

1 **Constrained evolutionary paths to macrolide resistance in a *Neisseria* commensal**  
2 **converge on ribosomal genes through sequence duplication**

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20 antibiotic resistance

21  
22 **Abstract**

23 *Neisseria* commensals are an indisputable source of resistance for their pathogenic  
24 relatives; however, the evolutionary paths commensal species take to reduced susceptibility in  
25 this genus have been relatively underexplored. Here, we leverage *in vitro* selection as a  
26 powerful screen to identify the genetic adaptations that produce azithromycin resistance ( $\leq 2$   
27  $\mu\text{g/mL}$ ) in the *Neisseria* commensal, *N. elongata*. Across multiple lineages (n=7/16), we find  
28 mutations encoding resistance converge on the gene encoding the 50S ribosomal L34 protein  
29 (*rpmH*) and the intergenic region proximal to the 30S ribosomal S3 protein (*rpsC*) through  
30 duplication events. Importantly, one of the laboratory evolved mutations in *rpmH* is identical, and  
31 two nearly identical, to those recently reported to confer high-level resistance to azithromycin in  
32 *N. gonorrhoeae*. Transformations into the ancestral *N. elongata* lineage confirmed the causality  
33 of both *rpmH* and *rpsC* mutations. Though most lineages inheriting duplications suffered *in vitro*  
34 fitness costs, one variant showed no growth defect, suggesting the possibility that it may be

35 sustained in natural populations. Finally, we assessed the potential of horizontal transfer of  
36 derived resistance mutations into multiple strains of *N. gonorrhoeae*. Though we were unable to  
37 transform *N. gonorrhoeae* in this case, studies like this will be critical for predicting commensal  
38 alleles that are at risk of rapid dissemination into pathogen populations.

39

#### 40 **Importance**

41 Commensal bacterial populations have been increasingly recognized for their importance as  
42 sources of resistance for pathogens, however the collection of antimicrobial resistance (AMR)  
43 mechanisms within these communities are often understudied. The risk of reduced antibiotic  
44 susceptibility as a result of horizontal gene transfer (HGT) is amplified in highly recombinogenic  
45 genera, such as the *Neisseria*. Indeed, there have been multiple documented cases of  
46 macrolide and beta-lactam resistance acquisition in the pathogen *N. gonorrhoeae* from close  
47 commensal relatives. This work uncovers multiple novel azithromycin resistance-conferring  
48 mutations in a *Neisseria* commensal through experimental evolution, investigates their fitness  
49 impacts, and explores the possibility of transfer to *N. gonorrhoeae*. Ultimately these types of  
50 studies will illuminate those resistance mutations that may rapidly be acquired across species  
51 boundaries.

52

#### 53 **Introduction**

54 Commensal bacterial populations have been increasingly recognized for their importance as  
55 sources of adaptive genetic variation for pathogens through horizontal gene transfer (HGT).  
56 This threat of rapid evolution as a result of DNA donation is especially amplified in highly  
57 recombinogenic genera, such as the *Neisseria*. Members of this genus readily donate DNA to  
58 one another through pilus-mediated *Neisseria*-specific DNA uptake and homologous  
59 recombination (1-3). Observations of genetic mosaicism, whereby loci within a particular lineage  
60 have been acquired from another species, are common (4-11) and occur genome-wide (12, 13).  
61 This promiscuous allelic exchange has been documented to have facilitated rapid adaptive  
62 evolution of important phenotypic characteristics such as antimicrobial resistance (6, 8-10) and  
63 body-site colonization niche shifts (11); and overall, recently incorporated mosaic sequences  
64 show signatures consistent with positive selection (12), suggesting that intragenus  
65 recombination is an important source of beneficial genetic variation.

66 The genus *Neisseria* is comprised of several Gram-negative species that typically colonize  
67 the mucosa of humans and animals. Most human-associated *Neisseria* inhabit the naso- and  
68 oropharynx, and are carried harmlessly as commensals in 10-15% of healthy human adults and

69 children (14, 15). Only one species, *N. gonorrhoeae* (Ngo), is an obligate pathogen which also  
70 colonizes the urogenital tract and rectum, and causes the sexually transmitted infection  
71 gonorrhea (16). In recent years it has become increasingly clear that *Neisseria* commensals  
72 serve as important reservoirs of adaptive genetic variation for Ngo through HGT (6, 8, 9, 12,  
73 17); however, most of the non-pathogenic *Neisseria* have been infrequently characterized as  
74 they rarely cause systemic or life-threatening disease, except in immunocompromised  
75 individuals (18, 19).

76 Horizontal transfer and subsequent spread of commensal *Neisseria* resistance mechanisms  
77 has historically played a large role in rendering antibiotic therapies ineffective in Ngo. Reduced  
78 drug susceptibility in commensal *Neisseria* populations has been shown to be directly selected  
79 for after antibiotic usage (20), and thus these species will always be a persistent threat for  
80 resistance donation. In a U.S. gonococcal population dataset, over 11% of reduced  
81 susceptibility to azithromycin was acquired through inheritance of commensal *transferable efflux*  
82 *pump (mtr)* alleles (8-10). Additionally, the majority of resistance to third-generation  
83 cephalosporins in gonococci is derived through mosaic *penicillin-binding protein 2 (penA)* alleles  
84 gained from close commensal relatives (6, 10). Though studies that characterize the resistance  
85 genotypes and phenotypes in panels of commensal *Neisseria* (such as (17, 21, 22)) will aid in  
86 prospective surveillance for novel resistance that may be rapidly acquired by Ngo, the utility of  
87 these approaches is ultimately limited by extensive under sampling of natural commensal  
88 populations.

89 Experimental evolution can be leveraged as an alternative to phenotyping and genotyping  
90 large panels of bacteria, as a powerful screen for the genetic adaptations that underly antibiotic  
91 resistance in the commensal *Neisseria*, and thus may be at risk of HGT to Ngo. Laboratory  
92 evolution experiments reveal the spontaneous mutations caused by DNA replication and repair  
93 errors which increase mean fitness in new selective environments (23), and are ideal to  
94 illuminate the mechanisms of adaptation in bacteria due to their short generation times. *In vitro*  
95 selection has previously been used successfully to nominate mechanisms underlying  
96 ceftriaxone and azithromycin reduced susceptibility in Ngo (24, 25), and thus is a promising tool  
97 for illuminating new mechanisms of resistance in *Neisseria* commensals.

98 In this study, we use experimental evolution to investigate the potential for a *Neisseria*  
99 commensal (*N. elongata*, Nel) to evolve resistance to the macrolide antibiotic azithromycin,  
100 which until this year (26) was recommended as a first-line antibiotic, in combination with  
101 ceftriaxone, for the treatment of uncomplicated cases of gonorrhea in the United States. Here,  
102 we characterize the evolutionary response of Nel replicate lineages to azithromycin and

103 consider if there is a single or multiple adaptive solutions to selection. Furthermore, we assess  
104 the fitness costs of derived mutations, and their potential for transfer into Ngo.

105

## 106 **Results**

### 107 **Evolutionary trajectories to macrolide resistance in *N. elongata***

108 *N. elongata* AR Bank #0945 was selected to explore the evolutionary paths to  
109 azithromycin resistance in a *Neisseria* commensal. *N. elongata* AR Bank #0945 has been tested  
110 for its minimum inhibitory concentration (MIC) to azithromycin (0.38 µg/mL) and sequenced  
111 (SAMN15454046) by our group previously (21). In this study, a draft genome was assembled as  
112 an ancestral reference for all derived lineages. The assembly contained 2,572,594 bps, and  
113 2,509 annotated genes (JAFEUH000000000).

114 Selective pressure was applied to sixteen replicates of AR Bank #0945 by creating a  
115 concentration gradient of azithromycin on standard growth media via application of Etest strips  
116 (Figure 1A). Cells were selected for passaging by sweeping the entire zone of inhibition (ZOI)  
117 and a 1 cm band in the bacterial lawn adjacent to the ZOI (Figure 1A). After 20 days, or  
118 approximately 480 generations, the average MIC value for all evolved cell populations increased  
119 to 7.6 µg/mL, which was significantly higher compared to day one values ( $W = 98.5$ ,  $P =$   
120  $0.0004$ ), and ranged from 0.19 to 48 µg/mL (Figure 1B and C). Control populations with no drug  
121 selection ( $n=4$ ), showed no increase in MIC compared to the ancestral stock. To mitigate the  
122 possibility of heterogenous cultures at the termination of the experiment, a single colony was  
123 selected from each evolved and control population for further MIC testing and genomic  
124 sequencing. MIC values for drug-selected single colony picks tended to be higher than those  
125 recorded for population values, and ranged from 0.5 to 64 µg/mL, with an average value of 14.4  
126 µg/mL (Table 1).

127 Evolved cell lines were sequenced and aligned to the *N. elongata* AR Bank #0945 draft  
128 assembly to nominate derived polymorphisms. Mutations that were shared with control strains,  
129 or those shared with ancestral reads mapped back to the reference assembly, were not further  
130 considered. In total, 37 derived mutations were identified across all sequenced strains (Table 2).  
131 The most frequently observed mutations were found in the glucokinase encoded by *glk* ( $n=13$   
132 lineages), followed by those in *rpmH* encoding the 50S ribosomal protein L34 ( $n=4$ ), and  
133 mutations in the intergenic region proximal to *rpsC* encoding the 30S ribosomal S3 protein  
134 ( $n=3$ ). Unique mutations observed within annotated genes, were present in the coding domains  
135 for: the capsular polysaccharide phosphotransferase (encoded by *cps12A*), isocitrate lyase  
136 (*aceA*), RNA 2'-phosphotransferase (*kptA*), the di-/tripeptide transporter (*dptT*), and the

137 bifunctional (p)ppGpp synthase/hydrolase (*spoT*). All strains with azithromycin MIC values  $\geq 2$   
138  $\mu\text{g/mL}$  (the Clinical & Laboratory Standards Institute (CLSI) reduced susceptibility breakpoint for  
139 *N. gonorrhoeae* (27)) were associated inheritance of mutations in either *rpmH* or the intergenic  
140 region proximal to *rpsC*.

141

### 142 **Confirmation of the causality of high-level resistance encoding mutations**

143 To assess the causality of the mutations encoding macrolide resistance in *N. elongata*  
144 AR Bank #0945, the ancestral stock was transformed with genomic DNA from evolved cell lines  
145 with MIC values  $\geq 2 \mu\text{g/mL}$  ( $n=7$ ). Genomic DNA from evolved lineages successfully  
146 transformed the ancestral stock in all cases. To identify causal loci, three colonies from each  
147 transformation were selected to characterize the polymorphisms which had been inherited from  
148 donor strains that were not present in the AR Bank #0945 ancestral recipient. The only region  
149 inherited across replicate transformant colony picks contained either mutations in *rpmH* (DNA  
150 donated from AM1, CBW4, JA1, or LT1), or the intergenic region near *rpsC* (DNA donated from  
151 CBW6, LJT1, or MRS1) (Table 2). Sanger sequencing confirmed the identity and presence of  
152 these mutations (Figure 2), and translation of *rpmH* duplications at the amino acid level  
153 indicated the in-frame insertions: 8SVTKRKRT15, 7LKRTYQ12, and 8HIMKRTYQ15 (Figure 3).

154 In most cases recovered transformants had azithromycin MICs that perfectly mirrored  
155 the donor strain phenotypes. However, all three transformants with CBW4 as a donor  
156 consistently had phenotypes of 48  $\mu\text{g/mL}$ , one dilution below the donor strain phenotype of 64  
157  $\mu\text{g/mL}$  (Table 1). Additionally, LJT1 transformants had MIC values of 12  $\mu\text{g/mL}$ , also one dilution  
158 below the donor strain phenotype (Table 1). Finally, one of the three AM1 transformants (T-  
159 AM1-3) had a lower MIC (12  $\mu\text{g/mL}$ ) than the other transformants and the AM1 donor strain (24  
160  $\mu\text{g/mL}$ ).

161

### 162 **Most novel ribosomal variants reduce *in vitro* fitness**

163 In order to evaluate the fitness costs of azithromycin resistance-conferring mutations, the  
164 optical densities of transformant cells lines were compared to the ancestral *N. elongata* AR  
165 Bank #0945 strain over a 21-hour period (Figure 4A). At hour 21,  $\text{OD}_{600}$  values for AR-0945  
166 replicates ranged from 0.68 to 0.81 ( $n=6$ ); and six of the seven transformants had significantly  
167 lower optical densities (Figure 4B; Tukey's HSD,  $p < 0.001$ ).  $\text{OD}_{600}$  values for T-LJT-1 however  
168 ranged from 0.70-0.78 ( $n=6$ ), which were not significantly different compared to the ancestral  
169 strain (Figure 4B; Tukey's HSD,  $p < 0.99$ ).

170

171 ***N. elongata* ribosomal variants are inefficient or incapable of transfer to a pathogenic**  
172 **relative**

173 *Neisseria* commensals are known sources of resistance for Ngo (6, 8-10), and therefore  
174 we test the ability of the evolved ribosomal duplication mutations uncovered in this study to be  
175 transferred into multiple Ngo strains. To establish a baseline rate of transformation for these  
176 alleles, we first quantify the number of transformants recovered for *N. elongata* AR Bank #0945  
177 transformed with genomic DNA from evolved cell lines. In brief, we provided 100 ng of genomic  
178 DNA from evolved lineages in AR Bank #0945 cell suspensions, and after a 4-hour period  
179 allowing for the expression of any newly acquired alleles, selected on 4 µg/mL azithromycin.  
180 The percentage of colonies recovered on selective media as compared to colonies recovered  
181 on non-selective media was then calculated across three replicate trials, and averaged between  
182 0.0003 to 0.0017 percent for all DNA (Figure 5).

183 Though in natural populations of *Neisseria* HGT from commensals to Ngo has been  
184 repeatedly demonstrated, recent work has shown that commensal DNA is toxic to Ngo (28, 29).  
185 Ngo uptakes DNA through a Type IV pilus (Tfp)-based system, which binds preferentially to  
186 *Neisseria*-specific DNA (1-3). This DNA is then transported across the cell wall, and becomes  
187 integrated via homologous recombination into the genome by RecA. However, since Nel and  
188 Ngo have different intrinsic methylases, Ngo restriction enzyme(s) cleave incorporated DNA at  
189 heteroduplexes with Nel methylation signatures, resulting in the loss of chromosome integrity  
190 and cell death (28, 29). Nel DNA has been shown to be rendered less toxic through *in vitro*  
191 methylation using M.CviPI and M.SssI methyltransferases to modify cytosines in CpG and GpC  
192 motifs (28, 29).

193 Thus, transformation of Nel DNA was attempted with methylation modification (via  
194 M.CviPI and M.SssI methyltransferases) into naturally competent pilated Ngo 28BI and FA1090  
195 stocks. In all cases we recovered no colonies on selective media (Figure 5), following the same  
196 transformation protocol as used for Nel within this study, and which we have used previously for  
197 transformation of Ngo with Ngo DNA (8).

198

199 **Discussion**

200 Multiple studies have demonstrated that commensal *Neisseria* serve as reservoirs of  
201 resistance for Ngo (6, 8-10), however a comprehensive evaluation of the resistance alleles  
202 commensals can harbor, though underway (17, 21, 22), and their likelihood of transfer to  
203 pathogenic relatives is still in its infancy. Here, we use experimental evolution to screen for the

204 mutations that impart azithromycin resistance in Nel and assess their potential for transfer to  
205 Ngo.

206 Overall, our results support constrained evolutionary trajectories to high-level macrolide  
207 resistance in Nel. A diversity of azithromycin resistance mutations have been reported in Ngo,  
208 including: alterations in the 23S rRNA azithromycin binding sites (C2611T and A2059G) (10, 30,  
209 31), a G70D mutation in the RplD 50S ribosomal protein L4 (32), *rpIV* tandem duplications (10),  
210 variants of the rRNA methylase genes *ermC* and *ermB* (33), and mutations that enhance the  
211 expression of Mtr or increase the binding efficiency of MtrD with its substrates (8, 9, 34-36);  
212 however, high-level resistance is most frequently imparted by the aforementioned ribosomal  
213 mutations (10, 32). Similarly, for all cases of high-level resistance emergence in Nel drug-  
214 selected lineages within this study, causal mutations converged on either tandem duplications in  
215 the gene encoding the 50S ribosomal L34 protein (*rpmH*) or the intergenic region proximal to  
216 the 30S ribosomal S3 protein (*rpsC*).

217 Fascinatingly, the evolved L34 mutations in Nel are identical or nearly identical to those  
218 recently reported in Ngo. High-level resistance in Ngo was found to be conferred by the L34  
219 duplications 7PSVTKRKR14, 7PSVTNTYQP14, and 7LKRTYQ12 (25); and occurred in the  
220 same location as the Nel duplications 8SVTKRKR15, 8HIMKRTYQ15, and 7LKRTYQ12  
221 uncovered in this study (Figure 3). Preserved identity and location of *rpmH* mutations across  
222 *Neisseria* species suggests that this may be a conserved mechanism of rapid macrolide  
223 resistance acquisition across the genus. Though we do not find any of the other Ngo mutations  
224 in ribosomal components (C2611T, A2059G, *rplD* G70D, or *rpIV* tandem duplications) in Nel, we  
225 describe novel azithromycin resistance conferring mutations in the intergenic region upstream of  
226 *rpsC*, similarly, produced through tandem duplication events (Figure 2). To our knowledge these  
227 have not yet been reported in *Neisseria*, and likely impact the expression of *rpsC* due to their  
228 location in or proximal to the promoter.

229 Almost all of the tandem duplications reported here imparted some fitness cost, as measured by  
230 *in vitro* growth assays of isogenic cell lines (Figure 4). Ngo duplication mutations in L34 were  
231 reported to be transitory and repeatedly lost in culture (25), further suggesting a fitness cost in  
232 alternate genetic backgrounds. However, the *rpsC* duplication in T-LJT-1 appeared to have no  
233 impact on fitness (Figure 4), suggesting that it may be sustained in natural populations. Further  
234 work will be needed to elucidate the long-term stability of all uncovered mutations, and to  
235 assess if they are either transitory stepping-stones or persistent, when coupled with  
236 compensatory mutations (e.g., as is the case for a variant in *acnB* mitigating growth defects in

237 ceftriaxone resistant *penA* mutants (37)), mechanisms of macrolide resistance in commensal  
238 *Neisseria* species.

239         Assessing the likelihood of commensal resistance alleles to be transferred to Ngo will aid  
240 in determining those resistance mechanisms most at risk of rapid dissemination into pathogen  
241 populations; and may guide future prospective genotyping practices during routine public health  
242 surveillance efforts. Here, we attempted to transform novel Nel alleles into Ngo genetic  
243 backgrounds, however we were unable to recover transformants in all cases (Figure 5). One  
244 explanation for failed transformations could be insufficient sequence homology for RecA-  
245 mediated homologous recombination. Though, this is unlikely as *rpmH* shares 87% nucleotide  
246 and 100% amino acid identity between *N. elongata* AR Bank #0945 and to both Ngo FA1090  
247 and 28BI; similar to the percent identity of mosaic *mtr* sequences (~90%) which we were  
248 previously able to transform into the 28BI background (8). The sequence region including *rpsC*  
249 and 200 bps upstream of the start site is more divergent however, and only shares 78% identity  
250 between AR-0945 and the Ngo strains used in this study, which is perhaps sufficiently divergent  
251 to preclude HGT. Alternative explanations for failed HGT could include: an insufficient amount of  
252 donor DNA was provided, DNA methylation using M.CviPI and M.SssI methyltransferases was  
253 unsuccessful (28, 29), incompatible DNA uptake sequences (DUSs) (3), or incompatible  
254 genomic backgrounds for the expression of these resistance mechanisms; however, follow up  
255 studies will be needed to discriminate the underlying cause(s).

256         Overall, our results expand on prior studies that provide initial insights into illuminating  
257 the commensal resistome (17, 21, 22) , which is a known source of antibiotic resistance for  
258 *Neisseria* pathogens (6, 8-10). We find evidence of constraint on high level macrolide resistance  
259 genotypic evolution in AR-0945, with convergence on only two sites in the genome, however  
260 different genetic starting places will likely impact evolutionary outcome due to epistatic and  
261 additive effects between loci. Thus, future work will not only focus on expanding this approach  
262 to other commensal species and therapeutics, but will incorporate intraspecific variation as an  
263 additional consideration. Ultimately, this work emphasizes the power of experimental evolution  
264 in characterizing the genetic pathways to resistance in commensals species, which will be key  
265 to illuminating mutations at risk of transfer across species boundaries and their effects.

266

## 267 **Methods**

### 268 **Bacterial strains and growth conditions**

269 The bacterial strain *N. elongata* AR Bank #0945 used for this study was obtained from the  
270 Centers for Disease Control and Prevention (CDC) and Food and Drug Association's (FDA)



271 Antibiotic Resistance (AR) Isolate Bank “*Neisseria* species MALDI-TOF Verification panel”. For  
272 all experiments, *N. elongata* AR Bank #0945 and its evolved derivatives were revived from  
273 trypticase soy broth (TSB) stocks containing 50% glycerol stored at -80°C. Stocks were  
274 streaked onto GC agar base (Becton Dickinson Co., Franklin Lakes, NJ, USA) media plates  
275 containing 1% Kelloggs solution (38) (GCP-K plates), and were grown for 18-24 hours at 37°C  
276 in a 5% CO<sub>2</sub> atmosphere.

277 Experimental evolution was conducted by passaging 16 replicate stocks of *N. elongata*  
278 AR Bank #0945 in the presence of azithromycin for 20 days or ~480 generations. A selective  
279 gradient of azithromycin was applied to GCB-K plates using Etest strips (bioMérieux, Durham,  
280 NC), and each day overnight growth was collected from the entire zone of inhibition (ZOI) and a  
281 1 cm region in the bacterial lawn surrounding the ZOI (Figure 1). Collected cells were  
282 suspended in TSB, and plated onto a fresh GCB-K plate with a new Etest strip. MICs each day  
283 were determined by reading the lowest concentration that inhibited growth, and reduced  
284 susceptibility was determined using the CLSI guidelines for *N. gonorrhoeae* (breakpoint AZI ≥ 2  
285 µg/mL). MICs were read by at least two independent researchers. Five strains were passaged  
286 using the same protocol on media containing no selective agent. Final cell populations were  
287 streaked onto GCB-K plates and individual colonies were picked and stocked for further  
288 analysis.

289

### 290 **Whole genome sequencing and comparative genomics**

291 Cells from evolved cell lines were lysed by suspending growth from overnight plates in TE buffer  
292 (10 mM Tris [pH 8.0], 10 mM EDTA) with 0.5 mg/mL lysozyme and 3 mg/mL proteinase K  
293 (Sigma-Aldrich Corp., St. Louis, MO). DNA was purified using the PureLink Genomic DNA Mini  
294 kit (Thermo Fisher Corp., Waltham, MA) and treated with RNase A. Sequencing libraries were  
295 prepared using the Nextera XT kit (Illumina Corp., San Diego, CA), and uniquely dual-indexed  
296 and pooled. Each pool was subsequently sequenced the Illumina MiSeq platform at the  
297 Rochester Institute of Technology Genomics Core using V3 600 cycle cartridges (2x300bp).  
298 Sequencing quality of each paired-end read library was assessed using FastQC v0.11.9 (39).  
299 Trimmomatic v0.39 (40) was used to trim adapter sequences, and remove bases with phred  
300 quality score < 15 over a 4 bp sliding window. Reads < 36 bp long, or those missing a mate,  
301 were also removed from subsequent analysis. The AR-0945 draft assembly was constructed  
302 using SPAdes v.3.14.1 (41) and annotated with prokka v.1.14.5 (42). Trimmed reads were  
303 mapped back to the AR-0945 draft assembly using Bowtie2 v.2.2.4 (43) using the “end-to-end”  
304 and “very-sensitive” options and Pilon v.1.16 (44) was used to call variant sites. Only single

305 nucleotide polymorphisms and short indels were retained, and any variants called within 100 bp  
306 of the end of contigs were removed.

307

### 308 **Transformations**

309 Transformations were conducted by inoculating GCP broth (7.5 g protease peptone 3, 0.5 g  
310 soluble starch, 2 g dibasic K<sub>2</sub>HPO<sub>4</sub>, 0.5 g monobasic KH<sub>2</sub>PO<sub>4</sub>, 2.5 g NaCl, and double-distilled  
311 water [ddH<sub>2</sub>O] to 500 ml; Becton Dickinson Co., Franklin Lakes, NJ) supplemented with 1%  
312 IsoVitaleX and 10 mM MgCl (Sigma-Aldrich Corp., St. Louis, MO) with cells to an optical density  
313 (OD) of ~0.5. Cell suspensions were subsequently incubated with 100 ng of gDNA for 4 hours to  
314 allow DNA uptake, homologous recombination, and expressions of new alleles. Cell  
315 suspensions were then serially diluted to allow for quantification of transformation efficiency and  
316 spotted onto GCB-K plates containing 4 µg/mL of azithromycin. Cultures then were incubated  
317 overnight and after 18 hours colonies were counted for each reaction and azithromycin resistant  
318 transformants were selected by picking single colonies. Transformants were subsequently MIC  
319 tested and whole-genome sequenced to nominate inherited derived mutations.

320 Polymerase chain reaction (PCR) and Sanger sequencing were used to confirm the  
321 location and identity of all derived polymorphisms nominated in transformants from genomic  
322 sequencing screens. In brief, PCR was conducted in 50-µl volumes using Phusion High-Fidelity  
323 DNA polymerase (New England Biolabs, Ipswich, MA). Primers for *rpmH* (F: 5'-  
324 CGAAGCTTTCCAAAACGGCT-3'; R: 5'-AAGGTTTCGGCCAAAGATTGC-3') and the *rpsC/rplB*  
325 intergenic region (F: 5'-ATCGCTACTTTTAGCAAACCACT-3'; R: 5'-  
326 TGCAGAGCATAATGAAGGTGCT-3') were annealed at 60°C. Reactions were conducted for 35  
327 cycles, with 30 second extensions. Resultant products were cleaned using ExoSAP-IT (Applied  
328 Biosystems, Foster City, CA), and sequenced via the Sanger method.

329 For Ngo transformation experiments, recipient 28BI and FA1090 cell stocks were  
330 obtained from the CDC and Yonatan Grad at the Harvard T.H. Chan School of Public Health  
331 respectively. These recipients were provided with genomic DNA from the evolved Nel strains  
332 generated within this study both with and without methylation modification. In brief, for modified  
333 DNA 500 ng of Nel DNA was incubated with M.CviPI and M.SssI methyltransferases (New  
334 England Biolabs, Ipswich, MA) as per the manufacturer's instructions, and as specified in (29).  
335 100 ng of modified Nel DNA was then provided to 28BI and FA1090 as transformation  
336 substrate, following the aforementioned protocol.

337

### 338 **Growth Curves**

339 Cells were inoculated into GCP broth supplemented 1% Kelloggs solution to an OD<sub>600</sub> of ~0.1,  
340 using a Genesys 150 spectrophotometer (Thermo Scientific, Waltham, MA). Cell suspensions  
341 were subsequently distributed into 96-well plates and incubated at 37°C in a BioTek Synergy H1  
342 microplate reader (BioTek, Winooski, VT). Subsequent OD<sub>600</sub> measurements were taken every  
343 hour for 21 hours, with a 1-minute shake at 180cpm prior to reading. The BioTek Gen5 v.3.05  
344 software was used to interpret OD values. Replicates were completed for each cell line (n=6),  
345 and all downstream analyses were performed in R (45).

346

### 347 Data Availability

348 All scripts and datasets are available on: <https://github.com/wadsworthlab>. The draft assembly  
349 for *N. elongata* AR Bank #0945 is deposited to GenBank (accession: JAFEUH000000000).  
350 Read libraries for the genomics datasets generated in this study can be accessed on the  
351 Sequence Read Archive for evolved strains (SAMN17958618-SAMN17958637) and  
352 transformants (SAMN18355358-SAMN18355369).

353

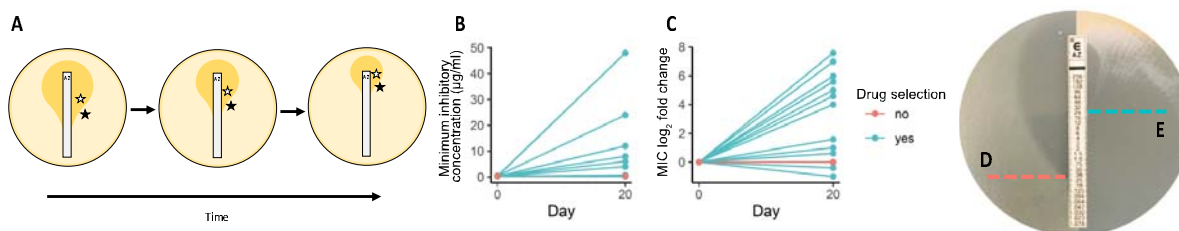
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361

### 362 Figures and Tables

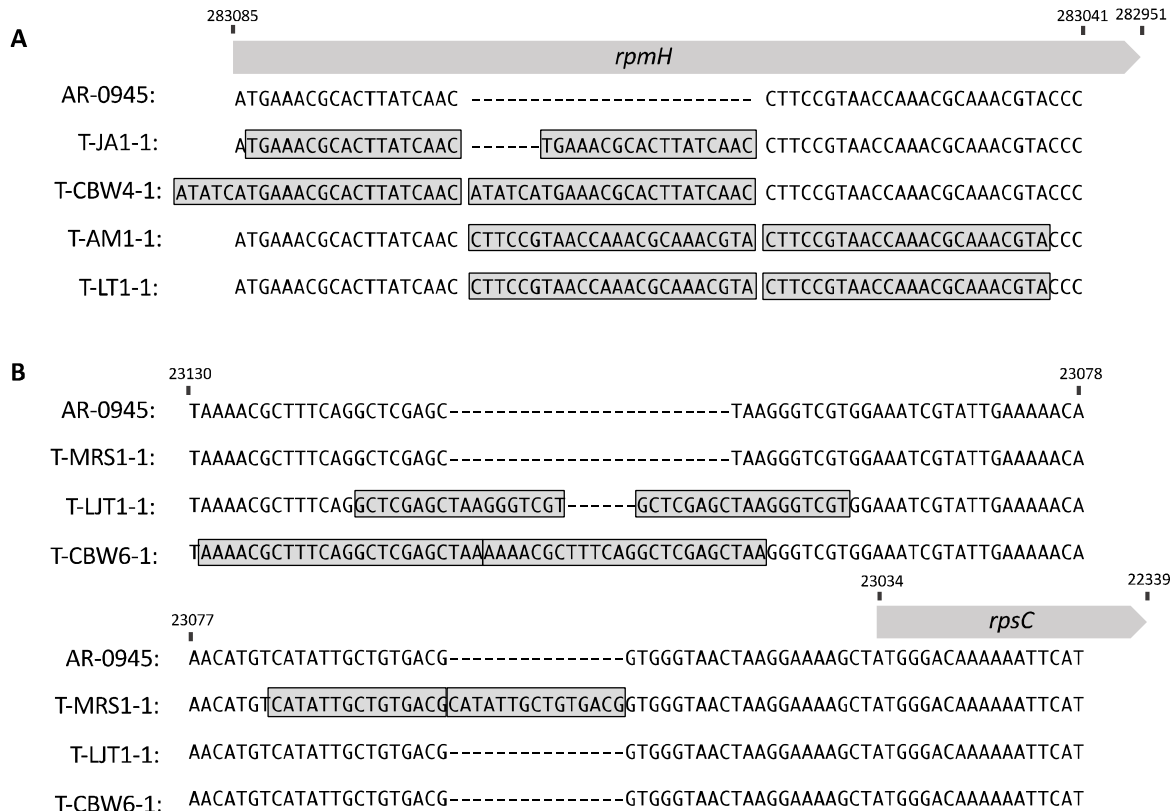
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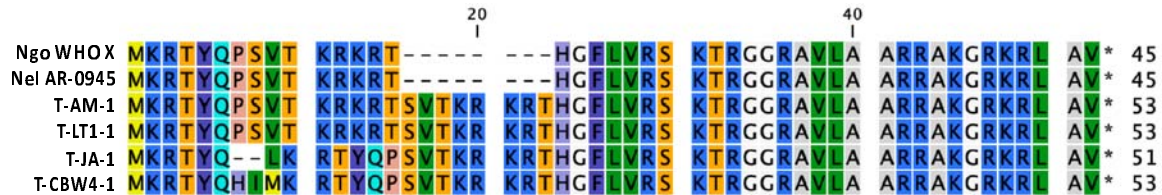
365 **Figure 1.** *In vitro* evolution under azithromycin selection produces high-level resistance in  
366 multiple replicate lineages of the *Neisseria* commensal, *N. elongata*. (A) Parallel cultures of *N.*  
367 *elongata* AR Bank #0945 were passaged across an Etest-generated concentration gradient of

368 azithromycin, selecting any cell growth in the zones of inhibition (white stars) and a 1 cm band  
 369 at the edge of the bacterial lawn (black stars) for 20 days (n=16 replicates). (B,C) In 7 of the 16  
 370 lineages, reduced susceptibility as defined by the CLSI cutoff of  $\geq 2 \mu\text{g/mL}$  emerged. In all  
 371 cases, MICs for evolved lineages increased from the ancestral *N. elongata* AR Bank #0945  
 372 strain value of  $0.38 \mu\text{g/mL}$  (D), with strain LT1 displayed (E).  
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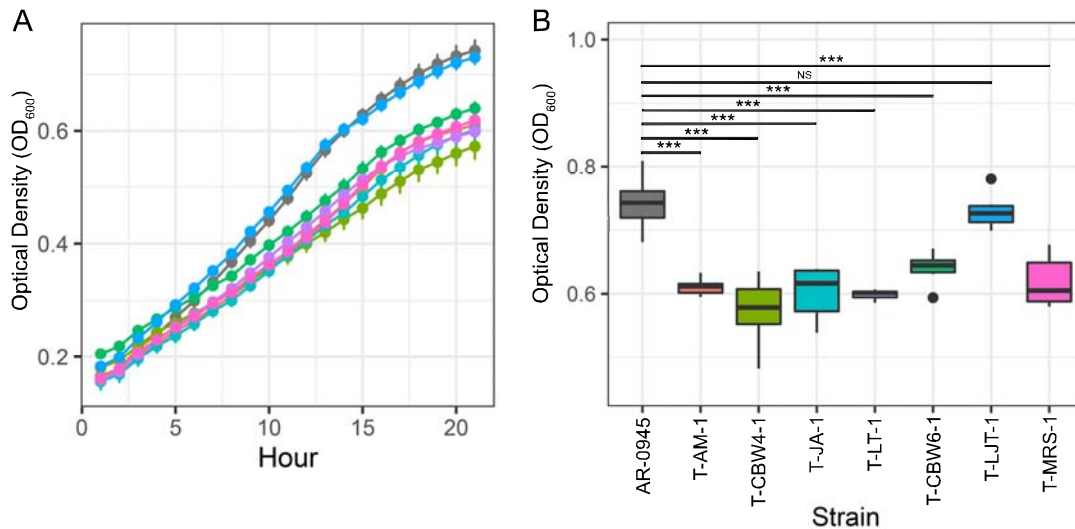


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 380 **Figure 2.** Repeated emergence of mutations within and proximal to genes encoding ribosomal  
 381 proteins are causal to high-level azithromycin resistance. (A) Whole genomic sequencing  
 382 revealed that four strains evolved duplications in *rpmH*, the gene encoding the 50S ribosomal  
 383 protein L34. Transformants (T-AM1, T-CBW4, T-JA1, and T-LT1) generated from these lineages  
 384 were Sanger sequenced to confirm the location and identity of nominated derived insertional  
 385 polymorphisms, data shown. (B) The remaining mutations underlying high-level resistance (n=3)

386 were located proximally to *rpsC*, which encodes the 30S ribosomal protein S3, and were also  
 387 duplication events. Sanger sequences for the transformants (T-CBW6, T-LJT1, and T-MRS1)  
 388 are displayed. Reference positions in Node 1 and Node 4 of the AR-0945 draft assembly are  
 389 displayed for *rpmH* and *rpsC* respectively.  
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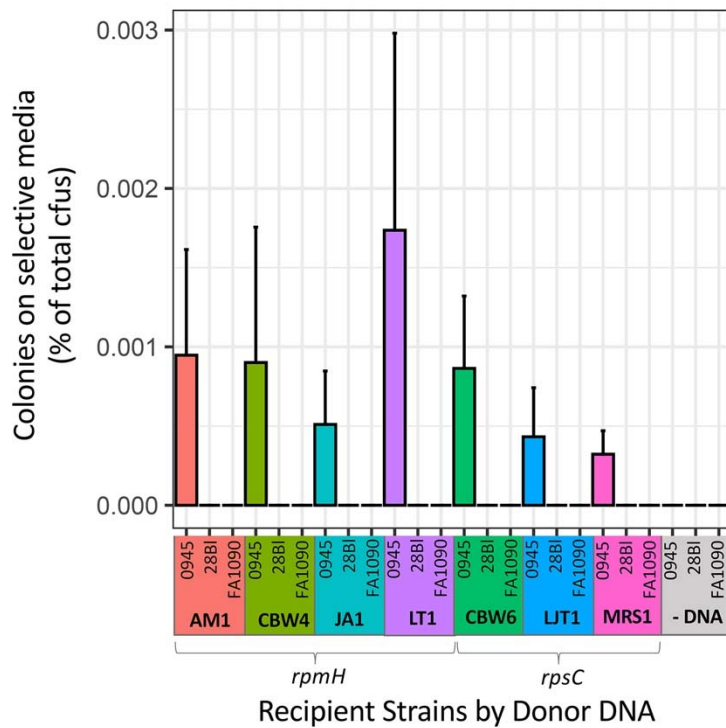


391  
 392 **Figure 3.** At both the nucleotide and amino acid level Nel AR-0945 RpmH is identical to the Ngo  
 393 WHO F reference ([LT591897.1](https://doi.org/10.1101/2021.04.05.438469)) sequence. Evolved in-frame sequence duplications in *Nel*/  
 394 lineages introduce 8SVTKRKRT15, 7LKRTYQ12, and 8HIMKRTYQ15 insertions, which are  
 395 identical to (7LKRTYQ12) and nearly identical to those recently discovered to confer high-level  
 396 macrolide resistance in Ngo (25).  
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 400 **Figure 4.** Impact of novel alleles in evolved cell lineages on growth rate compared to the  
 401 ancestral AR-0945 strain. (A) Growth curves in GCP broth supplemented 1% Kelloggs solution  
 402 were obtained by monitoring OD<sub>600</sub> over 21 hours (n=6 replicates per strain). (B) Growth was  
 403 significantly reduced in all strains compared to the ancestral at 21 hours, except for strain LJT.  
 404 Significance between strains was determined using a one-way ANOVA followed post-hoc by  
 405 Tukey's HSD where \*\*\*  $\square = p < 0.001$  and NS = not significant.

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408 **Figure 5.** Genomic DNA from evolved resistant Nel lineages could transform the Nel AR-0945  
409 ancestral strain to high-level macrolide resistance by inheritance of ribosomal duplication  
410 mutations. The colonies recovered on selective media (4 µg/mL azithromycin) as a percentage  
411 of total colony forming units (cfus) recovered on non-selective media ranged from 0.0003 to  
412 0.0017 (n=3 trials per recipient strain and donor DNA combination). However, DNA containing  
413 Nel ribosomal variants were inefficient or incapable of transfer to naturally competent pilated  
414 Ngo 28BI and FA1090 strains, with no colonies recovered. Additionally, no colonies were  
415 observed on selective media after transformation without template DNA for both Nel and Ngo  
416 reactions.

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**Table 1. Azithromycin MICs and derivation data for the strains used in this study**

Strain	Azi MIC ( $\mu\text{g}/\text{ml}$ )	Recipient strain	Donor Strain
<b>Azithromycin selected strains t</b>			
AM1	24	-	-
CBW1	1	-	-
CBW2	1	-	-
CBW3	1	-	-
CBW4	64	-	-
CBW5	0.5	-	-
CBW6	64	-	-
EW1	0.5	-	-
JA1	8	-	-
JC1	0.5	-	-
JM1	0.75	-	-
JR1	1	-	-
LJT1	16	-	-
LT1	24	-	-
MRS1	24	-	-
PAP1	0.75	-	-
<b>Control strains, no drug selection</b>			
ND1	0.38	-	-
ND2	0.38	-	-
ND3	0.38	-	-
ND4	0.38	-	-
<b>Transformants b</b>			
T-CBW4-1	48	AR-0945	CBW4
T-CBW4-2	48	AR-0945	CBW4
T-CBW4-3	48	AR-0945	CBW4
T-CBW6-1	64	AR-0945	CBW6
T-CBW6-2	64	AR-0945	CBW6
T-CBW6-3	64	AR-0945	CBW6
T-LT1-1	24	AR-0945	LT1
T-LT1-2	24	AR-0945	LT1
T-LT1-3	24	AR-0945	LT1
T-MRS1-1	24	AR-0945	MRS1
T-MRS1-2	24	AR-0945	MRS1
T-MRS1-3	24	AR-0945	MRS1
T-JA1-1	8	AR-0945	JA1
T-JA1-2	8	AR-0945	JA1
T-JA1-3	8	AR-0945	JA1
T-LJT1-1	12	AR-0945	LJT1
T-LJT1-2	12	AR-0945	LJT1
T-LJT1-3	12	AR-0945	LJT1
T-AM1-1	24	AR-0945	AM1
T-AM1-2	24	AR-0945	AM1
T-AM1-3	12	AR-0945	AM1

t. Selection on an azithromycin concentration gradient; b. Selection on 4  $\mu\text{g}/\text{ml}$  azithromycin

**Table 2. Derived SNPs in evolved lineages annotated in reference to the draft AR-0945 assembly (JAFEUH000000000)**

Strain	Node	Position	Ancestral Variant	Derived Allele	Gene	Product
AM1	NODE 1	283041	G	GGTACGTTTGCCTTGGTTACGGAA	<i>rpmH</i>	50S ribosomal protein L34
AM1	NODE 23	26465	C	T	<i>glk</i>	Glucokinase
CBW1	NODE 23	26537	C	A	<i>glk</i>	Glucokinase
CBW1	NODE 3	56344	G	A	-	Hypothetical protein
CBW1	NODE 20	6687	A	insertionq	-	Hypothetical protein
CBW1	NODE 33	1191	G	insertionq	-	
CBW1	NODE 88	352	T	TTGCGCCA	-	Hypothetical protein
CBW2	NODE 23	26470	T	A	<i>glk</i>	Glucokinase
CBW2	NODE 1	58804	CA	C	<i>cps12A</i>	Capsular polysaccharide phosphotransferase
CBW2	NODE 88	352	T	TTGCGCCA	-	Hypothetical protein
CBW3	NODE 23	26378	G	A	<i>glk</i>	Glucokinase
CBW4	NODE 23	26378	G	A	<i>glk</i>	Glucokinase
CBW4	NODE 1	283066	G	GGTTGATAAGTGCCTTCATGATAT	<i>rpmH</i>	50S ribosomal protein L34
CBW5	NODE 23	27739	A	AACGTGTTTCATTGTCT	<i>kptA</i>	RNA 2'-phosphotransferase
CBW5	NODE 86	404	A	ATGCCTTCTTCACAC	-	
CBW6	NODE 23	26378	G	A	<i>glk</i>	Glucokinase
CBW6	NODE 4	23104	C	CTTAGCTCGAGCCTGAAAGCGTTTT	Intergenic: <i>rpsC, rplB</i>	
CBW6	NODE 88	352	T	TTGCGCCA	-	
EW1	NODE 1	99142	T	C	Proximal: <i>porB</i>	
JA1	NODE 23	26920	G	A	<i>glk</i>	Glucokinase
JA1	NODE 84	429	A	AATAAGACGGTGTTCGCGCAG	-	
JA1	NODE 1	283066	G	GGTTGATAAGTGCCTTCA	<i>rpmH</i>	
JC1	NODE 23	26623	G	A	<i>glk</i>	Glucokinase
JC1	NODE 20	6968	TG	T	<i>dtpT</i>	Di-/tripeptide transporter
JR1	NODE 23	26029	A	G	<i>glk</i>	Glucokinase
JR1	NODE 88	352	T	TTGCGCCA	-	Hypothetical protein
LJT1	NODE 23	26182	CG	C	<i>glk</i>	Glucokinase
LJT1	NODE 4	23096	C	CCACGACCCTTAGCTCGAG	Intergenic: <i>rpsC, rplB</i>	
LJT1	NODE 88	352	T	TTGCGCCA	-	Hypothetical protein
LT1	NODE 23	26393	G	A	<i>glk</i>	Glucokinase
LT1	NODE 17	20583	A	G	<i>aceA</i>	Isocitrate lyase
LT1	NODE 1	283041	G	GGTACGTTTGCCTTGGTTACGGAA	<i>rpmH</i>	
MRS1	NODE 4	23055	C	CCGTCACAGCAATATG	Intergenic: <i>rpsC, rplB</i>	
MRS1	NODE 23	26551	G	T	<i>glk</i>	Glucokinase
PAP1	NODE 21	14412	G	A	<i>spoT</i>	Bifunctional (p)ppGpp synthase/hydrolase SpoT
PAP1	NODE 23	26575	GC	G	<i>glk</i>	Glucokinase
PAP1	NODE 88	352	T	TTGCGCCA	-	Hypothetical protein

q. insertions over 100 bp are not fully reported

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