Main Manuscript for

Tree encroachment threatens the conservation potential and sustainability of U.S. rangelands

Scott L. Morford[a,b,*], Brady W. Allred[a,b], Dirac Twidwell[c], Matthew O. Jones[a,b], Jeremy D. Maestas[d], David E. Naugle[b]

[a] Numerical Terradynamic Simulation Group, University of Montana, Missoula, MT 59812, USA

[b] University of Montana, W. A. Franke College of Forestry and Conservation, Missoula, MT 59812, USA

[c] Department of Agronomy and Horticulture, University of Nebraska–Lincoln, Lincoln, NE 68588, USA

[d] US Department of Agriculture, Natural Resources Conservation Service, Portland, OR 97232, USA

*Corresponding Author: Scott L. Morford, Numerical Terradynamic Simulation Group. ISB 415, University of Montana, Missoula MT, 59812. (406) 461-4910.

Email: scott.morford@ntsg.umt.edu

Author Contributions: S.M. and B.A. designed the analysis with additional input from D.T. and M.J. S.M. developed the modeling framework and performed the analysis. All authors assisted in evaluating and interpreting analysis results. S.M. wrote the paper with contributions from B.A., D.N., D.T, and M.J. All authors reviewed and provided input on the manuscript draft.

Competing Interest Statement: None.

Keywords: Woody encroachment, herbaceous production, yield gap, global change, agricultural land use, afforestation

Data Availabiltiy: Model data and code will be archived at Zenodo upon manuscript acceptance and spatial data will be accessible via our web application (https://rangelands.app/yield-gap).

This PDF file includes:

>   Main Text
>   Figures 1 to 4

Abstract

1. Rangelands of the United States provide ecosystem services that sustain biodiversity and rural economies. Native tree encroachment is a long-standing conservation challenge to these landscapes. Still, its impact is often overlooked due to the slow pace of tree invasions and the positive public perception of trees.
2. Recent innovations in satellite remote sensing permit the tracking of tree encroachment and the corresponding impact on herbaceous production. We analyzed tree cover change and herbaceous production across 17 western states from 1990 to 2019.
3. We show that tree encroachment is a dominant change agent in western U.S. rangelands; tree cover has increased by 50% (77,323 km^2) over 30 years, with more than 25% of U.S. rangelands experiencing sustained tree cover expansion. Since 1990, roughly 300 Tg of herbaceous biomass has been lost, totaling $5 billion in foregone revenue to small agricultural producers.
4. Synthesis and applications: The impact of tree encroachment to rangeland loss is similar in magnitude to row-crop conversion, another primary threat to U.S. rangelands. Prioritizing conservation efforts to prevent tree encroachment can bolster ecosystem and economic sustainability, particularly among privately-owned lands threatened by land-use conversion.

Introduction

Native trees are invading global rangeland biomes (Archer et al., 2011; Nackley et al., 2017). Fire suppression, livestock overgrazing, nutrient pollution, and increasing CO_2 emissions contribute to extensive tree encroachment to rangelands (here defined as grasslands, shrublands, and open woodlands) (Asner et al., 2004). These invasions exacerbate already substantial losses of rangelands to cropland conversion and threaten the resilience and conservation potential of grazing land biomes worldwide (Fargione et al., 2018; Hoekstra et al., 2004; Kremen and Merenlender, 2018; Veldman et al., 2015).

Tree encroachment degrades rangeland ecosystem services, including water storage and supply, habitat quality, biodiversity, and land surface albedo (Archer and Predick, 2014; Baruch-Mordo et al., 2013; Ge and Zou, 2013; Huxman et al., 2005; Mykleby et al., 2017; Rosenberg et al., 2019). Most importantly, tree cover is a key regulator of herbaceous production (the combined production of grasses and forbs; i.e., forage) upon which both wildlife and livestock

depend (Archer and Predick, 2014). Substantial effort has been invested in disentangling the

complex relationship between herbaceous production and tree cover (Anadon et al., 2014;

Archer and Predick, 2014; Scholes, 2003). Yet, critical knowledge gaps still exist for how

herbaceous production responds to tree cover expansion at large scales.

Identifying where tree encroachment impacts ecosystem services has traditionally relied on

methods such as historical image analysis, paired site investigations, and evaluations of the

pollen record (Archer et al., 1988; Asner et al., 2003; Lubetkin et al., 2017). These methods

have documented substantial tree canopy expansion in Africa, the Americas, Asia, and Australia

(Nackley et al., 2017). Unfortunately, critical intelligence on patterns, pace, and magnitude of

tree invasions to rangelands remains absent at large scales. While satellite remote sensing

tools are applied globally to track forest cover changes, these analyses typically lack the

sensitivity to track tree cover gains in rangelands or omit analysis of tree cover in rangelands

altogether (Hansen et al., 2013; Rigge et al., 2020).

Two-thirds of western U.S. rangelands are under private ownership, and conservation of these

lands requires addressing linkages between ecological and economic sustainability. Declining

ranch income hastens the conversion of intact grasslands to row-crop agriculture, energy

production, and subdivision (Allred et al., 2015; Lark, 2020). For example, row-crop conversion

has accelerated in recent years due to commodity market fluctuations and declining economic

returns from livestock production (Lark et al., 2020). Tree encroachment is thought to

exacerbate declines in ranching profitability as invading trees out-compete and displace grasses

and forbs (Anadon et al., 2014). Revealing the economic cost of tree encroachment on forage

production could help motivate livestock producers to implement proactive, conservation-

focused tree management to prevent woody encroachment and promote greater biodiversity on

their lands. However, scale-appropriate data and tools to evaluate the interaction of tree

expansion and herbaceous production have not been available.

Recent technological advances in remote sensing collectively suggest large-scale tree cover

expansion in U.S. rangelands (Allred et al., 2021; Filippelli et al., 2020; Jones et al., 2020),

consistent with decades of empirical observations (Archer et al., 2011). Related innovations in

tracking annual herbaceous production now allow for an integrated spatiotemporal analysis

across the western United States (Jones et al., 2021; Robinson et al., 2019). To assess how

tree cover expansion impacts herbaceous production, we jointly analyzed tree cover change

and herbaceous production across 17 western states for 1990 - 2019 using satellite remote

sensing. This analysis *1)* provides a spatial and temporal evaluation of tree encroachment in

rangelands and *2)* quantifies the difference between attainable and actual herbaceous

production as a function of tree cover expansion, a difference termed yield gap (van Ittersum et

al., 2013). Finally, yield gap estimates derived in this analysis are combined with grazing rental

rates from the United States Department of Agriculture (USDA) to estimate foregone revenue to

livestock producers from tree encroachment.

Materials and Methods

71 The analysis area included the 17 western-most states in the contiguous United States, an area

72 of 4.73 million km^2. Our calculations only consider production lost to trees and do not include or

73 consider additional production losses attributable to woody shrubs. Model inputs and outputs

74 consist of annualized data from 1990 to 2019 unless otherwise stated. Modeling evaluation,

75 data sources, and computational libraries used in this analysis are provided in the supplemental.

1. Rangeland Extent

The spatial extent of rangelands in the western United States was determined from Landfire

Biophysical Settings (BPS), version 1.4.0. Landfire BPS represents the dominant vegetation

system on the landscape before Euro-American settlement. Grasslands and shrublands were

identified using the BPS categorization grouping attribute, while open woodlands were selected

based on the BPS name component where 'woodland' was the primary attribute. Map units

described as barren were excluded. Our rangeland classification layer from Landfire BPS was

further modified to exclude crop agricultural and developed lands from the U.S. Geological

Survey National Land Cover Database (2016) the National Agricultural Statistical Service

Cropland Data Layer (NASS CDL). All production and monetary calculations provided in this

manuscript omit riparian and primary forest map units.

2. Tree Cover Modeling

Annual tree cover was taken from the *Rangeland Analysis Platform V2 (RAP)* cover products

(Allred et al., 2021) for the years 1990 through 2019. These data were processed using the

LandTrendr (LT) segmentation algorithm in Google Earth Engine (GEE) to denoise the time-

series data (Kennedy et al., 2010). The LandTrendr parameters used in this analysis are

summarized in **Supplemental Table 1**.

We applied two independent rules in our tree cover trend analysis to remove spurious tree-
cover trends attributable to prediction uncertainty or model error. First, for the analysis to tally a
change in pixel tree cover, the absolute difference between the maximum tree cover and
minimum tree cover in the pixel time series had to be greater than 2.8%, corresponding to the
mean absolute error (MAE) of the tree cover product (24). Second, we evaluated each pixel
segment from the LT-GEE segmentation product to determine if the change in tree cover was
an artifact of prediction uncertainty/variance (See Bayesian approximation discussion in Allred
et al., 2021). To accomplish this, we performed a Welch T-test using the tree cover and
prediction variance estimates from the first and last observation in the pixel segment (n = 4, two-
tailed, p-value = 0.01). For tree cover change to be tallied in a pixel, it had to have one or more
segments where the t-value < p-value. While imperfect, this approach was an effective
screening tool to filter change estimates for pixels with high prediction uncertainty without
implementing high computational cost Monte Carlo simulations.

Tree-free rangelands in this analysis were defined functionally as rangelands where tree cover
was less than 4%, corresponding to the minimum cover where impacts to ecosystem services
are observed (Baruch-Mordo et al., 2013). *Vulnerable tree-free rangelands* were calculated in
GEE using a 200-meter circular buffer around each pixel where tree cover was greater than
2.8% (the MAE of the tree cover model). To tally a change in the aerial extent of intact or
vulnerable rangelands, we applied a Welch T-test on the LT-GEE segmentation product using
the methods described above. Tree-free rangelands within 200 meters of tree seed source that
did not increase in tree cover over the 30s years of data were classified as intact. The
uncertainty bands for intact and vulnerable rangelands represent the minimum and maximum
calculated areas for each group by applying 1) no filtering and 2) filtering based on the LT-GEE
segmentation plus a minimum tree cover change of 2.8%.

All tree cover values presented in this manuscript represent net changes in tree cover area or
tree density.

3. Herbaceous Production & Yield Gap Modeling

We used XGBoost, a supervised machine learning library, to predict herbaceous biomass
production as a function of tree cover and other biophysical variables (Chen and Guestrin,
2016). Biomass in our model was derived from total annual herbaceous production reported by
the Rangeland Analysis Platform (Jones et al., 2021); we term this biomass variable *Observed*

Production. Conceptually and numerically, our analysis used the following general framework

(***equation 1)***.

126   *Observed Production(YR) = ƒ* (YR, MU, LP, TC$_{(yr),}$, SP)   **eqn 1.**

In our framework, *YR* represents year, MU = soil map unit, LP = landscape position, TC$_{(yr)}$ = tree

cover for year YR, SP = spatial position/coordinates. Factor variables were encoded as integers

during training and inference. Model variables, source data, and a rationale for their inclusion

are presented in **Supplemental Table 2**.

Model training and inference were performed using the XGBoost implementation from the open-

source H2O.ai library. To improve computational performance, we enabled LightGBM emulation

and implemented the dart booster drop-out method to limit overfitting. We used a cartesian grid

search for hyper-parameter selection, optimized for the lowest MAE on 10 million randomly

selected records. The optimization (loss) function used for model training was mean squared

error (MSE) with L2 regularization. Our ensemble used 60 trees with a maximum tree depth of

15. A complete list of model parameters is included in **Supplemental Table 3**.

Model training: We split our analysis area into 53 units using the spatial extent of Level-3

EcoRegions developed by the United States Environmental Protection Agency. The size of the

training data for each unit was limited to 71,582,780 records due to computational limitations;

the remaining training data were used as hold-out (test) data. In total, the models were trained

with 3.8 billion records, randomly sampled from our dataset; the remaining 5.5 billion records

were used for holdout evaluation. Evaluation of the training metrics showed that holdout MAE

values closely matched training MAE values, suggesting the model did not overfit the data

(**Supplemental Figure 1)**.

Model inference: For each pixel-year combination, the XGBoost model generates two

predictions. Prediction one estimates herbaceous production for a given year using the

corresponding tree cover for that year*;* we term this *Estimated Production (**equation 2**)*,

*Estimated Production(YR,TC$_{(yr)}$) = E[**m**| YR, MU,LP, TC$_{(yr)}$, SP*]   **eqn 2.**

where ***m*** is the model and *TC$_{(yr)}$* is the tree cover for year *YR*. Prediction two estimates the

herbaceous production for the year *YR* using the tree cover from 1990. We term prediction two

*Achievable Production (**equation 3**), it represents the herbaceous production for year YR using*
1990 tree-cover.

$\quad$ *Achievable Production(YR,TC$_{(1990)}$)* $\ =\ $ E[**m**| *YR, MU, LP, TC$_{(1990)}$, SP*] $\qquad$ **eqn 3.**

The yield gap attributable to tree cover expansion since 1990 is calculated using the difference
of these two predictions for each pixel-year combination (**equation 4**). Note that the yield gap
can be negative in cases where tree cover declines over time.

$\quad$ *Yield Gap(YR)* $\ =$ *Achievable Production(YR,TC$_{(1990)}$)* $-$
$\qquad\qquad$ *Estimated Production(YR,TC$_{(yr)}$)* $\qquad$ **eqn 4.**

Prediction error for each pixel was calculated as the absolute difference between *Observed*
*Production and Estimated Production **(equation 5)**. Model Error* was represented as the MAE
(equation 6), aggregated by year and map unit to provide some localization; *n* in **equation 6** is
the number of observations for each MU.

$\quad$ *Prediction Error(YR)* =
$\qquad$ |*Observed Production(YR)* $-$ *Estimated Production(YR,TC$_{(yr)}$)*| $\qquad$ **eqn 5.**

$\quad$ *Model Error*(MU | YR) =[∑*Prediction Error*(MU | YR)] / n $\qquad$ **eqn 6.**

For the analysis, the yield gap is only tallied when *Yield Gap > Model Error* for 3 or more
consecutive years. This prevents spikes in yield gap attributable to data noise or modeling error
from being included in lost potential production estimates. *Potential Production* in our analysis
and map products is presented as the *Observed Production* plus *Yield Gap.* Additional
information regarding sensitivity and uncertainty in our model predictions are presented in the
Supplemental.

4. Monetary calculations

We calculated the value of forage lost to tree cover expansion using our yield gap estimates
with grazing rental rates from USDA National Agricultural Statistics Service (NASS)(United
States Department of Agriculture, 2020a). We used the annual state-level data reported as
dollars per animal unit month (AUM) because these records were the most complete for our
analysis (1990 - 2019). Military and protected non-grazing lands (e.g. National Parks) were
excluded from the monetization calculations, but other federal grazing lands (i.e., BLM and

USFS allotments) were included. Missing data were imputed using a linear-interpolation of the
time series data. The value of forage production on a mass basis was calculated as follows:

$$\frac{\$}{kg\ production} = \frac{\$}{AUM} \times \frac{AUM}{kg\ forage} \times \frac{kg\ forage}{kg\ production}$$

182   **eqn. 7**

In this calculation, dollars per AUM was taken from NASS tables; AUM per kg forage was
assumed to equal to 1 / 344.73, equivalent to 760 lbs per AUM; kg forage per kg production
represents the utilization factor (*UF*), and was assumed to equal 0.40 across all locations. The
selection of the UF was data-driven: it was derived from an analysis of available NASS rental
rates in combination with herbaceous production data (***equation 8)***. The general form of the
equation was:

$$UF = \frac{kg\ forage}{AUM} \times \frac{\$}{acre} \times \frac{AUM}{\$} \times \frac{acre}{kg\ production}$$

189   **eqn. 8**

where *$/acre* and *$/AUM* represent rental rates extracted from NASS data for years 2008 -
2019. Finally, dollar estimates were inflation corrected to 2019 dollars using the consumer price
index from the Bureau of Labor Statistics(U.S. Bureau of Labor Statistics, 2020). A summary of
annual state-level yield gap and forage value data is provided in **Supplemental Table 4**.

The $90 million figure presented as tree removal conservation expenditures in the text reflects
2019 EQIP spending on *Practice 314* plus 25% private matching funds.

5. Production trends and local scale production responses to tree encroachment.

Production trends presented in **Figure 3** and **Supplemental Figure 4** represent *Observed*
Production values extracted directly from the Rangeland Analysis Platform and were
independent of yield gap calculations. In both cases, the data presented is based on a long-term
pixel-wise trend analysis using a linear fit in GEE. Production trends from **Supplemental Figure**
4 have been masked to rangelands with increasing, stable, and decreasing tree cover using the
methods described in sections 1 and 2 of the methods.

Results

Rangelands of the western United States added 77,323 ± 1,222 km^2 of trees between 1990 and 2019 (**Figure 1**), increasing absolute tree cover from 154,502 km^2 to 231,825 km^2 (**Supplemental Table 5**). Tree cover grew on average 2,577 km^2 per year, with annual increases in all years on record.

Over these 30 years, tree invasions resulted in the loss of 147,700 km^2 (range: 135,283 - 150,827 km^2) of tree-free rangelands, transitioning roughly 8% of all these lands into woodlands (**Figure 1, Panel C**). Moreover, even where tree-free rangelands persist, they are increasingly vulnerable to invasion due to their proximity to nearby tree seed sources. For example, the area of intact tree-free rangelands (rangelands greater than 200 meters from a tree seed source) declined by 15% (204,651 km^2) over the 30 years.

Tree cover expansion contributed to sizable losses in herbaceous production (**Figure 2**). Production losses totaled 302 ± 30 Tg (dry biomass) from 1990 through 2019. In 2019, the yield gap totaled 20.0 Tg, representing 5% - 6% of the potential forage production for all western U.S. rangelands (**Figure 2b**).

We found that on an inflation-corrected basis, potential revenue losses across the 17 states totaled $4.83 ± 0.53 billion over the 30 years analyzed; losses exceeded $300 million in 2019 and are increasing at a rate of roughly $11.3 million per year (**Figure 2c**). These coarse estimates suggest that revenue losses from tree encroachment to rural agricultural economies are at a minimum on the order of $100s of millions of dollars per year. Moreover, this calculation is likely conservative because it uses a tree cover basis from 1990 and excludes forage losses due to shrub encroachment.

Discussion

Our analysis shows that the conversion of grasslands to woodlands is widespread among rangelands of the United States, with estimated impacts to an area roughly the size of the country of Germany (calculated as the sum of lost and vulnerable tree-free rangelands, 352,261 km^2). Tree cover increased by 50% with roughly 25% of all western U.S. rangelands experiencing sustained tree cover expansion. These findings are consistent with model-based projections of climate-driven woodland expansion (Klemm et al., 2020; Shafer et al., 2015) and impacts from a century of wildland fire suppression (Ratajczak et al., 2014; Scholes and Archer,

1997). Given that modest increases in tree density can have outsized impacts on ecosystem

services and biodiversity in rangelands (Baruch-Mordo et al., 2013; Ge and Zou, 2013; Hamilton

et al., 2019; Herse et al., 2018; Huxman et al., 2005), these results suggest that woody

encroachment is a primary change agent across broad regions of U.S. rangelands.

The pace of tree encroachment is similar in magnitude to recent row-crop conversion, another

primary threat to the conservation and sustainability of U.S. rangelands. From 2008 to 2016,

cropland conversion of intact rangelands accelerated rapidly across the western U.S; annual

conversion rates over that period were observed to be 1,649 to 4,385 km^2 annually (median =

2,777 km^2, **Supplemental Figure 1**) (Lark et al., 2020). In comparison, the median annual loss

of rangelands to tree encroachment was 1,899 km^2 over this same period. Together, these data

suggest rangelands of the western U.S. are being lost at a rate of 1,282 hectares (3,168 acres)

per day, losses that are 68% higher than estimates based solely on row-crop conversion.

The rate of tree cover expansion varied spatially and temporally across western U.S.

rangelands (**Supplemental Figure 2**). The Central and Northern Great Plains, Great Basin, and

Intermountain West showed sustained or accelerating tree cover expansion. Texas and the

southern Great Plains showed increasing tree cover but slowing rates of cover expansion

through time. In contrast, tree cover declined in regions along the Pacific Ocean and portions of

the desert southwest. Notability, rangelands of California, and southeastern Arizona (western

Sierra Madre Piedmont) were the only regions to show sustained tree cover loss over our 30-

year analysis period.

We found that tree encroachment is creating a sizable yield gap in herbaceous production

across western U.S. rangelands. This production mediates critical ecosystem services such as

biodiversity and below-ground carbon storage and is the cornerstone of food and fiber

economics in rural rangeland economies. In an agricultural context, the 5% - 6% yield gap

observed in this analysis is comparable to sector-wide yield losses sustained to commodity

crops under extreme drought events (Lesk et al., 2016).

Spatially, yield gaps are highest in the southern Great Plains, where production potential is

greatest due to favorable climate conditions and where woody encroachment has expanded

most rapidly. However, production losses in the northern Great Plains and Great Basin are no

less substantial; proportionally, losses in these regions can be similar to or exceed losses from

more productive grazing lands of the southern Great Plains (**Supplemental Figure 3**). Rapidly

accelerating tree cover expansion and production losses in the Dakotas, Montana, Nebraska,
and Wyoming are particularly concerning; these states are central to ongoing efforts to protect
the northern Great Plains biome's connectivity and biodiversity, and are focal areas for
preventing cropland conversion (Haggerty et al., 2018; Lark, 2020). Moreover, these lands are
home to the last remaining large-scale migrations of land mammals in the contiguous United
States and critical habitat for grassland birds, some of the most imperiled wildlife on the
continent (Brennan and Kublesky, William P., 2005; Joly et al., 2019; Rosenberg et al., 2019).
California was the only state in our dataset that did not develop a yield gap over the 30-year
record (**Supplemental Table 4**).

Importantly, primary production is not fixed or constant in an era of global environmental change
(Hicke et al., 2002). We observed sizable increases in herbaceous production among
rangelands where tree cover remained constant (averaging 195.7 kg ha^{-1} yr^{-1} more production in
2019 than 1990), consistent with other studies showing increasing continental-scale productivity
(Boone et al., 2018; Hicke et al., 2002; Reeves et al., 2020). Consequently, many regions of the
western U.S. with increasing tree cover saw only moderate losses in total herbaceous
production (averaging 37.7 kg ha^{-1} yr^{-1} less production in 2019 than in 1990; **Supplemental**
Figure 4).

Locally, the imprint of increasing primary productivity buffers production losses during early tree
colonization of rangelands. We observed that herbaceous production could remain stable, or
even increase, following the inception of tree invasions and during the early development of
yield gaps (**Figure 3**). In the absence of disturbance, however, yield gaps rapidly develop as
tree cover continues to increase, resulting in large net declines in herbaceous production over
relatively short periods. For example, at the Loess Canyon site (**Figure 3 - Panel C** and **Figure**
4) a herbaceous production yield gap took roughly 20 years to develop (1990 - 2010) as tree
cover grew from 2 to 20%. Over the following five years (2010 - 2014), the yield gap expanded
dramatically, resulting in a 30% loss of potential production. These yield gap data are consistent
with field-based investigations in the Loess Canyons, experimental woody-encroachment data
from the Konza LTER (**Supplemental Text**), and literature-based reviews of tree-grass
interactions in dryland systems (Archer and Predick, 2014; Bielski et al., 2021).

These site-specific data illustrate both challenges and opportunities to address tree
encroachment in rangelands. The lag between when trees colonize and when yield gaps are
detectable may promote a perverse incentive among some land managers to tolerate tree

encroachment until an invasion reaches more advanced stages. In these cases, managing tree
encroachment becomes more expensive and complex due to removing additional tree biomass
and established seed stores (Roberts et al., 2018). Conversely, the time lag provides an
opportunity to implement cost-effective management to prevent further tree encroachment,
productivity decline, and economic harm to agricultural producers, particularly if pre-emptive
management fails to control the reproductive source of tree encroachment.

Federal conservation programs direct nearly $90 million annually in public and private funds
towards tree and brush removal to promote rangeland health (United States Department of
Agriculture, 2020b). These interventions, however, have generally been opportunistic rather
than targeted, resulting in little mitigation of tree invasions at biologically relevant scales
(Roberts et al., 2018). Directing these funds using state-of-the-art targeting, valuation, and
monitoring tools will help prioritize projects that can simultaneously promote sustainable
agricultural economics while maintaining and growing core, intact rangelands at scale (Jones et
al., 2020; Uden et al., 2019). To this end, we include a simple web-enabled map application that
visualizes and investigates yield losses attributable to tree cover expansion
(https://rangelands.app/yield-gap/).

Our modeling approach is not without limitations. The hindcast modeling method used here
does not consider future climate scenarios, so may not accurately inform future trajectories of
production responses to tree encroachment. However, herbaceous productivity is forecasted to
increase across much of western U.S. rangelands through 2100, lending some confidence that
our findings are generalizable (Boone et al., 2018; Reeves et al., 2014). Second, our monetary
estimates for lost revenues should be considered preliminary as they do not consider economic
leakage or policy and social barriers that may limit the adoption of management alternatives at
scale. Locally, our estimates for forage value agree well with independent agricultural economic
data (**Supplemental text)**. Still, more sophisticated analyses will be required to assess how
managing tree encroachment benefits ranching economics.

The loss of rangeland production and corresponding impacts to ecosystem services need to be
considered as part of global scientific advocacy efforts calling for the afforestation of rangelands
(Bastin et al., 2019). Based on the current state of climate-vegetation science, increasing tree
cover in rangelands is not a climate mitigation solution (Friedlingstein et al., 2019; Nuñez et al.,
2021). Tree encroachment can increase carbon storage in rangelands (Asner et al., 2003;
Connell et al., 2020), but these carbon storage gains are typically offset by reducing land-

surface albedo at mid- to high- latitudes (Bala et al., 2007; Betts et al., 2007). For example,

across the northern Great Plains of North America, tree cover would need to reach 95+% to

contribute to climate cooling; such a scenario would result in the wholescale collapse of the

northern Great Plains and its biodiversity therein (Hoekstra et al., 2004; Mykleby et al., 2017).

The most effective climate mitigation strategy for rangelands remains preventing grassland

conversion to row-crop agriculture and ensuring the massive carbon reservoirs found in

rangeland soils are not lost to the atmosphere by landuse conversion (Bossio et al., 2020;

Hoekstra et al., 2004).

Conclusion

Identifying where tree encroachment creates yield gaps and how forage loss impacts ranching

revenues provides a new mechanism to focus private-land conservation efforts on economically

sustainable outcomes that prevent land-use conversion. Herbaceous production is the

cornerstone of food and fiber economics in working rangelands and evaluating it like other

agricultural commodities or products provides a means to stack market incentives with other

conservation subsidies. Preventing yield gaps in rangelands is a novel and landscape-scale

conservation strategy that will conserve a vast array of rangeland ecosystem services,

ultimately benefiting nature and society.

Acknowledgments

347   This work was made possible by the USDA-Natural Resources Conservation Service's (NRCS)

348   Conservation Effects Assessment Project - Wildlife Component, the NRCS's Working Lands for

349   Wildlife, the Arkansas Game & Fish Commission through cooperative agreement 1434-

350   04HQRU1567, and the Bureau of Land Management. Any use of trade, firm, or product names

351   is for descriptive purposes only and does not imply endorsement by the U.S. Government.

References

Allred, B.W., Bestelmeyer, B.T., Boyd, C.S., Brown, C., Davies, K.W., Ellsworth, L.M., Erickson, T.A., Fuhlendorf, S.D., Griffiths, T.V., Jansen, V., Jones, M.O., Karl, J., Maestas, J.D., Maynard, J.J., McCord, S.E., Naugle, D.E., Starns, H.D., Twidwell, D., Uden, D.R., 2021. Improving Landsat predictions of rangeland fractional cover with multitask learning and uncertainty. Methods Ecol. Evol. 12 . https://doi.org/10.1111/2041-210X.13564

Allred, B.W., Smith, W.K., Twidwell, D., Haggerty, J.H., Running, S.W., Naugle, D.E., Fuhlendorf, S.D., 2015. Ecosystem services lost to oil and gas in North America. Science 348, 401–402. https://doi.org/10.1126/science.aaa4785

Anadon, J.D., Sala, O.E., Turner, B.L., Bennett, E.M., 2014. Effect of woody-plant encroachment on livestock production in North and South America. Proc. Natl. Acad. Sci. 111, 12948–12953. https://doi.org/10.1073/pnas.1320585111

Archer, S., Scifres, C., Bassham, C.R., Maggio, R., 1988. Autogenic Succession in a Subtropical Savanna: Conversion of Grassland to Thorn Woodland. Ecol. Monogr. 58, 111–127. https://doi.org/10.2307/1942463

Archer, S.R., Davies, K.W., Fulbright, T.E., McDaniel, K.C., Wilcox, B.P., Predick, K.I., 2011. Brush Management as a Rangeland Conservation Strategy: A Critical Evaluation, in: Briske, D.D. (Ed.), Conservation Benefits of Rangeland Practices: Assessment, Recommendations, and Knowledge Gaps. USDA Natural Resources Conservation Service, p. 66.

Archer, S.R., Predick, K.I., 2014. An ecosystem services perspective on brush management: research priorities for competing land-use objectives. J. Ecol. 14.

Asner, G.P., Archer, S., Hughes, R.F., Ansley, R.J., Wessman, C.A., 2003. Net changes in regional woody vegetation cover and carbon storage in Texas Drylands, 1937–1999. Glob. Change Biol. 9, 316–335. https://doi.org/10.1046/j.1365-2486.2003.00594.x

Asner, G.P., Elmore, A.J., Olander, L.P., Martin, R.E., Harris, A.T., 2004. Grazing systems, ecosystem responses, and global change. Annu. Rev. Environ. Resour. 45. https://doi.org/10.1146/annurev.energy.29.062403.102142

Bala, G., Caldeira, K., Wickett, M., Phillips, T.J., Lobell, D.B., Delire, C., Mirin, A., 2007. Combined climate and carbon-cycle effects of large-scale deforestation. Proc. Natl. Acad. Sci. 104, 6550–6555. https://doi.org/10.1073/pnas.0608998104

Baruch-Mordo, S., Evans, J.S., Severson, J.P., Naugle, D.E., Maestas, J.D., Kiesecker, J.M., Falkowski, M.J., Hagen, C.A., Reese, K.P., 2013. Saving sage-grouse from the trees: A proactive solution to reducing a key threat to a candidate species. Biol. Conserv. 167, 233–241. https://doi.org/10.1016/j.biocon.2013.08.017

Bastin, J.-F., Finegold, Y., Garcia, C., Mollicone, D., Rezende, M., Routh, D., Zohner, C.M., Crowther, T.W., 2019. The global tree restoration potential. Science 365, 76–79. https://doi.org/10.1126/science.aax0848

Betts, R.A., Falloon, P.D., Goldewijk, K.K., Ramankutty, N., 2007. Biogeophysical effects of land use on climate: Model simulations of radiative forcing and large-scale temperature change. Agric. For. Meteorol., The Contribution of Agriculture to the State of Climate 142, 216–233. https://doi.org/10.1016/j.agrformet.2006.08.021

Bielski, C.H., Scholtz, R., Donovan, V.M., Allen, C.R., Twidwell, D., 2021. Overcoming an "irreversible" threshold: A 15-year fire experiment. J. Environ. Manage. 291, 112550. https://doi.org/10.1016/j.jenvman.2021.112550

Boone, R.B., Conant, R.T., Sircely, J., Thornton, P.K., Herrero, M., 2018. Climate change impacts on selected global rangeland ecosystem services. Glob. Change Biol. 24, 1382–1393. https://doi.org/10.1111/gcb.13995

Bossio, D.A., Cook-Patton, S.C., Ellis, P.W., Fargione, J., Sanderman, J., Smith, P., Wood, S., Zomer, R.J., von Unger,

M., Emmer, I.M., Griscom, B.W., 2020. The role of soil carbon in natural climate solutions. Nat. Sustain. 3,
391–398. https://doi.org/10.1038/s41893-020-0491-z

Brennan, L.A., Kublesky, William P., 2005. North American grassland Birds: an unfolding conservation crisis? J.
Wildl. Manag. 69, 1–13.

Chen, T., Guestrin, C., 2016. XGBoost: A Scalable Tree Boosting System, in: Proceedings of the 22nd ACM SIGKDD
International Conference on Knowledge Discovery and Data Mining. Presented at the KDD '16: The 22nd
ACM SIGKDD International Conference on Knowledge Discovery and Data Mining, ACM, San Francisco
California USA, pp. 785–794. https://doi.org/10.1145/2939672.2939785

Connell, R.K., Nippert, J.B., Blair, J.M., 2020. Three Decades of Divergent Land Use and Plant Community Change
Alters Soil C and N Content in Tallgrass Prairie. J. Geophys. Res. Biogeosciences 125.
https://doi.org/10.1029/2020JG005723

Fargione, J.E., Bassett, S., Boucher, T., Bridgham, S.D., Conant, R.T., Cook-Patton, S.C., Ellis, P.W., Falcucci, A.,
Fourqurean, J.W., Gopalakrishna, T., Gu, H., Henderson, B., Hurteau, M.D., Kroeger, K.D., Kroeger, T., Lark,
T.J., Leavitt, S.M., Lomax, G., McDonald, R.I., Megonigal, J.P., Miteva, D.A., Richardson, C.J., Sanderman, J.,
Shoch, D., Spawn, S.A., Veldman, J.W., Williams, C.A., Woodbury, P.B., Zganjar, C., Baranski, M., Elias, P.,
Houghton, R.A., Landis, E., McGlynn, E., Schlesinger, W.H., Siikamaki, J.V., Sutton-Grier, A.E., Griscom,
B.W., 2018. Natural climate solutions for the United States. Sci. Adv. 4, eaat1869.
https://doi.org/10.1126/sciadv.aat1869

Filippelli, S.K., Falkowski, M.J., Hudak, A.T., Fekety, P.A., Vogeler, J.C., Khalyani, A.H., Rau, B.M., Strand, E.K., 2020.
Monitoring pinyon-juniper cover and aboveground biomass across the Great Basin. Environ. Res. Lett. 15,
025004. https://doi.org/10.1088/1748-9326/ab6785

Friedlingstein, P., Allen, M., Canadell, J.G., Peters, G.P., Seneviratne, S.I., 2019. Comment on "The global tree
restoration potential." Science 366, eaay8060. https://doi.org/10.1126/science.aay8060

Ge, J., Zou, C., 2013. Impacts of woody plant encroachment on regional climate in the southern Great Plains of the
United States. J. Geophys. Res. Atmospheres 118, 9093–9104. https://doi.org/10.1002/jgrd.50634

Haggerty, J.H., Auger, M., Epstein, K., 2018. Ranching Sustainability in the Northern Great Plains: An Appraisal of
Local Perspectives. Rangelands 40, 83–91. https://doi.org/10.1016/j.rala.2018.03.005

Hamilton, B.T., Roeder, B.L., Horner, M.A., 2019. Effects of Sagebrush Restoration and Conifer Encroachment on
Small Mammal Diversity in Sagebrush Ecosystem. Rangel. Ecol. Manag. 72.
https://doi.org/10.1016/j.rama.2018.08.004

Hansen, M.C., Potapov, P.V., Moore, R., Hancher, M., Turubanova, S.A., Tyukavina, A., Thau, D., Stehman, S.V.,
Goetz, S.J., Loveland, T.R., Kommareddy, A., Egorov, A., Chini, L., Justice, C.O., Townshend, J.R.G., 2013.
High-Resolution Global Maps of 21st-Century Forest Cover Change. Science 342, 850–853.
https://doi.org/10.1126/science.1244693

Herse, M.R., With, K.A., Boyle, W.A., 2018. The importance of core habitat for a threatened species in changing
landscapes. J. Appl. Ecol. 55, 2241–2252. https://doi.org/10.1111/1365-2664.13234

Hicke, J.A., Asner, G.P., Randerson, J.T., Tucker, C., Los, S., Birdsey, R., Jenkins, J.C., Field, C., 2002. Trends in North
American net primary productivity derived from satellite observations, 1982-1998. Glob. Biogeochem.
Cycles 16, 2-1-2–14. https://doi.org/10.1029/2001GB001550

Hoekstra, J.M., Boucher, T.M., Ricketts, T.H., Roberts, C., 2004. Confronting a biome crisis: global disparities of
habitat loss and protection: Confronting a biome crisis. Ecol. Lett. 8, 23–29.
https://doi.org/10.1111/j.1461-0248.2004.00686.x

Huxman, T.E., Wilcox, B.P., Breshears, D.D., Scott, R.L., Snyder, K.A., Small, E.E., Hultine, K., Pockman, W.T.,
Jackson, R.B., 2005. Ecohydrological implications of woody plant encroachment. Ecology 86, 308–319.
https://doi.org/10.1890/03-0583

Joly, K., Gurarie, E., Sorum, M.S., Kaczensky, P., Cameron, M.D., Jakes, A.F., Borg, B.L., Nandintsetseg, D., Hopcraft,
J.G.C., Buuveibaatar, B., Jones, P.F., Mueller, T., Walzer, C., Olson, K.A., Payne, J.C., Yadamsuren, A.,
Hebblewhite, M., 2019. Longest terrestrial migrations and movements around the world. Sci. Rep. 9,
15333. https://doi.org/10.1038/s41598-019-51884-5

Jones, M.O., Naugle, D.E., Twidwell, D., Uden, D.R., Maestas, J.D., Allred, B.W., 2020. Beyond Inventories:
Emergence of a New Era in Rangeland Monitoring. Rangel. Ecol. Manag. 73, 577–583.
https://doi.org/10.1016/j.rama.2020.06.009

Jones, M.O., Robinson, N.P., Naugle, D.E., Maestas, J.D., Reeves, M.C., Lankston, R.W., Allred, B.W., 2021. Annual
and 16-Day Rangeland Production Estimates for the Western United States. Rangel. Ecol. Manag. 77, 112–
117. https://doi.org/10.1016/j.rama.2021.04.003

Kennedy, R.E., Yang, Z., Cohen, W.B., 2010. Detecting trends in forest disturbance and recovery using yearly
Landsat time series: 1. LandTrendr — Temporal segmentation algorithms. Remote Sens. Environ. 114,
2897–2910. https://doi.org/10.1016/j.rse.2010.07.008

Klemm, T., Briske, D.D., Reeves, M.C., 2020. Potential natural vegetation and NPP responses to future climates in
the U.S. Great Plains. Ecosphere 11. https://doi.org/10.1002/ecs2.3264

Kremen, C., Merenlender, A.M., 2018. Landscapes that work for biodiversity and people. Science 362, eaau6020.
https://doi.org/10.1126/science.aau6020

Lark, T.J., 2020. Protecting our prairies: Research and policy actions for conserving America's grasslands. Land Use
Policy 97, 104727. https://doi.org/10.1016/j.landusepol.2020.104727

Lark, T.J., Spawn, S.A., Bougie, M., Gibbs, H.K., 2020. Cropland expansion in the United States produces marginal
yields at high costs to wildlife. Nat. Commun. https://doi.org/10.1038/s41467-020-18045-z

Lesk, C., Rowhani, P., Ramankutty, N., 2016. Influence of extreme weather disasters on global crop production.
Nature 529, 84–87. https://doi.org/10.1038/nature16467

Lubetkin, K.C., Westerling, A.L., Kueppers, L.M., 2017. Climate and landscape drive the pace and pattern of conifer
encroachment into subalpine meadows. Ecol. Appl. 27, 1876–1887. https://doi.org/10.1002/eap.1574

Mykleby, P.M., Snyder, P.K., Twine, T.E., 2017. Quantifying the trade-off between carbon sequestration and albedo
in midlatitude and high-latitude North American forests. Geophys. Res. Lett. 44, 2493–2501.
https://doi.org/10.1002/2016GL071459

Nackley, L.L., West, A.G., Skowno, A.L., Bond, W.J., 2017. The Nebulous Ecology of Native Invasions. Trends Ecol.
Evol. 32, 814–824. https://doi.org/10.1016/j.tree.2017.08.003

Nuñez, M.A., Davis, K.T., Dimarco, R.D., Peltzer, D.A., Paritsis, J., Maxwell, B.D., Pauchard, A., 2021. Should tree
invasions be used in treeless ecosystems to mitigate climate change? Front. Ecol. Environ. 19, 334–341.
https://doi.org/10.1002/fee.2346

Ratajczak, Z., Nippert, J.B., Briggs, J.M., Blair, J.M., 2014. Fire dynamics distinguish grasslands, shrublands and
woodlands as alternative attractors in the Central Great Plains of North America. J. Ecol. 102, 1374–1385.
https://doi.org/10.1111/1365-2745.12311

Reeves, M.C., Hanberry, B.B., Wilmer, H., Kaplan, N.E., Lauenroth, W.K., 2020. An Assessment of Production Trends
on the Great Plains from 1984 to 2017. Rangel. Ecol. Manag. S1550742420300117.
https://doi.org/10.1016/j.rama.2020.01.011

Reeves, M.C., Moreno, A.L., Bagne, K.E., Running, S.W., 2014. Estimating climate change effects on net primary
production of rangelands in the United States. Clim. Change 126, 429–442.
https://doi.org/10.1007/s10584-014-1235-8

Rigge, M., Homer, C., Cleeves, L., Meyer, D.K., Bunde, B., Shi, H., Xian, G., Schell, S., Bobo, M., 2020. Quantifying
Western U.S. Rangelands as Fractional Components with Multi-Resolution Remote Sensing and In Situ

485   Data. Remote Sens. 12, 412. https://doi.org/10.3390/rs12030412

486   Roberts, C.P., Uden, D.R., Allen, C.R., Twidwell, D., 2018. Doublethink and scale mismatch polarize policies for an
487   invasive tree. PLOS ONE 13, e0189733. https://doi.org/10.1371/journal.pone.0189733

488   Robinson, N.P., Jones, M.O., Moreno, A., Erickson, T.A., Naugle, D.E., Allred, B.W., 2019. Rangeland Productivity
489   Partitioned to Sub-Pixel Plant Functional Types. Remote Sens. 11, 1427.
490   https://doi.org/10.3390/rs11121427

491   Rosenberg, K.V., Dokter, A.M., Blancher, P.J., Sauer, J.R., Smith, A.C., Smith, P.A., Stanton, J.C., Panjabi, A., Helft, L.,
492   Parr, M., Marra, P.P., 2019. Decline of the North American avifauna. Science 366, 120–124.
493   https://doi.org/10.1126/science.aaw1313

494   Scholes, R.J., 2003. Convex Relationships in Ecosystems Containing Mixtures of Trees and Grass. Environ. Resour.
495   Econ. 26, 559–574.

496   Scholes, R.J., Archer, S.R., 1997. Tree-Grass Interactions in Savannas. Annu. Rev. Ecol. Syst. 28, 517–544.
497   https://doi.org/10.1146/annurev.ecolsys.28.1.517

498   Shafer, S.L., Bartlein, P.J., Gray, E.M., Pelltier, R.T., 2015. Projected Future Vegetation Changes for the Northwest
499   United States and Southwest Canada at a Fine Spatial Resolution Using a Dynamic Global Vegetation
500   Model. PLOS ONE 10, e0138759. https://doi.org/10.1371/journal.pone.0138759

501   Uden, D.R., Twidwell, D., Allen, C.R., Jones, M.O., Naugle, D.E., Maestas, J.D., Allred, B.W., 2019. Spatial Imaging
502   and Screening for Regime Shifts. Front. Ecol. Evol. 7, 407. https://doi.org/10.3389/fevo.2019.00407

503   United States Department of Agriculture, 2020a. National Agriculture Statistics Service Quick Stats Database.
504   https://quickstats.nass.usda.gov/ (accessed December, 2020)

505   United States Department of Agriculture, 2020b. EQIP Data Dashboards. Washington DC.
506   https://www.farmers.gov/data/eqip (accessed December, 2020).

507   U.S. Bureau of Labor Statistics, 2020. Consumer Price Index (CPI) Database. https://www.bls.gov/cpi/data.htm
508   (accessed December, 2020).

509   van Ittersum, M.K., Cassman, K.G., Grassini, P., Wolf, J., Tittonell, P., Hochman, Z., 2013. Yield gap analysis with
510   local to global relevance—A review. Field Crops Res. 14.

511   Veldman, J.W., Overbeck, G.E., Negreiros, D., Mahy, G., Le Stradic, S., Fernandes, G.W., Durigan, G., Buisson, E.,
512   Putz, F.E., Bond, W.J., 2015. Where Tree Planting and Forest Expansion are Bad for Biodiversity and
513   Ecosystem Services. BioScience 65, 1011–1018. https://doi.org/10.1093/biosci/biv118

Figure 1. Tree cover expansion in western U.S. rangelands (1990 - 2019). a) Net tree canopy
expansion in rangelands shown in green; converted agricultural lands and built environment
shown in white. b) Cumulative net tree cover expansion totaled 77,323 km^2 over 30 years; error
bands represent the cumulative 95% prediction interval. c) Woodland extensification has
resulted in an 8% decrease (147,700 km^2) of tree-free rangelands in the past 30 years. Intact
tree-free rangelands (lands without a nearby tree seed source) have declined more rapidly than
the whole as more tree-free rangelands have tree seed sources within 200 meters (defined here
as vulnerable lands).

Figure 2. Herbaceous production yield gap attributable to tree cover expansion: 1990 - 2019. a)
Map of 2019 yield gap; converted agricultural lands and built environment shown in white. b)
Total annual yield gap; includes dry herbaceous biomass (grass and forb); c) Annual lost
revenue to ranching operations from tree cover expansion in the western United States. Model
uncertainty is discussed in detail in the supplement.

Figure 3. Localized relationships between tree cover change and herbaceous production from years 1990 - 2019 for five diverse locations across U.S rangelands. Impacts on herbaceous production from tree encroachment vary by location due to local biophysical conditions and climate. These data are calculated at the county scale and are truncated at ±20% to emphasize dominant threshold behavior.

Figure 4. Local-scale evaluation of tree encroachment and yield gap development in the Loess Canyons, Nebraska USA (W100.44° N40.95°). Herbaceous production decreased by 34% percent between 1990 and 2019. **(Top)** Time series aerial imagery shows the dramatic expansion of Eastern Red Cedar (*Juniperus virginiana)*. (**Bottom**) Time-series and spatial trends in achievable and observed forage production show the forage yield gap's evolution as a function of tree cover expansion.