Folate bioavailability in reconstructed skin models and effects of folate in a monolayer wound healing assay. Approaches on topic application. Short title: Folate bioavailability and wound healing promotion Dirk Dressler^{1*¶}, Martin Ulmann², Gerd Wiesler^{2¶} ¹BioTeSys GmbH, Esslingen, Germany ²Aprofol AG, Appenzell, Switzerland *Corresponding author E-mail: d.dressler@biotesys.de ¶These authors contributed equally to this work

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20 Abstract

21	In chronic and degenerative diseases impacting the skin folates play an important metabolic
22	role improving wound healing and reducing skin irritations. In contrast to systemic folate
23	administration little is known on skin penetration of folates after topical application. Here the
24	penetration of simple aqueous solutions of reduced folates have been investigated with in-
25	vitro reconstructed skin models mimicking the barrier of native human skin. For up to 24 h,
26	penetration of the epidermis by newly developed folate salts and formulations were
27	investigated. Aqueous and lipophilic solutions of L-formyltetrahydrofolate and L-mefolinate
28	salts were able to penetrate the epidermis. Even more importantly, the skin model revealed
29	the metabolic conversion of L-folinate to L-methyltetrahydrofolate. Exemplarily the effects of
30	these new folate salts have been tested on wound healing in a scratch assay with primary
31	human keratinocytes. All folates applied were able to enhance wound healing compared to
32	the control.

Bioavailability, metabolic conversion and physiologic effectiveness of new folate formulation
have been shown successfully in in vitro applications providing evidence for the potent
applicability of the new folates in preparations for topic application.

36 Introduction

The skin much more than other tissue of the body is exposed to detrimental environmental conditions. Sunlight, smoke or air pollution [1], microorganisms, and chemical challenges like household detergents amongst other influences challenge the health of the skin [2]. Besides the extrinsic factors the condition of the largest organ is affected by pure intrinsic aging regularly, enhanced by photo aging processes not only associated with reduced number of blood vessels, especially in the upper dermis [3]. Consequently, regenerative abilities of our first barrier skin increasingly are affected with age [3–5]. Additionally, wounds caused by 44 various incidents more or less traumatically inflict the barrier between the body and the 45 surrounding. Due to the initial great regenerative potential of the skin a lot of the integrity 46 losses are cured by proliferation and differentiation of the cellular elements of the skin [6, 7]. 47 Wound healing can be divided into several phases such as inflammation, proliferation, and 48 remodeling and age-related changes in wound repair have been described in each of these 49 phases [8–10]. The underlying mechanisms remain unclear. Skin keratinocytes from older 50 donors have more limited replicative lifespan than keratinocytes obtained from younger 51 individuals when placed in culture [11, 12]. Cell adhesion molecules of estrogen levels were 52 identified as possible factors influencing impaired wound healing in elderly people [13–15]. 53 Not exclusively but with increasing importance in the aged there are also metabolic dietary 54 factors that may affect skin conditions. Among the most important nutrients for human health 55 the family of folates play a key role in human skin. "This importance is underscored by 56 potential links between folate deficiency and psoriasis, vitiligo, exfoliative dermatitis, glossitis, 57 and skin cancers." [16]. 58 Besides the metabolic benefits of orally taken folates, it would be interesting to understand if 59 folates can cross the skin and thus open up the path for an alternative route of entry

simultaneously offering the possibility to apply folates directly to areas that have increasedneeds.

The main drawbacks associated to folic acid use, particularly for topical applications, are the limited solubility and the sensitivity to UV rays [17]. The very low solubility of folic acid in aqueous physiological solutions (1.6 mg/L) [18] results in insufficient homogeneous dispersion in hydrophilic solvents. Therefore, surfactants, co-surfactants or co-solvents are required to employ a homogeneous formulation. The insolubility in organic solvents and the low lipophilicity hinders the penetration of the skin while applying penetration enhancers may impair the skin barrier.

Folic acid is known as a food supplement for a long time. Folic acid is a synthetic form of the
vitamin, which is only found in fortified foods, supplements and pharmaceuticals. It lacks

coenzyme activity and must be reduced to the metabolically active tetrahydrofolate form
 within the call [10]

72 within the cell [19].

Newly developed reduced folate-salts or folate formulation with improved solubility
characteristics have been considered to investigate their ability to penetrate the skin in

- aqueous solution. These reduced folate salts (L-Formyltetrahydrofolate di arginine abbr.
- 76 L-FTHF di arginine, L-Methyltetrahydrofolate di choline abbr. L-MTHF di choline) are more
- 77 lipophilic, show good stability, are readily soluble in aqueous solutions, and are at least
- 78 moderately soluble in organic media increasing the options for formulation for local
- 79 applications. The solubility characteristics of L-Formyltetrahydrofolate Calcium (L-FTHF Ca,
- 80 approx. 0.51 g/L in aqueous solutions) has been improved significantly in a new proprietary
- 81 liquid formulation avoiding precipitation of the folate.

The basic question motivating the current approach was the bioavailability of the new folate salts and possible differences in uptake efficiency between the different formulations. Based on these results the development of products for topical application might be considered. To address at least one of the fields of application for such products the effect of these specific folate salts on wound healing was evaluated on behalf of the scratch assay including primary skin keratinocytes.

88

89 Material and Methods

90 Chemicals

L-FTHF Ca (Fig 1; L-formylfolate calcium salt, 5-formyl-(6S)-tetrahydrofolic acid calcium salt)
purchased from Cerbios SA, Switzerland; L-MTHF di choline (Fig 2; L-methylfolate di choline
salt, 5-methyl-(6S)-tetrahydrofolic acid di choline salt) and L-FTHF di arginine (L-formylfolate
di arginine salt, 5-formyl-(6S)-tetrahydrofolic acid di L-arginine salt were synthesized by
SynphaBase AG, Switzerland on behalf of Aprofol AG, Switzerland.

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3	1

98 Fig. 1: Structure of L-FTHF di arginine

99

100 Fig 2: Structure of L-MTHF di choline

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102 Solutions for the assays were prepared at room temperature without pH modification as

103 follows: L-FTHF Ca 2.5% (w/w) and sodium gluconate 2.5% (w/w) in ultra pure water (MilliQ

104 Reference A+, Merck, Darmstadt, Germany) corresponding to 48.69 mM L-FTHF. L-MTHF di

105 choline 2.5% (w/w) in ultra-pure water corresponding to 37.55 mM L-MTHF. L-FTHF di

arginine 2.5% (w/w) in ultra-pure water corresponding to 30.42 mM L-FTHF.

107

108 **Bioavailability**

Bioavailability of folates in skin, was evaluated on behalf of reconstructed skin models
(epiCS, Henkel AG & Co. KGaA, Düsseldorf, Germany) generated from isolated primary
human keratinocytes. These models show a barrier very similar to human skin and are an
accepted model for skin irritation and skin corrosion tests (e.g. OECD TG 439).

113 The skin models are cultured on a porous membrane forming a two-compartment system 114 separated by the human skin like barrier (0.6 cm²). The skin models are delivered 115 accompanied with quality control data of the respective batch. Additionally, to determine the 116 applicability of the skin models at the study site, the barrier was checked for leaks before use 117 by means of trans epithelial electrical resistance measurements (TEER, EVOM with STX3 118 electrodes, World Precision Instruments, USA). Models with a significantly below-average 119 electrical resistance between the apical and the basal compartment were not used in the 120 experiment. Suitable skin models were treated topically with 50 µL of the test product 121 solutions prepared as described under "chemicals" without further dilution. The basal

122 compartment during treatment was filled with 1000µL HBSS (Hanks balanced salt solution 123 Lonza, Switzerland) to omit influences of the complex and proprietary cell culture medium on 124 later analyses. After 4h (data not shown), 8h and 24h, samples of the buffer (500 µL of 1000 125 µL) were taken from the basal part below the models to check for the presence and 126 concentration of folates. 500 µL of fresh HBSS was added replacing the withdrawn volume at 127 4h and 8h. Each test product and controls were tested at one concentration in three 128 replicates. The study was repeated once to obtain results from two independent trials. 129 The analysis of the buffer samples was carried out by way of a LC/MS method established 130 by the University of Saarland, Germany [20]. 131 Subsequently to the topical treatment for 24h the skin models were washed and incubated 132 with a vital dye (Resazurin, MerckMillipore, Darmstadt, Germany) to determine the relative 133 number of living cells in the models. After 2h incubation samples of the basal medium were 134 analyzed on Resarufin the redox product of Resazurin formed by vital cells by measuring the 135 fluorescence at 560/590 nm on a fluorescence reader (Infinite M200 pro Tecan, Austria).

136

137 Scratch Assay

To exemplarily examine the effect of different reduced folate preparations on wound healing the in vitro Scratch Assay was employed. This in vitro model comprises the defined injuring of a closed cell layer and the subsequent recovery of the defect in the presence of the testsubstance compared to appropriate controls, i.e. (untreated) cells with no test-substance present.

The experimental procedure for the Scratch Assay was as follows: Primary keratinocytes of human skin (C-12005, Promocell, Heidelberg, Germany) were cultured in the medium recommended by the manufacturer (C-20011, Promocell, Heidelberg, Germany). A dose finding experiment was conducted prior to the scratch assay. Keratinocytes were seeded on 96-well plates cultured to confluence and incubated with five dilutions of the folate solutions 148 for 24 h. MTT (methylthiazolyldiphenyl-tetrazoliumbromid, 0.5 mg/mL M2128, Merck,

Darmstadt) was added for 2h and the color change caused by the conversion of the vital dyein living cells was guantified photometrically.

151 Three different non-toxic dosages were applied in the scratch assay. Keratinocytes were 152 cultivated in appropriate cell culture plates (6-well plates, Greiner BioOne, Frickenhausen, 153 Germany) bearing position markings on the outer bottom of each well. Cells were grown until 154 they completely cover the growth area. Cells were scratched with a pipette tip along the 155 position markings, generating an area free of cells (scratch, injury). The cultures were 156 washed to remove partially detached cells and were covered with medium comprising the 157 test-substances in appropriate dosages. Regrowth of the cells into the cell free area was 158 photographically documented at 0h, 6h, and 24h. Analysis was done by marking and 159 calculating the cell-free area on behalf of a software (ImageJ [21]) on the image files taken at 160 the given points in time. The relative closure of the wound-area was calculated by subtracting 161 the relative wound area at each time point from the initial wound area (=100%). For every 162 dosage of each test substance two replicates were treated in a single experiment. The not 163 supplemented standard medium and standard medium supplemented with 10 % fetal bovine 164 serum (FBS, Biochrome, Berlin, Germany) served as control.

165

166 **Results**

The bioavailability of L-MTHF or L-FTHF from the preparations was demonstrated after
supplementation of reconstructed skin models. Buffer samples of the basolateral
compartment of the models were analyzed for folic acid, L-MTHF (L-methylfolate), and LFTHF (L-formylfolate) in order to detect the penetration and metabolism of the different folate
salts.

After 8 h low folic acid concentrations were found partially differing significantly between
control models and supplemented models. L-MTHF and L-FTHF were found in much higher

concentrations. After supplementation, the topically applied folate derivatives penetrated
through the epithelium of the skin models and partially were metabolized by the cells of the
skin models. The main part of the topically applied L-FTHF passed through the tissue but
was also partially metabolized to L-MTHF (Fig 3, and 4). Conversely, the topically applied
L-MTHF as such got through the tissue of the skin models obviously without being
metabolized.

180

181 Fig 3: Penetration of folates through reconstructed human skin models.

Determination of folic acid, L-MTHF and L-FTHF concentrations in the compartments under the skin models after 8h. Skin models were topically treated with the compounds indicated. Mean of two experiments each with N=3 + SEM. Statistics: ONE-way ANOVA with Tukeys multicomparison test (Prism 5.04 GraphPad Software, San Diego, USA) (p < 0.05 = *; p < 0.01 '= **; p < 0.001 = ***). Differences to the control are only partially depicted.

187

188 After 24h (Fig 4) both the folic acid (partially) and L-MTHF concentrations are higher than 189 after 8h. The folic acid concentrations below the skin models treated with L-FTHF Ca are 190 twice as high as the concentrations of the other skin models. However, the folic acid 191 concentration is negligible in comparison to L-MTHF and L-FTHF concentrations measured 192 (refer to the molar range). The differences in the level of L-MTHF between L-FTHF Ca and L-193 FTHF di arginine supplemented on the one hand and L-MTHF di choline supplemented skin 194 models were highly significant (Figs 3 and 4). The concentration of L-MTHF in skin models 195 treated with L-FTHF Ca also are relatively high (1118 nmol/L). The concentration of the 196 solution below the models treated with L-FTHF di arginine is approximately half that (620 197 nmol/L). L-FTHF cannot be detected in the samples after L-MTHF di choline since L-FTHF 198 cannot be formed from L-MTHF by human cells or only through energy-consuming metabolic 199 pathways (Figs 3 and 4). On the other hand, L-FTHF is measurable in all other samples with 200 the exception of the control.

201

202 Fig 4:Penetration of folates through reconstructed human skin models (24h)

203 Determination of folic acid L-MTHF and L-FTHF concentrations in the compartment under the skin 204 models after 24h. Skin models were topically treated with the compounds mentioned on the x-axis. 205 N=3 + SEM. Statistics: ONE-way ANOVA with Tukeys multicomparison test (Prism 5.04 GraphPad 206 Software, San Diego, USA) (p < 0.05 = *; p < 0.01 '= **; p < 0.001 = ***)Differences to the control are 207 only partially depicted.

208

Since the integrity of the skin like barrier is important to prevent leakage of topically applied supplements to the basolateral acceptance compartment, we examined the vitality of the skin models after each experiment besides the electrical resistance controlled prior to the application of the supplements (data not shown). The viability of the skin models was not adversely affected by the treatment (Fig 5). A slight decrease in vitality following treatment with the L-MTHF di choline was observed (86% of the control). However, a decline of this magnitude is not considered being a toxic effect.

216

217 Fig 5: Survival of reconstructed human skin models.

218 Relative vitality of the cells of the skin models after 24h topical treatment with 50 μ L of each of the test 219 substances or water. The fluorescence values of the dye (resazurin) is corresponding to the number of 220 living cells and was normalized to the control (= 100%). Mean of two experiments each with N = 3 + 221 SEM.

222

223 In preparation to the scratch assay, applicable concentrations were evaluated by applying

224 five different concentrations of the test chemicals to confluent monolayers of primary

- 225 keratinocytes as described under methods. Concentrations resulting in relative vitalities of
- 226 more than 70% compared to the control qualify for the scratch assay.

227 The results for the dose finding are depicted in Fig 6. Based on these results the highest of

the three concentrations chosen for the scratch assay were 1.5 mM for L-MTHF di choline,

- 229 1.95 mM for L-FTHF Ca and 1.22 mM for L-FTHF di arginine. These concentrations were
- supported by additional calculations (Hill-slope, GraphPad Prism 5.04, data not shown).

231

- Fig 6: Determination of the applicable dosage of the test products.
- 233 Cytotoxicity test with keratinocytes in monolayer culture after conversion of the vital dye MTT by living
- cells. The concentration data are based on solutions as mentioned in chemicals. Treatment time =
- 235 24h. Untreated cultures served as negative control. N = 6 + SEM.
- 236 Following the procedure described under methods, onto confluent monolayers of primary

237 keratinocytes, a wound was applied and the three different concentrations of the test

chemicals were added to separate cultures. Other keratinocyte cultures were treated with

239 medium only or medium with 10% FBS accordingly and served as controls.

- After 6 hours, no substantial coverage of the cell-free area has been achieved in all
- treatment groups. Only 12 to 24% of the initial area were recovered. After 24 h, 50 to 77% of
- the initial wound area was covered. At that time the folic acid solutions in tendency better
- promote growth than the standard medium (Figs 7). The folate solutions promote growth in a
- similar manner (60 -77% recovery). No clear dose dependency was detected with L-FTHF di
- arginine. No statistics have been calculated due to the low number of replicates.

Supplementation with various folate preparations in tendency led to accelerated regenerationof an artificial wound after 24h in the scratch assay.

248

249 Fig 7: Scratch assay, recovery of wound area.

Area of the wounds covered after 6h or 24h. The measured areas were expressed as % of the starting

area of the respective treatment group. The higher the bars, the more the wound "healed". For each

treatment group, three concentrations were investigated in two separate cultures (N=2) +SEM.

253

254 Fig 8-10: Scratch assay, examples of progression of wound healing.

Example of the recordings for L-MTHF di choline 48.9 nmol/mL from left to right 0h, 6h and 24h. The

white lines delimit the wound areas for measurement. The black bars mark 100 µm stretch.

257 After 6h only little surface recovered. After 24h it becomes difficult to mark a cell-free area.

258 The initial wound area is almost covered.

259

260 **Discussion**

Hasoun et al [22] found low folate concentrations in human epidermis compared with many other tissues. At the same time they identified a relatively high proportion of L-MTHF in healthy epidermis compared to the dermis. They discuss a special role for L-MTHF in the epidermis with respect to possible photo degradation and maintenance of the high proliferation rate typical for the epidermis. In general these findings indicate special requirements of the epidermis regarding folates.

267 In the current in vitro study, the bioavailability of newly developed folate salts was 268 demonstrated in reconstructed skin models. This is the first time that penetration of skin 269 models has been shown with simple aqueous solutions of folates free of any allergenic or 270 irritating substances. Most impressing, the supplemented L-FTHF was partially metabolized 271 to L-MTHF the dominant form in the body and the cellular part of the skin. The occurrence of 272 metabolites of the supplemented salts on the one hand underline the accessibility of the 273 folates for human skin cells despite distinct horny layer. On the other hand those findings 274 highlight the physiologic capacity of in vitro reconstructed skin models which largely rebuild 275 the barrier function of human skin.

At both times examined we found similar but low concentrations of folic acid opposite to the treatment side in the basal compartment (Figs 3 and 4). With no other source of folates

278 present than the supplements. We assumed that folic acid values determined with the 279 tissues mainly still originated from folic acid residues fed with the culture medium during 280 maturation of the tissue and now gradually released or leaking from the cells. This 281 interpretation is guite likely for the control tissue that received no supplement. However, the 282 values measured with tissues supplemented with L-FTHF-Ca were significantly different to 283 values measured with the control tissue or tissues supplemented with L-MTHF di choline 284 strongly indicating a possible additional trigger by the treatment. If this finding is caused by 285 lower consumption of folic acid remnants due to a now available second source of folates 286 remains unclear.

287 L-MTHF was found in high levels opposite from the supplemented side of the skin models. 288 The highest concentrations understandably were found after supplementation with L-MTHF 289 di choline that seemed to penetrate easily through the keratinized layer and the cell layers 290 below. Remarkably, models supplemented with L-FTHF Ca (155 nmol/L after 8h, 1118 291 nmol/L after 24h) and L-FTHF di arginine (76 nmol/L after 8h, 620 nmol/L after 24h) also 292 showed quite high concentrations of L-MTHF but significantly lower concentrations than after 293 L-MTHF di choline treatment. Obviously, L-MTHF was formed from these two derivatives by 294 cellular metabolism. After 8h and even more after 24h the concentrations of L-MTHF of the 295 L-FTHF-Ca treated skin were twice as high as after L-FTHF di arginine treatment. However, 296 it must be taken into account that molarities applied of the two supplements differ by about 297 one third (L-FTHF-Ca = 48.69 mM; L-FTHF di arginine = 30.42 mM) which contributes to a 298 large part of the difference. The analysis of L-FTHF shows a more simple picture. Since L-299 MTHF supplemented via L-MTHF di choline can not be metabolized to L-FTHF only L-MTHF 300 has been detected below these skin models. Significantly more L-FTHF was found after 301 supplementation with L-FTHF-Ca than after supplementation with L-FTHF di arginine. As 302 mentioned above this difference to a large part might be caused by different molarities 303 supplemented with these two solutions (see above). After 24h the difference between the 304 supplementation groups were below significant levels. Moreover, the difference of the initially

applied molarities no longer are reflected. While after 8h the penetration of L-FTHF-Ca
 seems to be slightly better, after 24h treatment the contrary becomes evident.

The viability of the models was not significantly affected by the treatment. It therefore could be assumed that the barrier function of the skin models was not impaired. Compared to the test on irritating effects according to OECD TG 439 [23], where models are treated with the test substances for only 15 minutes, treatment over 24 hours is far more challenging. Thus, for the tested folates a very good compatibility to the human skin can be stated.

In the current approach, penetration of folates through the epidermis has been addressed and metabolic conversion was detected as a side effect. Since the reconstructed skin tissue models as such were not examined on folate content it is unknown if and to what extend the folates are retained in the reconstructed skin tissue. Moreover, it is not clear which specific cell layer of the model is responsible for the metabolic conversion of folates. Future approaches might engage more into the processes within the tissue even integrating dermal elements making use of full thickness skin models.

319 Humans are not able to synthesize folate de novo, and therefore are dependent upon dietary 320 sources. The terms folate and vitamin B9 refer to a large family of chemically similar 321 compounds different in the glutamine residue, one-carbon substituent position at N5 and N10 322 or the oxidation state. The most widely known folate is the synthetic folic acid due to its 323 enhanced chemical stability [24]. Folic acid is inactive in the body and needs to be converted 324 to reduced folate by several enzymes e.g. in a rate limiting step by dihydrofolate reductase 325 [19, 25, 26]. In further reducing steps dihydrofolate and dietary folates, as monoglutamates, 326 are converted to the bioactive folate form in the body, e.g. L-MTHF. The folate uptake and 327 metabolism is depending on many genetic polymorphisms affecting the status of folate and 328 vitamin B12 resulting in elevated homocysteine [27, 28]. While folate deficiency has been 329 extensively documented by analysis of human plasma, folate status within skin has not been 330 widely investigated. However, inefficient delivery of micronutrients to skin and photolysis of 331 folates argue that folate deficiencies will be present if not exacerbated in skin [24]. Therefore,

a targeted delivery of micronutrients might be of future interest to circumvent or support
systemic impairments. The characteristics of the selected reduced folates as determined
here supports their applicability with this respect.

335 In addition to the uptake studies aqueous solutions of the selected reduced folates

- 336 exemplarily showed positive tendencies on wound healing in the scratch assay. This
- indication of an effect of the tested compounds in wound healing provides hints on a possible

field of application improving the integrity of deficient epidermal barrier [22].

339 Since metabolization of folates as reported for the reconstructed skin models was not

examined with the cells in the more basic monolayer model applied for wound healing there

341 still is a lack of information on specific metabolites involved in the observed effects. Whether

342 or not non-differentiated skin keratinocytes in monolayer culture are able to transform folates

in a similar manner as highly differentiated keratinocytes in skin models has to be addressed

in future work. Results obtained from such trials might help to understand which folates are

best choice to promote wound healing or skin related diseases.

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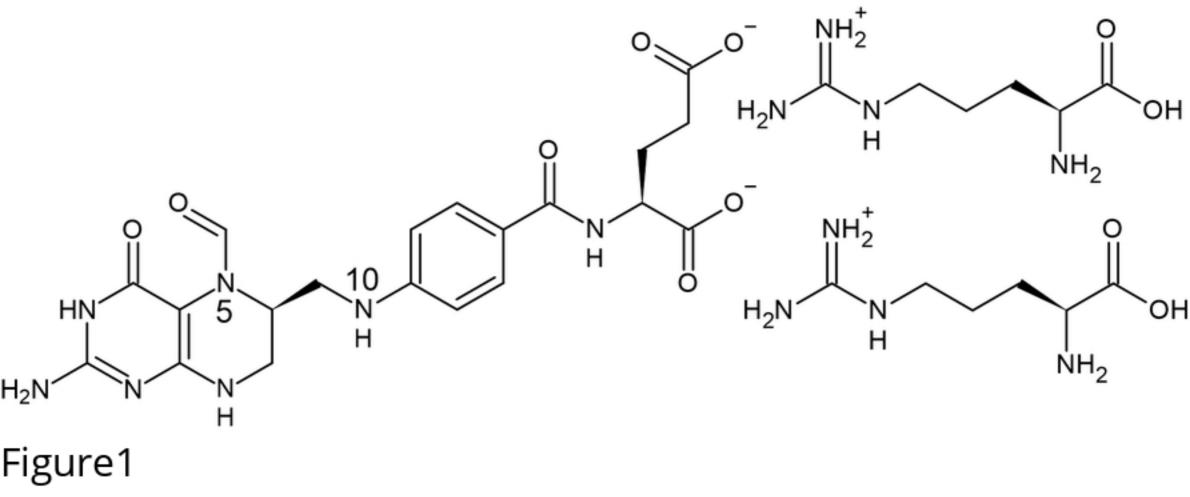
347 Conclusion

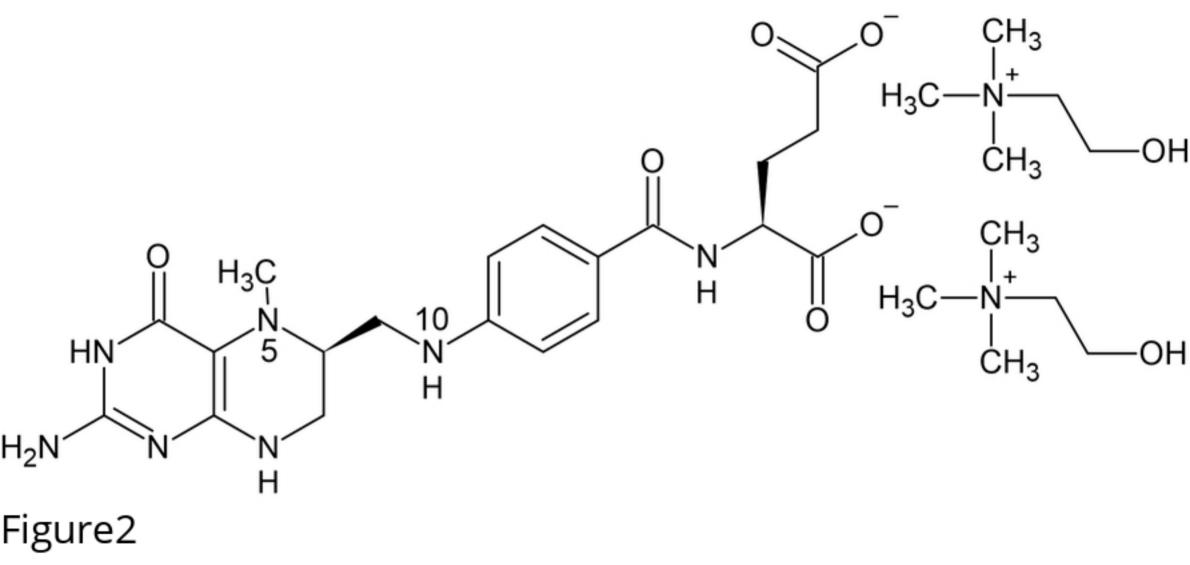
348 In the skin model simple aqueous solutions of reduced folates have overcome successfully 349 the intact barrier of the skin models. Most impressingly the supplemented L-FTHF (L-350 formyltetrahydrofolate) was partially metabolized in the skin models to L-MTHF (L-351 methyltetrahydrofolate) the dominant form in the body and the cellular part of the skin 352 models. The occurrence of metabolites of the added folate salts underline the accessibility 353 for human skin cells. In addition, the scratch assay in primary keratinocytes remarkably 354 demonstrated positive effects on wound healing. In individuals with disease-related low folate 355 a topical folate application may help to improve skin conditions. The dermal penetration 356 capabilities of reduced folates tested, free of any allergenic or irritating substances, open 357 new possibilities for development of topical applications for local delivery of folate.

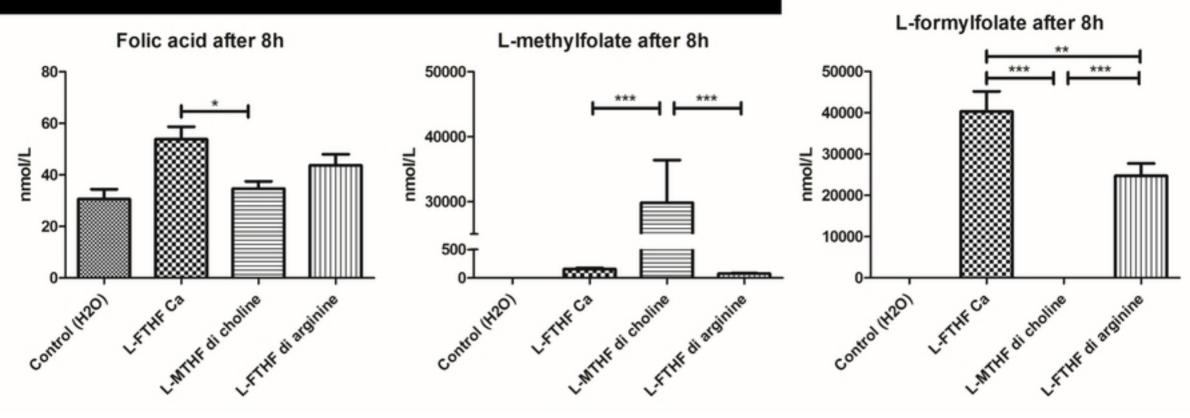
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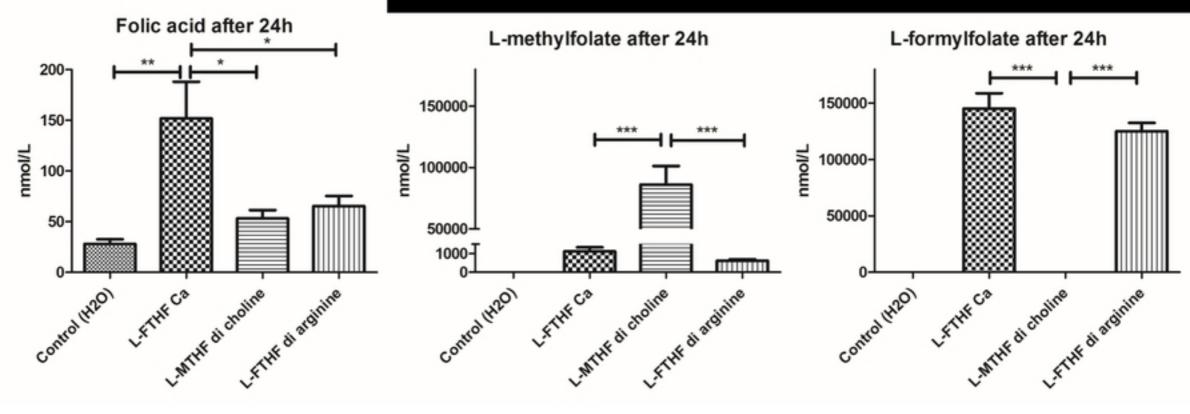
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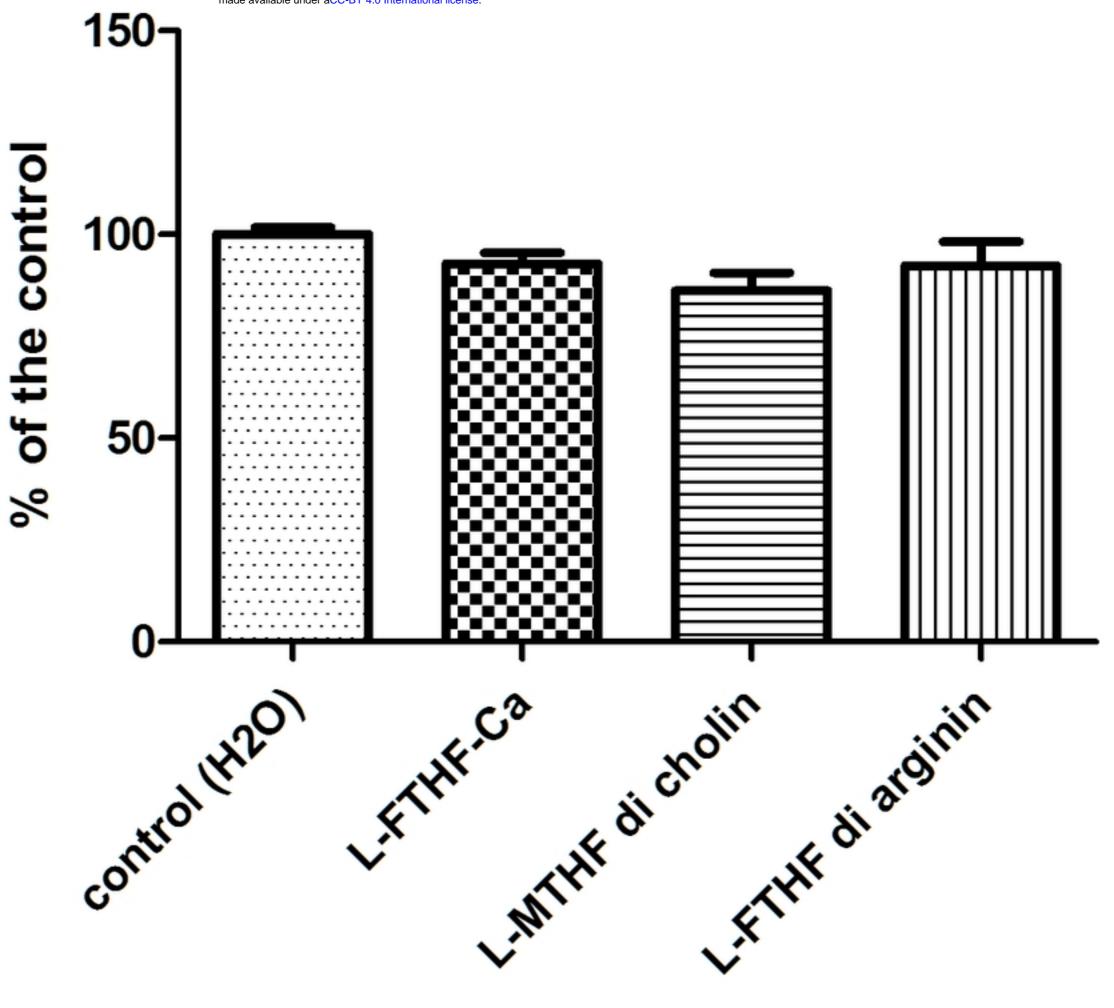


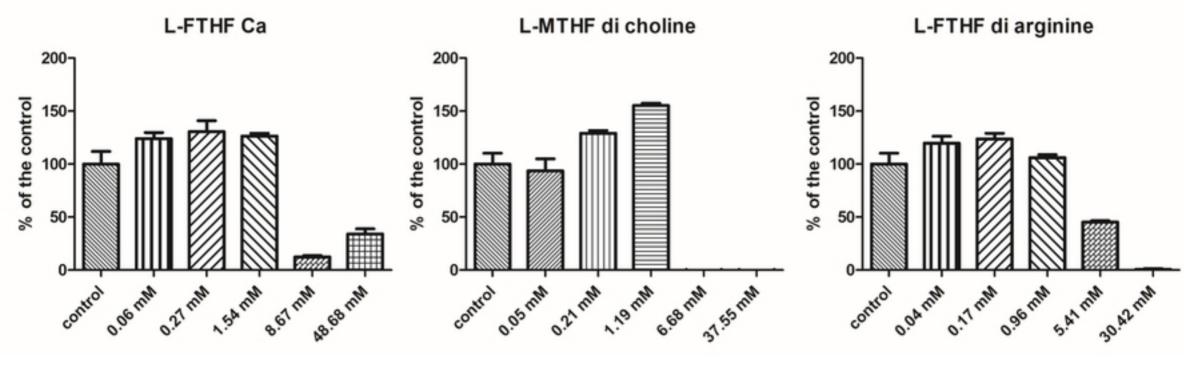


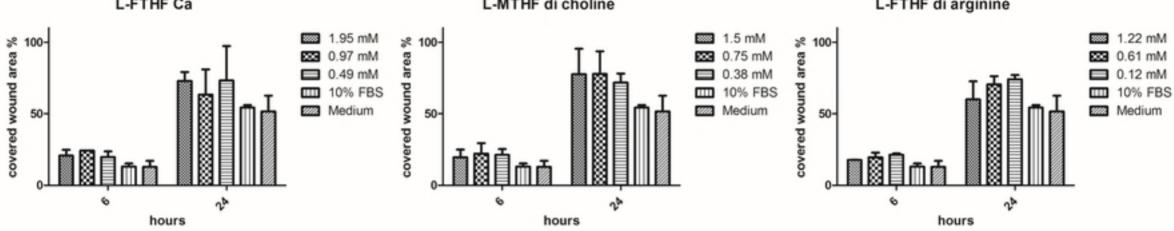


Vitality of skin models after treatment mean of two experiments

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L-FTHF Ca

L-MTHF di choline

L-FTHF di arginine

