Barrier effects on the spatial distribution of *Xylella fastidiosa* in Alicante, Spain

 Martina Cendoya¹, Ana Hubel¹, David Conesa², and Antonio Vicent¹
 ¹Centre de Protecció Vegetal i Biotecnologia, Institut Valencià d'Investigacions Agràries (IVIA), 46113 Moncada, Spain.
 ²Valencia Bayesian Research Group (VaBaR), Departament d'Estadística i Investigació Operativa, Universitat de València. C/ Dr. Moliner 50, 46100 Burjassot (València), Spain.

Abstract

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Spatial models often assume isotropy and stationarity, implying that spatial dependence is 10 direction invariant and uniform throughout the study area. However, these assumptions are 11 violated when dispersal barriers are present in the form of geographical features or disease 12 control interventions. Despite this, the issue of non-stationarity has been little explored in 13 the context of plant health. The objective of this study was to evaluate the influence of 14 different barriers in the distribution of the quarantine plant pathogenic bacterium Xylella 15 fastidiosa in the demarcated area in Alicante, Spain. Occurrence data from the official sur-16 vevs in 2018 were analyzed with four spatial Bayesian hierarchical models: i) a stationary 17 model representing a scenario without any control interventions or geographical features; 18 ii) a model with mountains as physical barriers; iii) a model with a continuous or iv) dis-19 continuous perimeter barrier as control interventions surrounding the infested area. Barriers 20 were assumed to be totally impermeable, so they should be interpreted as areas without host 21 plants and in which it is not possible for infected vectors or propagating plant material to pass 22 through. Inference and prediction were performed through the integrated nested Laplace ap-23 proximation methodology and the stochastic partial differential equation approach. In the 24 stationary model the posterior mean of the spatial range was 4,030.17 m 95% CI (2,907.41, 25 5.563.88), meaning that host plants that are closer to an infected plant than this distance 26 would be at risk for X. fastidiosa. This distance can be used to define the buffer zone around 27 the infested area in Alicante. In the non-stationary models, the posterior mean of the spatial 28 range varied from 3.860.88 m 95% CI (2.918.61, 5.212.18) in the mountain barrier model to 29 6,141.08 m 95% CI (4,296.32, 9,042.99) in the continuous barrier model. Compared with 30

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the stationary model, the perimeter barrier models decreased the probability of *X. fastidiosa* presence in the area outside the barrier. Differences between the discontinuous and continuous barrier models showed that breaks in areas with low sampling intensity resulted in a higher probability of *X. fastidiosa* presence. These results may help authorities prioritize the areas for surveillance and implementation of control measures.

Keywords: barriers; containment; disease control; eradication; INLA; non-stationary models; SPDE; Xylella fastidiosa.

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38 Introduction

The plant pathogen Xulella fastidiosa is a xylem-limited bacterium with a host range of 39 more than 500 plant species (EFSA 2020). Six subspecies and a number of sequence types 40 (STs) have been described in X. fastidiosa, with different genetic traits, host ranges and 41 aggressiveness (Denancé et al. 2017). This pathogen was confined to the American 42 continent for decades (Janse and Obradovic 2010), but in 2013 it was first reported in 43 Europe associated with a disease causing serious losses in olives in southern Italy 44 (Schneider et al. 2020). Since then, X. fastidiosa has been detected in France, Spain, 45 Portugal and Israel, affecting multiple host plants in agricultural and natural settings. To 46 date, the subspecies *pauca*, *fastidiosa* and *multiplex* have been reported in the 47 Mediterranean Basin (EFSA 2019). X. fastidiosa is regulated in the European Union (EU) 48 as a quarantine pest (i.e. pathogen) under Regulation (EU) 2016/2031 and Commission 49 Implementing Regulation (EU) 2019/2072. It is also included in the list of priority pests 50 for the EU by Commission Delegated Regulation (EU) 2019/1702. 51 Two groups of xylem sap-feeding insects have been identified as the natural means by 52

which X. fastidiosa spreads: sharpshooters (Cicadellidae family, Cicadellinae subfamily)
and spittlebugs (Aphrophoridae, Cercopidae and Clastopteridae families) (Almeida et al.
2005; Almeida and Nunney 2015). Nymphs and adults of the vectors acquire the bacteria
by feeding on the xylem of infected plants. Bacteria then multiply in the insect foregut,
but vectors lose infectivity with molting. Adult vectors can inoculate healthy plants
immediately after acquisition and throughout their whole lifetime, although the bacterium
is not transmitted to the progeny (Almeida and Purcell 2006).

⁶⁰ The pathogen can be introduced and further spread into new areas with infected plant

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material for planting or grafting (EFSA 2015). Genetic studies indicated that the X. 61 fastidiosa subsp. pauca ST53 strain CoDiRO, which is decimating olive trees in Italy, 62 originated in Central America (Giampetruzzi et al. 2017) and was probably introduced 63 with infected coffee plants imported as ornamentals. Phylogenetic analyses also indicated 64 that X. fastidiosa subsp. multiplex ST81 and X. fastidiosa subsp. fastidiosa ST1 were 65 introduced into the island of Majorca, Spain, with infected almond graftings from 66 California, US (Moralejo et al. 2020). Similarly, X. fastidiosa subsp. multiplex ST6 in 67 Alicante, Spain, and Corsica, France, as well as ST87 from Tuscany, Italy, might have been 68 introduced from California (Landa et al. 2020). Human-assisted movement of infected 69 insect vectors on plants or on their own as 'hitch-hikers' in vehicles can also disseminate X. 70 fastidiosa, though information on these means of spread is limited (EFSA 2015). 71

Species distribution models (SDMs) are widely used to associate the geographic settings of 72 species with biotic and abiotic factors, establish favorable areas for the expansion of 73 populations, develop risk maps for the potential establishment of pathogens, and predict 74 the distribution of species in space and time, among others (Martínez-Minaya et al. 2018). 75 These types of models can be developed with different methodologies, such as generalized 76 linear models (GLM), generalized additive models (GAM), neural networks, maximum 77 entropy models (e.g. Maxent) and climate envelope models (e.g. Bioclim). The literature 78 available on the applications and methodologies of SDMs is quite extensive, with some 79 reviews such as Guisan and Zimmermann (2000), Elith and Leathwick (2009) or 80 Martínez-Minava et al. (2018) that compile and describe the different modeling approaches. 81 Several studies have been conducted on the potential distribution of X. fastidiosa 82 associated with climatic factors (Bosso et al. 2016; Godefroid et al. 2019; Hernández and 83

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García 2019; EFSA 2019). However, most of these models are based on the assumption 84 that observations are independent, without taking into account the spatial dependence that 85 often exists among the geographical locations. Failing to consider spatial correlation may 86 lead to an overestimation of the model parameters and thus inaccurate results (Latimer 87 et al. 2006). Advances in computational methods have made it possible to implement more 88 complex models and, hence, a more straightforward incorporation of spatial dependencies 89 in SDMs (Blangiardo and Cameletti 2015). Among these advances, here we will focus on 90 hierarchical Bayesian models, which allow random effects and complex dependency 91 structures to be incorporated easily taking into account all the non-observed uncertainties 92 (Banerjee et al. 2004; Blangiardo and Cameletti 2015). 93

An additional and often overlooked problem in the analysis of spatial data is that models 94 usually assume stationarity (i.e., the spatial effect is invariant to the map translation) and 95 isotropy (i.e., the spatial effect is invariant to the map rotation), that is, the autocorrelation 96 between two locations only depends on the Euclidean distance. However, relying on these 97 two assumptions can produce misleading results, with unrealistic associations and/or bias 98 in the prediction of the species distribution, when elements such as barriers that are an 99 obstacle to the movement of the species are present in the study area. To address this 100 issue, Bakka et al. (2019) introduced an approach that makes it possible to deal with 101 non-stationary spatial processes where, as in our study, stationary also includes isotropy for 102 convenience. This approach has been applied in marine species distribution studies, where 103 the coastline was implemented as a physical barrier. In particular, in the above-mentioned 104 work Bakka et al. (2019) modeled the distribution of fish larvae in the Finnish Archipelago 105 (Finland), while Martínez-Minaya et al. (2019) conducted a study on the seasonal 106

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¹⁰⁷ distribution of bottlenose dolphins in the Archipelago de La Maddalena (Italy).

Barriers are an intrinsic part of the principles of plant disease control, i.e. exclusion, 108 eradication, protection and resistance (Maloy 1993). Exclusion strategies aim to prevent 109 the pathogens from entering new areas. Barriers in the form of prohibitions restricting the 110 import of plants, interceptions through border inspections and subsequent elimination of 111 the pathogen are enforced by legal provisions worldwide. In the case of X. fastidiosa, the 112 Commission Implementing Regulation (EU) 2020/1201 establishes special requirements for 113 the import of host plants from third countries into the EU. When exclusion fails, 114 eradication is attempted by removing the infected plants to limit further spread of the 115 disease. According to Commission Implementing Regulation (EU) 2020/1201, demarcated 116 areas consisting of an infected (i.e. infested) zone and a buffer zone should be established 117 for X. fastidiosa. Eradication measures should then be implemented to ensure the removal 118 of the infected plants and control of vector populations. Special requirements are also set 119 for the movement of specified plants from the demarcated area. 120

Protection from already established diseases can be accomplished with barriers such as 121 screenhouses, plastic covers and distance from inoculum sources that prevent pathogens 122 and vectors from contacting host plants. Windbreaks can also prevent the movement of 123 pathogen propagules. In areas where X. fastidiosa is endemic, screen and planting barriers 124 have been evaluated to reduce vector spread (Daugherty and Almeida 2009; Blua et al. 125 2005). Finally, plant resistance limits the infection and multiplication of plant pathogens, 126 acting as a barrier for the onset of disease epidemics. In this regard, recent advances have 127 been made to obtain grapevine and olive cultivars that are resistant to X. fastidiosa 128 (Krivanek et al. 2006; Giampetruzzi et al. 2016). 129

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All these examples described above illustrate to what extent the presence of barriers and their resulting non-stationarity can shape the spatial dimension of plant disease epidemics. Nevertheless, apart from performing separate directional spatial autocorrelation analyses to study whether a process is isotropic (Madden et al. 2007), the issue of non-stationarity has been scarcely explored in the context of plant disease epidemiology.

Our study focuses on the demarcated area for X. fastidiosa in Alicante, Spain. The 135 pathogen was first reported in this region in 2017 and since then has been under official 136 control in accordance with EU legislation. In Alicante, X. fastidiosa subsp. multiplex ST6 137 was identified as affecting mainly almond trees (*Prunus dulcis*). The two insect species 138 where X. fastidiosa has been detected in this area are *Philaeuns spumarius* L. (Hemiptera: 139 Aphrophoridae) and *Neophilaenus campestris* Fallen (Hemiptera: Aphrophoridae) (GVA 140 2020). Despite its relatively small extension, the study area of Alicante presents a great 141 orographic diversity, from the sea level to mountain ranges rising to an altitude of above 142 1,500 m. This particular geographic setting must be taken into account to model the 143 occurrence of X. fastidiosa, since it can determine the presence of host plants and also 144 affect the behavior of the vectors, thus violating the stationarity and isotropy assumption. 145 In addition to these geographic barriers, the control measures for X. fastidiosa established 146 by the EU legislation are aimed at limiting the spread of the disease, which also represents 147 a potential dispersal barrier to be considered. 148

With all this in mind, the aim of this study is to describe how the presence of different
kinds of barriers produce different results in terms of predicting the presence of a species.
In particular, the occurrence of *X. fastidiosa* in Alicante was analyzed with four spatial
modeling scenarios, three of them including dispersal barriers.

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153 Methods

154 Database

¹⁵⁵ The georeferenced data from the official surveys carried out for X. fastidiosa in Alicante in

¹⁵⁶ 2018 were provided by the plant health authority (Sanitat Vegetal, Generalitat

¹⁵⁷ Valenciana). This database contained the plant species sampled, the result of the

¹⁵⁸ laboratory analysis being positive (i.e. presence) or negative (i.e. absence) for X. fastidiosa

¹⁵⁹ based on real-time PCR (EPPO 2019), as well as the UTM coordinates of the location

160 where the sample was taken.

Samples were also collected from plant species that were not known to be natural hosts for 161 the X. fastidiosa subsp. multiplex strains present in the study area, such as Olea europaea, 162 of which 2,414 samples were collected during that period, all of them resulting negative for 163 X. fastidiosa. In order to avoid biases in the estimation due to this large number of 164 negative samples from non-host species, only the samples from plant species having at least 165 one positive for X. fastidiosa were considered for further analysis. The plant species 166 selected were: Prunus dulcis, P. armeniaca, P. domestica, Calicotome spinosa, Rhamnus 167 alaternus, Phagnalon saxatile, Helichrysum italicum, Polygala myrtifolia, Rosmarinus 168 officinalis and Laurus nobilis. The dataset consisted of a total of 4,205 samples, 1,151 were 169 positive and 3,054 were negative for X. fastidiosa, distributed in the demarcated area of 170 Alicante with an extension of approximately $1,346 \text{ km}^2$ (GVA 2019) (Fig. S1). 171

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172 Geostatistical model

Considering the georeferenced data as observations made at continuous locations occurring 173 within a defined spatial domain, they were classified as geostatistical data. One of the 174 characteristics of this type of spatial data is that the main objective of its analysis is to 175 enable prediction within the study region (Cressie 1993). A point-referenced spatial 176 hierarchical model (Diggle et al. 1998) was used to model the geostatistical data, while 177 inference and prediction were performed within the Bayesian paradigm. As posterior 178 distributions of the parameters and hyperparameters, along with the posterior predictive 179 distributions of the predicted values in unobserved locations, do not have analytical 180 expressions, the integrated nested Laplace approximation (INLA) methodology (Rue et al. 181 2009) was used to numerically approximate them. 182

Defining a hierarchical Bayesian spatial model can be seen as a three-step process. Firstly, a probability distribution must be identified for the observations available at the spatial locations. In this case, it was assumed that y_i , the occurrence of X. fastidiosa at location i, follows a Bernoulli distribution (1 indicating presence and 0 absence), that is, $y_i \sim \text{Bernoulli}(\pi_i)$, where π_i represents the probability of presence at location i. In a second step, this probability of presence π_i is linked (usually via the logit link when the response is

Bernoulli) to a linear predictor and a latent Gaussian random field, whose covariance matrix Σ depends on two hyperparameters: the variance σ_u^2 and the range r of the spatial effect. Finally, the third step consists in assigning the corresponding priors and hyperpriors of the parameters and hyperparameters of the model. Despite its wide acceptance, INLA cannot be directly applied when dealing with continuously indexed Gaussian fields (GF). The underlying reason is that the cost of factorizing dense covariance matrices can be

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computationally demanding. Lindgren et al. (2011) proposed an alternative approach by 195 using an approximate stochastic weak solution to a Stochastic Partial Differential Equation 196 (SPDE) as a Gaussian Markov random field (GMRF) approximation to a continuous GF 197 with Matérn covariance structure. A GMRF is a discretely indexed GF characterized by a 198 sparse precision matrix Q, the factorizing computational cost of which is of order $O(n^{3/2})$, 199 a large computational improvement compared to the factorization of a dense covariance 200 matrix (of order O^n) that would imply the GF. In the approach proposed by Lindgren 201 et al. (2011), the finite element method provides a solution to the SPDE, through the 202 construction of a *mesh* (Appendix S1: Fig. S2a), which consists in the triangulation of the 203 study area (Bakka et al. 2018). 204

Using this approximation, the spatial term is reparameterized as $u \sim N(0, Q^{-1}(\kappa, \tau))$, where the parameters κ and τ control the range (r) and the variance (σ_u^2) . Specifically, $r = \sqrt{\frac{8}{\kappa}}$ and $\sigma_u^2 = \frac{1}{4\pi\kappa^2\tau^2}$ (Lindgren et al. 2011). However, for a more intuitive interpretation, the spatial effect was parameterized in terms of the marginal standard deviation and the range (Krainski et al. 2019).

²¹⁰ Therefore, the hierarchical Bayesian spatial model with the Krainski et al. (2019)

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²¹¹ reparameterization can be expressed as:

$$y_i \sim \text{Bernoulli}(\pi_i), \ i = 1, ..., n,$$

$$\text{logit}(\pi_i) = \beta_0 + u_i,$$

$$P(\beta_0) \propto 1, \qquad (1)$$

$$\boldsymbol{u} \sim N(0, \boldsymbol{Q}^{-1}(r, \sigma_u)),$$

$$r \sim \text{PC-prior}(\mu_r, 0.5),$$

$$\sigma_u \sim \text{PC-prior}(10, 0.01),$$

where π_i is the probability of the presence of X. fastidiosa at location i, β_0 is the intercept, 212 and u is the spatial effect. As can be observed, the linear predictor was reduced just to the 213 intercept, the underlying reason being that previous works had indicated a dominating 214 effect of the spatial component compared to available covariates in the demarcated area 215 (Cendoya et al. 2020). This model already includes the scarce prior knowledge about 216 parameters, expressed via a non-informative improper prior for the intercept, and about 217 the hyperparameters. In this latter case, following Fuglstad et al. (2019), Penalized 218 Complexity priors (PC-priors) were used to express vague prior knowledge about them. In 219 particular, a PC-prior for the range was defined as $P(r < \mu_r) = 0.5$, where μ_r was chosen as 220 50% of the diameter of the study region, while a PC-prior $P(\sigma_u > 10) = 0.01$ was defined 221 for the standard deviation of the spatial effect. 222

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223 Non-stationarity

The model introduced in the previous subsection assumes stationarity and isotropy. In 224 order to deal with non-stationarity (i.e., non-stationary and anisotropic spatial processes), 225 the approach presented by Bakka et al. (2019) was used. As happens in stationary models, 226 estimating and predicting in non-stationary models can be rather complicated. In their 227 proposal, Bakka et al. (2019) approximated them also by means of the SPDE approach 228 using the finite element method. However, in this case a system of two SPDEs is presented, 229 one for the barrier area and the other for the remaining area, which we have also 230 denominated as the normal area, adapting their terminology. 231

In particular, a non-stationary spatial effect u(s) is the solution to the following system of stochastic differential equations:

$$u(s) - \nabla \cdot \frac{r^2}{8} \nabla u(s) = r \sqrt{\frac{\pi}{2}} \sigma_u W(s), \text{ for } s \in \Omega_n,$$

$$u(s) - \nabla \cdot \frac{r_b^2}{8} \nabla u(s) = r_b \sqrt{\frac{\pi}{2}} \sigma_u W(s), \text{ for } s \in \Omega_b,$$
(2)

where u(s) is the spatial effect, Ω_n is the normal area and Ω_b is the barrier area. r and r_b are the ranges for the normal and barrier areas, respectively. σ_u is the marginal standard deviation, $\nabla = \left(\frac{\partial}{\partial_x}, \frac{\partial}{\partial_y}\right)$ and W(s) denotes white noise. Note that in the barrier area the correlation is eliminated by introducing a different Matérn field, with the same standard deviation, but with a range close to zero.

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239 Models

In order to analyze the effect of including barriers on the occurrence of X. fastidiosa in the
study area, the following models were performed and compared:

i) Stationary model. Model in which both stationarity and isotropy are assumed, without
any barrier. This model represents a scenario without any disease control interventions or
geographical features potentially affecting the spread of the pathogen (Fig. 1a).

ii) Mountain barrier model. Non-stationary model with barriers defined by the areas
over 1,065 m, the maximum altitude where a sample positive for *X. fastidiosa* was found in
the study area. This model represents a scenario without any disease control interventions
but with geographical features impeding the spread of the pathogen (Fig. 1b).

²⁴⁹ iii) Continuous barrier model. Non-stationary model with a continuous barrier ²⁵⁰ surrounding the infested area. This barrier consisted of a perimeter band 1,000 m wide, ²⁵¹ 500 m away from the outermost samples that were positive for *X. fastidiosa*. The width of ²⁵² the barrier was fixed to be lower than the range estimated for the stationary model. This ²⁵³ model represents a cordon sanitaire where all host plants were removed and measures ²⁵⁴ implemented to completely impede the spread of *X. fastidiosa*. For consistency, the ²⁵⁵ perimeter band was also implemented along the coastline (Fig. 1c).

²⁵⁶ iv) **Discontinuous barrier model**. The same non-stationary model described above but ²⁵⁷ with a discontinuous barrier surrounding the infested area. In this case, breaks of different ²⁵⁸ sizes (1,000-3,200 m) were made in the perimeter band, facing sampled and non-sampled ²⁵⁹ areas outside the barrier. This model represents a cordon sanitaire where all host plants ²⁶⁰ were removed, but measures to impede the spread of *X. fastidiosa* have been implemented

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only in some parts (Fig. 1d). 261

In the non-stationary models (ii, iii and iv), following Eq. 2, Ω_b represented the area 262 occupied by the barriers, i.e., the area above 1,065 m in the mountain barrier model and 263 the area of the cordon sanitaire in continuous and discontinuous barrier models. Ω_n 264 included the remaining area in each model. 265

All models were fitted using the INLA methodology with the R-INLA package 266

(http://www.r-inla.org) for R software (R Core Team 2021). For each model a mesh 267 was built, specifying in each case the barrier areas (Appendix S1: Fig. S2). In the three 268 non-stationary models, observations in the barriers were eliminated (all of them negative 269 samples), following the assumption that X. fastidiosa cannot be present in this specific 270 area. 271

Differences between the stationary model and those with barriers, along with the 272

differences between the discontinuous and continuous ones, were obtained by subtracting 273 the means of their corresponding posterior predictive distributions.

Results 275

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In the stationary model, the posterior mean of the intercept was -1.95 in the linear 276 predictor scale. Taking into account that when the spatial effect is zero, the mean posterior 277 probability of the presence of X. fastidiosa is equivalent to the exponential transformation 278 of the intercept, in this case, the probability of presence given only by the intercept was 279 0.14. The posterior mean of the spatial range was 4,030.17 m, with a 95% credible interval 280 (CI) (2,907.41, 5,563.88) (Table 1). Therefore, we assume that two observations separated 281

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²⁸² by more than this distance were not spatially correlated, that is, they are independent.

The posterior mean of the intercept in the mountain barrier, continuous barrier and discontinuous barrier models was -1.88, -2.07 and -1.86, respectively (Table 1). Therefore, in areas where there was no influence of the spatial effect, through the exponential transformation of these values, a probability of presence of the pathogen of 0.15 was obtained with the mountain barrier model, 0.13 with the continuous barrier model and 0.16 with the discontinuous barrier model.

In the mountain barrier model, a posterior mean of the spatial range of 3,860.88 m was obtained with a 95% CI (2,918.61, 5,212.18). In the continuous barrier model the range was greater than in the previous case and with more variability, obtaining a posterior mean of 6,141.08 m, with a 95% CI (4,296.32, 9,042.99). The estimation of the discontinuous barrier model resulted in a posterior mean of the range of 5,298.90 m with a 95% CI (3,813.16, 7,557.78) (Table 1).

The Matérn correlation function represents the spatial correlation between two 295 observations as a function of distance, where the range is the distance from which two 296 observations can be considered independent (Cressie 1993). The Mátern correlation 297 function was estimated in each model using the posterior mean of the range obtained. The 298 function was similar in the stationary and mountain barrier models, where the spatial 299 correlation decreases quickly in the first 4,000 m. In the continuous barrier and 300 discontinuous barrier models, the spatial correlation as a function of distance had a more 301 gradual decrease due to the greater range obtained in the estimation (Fig. 2). 302

Given the model described in Eq. 1, the mean of the posterior predictive distribution, expressed in terms of probability, was defined by the intercept and the spatial effect. In

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general, in the four modeling scenarios the probability of the presence of X. fastidiosa was 305 higher in the areas where the positive samples were concentrated, being close to zero in the 306 areas where negative samples predominated. In the non-sampled areas at distances from 307 the observations outside the range, and thus without any influence of the spatial effect, the 308 probability of the presence of X. fastidiosa only depended on the intercept. Regarding the 309 standard deviation of the posterior predictive distribution, higher values were obtained in 310 the non-sampled areas, while the sampled areas where X. fastidiosa was not detected 311 showed very low variability (Fig. 3). 312

The range of values of the mean and standard deviation of the posterior predictive distribution was similar in all four models (Fig. 3). However, the difference between the mean of the stationary model and the mountain barrier model was negative in the area around the barrier (Fig. 4a). This implies that the probability of *X. fastidiosa* presence in those areas was higher in the mountain barrier model than in the stationary model.

In order to help in the interpretation of the comparison of the results of the stationary model, the continuous barrier model and the discontinuous barrier model, from now on we denominate the areas on both sides of the perimeter barrier built around the positives as external and internal zones. The maximum probability of the presence of *X. fastidiosa* was 0.46 in the area corresponding to the external zone in the stationary model (Fig. 3a), while it was 0.29 and 0.36 in the continuous and discontinuous barrier models, respectively (Fig. 3e and 3g).

³²⁵ Considering the difference between the mean of the posterior predictive distribution of the ³²⁶ stationary model and the continuous barrier model, only positive values were obtained in ³²⁷ the external area of the barrier (Fig. 4b). That is, the probability of *X. fastidiosa* presence

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was higher with the stationary model, particularly in the northern area adjacent to the 328 barrier. This same behavior was also observed, but to a lesser extent, when the stationary 329 and discontinuous barrier models were compared (Fig. 4c). However, the difference 330 between the discontinuous and continuous barrier models showed that in the areas where 331 breaks were implemented, the probability of X. fastidiosa presence was similar or even 332 increased, depending on the location. In particular, the probability of presence in the 333 external area of the barrier increased through the breaks located in the north, while no 334 differences were observed in those in the south-west (Fig. 4d). 335

With respect to the mountain barrier model, the continuous and discontinuous barrier models showed a higher probability of *X. fastidiosa* presence in the areas adjacent to the barrier (Fig. 3e and 3g). This increase in the probability of the presence of the pathogen in the internal area adjacent to the perimeter barriers was also observed in the difference between the mean of the posterior predictive distribution of the stationary model and the continuous and discontinuous barrier models (Fig. 4b and 4c).

342 Discussion

The occurrence of *X. fastidiosa* in the demarcated area in Alicante was modeled using hierarchical Bayesian spatial models with the incorporation of barriers, following the methodology described by Bakka et al. (2019). Here, the main objective was to evaluate the influence of different types of barriers in the distribution of the pathogen. From the perspective of the SDMs, the presence of elements in the landscape that prevent or hinder the spread of the organisms cannot be ignored, since assuming stationarity and isotropy in this context would give inaccurate results (Bakka et al. 2019). Non-stationary models that

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incorporate barriers may also allow the effect of disease control interventions to besimulated.

In this case, climatic variables were not included in the models for the occurrence of X. 352 fastidiosa in the demarcated area in Alicante. Previous works indicated that these 353 variables were not relevant in this specific scenario, whereas a strong dominating effect of 354 the spatial component was observed (Cendova et al. 2020). Our analysis confirmed the 355 strong spatial aggregation of X. fastidiosa in the demarcated area in Alicante, so the 356 probability of X. fastidiosa presence was increased in the areas with higher prevalence of 357 the pathogen compared to those where it was not detected (Fig. 3). These results are in 358 line with other studies highlighting the importance of incorporating the spatial structure in 359 SDMs for plant pathogens (Meentemeyer et al. 2008). 360

In contrast to previous studies on marine species (Bakka et al. 2019; Martínez-Minaya 361 et al. 2019), in the case of X. fastidiosa the overall values of the posterior predictive 362 distribution of the stationary model were relatively similar to those obtained with the 363 models that incorporated barriers (Fig. 3). On the one hand, this was a somewhat 364 unexpected result, considering that the simulated barriers were assumed to be completely 365 impervious to the pathogen. On the other hand, the results obtained here somehow 366 illustrate the actual difficulties involved in effectively containing the spread of the pathogen 367 by implementing dispersal barriers (Kottelenberg et al. 2021). 368

Nevertheless, relevant differences in the posterior predictive distribution of the probability of X. fastidiosa presence resulting from the incorporation of the barriers in the models can be appreciated in finer spatial detail. When the area above an altitude of 1,065 m was considered as a barrier for the spread of X. fastidiosa, the main difference with respect to

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the stationary model was found in the zone adjacent to the barrier. In this area, the probability of the presence of *X. fastidiosa* was higher in the mountain barrier model than in the stationary model due to the smoothing effect that occurred when mountains were not considered as barriers (Fig. 4a).

The dimensions and characteristics of the cordon sanitaire, i.e. continuous or discontinuous 377 perimeter barriers, were based on the spatial range of approximately 4 km obtained in the 378 stationary model (Table 1). To observe differences when incorporating the perimeter 379 barrier, it should be situated less than 4 km away from the positive samples. Due to the 380 assumed impermeability, the width of the perimeter barriers had no influence on the 381 probability of X. fastidiosa presence in the area outside the barrier. This implies that the 382 width of the barriers used in our study cannot be interpreted in terms of the extent of the 383 area subjected to disease control measures, such as the removal of infected host plants and 384 vector control, as established by the Commission Implementing Regulation (EU) 385 2020/1201.386

In the continuous barrier model, the probability of the presence of X. fastidiosa in the area 387 adjacent to the outer border of the barrier was only determined by the negative samples 388 and the intercept (Fig. 3e), resulting in a lower probability of the presence of the pathogen 389 compared to the stationary model (Fig. 4b). Differences between the discontinuous and 390 continuous barrier models showed that breaks in the perimeter barrier in areas with low 391 sampling intensity, due to the greater uncertainty, resulted in a higher probability of X. 392 fastidiosa presence (Fig. S3b). The increase in the probability of the presence of the 393 pathogen through the breaks in the barrier was even greater than the difference with the 394 stationary model (Fig. 4c). However, no major influence of the cordon sanitaire was 395

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observed in areas with a high sampling intensity adjacent to the outer border of the barrier. In those areas, the breaks in the barrier did not increase the probability of X. *fastidiosa* presence (Fig. S3c).

These results may assist plant health authorities in prioritizing the areas for the 399 implementation of surveillance and disease control barriers. The highest priority would 400 therefore be given to non-sampled areas close to high occurrence locations, where the 401 implementation of a barrier would lower the probability of the presence of X. fastidiosa. 402 Areas where the surveys concluded that the pathogen is absent (i.e., below the design 403 prevalence) would be, therefore, of lower priority for the implementation of surveillance and 404 disease control barriers, as the breaks would not increase the probability of presence of X. 405 fastidiosa. These results are in line with current approaches aiming for a more targeted and 406 risk-based management of emerging plant pathogens (Parnell et al. 2014; Hyatt-Twynam 407 et al. 2017). 408

In the context of our study, the spatial aggregation obtained with the models resulted from 409 the concurrent means of spread of X. fastidiosa acting during the whole time span of the 410 epidemic. For the demarcated area in Alicante, Cornara et al. (2019) indicated that X. 411 fastidiosa was detected in P. spumarius and N. campestris, with a prevalence of 27% and 412 1.2% of the individuals tested for the bacterium, respectively. However, the references 413 quoted in this review do not report data on the prevalence of X. fastidiosa in vector 414 populations in this region. Official samplings conducted from 2017 to 2019 by the plant 415 health authority in the demarcated area resulted in prevalences of X. fastidiosa of 0.67%416 for N. campestris (n = 2.995) and 7.19% for P. spumarius (n = 3.157) (GVA 2020). 417 Similar values have been reported in the Balearic Islands, with 1.12% for N. campestris (n 418

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= 797) and 8.25% for P. spumarius (n = 5,806) (MAPA 2021). However, the prevalence 419 values in Alicante are much lower than those described for *P. spumarius* in Corsica 420 (>40%) and Apulia (>50%) (Cruaud et al. 2018; Cornara et al. 2017; Saponari et al. 421 2014). These data suggest that vectors might not be playing a dominant role in the spread 422 of the disease in the demarcated area in Alicante. Furthermore, it should be considered 423 that the probability of infection of a plant by vectors depends not only on the prevalence, 424 but also on the abundance of infectious vectors, their acquisition rate, transmission 425 efficiency, the time period of the inoculation process and the infectivity of the vectors 426 (Purcell 1981). For instance, EFSA (2019) used expert knowledge elicitation (EKE) to 427 estimate a median acquisition rate of 12.08% and a transmission efficiency of 13.58% for 428 spittlebug vectors in olives. 429

The dispersal capacity of X. fastidiosa vectors in Europe is rather uncertain, and no 430 studies are available for the particular epidemiological setting in Alicante. According to a 431 Mass-Mark-Recapture assay by Lago et al. (2020) conducted in Madrid, Spain, individuals 432 of N. campestris were found at a distance of more than 2,000 m from the release point, 433 with a relatively similar number of catches at 123 and 281 m. Studies conducted with P. 434 spumarius in Apulia and Piedmont, Italy, resulted in a median dispersal from the release 435 point of 26 m day⁻¹ in an olive grove and 35 m day⁻¹ in a meadow. It was estimated that 436 50% of the *P. spumarius* population in olives in Apulia remained within 200 m and 98\% 437 within 400 m for 2 months, with a dispersal limited to some hundreds of meters throughout 438 the whole year (Bodino et al. 2020). EFSA (2019) conducted EKEs on the uncertainty 439 distribution of the vector local spread and the mean distance of disease spread. The 5th, 440 50th and 95th percentiles of the uncertainty distribution for the vector local spread were 441

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0.148 km, 0.767 km and 2.204 km, respectively. Percentiles for the mean distance of disease 442 spread were 1.10 km, 5.18 km and 12.35 km, this median value being included in the 95%443 CI of the posterior distribution of the range of our stationary model (Table 1). This upper 444 bound corresponds to the estimated rate of movement of the X. fastidiosa front in Apulia 445 (Kottelenberg et al. 2021). Nevertheless, these EKEs were conducted under specific 446 assumptions and their extrapolation to the scenario in Alicante is not straightforward. 447 Among other assumptions, values were elicited for olive orchards with herbaceous cover, 448 without the influence of competing hosts or extreme winds on vector behavior. The 449 movement of propagating plant material was not taken into account either. 450

In fact, plant propagating material is considered the main pathway for the entry of X. 451 fastidiosa into new regions EFSA (2019). After the introduction of the pathogen with 452 imported infected plant material, further spread in the area can also be driven by the 453 movement of propagating plant material. Studies reconstructing the progression of almond 454 leaf scorch disease in Majorca indicated that X. fastidiosa was introduced into this island 455 with almond buds or stems from California, and then spread through the archipelago by 456 grafting (Moralejo et al. 2020). Grafting experiments performed in this study resulted in a 457 transmission of about 15% with almond buds, but other studies reported values up to 60%458 and 80% with almond buds and stems, respectively (Mircetich et al. 1976). In the case of 459 Alicante, genetic studies indicated that X. fastidiosa might also have been introduced from 460 California (Landa et al. 2020). In the demarcated area in Alicante, almond groves were 461 typically established with rootstock seeds that were later grafted on site with buds or stems 462 of the scion (Cambra and Cambra 1991). These grafting materials were generally obtained 463 from almond trees in the area or from outside when a new cultivar was first introduced. In 464

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fact, previous studies suggested that the current extent of the pathogen had arisen from a
single introduction (Cendoya et al. 2020; Landa et al. 2020). Nevertheless, with the
information available, it is not possible to accurately trace back the movement of
propagating plant material in the area and thus determine its actual role in the spread of *X. fastidiosa*. Therefore, the spatial dependence illustrated by the models should be
interpreted considering any potential means of spread, including propagating plant
material and insect vectors.

The ranges obtained with the models varied from approximately 4 to 6 km (Table 1), but 472 to relate this parameter to the actual epidemiological setting in the demarcated area in 473 Alicante, only those from the stationary and mountain barrier models should be 474 considered. The continuous and discontinuous barrier models incorporated simulated 475 disease control interventions in the form of barriers, which are not present in the study area 476 as such. Furthermore, imposing a cordon sanitaire implied a strong spatial aggregation in 477 the area surrounded by this perimeter barrier, resulting in a greater spatial range compared 478 to the other models studied. The models assuming no control interventions presented 479 similar spatial dependence for the occurrence of X. fastidiosa. The posterior mean of the 480 range in the stationary model was 4,030.17 m with a 95% CI (2.907.41, 5.563.88), whereas 481 for the mountain barrier it was 3,860.88 m with a 95% CI (2,918.61, 5,212.18) (Table 1). 482 Interpreting these values in terms of spread rates is, however, difficult as the contribution 483 of the different means of pathogen spread cannot be disentangled. Moreover, with the 484 information available, it is not possible to determine when the pathogen was first 485 introduced in the area and so the temporal component is missing. Studies combining 486 dendrochronology and phylogenetic analysis indicated that the introduction of X. fastidiosa 487

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in Majorca occurred around 1993 (Moralejo et al. 2020). Epidemiological models dated the 488 introduction of the pathogen in Corsica to around 2001 when hidden infection reservoirs 489 are not considered, and around 1985 when these non-observable hosts are included in the 490 models (Soubeyrand et al. 2018). The rate of movement of the invasion front of X. 491 fastidiosa in Apulia indicated that the disease spread started in approximately 2008 492 (Kottelenberg et al. 2021). Based on the low genetic diversity and the absence of 493 recombinant events (Landa et al. 2020), it can be speculated that X. fastidiosa was 494 introduced in the demarcated area in Alicante not earlier than in Majorca or Corsica. 495 Although spread rates cannot be inferred from our analysis, the spatial component of the 496 models provides useful information for the management of X. fastidiosa in the study area. 497 In the Matérn correlation function of the stationary and mountain barrier models. 498 distances up to 1,792 and 1,717 m, respectively, accounted for 50% of the spatial 499 correlation, and was less than 5% for distances longer than 5,698 and 5,459 m, respectively 500 (Fig. 2). Regardless of the date of introduction and the weight of the different means of 501 spread of the pathogen in the demarcated area, the mean value of the range for the 502 stationary model indicates that host plants that were closer than 4.030.17 m to an infected 503 plant would be at risk of giving positive for X. fastidiosa. Therefore, these distances should 504 be observed to define the buffer zone where the surveillance activities will be conducted 505 around the infested area. Originally, the Commission Implementing Decision (EU) 506 2015/789 established that the buffer zone surrounding the infested zone should have a 507 width of at least 10 km. The minimum width of the buffer zone was later reduced to 5 km 508 by the Commission Implementing Decision (EU) 2017/2352 and currently to 2.5 km by the 509 Commission Implementing Regulation (EU) 2020/1201. Based on our models, these 510

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⁵¹¹ minimum buffer zone widths do not cover the entire area at risk for X. fastidiosa
⁵¹² occurrence in the demarcated area in Alicante. Consequently, in 2019 the plant health
⁵¹³ authority implemented an additional band of 10 km surrounding the demarcated area,
⁵¹⁴ where official surveillance activities are also being conducted (GVA 2020).

It should be noted that the methodological improvement considering the non-stationarity 515 of the spatial process did not increase the computational cost or the difficulty of its 516 implementation. In fact, non-stationary models have previously been used in ecology, 517 mainly in marine species distribution studies where terrestrial areas represent completely 518 impervious physical barriers (Bakka et al. 2019; Martínez-Minaya et al. 2019). To our 519 knowledge, this study is the first to apply non-stationary models with barriers in the 520 context of plant health. However, imposing the condition that barriers are completely 521 impermeable implies that the pathogen cannot be present or cross this area, which is a very 522 strong assumption rarely met in practice. In the specific case of X. fastidiosa, these barriers 523 represent areas without host plants and in which it is not possible for infected vectors or 524 propagating plant material to pass through. Our discontinuous barrier model partially 525 relaxed this assumption, allowing the pathogen to spread in some areas but still assuming 526 that parts of the cordon sanitaire were completely impervious, which is seldom the case for 527 X. fastidiosa and plant pathogens in general. Building on the present work, new modeling 528 methods need to be developed to accommodate the incorporation of barriers with different 529 levels of permeability, and thus more realistic plant health scenarios may be considered. 530

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⁵⁴¹ Supporting information

⁵⁴² Additional supporting information may be found at: Appendix_S1.pdf

543 Data availability

Data and code are available at https://doi.org/10.5281/zenodo.4656029

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713 Tables

Table 1: Mean and 95% credible interval (CI) for the intercept (β_0) and hyperparameters (r and σ_u) of the models. β_0 is the intercept, r is the range and σ_u is the standard deviation of the spatial effect.

		Mean	$95\%~{ m CI}$
	β_0	-1.68	(-2.21, -1.23)
Stationary	r	4030.17	(2907.41, 5563.88)
	σ_u	1.52	(1.28, 1.80)
Mountain	β_0	-1.61	(-2.09, -1.19)
barrier	r	3860.88	(2918.61, 5212.18)
	σ_u	1.43	(1.20, 1.71)
Continuous	β_0	-1.79	(-2.63, -1.15)
barrier	r	6141.08	(4296.32, 9042.99)
Darrier	σ_u	1.50	(1.20, 1.88)
Discontinuous	β_0	-1.57	(-2.23, -1.04)
barrier	r	5298.90	(3813.16, 7557.78)
Darrier	σ_u	1.44	(1.17, 1.78)

Barrier effects on Xylella distribution

714 Figure legends

Figure 1 – Positive (•) and negative (•) samples for Xylella fastidiosa and barriers
incorporated into each model (shaded area). (a) Stationary model, without barriers; (b)
mountain barrier model; (c) continuous barrier model; and (d) discontinuous barrier model.
Figure 2 – Representation of the Matérn correlation function for the posterior mean of the
range obtained in each model.

⁷²⁰ Figure 3 – Mean (left) and standard deviation (right) of the posterior predictive

⁷²¹ distribution of the probability of *Xylella fastidiosa* presence for each model. (a, b)

722 Stationary model; (c, d) mountain barrier model; (e, f) continuous barrier model; and (g,

⁷²³ h) discontinuous barrier model.

⁷²⁴ Figure 4 – Differences in the mean of the posterior predictive distribution of the

⁷²⁵ probability of *Xylella fastidiosa* presence. (a) Difference between stationary model and

⁷²⁶ mountain barrier model; (b) difference between stationary model and continuous barrier

⁷²⁷ model; (c) difference between stationary model and discontinuous barrier model; and (d)

⁷²⁸ difference between discontinuous barrier model and continuous barrier model.

Barrier effects on Xy lella distribution

729 Figures

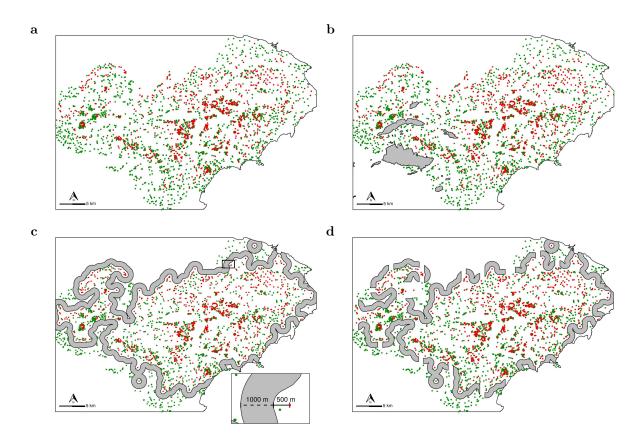


Figure 1: Positive (•) and negative (•) samples for *Xylella fastidiosa* and barriers incorporated in each model (shaded area). (a) Stationary model, without barriers; (b) mountain barrier model; (c) continuous barrier model; and (d) discontinuous barrier model.

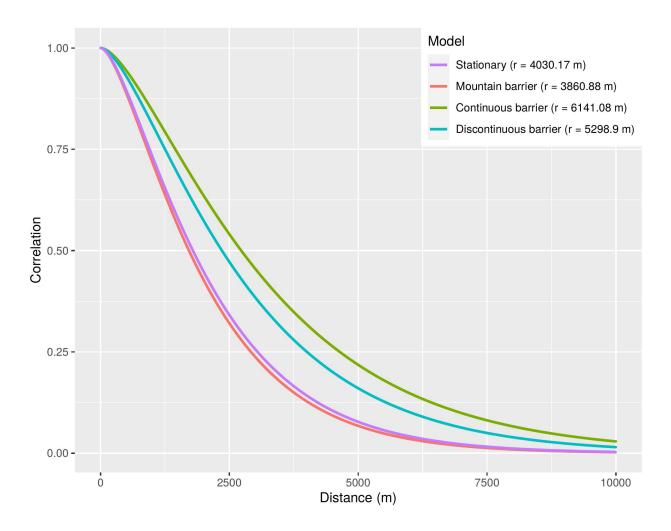


Figure 2: Representation of the Matérn correlation function for the posterior mean of the range obtained in each model.

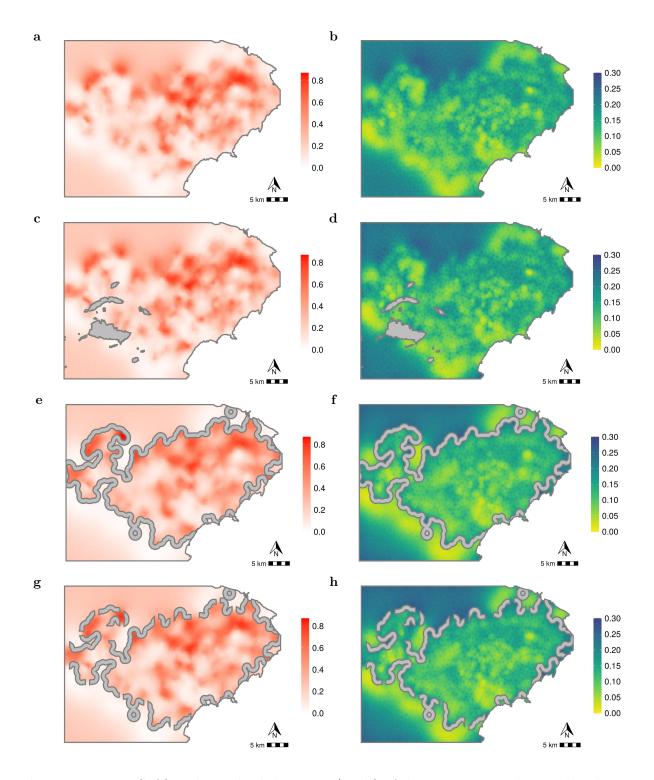


Figure 3: Mean (left) and standard deviation (right) of the posterior predictive distribution of the probability of *Xylella fastidiosa* presence for each model. (a, b) Stationary model; (c, d) mountain barrier model; (e, f) continuous barrier model; and (g, h) discontinuous barrier model.

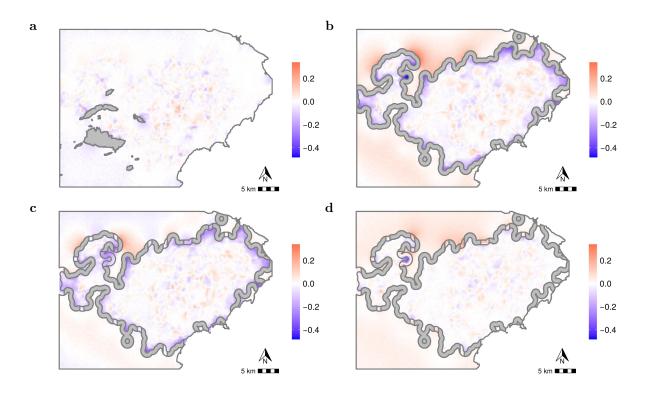


Figure 4: Differences in the mean of the posterior predictive distribution of the probability of *Xylella fastidiosa* presence. (a) Difference between stationary model and mountain barrier model; (b) difference between stationary model and continuous barrier model; (c) difference between stationary model and discontinuous barrier model; and (d) difference between discontinuous barrier model and continuous barrier model.