1 Sensory readout accounts for adaptation

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2 Abstract

- 3 Sensory responses and behavior are strongly shaped by stimulus history. For instance, perceptual
- 4 reports are sometimes biased towards previously viewed stimuli (serial dependence). Previous
- 5 behavioral studies suggest that serial dependence is implemented via modulations in visual cortex, but
- 6 neural evidence is lacking. We recorded fMRI responses while human participants performed a delayed
- 7 orientation discrimination task. While behavioral reports were *attracted* to the previous stimulus,
- 8 response patterns in sensory areas were *repelled*. We reconciled these opposing biases using a model
- 9 where both sensory encoding and readout are shaped by stimulus history. Neural adaptation reduces
- 10 redundancy at encoding and leads to the repulsive biases that we observed in visual cortex. Serial
- 11 dependence is not implemented in visual cortex but rather by readout mechanisms that account for
- 12 adaptation during encoding. The model suggests the visual system improves efficiency via adaptation
- 13 while still optimizing behavioral readout based on the temporal structure of natural stimuli.
- 14 Keywords: neural adaptation, sensory decoding, Bayesian inference, serial dependence

15 Introduction

16 Natural stimuli are known to demonstrate statistical dependencies across both space and time, such as a prevalence of vertical and horizontal (cardinal) orientations and a higher probability of small 17 orientation changes in sequential stimuli¹⁻³. These regularities can be leveraged to improve the 18 19 efficiency and accuracy of visual information processing. We use the term "encoding" to refer to the 20 initial conversion of external sensory information into neural activity patterns and the term "readout" to 21 refer to the readout of these encoded signals to shape behavior. At encoding, regularities yield 22 attenuated neural responses to frequently occurring stimuli ("adaptation"), reducing metabolic cost and 23 redundancy in neural codes. At readout, regularities support the formation of Bayesian priors that can 24 be used to bias perception in favor of higher probability stimuli. On their own, both adaptation and Bayesian readout can explain a variety of behavioral phenomena such as improved precision around the 25 26 cardinal axes (oblique effect) and why we remember objects as being closer to the average exemplar (contraction bias) ^{4–6}. While the effects of sensory history on sensory coding and behavior have been 27 28 studied extensively, it is unclear how changes at encoding shape readout and behavior.

Adaptation increases coding efficiency by modulating sensory tuning properties as a function of the recent past. For instance, reducing the gain of neurons tuned to a recently seen adapting stimulus reduces the temporal autocorrelation of activity when similar stimuli are presented sequentially. In turn, reducing these autocorrelations improves the overall efficiency of sensory codes: fewer spikes are dedicated to encoding redundant stimuli, and the presence of a novel stimulus can be more easily detected as it will be accompanied by a sudden increase in activity ^{2,7–15}. Importantly, adapted representations early in the processing stream (e.g. in LGN) are inherited by later visual areas ^{11,16,17}.

Although adaptation increases coding efficiency, it comes at a cost to perceptual fidelity as adaptation can lead to repulsion away from the adapting stimulus for features such as orientation and motion direction ^{18–20}. For instance, after continuously viewing and adapting to motion in one direction, stationary objects will appear to be moving in the opposite direction (i.e., current perceptual representations are *repelled* away from recent percepts). However, this potentially deleterious

- 41 aftereffect is accompanied by better discriminability around the adapting stimulus, which may be more
- 42 important than absolute fidelity from a fitness perspective ^{14,21–24}.

43 In contrast to the repulsive biases associated with neural adaptation, perception is sometimes 44 attracted to recently attended items in conditions where weak stimuli are attended – a phenomenon 45 termed "serial dependence". As serial dependence can impact immediate perceptual reports and the relative perception of simultaneously presented items, some have suggested that it reflects modulations 46 in early stages of sensory processing ^{25–27}. In line with this idea, one fMRI study demonstrated that early 47 sensory biases match 'attractive' behavioral reports ²⁸. However, consecutive stimuli were always either 48 49 the same or orthogonal orientations, conditions where serial dependence effects on behavior are 50 negligible ^{26,29,30}. Thus, without sampling the entire stimulus feature space, it is unclear how to integrate 51 this finding with related empirical and theoretical work on serial dependence. Counter to studies 52 suggesting a sensory locus of serial dependence, other behavioral results have found that serial 53 dependence does not occur immediately after encoding but instead emerges only, and increases with, a working memory maintenance period $^{31-33}$. This observation suggests that serial dependence is not the 54 55 product of early sensory coding ³² and instead might be implemented by a later readout or memory maintenance circuit ^{34,35}. There is evidence that such a readout mechanism is Bayesian, as the influence 56 57 of the "prior" (the previous stimulus) is larger when sensory representations are less precise due to either external or internal noise ^{27,35}. Thus, the collective evidence is mixed, with some studies pointing 58 59 towards an early sensory locus and others to later stages of readout and memory storage.

60 This lack of consensus suggests that assessing interactions between sensory and readout stages 61 of processing may be key to better understanding the impact of stimulus history on perception. For 62 example, previous work suggests that readout does not account for neural adaptation that happens during encoding, as adaptive repulsive biases cascade across layers of the visual processing hierarchy 63 and penetrate behavioral reports ^{11,17,36}. These studies, however, did not consider paradigms where the 64 65 adapting stimulus was behaviorally relevant. Attending to relevant stimuli may shape how readout 66 stages account for the current state of adaptation, possibly inducing attractive serial dependence. To 67 assess this possibility, we utilized multivariate fMRI decoding techniques to characterize how 68 representations in early visual areas change as a function of stimulus history during a delayed 69 orientation discrimination task (Figure 1A). We replicated classic "serial dependence" findings where 70 behavioral reports were attracted to the orientation of the previous stimulus. We found that this 71 attractive behavioral bias was not accompanied by attractive biases in visual cortex, as predicted by 72 early sensory models of serial dependence. Rather, we observed repulsive biases in early visual cortex, 73 consistent with adaptation. To explain these results, we examined several possible read-out 74 mechanisms and found that only decoding schemes that account for adaptation can explain attractive 75 serial dependence in behavior. More generally, these results explain how the visual system can reduce 76 energy usage without sacrificing precision by optimizing encoding and behavioral readout relative to the 77 temporal structure of natural environments.

78 Results

79 Behavior

To probe the behavioral effects of serial dependence, we designed a delayed discrimination task where participants judged whether a bar was tilted CW or CCW relative to the orientation of a remembered grating (Figure 1A). We first report the results from a behavior-only study (n=47) followed by an analysis of neural activity for a cohort completing the same task in the scanner (n=6). Task difficulty was adjusted for each participant by changing the magnitude of the probe offset ($\delta\theta$) from the remembered grating and was titrated to achieve a mean accuracy of ~70% (accuracy 69.8±0.82%, $\delta\theta$: 4.95±0.27°; all reported values mean ±1SEM unless otherwise noted). Fixing subjects at this

intermediate accuracy level helped to avoid floor/ceiling effects and improved our sensitivity to detect
 perceptual biases while keeping participants motivated.

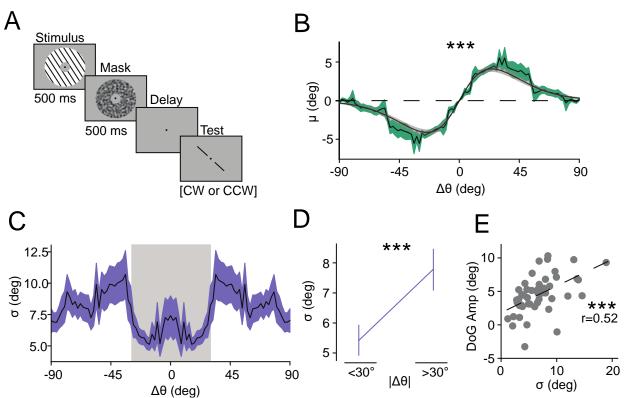


Figure 1 Behavior. **A**: Task Schematic. An orientated stimulus is followed by a probe bar that is rotated <15° from the stimulus. Participants judged whether the bar was CW or CCW relative to the stimulus in a binary discrimination task. **B**: Behavioral bias, green: average model-estimated bias as a function of $\Delta \theta = \theta_{n-1} - \theta_n$ (± SEM across participants); gray: average DoG fit to raw participant responses sorted by $\Delta \theta$ (± 1SEM across participants). **C**: Behavioral σ as a function of $\Delta \theta$, shaded region corresponds to $|\Delta \theta| < 30^\circ$. **D**: Behavioral variance is significantly less for $|\Delta \theta| < 30^\circ$. **E**: Bias is correlated with variance across participants. ***, p<.001

To quantify the pattern of behavioral responses, we modelled the data as the product of a noisy encoding process described by a Gaussian function centered on the presented orientation with standard deviation σ and bias μ. Optimal values for σ and μ were found by maximizing the likelihood of responses for probes of varying rotational offsets from the remembered stimulus, thus converting pooled binary responses into variance and bias measured in degrees (see <u>Response Bias</u>, Figure S1). This allowed us to measure precision for individual participants and also allowed us to measure how responses were

biased as a function of the orientation difference between the remembered gratings on consecutive trials $\Delta \theta = \theta_{n-1} - \theta_n$, an assay of serial dependence. To increase power and remove systematic response biases, we 'folded' responses relative to $\Delta \theta$ such that all analysis have either rotational (Figure 1B) or horizontal (Figure 1C) symmetry ³⁴.

99 Responses were clearly biased towards the previous stimulus (Figure 1B, green curve), which we quantified by fitting a Derivative-of-Gaussian (DoG) function to the raw response data for each 100 participant (gray curve; amplitude: $4.53^{\circ}\pm0.42^{\circ}$, t(46) = 7.8, $p = 5.9^{*}10^{-10}$, one sample t-test; full width at 101 half max (FWHM): 42.9°±1.8°, see Serial Dependence). The magnitude and shape of serial dependence is 102 103 consistent with previous reports 26,29 . We next examined how response precision (σ) varied as a function 104 of $\Delta \theta$ and found that responses were more precise around small changes (Figure 1C), again consistent with previous reports ³⁷. We quantified this difference in precision by splitting trials into 'close' and 'far' 105 106 bins (greater than or less than 30° separation) and confirmed that responses following 'close' stimuli 107 were more precise (t(46)=-3.72, p=0.0003, paired 1-tailed t-test, Figure 1D, see Response Precision). 108 Note that the choice of 30° was arbitrary and all threshold values between 20° and 40° yielded a

109 significant (p<.05) result.

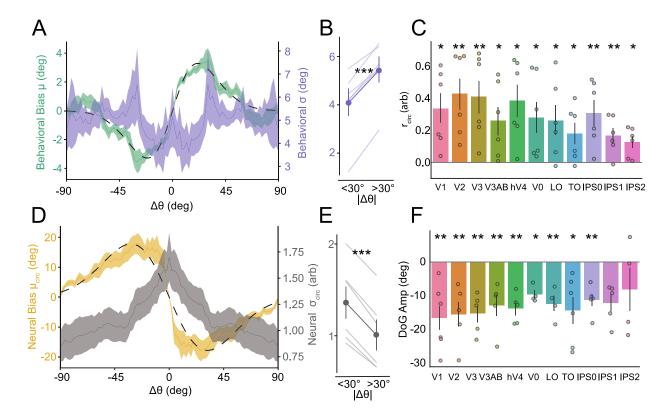
Previous work has shown that serial dependence is greater when stimulus contrast is lower ²⁷
 and when internal representations of orientation are weaker due to stimulus independent fluctuations
 in encoding fidelity ³⁵. We tested a Bayesian interpretation of these findings by asking whether less

precise individuals are more reliant on prior expectations and therefore more biased. Indeed, we found a positive correlation between DoG amplitude and σ , (Figure 1E, r(45)=0.52, p=.0001, 1-tailed Pearson's correlation).

Finally, we confirmed that our behavioral results were not driven by an artifact of our modeling procedure by replicating the relationships using raw response probabilities (Figure S2). We also confirmed these effects were not driven by a subset of low/high performing individuals or trial counts (Figure S3) and were not due to inhomogeneities in the stimulus sequences used for some participants (Figure S4).

121 Stimulus history effects in visual cortex

122 To examine the influence of stimulus history on orientation-selective response patterns in early 123 visual cortex, six participants completed between 748 and 884 trials (mean 838.7) of the task in the fMRI 124 scanner over the course of four, two-hour sessions (average accuracy of 67.7% ±0.4% with an average 125 probe offset, $\delta\theta$, of 3.65°). As with the behavior-only cohort, these participants showed strong attractive 126 serial dependence (Figure 2A, green) that was significantly greater than 0 when parameterized with a 127 DoG function (amplitude=3.50°±0.27°, t(5)=11.93, p=.00004; FWHM=35.9°±2.34°, Figure 2A black dotted 128 line). This bias was not significantly modulated by inter-trial interval, delay period, or an interaction 129 between the two factors (all p-values > 0.5, mixed linear model grouping by participant). Similar to the 130 behavioral cohort, we found that variance was generally lower around small values of $\Delta \theta$. We quantified variance in the same manner as the behavioral cohort (flipping responses to match biases and down-131 132 sampling the larger group) and found that responses were more precise following close (<30°) relative to 133 far stimuli (>30°, t(5) = -9.96, p=0.00009, 1-tailed paired t-test, Figure 2B). This pattern was significant 134 (p<0.05) for thresholds between 20° and 40°, and these findings were generally replicated when



analyzed using a model-free approach as described for the behavior-only cohort (Figure S2D-F, except

136 that the threshold analysis no longer reached significance).

Figure 2 Behavioral and Neural Bias. A: Left-axis, Behavioral serial dependence. Shaded green: average modelestimated bias as a function of $\Delta \theta$ (± SEM across participants); dotted black line: average DoG fit to raw participant responses sorted by $\Delta \theta$. Right-axis, variance. Purple shaded line: model-estimated variance as a function of $\Delta \theta$ (± SEM across participants). **B**: Behavioral σ is significantly less for $|\Delta \theta| < 30^\circ$. **C**: Decoded orientation was significantly greater than chance when indexed with circular correlation for all ROIs examined. Error bars indicate ±SEM across participants. Dots show data from individual participants. **D**: Left-axis, decoding bias. Shaded yellow line: decoded bias (μ_{circ} of decoding errors) sorted by $\Delta \theta$ (± SEM across participants); dotted black line: average DoG fit to raw decoding errors sorted by $\Delta \theta$. Right-axis, decoded σ_{circ} . Shaded gray line: average decoding variance (σ_{circ}) as a function of $\Delta \theta$ (± SEM across participants). Note that σ_{circ} can range from [0, inf] and has no units. **E**: Decoded variance is significantly less for $|\Delta \theta| < 30^\circ$ **F**: Decoded errors are significantly repulsive when parameterized with a DoG for most ROIs. *, p<.05; **, p<.01; ***, p<.001.

137 To characterize activity in early visual areas, independent retinotopic mapping runs were

- completed by each subject to identify regions of interest (ROIs) consisting of: V1, V2, V3, V3AB, hV4,
 Ventral-, Temporal- and Lateral-Occipital Areas (VO, TO, and LO), and intraparietal sulcus areas IPSO-2. In
- addition, a separate localizer task was used to sub-select the voxels that were most selective for the
- 141 spatial position and orientation of the stimuli used in our task (see Voxel Selection).
- To examine how visual representations are affected by stimulus history, we trained a decoder on the orientation of the sample stimulus on each trial based on BOLD activation patterns in each ROI. We used a decoder that accounts for stimulus related noise correlations (see <u>Orientation Decoding</u>, ^{35,38}) using a leave-one-run-out cross-validation across sets of 68 consecutive trials (4 blocks of 17 trials) that had orientations pseudo randomly distributed across all 180° of orientation space. We first quantified

single-trial decoding performance using circular correlation (r_{circ}) between the decoder-estimated

- orientations and the actual presented orientations and found that all ROIs had significant orientation
- 149 information (Figure 2C). For this and all of the remaining main figures, we used the average of four TRs
- 150 (spanning 4.8-8.0s) following stimulus presentation to avoid the influence of the probe stimulus (which
- came up ≥6s into the trial and thus should not influence responses in the 4.8-8.0s window after
- accounting for hemodynamic delay). That said, our ability to decode orientation was not specific to the
- exact TRs selected, or whether the decoder was trained on the task data or an independent localizer
- 154 task (Figures S5-S6).

155 The high SNR of the BOLD decoder allowed us to examine residual errors on individual trials. When measuring the bias of these decoding errors (μ_{circ}) as a function of stimulus history ($\Delta \theta$), we 156 157 unexpectedly observed a strong repulsive bias reflecting neural adaptation (V3, Figure 2G yellow, see 158 Neural Bias). This bias was significant when quantified with a DoG (amplitude= $-19.76^{\circ}\pm 3.06^{\circ}$, t(5)=-5.90, 159 p=.0010; FWHM=47.7°±1.71°, Figure 2G black dotted-line). All ROIs except IPS0 and IPS1 had a 160 significantly negative amplitude (p<.05) and the average DoG amplitude across ROIs was also significant 161 (t(10)=-7.65, p=.00001, Figure 2F). This repulsive pattern suggests that serial dependence is not a direct 162 result of biases in early sensory areas. Importantly, representations in early visual areas (V1-V3AB) 163 showed a repulsive bias for all participants regardless of the specific decoding technique used and when 164 the decoder was instead trained on an independent orientation localizer (Figure S7-8). This suggests that the repulsive bias is also found in the "sensory" code and is not specific to working memory 165 maintenance. This repulsive pattern held throughout the duration of the trial, suggesting it was not a 166 transient phenomenon (Figure S7A). In accordance with the large effects of the previous stimulus on 167 current trial representations, we observed above chance decoding for the identity of the previous 168 169 stimulus in 9/11 ROIs using the same TRs and decoding techniques as used for the current stimulus 170 (Figure S9).

171 We also examined how the precision of neural representations changed as a function of 172 stimulus history. In sharp contrast to behavior, σ_{circ} exhibited a monotonic trend such that neural 173 decoding was *least* precise when the previous stimulus was similar (Figure 2D, gray curve, see Neural 174 Variance). We quantified this difference in sensory uncertainty in a similar manner to the behavioral 175 data and found that variance in the sensory representations was significantly greater following a similar 176 stimulus (<30°, t(5)=13.33, p=.00002, paired 1-tailed t-test, V3, Figure 2E). This pattern was significant 177 (p<.01) in 8/11 ROIs and the difference in precision was significant across ROIs (t(10)=6.92, p=.00002, t=0.0002)178 Figure S10A-B). The results did not change qualitatively when we utilized decoded uncertainty derived 179 directly from the posterior rather than the circular standard deviation of decoded responses ³⁸ (Figure 180 S10C-D), or when we used other thresholds between 20° and 40°. The repulsion of sensory 181 representations and the corresponding reduction in decoding precision around the previous orientation 182 is consistent with neural adaptation where recently active units are attenuated, thus leading to lower 183 SNR responses in visual cortex.

184 Encoder-Decoder Model

We observed an attractive bias and low variability around the current stimulus feature in
 behavior, and a repulsive bias and high variability around the current feature in the fMRI decoding data.

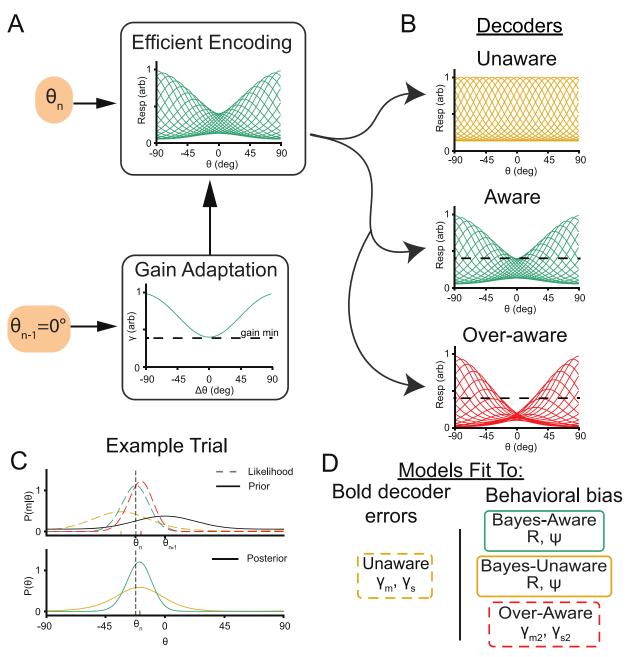


Figure 3 Encoder-Decoder model schematic. **A**: Encoding. Units with von Mises tuning curves encodes incoming stimuli. The gain of individual units undergoes adaptation such that their activity is reduced as a function of their distance from the previous stimulus. **B**: Decoding. This activity is then read out using a scheme that assumes one of three adaptation profiles. The unaware decoder assumes no adaptation has taken place, the aware decoder assumes the true amount of adaptation while the over-aware decoder over-estimates the amount of adaptation (note center tuning curves dip lower than the minimum gain line from encoding). **C**: Example stimulus decoding. Top: The resulting likelihood function for the unaware readout (dotted yellow line) has its representation for the current trial (θ_n =-30°) biased away from the previous stimulus (θ_{n-1} =0°). The aware readout (dotted green line) is not biased, while the over-aware readout is biased towards the previous stimulus. These likelihood functions can be multiplied by a prior of stimulus contiguity (solid black line) to get a Bayesian posterior (bottom) where Bayes-unaware and Bayes-aware representations are shifted towards the previous stimulus. Tick marks indicate maximum likelihood or decoded orientation. **D**: Summary of models and free parameters being fit to both BOLD decoder errors and behavioral bias.

- 188 Thus, the patterns of bias and variability observed in the behavioral data are opposite to the patterns of
- bias and variability observed in visual cortex. To better understand these opposing effects, we reasoned
- 190 that representations in early visual cortex do not directly drive behavior but instead are read out by later
- 191 cortical regions that determine the correct response given the task ^{39–41}. In this construction, the
- decoded orientations from visual cortex represent only the beginning of a complex information
- 193 processing stream that, in our task, culminates with the participant making a speeded button press
- response. Thus, we devised a two-stage encoder-decoder model to describe observations in both early
- 195 visual cortex and in behavior (see <u>modeling</u>).
- 196 The encoding stage of the model consists of a simulated population of orientation-selective 197 neurons with von Mises tuning curves evenly tiling the feature space. The gain of these tuning curves 198 undergoes adaptation such that units tuned to the previous stimulus (θ_{n-1}) will have their activity 199 reduced on the current trial (Figure 3A). Note that these neurons are assumed to have Poisson firing 200 rates and that their responses are noiseless while training the model.
- 201 The decoding stage reads out this activity using one of three strategies (Figure 3B). The *unaware*
- decoder assumes no adaptation has taken place and results in stimulus likelihoods $p(m|\theta)$ that are

203 repelled from the previous stimulus (Figure 3C, yellow). This adaptation-naïve decoder is a previously

204 hypothesized mechanism for behavioral adaptation ³⁶ and likely what gives rise to the repulsive bias we

205 observe in visual cortex using a fMRI decoder that is agnostic to stimulus history (Figure 2D).

	Fit To:	BOLD Decoder	Behavior		
Stage:		Unaware	Bayes unaware (Prior*unaware)	Bayes aware (Prior*aware)	Over-aware
Encoding	γ_m	х			
	γ _s	Х			
Decoding	γ_{m2}	0	0	Υm	X
	γ_{s2}	1	1	γ_s	X
Bayes	R	5	Х	X	5
	ψ	N/A	Х	Х	N/A

Table 1 Cells correspond to parameters for proposed decoders, with 'X' indicating free parameters adjusted to fit empirical data. γ_m controls the amplitude and γ_s controls the width of gain adaptation (Figure 3A). These parameters are fit by minimizing the residual sum of squared errors between the unaware decoder and the BOLD decoder output. γ_{m2} and γ_{s2} are the assumed adaptation parameters at decoding. These terms are either set to assume no adaptation (unaware), match the true amount of adaptation (aware) or are free parameters adjusted to maximize the likelihood of responses (over-aware, Figure 3B). Last, R adjusts the average Poisson firing rate and ψ controls the variance of the prior distribution (Figure 3C). These parameters are adjusted for decoders using a Bayesian prior while R is set to the arbitrary value of 5 for non-Bayesian decoders (it has no effect on bias for non-Bayesian decoders). Increasing R increases the precision of the likelihood function and reduces the relative influence of the prior. Increasing ψ increases the range of $\Delta \Theta$ over which the prior has an influence.

206 Alternatively, the aware decoder (Figure 3C, green) has perfect knowledge of the current state of 207 adaptation and can thus account for and 'un-do' biases introduced during encoding. Finally, the over-208 aware decoder knows the identity of the previous stimulus but over-estimates the amount of gain 209 modulation that takes place, resulting in a net attraction to the previous stimulus (Figure 3C, red). We 210 additionally combined a formal prior based on temporal contiguity with the stimulus likelihood from the previously described decoders ³⁵. In our implementation, a Bayesian prior centered on the previous 211 212 stimulus (Figure 3C, black) is multiplied by the decoded likelihood to get a Bayesian posterior (Figure 3C, 213 bottom). We applied this prior of temporal contiguity to both the aware decoder as well as the unaware 214 decoder to test the importance of awareness at decoding. We did not apply a prior to the over-aware 215 model to balance the number of free parameters between the various decoders and to see if the over-216 aware model could achieve attractive serial dependence without a Bayesian prior (Table 1). In total we 217 explored three separate decoder models: the Bayes-unaware and Bayes-aware models which apply a 218 prior to their respective likelihoods as well as the over-aware model which outputs the maximum

219 likelihood (Figure 3D).

220 For each participant, we fit the encoder-decoder model in two steps (Figure 3D). All model 221 fitting was performed using the same cross-validation groups as our BOLD decoder and each stage had 222 two free parameters that were fit using grid-search and gradient descent techniques. We first report results from the encoding stage of the model. The gain applied at encoding was adjusted to minimize 223 224 the residual sum of squared errors (RSS) between the output of the *unaware* decoder and the residual 225 errors of our BOLD decoder. The unaware readout of the adapted encoding process (Figure 4A, yellow) 226 provided a good fit to the average decoding errors obtained with the BOLD decoder (Figure 4A, black 227 outline, ρ =0.99) and across individual participants (S11A, ranges: ρ =[0.92,0.98]). The unaware readout 228 provided a better fit to the outputs of our neural decoder than the presented orientation (Figure 4C, 229 t(5)=5.94, p=.001, paired one tailed t-test) because it captured a significant proportion of the variance in 230 decoding errors as a function of $\Delta \theta$ (Figure 4D, t(5)=9.34, p=.0001, one-tailed t-test).

231 We next considered three readout schemes of this adapted population to maximize the 232 likelihood of our behavioral responses (Figure 3D). The Bayes-aware decoder is consistent with previous Bayesian accounts of serial dependence³⁸, but additionally asserts that Bayesian inference occurs after 233 234 encoding and that readout must account for adaptation. Alternatively, the Bayes-unaware decoder tests 235 whether this awareness is necessary to achieve attractive serial dependence. Both models were able to 236 achieve attractive biases that were positively correlated with average behavioral biases (Figure 4B), and 237 individual biases (Figure S11B-C), but the Bayes-aware model was significantly more likely given 238 participant responses (Figure 4E, t(5)=12.8, $p=5.3*10^{-5}$). We also considered the *over-aware* model to 239 determine if a mismatch between expected and true levels of adaptation can explain attractive serial 240 dependence without the need to invoke a formal Bayesian prior. This model also outperformed the 241 Bayes-unaware model (Figure 4E, t(5)=3.69, p=.014) but was not significantly different from the Bayes-242 aware model (p=0.18, all t-tests paired, two-tailed). Finally, we examined the variance of the unaware 243 decoder as well as the three readout schemes fit to behavior (Table 1) to see if they were able to 244 reproduce patterns similar to the BOLD decoder and the behavioral responses, respectively. As model 245 coefficients were fit independent of observed variance, correspondence between model performance 246 and BOLD/behavioral data would provide convergent support for the best model. While the models 247 were trained using noiseless activity at encoding, we simulated responses using Poisson rates to induce 248 variability.

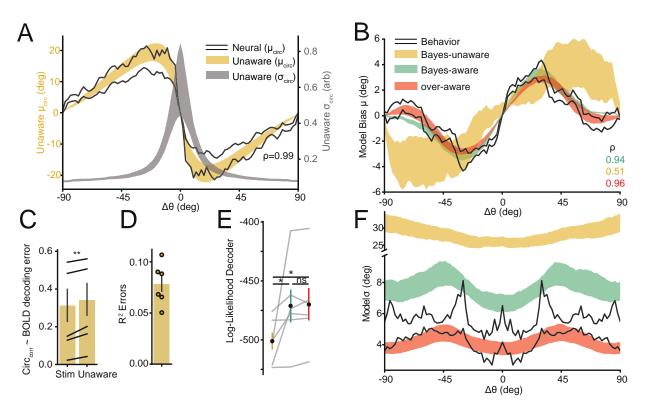


Figure 4 Model performance. **A,C,D**- Neural Decoder; **B,E,F**- Behavior. **A**: Unaware decoder (yellow) provides a good fit to neural bias (black outline). Decoded variance decreases monotonically with distance from previous stimulus. (\pm SEM across participants). **B**: Perceptual bias (black outline) was well fit by the Bayes-aware and over-aware models but not the Bayes-Unaware model (\pm SEM across participants). **C**: Unaware decoder provided a better fit to decoded responses than the presented orientation. **D**: The unaware decoder was able to explain a significant proportion of decoding error variance. **E**: Likelihood of observed responses for best fit model for each participant. Bayes-unaware significantly worse than other models. Same color scheme as **B**. **F**: Perceptual σ had a similar shape and magnitude to Bayes-aware and over-aware model fits. Bayes-unaware model output was much less precise and had a different form. Same legend as **B**. *, p<.05; **, p<.01; ***, p<.001

249 We simulated 1000 trials from each cross-validated fit and pooled the model outputs. We first 250 confirmed that the variance of the *unaware* decoder was highest following small changes of $\Delta \theta$ (Figure 251 4A, gray; Figure S12C t(5)=3.4, p=.01, paired 1-tailed t-test <30° vs >30°) matching the output of our 252 neural decoder (Figure 2G) and providing additional support for gain adaptation causing the observed 253 repulsion in the fMRI data. Next, we examined the different behavioral decoders and found that, 254 matching real behavioral responses, both the *Bayes-aware* and *over-aware* decoders were significantly 255 more precise following small values of $\Delta \theta$ (Figure 4F; Figure S12C, Bayes-aware, t(5)=-3.19, p=.012, over-256 aware, t(5)=-6.64, p=.0006) while the *Bayes-unaware* decoder did not show this trend (t(5)=-1.99, 257 p=.052). Notably, the overall magnitude of variance observed with the *Bayes-unaware* decoder was also 258 much higher than that observed in the real behavioral data (Figure 4F) and thus provided a significantly 259 worse fit relative to either of the aware decoders (p<.005, Figure S12A-B, paired t-tests comparing 260 Jensen-Shannon divergence of error distributions). Together, the variance data provides additional 261 evidence in favor of adaptation driving the repulsive biases that were observed in the BOLD data and 262 awareness of the current state of adaptation being a requisite condition for attractive serial 263 dependence.

264 Discussion

265 In this study, we sought to understand the neural underpinning of attractive serial dependence, 266 and how changes in tuning properties at encoding shape behavior. Based on previous behavioral and 267 neural studies, we expected to observe attractive biases in line with observed behavior and decoding from early visual areas ^{26,28,29}. Instead, we found that representations were significantly repelled from 268 the previous stimulus starting in primary visual cortex and continuing through IPS (Figure 2F). This 269 270 repulsion is consistent with bottom up adaptation beginning either at or before V1 and cascading up the visual hierarchy ^{11,42}. As repulsive biases are clearly in the opposite direction as behavioral biases, we 271 built a model to link these conflicting patterns. The critical new insight revealed by the model is that 272 273 only readout schemes that account for adaptation can explain attractive serial dependence. More generally, our BOLD data strongly point against an early sensory or 'perceptual' account of serial 274 275 dependence and instead suggest that serial dependence is driven by post-perceptual or mnemonic 276 circuits ^{34,43}.

277 Two previous studies have examined how sensory representations are shifted by serial 278 dependence. An fMRI study observed attractive biases in early visual areas that corresponded to 279 behavioral performance, but the study was limited as it only used two orthogonal orientations 280 $(\theta = \{45, 135^\circ\})^{28}$. As shown in the present results (Figures 1B, 2A) and in other studies^{26,29,32,44,45}, serial 281 dependence is absent at these offsets. In addition, the stimuli were rendered at low contrast and were 282 embedded in visual noise, making them difficult to accurately encode. Thus, the observed history bias 283 may be more akin to perceptual 'priming' as opposed to attractive serial dependence^{46,47}, as individuals 284 may have been able to detect stimuli faster and more reliably when they observed a similar stimulus in 285 the recent past (particularly on trials where they failed to accurately encode the near-threshold 286 orientation presented on the current trial). Further, as their decoder was trained with only two stimuli, 287 they could not build an explicit model of orientation representations. Thus, it is unclear if enhancements 288 at encoding correspond to a shift in orientation-selective information in voxel-activation patterns or 289 rather to a reduction in variability. More in line with the present experiment, a second study found that 290 population representations in FEF were repelled while saccades were attracted to the location of the 291 previous stimulus³². Perhaps because this effect was observed in a later visual area, the authors 292 explained their finding as a consequence of residual attentional shifts from the previous trial. Our 293 finding of repulsive biases as early as V1 is more consistent with bottom up adaptation as attention 294 effects tend to become more pronounced later in the visual hierarchy (and Papadimitriou and 295 colleagues also acknowledge this as an alternative mechanism)^{48–51}.

In line with classic accounts, adaptation in visual cortex should lead to a reduction in energy 296 297 usage during encoding¹⁰. However, our modeling results highlight the importance of an aware decoder, which may offset adaptation-related efficiency gains. Instead the main advantage of adaptation may be 298 299 to decorrelate inputs, thus enhancing the discriminability of incoming stimuli ^{9,10}. The resulting biases 300 may have little fitness cost relative to the advantage of being aware of stimulus changes potentially 301 signaling threat or food. Indeed an optimal processing stream may emphasize differences at encoding 302 and only favor stability once a stimulus has been selected by attention for more extensive post-303 perceptual processing ⁴³. This motif of pattern separation followed by pattern completion would not be 304 unique to adaptive visual processing. For example, similar mechanisms have been proposed as a critical 305 component of long term memory processing in the hippocampus and associative memory formation in

the fly mushroom body ⁵². Thus, the biases introduced by adaptation may be beneficial in part because
 they expand the dimensionality of the representational space.

308 In our model, we did not explicitly define how awareness of adaptation is implemented. 309 However, some representation of information about stimulus history appears to be a minimum 310 requirement. The identity of the previous stimulus for spatial position and angle has previously been shown to be decodable from the spiking activity of single units in the frontal eye field (FEF) and large-311 scale activity patterns in human EEG^{32,53}. We additionally demonstrate that information about the 312 previous trial is encoded in patterns of fMRI activity in human visual cortex (Figure S9). These signals 313 314 could potentially be represented concurrently with representations of the current stimulus in the same 315 populations of sensory neurons, but separating activity representing current and previous stimuli may 316 prove difficult under this scheme. An alternate, and potentially more appealing, account holds that 317 representations of stimulus history are maintained outside of early visual areas, consistent with findings from mouse parietal cortex⁴. This anatomical segregation could disambiguate incoming sensory drive 318 319 from representations of stimulus history.

320 For the decoding stage of our model, we established that only readout schemes that are aware of adaptation can explain attractive serial dependence. The Bayes-aware model is an extension of 321 322 previously proposed models that employ an explicit prior but that did not consider effects of adaptation 323 at encoding³⁵. In contrast, the over-aware model is a novel account that can achieve similar 324 performance without needing an explicit prior based on stimulus history. While model fit metrics did not 325 readily distinguish one of these two models as superior, the over-aware model may prove to be more 326 flexible. For instance, one of our fMRI participants showed significant repulsion from far stimuli, an observation also reported by others ^{29,31}. While the *over-aware* model can fit this repulsive regime, the 327 328 Bayes-aware model is incapable of generating repulsive patterns (compare models fits for subj #3, 329 Figure S11). This limitation of a purely Bayesian account of serial dependence is also observable in prior 330 work (Figure 6B in ³⁵).

The *over-aware* – or more generally a "flexibly-aware" – decoder may also account for phenomena not covered in the present study. While behavioral (repulsive) adaptation is assumed to result from an unaware decoder ^{8,36}, the magnitude of neural adaptation may be much larger than the resulting behavioral repulsion observed ^{12,19,23}. Thus, behavioral adaptation may arise when adaptation outweighs awareness (an *'under-aware'* decoder) which could arise in paradigms where inducing stimuli are task irrelevant and presented for long periods of time ^{19,54}. By contrast, *over-aware* decoders may arise in laboratory paradigms that involve attending to and holding in memory a weak stimulus ^{26,27}.

338 In this study, we extended previous descriptions of serial dependence by quantifying how both 339 bias and variance are shaped by stimulus history. We report a robust pattern of perception being most 340 precise following small changes in successive stimulus features (Figure 1C-D, 2A-B). This relationship 341 violates a perceptual 'law' proposing that bias is inversely proportional to the derivative of discrimination thresholds⁵⁵. This 'law' would assert that our attractive bias should come with a less 342 343 precise representation following small changes (or a repulsive bias to account for our enhanced 344 precision). We argue that serial dependence is not 'violating' this law, but rather believe this is further 345 evidence for serial dependence being a post-perceptual phenomenon. Neural representations exhibit 346 repulsive biases, expanding the perceptual space and allowing greater discriminability. When these

- representations are read out by an aware decoder, the bias is undone but the enhanced discriminability
- 348 remains (Figure 4F).

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356 Methods

357 Participants

Behavioral study: 56 participants (male and female) were drawn from a subject pool of primarily undergraduate students at UC San Diego. All subjects gave written consent to participate in the study in accordance with the UC San Diego IRB, and were compensated either monetarily or with class credit. Of these 56 participants, 9 were removed from further analysis for completing less than 200 trials (2) or getting less than 60% of trials correct (7). We included the remaining 47 participants who completed on average 421 trials, range: [204, 988], in our lab over the course of 1 to 3 sessions.

fMRI study: 6 participants (3 female, mean age 24.6 ±0.92) participated in four, 2-hour scanning
 sessions. Each subject completed between 748 and 884 trials (mean 838.7). For two participants, one
 session had to be repeated due to technical difficulties that arose during scanning.

367 Behavioral Discrimination Task

368 Participants in the behavior-only study completed the task on a desktop computer in a sound 369 attenuated room. Subjects were seated with a chin rest to stabilize viewing 50 cm from a 39 by 29 cm 370 CRT monitor (1600x1200 px) with a visual angle of 42.6° (screen width). Each trial consisted of a full-field 371 oriented grating (1000 ms) which had to be remembered across a delay period (3,500 ms) before a test. 372 At test, the participant judged whether a line was slightly clockwise (CW) or counter-clockwise (CCW) 373 relative to the remembered orientation (max response time window: 3,000ms, Figure 1A). The oriented 374 grating consisted of a sine wave grating (spatial frequency 1.73 cycles/°, 0.8 Michelson contrast) multiplied by a 'donut' mask (outer diameter $Ø = 24.3^\circ$, inner $Ø = 1.73^\circ$). The stimulus was then convolved 375 with a 2D Gaussian filter (1.16° kernel, SD = 0.58°) to minimize edge artifacts ⁵⁶. Phase and orientation 376 377 were randomized across trials, and the stimulus was phase-reversed every 250ms. After the offset of the 378 oriented grating, a mask of filtered noise was presented for 500ms. The mask was generated by band 379 passing white noise [low 0.22, high 0.87 cycles/°], multiplying by the same donut mask, and convolving 380 with a 2D Gaussian filter (0.27° kernel, SD = 0.11°). The mask was phase reversed once after 250 ms. A 381 black fixation point (diameter .578°) was displayed throughout the extent of the block and turned white 382 for 500 ms prior to stimulus onset on each trial. The probe was a white line (width 0.03°, length 24.3°) 383 masked by the same donut. Subjects indicated whether the probe line was CW or CCW from the 384 remembered orientation by pressing one of two buttons ('Q', 'P') with their left and right pointer 385 fingers. The next trial started after a 1000ms inter trial interval (ITI). For some behavioral participants 386 (n=9) delay and ITI were varied between 0.5-7.5s without notable effects on performance.

387 First, subjects completed a training block to ensure that they understood the task. Next, they 388 completed a block of trials where difficulty was adjusted by changing the probe offset ($\delta \theta$) between the 389 stimulus and probe to achieve 70% accuracy. This $\delta \theta$ was used in subsequent blocks and was adjusted 390 on a per-block basis to keep performance at approximately 70%. Participants completed an average of 391 5.76±0.24 blocks [min = 3, max = 9]. Some participants completed the task with slight variations in the 392 distribution and sequence of orientations presented. For completeness we include those details here. 393 Note, however, we additionally report a set of control analyses in which we repeat all of our main 394 analyses excluding blocks with binned stimuli and find no relevant difference in behavior. For most 395 participants, stimuli were pseudo-randomly distributed across the entire 180° space such that they were 396 uniformly distributed across blocks of 64 trials (n=25). However, some participants saw stimuli that were 397 binned (with some jitter) every 22.5° to purposefully avoid cardinal and oblique orientations (11.25°,

398 33.75°, 56.25°, etc.) and the trial sequence was ordered so that a near oblique orientation was always

- followed by a near cardinal orientation (n=7). This was implemented to maximize our ability to observe
- 400 serial dependencies in our binary response data as it is typically strongest around orientation changes of
- 401 20° and is more pronounced around oblique orientations ³⁷. The remaining participants completed both
- 402 blocks with uniform and blocks with binned stimuli (n=14). All participants were interviewed after the
- 403 study and reported that stimuli were non-predictable and that all orientations felt equally likely. For our
- main analysis we include all trials from all participants, irrespective of whether they participated in
- 405 uniform blocks, binned blocks, or both.

406 fMRI Discrimination Task

407 In the scanner, participants completed the behavioral task outlined above with slight 408 modifications. fMRI participants completed the task using a fiber-optic button box while viewing stimuli 409 through a mirror projected onto a screen mounted inside of the bore. The screen was 24 by 18 cm and 410 was viewed at a distance of 47 cm (width: 28.6° visual angle; 1024x768 px native resolution). The 411 stimulus timing was the same except that the sample-to-probe delay period was either 5, 7 or 9 s and 412 the ITIs were uniformly spaced between 5s and 9s and shuffled pseudo-randomly on each run of 17 trials. The oriented gratings had a spatial frequency of 1.27 cycles/°, outer $Ø=21.2^\circ$, inner $Ø=2.37^\circ$ and 413 were smoothed by a Gaussian filter (0.79° kernel, sd=0.79°). The noise patch (SF low 0.16, high 0.63 414 415 cycles/°) was also smoothed by a Gaussian filter (0.29° kernel, sd=0.11°). The probe stimulus was a white 416 line (width = 0.03°).

417 fMRI participants completed 44-52 blocks of 17 trials spread across 4, two-hour scanning 418 sessions for a total of 748-884 trials. As in the behavior-only task described above, 4 out of 6 fMRI 419 subjects had some blocks of trials where the stimuli were binned in 22.5° increments and ordered in a 420 non-independent manner (21-24 blocks/participant). However, all of the fMRI subjects also participated 421 in blocks with a uniform distribution of orientations across the entire 180° space (24-52 422 blocks/participant). For our main analysis we include all trials from all participants. However, as with the 423 behavioral analyses, we also report control analyses in which we repeat all of our main analyses 424 excluding blocks with non-random stimuli.

425 fMRI Localizer Task

426 Interleaved between the main task blocks, participants completed an independent localizer task 427 used for voxel selection where they were presented with a sequence of grating stimuli at different 428 orientations. Stimuli had a pseudo-randomly determined orientation that either matched the spatial 429 location occupied by the *donut* stimuli used in our main task (outer diameter $Ø=21.2^\circ$, inner diameter 430 $Ø=2.37^{\circ}$) or were a smaller foveal oriented Gabor corresponding to the 'hole' in the *donut* stimuli 431 (diameter $Ø=2.37^{\circ}$). Participants were instructed to attend to one of three features orthogonal to 432 orientation depending on the block: detect a contrast change across the entire stimulus, detect a small 433 grey blob appearing over part of the stimulus, or detect a small change in contrast at the fixation point. 434 Each stimulus was presented for 6000 ms and was separated by an ITI ranging from 3-8s.

435 Response Bias

436 Each trial consisted of a stimulus and a probe separated by a probe offset ($\delta \theta$) that was either 437 positive (probe is CW of stimulus) or negative. Participants judged whether the probe was CW or CCW 438 relative to the remembered orientation by making a binary response. To quantify the precision and the 439 response bias, we fit participant responses with a Gaussian cumulative density function with parameters

440 μ and σ corresponding to the *bias (mean)* and *standard deviation* of the distribution. The likelihood of a 441 given distribution was determined by the area under the curve (AUC) of the distribution of CW (CCW) 442 offsets between the stimulus and the probe ($\delta \theta$) on trials where the participant responded CW (CCW; 443 see Figure S1). In extreme cases, a very low standard deviation (σ) value with no bias would mean that 444 all $\delta \theta$ would lie outside the distribution and the participant would get every trial correct (Figure S1A). A 445 high negative bias (μ) value would mean that $\delta \theta$ would always lie CW relative to the distribution and the 446 participant would respond CW on every trial (Figure S1B). The best fitting parameters were found using 447 a bounded minimization algorithm (limited memory BFGS) on the negative log likelihood of the resulting 448 responses (excluded the small number of trials without a response) given the generated distribution ⁵⁷. 449 We included a constant 25% guess rate in all model fits to ensure the likelihood of any response could 450 never be 0 (critical for later modelling). While this was critical to fitting our model to raw data, the 451 specific choice had no qualitative effect on our behavioral findings besides making the σ values smaller 452 compared to having a 0% guess rate. By having a constant guess rate rather than varying it as a free parameter we were able to directly compare σ values across participants as a measure of performance. 453 454 Realistic model parameters and the effects of bias on response likelihood are also demonstrated (Figure 455 S1 C-D).

456 Serial Dependence

457 To quantify the dependence of responses on previous stimuli, we analyzed response bias and 458 variance as a function of the difference in orientation between the previous and current orientation 459 $(\Delta \theta = \theta_{n-1} - \theta_n)$. We performed this analysis using a sliding window of 16°. To improve power, we 460 'folded' our response data such that, when examining bias at 30° we included values from 22°- 38° as well as responses from -22°- (-38°) by inverting both the responses and probe offsets ($\delta \theta$) for the 461 negative values of $\Delta \theta$. This procedure removes any systematic responses biases (e.g., favoring CW 462 responses) and, as a result, the figures presenting serial dependence have rotational symmetry across 463 464 the origin^{34,58}.

465 We additionally fit a Derivative of Gaussian (DoG) function to parameterize the bias of 466 participant responses. The DoG function is parameterized with an amplitude A and width w

$$y = xAwce^{-(wx)^2}$$
[1]

467

468 where $c = \sqrt{2e}$ is a normalization constant. For the purpose of fitting to our participant responses, x is 469 $\Delta\theta$ and y corresponds to μ in our response model. For each participant we adjusted three parameters: A, 470 w, and σ to maximize the likelihood of participant responses. We report the magnitude of our fits as well 471 as the resulting full width at half max (FWHM) estimated numerically.

472 Response Precision

In addition to quantifying how responses were biased as a function of stimulus history, we also estimated how precise responses were depending on their unsigned distance from the previous stimulus ($|\Delta\theta|$). We used the same 'folding' procedure described in the previous section and only included trials on the right half of our bias/variance plots (eg. Figure 1C, $\Delta\theta > 0$) to avoid double counting trials. Values from the bin with more samples (typically 'far') were resampled (31 repetitions) without replacement with the number of samples in the smaller bin and the median chosen to control for sample number differences.

480 Scanning

481 fMRI task images were acquired over the course of four 2-hour sessions for each participant in a 482 General Electric Discovery MR750 3.0T scanner at the UC San Diego Keck Center for Functional Magnetic 483 Resonance Imaging. Functional echo-planar imaging (EPI) data were acquired using a Nova Medical 32-484 channel head coil (NMSC075-32- 3GE-MR750) and the Stanford Simultaneous Multi-Slice (SMS) EPI 485 sequence (MUX EPI), with a multiband factor of 8 and 9 axial slices per band (total slices 72; 2-mm3 486 isotropic; 0-mm gap; matrix 104 x 104; field of view 20.8 cm; TR/TE 800/35 ms; flip angle 52°; in-plane 487 acceleration 1). Image reconstruction and un-aliasing was performed on cloud-based servers using 488 reconstruction code from the Center for Neural Imaging at Stanford. The initial 16 repetition times (TRs) 489 collected at sequence onset served as reference images required for the transformation from k-space to 490 the image space. Two 17s runs traversing k-space using forward and reverse phase-encoding directions 491 were collected in the middle of each scanning session and were used to correct for distortions in EPI 492 sequences using FSL top-up (FMRIB Software Library) for all runs in that session (Andersson et al. 2013, 493 Jenkinson et al. 2012). Reconstructed data was motion corrected and aligned to a common image. Voxel 494 data from each run was de-trended (8TR filter) and z-scored.

495 We also acquired one additional high-resolution anatomical scan for each subject (1 x 1 x 1-mm3 496 voxel size; TR 8,136 ms; TE 3,172 ms; flip angle 8°; 172 slices; 1-mm slice gap; 256x192-cm matrix size) 497 during a separate retinotopic mapping session using an Invivo eight-channel head coil. This scan 498 produced higher quality contrast between gray and white matter and was used for segmentation, 499 flattening, and visualizing retinotopic mapping data. The functional retinotopic mapping scanning was 500 collected using the 32-channel coil described above and featured runs where participants viewed 501 checkerboard gratings while responding to an orthogonal feature (transient contrast changes). Separate 502 runs featured alternating vertical and horizontal bowtie stimuli; rotating wedges; and an expanding 503 donut to generate retinotopic maps of the visual meridian, polar angle, and eccentricity respectively 504 (see Sprague and Serences, 2013). These images were processed using FreeSurfer and FSL functions and 505 visual regions of interest (ROI) were manually drawn on surface reconstructions (for areas: V1-V3, V3AB, 506 hV4, IPSO-IPS2, VO, LO, and TO).

507 Voxel Selection

508 To include only voxels that showed selectivity for the location of the oriented grating stimulus 509 used in our main experimental task, we used responses evoked during the independent localizer task 510 (see fMRI Localizer Task). For all analysis we used TRs 5-11 (4-8.8s) following stimulus onset. First, voxels 511 were selected based on their response to the spatial location of the grating stimulus by performing a t-512 test on the responses of each voxel evoked by the donut and the donut-hole stimuli, selecting the 50% 513 of the voxels most selective to the donut for a given ROI. Of the voxels that passed this cutoff, we then 514 performed an ANOVA across 10° orientation bins and selected the 50% of voxels with the largest F-score 515 thus retaining ~25% of the initial voxel pool. These selected voxels were used in all main analysis.

516 Orientation Decoding (BNC)

517 We performed orientation decoding on BOLD activation patterns using a sliding temporal
518 window of 4 TRs. For most analysis we focused on a 3.2s (4 TR) window centered 6.4 s after stimulus
519 presentation. The Bayesian Noise Correlation (BNC) decoder assumes voxels are composed of
520 populations of neurons with tuning functions centered on one of 8 orientations evenly tiling the 180°
521 space. The response of population i to stimulus θ is given by:

$$c_i(\theta) = \max\left(0, \cos^5(\theta - \varphi_i)\right)$$
[2]

522 where φ_i is the center of the tuning function. The response of voxel j is defined as a weighted sum of

523 these hypothetical populations:

$$B_j = \sum_i^8 c_i w_i \tag{3}$$

524 Or in matrix notation,

$$B = CW$$
[4]

525

526 Where B (*trial x voxel*) is the resulting BOLD activity, C (*trial x channel*) is the hypothetical population 527 response, and W (*channel x voxel*) is the weight matrix. The weight matrix W is estimated as:

$$\widehat{W} = C^{-1}B \tag{5}$$

528 where C^{-1} (*channel x trial*) is the pseudo-inverse of C (implemented using the NumPy pinv function). 529 This model was used to generate a linear estimate of voxel responses. The resulting residuals 520 correspond to voxel pairs

530 correspond to voxel noise.

$$\widehat{B} = C\widehat{W}$$
[6]

531

$$B_{Noise} = B - \hat{B}$$
^[7]

Noise correlations are known to contribute to observed activity and can be detrimental to our 532 533 resulting decoding capabilities ⁵⁹. To reduce the impact of noise correlations across similarly tuned populations, we implemented a Bayesian decoder that explicitly models these correlations ³⁸. Briefly, we 534 535 modeled noise as coming from 3 distinct components: global noise shared across all voxels, channel 536 noise shared across neurons with similar tuning, and voxel noise explaining residual fluctuations in 537 individual voxels (see ³⁸ for more details). The magnitude of these noise sources was estimated through 538 maximizing the likelihood of the observed residuals using a multivariate Gaussian defined by (number of 539 voxels) + (1 global) + (1 channel) parameters. After estimating noise sources, we could estimate the posterior probability distribution given our fit weights \widehat{W} and noise parameters $\widehat{\Omega}$: 540

$$P(\theta|B;\widehat{W},\widehat{\Omega}) = \frac{P(\theta|B;\widehat{W},\widehat{\Omega})p(\theta)}{\int P(\theta|B;\widehat{W},\widehat{\Omega})p(\theta) \, d\theta}$$
[8]

For each trial we then selected the θ most likely to have given rise to response B given \widehat{W} and $\widehat{\Omega}$ as our decoded orientation and used its vector length as a proxy for model certainty. The encoding and noise parameters of our model were fit to a subset of data and used to estimate responses for held-out trials of the task data. We used leave-1-block-out cross-validation where each block was a set of 4 consecutive runs (64 trials). These blocks featured orientations that were evenly distributed across the entire 180° space to ensure a balanced training set. We performed additional analysis training a model on the localizer task and testing on the memory task as well as cross-validating

within the localizer task. These models had lower SNR than models trained on the task but showedqualitatively similar results as our task trained neural decoder.

550

551 Orientation Decoding (IEM)

For some analysis we additionally include the outputs of an Inverted Encoding Model (IEM). The IEM uses the same encoding model as the BNC decoder (eq. [2-5]) but does not generate a specific model of noise covariance. We instead inverted our estimated weight matrix (\hat{W}) to estimate the channel response on held out trials, $\hat{C} = B\hat{W}^{-1}$, where \hat{W}^{-1} is the pseudo-inverse of \hat{W} . The circular mean of \hat{C} was taken as the orientation estimate.

557 Neural Bias

558 To quantify how BOLD representations were biased by sensory history we computed the circular 559 mean of decoding errors ($\theta_{error} = wrap(\theta_{decode} - \theta_{stim})$):

$$\mu_{circ} = angle(\vec{R}), \qquad [9]$$

560

$$\vec{R} = \frac{1}{nTrials} \sum_{k=0}^{nTrials} e^{i\theta_{error}^k}.$$
[10]

561

562 We estimated this bias using the same 16° sliding window as a function of $\Delta\theta$ used for visualizing 563 response bias from participant responses. We additionally quantified the magnitude of the bias in 564 decoding errors by fitting a DoG function to the raw decoding errors by minimizing the residual sum of 565 squares (RSS) and reporting the amplitude term.

566 Neural Variance

567 To quantify the variance of decoded orientations from visual areas, we computed the circular 568 standard deviation:

$$\sigma_{circ} = \sqrt{-2\ln|\vec{R}|}.$$
[11]

569

570 This was estimated on both binned decoding errors (eq. 10), or on the single trial posterior estimate 571 from our orientation decoder (eq. 8). This was visualized using the same sliding window analysis as well 572 as in reference to whether it was close or far from the previous stimulus. Both pooled and single trial 573 estimates are reported and give similar results.

574 Modeling

575 We sought to develop a model that could explain both neural and behavioral biases as a 576 function of stimulus history. For the fMRI data, we focused on explaining changes in encoding that could 577 lead to the observed biases in the output of the BOLD decoder that was specifically designed to be 578 *'unaware'* of stimulus history. To explain the behavioral data, we assumed that a decoder would receive 579 inputs from the same population of sensory neurons that we measured with fMRI and that the decoder 580 would read out this information in a manner that gives rise to attractive serial dependence. We 581 considered readout models that were either *unaware, aware,* or *over-aware* of adaptation and

additionally applied a Bayesian inference stage, which integrates prior expectations of temporal
 stability, to the *unaware* and *aware* decoders ³⁵. We then compared performance between these
 competing models to see which could best explain our behavioral data.

585 Our full models consisted of two stages: an encoding stage where the gain of artificial neurons 586 was changed as a function of the previous stimulus (adaptation) and a decoding stage where the 587 readout from this adapted population was modified. The encoding population consisted of 100 neurons 588 with von Mises tuning curves evenly tiling the 180° space. The expected unadapted population response 589 is:

$$Resp_{N}(\theta_{n}) = R \gamma_{N} e^{\kappa \cos(\Phi - \theta_{n}) - 1}$$
[12]

590 Where γ_N is the scalar 1 for constant gain without adaptation, Φ is the vector of tuning curve 591 centers, θ_n is the orientation of the current stimulus, κ =1.0 is a constant controlling tuning width, and R 592 is a general gain factor driving the average firing rate. We implemented sensory adaptation by adjusting 593 the gain of tuning curves relative to the identity of the previous stimulus, θ_{n-1} (Figure 3A, *Gain* 594 *Adaptation*):

$$\gamma_A(\theta_{n-1}) = \gamma_N - rect(\gamma_m \cos^3(\gamma_s(\Phi - \theta_{n-1})))$$
[13]

595 Where γ_m is the magnitude of adaptation, γ_s scales the width of adaptation, and *rect* is the half-596 wave rectifying function. The responses of the adapted population thus depend on both the current and 597 previous stimulus (Figure 3A, *Efficient Encoding*):

$$Resp_{A}(\theta_{n},\theta_{n-1}) = R \gamma_{A} e^{\kappa \cos(\phi - \theta n) - 1}$$
[14]

598

599 Unaware decoder: We first considered a model in which an adapted orientation-encoding

600 representation is being decoded by an *unaware* readout mechanism (Figure 3B). The likelihood of each

601 orientation giving rise to the observed response profile across *N* neurons was estimated assuming

602 activity was governed by a Poisson process:

$$P_{unaware}(\operatorname{Resp}_{A}|\theta) = \exp\left(\sum_{i=1}^{N} \log P_{Poisson}\left(\operatorname{Resp}_{A}^{i}(\theta); \operatorname{Resp}_{N}^{i}(\theta)\right)\right)$$
[15]

$$P_{Poisson}(k;\lambda) = \frac{\lambda^k e^{-\lambda}}{k!}$$
[16]

603 Where $Resp_N^i(\theta)$ is the expected response of the unadapted neuron i to stimulus θ and $P_{Poisson}(k; \lambda)$ is

the probability of observing k spikes given an expected firing rate of λ . The decoded orientation is then the θ giving rise to the maximum likelihood (MLE).

606 Aware decoder: In addition to the unaware decoder, we also evaluated the ability of a decoder that was

aware of the current state of adaptation to explain behavior. The *aware* decoder differs from the

608 *unaware* decoder in that its assumed activity level for each unit is modulated as a function of stimulus

609 history:

$$P_{aware}(\operatorname{Resp}_{A}|\theta_{n};\theta_{n-1})$$

$$= \exp\left(\sum_{i=1}^{N} \log P_{\operatorname{Poisson}}\left(\operatorname{Resp}_{A}^{i}(\theta_{n},\theta_{n-1}),\operatorname{Resp}_{A}^{i}(\theta_{n},\theta_{n-1})\right)\right)$$

$$[17]$$

610 Note that here the rate parameter $k \equiv \lambda \equiv Resp_A$ such that the observed and expected values 611 perfectly align with the presented orientation. $P_{aware}(Resp_A|\theta_n;\theta_{n-1})$ is dependent on sensory history 612 and is non-biased.

613 *Over-Aware decoder:* Our final decoding scheme we call the *over-aware decoder*. This model can test 614 whether serial dependence can be achieved without an explicit stage of Bayesian inference introduced 615 in the next section. The decoder has an assumed adaptation defined by a unique set of free parameters 616 γ_{m2} and γ_{s2} which shapes a separate gain adaptation:

$$\gamma_{OA}(\theta_{n-1}) = \gamma_N - rect(\gamma_{m2}\cos^3(\gamma_{s2}(\Phi - \theta_{n-1})))$$
[18]

617

618 which in turn shapes the response profile of *Resp_{OA}* in the same manner as *Resp_A*. The likelihood profile 619 is then defined as:

$$P_{over-aware}(\operatorname{Resp}_{A}|\theta) = \exp\left(\sum_{i=1}^{N} \log P_{Poisson}\left(\operatorname{Resp}_{A}^{i}(\theta); \operatorname{Resp}_{OA}^{i}(\theta, \theta_{n-1})\right)\right)$$
[19]

620 where our expected (assumed) rate λ is designated by Resp_{0A}. By having a larger assumed adaptation 621 than implemented at encoding (through either $\gamma_{m2} > \gamma_m$ or $\gamma_{s2} > \gamma_s$) the net effect of the over-aware

622 decoder should be behavioral attraction.

Bayesian Inference: In addition, we explored the effect of applying an explicit Bayesian prior based on
 temporal contiguity to the likelihood functions derived from these different readout schemes. This type
 of prior has been previously used to explain behavioral biases without considering how encoding might
 also be affected by stimulus history ³⁵. Specifically, the prior is defined by the transition probability
 between consecutive stimuli and is defined as a mixture model of a circular Gaussian and a uniform
 distribution:

$$P_T(\theta_n | \theta_{n-1}) = \frac{1}{Z} e^{-\frac{angle(\theta, \theta_{n-1})^2}{2\psi^2}}$$
[20]

629

$$P_{Bayesian}(\theta_n|\theta_{n-1}) = P_{SAME}P_T(\theta|\theta_{n-1}) + (1 - P_{SAME})\frac{1}{2\pi}$$
[21]

630

631 With P_{SAME} set to 0.64 (as found empirically in ³⁵), Z as a normalization constant so P_T integrates 632 to 1, and ψ is a free parameter describing the variance of the transition distribution. This prior (Figure 633 3C, black line) is multiplied by the *unaware* likelihood (Figure 3C, yellow dashed-line): to get the

634 posterior estimate of our *Bayesian-unaware* decoder (Figure 3C, yellow solid-line):

$$P_{Bayesian-unaware}(\theta_n | Resp_A; \theta_{n-1}) = P_{Bayesian}(\theta | \theta_{n-1}) P_{unaware}(Resp_A | \theta_n)$$
[22]

26

We can additionally examine a *Bayesian-aware* decoder by substituting its respective likelihood
function. We did not examine a *Bayesian-over-aware* model so that all decoding models would have the
same number of free parameters and so that we could directly evaluate the need for an explicit prior.

Model Fitting: The encoding stage of the model has two free parameters and for each subject these 638 639 parameters were optimized to minimize the residual sum of squares (RSS) between our measured fMRI 640 decoding errors and the decoding errors of our *unaware* decoder. For simplicity we only fit our model to 641 decoding errors from V3 as it had the highest SNR, but other early visual ROIs showed similar results. 642 After fitting the *encoding* stage of the model, we then separately fit the three competing *decoding* 643 models to best account for the behavioral data: Bayes-unaware, Bayes-aware, and over-aware (two free 644 parameters each). The output of this readout stage was treated as the behavioral bias (μ) and the free 645 parameters were optimized to maximize the likelihood of the observed responses (assuming constant 646 standard deviation σ estimated empirically for each participant). For the purposes of fitting the model, 647 the firing rates of the modelled neurons were deterministic (no noise process). Having noiseless activity 648 had no effect on the expected bias (verified with additional simulations) and served to make model 649 fitting more reliable and less computationally intensive. Both stages of the model were fit using the 650 same cross-validation groups as our neural decoder. To ensure all models had a sufficient chance of 651 achieving a good fit to behavioral data, we implemented a grid search sampling 30 values along the 652 range of each variable explored (900 locations total) followed by a local search algorithm (Nelder-Mead) 653 around the most successful grid point. We found dense sampling of the initial parameter space was 654 especially important for our Bayes-unaware model.

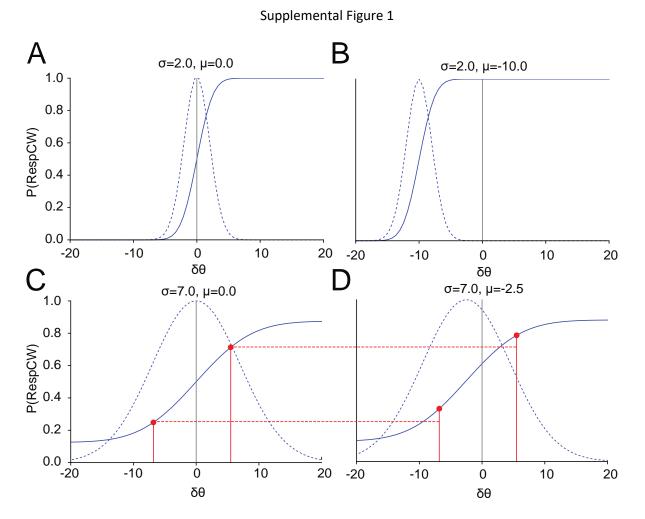
655 *Model Evaluation:* For bias of neural and behavioral responses, we evaluated the performance of the 656 two stages of our model separately. These stages must be evaluated in a qualitatively different manner 657 as the neural data gives us an orientation estimate for each trial while the behavioral data consists of 658 binary responses. For the encoding stage, we quantified how well the output of our unaware decoder 659 predicted the raw errors of our BOLD decoder using circular correlation. The performance of this model 660 was contrasted with the true presented orientation which is analogous to the representation of an 661 unadapted population. We additionally computed the variance of the neural decoding errors explained 662 by the model bias (R²). For the decoding stage of our model, we compared the log-likelihood of 663 observed responses for each model.

We additionally estimated the variance of our models using neurons with rates generated by a Poisson process. The average bias was unaffected by allowing random fluctuations in activity, but the trial-to-trial variance increased. To get a stable estimate, we simulated 1000 trials for each set of parameters estimated for a cross-validation loop for each participant and pooled these outputs. We compared the overall variance of our models to our single parameter estimate of participant precision using Jensen-Shannon divergence. We additionally examined relative precision of our model for close and far trials in the same manner as participant responses and decoding errors (<u>Response Precision</u>).

671 Data/Code Availability (upon acceptance for publication)

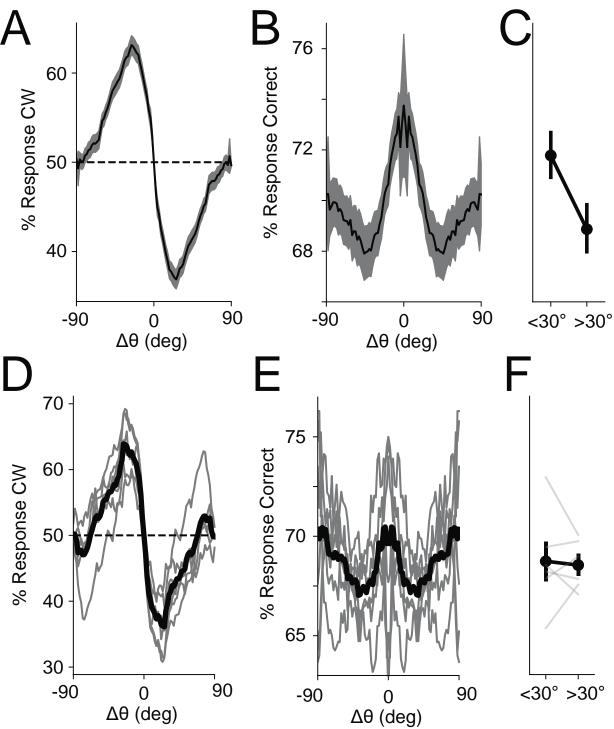
672 Code for processing raw data as well as for analyzing decoded representations can be found
673 here (GITHUB). This includes all processing performed on our BOLD data and our implementation of the
674 Bayesian decoder in Python code for running our model as well as the data used to fit models can be

- 675 found here (GITHUB). Data used in this study will be posted on the first author's Open Science
- 676 Framework repository (REPOSITORY LINK).



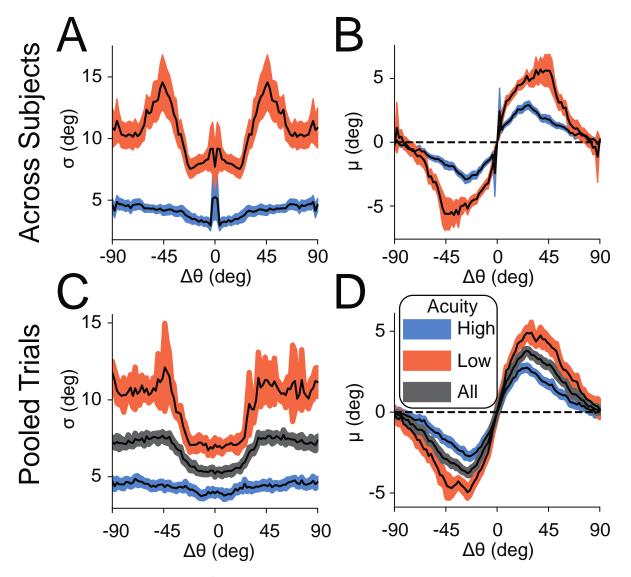
Supplemental Figure 1 Response model. Encoding of stimulus is assumed to be a noisy process whereby the distribution of encoded orientation is described by a Gaussian pdf with mean μ and standard deviation σ . Dashed line is pdf and solid line is the cdf of encoding distribution. Note that participants are reporting the probes orientation relative to the stimulus so more frequent CW responses would correspond to a CCW perceptual bias. **A:** Example estimation curve with no bias and a very small σ . If the difficulty was set to $\delta\theta$ =6° (3 sd) than this participant would get essentially all (99.7%) trials correct. **B:** Estimation curve with a μ =-10, this participant would respond CW on almost every trial. **C-D:** Realistic encoding curves. To aid with fitting and to best describe responses, a constant guess rate of 25% was included in the response model fit to participant responses. **C:** An unbiased distribution with two theoretical stimuli on which the participant responded CW. The left response $\delta\theta$ =-6° is incorrect. **D:** A CCW biased distribution results in a higher likelihood for all CW responses.

Supplemental Figure 2

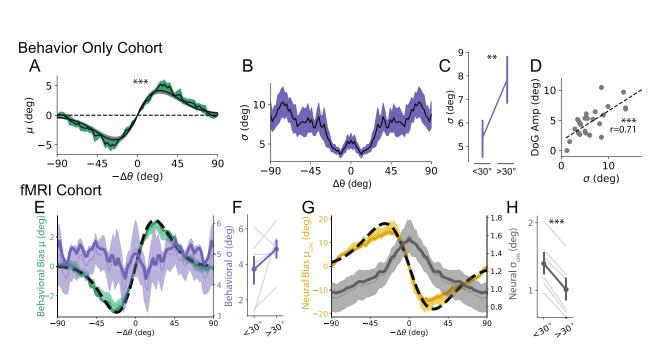


Supplemental Figure 2 Raw responses. A-C behavioral participants. A: % response CW as a function of $\Delta\theta$. Note the opposite direction of effect as a CW response means the stimulus was perceived to be CCW of the probe. Shading is SEM across participants B: % correct as a function of $\Delta\theta$. Note that as with all analyses, trials without a response are excluded. C. % correct following close or far stimuli. Close sequences led to significantly more correct trials T(45)=3.54, p=.0005. D-F fMRI participants. Analysis the same but showing individual participants. No significant difference for accuracy between close and far stimuli p=0.40.

Supplemental Figure 3



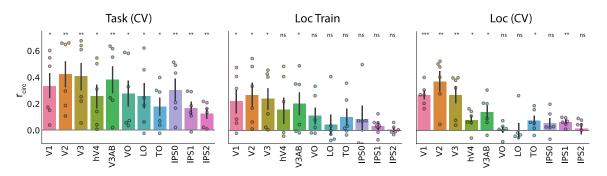
Supplemental Figure 3 Median split bias/variance. A-B: average (\pm SEM across participants) across participants. A: Model estimated variance for high and low precision participants. B. Model estimated bias is larger for less precise (5.89 \pm 0.52°) than more precise (3.57 \pm 0.72°) participants, T(44)=2.5, p=.007, unpaired 1-tailed t-test on DoG fits. C-D: pooled analysis (\pm 95% bootstrapped CI). C: same as A. Insert shows high acuity participants on own axis. D: Same as B.



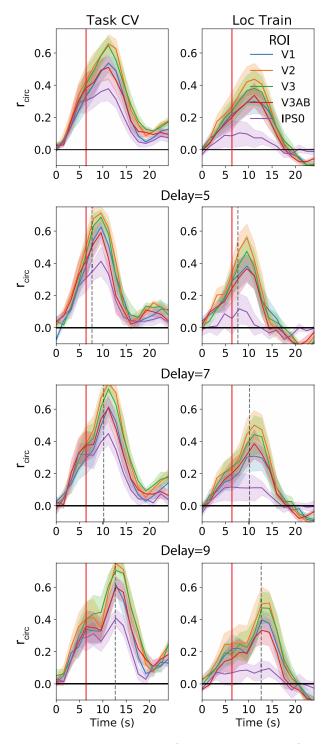
Supplemental Figure 4

Supplemental Figure 4 Random sequences only. A-C: Some participants completed blocks of trials where orientation was not independent across time. Specifically, trials were sorted such that the distance between consecutive stimuli was a multiple of 22.5° (with some jittering). This was intended to maximize our sensitivity to detecting serial dependence as prior experiments in our lab have shown serial dependence typically peaks around 25 degrees. Our behavioral effects ended up being robust and we later opted to just have stimuli be independent across time. Despite no participants overtly noticing any pattern, it is possible that this contrived setup somehow contributed to the behavioral trial history effects that we observed. To assess the impact of this manipulation, we separately analyzed data from only those participants who completed the task with independent stimulus sequences. This cohort (N=25) had an average accuracy of 70.46 \pm 1.14° at an average $\delta\theta$ of 4.97 \pm 0.35°. A: Serial dependence. The average amplitude when parameterized with a DoG was still significantly greater than 0 (amp=4.71±0.49, T(23) = 9.4, p=2.4*10-9; width 0.027±0.0019, FWHM 43.68±1.86°, (mean±SEM). B-C: Response variance. Responses were still significantly more precise following similar stimuli t(24)=-2.66, p=0.01. D: bias and variance were still positively correlated across participants (r(22)=0.71, p=0.00005. D-G: As with our behavior only cohort, some fMRI participants completed blocks of trials where trials were not independent across time. We re-ran a series of control analysis excluding these blocks and found little change to our main findings. E: Responses were still systematically attracted to the previous stimulus (DoG Amp: 3.25± 0.34, T(5)=8.85, p=1.53e-04; DoG Width: 36.1±2.9 F: Response variance was no longer significantly smaller following small changes but was trending in that direction (T(5)=-1.55, p=.09). F-G: Decoded representations showed the same robust pattern of repulsive bias and uncertainty as the full dataset. Together this suggests that our results were not somehow corrupted by the set of trials in which stimuli were not independent.

Supplemental Figure 5

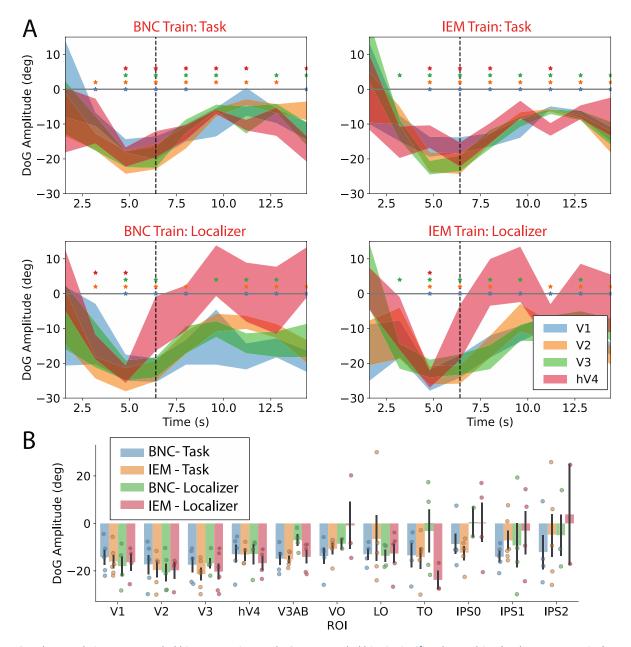


Supplemental Figure 5 Model Performance. Relative performance of model trained and tested on task (left), on a separate localizer paradigm and tested on the task (middle), or trained and tested within the localizer task (right). For the model trained and tested on the localizer data, we could not use orientation information during voxel selection as this would be a circular analysis. Instead, we performed a 75% voxel threshold on donut selectivity for each ROI. See <u>Voxel Selection</u> for selection process for localizers tested on task data. *, p<.05; **, p<.01; ***, p<.001; t-tests on Fisher transformed r_{circ}.



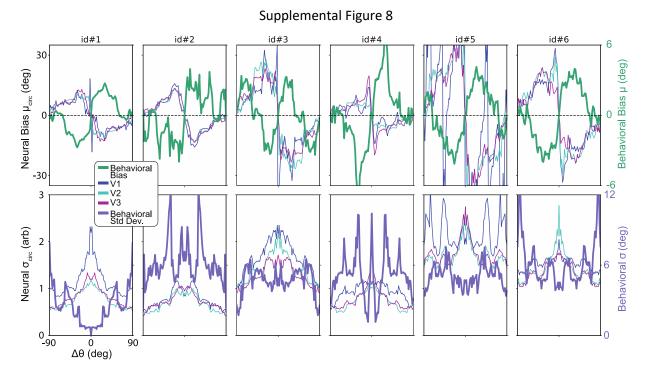
Supplemental Figure 6

Supplemental Figure 6 Model Performance across time. Left column: model trained on task. Right column: model trained on localizer and tested on all task TRs. Top Row: all trials. Rows 2-4: subset of trials corresponding to delays of 5, 7 and 9s. Shaded lines depict average r_{circ} across participants (± SEM across participants) for 5 ROIs (see legend). Dashed vertical line is average delay time for a given group. Red vertical line is central TR used in main analyses. Time is not shifted to account for hemodynamic lag so even the probe on the shortest delay trials should not affect signal measured at red line.



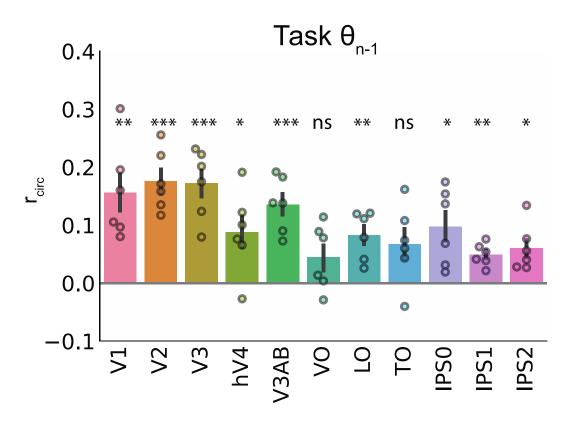
Supplemental Figure 7

Supplemental Figure 7: Decoded bias across time and ROI. **A:** Decoded bias is significanly repulsive (and never attractive) across the extent of the trial when parameterized with a DoG. For completeness we show two different decoders ('BNC', <u>Bayesian</u> <u>Noise Correlation</u>; and "IEM", <u>Inverted Encoding Model</u>) trained on both the task and localizer data. Time points represent middle of sliding 4 TR window. *, p<.01, uncorrected. **B:** Decoded bias is generally repulsive (and never attractive) across all ROIs and decoding techniques for TR window centered at 6.4s (indicated with dashed line in **A**).

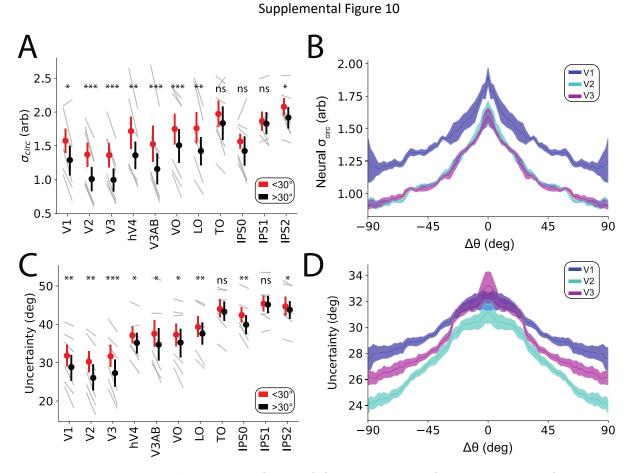


Supplemental Figure 8 Decoded and behavioral bias (top) and variance (bottom) for individual participants. Left axis: Neural data for ROIs V1, V2, and V3 (see legend). Decoded orientation is clearly repelled in all participants in V1-V3 and neural σ generally peaks at $\Delta \theta$ =0. Right axes: Behavioral data. Responses are clearly attracted for all participants. Note how participant id#3 has peripheral *repulsion* from very distant stimuli.

Supplemental Figure 9



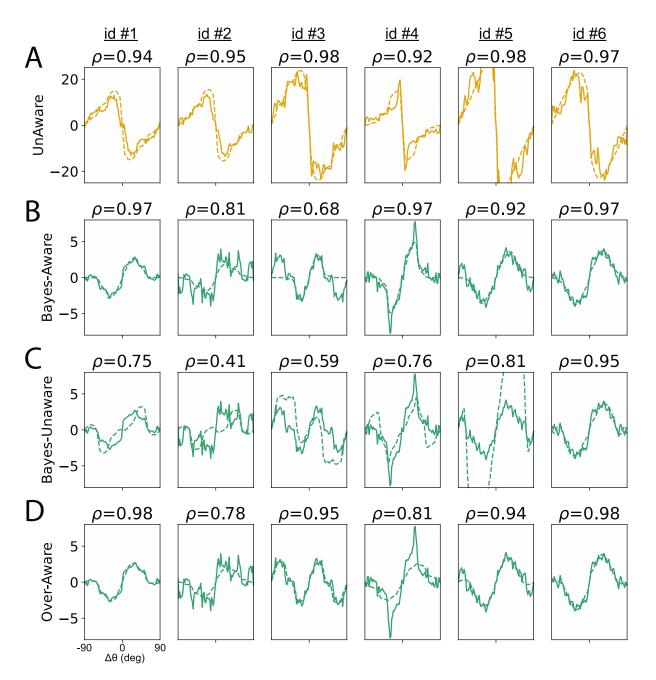
Supplemental Figure 9 Decoding performance for model trained and tested on task data to decode previous trial's stimulus (θ_{n-1}). Performance was significantly above chance in most ROIs. ns, not significant, *, p<.05, **, p<.01, ***, p<.001.



Supplemental Figure 10 Decoded uncertainty as a function of $\Delta\theta$ across ROIs. A: σ_{circ} of decoding errors is significantly greater for close (<30°) versus far (>30°) stimuli across early visual ROIs. Points and error bars are mean ±SEM across participants; gray lines depict individual participants. B: Sliding σ_{circ} for V1-V3 shows a monotonic relationship. C-D: Same as A-B but measuring uncertainty directly measured from the single trial posterior (see <u>Neural Variance</u>). Results are qualitatively very similar for both techniques. ns, not significant, *, p<.05, **, p<.01, ***, p<.001.

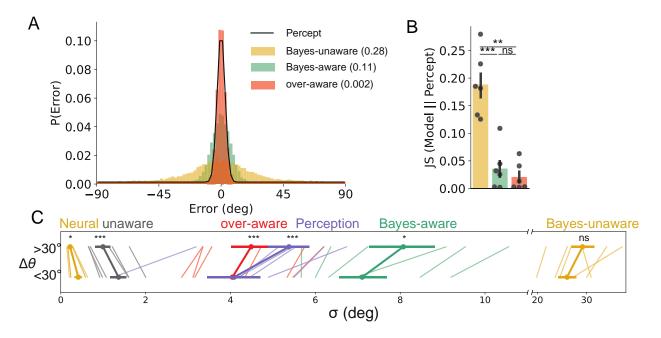
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Supplemental Figure 11



Supplemental Figure 11 Model fits for individual participants (same order as Figure S8). Solid lines correspond to empirical neural (**yellow**) or behavioral (**green**) bias; dashed lines correspond to model fits to BOLD decoding bias (Unaware model, **A**) or behavior (**B-D**). Model fits plotted are average of noiseless biases generated by models fit to each CV fold. Note that a models are fit to raw data, not binned data presented here. Pearson's correlations are reported above each fit between binned and model estimated bias.





Supplemental Figure 12 Model Performance. **A:** Distribution of empirically predicted response errors (black line) and simulated model fits for an example participant along with associated Jensen-Shannon divergences. **B:** The *Bayes-unaware* model provided a significantly worse fit to empirical uncertainty than either "aware" model when assessed across participants. **C**. Visualization of all uncertainties split as a function of close and far stimuli. The *unaware* model was significantly less precise following small changes matching neural decoding. The two "aware" models were significantly more precise following small changes matching perception. The Bayes-unaware model did not have significant modulation of decoding uncertainty and had an average uncertainty that was on average 5x that of perception. ns, not significant, *, p<.05, **, p<.01, ***, p<.001.

Table 1

Average fit coefficients for models averaged across CV fits (\pm SEM across participants) shown in bold. Other parameters either fixed values, drawn from fit to encoding stage for given participant, or are not utilized for a particular model (N/A).

	Fit To:	BOLD Decoder	Behavior		
Stage:		Unaware	Bayes unaware (Prior*unaware)	Bayes aware (Prior*aware)	Over-aware
Encoding	γ_m	0.81 ±0.04			
	Υs	0.56±0.16			
Decoding	γ_{m2}	0	0	Υm	0.69±0.09
	γ_{s2}	1	1	γ _s	0.68±0.11
Bayes	R	5	1.95±0.57	0.17±0.03	5
	ψ	N/A	0.60±0.05	0.86±0.05	N/A