1 **The barley mutant *multiflorus2.b* reveals quantitative genetic variation for new**
2 **spikelet architecture**
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Abstract

Understanding the genetic basis of yield forming factors is of utmost importance especially in the wake of stagnation of further yield gains in small grain cereals. One such yield forming factor in these cereals is the number of grain-bearing florets produced per spikelet. Generally, the spikelet axis (rachilla) of determinate barley (*Hordeum vulgare* L.) spikelets degenerates after producing one floret. In contrast, the rachilla of indeterminate wheat (*Triticum ssp.*) spikelets elongates to produce up to 12 florets. In our study, we characterized the barley spikelet determinacy mutant *multiflorus2.b* (*mul2.b*) that produced up to three fertile florets on elongated rachilla of lateral spikelets. Apart from the lateral spikelet indeterminacy (LS-IN), we also characterized the supernumerary spikelet phenotype in the central spikelets (CS-SS) of *mul2.b.* Through our phenotypic and genetic analyses, we identified two major QTLs on chromosomes 2H and 6H, along with two minor QTLs on 3H for the LS-IN phenotype. For, the CS-SS phenotype we identified a major QTL on 6H, along with one minor QTL on 5H. Notably, the 6H QTLs for CS-SS and LS-IN phenotypes co-located with each other, potentially indicating that a single genetic factor might regulate both phenotypes. Thus, our in-depth phenotyping combined with genetic analyses revealed the quantitative nature of the LS-IN and CS-SS phenotypes in *mul2.b*, paving way for cloning the genes underlying these QTLs in the future.

Introduction

Grass inflorescences are constituted by the ordered arrangement of spikelets (specialized flower-bearing structures) either on the branches differentiated from the inflorescence axis (rachis) or directly on the inflorescence axis. The *Triticeae* grasses display characteristic spike-shaped inflorescences with sessile spikelets attached to the inflorescence axis in a distichous phyllotaxis (Bonnett 1935, 1936; Kellogg et al. 2013). Among *Triticeae*, wheat (*Triticum spp.*) and barley (*Hordeum spp.*) represent the most economically important temperate cereal species (Schnurbusch 2019). Based on the architectural differences at the spikelet and spike levels, wheat and barley inflorescences can be classified into (i) determinate or (ii) indeterminate spikes (Bonnett 1966). In the determinate spikes of wheat, the inflorescence apex culminates with a terminal spikelet thus producing a fixed number of spikelets per inflorescence. However, the spikelets in the wheat spike are indeterminate with multiple flowers (florets; up to 12), each produced at the articulation junction of the spikelet axis called rachilla (**Fig. 1E**). In contrast, the inflorescence apex of barley remains indeterminate (no terminal spikelet) and the rachilla ceases to grow upon initiation of the first floret and remains as a suppressed structure rendering determinacy to the spikelet (**Fig. 1B**). Thus the spikelet determinacy or indeterminacy is dependent upon the extent of rachilla suppression or elongation.

The genetic control of determinate spikelet fate was studied in rice, wheat, and maize. In these three species, orthologous APETALA 2 (AP2) transcription factors, such as maize INDETERMINATE SPIKELET1 (IDS1)/TASSELSEED 6 (Chuck et al. 2008; Chuck et al. 2007; Chuck et al. 1998), rice IDS1 (Lee and An 2012), and wheat Q (*AP2L5*) (Debernardi et al. 2017; Greenwood et al. 2017; Simons et al. 2006), were shown to negatively control floret number per spikelet by inhibiting rachilla elongation. The paralog of maize *IDS1*, sister of *IDS1* (*SIDS1*), and rice *SUPERNUMERARY BRACT* also regulate floret number per spikelet by inhibiting rachilla elongation (Chuck et al. 2008; Lee and An 2012; Lee et al. 2007). Interestingly, the corresponding barley ortholog of *IDS1*, *HvAP2L-H5*, was shown to promote the indeterminate nature of the inflorescence apex and also spikelet determinacy probably by regulating rachilla elongation (Zhong et al. 2021). Apart from the *Q* gene in wheat, the *GRAIN NUMBER INCREASE 1* (*GNI1*) is shown to inhibit rachilla development and growth and thereby regulates floret number per spikelet (Sakuma et al. 2019; Sakuma and Schnurbusch 2020). The *sham ramification 1* (*shr1*) and *shr2* mutants

in tetraploid wheat show an elongated rachilla. The genes underlying these loci are not

known yet (Amagai et al. 2014).

In rice, several other genes were characterized that potentially regulate spikelet

determinacy. These include *LEAFY HULL STERILE 1* (*OsMADS1* (Jeon et al. 2000)), *MOSAIC*

FLORAL ORGANS 1 (*AGAMOUS LIKE 6* (Ohmori et al. 2009)), and *MULTIFLORETSPIKELET1*

(*AP2/ERF*; (Ren et al. 2013)). Mutations in these genes promote rachilla elongation and

extra floret formation rendering the indeterminate spikelet fate. Apart from these, rice

genes such as *DOUBLE FLORET1/EXTRA GLUME 1*, *FLORAL ORGAN NUMBER 4*, *TONGARI-*

BOUSHI 1, and *LATERAL FLORET 1* are also shown to regulate the floret number/spikelet

probably through suppression of rachilla elongation (Ren et al. 2019; Ren et al. 2018;

Tanaka et al. 2012; Zhang et al. 2017). The results from these studies provided us with a

lead that the spikelet determinacy is a plastic trait modulated by the genetic makeup of

respective grass species and that the extent of rachilla elongation or suppression

potentially defines the floret number per spikelet.

Within Triticeae, barley shows a distinctive spike architecture, imparted by the

distichously arranged triple-spikelet units along the rachis. Each spikelet triplet is

constituted by one central (CS) and two lateral spikelets (LS), the two- and six-rowed

barley row-type classes are defined based on the fertility status at the LSs. In two-rowed

barley, the CSs are fertile, produce grains, while LSs remain sterile. Whereas in six-rowed,

both CSs and LSs are fertile and bear grains. The fertility status of lateral spikelets is

majorly regulated by the *SIX-ROWED SPIKE 1* (*VRS1,* class I HD-ZIP; (Komatsuda et al.

2007)), along with other regulators/modifier genes (Bull et al. 2017; Koppolu et al. 2013;

Ramsay et al. 2011; van Esse et al. 2017; Youssef et al. 2017). Thus, the archetypical barley

spike possesses one CS positioned on the spike axis with an acute angle, flanked by two

LSs positioned slightly away from the spike axis. In wheat, a single spikelet per rachis node

is generated with an acute angle to the rachis similar to barley CS. In both wheat and

barley, spike mutants that deviate from such a canonical arrangement of spikelets exist

that have the potential to generate more spikelets and grains. In barley, a class of mutants

called *extrafloret* (*flo*; *flo-a, -b, and -c*) develop either a complete extra or supernumerary

spikelet or rudimentary floral bracts (the locus name *extrafloret* is a misnomer) at the

base of typical CS on the abaxial side of the same rachis node (Druka et al. 2011;

Franckowiak 1995). The causal genes underlying these loci are not known. A similar

supernumerary spikelet (SS) phenotype is also evident in mutants of barley *COMPOSITUM1* (Poursarebani et al. 2020), *COMPOSITUM2* (Poursarebani et al. 2015)) and *VRS4* (Koppolu et al. 2013). The wheat ortholog of barley *COM2* was also shown to regulate SS formation in hexaploid (Dobrovolskaya et al. 2015) and tetraploid branched miracle wheat (*TtBH^t*; (Sakuma and Schnurbusch 2020; Wolde et al. 2019). Away from these genes, the SS phenotype in wheat is also conditioned by the photoperiod-dependent floral induction gene, *PHOTOPERIOD 1* (*PPD1*), and the axillary meristem growth regulator *TEOSINTE BRANCHED 1* (*TB1*). Both *PPD1* and *TB1* regulate SS formation by regulating *FLOWERING LOCUS T1* (*FT1*) that induces a cascade of floral meristem identity genes important for floral induction (Boden et al. 2015; Dixon et al. 2018).

In this study, we investigated the inheritance of spikelet determinacy (floret number per spikelet) and supernumerary spikelet phenotypes in a barley *X*-ray induced mutant "*multiflorus2.b*" (progenitor – Montcalm). This barley *mul2.b* mutant produces non-canonically a multi-floreted, indeterminate spikelet phenotype on its elongated rachilla, a feature reminiscent of wheat spikelets. Moreover, from our in-depth mutant phenotyping and allelism analyses we show that *mul2.b* also induces the supernumerary spikelet phenotype. Based on our analyses using exome capture-based bulked-segregant analysis, genetic and QTL mapping studies, we reveal that the multi-floreted and supernumerary spikelet phenotypes have a quantitative inheritance pattern.

Materials and Methods

Plant material and growth conditions

All experiments reported in this study were conducted at the Leibniz Institute of Plant Genetics and Crop Plant Research, Gatersleben, Germany. The genotypes cv. Montcalm, cv. Morex, *multiflorus2.b* (*mul2.b;* GSHO 1394) – a betatron induced *X*-ray mutant of Montcalm, and Bowman Near Isogenic line mutant *extra floret.a* – BM-NIL(*flo.a*), were used for various analyses conducted in this study. The majority of experiments in this study were conducted under greenhouse conditions at the IPK in the period between 2014 and 2020. For greenhouse experiments, initially, barley grains were germinated in 96 well planting trays and grown at 16h/8h (light/dark; photoperiod), and, 20° C/16° C (light/dark; temperature) for two weeks. After collecting leaf material for DNA extraction, the plants were vernalized at 4° C for four weeks and then acclimatized at 15° C in the greenhouse for a week. Later the plants were potted into 14 cm (Ø) pots and grown at

16h/8h (light/dark; photoperiod), and, 20° C/16° C (light/dark; temperature) until

maturity. Standard practices for irrigation, fertilization, and control of pests and diseases

were followed. The genotypes Montcalm and *mul2.b* were evaluated under field

conditions in 2015 and 2016 at IPK for the grain morphometry parameters. Each

genotype was grown in three independent plots measuring 1 × 1.5 m^2, with a sowing

density of 300 grains/m^2. Each plot had six rows with 20 cm spacing between rows.

Mapping populations and phenotyping

For mapping of the traits lateral spikelet indeterminacy (LS-IN) and central spikelet

supernumerary spikelet (CS-SS) phenotypes, the mutant parent *mul2.b* was crossed with

Morex and Montcalm to generate two independent mapping populations, Morex × *mul2.b*

and *mul2.b* × Montcalm. The F$_1$ hybrids were selfed and the resulting F$_2$ and F$_{2:3}$

populations were used for phenotypic and genotypic analyses. To test the allelism

between *mul2.b* and BM-NIL(*flo.a*), reciprocal crosses were made between the two

mutants, and the CS-SS phenotype were analyzed at F$_1$ and F$_2$ generations.

The Morex × *mul2.b* (POP 2014-1; 163 F$_2$s) and *mul2.b* × Montcalm (POP 2014-2; 130 F$_2$s)

populations were initially phenotyped in 2014 under greenhouse conditions. The LS-IN

phenotype was scored as strong and moderate to weak classes based on visual

observation of rachilla elongation or extra floret phenotype in all spikes of each F$_2$ line

after grain filling. F$_2$ plants with strong phenotype showed approximately 75% of spikes

with a second floret that either produced a grain or remained empty. Whereas the F$_2$ lines

that showed elongated rachilla or improperly formed second floret were scored into weak

to moderate phenotype class. The CS-SS phenotype in central spikelets was quantified by

counting the number of occurrences of extra spikelets or rudimentary bract-like

structures on all spikes of each F$_2$ line.

In 2015, we phenotyped Morex × *mul2.b* population again under greenhouse conditions

(136 F$_2$s; POP 2015) by quantifying both LS-IN and CS-SS phenotypes. For quantification,

of LS-IN phenotype we classified the phenotype into *mul2.b* class-*I, -II, -III,* and -*IV* based

on the additional floret or rachilla elongation phenotype (please see results section for

the description of each phenotypic class). The number of instances of *mul2.b-I, -II, -III,* and

-*IV* phenotypes were recorded for all lateral spikelet positions on spike-bearing tillers of

each F$_2$ line. Similarly, the number of instances of supernumerary spikelets born from the

central spikelet region was counted.

In 2016, a larger F_2 population of Morex × *mul2.b* was further phenotyped under greenhouse conditions (179 F_{2s}; POP 2016) for the LS-IN and CS-SS phenotypes. The phenotype quantification data from POP 2016 was used for whole-genome QTL mapping.

For scoring the CS-SS trait in F_2 lines of BM-NIL(*flo.a*) × *mul2.b*, the phenotype was classified into six classes. These include WT (no phenotype), very weak (one spike with CS-SS phenotype out of all spikes/plant), weak (~10-15% of spikes with CS-SS phenotype), Moderate (~25% of spikes with CS-SS phenotype), strong (~50% of spikes with CS-SS phenotype), and very strong (~75-100% of spikes with CS-SS phenotype)

Grain morphometry analysis of Montcalm and mul2.b

To evaluate the grain morphometry parameters of Montcalm and *mul2.b*, the spikes from the field (2015 and 2016) and greenhouse (2020) grown plants were hand-harvested and threshed. The representative grain samples from each genotype (3 to 4 grain samples/plot/genotype) were analyzed for the thousand-grain weight (TGW), grain area (mm^2), grain width (mm), and grain length (mm) using a digital seed analyzer/counter Marvin (GTA Sensorik GmbH, Neubrandenburg, Germany). From the greenhouse experiment (2020), the grain morphometry parameters were collected for central and lateral spikelet grains independently. For this analysis, central and lateral spikelet grains of twelve independent plants from each genotype were used. Apart from these, traits such as plant height, total, productive, unproductive tillers were scored from the greenhouse experiment (2020).

Phenotyping of Montcalm and mul2.b immature spikes

Immature spikes of Montcalm and *mul2.b* genotypes were phenotyped from Waddington stage W2.0 (double ridge) to W6.0 (Waddington et al. 1983) to follow rachilla development in lateral spikelets. The spike meristems from respective genotypes were hand dissected and staged under a stereomicroscope (Zeiss Stemi 2000-C; Carl Zeiss™). The maximum yield potential in Montcalm and *mul2.b* was counted on the main culm spike approximately at W8.0 (number of rachis nodes per spike ∗ three spikelets per node).

Scanning electron microscopy

230 Scanning electron microscopy (SEM) was performed on immature spikes that are
231 approximately at W4.0, W4.5, W5.0, W5.5, and W6.0 from greenhouse-grown plants. SEM
232 was conducted following an established protocol at IPK (Lolas et al. 2010; Poursarebani
233 et al. 2020).

Exome sequencing - Morex × mul2.b – POP 2014-1

For exome sequencing, the DNAs from 25 wild type and 27 mutant F_2 lines were bulked
from Morex × *mul2.b* population grown in 2014. The two DNA bulks were subjected to
exome capture and sequencing according to the previous protocol (Mascher et al. 2013).
Read mapping, allele frequency visualization, and read depth analysis of exome
sequencing data of mutant and wild-type bulks were done as described in Mascher et al.
(2014) using the BAC-based reference sequence of cv. Morex (Mascher et al. 2017).

Marker development, linkage, and QTL analysis on 2H and 6H chromosomes

The LS-IN and CS-SS phenotype data from Morex × *mul2.b* – 2015 (POP 2015) was used
for marker and phenotype linkage analysis on 2H and 6H barley chromosomes. For
mapping, the polymorphic SNPs were selected based on exome capture SNPs from
chromosomes 2H and 6H where the allele frequency differences between mutant and wild
type bulks reached their high. On chromosome 2H, 11 SNPs were selected in the interval
33.70 – 87.70 cM (Morex POPSEQ positions). On 6H, seven SNPs were selected in the
interval 31.90 – 92.20 cM. The selected SNPs were converted to restriction enzyme-based
Cleaved Amplified Polymorphic Sequence (CAPS) markers (**Supplementary Table 1**)
using Neb cutter v2.0 (New England Biolabs Inc). Restriction digestion was performed
according to the manufacturer's protocols. The resulting DNA fragments were separated
on 2% agarose gels for genotyping. The genotypic and phenotypic data were subjected to
linkage and QTL analyses in Joinmap 4.1 and Genstat 19 respectively.

GBS library construction, sequencing, read alignment, and variant calling

The total genomic DNA from the F_2 lines of Morex × *mul2.b* (POP 2016) was extracted as
described in Poursarebani et al. (2020). Genomic DNA was digested with *PstI* and *MspI*
restriction enzymes and processed for GBS library construction as described in Wendler
et al. (2014). Individually barcoded samples were pooled in equimolar concentrations and
single read sequenced on the Illumina HiSeq 2500 (1 × 107 cycles), using custom

sequencing primer (Wendler et al. 2014) as given in manufacturers protocol (Illumina
Inc).

GBS reads were trimmed using cutadapt (Martin 2011) and aligned to the reference
genome sequence of Morex (Mascher et al. 2017) with BWA-MEM v0.7.12a (Li 2013). The
alignment files were then converted to binary alignment map (BAM) format with
SAMtools (Li et al. 2009), sorted by reference position, and indexed with NovoSort
(Novocraft Technologies Sdn Bhd, Malaysia, http://www.novocraft.com/). Variants were
called using SAMtools/BCFtools v1.3 specifying the option '-DV' while running SAMtools
mpileup (Li 2011)), to obtain the per-sample number of non-reference reads. An AWK
script (available at https://bitbucket.org/ipk_dg_public/vcf_filtering) was used to filter
the Variant Call Format (VCF) output file and call genotypes based on the ratio between
the depth of the alternative allele and the total read depth (DV /DP). A minimum mapping
quality score of 40 was required. The SNP matrix was created applying the following
threshold: a minimum read depth of 2X per genotype and an overall maximum fraction of
missing calls of 80%. Only biallelic SNPs were considered. We filtered the data for SNPs
with ≤10% missing data points, two or more linked markers showing identical marker
scores across all F_2 lines (no recombination), and variants showing distorted marker
scores. The final variant set was composed of 605 markers and was used for the genetic
linkage mapping and QTL analyses.

Whole-genome linkage mapping with GBS markers

The genetic linkage maps were constructed with data from 605 GBS markers. The
markers were initially grouped according to the SNP physical position assignment based
on Morex reference. The markers from each group were then ordered using the maximum
likelihood mapping algorithm and default linkage parameters in Joinmap 4.1. The
recombination frequencies between markers were converted to map distances by the
Kosambi mapping function.

Phenotypic data analyses - Morex × mul2.b – POP 2016

290 The phenotypic data for LS-IN and CS-SS traits were collected from up to 12 tillers. To
291 calculate the individual variance components of the genotype, tillers, and the residuals,
292 the following linear mixed-effect model was used by assuming all effects except the
293 intercept as random:

$$y_{ij} = \mu + g_i + t_j + \varepsilon_{ij} \ (1)$$

295   where, y_{ij} is the phenotypic value of the ith genotype in the jth tiller, μ is the common

296   intercept term, g_i is the effect of the ith genotype, t_j is the effect of the jth tiller, and ε_{ij} is

297   the corresponding residual term as $\varepsilon \sim N(0, I\sigma_\varepsilon^2)$ with I and σ_ε^2 being the identity matrix

298   and residual variance, respectively. The repeatability (H^2) among the tillers was

299   accordingly calculated as:

300
$$H^2 = \frac{\sigma_g^2}{\sigma_g^2 + \left(\frac{\sigma_{\sigma_\varepsilon^2}^2}{nT}\right)} \quad (2)$$

Where, σ_g^2 and σ_ε^2 denote the variance components of the genotype and residuals,

respectively; nT represent the number of tillers. The best linear unbiased estimations

(BLUEs) across tillers for a given genotype were calculated by assuming the intercept and

genotype effects as fixed in eq. 1. It should be noted that we evaluated the traits in F2

populations; and therefore, we studied single plants by necessity. The calculations

mentioned above were performed to (i) observe if there exists large and significant ($P <$

0.001) variance for spike bearing tillers, and (2) normalize the phenotypic value of the

investigated traits per spike since it gives a more meaningful view of the studied traits.

The BLUEs are plotted in the form of histograms. To observe the genetic nature of the

investigated traits, the Shapiro-Wilk test was performed to monitor if the data were

normally distributed at $P < 0.05$. Moreover, to observe the genetic relationship among

the investigated traits, Pearson's product-moment correlation was calculated based on

the BLUEs as:

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$$r = \frac{\sum_{i=1}^{n}(x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^{n}(x_i - \bar{x})^2}\sqrt{\sum_{i=1}^{n}(y_i - \bar{y})^2}} \quad (3)$$

316   Where x and y denote the BLUEs of the studied traits. Unless stated otherwise, all

317   calculations were performed in software R (R core team) using package lme4 (Bates et al.

318   2015).

Whole-genome QTL mapping in Morex × mul2.b – POP 2016

The BLUEs estimated from LS-IN (*mul2.b-I, -II, III*, and *-IV*) and CS-SS phenotypes along

with 605 GBS marker data were used for QTL mapping in Genstat 19. Initially, simple

interval mapping (SIM) was conducted with single environment, single trait linkage

analysis. A step size of 5cM, genome-wide significance level (alpha) of 0.05, minimum co-

factor proximity of 50 cM were used for QTL detection. The minimum separation distance

for two consecutive QTLs was set at 30 cM. The co-factors identified from SIM were used

for QTL analysis by composite interval mapping (CIM). This process was repeated until

no new QTLs were detected. The final QTL model was selected based on background

selection (significance 0.05) on the selected co-factors. The QTL boundaries (lower and

upper), additive, dominance effects, phenotypic variation explained by the QTL were

defined by using the final QTL model. The procedure is repeated for QTL detection in all

traits scored. The genetic linkage maps and QTL data were graphically represented using

MapChart 2.2 (Voorrips 2002).

$F_{2:3}$ *phenotypic analysis of LS-IN and CS-SS phenotypes*

To validate the genetic and phenotypic effects among QTL loci identified in F_2, we

performed the phenotypic analysis in $F_{2:3}$ for LS-IN and CS-SS phenotypes. For this

purpose, we have generated an $F_{2:3}$ population comprising 1180 individuals segregating

for Morex and *mul2.b* alleles at 2H and 6H QTLs. The $F_{2:3}$ lines were genotyped with at

least four CAPS markers linked to the 2H (M269389, M5729, 2560790, and M49460) and

two markers linked to 6H (FL277086, and FL42060) QTLs. All $F_{2:3}$ lines were phenotyped

for floret number in LSs and SS phenotype in CSs. The combinations of 2H and 6H allele

frequencies and the corresponding phenotypic values for the respective genotypes are

plotted as box plots. All box plots in this study were generated using BoxPlotR (Spitzer et

al. 2014).

Results

Lateral spikelet determinacy is relaxed in the multiflorus2.b

Spikelets of barley are commonly determinate structures with a single floret being

produced per spikelet on its axis i.e. rachilla. After the first floret formation, the elongation

of the rachilla is stopped and the rest of the rachilla remains as a thin, pale yellow colored

suppressed structure adaxial to the spikelet (positioned between rachis and palea of the

spikelet; **Fig. 1A, B**). This scenario applies to both CS and LSs of all spikelet triplets along

the inflorescence axis. However, in the LSs of *mul2.b* mutants, upon initiation of the first

floret, the rachilla further elongates which leads to the development of a second floret at

the articulation junction of the elongated rachilla (**Fig. 1A, C**). In *mul2.b* mutants, the

elongated rachilla becomes visible on the adaxial side of the second floret (**Fig. 1C**). Often

the elongated rachilla on the second floret of *mul2.b* LSs is enlarged, pale green-colored,

indicating that the rachilla elongation is not completely abolished after the second floret

formation. In line with this, the rachilla in *mul2.b* LS occasionally elongates beyond the

second floret and bears a third floret (**Fig. 1D**). Thus, the rachilla elongation suppression

in LSs appears to be lost in *mul2.b* rendering the lateral spikelets indeterminate, a feature

reminiscent of indeterminate wheat spikelets (**Fig. 1E**). The rachilla elongation and

formation of second and third florets were predominantly restricted to the basal half of

the spike (**Fig. 1A**)

To understand the developmental events during the rachilla primordium initiation and

elongation, we undertook scanning electronic microscopy (SEM) analysis initially in wild-

type progenitor Montcalm. The general barley spike meristem developmental

progression starts with the transition of vegetative shoot apical meristem into

reproductive inflorescence meristem (IM). The IM initiates spikelet ridge meristem that

further differentiates into one central (CSM) and two lateral spikelet meristems (LSM),

followed by the formation of two glume primordia in the flanks of each spikelet meristem

(Koppolu and Schnurbusch 2019). At this point, in Montcalm we observed that the floret

meristem (FM) first differentiates lemma primordium on the abaxial side. After the

initiation of lemma primordium, the adaxial side of the floral meristem buds-off to initiate

the rachilla primordium (**Fig. 2A**). Later the residual floral meristem is consumed into the

initiation of lodicule, stamen, and carpel primordia (**Fig. 2B-E**). The turn of meristem

differentiation events is identical in both CSM and LSM. However, visualizing rachilla

primordium in CSM is technically challenging especially at the very early stages of spike

meristem development due to the acute angle between CSM and the axis of the spike

meristem. Hence, we followed the rachilla primordium development in LSs that are

positioned away from the spike meristem axis at appropriate floral primordia initiation

time points. In general, the organ primordia differentiated from the floret meristem of

Montcalm tended to grow normally with an apparent increase in size over the immature

spike developmental phases analyzed (**Fig. 2A-E**). However, the rate of rachilla

primordium growth appeared to be slowed down compared to other organ primordia

differentiated from the FM (**Fig. 2A-E**). At around W5.0 (central spikelet staging), the

rachilla is barely visible in the lateral spikelets at the base and middle of the spike (the

developmentally advanced portion of the spike; **Fig. 2C**). Later on, during the spike

growth period, the rachilla ceases to grow and remains as a suppressed structure in

Montcalm (**Fig. 1A, B**). In the *mul2.b* mutant, the rachilla primordium was initiated after

the differentiation of lemma primordium similar to Montcalm (**Fig. 2F**). Nevertheless, the

rachilla primordium of *mul2.b* LSs was not retarded in development and continued to increase in size along with other floral organs differentiated from floret meristem (**Fig. 2F-K**). Over different phases of immature spike development, the rachilla primordium elongated further to form a second FM (**Fig. 2I**). Importantly, the rachilla elongation and formation of additional FM in *mul2.b* was restricted to the LSs; whereas, the rachilla of CS remained as a suppressed structure (**Fig. 2K**).

Since the LSs of *mul2.b* mutants produced additional florets that are frequently fertile and grain-bearing, we evaluated various yield and biomass-related traits in *mul2.b* and Montcalm. Even though the LSs of *mul2.b* developed more florets per spikelet, a significantly increased maximum yield potential was observed in *mul2.b* compared to Montcalm (Median spikelet number at W8.0, Montcalm 88.5 spikelet primordia; *mul2.b* 102 spikelet primordia; p-value: 5.07E-13). However, the number of fertile spikelets were significantly lowered in *mul2.b* (Median fertile spikelets – 39 in *mul2.b*, 45 in Montcalm; p-value: 0.001) probably owing to the enhanced sink strength in the basal half of the spike and consequent post-anthesis growth abortion of spikelets in the apical part of the spike (**Fig. S1A-C**). The grain parameters such as thousand-grain weight (TGW), grain area (GrA), width (GW) and length (GL) did not show significant differences between Montcalm and *mul2.b* when grains from CS and LS were analyzed together (**Fig. S2A-D**). However, the differences for these traits became apparent between Montcalm and *mul2.b* when the CS and LS grains were measured separately. Interestingly the TGW of CS grains was significantly increased in *mul2.b* (p-value: 0.020) due to the slight increase in grain area, mainly contributed by the increase in GW (**Fig. S2E-H**). The TGW of LSs did not show a significant difference between Montcalm and *mul2.b* (**Fig. S2I**). The parameters GW and GL followed opposite tendencies in *mul2.b* LSs where GW was slightly higher and GL was significantly lowered (p-value: 3.61E-05) compared to Montcalm, probably leading to indifferent GrA and TGW (**Fig. S2I-L**). Apart from the grain parameters, the plant height was significantly reduced in *mul2.b* (p-value: 0.020), whereas the number of tillers including productive spike-bearing tillers and unproductive tillers remained indifferent (**fig. S1D-G**).

Lateral spikelets of mul2.b display a gradual rachilla elongation phenotype

The extent of rachilla elongation and the type of additional structures being formed in the LSs of *mul2.b* varied among spikelets of each spike. To quantify these additional

structures, we devised a score to classify them into four phenotype component classes

such as *mul2 – class I* (*mul2-I*), *mul2-II*, *mul2-III*, and *mul2-IV*. The *mul2-I* had the strongest

LS rachilla elongation phenotype with the formation of a second floret that is often fertile

and an occasional third floret (**Fig. 3B, C,** and **Fig. S3B**). Also, the second floret with an

elongated awn is part of the *mul2-I* class. The reproductive organ development is mostly

complete in *mul2-I* except for the occasional lack of carpel growth. The second floret of

mul2-II is comparatively smaller without awn elongation (**Fig. 3D, E** and **Fig. S3C**).

Occasionally, the second floret in the *mul2-II* class had stamens but carpel growth is

completely abolished. In the *mul2-III* class, the unsuppressed rachilla showed bi- or

trifurcation at the base that further elongated into a thin and long filament-like structure

(**Fig. 3F, G** and **Fig. S3D**). The *mul2-IV* phenotypic class is similar to *mul2-III* except that

the unsuppressed rachilla did not elongate into a filament-like structure (**Fig. 3H, I** and

Fig. S3E). In both *mul2-III*, and *-IV* the elongated rachilla did not attain a second floret

identity as seen in *mul2-I* and *mul2–II*. The spatial occurrence of *mul2-I, -II, -III, -IV*

individual component classes did not show any preferential pattern in the spike indicating

the random nature of their occurrence along the spike axis (**Fig. S4**). Throughout this

report, we referred to the rachilla elongation phenotype of LSs as lateral spikelet

indeterminacy (LS-IN).

mul2.b mutation promotes supernumerary spikelet formation in central spikelets

In contrast to the loss of spikelet determinacy in the LSs, the *mul2.b* mutant showed

another interesting feature, i.e. the formation of supernumerary spikelets (SS) exclusively

in the CS. The SSs were formed abaxial to the typical CSs (**Fig. 1F**). The observed

supernumerary spikelet phenotype in *mul2.b* ranged from the formation of rudimentary

floral bract-like structures to complete spikelets with occasional grain formation (**Fig.**

1F). Such a SS phenotype is also evident in the barley mutant *extrafloret.a* (BM-NIL(*flo.a*).

Interestingly, the SSs that originated at the CSs were occasionally indeterminate with

rachilla elongation and formation of second floret similar to the indeterminate LS

phenotype in *mul2.b* (**Fig. 1F**). In this report, we termed the SS phenotype on the CS as

"CS-SS".

Genetic mapping identifies genomic regions responsible for the LS-IN and CS-SS phenotypes

Previous studies reported that the LS-IN trait in *mul2.b* showed a monogenic recessive

inheritance pattern (Walker et al. 1963). Hence, we developed two F_2 mapping

populations by crossing *mul2.b* with Morex (Morex × *mul2.b*; POP 2014-1) and Montcalm

(*mul2.b* × Montcalm; POP 2014-2) for genetic mapping. Of the 163 F_2 lines phenotyped

from POP 2014-1, 35 and 83 F_{2s} showed strong and weak to moderate LS-IN phenotypes,

respectively, while 45 F_{2s} were WT, suggesting that the observed phenotype segregation

was in contradiction with the previously proposed monogenic recessive inheritance

pattern for the LS-IN trait (Walker et al. 1963). From our phenotypic analysis, the LS-IN

phenotype appeared to follow an incomplete dominance with homozygous mutant F_{2s}

showing strong and the heterozygous F_{2s} showing weak to moderate LS-IN phenotypes

($\chi 2$ = 0.591, *p-value* = 0.442). A similar incomplete dominant inheritance was also

observed for the POP 2014-2 (**Supplementary Table 2**). With respect to the CS-SS

phenotype, 99 F_{2s} of POP 2014-1 showed the phenotype whereas 64 F_{2s} did not show a

phenotype; and hence, were wild type for CS-SS. The majority of the F_{2s} with CS-SS

phenotype also had the LS-IN phenotype (70 out of 99 F_{2s}), while 29 F_{2s} that were wild

type for LS-IN also showed a weak CS-SS phenotype. Thus, the phenotypic inheritance

analysis in F_{2s} indicated that the *mul2.b* phenotype is in fact a combination of LS-IN and

CS-SS phenotypes.

For identifying the genomic region(s) harboring the *mul2.b* mutation, we adopted

sequencing-based bulked-segregant analysis by exome capture (Mascher et al. 2014;

Mascher et al. 2013). To this end, DNAs from 27 mutant F_{2s} with a strong LS-IN phenotype,

of which 20 individuals also had the CS-SS phenotype (**Figure 4A**), and 25 wild-type F_{2s}

were pooled to form the LS-IN/CS-SS mutant and wild-type bulks. The two bulks were

subjected to exome capture and subsequently sequenced on Illumina Hiseq2000. The

single nucleotide polymorphisms were identified by mapping sequence reads onto the

Morex reference genome. The SNP allele frequencies from the two pools were visualized

along the barley physical and genetic maps. Surprisingly, the allele frequency distribution

showed two distinct peaks on chromosomes 2H and 6H where in both cases the mutant

allele frequencies for the combined LS-IN/CS-SS phenotype increased over 75% and wt

allele frequency dropped to about 25% (**Fig. 4B**).

A novel locus regulates the CS-SS phenotype in mul2.b

In our BSA, allele frequencies peaked on 2H and 6H, indicating that the combined
phenotypes of LS-IN and CS-SS are regulated by two independent loci in *mul2.b*. Previous
genetic analyses linked the *flo.a* phenotype on the short arm of 6H (Druka et al. 2011).
Interestingly, the CSs of the barley BM-NIL(*flo.a*) mutant showed the identical CS-SS
spikelet phenotype as *mul2.b* (**Fig. 5A-B**), providing the possibility that both loci might be
identical. To examine this idea (**Fig. 5A-B**), we made reciprocal crosses between these
two mutant loci to evaluate allelism between them. The CS-SS phenotype in *flo.a* was
previously shown to follow a monogenic recessive inheritance (Gustafson 1969). All true
$F_{1}s$ generated from the *mul2.b* and BM-NIL(*flo.a*) crosses showed a strong CS-SS
phenotype (**Fig. 5A-C**), potentially suggesting that the CS-SS phenotype in both BM-
NIL(*flo.a*) and *mul2.b* is regulated by a single locus. However, evidence can only be
provided by checking phenotypes of $F_{2}s$. We therefore evaluated the F_2 generation of the
BM-NIL(*flo.a*) × *mul2.b* cross for the CS-SS phenotype to further verify the potential allelic
relation of *flo.a* and *mul2.b*. Surprisingly, we found segregation for the CS-SS phenotype
among the F_2 progenies: i.e. of the 395 $F_{2}s$ analyzed, 106 did not show the CS-SS
phenotype, whereas 289 $F_{2}s$ showed a very weak to very strong CS-SS phenotype (**Fig.**
5D). The observed phenotype segregation in F_2 thus indicated that the CS-SS phenotype
in *mul2.b* and *flo.a* is most likely regulated by two-independent loci(**Fig. 5E**). The allele
and phenotype proportion analysis for the BM-NIL(*flo.a*) × *mul2.b* dihybrid cross showed
that the 6H locus regulating the CS-SS phenotype in *mul2.b* followed a recessive
inheritance ($\chi 2 = 3.859$, *p-value* = 0.0495). From our exome capture data, the highest allele
frequency difference for the 6H peak lied between 59.92 – 61.05 cM (POPSEQ position),
whereas the *flo.a* locus was mapped to 52.20 cM (data not shown), indicating that the CS-
SS phenotypes in *mul2.b* and *flo.a* are regulated by two independent loci on 6H.

Genetic linkage of the LS-IN and CS-SS phenotypes to 2H and 6H
To conduct a rough genetic linkage analysis of the LS-IN and CS-SS phenotypes we
selected 11 SNPs on 2H (33.70 – 87.70 cM POPSEQ) and seven SNPs on 6H (31.90 – 92.20
cM POPSEQ) based on the introgressions identified from BSA. The SNPs were converted
to restriction enzyme-based CAPS markers for genotyping. For SNP marker mapping, we
phenotyped 136 new $F_{2}s$ of the Morex × *mul2.b* population in the year 2015 (POP 2015).
We knew that the barley spike-branching gene *COM2* is also located on 2H (45.0 cM),
mutants of which often displayed the LS-IN phenotype (McKim et al. 2018; Poursarebani
et al. 2015). To check if the LS-IN phenotype is regulated by *COM2*, we developed a CAPS

marker out of *COM2* for mapping in our population. Different from the phenotyping method followed for POP 2014-1 and POP 2014-2, we quantified the LS-IN phenotype in POP 2015, based on *mul2-I*, *-II*, *-III*, and *-IV* classes (described earlier in results). In the same F_{2s}, we quantified the CS-SS phenotype. Out of 136 F_{2s} phenotyped, 67 showed various quantities of *mul2-I, -II, -III, -IV* LS-IN phenotypes, while 69 were wildtype for LS-IN. This segregation did not fit the incomplete dominant inheritance ($\chi2 = 48.039$, *p-value* = <0.0001) observed in POP 2014-1 and POP 2014-2, sugesting some sort of plasticity for the LS-IN phenotype. With respect to the CS-SS phenotype, 62 F_{2s} of POP 2014-1 showed the CS-SS, the majority of which also had LS-IN phenotype while 74 were wt for CS-SS.

For linkage analysis of the LS-IN phenotype, we converted the phenotype quantities (cumulative frequencies of the *mul2-I, -II, -III,* and *-IV* classes) into binary scores along with SNP marker genotypes. However, after comparing the SNP and phenotype marker scores, six out of 136 F_{2s} had a mismatch between phenotype and marker genotypes. These included, two F_{2s} that showed the LS-IN phenotype but had homozygous WT genotype calls and four F_{2s} that were phenotypically wt for LS-IN but had mutant genotype calls at the majority of markers mapped on 2H (**Fig. 6A**). The two F_{2s} that had wt genotype calls on 2H showed a weak LS-IN phenotype (*mul2-III* and *-IV*; **Fig. 6A**). However, performing linkage analysis of the LS-IN phenotype and genotype scores by excluding the six conflicting F_{2s}, linked LS-IN in between markers M1865727 and M5729 away from the *COM2* gene (**Fig. 6B**). Since the LS-IN phenotype was measured in a quantitative manner, we were also able to perform QTL analysis for the LS-IN phenotype (cumulative *mul2.b-I, -II, -III, and -IV*) together with CAPS marker data from 2H and 6H. Expectedly based on our previous exome capture data, LS-IN showed a major QTL on 2H with marker M5729 explaining the highest phenotypic value (**Fig. 6C, E**). However, we also noticed another major QTL for the LS-IN phenotype on 6H with FL209450 explaining the highest phenotypic value (**Fig. 6C, E**). Furthermore, our QTL analysis of the CS-SS phenotype with marker data from 2H and 6H showed a single major QTL on 6H (**Fig. 6D-E**). Most interestingly, the CS-SS QTL co-located with the QTL region identified for LS-IN on 6H (**Fig. 6C-E**), indicating that the 6H locus may regulate both phenotypes—CS-SS and LS-IN.

Whole-genome QTL analysis reveals more and novel genetic factors conditioning the LS-IN and CS-SS phenotypes

Since our partial-genome QTL analysis of LS-IN and CS-SS in the Morex × *mul2.b* population (POP 2015) indicated that these phenotypes are probably inherited in a quantitative fashion, we intended to perform whole-genome QTL analysis in the Morex × *mul2.b* F_2 population. To this end, we screened another ~1,000 F_2s with markers M9646 and M41923 on 2H that are spaced apart by 37.8 cM (**Fig. 6B**). Between these two markers, we selected 177 recombinant individuals as an independent F_2 population (POP 2016). We quantified their LS-IN and CS-SS phenotypes by counting the instances of LS-IN (cumulatively, number of *mul2-I, -II, -III,* and *-IV* classes) and CS-SS (extra central spikelets) per plant.

The LS-IN phenotype scored in POP 2016 fitted an incomplete dominant inheritance mode as observed in POP 2014-1 ($\chi2$ = 0.092; p-value = 0.761). With respect to the CS-SS phenotype in POP 2016, 38 F_2s did not show a phenotype, whereas 138 F_2s carried the CS-SS phenotype. The majority of the F_2s harboring the CS-SS phenotype also had the LS-IN phenotype (104 F_2s). The frequencies of phenotype distributions were also skewed for the LS-IN and CS-SS phenotypes, indicating that these traits were not strictly polygenic (**Fig. S5**). In spite of that, we proceeded analyzing LS-IN and CS-SS as quantitative traits, since we had observed two QTLs (on 2H and 6H chromosomes) for the LS-IN trait in the POP 2015. For the QTL analysis of F_2s in POP 2016, we normalized the phenotypic value of both traits (LS-IN and CS-SS) by estimating BLUEs to have a more meaningful view of the phenotypes on a per spike basis.

The 177 F_2s from POP 2016 were subjected to the GBS pipeline at IPK to generate SNP marker data. From our GBS analysis we got data for 1,573 SNP markers. The low number of polymorphic markers from this population can be attributed to the high genetic similarity of the mapping genotypes Morex and Montcalm (progenitor of *mul2.b* mutation; **Fig. S6**). Upon filtering for markers with >10% missing data or two or more linked markers without recombination across F_2s, we mapped 605 markers onto 11 linkage groups. The barley chromosome 3H was split into three linkage groups, whereas 5H, 7H were split into two linkage groups due to lack of marker coverage mainly in the centromeric regions. Chromosomes 1H, 2H, 4H, and 6H formed unique linkage groups (**Fig. S6**). The genotypic and phenotypic data were used for QTL detection using Genstat 19.

Similar to the QTLs identified from POP 2015, we identified two major QTLs for LS-IN
(cumulative *mul2.b-I, -II, -III, and -IV*) on 2H (termed *QMUL2.I-IV.ipk-2H*; -log10(P)–24.70;
%PVE–32.19) and 6H (*QMUL2.I-IV.ipk-6H*; -log10(P)–10.93; %PVE–13.50) (**Fig. 7; Table
1**) in POP 2016. We also performed QTL analysis for the LS-IN component classes *mul2.b-
I, -II, -III, and –IV* separately to further dissect the genetic basis of the individual phenotype
classes. For the *mul2-I, -II,* and *-III* classes major QTLs were detected on 2H and 6H which
co-located with *QMUL2.I-IV.ipk-2H* and *QMUL2.I-IV.ipk-6H* (**Fig. 7; Table 1**). For the
weakest LS-IN component class - *mul2.b-IV*, only the 2H QTL co-located with both LS-IN
cumulative and component QTLs on 2H while the 6H QTL was not detected for this class
(**Fig. 7; Table 1**). Apart from the 2H and 6H QTLs for the *mul2.b-III* LS-IN component class,
we identified two minor QTLs, *QMUL2.III.ipk-3H(1)* and *QMUL2.III.ipk-3H(2)* on 3H(1) and
3H(2) linkage groups explaining 8.43% and 5.65% of the observed phenotypic variation
(**Fig. 7; Table 1**). Notably, in four out of five QTLs detected for the LS-IN trait the high
phenotype value allele was derived from the *mul2.b* mutant parent; except for
QMUL2.III.ipk-3H(2), here the high-value allele was derived from Morex (**Fig. S9**).

For the CS-SS trait a single major QTL on 6H was identified (*QMUL2.SS.ipk-6H*; -log10(P)–
26.38; %PVE – 47.11; **Fig. 7; Table 1**). Interestingly, *QMUL2.SS.ipk-6H* co-located with
QMUL2.I-IV.ipk-6H and also with the 6H QTLs for LS-IN component classes, *mul2-I, -II,* and
-III (**Fig.7**), indicating that a single genetic factor may underlie the LS-IN and CS-SS QTLs
on 6H. We also found a minor QTL for the CS-SS trait on 5H(2) - *QMUL2.AS.ipk-5H(2)*
explaining 4.32% of phenotypic variation (**Fig. 7; Table 1**). Interestingly, the high
phenotype value allele for *QMUL2.AS.ipk-5H(2)* originated from the Morex parent (**Fig.
S10**). Thus, the Morex-derived high phenotype value alleles of minor QTLs, *QMUL2.III.ipk-
3H(2)* and *QMUL2.AS.ipk-5H(2)* for LS-IN and CS-SS showed that independent genetic
factors responsible for controlling these traits are present in both parents of the Morex ×
mul2.b cross.

QTL allele effects for the LS-IN and CS-SS phenotypes
To estimate the phenotypic effect contributed by QTL alleles for the LS-IN trait, we
evaluated combinations of Morex ("MM"), *mul2.b* (allele "*mm*"), and Morex/*mul2.b* (alleles
"*M/m*") alleles at *QMUL2.I-IV.ipk-2H* and *QMUL2.I-IV.ipk-6H* QTLs in F_2. Interestingly, the
strongest mutant LS-IN phenotype (cumulative *mul2.b-I, -II, -III, and -IV*) was manifested
only in F_{2s} with mutant "*mm*" alleles present in both QTL loci (**Fig. S7A**). The LS-IN

phenotypic effect got drastically reduced in F_{2s} with alleles "*mm*" and "*MM*" at 2H and 6H

QTLs, respectively (**Fig. S7A**). Also heterozygosity at both QTL loci in combination with

the respective mutant "*mm*" allele [e.g. *mm*(2H)/*Mm*(6H); or *Mm*(2H)/*mm*(6H)]

enhanced LS-IN phenotype in comparison with the wild type allele combination, [(e.g.

mm(2H)/*MM*(6H) or *MM*(2H)/*mm*(6H)] indicating that *QMUL2.I-IV.ipk-6H* enhanced

QMUL2.I-IV.ipk-2H effect in an additive manner (**Fig. S7A**). The single major QTL for the

CS-SS trait (*QMUL2.SS.ipk-6H*), however, was not influenced by the alleles at *QMUL2.I-*

IV.ipk-2H, indicating that the CS-SS trait is majorly controlled by 6H QTL **(Fig. S7B)**.

Similar phenotypic effects for LS-IN and CS-SS traits were also observed in $F_{2:3}$ generation

(**Fig. S8**), indicating the stable inheritance of these phenotypes.

The LS-IN component trait *mul2.b-III* had two major QTLs, one on 2H (*QMUL2.III.ipk-2H*)

and 6H (*QMUL2.III.ipk-6H*) but also two minor QTLs on 3H (*QMUL2.III.ipk-3H(1)*,

QMUL2.III.ipk-3H(2)). Interestingly, the phenotypic effect was highly enhanced in F_{2s} with

favorable QTL alleles at these four loci, i.e. *mul2.b* allele at 2H, 6H, 3H(1) QTLs, and Morex

allele at 3H(2) QTL, indicating the additivity of these loci (**Fig. S9**). Also for the CS-SS trait,

a similar additive phenotype was observed in F_2 lines carrying high phenotype value

alleles at *QMUL2.SS.ipk-6H* (*mul2.b* alleles) and *QMUL2.SS.ipk-5H(2)* (Morex alleles) loci

(**Fig. S10**).

Discussion

Mutations in multiflorus.2b induce two ancestral phenotypes – LS-IN and CS-SS

The spikes of the *Triticeae* tribe are proposed to be derivatives of ancestral compound

spike/panicle inflorescences obtained through an evolutionary series of inflorescence

branch complexity reduction (Koppolu and Schnurbusch 2019; Perreta et al. 2009;

Vegetti 1995). Within Triticeae species, spike inflorescences show structural differences

in terms of determinacy at the levels of the spike (presence or absence of terminal

spikelet) and spikelet (uni- or multi-floreted spikelet) (Sakuma et al. 2011). Uni-floreted

condition is manifested due to suppression of rachilla elongation after the initiation of the

first floret, whereas in multi-floreted spikelets rachilla elongation continues to generate

more florets. Two peculiar Triticeae species with contrasting determinacy features at

both levels include barley (determinate spikelet, indeterminate spike) and wheat

(determinate spike, indeterminate spikelet). It was proposed that terminal spikelet

identity (determinate spike) and multi-floreted conditions (indeterminate spikelet) of

wheat are ancestral whereas indeterminate spike and uni-floreted (determinate spikelet)

conditions are derived features in barley (Clayton 1990; Zhong et al. 2021). The mutants

of barley *mul2.b* lost the spikelet determinacy producing ancestral multi-floreted

condition similar to wheat spikelets, but the derived spike indeterminacy character is

retained. Interestingly the multi-floreted condition was restricted to LS of *mul2.b* (LS-IN)

with CSs retaining the determinate character. Such determinacy differences between CS

and adjacent LSs could be probably due to separate genetic factors controlling this

condition in CS and LS differently.

Notably, mutants of *mul2.b* also produce supernumerary spikelets (SS) in the CS (CS-SS).

The SS feature appears to be analogous with the paired spikelet phenotype of wheat and

the spikelet pair phenotype characteristic to maize, sorghum, and other *Andropogoneae*

species (Boden et al. 2015). Also, species belonging to the Triticeae genera *Crithops* and

Taeniatherum bear two spikelets at each rachis node on the spike (Frederiksen and

Seberg 1992), probably indicating that the mechanism regulating SS phenotype is an

ancestral feature retained in some of the *Triticeae* species. However, the CS-SS phenotype

in *mul2.b* and *flo.a* could be potentially a revertant ancestral phenotype due to mutations

in respective loci.

***LS-IN and CS-SS are quantitatively inherited in the Morex × mul2.b* cross**

Based on our in-depth phenotypic analysis we showed that the *mul2.b* phenotype is a

combination of rachilla elongation in LSs (LS-IN) and supernumerary spikelet formation

in CSs (CS-SS). The previous genetic and phenotypic analyses involving *mul2.b* apparently

did not consider the CS-SS phenotype in central spikelets. Also, the LS-IN phenotype was

not evaluated in sufficieent detail probably owing to the difficulty in visualizing the

rachilla elongation in lateral spikelets. This might have potentially lead to the

classification of *mul2.b* as a monogenic recessive locus (Walker et al. 1963). Our QTL

analysis based on comprehensive LS-IN and CS-SS phenotypes revealed two major QTLs

for LS-IN (*QMUL2.I-IV.ipk-2H, QMUL2.I-IV.ipk-6H*) and one major QTL for the CS-SS

phenotype (*QMUL2.SS.ipk-6H*) (**Fig. 7; Table 1**). Also, two minor QTLs for LS-IN

[*QMUL2.III.ipk-3H(1), QMUL2.III.ipk-3H(2)*] and one for CS-SS phenotype [*QMUL2.SS.ipk-*

5H(2)] were identified indicating the quantitative nature of the *mul2.b* phenotypes (**Fig.**

7; Table 1). It should be noted that the cv. Morex (wt parent) occasionally showed mild

and infrequent rachilla elongation in LSs as well as supernumerary spikelet formation in

CS (data not shown). Consistent with this, we observed for each trait one minor QTL,

QMUL2.III.ipk-3H(2) and *QMUL2.SS.ipk-5H(2)*, where the phenotypic high-value allele was

derived from Morex (**Fig. 7; Table 1**). Previous genetic analyses in wheat involving the

paired spikelet phenotype (analogous to *mul2.b* CS-SS) also reported quantitative

variation for this trait (Boden et al. 2015; Dobrovolskaya et al. 2015; Echeverry-Solarte et

al. 2014).

Our genetic analysis of LS-IN and CS-SS phenotypes revealed co-locating QTLs on 6H

(*QMUL2.I-IV.ipk-6H, QMUL2.SS.ipk-6H*; **Fig. 7**), indicating that these phenotypes are

potentially regulated by a single locus on 6H. On top of that, the phenotypic effect of LS-

IN QTL on 2H is significantly enhanced by the 6H QTL alleles in an additive manner,

without which, the LS-IN phenotypic was drastically reduced (**Fig. S7**). Interestingly, the

Bowman near-isogenic line of *mul2.b* (BM-NIL(*mul2.b*); GSHO 2089, BW607) showed a

mild LS-IN phenotype, whereas the CS-SS phenotype is completely missing in BM-

NIL(*mul2.b*) (**Fig. S11**). Such a mild LS-IN phenotype and lack of CS-SS phenotype in BM-

NIL(*mul2.b*) could be probably due to the lack of *mul2.b* 6H introgression from the

original mutant that enhances the phenotypic effect of 2H QTL. For the LS-IN phenotype

component phenotype class *mul2.b-III* we also identified two minor QTLs on 3H. Thus, our

precise phenotype quantification data guided us to genetically dissect genomic regions

responsible for various LS-IN phenotypes.

Conclusion

Yield in small grain cereals such as barley and wheat is mainly contributed by the number

of grains produced per unit area (Ferrante et al. 2017; García et al. 2015; Sakuma and

Schnurbusch 2020; Serrago et al. 2013). The grain number can be improved e.g. either by

increasing spikelet number per spike, or enhancing floret fertility within spikelets. Here

we characterized a barley mutant, *mul2.b*, that showed multi-floreted spikelets as well as

more spikelets per spike (supernumerary spikelet formation). Through our genetic

analysis, we identified component QTLs conditioning these two trait phenotypes. Further,

we laid the foundation for identifying and characterizing the genetic factors underlying

these phenotypes by QTL cloning in the future.

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Author contributions

R.K. conceptualized the study together with T.S.; T.S. and R.K. jointly supervised the study. R.K. generated mapping populations and established the *mul2.b* phenotyping methodology. R.K. and G.J. conducted phenotypic and genetic analyses. Q.H.M. generated BLUE scores for POP 2016. S.G.M. conducted GBS data analysis for POP 2016. T.R. conducted scanning electron microscopy of immature barley spikes. M.M. conducted exome capture data analysis for POP 2014. R.K. drafted the MS with the help from T.S. All authors read and approved the MS.

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   Main text figures

Figure 1. Spike and spikelet phenotypes of cv.Montcalm and derived mutant *mul2.b.*
(A) cv. Montcalm and *mul2.b* spikes. The dorsal triple spikelet nodes of Montcalm and
mul2.b are stripped-off in-order to visualize the rachilla of the lateral spikelets from
ventral nodes. The rachilla of lateral spikelets in Montcalm and *the* second floret on the
elongated rachilla of *mul2.b* are colored in yellow and turquoise blue respectively. (B)
Triple-spikelet node of Montcalm with rachilla of central and lateral spikelets colored in
yellow. (C) Triple spikelet node of *mul2.b* mutant showing the formation of the second
floret in lateral spikelet nodes (LS-F2). The rachilla on LS-F2 is colored in yellow. (D) The
lateral spikelet node of *mul2.b* showing the formation of the second (LS-F2) and third
florets (LS-F3). (E) A representative wheat spikelet with six visible florets (F1-F6). (F)
mul2.b spike showing additional florets in lateral spikelets (blue highlighted) and
supernumerary spikelets (green highlighted) on the abaxial side of central spikelets.
Awns in all images (except 1E) are clipped-off for better visualization of spikelet features.
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Figure 2. Scanning electron micrographs highlighted with rachilla primordia in Montcalm and derived mutant *mul2.b*.

(A-C) Immature spikes of cv. Montcalm at Waddington W4.0 (A), W4.5 (B), and ~W5.0 (C). (D) Close-up view of three triple-spikelet nodes of Montcalm (E) Top-down view of single triple-spikelet of Montcalm. The lateral spikelet rachilla primordia in figures 2A-E are colored in yellow. (F-I) Immature spikes of *mul2.b* at ~W4.0 (F), W4.5(G), ~W5.0 (H), and W6.0 (I). (J) Close up view of three triple-spikelet nodes of *mul2.b*. (K) Top-down view of single triple-spikelet of *mul2.b*. The rachilla primordium/second floret in lateral spikelets in figures 2F-K are colored in blue. The rachilla primordium seen on the second floret in 2I, 2J, and central spikelet in 2K is colored in yellow. All immature spikes in figure 2 are staged based on the central spikelet development.

Figure 3. Phenotype classification for lateral spikelet indeterminacy.

(A) Representative spikelet-triplets showing wild-type rachilla of lateral and central spikelets. (B-E) elongated lateral spikelet rachilla developing class I (B, C) and class II additional florets (D, E). (F-G) Class III rachilla elongating into a thin and long filament-like structure. (H-I) Class IV phenotype showing a bifurcated (H) or wedge-shaped rachilla in lateral spikelets (I). Rachilla/additional florets/rachilla malformations in lateral spikelets are indicated with white arrowheads. Yellow arrowheads in figure 3D and E indicate a pedicel below the extra floret in lateral spikelets.

Figure 4. Exome capture-based bulked segregant analysis in Morex × *mul2.b* (POP 2014-1)

Allele frequency plot showing the frequency of wild-type (Morex) and mutant (*mul2.b*) allele distributions across seven barley chromosomes. (B) Graph showing number of F$_2$ lines per exome capture pool, number of exome capture lines showing supernumerary spikelet phenotype, and strength of supernumerary spikelet phenotype (total supernumerary spikelets per pool) in WT and mt pools.

BM-NIL(*flo.a*) × MC(*mul2.b*)-F$_2$ supernumerary spikelet phenotypes

BM-NIL(*flo.a*) MC(*mul2.b*) BM-NIL(*flo.a*) × MC(*mul2.b*)-F$_1$

WT Weak Moderate ←——— Strong ———→

E

BM-NIL(*flo.a*) × MC(*mul2.b*)-F$_2$ supernumerary spikelet phenotypes

Genotype	Allele combination	Dihybrid proportion	ss recessive	SS dominant
FFSS	FF & SS double dominant	1	No	Yes
FFSs	FF dominant + Ss heterozygote	2	No	Yes
FfSS	Ff heterozygote + SS dominant	2	No	Yes
FfSs	Ff & Ss heterozygotes	4	May be	Yes
FFss	FF dominant + ss recessive	1	Yes	No
Ffss	Ff heterozygote + ss recessive	2	Yes	No
ffSS	ff recessive + SS dominant	1	Yes	Yes
ffSs	ff recessive + Ss heterozygote	2	Yes	Yes
ffss	ff & ss double recessive	1	Yes	Yes
Expected lines with mutant phenotype for two genes (%)			68.57%	81.04%
Observed lines with additional spikelet (weak to strong flo.a phenotype)			73.16%	73.16%
Chi-squared (1df)			3.859	15.965
P-value			0.0495	<0.0001

F – Dominant *FLO.A*; S – dominant second supernumerary spikelet locus; f – Recessive *flo.a*;
s – recessive second supernumerary spikelet locus; S/s & F/f - Heterozygote

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Figure 5. Allelism analysis between *mul2.b* and BM-NIL(*flo.a*).
(A-C) Supernumerary spikelet formation in BM-NIL(*flo.a*) (A), *mul2.b* (B), and the
respective F$_1$ hybrid (C). (D) Supernumerary spikelet phenotypes in BM-NIL(*flo.a*) ×
mul2.b F$_2$ progenies. (E) Mode of inheritance of supernumerary spikelet phenotype in BM-
NIL(*flo.a*) × *mul2.b*. Supernumerary spikelets are indicated by blue arrowheads. F –
Dominant *FLO.A*; S – dominant second supernumerary spikelet locus; f – Recessive *flo.a*; s
– recessive second supernumerary spikelet locus; S/s & F/f – Heterozygote.
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Trait	QTL	Chr.	Position (cM)	log10(P)	%Expl. Var.	Add. eff.	High allele	Dom. eff.	Dominant allele
mul2.b_LS-IN	M5729	2H	18.7	5.435	9.565	7.608	*mul2.b*	7.168	Morex
mul2.b_LS-IN	FL209450	6H	12.38	3.945	11.632	8.39	*mul2.b*	6.17	Morex
mul2.b_CS-SS	FL52245	6H	6.41	8.787	21.428	3.352	*mul2.b*	2.944	Morex

Figure 6. Linkage and QTL mapping of LS-IN and CS-SS phenotypes in Morex × *mul2.b* (POP 2015).

(A) The upper panel shows the graphical genotypes of CAPS markers (derived from 2H LS-IN introgression identified from exome capture) that are not fitting with the LS-IN phenotype shown in the lower panel. The linkage map for rachilla elongation/additional floret phenotype after omitting the 6 lines with genotype-phenotype conflicts reported in figure 6A. (C) QTLs for rachilla elongation/additional floret on 2H, 6H chromosomes. (D) QTL for supernumerary spikelet phenotype on the 6H chromosome. (E) Summary of QTLs reported in figures 6C, and D.

Figure 7. Identified QTLs for LS-IN and CS-SS phenotypes in the Morex × *mul2.b* (POP 2016)

Main text tables

Table 1. List of identified QTLs for LS-IN and CS-SS traits in the Morex × *mul2.b* (POP 2016)

Table 1. List of QTLs identified for LS-IN and CS-SS traits in Morex x mul2.b (POP 1-2016)

Chr.	QTL	Pos (cM)	Sig. Marker	Pos (cM)2	Genetic Interval	-log10(P)	Add. Eff.	High Allele	Dom. Eff.	Dom. Allele	R2 (%)
2H	*QMUL2.I-IV.ipk-2H*	83.00	MK.2H.584.12.1	83.20	75.24 - 90.75	24.70	3.91	mul2.b	2.38	Morex	32.19
6H	*QMUL2.I-IV.ipk-6H*	50.00	MK.6H.409.61.1	50.10	24.72 - 75.27	10.93	2.53	mul2.b	1.49	Morex	13.50
2H	*QMUL2.I.ipk-2H*	79.50	MK.2H.584.12.1	83.20	58.69 - 100.30	10.89	1.61	mul2.b	1.27	Morex	15.20
6H	*QMUL2.I.ipk-6H*	48.00	MK.6H.174.77	48.20	35.36 - 60.63	13.93	1.93	mul2.b	1.56	Morex	21.64
2H	*QMUL2.II.ipk-2H*	83.00	MK.2H.584.12.1	83.20	71.20 - 94.79	15.69	0.32	mul2.b	0.26	Morex	22.83
6H	*QMUL2.II.ipk-6H*	49.00	MK.6H.400.46	49.90	0 - 114	4.36	0.17	mul2.b	-	-	6.57
2H	*QMUL2.III.ipk-2H*	83.00	MK.2H.584.12.1	83.20	74.40 - 91.59	18.75	1.61	mul2.b	0.75	Morex	29.53
6H	*QMUL2.III.ipk-6H*	62.00	MK.6H.522.77.1	62.40	0 - 114	3.88	0.66	mul2.b	-	-	4.98
3H	*QMUL2.III.ipk-3H(1)*	8.00	MK.3H.10.71	8.80	0 - 18	6.41	0.86	mul2.b	-	-	8.43
3H	*QMUL2.III.ipk-3H(2)*	27.00	MK.3H.581.28	27.70	0 - 27	4.44	0.70	Morex	-	-	5.65
2H	*QMUL2.IV.ipk-2H*	83.00	MK.2H.584.12.1	83.20	73.22 - 92.77	11.48	0.60	mul2.b	-	-	26.53
6H	*QMUL2.AS.ipk-6H*	48.00	MK.6H.174.77	48.20	42.97 - 53.03	26.38	1.24	mul2.b	0.73	Morex	47.11
5H(2)	*QMUL2.AS.ipk-5H(2)*	13.00	MK.5H.602.48	13.80	0 - 66	3.76	0.38	Morex	-	-	4.32

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Supplementary figures and tables

MYP at ~W8.0, fertile and sterile spikelets after anthesis-GH experiment 2020

Plant height and tillers-GH experiment 2020

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Supplementary figure 1. Spike and biomass-related traits – Montcalm vs. *mul2.b*.
(A) Maximum yield potential of Montcalm and *mul2.b* measured before anthesis. (B-C) Fertile grain-bearing spikelets (B) and sterile spikelets (C) at spike maturity. (D-G) Biomass-related traits such as plant height (D) total tillers (E), productive (F), and unproductive (G) tillers. P-values were calculated based on *Student's t-test* (two-tailed).

Supplementary figure 2. Grain morphometry parameters – Montcalm vs. *mul2.b*.
(A-D) Thousand-grain weight (A), grain area (B), width (C), and length (D) of Montcalm
and *mul2.b* measured from central and lateral spikelet grains together. (E-H) Thousand-
grain weight (E), grain area (F), width (G), and length (H) of Montcalm and *mul2.b*
measured from central spikelet grains. (I-L) Thousand-grain weight (I), grain area (J),
width (K), and length (L) of Montcalm and *mul2.b* measured from lateral spikelet grains.
P-values were calculated based on *Student's t-test* (two-tailed).

Wt – lateral spikelet rachilla   *mul2.b* – Class I phenotype   *mul2.b* – Class II   *mul2.b* – Class III   *mul2.b* – Class IV

Supplementary figure 3. *mul2.b* phenotype classification for lateral spikelet indeterminacy.

(A) Wild-type rachilla of Montcalm. (B-C) class I (B) and class II (C) additional florets excised from the lateral spikelets of *mul2.b*. (D-E) Malformed rachillae Class III (D) and class IV (E) rachillae excised from lateral spikelets.

Supplementary figure 4. Distribution of different classes of lateral spikelet indeterminacy and supernumerary spikelet phenotypes in spikes of the *mul2.b* mutant.

The yellow-colored cells at spikelet node 1 and in the upper spikelet nodes indicate the aborted spikelets at the base and top of the spike, respectively after anthesis. Cells highlighted in green indicate the fertile spikelets that potentially bear a grain. The cells in orange indicate the unfilled spikelets (spikelets formed but did not grow further). Classes, I, II, III, and IV indicate respective phenotypes for rachilla indeterminacy or additional floret formation in lateral spikelets, whereas SS is supernumerary spikelet phenotype in central spikelets. The notation "!" in the upper aborted spikelets indicates the absence of phenotypic information for lateral spikelet indeterminacy/additional spikelet and supernumerary spikelet phenotypes. CS – Central Spikelet; LL – Left Lateral spikelet; RL – Right Lateral spikelet; SS – Supernumerary spikelet.

Supplementary figure 5. Frequency distribution of LS-IN and CS-SS phenotypes in Morex × *mul2.b* **(POP 2016)**

A

B

Chromosome	Markers/linkge group*	Linkage group length	Average inter-marker distance
1H	82	111.89	1.36
2H	101	188.66	1.87
3H(1)	12	18.15	1.51
3H(2)	24	27.75	1.16
3H(3)	56	59.10	1.06
4H	57	96.65	1.70
5H(1)	59	105.42	1.79
5H(2)	30	66.34	2.21
6H	93	114.43	1.23
7H(1)	79	104.13	1.32
7H(2)	12	23.46	1.96
Total/average	605.00	915.98	1.51

* Number of markers after filtration for <10% missing data/marker or filtration for two or more linked markers showing no recombination

1170
1171 **Supplementary figure 6. Linkage map features – Morex × *mul2.b* (POP 2016)**
1172 (A) PCA plot of barley BRIDGE panel genotypes showing the high similarity between
1173 Montcalm (progenitor of *mul2.b* mutant) and Morex genotypes. (A) Features of genetic
1174 linkage maps for Morex × *mul2.b* – F_2 lines constructed using markers derived from
1175 genotyping by sequencing.
1176

1177

Supplementary figure 7. Phenotypic effect of LS-IN and CS-SS QTLs in Morex ×
***mul2.b*-F$_2$.**
(A) Cumulative phenotypic effect of *QMUL2.I-IV.ipk-2H* and *QMUL2.I-IV.ipk-2H* QTL alleles
(B) Cumulative phenotypic effect *QMUL2.I-IV.ipk-2H* and *QMUL2.SS.ipk-6H* QTL alleles.
Phenotype frequencies shown in the box plots are derived from the genotypes of markers
with the highest –log10 (p-value) and respective trait phenotype values.
1184

1185
1186 **Supplementary figure 8.** Phenotypic effect of LS-IN (A) and CS-SS traits (B) in $F_{2:3}$
1187 progenies of Morex × *mul2.b*. The markers explaining the highest phenotypic value for the
1188 respective traits at 2H and 6H QTL were considered for the analysis.

1208
1209 **Supplementary figure 9.** Phenotypic effect of LS-IN trait at individual QTLs
1210 *QMUL2.III.ipk-2H* (A) *QMUL2.III.ipk-3H(1)* (B) *QMUL2.III.ipk-6H* (C) and *QMUL2.III.ipk-*
1211 *3H(2)* (D). (E) The cumulative phenotypic effect from the four QTLs for class III LS-IN
1212 phenotype. Phenotype frequencies shown in the box plots are derived from the genotypes
1213 of markers with the highest –log10 (p-value) and respective trait phenotype values.
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1215
1216
1217

Supplementary figure 10. Phenotypic effect of CS-SS trait at individual QTLs *QMUL2.SS.ipk-6H* (A) and *QMUL2.SS.ipk-5H(2)* (B). (C)The cumulative phenotypic effect from both QTLs. Phenotype frequencies shown in the box plots are derived from the genotypes of markers with the highest –log10 (p-value) and respective trait phenotype values.

BM-NIL(*mul2.b*)   *mul2.b* in Montcalm

Supplementary figure 11. CS-SS phenotype in BM-NIL(*mul2.b*) and *mul2.b* in Montcalm background.