**Expanding Asgard members in the domain of Archaea shed new light on the origin of**

**eukaryotes**

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**Abstract**

The hypothesis that eukaryotes originated from within the domain Archaea has been strongly

supported by recent phylogenomic analyses placing Heimdallarchaeota from the Asgard

superphylum as the closest known archaeal sister-group to eukaryotes. At present, only six

phyla are described in the Asgard superphylum, which limits our understanding of the

relationship between eukaryotes and archaea, as well as the evolution and ecological

functions of the Asgard archaea. Here, we describe five previously unknown phylum-level

Asgard archaeal lineages, tentatively named Tyr-, Sigyn-, Freyr-, Njord- and Balderarchaeota.

Comprehensive phylogenomic analyses further supported the origin of eukaryotes within

Archaea to form a 2-domain tree of life and a new Asgard lineage Njordarchaeota was

identified as the potential closest branch with the eukaryotic nuclear host lineage rather than

Heimdallarchaeota that were previously considered as the closest archaeal relatives of

eukaryotes. Metabolic reconstruction of Njordarchaeota suggests a heterotrophic lifestyle,

with potential capability of peptides and amino acids utilization. This study largely expands

the Asgard superphylum, provides additional evidences to support the 2-domain life tree and

sheds new light on the evolution of eukaryotes.

Keywords: archaea, Asgard, eukaryotic origin

**Introduction**

The origin of eukaryotes is considered as a critical biological evolutionary event on Earth[1, 2, 3, 4]. The common ancestor of eukaryotes is generally believed to have evolved from a symbiotic process[5, 6] in which one endosymbiotic bacterium within the Proteobacteria phylum evolved into a mitochondrion [7, 8] and one endosymbiotic host cell became the cell nucleus[9, 10, 11]. The identity of the host cell ancestor has been vigorously debated, and two hypotheses regarding 2- or 3-domain trees of life have been raised[12, 13]. However, increasing evidence provided by phylogenomic analyses[10, 14], as well as the presence of eukaryotic signature proteins (ESPs)[15] in the Asgard archaea, has supported the idea that eukaryotic cells originated in the domain Archaea, particularly in the archaeal Asgard superphylum[9, 10, 16]. The Asgard archaea are described as mixotrophic or heterotrophic[11, 17] and are ubiquitously distributed in various environments, such as hydrothermal vents[9, 10]; lake, river and marine sediments[18]; microbial mats[19]; and mangroves[17]. These organisms potentially play important roles in global geochemical cycling[20]. The identification of Lokiarchaeota in the Loki's Castle hydrothermal vent field provided pivotal genomic and phylogenetic evidence that eukaryotes originated within the domain Archaea, supporting a 2-domain tree of life, which is consistent with the eocyte hypothesis[9]. Further discovery and proposal of the Asgard superphylum have provided new insights into the transition of archaea to eukaryotes and into the origin of eukaryotic cell complexity[10]. Within the Asgard superphylum, Heimdallarchaeota had been identified to be the closest Asgard archaeal lineage to the eukaryotic branch on the phylogenetic tree on the basis of carefully selected conserved protein sequences[10, 14]. Recently, Imachi *et al.* cultivated one Asgard archaeon, *Candidatus* Prometheoarchaeum syntrophicum strain MK-D1, in the

laboratory and observed, for the first time, the intertwining of this archaeon with bacterial

cells via extracellular protrusions under a transmission electron microscope[21]. The idea of an

archaeal origin of eukaryotes and a 2-domain tree of life has recently become increasingly

favorable[14, 22]; nevertheless, our understanding of the evolution of Asgard archaea, the

archaea-eukaryote transition, and the ecological and geochemical roles of these evolutionarily

important archaea remains incomplete. This lack of understanding is largely due to the limited

number of high-quality genomes of Asgard archaea, which are considered highly diverse as

revealed by 16S rRNA gene surveys[20, 23]; yet only a small fraction have representative

genomes. In this study, we assembled five previously unknown phylum-level Asgard archaeal

group, greatly expanded the Asgard genomic diversity within the domain of Archaea and shed

new light on the origin of eukaryotes.

**Results**

**Expanded Asgard archaea support 2-domain tree of life**

In total, 17 metagenomic datasets were used in this study, including two samples from

hydrothermal sediment of Guaymas Basin, six samples from Tengchong hot spring sediment,

as well as 9 metagenomic datasets from the publicly available National Center for

Biotechnology Information (NCBI) Sequence Read Archive (SRA) database (Permission

granted, Supplementary Table 1). After subsequently assembling, binning and classification

as described in the Methods section, 128 Asgard metagenome-assembled genomes (MAGs)

were obtained and in-depth phylogenomic analyses were performed with 37 concatenated

conserved proteins[24] under LG+C60+F+G4 model to confirm the placement of these MAGs

on phylogenomic tree. The analysis revealed that, in addition to the previously described

Loki-, Thor-, Odin-, Heimdall-, Hela- and Hermodarchaeota clades[25], there are five additional

monophyletic branching clades (Fig. 1), here tentatively named Tyr-, Sigyn-, Freyr-, Njord-

and Balderarchaeota after the Asgard gods in the Norse mythology (Tyr, the god of war;

Sigyn, the god of victory; Freyr, the god of peace; Njord, the god of seas; and Balder, the god

of light). The MAGs of these new Asgard lineages were recovered from different

environments: Njordarchaeota and Freyrarchaeota were derived from hydrothermal sediment;

Tyrarchaeota were found in estuary sediments; Sigynarchaeota were reconstructed from hot

spring sediments and Balderarchaeota were retrieved from hot spring and hydrothermal

sediments. Additionally, a clade of Hermodarchaeota was identified in high temperature

habitats (~85℃), similar with Odinarchaeota, which were considered as the only thermophilic

member of Asgard archaea to date[10]. Near-complete MAGs ranging in size from 2.1 to 5.5

Mb with completeness ranging from 87.38 to 97.20% were constructed for representatives of

each new Asgard clade (Supplementary Table 2). To further assess their distinctiveness

compared to the Asgard members already defined, we calculated the average nucleotide

identity (ANI) (Supplementary Fig. 1) and average amino acid identity (AAI) (Supplementary

Fig. 2) between them and other Asgard MAGs. The AAI values showed that all the MAGs of

new lineages discovered here share a low AAI with the known Asgard archaea (<50%) and

fall within the phylum-level classification range (40%~52%)[26], providing additional support

for the uniqueness of these new Asgard lineages.

To determine the phylogenetic positions of these new Asgard lineages in relation to

eukaryotes, we performed comprehensive phylogenetic analyses using 21 conserved marker

proteins carefully selected by Williams *et al.*[14] and 54 archaeal-eukaryotic ribosomal

proteins[10]. The taxa included in these analyses were also selected on the basis of the

instructions of Williams *et al.*[14]: a representative taxon set was constructed comprising 85

archaeal genomes (53 within Asgard), 19 eukaryotic genomes, and 36 bacterial genomes

(Supplementary Table 3). To avoid potential phylogenetic artifacts resulting from horizontal

gene transfer (HGT, including inter-archaeal horizontal gene transfers arHGTs), long branch

attraction (LBA) and eukaryotic genes from the mitochondria or plastids, single-gene datasets

were carefully inspected with single protein trees and BLASTp inspection was performed. We

then concatenated two gene sets, then inferred maximum likelihood trees under the

LG+C60+F+G4 model for 21 conserved marker proteins and 54 archaeal-eukaryotic

ribosomal proteins respectively. The phylogenetic analysis of 21 marker genes showed that

eukaryotes are the sister group of Njordarchaeota rather than Heimdallarchaeota which was

previously described the sister lineage to eukaryotes[11, 14] with high support (bootstrap support

(BS) = 93, Fig. 2a). The phylogenetic analysis of 54 ribosomal proteins also indicates that the

eukaryotes lineage forms a monophyletic cluster with Tyr-, Heimdall- and Njordarchaeota,

while Njordarchaeota is the deepest lineage close to eukaryotes (BS = 61, Fig. 2b). In

summary, the phylogenomic analyses provide strong support for a 2-domain tree with

Njordarchaeota as the closest potential relatives to eukaryotes.

**ESP-encoding genes widely shared by the Asgard archaea**

The potential ESPs were identified from the newly reconstructed Asgard MAGs (Fig. 3).

Consistent with previous reports[9, 10, 16, 27], different key subunits of informational processing

machinery were found. For example, topoisomerase IB protein-encoding genes were

identified in Freyr-, Balder- and Hermodarchaeota, while all MAGs of Balderarchaeota and

Freyrarchaeote GB11 were found to encode a RNA polymerase subunit G. Homologues of

eukaryotic ribosomal protein L22e were identified in Freyr-, Hermodarchaeota and

Tyrarchaeota. The new clades of Asgard archaea were also found to contain genes related to

cell division and the cytoskeleton, but tubulin-encoding genes were not detected. With regard

to actin-related proteins, two to three related subunits were detected in Tyr- and

Balderarchaeota, whereas profilin domain protein-encoding genes were identified in all

Asgard lineages described here.

Ubiquitin-based signaling is an important cellular process in eukaryotes[28]. Previous

studies have reported the presence of the related protein domains in Loki-, Odin-, Hel- and

Heimdallarchaeota but not in Thorarchaeota[9, 27, 29]. Here, we identified ubiquitin

system-related protein-encoding genes in nearly all newly assembled MAGs including several

ubiquitin-related    domains,    zinc    fingers,    ubiquitin-activating    enzyme    (E1),

ubiquitin-conjugating protein (E2), and UFM1-protein ligase 1 (E3), indicating that the

ubiquitin system is widespread in Asgard archaea.

The endosomal sorting complex required for transport (ESCRT) machinery consisting of

complexes I-III and associated subunits[9, 30, 31] were identified in the newly recovered MAGs.

Genes coding for Vps28 domain-containing proteins previously found in Loki-, Odin-, Hel-

and Heimdallarchaeota[25, 27] were also identified in Tyr-, Freyr-, Balder- and Hermodarchaeota

but were absent in Sigynarchaeota and Njordarchaeota. The Sigynarchaeota MAGs also lack

genes for both EAP30 domain- and steadiness box domain-containing proteins. Notably, all

new Asgard MAGs contain cyclin-like protein-encoding genes, whereas ESCRT complexes

I-III were only identified in Freyrarchaeota.

ESPs with intracellular trafficking and secretion functions were also identified in MAGs

here. However, only Tyrarchaeota contains genes coding for proteins with homology to

TRAPP-domain protein and Sec23/24-type protein-encoding gene was only found in

Balderarchaeota. All MAGs reported in present study possess genes coding RLC7 roadblock

domain protein. Genes coding for both the N- and C-termini of arrestins were found in

Freyrarchaeota and Hermodarchaeota; the organization resembles one previously reported in

the genome of Lokiarchaeote CR-4, in which the C- and N-terminal domain proteins are

separated from each other by one gene[25].

We also analyzed the oligosaccharyltransferase (OST) complex in the reconstructed

MAGs, and the results showed that OST complex-encoding genes were present in the MAGs

of all five Asgard clades. Ribophorin I homolog-encoding genes were found in the Hermod-,

Freyr-, Sigyn- and Tyrarchaeota MAGs. Homologs of OST3/6, which have been

demonstrated to influence yeast glycosylation efficiency[32], were also identified in several

Asgard MAGs, while STT32 subunit protein-encoding genes were detected all MAGs,

consistent with previous reports on Loki-, Odin-, Thor-, Hel- and Heimdallarchaeota[25].

In addition to reported ESPs, we identified a potential ESP belonging to mu/sigma

subunit of AP (adaptor protein) complex-encoding genes in Balder- and Freyrarchaeota

MAGs (Fig. 3). Homologues of mu/sigma subunit of AP complex contain IPR022775 domain.

AP complexes are classified into AP-1, AP-2, AP-3, AP-4 and AP-5 and all AP complexes

are heterotetramers consisting of two large subunits (adaptins), one medium-sized subunit

(mu) and one small-sized subunit (sigma)[33, 34]. AP complexes play a vital role in mediating

intracellular membrane trafficking[35]. Taken together, the identification of potential ESP

provides further insight into the origins of eukaryotic cellular complexity.

**Metabolic reconstructions of the five new Asgard lineages**

Balderarchaeota MAGs contain genes coding for complete glycolysis via

Embden-Meyerhof-Parnas (EMP) pathway, major steps of the tricarboxylic acid (TCA) cycle

and β-oxidation pathway, suggesting that members of Balderarchaeota may have potential to

metabolize organic compounds including carbohydrates and fatty acids (Fig. 4). The Wood

Ljungdahl (WL) pathway enables organisms to reduce two molecules of $CO_2$ to form

acetyl-CoA, and then to acetate to produce ATP. The ADP-dependent acetyl-CoA synthetase

(ACD) for acetogenesis, which is widely found in archaea[36], was identified in

Balderarchaeota MAGs. Meanwhile, phosphate acetyltransferase (Pta) and acetate kinase

(Ack) were also found in all Balderarchaeota MAGs (Fig. 4, Supplementary Table 5).

Although the *pta* gene was found in Sigynarchaeota and Freyrarchaeota as well, all of their

MAGs lack the *ack* gene. The Pta/Ack pathway for acetate production, which is common in

bacteria, was so far only found in Bathyarchaeota and the methanogenic genus

*Methanosarcina* in archaea[37, 38] and it is the first case that genes coding for Pta and Ack were

discovered in the Asgard archaea. The archaeal *pta*/*ack* genes were considered HGT from

bacteria donors. For example, the genes in *Methanosarcina* were postulated to acquire from a

cellulolytic Clostridia group[39] whereas the *pta*/*ack* genes donor of Bathyarchaeota was still

unclear, possibly one unknown clade of Bacteria[37]. For Balderarchaeota, the phylogenetic

analysis of the *ack* gene sequences revealed that *ack* genes of Balderarchaeotal branch closely

to a bacteria lineage Petrotoga (Supplementary Fig. 3), indicating that *ack* genes of

Balderarchaeota probably acquired from Petrotoga. While the phylogenetic tree of *pta* genes

shows that the Balderarchaeota clade are within Firmicutes branch (Supplementary Fig. 4).

Taken together, the Pta/Ack pathway in Balderarchaeota may have acquired from different

bacterial donor by two separate HGT events. Additionally, genes coding for the nitrite

reductase (NADH) large subunit (*nir*B) were detected in MAGs of Balderarchaeota, implying

a potential nitrite reduction capability.

Sigynarchaeota contain not only all genes responsible for glycolysis but also abundant

genes coding for extracellular carbohydrate-degrading enzymes, including α-amylase,

cellulase, α-mannosidases and β-glucosidases (Supplementary Table 4), indicating that

archaea in Sigynarchaeota have the capacity to degrade complex carbohydrates. There are two

types of the WL pathway using different enzymes as $C_1$ carrier, one using

tetrahydromethanopterin (THMPT), the other using tetrahydrofolate (THF). Archaea normally

utilize the THMPT-WL pathway while acetogenic bacteria generally utilize the THF-WL

pathway[40]. Sigynarchaeota MAGs contain genes for both types of the WL pathway but

5,10-methylenetetrahydromethanopterin        reductase       (Mer)       which       converts

5-methyltetrahydromethanopterin to 5,10-methylenetetrahydromethanopterin was missing in

all MAGs identified here, suggesting that Sigynarchaeota probably use the THF-WL pathway

for acetate production (Fig. 4, Supplementary Table 5). Sigynarchaeota MAGs contain neither

NADH dehydrogenase nor type 4 [NiFe] hydrogenase (Supplementary Table 5), nevertheless,

they probably use membrane-bound heterodisulfide reductase (Hdr) to generate proton motive

force as described in their sister-lineage Lokiarchaeota[11].

Except for genes relevant to carbon cycling (including the WL pathway, EMP pathway

and β-oxidation), Freyrarchaeota MAGs contain more genes involved in nitrogen and sulfur

cycling compared with the other newly discovered Asgard clades here. With regard to

nitrogen metabolism, Freyrarchaeota were found to contain genes coding for the potential

nitrogen fixation-catalyzing subunit of nitrogenase (*nif*H) and nitrogenase cofactors. With

regard to sulfur metabolism, the key enzymes functioning in the assimilatory sulfate reduction

pathway and sulfate import were identified in members of Freyrarchaeota, suggesting that this

clade has potential to assimilate sulfate. Moreover, complete subunits of

sulfhydrogenase-encoding genes (*hyd*ABGD) were found in Freyrarchaeota MAGs. This

bifunctional hydrogenase has been verified in the hyperthermophilic *Pyrococcus furiosus*,

which can either remove reductants produced during fermentation by utilizing protons or use

polysulfides as electron acceptors[41]. Among genes associated with glycolysis, the specific

enzymes catalyzing these steps is different in the three lineages. In the first step of glycolysis,

for example, Freyrarchaeota and Sigynarchaeota use ATP-dependent ROK (repressor, open

reading frame, kinase) family enzymes while Balderarchaeota utilizes ADP-dependent

glucokinase. Likewise, Freyrarchaeota and Sigynarchaeota encode ATP-dependent

phosphofructokinase (PfkB) but fructose 6-phosphate (F6P) to fructose 1,6-bisphosphate

(F1,6P) was catalyzed by ADP-dependent phosphofructokinase (ADP-PFK) in

Balderarchaeota.

We also compared differences of metabolic characteristic between the newly identified

Hermodarchaeota members and Odinarchaeota since both of them were recovered from

high-temperature environments. Hermodarchaeota encodes all enzymes for the complete

THMPT-WL pathway, while Odinarchaeota lack several key genes of THMPT-WL pathway.

Furthermore, only group 3 [NiFe]-hydrogenases were found in Hermodarchaeota genomes,

lacking group 4 [NiFe]- hydrogenases which were widely identified in Odinarchaeota. The

presence of THMPT-WL pathway and group 3 [NiFe]-hydrogenases in Hermodarchaeota

indicate that they could grow lithoautotrophically by using $H_2$ as an electron donor.

DnaK-DnaJ-GrpE chaperone system, one of the characteristics of hyperthermophilic

archaea[42], were found in Hermodarchaeota and Odinarchaeota but genes coding for reverse

gyrase were absent in both of them.

In Tyrarchaeota MAGs, glycolysis and TCA pathways are not complete, however, the

presence of genes coding for THMPT-WL pathway and group 3 [NiFe] hydrogenases implies

its potential to harness energy from $H_2$ oxidation, possibly for lithoautotrophic growth,

depending on environmental conditions, as suggested for Lokiarchaeota and Thorarchaeota[43,

44]. Moreover, *de novo* anaerobic cobalamin (vitamin $B_{12}$) biosynthesis pathway was found in

Tyrarchaeota (Fig. 4, Supplementary Table 5), suggesting that Tyrarchaeota harbor the

potential of cobalamin synthesis. In nature, only limited members of bacteria and archaea

possess capacity of *de novo* cobalamin synthesis using one of two alternative pathways:

aerobic or anaerobic pathway[45]. Within Archaea, some members within Euryarchaeota,

Thaumarchaeota, Crenarchaeota and Bathyarchaeota have been reported possessing

cobalamin synthesizing pathway[46, 47], whereas only Tyrarchaeota seems to have    this ability

in the Asgard archaea reported so far.

Njordarchaeota MAGs contain limited genes coding for major carbon metabolic

repertoire. Key genes coding for EMP pathway, TCA, the WL pathway and β-oxidation

pathway were missing (Fig. 4, Supplementary Table 5). But genes coding for amino acids

utilization were found in Njordarchaeota, including aminotransferases and

2-oxoacid:ferredoxin oxidoreductases (the former catalyzes the interconversion of amino

acids and 2-oxoacids and the latter oxidates 2-oxoacids to acyl-CoA), indicating that

Njordarchaeota has potential to metabolize amino acids. The amino acid carboxylate is

transferred to $CO_2$ and reducing ferredoxin during 2-oxoacid oxidation, which further could

be oxidized into formate and $H_2$ by formate dehydrogenase (Fdh) and [NiFe] hydrogenases,

respectively[21]. Only [NiFe]-hydrogenases were detected in Njordarchaeota but lacking Fdh.

Together, Njordarchaeota might have a fermentative life style by produce acetate or $H_2$ while

degrading amino acids, or it may be living in a symbiotic way. Nevertheless, cultivation

experiments are required to verify all these predictions described here.

Various models for the origin of eukaryotes have been proposed, based on a metabolic

symbiosis between one archaeon and bacterial partner, which was fostered by the discovery

of natural syntrophy between *Candidatus* Prometheoarchaeum syntrophicum (that can

degrade amino acids to $H_2$ or formate) and Deltaproteobacteria (that can utilize $H_2$ or Formate

and provide amino acids or vitamin $B_{12}$ to partner). Compared with other members of Asgard

archaea, Njordarchaeota possess limited pathway for carbon metabolism (Supplementary

Table 6), implying that they prone to grow in symbiosis with other organisms to adapt

complicated and volatile environment. Combining the phylogenetic affiliation with

eukaryotes and metabolic characteristic of Njordarchaeota, we speculate that the archaeal

ancestor of eukaryotes probably has potential to degrade amino acids to produce acetate or $H_2$

which can further benefit to the bacterial partner, although other additional lifestyles could

not be excluded. This "auxotrophy" life style may provide a selective force that enables

Njordarchaeota or even deeper branched Asgard lineages to eukaryotes stably symbiose with

bacterial partner, which further facilitates integration of symbiogenetic consortium, and

eventually evolved to original eukaryotes ancestor.

**Conclusion**

Undoubtedly, the origin of eukaryotes is one of the most important evolutionary events. The

discovery of Asgard archaea has boosted the eocyte hypothesis that eukaryotes derive from

within archaea because the Asgard archaea possess two remarkable features: robust

evolutionary affinity with eukaryotes and various ESPs existence. In the present study, five

novel Asgard lineages were discovered based on phylogenetic analyses and AAI value

comparison, which significantly expand the phylogenetic and metabolic diversity of the

Asgard archaea. Our analyses strongly support a 2-domain tree of life and clearly demonstrate

that the eukaryotes lineage cluster with either Njordarchaeota alone or Njord-, Tyr- and

Heimdallarchaeota, suggesting that Njordarchaeota lineage is the closest relatives or a deeper

branching lineage to eukaryotes than Heimdallarchaeota. Metabolic characteristic of

Njordarchaeota shows different carbon metabolic pathways from Heimdallarchaeota that were

considered living in aerobic or anaerobic environment using various organic substrates such as

carbohydrates and fatty acids[11, 16, 48], whereas Njordarchaeota lack both complete glycolysis

and WL pathway and the most possibility of metabolism type is using amino acids in anoxic

niches. This finding does not contradict to the hypothesis by Spang *et al.* inferring metabolic

feature of the archaeal ancestor of eukaryotes[11] that it used organic substrates to produce

acetate, formate, $H_2$, which might be beneficial for symbiosis. In general, the characterization

of additional genomes and continuous efforts to cultivate Asgard archaea will provide

additional insights into the evolution of archaea and their potential evolution into eukaryotes.

Such insights will enable greater understanding of the ecological and geochemical roles of

archaea in Earth's history.

**Propose type of new taxa**

*Candidatus* **Tyrarchaeum** (Tyr.ar.chae'um. N.L. neut. n. *archaeum* archaeon; N.L. neut. n.

*Tyrarchaeum* an archaeon named after Tyr, the god of war in North mythology). Type species:

Candidatus Tyrarchaeum oakense.

*Candidatus* **Tyrarchaeum oakense** (oak'ense N.L. neut. adj. pertaining to white oak river,

North Carolina in the United States). This uncultured lineage is represented by the genome

"WOR_431" consisting of 2.4 Mbps in 246 contigs with an estimated completeness of

91.56%, an estimated contamination of 2.95% and 20 tRNAs The MAG recovered from white

oak river sediment.

*Candidatus* **Freyrarchaeum** (Freyr.ar.chae'um. N.L. neut. n. *archaeum* archaeon; N.L. neut.

n. *Freyrarchaeum* an archaeon named after Freyr, the god of peace in North mythology).

Type species: Candidatus Freyrarchaeum guaymasis.

*Candidatus* **Freyrarchaeum guaymasis** (guayma'sis N.L. neut. adj. pertaining to Guaymas

Basin, located in the Gulf of California, México). This uncultured lineage is represented by

the genome "GB_11" consisting of 2.3 Mbps in 71 contigs with an estimated completeness of

93.93%, an estimated contamination of 4.67% and 19 tRNAs The MAG recovered from

Guaymas Basin sediment.

*Candidatus* **Sigynarchaeum** (Sigyn.ar.chae'um. N.L. neut. n. *archaeum* archaeon; N.L. neut.

n. *Sigynarchaeum* an archaeon named after Sigyn, the god of victory in North mythology).

Type species: Candidatus Sigynarchaeum springense.

*Candidatus* **Sigynarchaeum springense** (spring'ense N.L. neut. adj. pertaining to hot spring,

Tengchong, China). This uncultured lineage is represented by the genome "SQRJ_234"

consisting of 5.9 Mbps in 269 contigs with an estimated completeness of 91.59%, an

estimated contamination of 4.67% and 21 tRNAs The MAG recovered from hot spring

sediment.

*Candidatus* **Balderarchaeum** (Balder.ar.chae'um. N.L. neut. n. *archaeum* archaeon; N.L.

neut. n. *Balderarchaeum* an archaeon named after Balder, the god of light in North

mythology). Type species: Candidatus Balderarchaeum guaymasis.

*Candidatus* **Balderarchaeum guaymasis** (guayma'sis N.L. neut. adj. pertaining to Guaymas

Basin, located in the Gulf of California, México). This uncultured lineage is represented by

the genome "GB_128" consisting of 3.8 Mbps in 131 contigs with an estimated completeness

of 97.2%, an estimated contamination of 4.21% and 20 tRNAs The MAG recovered from

Guaymas Basin sediment.

*Candidatus* **Njordarchaeum** (Njord.ar.chae'um. N.L. neut. n. *archaeum* archaeon; N.L. neut.

n. *Njordarchaeum* an archaeon named after Njord, the god of seas in North mythology). Type

species: Candidatus Njordarchaeum guaymasis.

*Candidatus* **Njordarchaeum guaymasis** (guayma'sis N.L. neut. adj. pertaining to Guaymas

Basin, located in the Gulf of California, México). This uncultured lineage is represented by

the genome "GB_154" consisting of 2.1 Mbps in 191 contigs with an estimated completeness

of 87.38%, an estimated contamination of 6.23% and 20 tRNAs The MAG recovered from

Guaymas Basin sediment.

*Candidatus* **Tyrarchaeaceae** (Tyr.ar.chae.ace'ae. N.L. neut. n. *Tyrarchaeum*, Candidatus

generic name; -aceae ending to denote the family; N.L. fem. pl. n. *Tyrarchaeaceae*, the

*Tyrarchaeum* family).

The family is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Tyrarchaeum.

***Candidatus* Tyrarchaeales** (Tyr.ar.chae.a'les. N.L. neut. n. *Tyrarchaeum*, Candidatus generic

name; -ales ending to denote the order; N.L. fem. pl. n. *Tyrarchaeales*, the *Tyrarchaeum*

order).

The order is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Tyrarchaeum.

***Candidatus* Tyrarchaeia** (Tyr.ar.chae'i.a. N.L. neut. n. *Tyrarchaeum*, Candidatus generic

name; -ia ending to denote the class; N.L. fem. pl. n. *Tyrarchaeia*, the *Tyrarchaeum* class).

The class is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Tyrarchaeum.

***Candidatus* Tyrarchaeota** (Tyr.ar.chae.o'ta. N.L. neut. n. *Tyrarchaeum*, Candidatus generic

name; -ota ending to denote the phylum; N.L. fem. pl. n. *Tyrarchaeota*, the *Tyrarchaeum*

phylum).

The phylum is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Tyrarchaeum.

***Candidatus* Freyrarchaeaceae** (Freyr.ar.chae.ace'ae. N.L. neut. n. *Freyrarchaeum*,

Candidatus generic name; -aceae ending to denote the family; N.L. fem. pl. n.

*Freyrarchaeaceae*, the *Freyrarchaeum* family).

The family is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Freyrarchaeum.

***Candidatus* Freyrarchaeales** (Freyr.ar.chae.a'les. N.L. neut. n. *Freyrarchaeum*, Candidatus

generic name; -ales ending to denote the order; N.L. fem. pl. n. *Freyrarchaeales*, the

*Freyrarchaeum* order).

The order is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Freyrarchaeum.

***Candidatus* Freyrarchaeia** (Freyr.ar.chae'i.a. N.L. neut. n. *Freyrarchaeum*, Candidatus

generic name; -ia ending to denote the class; N.L. fem. pl. n. *Freyrarchaeia*, the

*Freyrarchaeum* class).

The class is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Freyrarchaeum.

***Candidatus* Freyrarchaeota** (Freyr.ar.chae.o'ta. N.L. neut. n. *Freyrarchaeum*, Candidatus

generic name; -ota ending to denote the phylum; N.L. fem. pl. n. *Freyrarchaeota*, the

*Freyrarchaeum* phylum).

The phylum is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Freyrarchaeum.

***Candidatus* Sigynarchaeaceae** (Sigyn.ar.chae.ace'ae. N.L. neut. n. *Sigynarchaeum*,

Candidatus generic name; -aceae ending to denote the family; N.L. fem. pl. n.

*Sigynarchaeaceae*, the *Sigynarchaeum* family).

The family is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Sigynarchaeum.

***Candidatus* Sigynarchaeales** (Sigyn.ar.chae.a'les. N.L. neut. n. *Sigynarchaeum*, Candidatus

generic name; -ales ending to denote the order; N.L. fem. pl. n. *Sigynarchaeales*, the

*Sigynarchaeum* order).

The order is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Sigynarchaeum.

***Candidatus* Sigynarchaeia** (Sigyn.ar.chae'i.a. N.L. neut. n. *Sigynarchaeum*, Candidatus

generic name; -ia ending to denote the class; N.L. fem. pl. n. *Sigynarchaeia*, the

*Sigynarchaeum* class).

The class is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Sigynarchaeum.

***Candidatus* Sigynarchaeota** (Sigyn.ar.chae.o'ta. N.L. neut. n. *Sigynarchaeum*, Candidatus

generic name; -ota ending to denote the phylum; N.L. fem. pl. n. *Sigynarchaeota*, the

*Sigynarchaeum* phylum).

The phylum is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Sigynarchaeum.

***Candidatus* Balderarchaeaceae** (Balder.ar.chae.ace'ae. N.L. neut. n. *Balderarchaeum*,

Candidatus generic name; -aceae ending to denote the family; N.L. fem. pl. n.

*Balderarchaeaceae*, the *Balderarchaeum* family).

The family is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Balderarchaeum.

***Candidatus* Balderarchaeales** (Balder.ar.chae.a'les. N.L. neut. n. *Balderarchaeum*,

Candidatus generic name; -ales ending to denote the order; N.L. fem. pl. n. *Balderarchaeales*,

the *Balderarchaeum* order).

The order is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Balderarchaeum.

***Candidatus* Balderarchaeia** (Balder.ar.chae'i.a. N.L. neut. n. *Balderarchaeum*, Candidatus

generic name; -ia ending to denote the class; N.L. fem. pl. n. *Balderarchaeia*, the

*Balderarchaeum* class).

The class is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Balderarchaeum.

***Candidatus* Balderarchaeota** (Balder.ar.chae.o'ta. N.L. neut. n. *Balderarchaeum*,

Candidatus generic name; -ota ending to denote the phylum; N.L. fem. pl. n. *Balderarchaeota*,

the *Balderarchaeum* phylum).

The phylum is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Balderarchaeum.

***Candidatus* Njordarchaeaceae** (Njord.ar.chae.ace'ae. N.L. neut. n. *Njordarchaeum*,

Candidatus generic name; -aceae ending to denote the family; N.L. fem. pl. n.

*Njordarchaeaceae*, the *Njordarchaeum* family).

The family is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Njordarchaeum.

***Candidatus* Njordarchaeales** (Njord.ar.chae.a'les. N.L. neut. n. *Njordarchaeum*, Candidatus

generic name; -ales ending to denote the order; N.L. fem. pl. n. *Njordarchaeales*, the

*Njordarchaeum* order).

The order is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Njordarchaeum.

***Candidatus* Njordarchaeia** (Njord.ar.chae'i.a. N.L. neut. n. *Njordarchaeum*, Candidatus

generic name; -ia ending to denote the class; N.L. fem. pl. n. *Njordarchaeia*, the

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The class is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

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***Candidatus* Njordarchaeota** (Njord.ar.chae.o'ta. N.L. neut. n. *Njordarchaeum*, Candidatus

generic name; -ota ending to denote the phylum; N.L. fem. pl. n. *Njordarchaeota*, the

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The phylum is described based on 37 concatenated conserved marker genes phylogeny. The

description is the same as that of its sole genus and species. Type genus is *Candidatus*

Njordarchaeum.

**Methods**

**Sampling and processing.** Detailed methods for collection, DNA extraction, and metagenome sequencing of Guaymas Basin samples has been described in previous study[49]. Six sediment samples of hot spring were taken from Tengchong, Yunnan, China on September, 2019 (24.95°N, 98.44°E). DNA was extracted from 10 g of each sample by using PowerSoil DNA Isolation Kit (Mo Bio). Metagenomic sequence data for the six samples were generated using Illumina HiSeq 2500 instruments.

**Data collection.** Asgard archaea are distributed mainly in different environmental sediments, including estuary sediments[18], mangrove sediments[17], hydrothermal sediments[50], hot spring sediments[10], marine sediments[9], and freshwater sediments[51]. According to the environmental distributions of Asgard archaea, metagenomic data were collected and downloaded from the SRA database (https://www.ncbi.nlm.nih.gov/sra/).

**Metagenomic assembly and genomic binning.** The raw reads were trimmed using Trimmomatic (v.0.38)[52] to remove adapters and low-quality reads. After trimming, the reads of each sample were *de novo* assembled using Megahit (v.1.2.5)[53] with a k-step of 6. Samples from the same location or similar environments were assembled together. Contigs were binned separately using MetaBAT (v.2.12.1)[54], MaxBin (v.2.2.7)[55], and Concoct (v.1.1.0)[56] with the default parameters, and the initial taxonomic classification of each MAGs was performed using GTDB-Tk (v.1.2.0)[57] to extract Asgard MAGs. The completeness and contamination of Asgard MAGs were evaluated with the CheckM lineage_wf workflow (v.1.0.12)[58]. Finally, Asgard MAGs with completeness above 50% and contamination below 10% were selected for further analyses, and Prodigal (v.2.6.1)[59] was used to predict

protein-coding genes for these selected Asgard MAGs.

**Phylogenetic analyses of Asgard MAGs.** To determine the exact phylogenetic affiliations in

the Asgard superphylum, 37 conserved marker genes were selected as described in the

literature[24, 60]. Homologs of the 37 conserved marker proteins were identified using Diamond

(v.2.0.4)[61]. Each dataset of marker proteins was aligned with MAFFT-L-INS-i (v.7.313)[62] and

trimmed by trimAl (v.1.4.22)[63] with the "automated1" option. Maximum-likelihood

phylogenies for the 37 conserved marker proteins was built using IQ-TREE (v.2.0.5)[64] under

the model "LG+F+G4+C60". The support values were calculated using 1000 ultrafast

bootstraps.

**Phylogenetic tree of life.** To confirm the phylogenetic affiliations of eukaryotes and the novel

Asgard lineages, 21 taxonomic marker genes shared among three domains selected by

Williams[14] and 54 ribosomal proteins shared between archaea and eukaryotes[10] were used for

phylogenetic analyses. Single-gene trees were inferred for all the markers using IQ-TREE

with the LG+G4+F model to exclude phylogenetic artefacts such as long branch attraction,

HGT (eukaryotic genes falling into bacterial clade or scattering in archaeal clade were

considered as HGT) and a BLASTp inspection was further performed to identify all

eukaryotic genes that originated from the nuclear genome and to remove genes of

mitochondrial or chloroplastic origin. The maximum-likelihood tree was built with IQ-TREE

under the LG+C60+F+G4 model with 1000 ultrafast bootstraps.

**Identification of ESPs.** All predicted proteins encoded by the MAGs of the five novel Asgard

lineages and Hermodarchaeota were analyzed using InterProScan[65] (v.5.47-82.0) with default

parameters to annotate protein domains and were assigned to archaeal clusters of orthologous

genes (arCOGs)[66] by eggnog-mapper (v. 2.0.1b)[67] with default settings.. The lists of InterPro

accession   numbers   (IPRs)   and   arCOG   identifiers   previously   published   by

Zaremba-Niedzwiedzka *et al.*[10] and Bulzu *et al.*[16] were used to identify potential ESPs. Some

key words related to eukaryote-specific processes or cell structures were used to search

annotation information of interProScan to identify potential ESPs previously not reported in

Asgard archaea. Several candidate ESPs were further examined using HHpred[68] with default

parameters.

**Metabolic reconstruction.** The proteome of each MAG reported in the present study was

uploaded to the KEGG Automatic Annotation Server (KAAS)[69] and run with several settings:

the GHOSTX, Prokaryotes and Bidirectional Best Hit (BBH) settings. Additionally, proteins

were queried against the nonredundant (NR) protein database (downloaded from NCBI on

February 2020) using the Diamond (v.2.0.4) BLASTp search (e-value cutoff <1e−5).

Metabolic pathways were reconstructed based on combination of the NR annotations, protein

domain information and KEGG Ontology (KO) numbers.

The dbCAN2[70] web server was used to identify carbohydrate-degrading enzymes with the

default settings. The putative large subunits of [NiFe] hydrogenases were identified by

querying against a local database based on HydDB[71] using Diamond (v.2.0.4) with an E-value

cutoff of $1e^{-20}$ and sequences containing CxxC motifs in both N-terminal and C-terminal were

considered as hydrogenases. Additionally, a local MEROPS database (downloaded September

2020)[72] searched for peptidases by Diamond (v.2.0.4) with an E-value cutoff of $1\times10^{-20}$, and

PSORT (v.3.0.2) was used to identify protein localization[73].

**Calculation of ANI and average AAI.** The ANI and AAI values were calculated using OrthoANI (v.1.2)[74] and CompareM (https://github.com/dparks1134/CompareM), respectively, with the default parameters.

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**Data availability**

The genomes of Asgard archaea generated in this study have been made available at the eLibrary of Microbial Systematics and Genomics (eLMSG; https://www.biosino.org/elmsg/index) under accession numbers LMSG_G000000610.1-LMSG_G000000628.1.

The initial phylogenetic trees have been deposited at figshare and can be accessed at the following link:

https://figshare.com/s/c20d9eccb7e4591b429c.

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**Author contributions**

558    R.Z.X., Y.Z.W. and F.P.W. conceived the study. R.Z.X., Y.Z.W., D.Y.H., H.J.L., H.N.H., L.Y.L.

559    and X.X.Z. analyzed the data. R.Z.X., Y.Z.W. and F.P.W. wrote the paper.

**Compliance and ethics**

561    The author(s) declare that they have no conflicts of interest.

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**Figure 1. Phylogenetic tree of the Asgard archaea using DPANN as an outgroup.**
Maximum-likelihood tree of 37 concatenated marker proteins inferred with the LG+F+C60+G4 model
in IQ-TREE; The bootstrap support values above 90 were shown with black filled circles. 19
representatives of DPANN, 55 representatives of Euryarchaeota, 29 representatives of TACK and 68
genomes (including five new lineages) of Asgard were used to infer phylogenetic tree.

**Figure 2. Phylogenetic affiliations of bacteria, archaea and eukaryotes. a**, Maximum likelihood
inference of 21 concatenated conserved protein sequences under the LG+F+C60+G4 model rooted in
bacteria; **b**, Maximum-likelihood analysis of 54 archaeal-eukaryotic ribosomal proteins under the
LG+F+C60+G4 model rooted in Euryarchaeota.

750 **Figure 3. Comparison of the distributions of ESPs in the five new Asgard lineages and other**

751 **representative Asgard clades**. Colored stars indicate the presence of ESPs, whereas empty stars

752 indicate the absence of ESPs. The grep box highlights ESP identified in this study. The new ESP,

753 mu/sigma subunit of AP complex, was detected in Balderarchaeote SQRJ26, Balderarchaeote SQRJ82

754 and Freyrarchaeote GB167.

**Figure 4. Inferred metabolic pathways of the five new Asgard lineages and the new clade of Hermodarchaeota based on genes identified using the KEGG database and the NCBI NR protein database**. A black line indicates that a component/process is present in representative MAGs, a grey line indicates that a component/process is present in other MAGs, and a dashed line indicates that a certain pathway or enzyme is absent from all genomes. The representatives of the different lineages are as follows: Balderarchaeota, SQRJ26; Freyrarchaeota, GB11; Njordarchaeota, GB154; Sigynarchaeota, SQRJ79; and Tyrarchaeota, WOR431; Hermodarchaeota, LGG330. Details about the genes are provided in Supplementary Table 6. Hdr, heterodisulfide reductase; TCA, tricarboxylic acid cycle; THMPT-WL, tetrahydromethanopterin Wood-Ljungdahl pathway; THF-WL, tetrahydrofolate Wood-Ljungdahl; Mrp, Mrp $Na^+/H^+$ antiporters; hyd, sulfhydrogenase; AMP, AMP phosphorylase.