true memory encoding more 836 strongly. Clearly, we cannot place weight on the null result, and our models did not 837 comprehensively address all potential semantic processes but focused on concept-level 838 processes we have shown to contribute behaviorally in this task (Naspi et al., 2020). Lateral 839 and ventral temporal regions previously implicated in false memory encoding in verbal tasks 840 did not show significant effects here (Dennis et al., 2007; Chadwick et al., 2016). These areas 841 may support higher-level verbal semantics linking studied items to lures. Nonetheless, both in the current task and following deep semantic judgments at encoding (Naspi et al., 2020), 842 concept confusability reduced lure false recognition relative to novel objects as well as true 843 844 recognition. An intriguing possibility is that the semantic processes reducing lure false

recognition operate at retrieval rather than at encoding. This hypothesis will be tested using
RSA analysis of retrieval phase brain activity in this task.

847

848 In conclusion, we have revealed some of the visual and semantic representations that allow 849 people to form memories of specific objects and later reject similar novel objects. This is the 850 first - to our knowledge - preregistered study of neural representations in memory encoding, and the first probe of representations predicting false recognition. Using previously validated 851 852 representational models, we were able to disentangle low-level image properties from 853 semantic feature processing. The data provide novel support for theoretical assumptions 854 implicating visual detail in specific memory encoding, but suggest that semantic information 855 may contribute to specific as well as gist memory. Our approach offers a path by which 856 future studies can evaluate the respective roles of encoding and retrieval representations in 857 true and false memory.

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