1 An evidence-based 3D reconstruction of *Asteroxylon mackiei* the most complex plant

- 2 preserved from the Rhynie chert
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25 Abstract

The 407-million-year-old Rhynie chert preserves the earliest terrestrial ecosystem and informs our understanding of early life on land. However, our knowledge of the 3D structure, and development of these plants is still rudimentary. Here we used digital 3D reconstruction techniques to produce the first complete reconstruction of the lycopsid Asteroxylon mackiei, the most complex plant in the Rhynie chert. The reconstruction reveals the organisation of the three distinct axes types - leafy shoot axes, root-bearing axes and rooting axes - in the body plan. Combining this reconstruction with developmental data from fossilised meristems, we demonstrate that the A. mackiei rooting axis – a transitional lycophyte organ between the rootless ancestral state and true roots – developed from root-bearing axes by anisotomous dichotomy. Our discovery demonstrates how this unique organ developed, and highlights the value of evidence-based reconstructions for understanding the development and evolution of the first complex plants on Earth.

54 Introduction

55 The Silurian-Devonian terrestrial revolution saw the evolution of vascular plants with complex bodies comprising distinct roots, root-bearing organs, shoots and leaves from 56 57 morphologically simpler ancestors characterised by networks of undifferentiated axes (Bateman et al., 1998; Gensel and Edwards, 2001; Kenrick and Crane, 1997; Stewart and 58 Rothwell, 1993; Xue et al., 2018). The 407-million-year-old Rhynie chert fossil site provides a 59 unique insight into the structure of plants during this key time in plant evolution. The Rhynie 60 chert preserves an entire Early Devonian hot spring ecosystem, where plants, animals, fungi 61 and microbes are preserved in situ (Edwards et al., 2018; Garwood et al., 2020; Rice et al., 62 2002; Strullu-Derrien et al., 2019; Wellman, 2018). The exceptional preservation has been 63 crucial for our understanding of early land plant evolution because it is the earliest time point 64 in the fossil record where cellular details of rhizoid-based rooting systems, germinating 65 spores and fossilised meristems are preserved (Edwards, 2004; Hetherington and Dolan, 66 2018a, 2018b; Kerp, 2018; Lyon, 1957; Taylor et al., 2005). Most of the detailed cellular 67 68 information about these organisms comes from sectioned material. While the cellular detail 69 that can be observed in these sections allows high resolution reconstruction of tissue 70 systems, the three-dimensional relationship between the cells, tissue and organs is 71 obscured. This makes generating accurate reconstructions of body plans difficult (Edwards, 2004; Kidston and Lang, 1921). Furthermore, reconstructions that have been published are 72 based on combining material from thin sections from multiple individuals (Kidston and Lang, 73 74 1921). These sampling problems mean that key features of the body plans of these 75 organisms are missing in reconstructions. This is particularly problematic for larger, more 76 complex species in the Rhynie chert, such as the lycopsid Asteroxylon mackiei (Bhutta, 1969; Edwards, 2004; Edwards et al., 2018; Hetherington and Dolan, 2018a; Kerp, 2018; 77 Kerp et al., 2013; Kidston and Lang, 1921, 1920). 78

A. mackiei has been reconstructed as a plant that is approximately 30 cm high (Bhutta, 79 80 1969; Edwards, 2004), with highly branched shoot and rooting systems (Chaloner and MacDonald, 1980; Kerp, 2018; Kerp et al., 2013; Kidston and Lang, 1921, 1920). It holds an 81 82 important phylogenetic position for understanding root and leaf evolution in lycophytes 83 because it is a member of the earliest diverging lineage of the lycopsids, the 84 Drepanophycales (Kenrick and Crane, 1997), and both the rooting axes and leaves of A. 85 mackiei developed some but not all defining characteristics of roots and leaves in more 86 derived species (Bower, 1908; Hetherington and Dolan, 2018a; Kenrick, 2002; Kidston and Lang, 1920). However, the precise number of distinct axes types and their interconnection is 87 still unclear (Bhutta, 1969; Kidston and Lang, 1921, 1920). Without a complete 88

understanding of the habit of *A. mackiei* it is not possible to compare its structure with living

90 lycopsids or other Drepanophycalean lycopsids found in Devonian compression fossils. The 91 Drepanophycalean lycopsids are the earliest group of land plants in the fossil record with complex body plans comprising distinct rooting axes, root-bearing organs and leafy shoots 92 (Gensel et al., 2001; Gensel and Edwards, 2001; Hueber, 1992; Kenrick and Crane, 1997; 93 Lang and Cookson, 1935; Matsunaga and Tomescu, 2017, 2016; Stewart and Rothwell, 94 1993). Their evolution, radiation and spread across all continents contributed to the 95 transformation of the terrestrial environment through their impact on soil formation and 96 stabilisation, surface hydrology and silicate weathering (Algeo and Scheckler, 1998; Gibling 97 and Davies, 2012; Matsunaga and Tomescu, 2016; Xue et al., 2016). Given the recognition 98 99 of the importance of the Drepanophycalean lycopsids in the evolution of complex body plans and changes to global nutrient and hydrological cycles, we generated a reconstruction of the 100 3D structure of A. mackiei based entirely on serial sections from complete specimens 101

102 fossilised in situ.

103 Here we report the 3D reconstruction of *A. mackiei* based on both morphology and anatomy

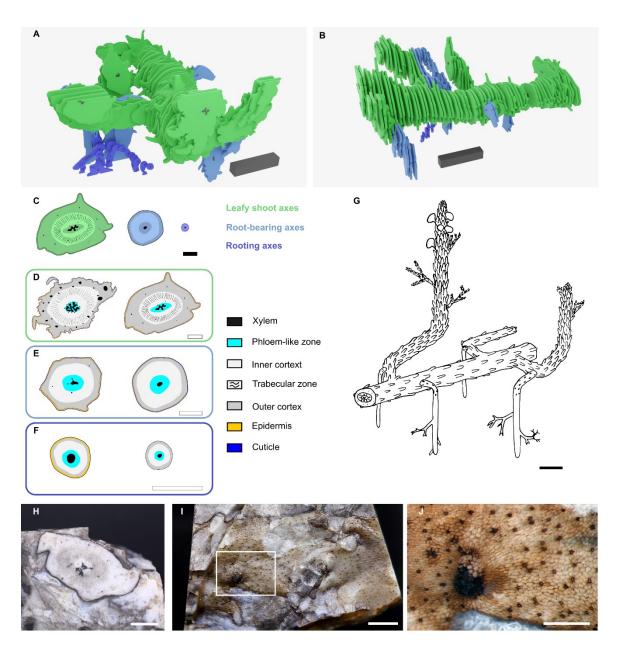
104 of two different plants. This reconstruction allowed us to define the 3D organisation of the

105 three axes types of the *A. mackiei* body and to describe how the rooting system developed.

106

107 **Results**

To discover the structure and infer the development of the lycopsid A. mackiei, we produced 108 a series of 31 consecutive thick sections through a block of Rhynie chert (Edwards et al., 109 2018; Garwood et al., 2020; Rice et al., 2002; Strullu-Derrien et al., 2019; Wellman, 2018) 110 that preserved a branched network of connected A. mackiei axes in situ (Figure S1, S2). 111 112 Using images of these thick sections, we digitally reconstructed the A. mackiei plant in a 113 volume of 4.8 cm in length, 3.5 cm in width and 2.8 cm in height (Figure 1A, B, Movie S1), 114 which to our knowledge, represents the largest evidence-based reconstruction for any 115 Rhynie chert plant to date. We distinguished three distinct axes types in a single individual plant that we designate; leafy shoot axes, root-bearing axes and rooting axes. 116

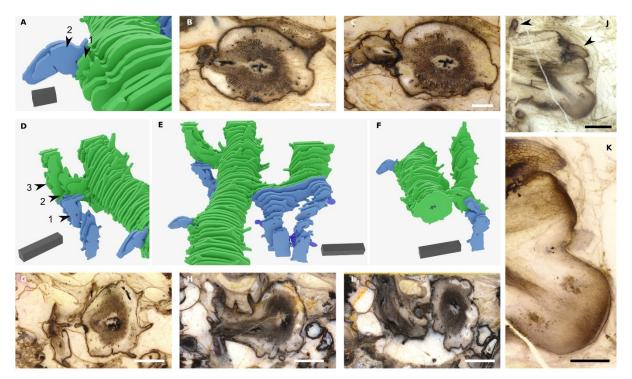


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Figure 1. The body plan of Asteroxylon mackiei was composed of three distinct axes, leafy 118 shoot axes, root-bearing axes and rooting axes. A, B, 3D reconstruction of A. mackiei based 119 on a series of 31 thick sections. C, Representative examples of transverse sections through 120 the three main axis types colour coded to match their colours in the 3D reconstruction (A, B). 121 leafy shoot axes in green, root-bearing axes in blue and rooting axes in purple. D-F, Line 122 123 drawings of representatives of each of the three main axes types illustrating their anatomy. Examples of two representative leafy shoots (D), root-bearing axes (E) and rooting axes (F). 124 125 G, An artist's impression of A. mackiei. H-J, Example of a plagiotropic leafy shoot exposed 126 on the surface of a block of chert Pb 2020 01. H, End on view of the block of chert with A. mackiei leafy shoot axis cut in transverse section. I, Same block as in (H) showing the 127 surface of the axis with brown cuticle and sparse covering of leaves. J, Higher magnification 128 129 image of white box in (I) showing a single leaf base and abundant stomata. Line drawings of A. mackiei axes based on specimen accession codes: GLAHM Kid. 2479 and Pb 4181 (D), 130 Bhutta Collection RCA 13 and RCA 113 (E), GLAHM Kid 2471 and GLAHM Kid 2477 (F). 3D 131 132 scale bar 1 x 0.1 x 0.1 cm (A, B). Scale bars, 1 cm (G), 2 mm (C-F, H, I), 1 mm (J).

134 Leafy shoot axes

135 The majority of the axes in our reconstruction were leafy shoots (Figure 1A, B, Movie S1). Leafy shoot axes developed leaves, abundant stomata and a characteristic internal anatomy 136 including a stellate xylem, many leaf traces and trabecular zone as reported for A. mackiei 137 (Bhutta, 1969; Kerp, 2018; Kerp et al., 2013; Kidston and Lang, 1921, 1920; Lyon, 1964) 138 (Figure 1C, D). The presence of a geopetally infilled void in the sections allowed us to 139 determine the orientation of axes relative to the gravitational vector; the main axis present in 140 141 each of the thick sections was plagiotropic (Figure S1). Four leafy shoot axes with similar anatomy attached to the main axis at anisotomous branch points (Gola, 2014: Imaichi, 2008: 142 Øllgaard, 1979; Yin and Meicenheimer, 2017); an anisotomous branch point is a description 143 of morphology and means that the diameters of the two axes connected at a branch point 144 are different. The diameter of the main plagiotropic leafy shoot was ca. 1 cm and the thinner 145 leafy shoots attached at branch points were ca. 0.6 cm. Some of the thinner leafy shoots 146 were orientated closer to the vertical, indicating orthotropic growth orientation (Figure 1A, B). 147 Although our reconstruction did not include connections between these orthotropic axes and 148 149 previously described fertile axes, it is likely that some of these orthotropic leafy shoot axes 150 were connected to fertile axes (Bhutta, 1969; Kerp et al., 2013; Lyon, 1964) (Figure 1G). The 151 most noticeable differences between the plagiotropic leafy shoots described here and orthotropic shoots described previously (Bhutta, 1969; Kerp et al., 2013; Kidston and Lang, 152 1921, 1920; Lyon, 1964), are that the xylem was less lobed and there were fewer leaf traces 153 in the plagiotropic leafy shoot axes than in the orthotropic leafy shoot axes (Figure 1D). 154 155 Fewer leaf traces in plagiotropic regions is consistent with a lower leaf density on these axes than in orthotropic axes, a feature demonstrated in detail by the discovery of an isolated 156 plagiotropic leafy shoot with sparse covering of leaves preserved on the exterior of a block of 157 chert (Figure H-J). We conclude that A. mackiei developed plagiotropic and orthotropic leafy 158 shoot axes with similar anatomy. 159



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Figure 2. Root-bearing axes attached to leafy shoots at anisotomous branch points. Images 161 162 showing the attachment of root-bearing axes shown in blue to leafy shoots shown in green based on our 3D reconstruction (A, D-F) and the thick sections used to create the 163 reconstruction (B, C, G-I). A, A root-bearing axis shown in blue attached to the side of the 164 larger plagiotropic leafy shoot axis shown in green. B, The thick section represented by 165 arrow 1 in (A) showing a transverse section through the leafy shoot at the point of branching. 166 167 The black xylem trace of the rooting axis is located to the left of the cross shaped xylem at the centre of the leafy shoot axis. C, Thick section represented by arrow 2 in (A) showing the 168 free root-bearing axis with small rounded xylem trace compared to the larger cross shaped 169 170 xylem in the leafy shoot. D-F, Examples based on the reconstruction of A. mackiei of rootbearing axes attached to first order leafy shoots close to their attachment with the main leafy 171 172 shoot. In each case the root-bearing axes are smaller in diameter than the leafy shoots they are attached to and all root-bearing axes are aligned with the gravity vector. G-I, Examples 173 174 of thick sections showing the anisotomous branch point with attachment of a root-bearing axis and leafy shoot, the position of each thick section is illustrated on the reconstruction in 175 176 (D), with arrow 1 (G), 2 (H) and 3 (I). J, A bifurcating root-bearing axis with two apices attached to a larger leafy axis (leaves on large axis highlighted with arrowheads). K, Higher 177 178 magnification image of (J), showing the continuous cuticle covering the two apices and small leaf attached to the lower flank of the upper apex. 3D scale bar 1 x 0.1 x 0.1 cm (D-F), 2 x 1 179 180 x 1 mm (A). Scale bars, 5 mm (G-I), 2 mm (B, C,) 1 mm (J), 500 µm (K). Specimen accession codes: Pb 4178 (B), Pb 4177 (C), Pb 4164 (G), Pb 4163 (H), Pb 4162 (I), Pb 181 2020 02 (J, K). 182

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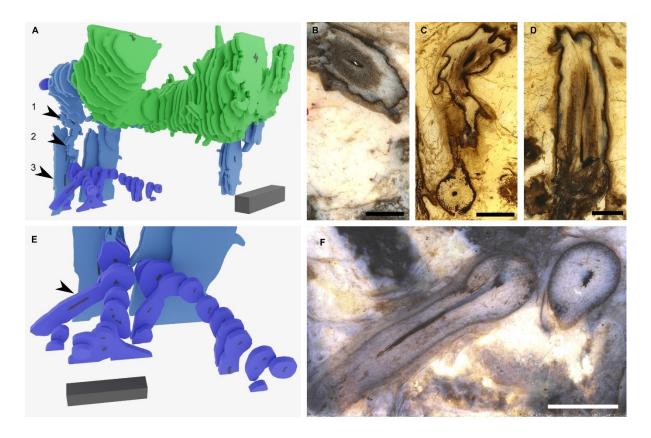
184 Root-bearing axes

185 Root-bearing axes of *A. mackiei* were attached to leafy shoot axes at anisotomous branch

points, where the thinner daughter axis developed as a root-bearing axis and the thicker

- daughter axis developed as a leafy shoot axis (Figure 1, 2). Diameters of root-bearing axes
- 188 were ca. 0.35 cm compared to leafy shoots axes typically over 0.6 cm. In one of the five

189 examples (Figure 2A-C) the root-bearing axis was attached directly to the main leafy shoot 190 axis. In the four other examples (Figure 2D-I), root-bearing axes were attached to side 191 branches of the main leafy shoot. These branches were termed first order leafy shoots because they were separated from the main shoot by a single branching event. Root-bearing 192 193 axes attached to first order leafy shoot axes close to where the latter attached to the main 194 shoot. The branch arrangement where two adjacent anisotomous branches originate close to each other is termed k-branching (Chomicki et al., 2017; Edwards, 1994; Gensel et al., 195 2001; Gensel and Berry, 2001; Gerrienne, 1988; Matsunaga and Tomescu, 2017, 2016). 196 197 The root-bearing axes of the Drepanophycalean lycopsid Sengelia radicans (Matsunaga and Tomescu, 2017, 2016) are attached to leafy shoot axes at k-branch points. In both A. 198 199 mackiei and S. radicans, root-bearing axes developed an epidermis and cuticle with occasional stomata and scale leaves. In the root-bearing axes of A. mackiei where anatomy 200 201 could be investigated the xylem strand was elliptical, not lobed as in leafy shoot axes, and 202 there were few or no leaf traces, which distinguishes them from leafy shoots in which leaf 203 traces were abundant (Figure 1E). Root-bearing axes were aligned with the gravity vector, indicating strong positive gravitropic growth (Figure 1A, B, 2). These differences in anatomy 204 205 and morphology between root-bearing axes and leafy shoots demonstrate that root-bearing 206 axes were a distinct axis type and not merely a transitional zone between two axis types as 207 previously suggested (Bhutta, 1969; Kidston and Lang, 1921, 1920). The apex of a root-208 bearing axis had not been described previously. We searched for apices on axes with the 209 characters of root-bearing axes and discovered an isolated bifurcating axis with two apices (Figure 2J, K). The apices were assigned to A. mackiei because of the presence of leaves 210 on the parent axis (Figure 2 J, arrowheads) and a small leaf on the flank of the upper apex 211 (Figure 2 J, K), A. mackiei is the only Rhynie chert plant with leaves. Both apices were 212 covered by an unbroken cuticle, and a single small leaf was present on the upper apex, 213 214 which together demonstrate that these are apices of root-bearing axes and not apices of rooting axes that lack leaves and cuticles (Hetherington and Dolan, 2018a), or leafy shoots 215 where the apex is covered by a large number of leaves (Edwards, 2004; Hueber, 1992; 216 Kerp, 2018; Kerp et al., 2013). These apices were found in a single thin section that was not 217 218 part of a set of serial sections and therefore it was not possible to reconstruct the apex in 3D. 219 The root-bearing axes described here are similar to the axes described as either rootbearing axes (Matsunaga and Tomescu, 2017, 2016) or rhizomes (Rayner, 1984; 220 Schweitzer, 1980; Schweitzer and Giesen, 1980; Xu et al., 2013) in other members of the 221 222 Drepanophycales. The occurrence of these root-bearing axes in A. mackiei and multiple other species highlights the conservation of body plans among members of the 223 224 Drepanophycales.



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Figure 3. Rooting axes attached to root-bearing axes at anisotomous branch points. A, 227 228 Connection between a rooting axis in purple and root-bearing axis shown in blue based on 229 the 3D reconstruction. B-D, Three thick sections showing successive stages of the same root-bearing axis preserving the attachment of the rooting axis at an anisotomous branch 230 point. The positions of the three thick sections in the reconstruction (a) are shown with the 231 232 three numbered arrowheads 1 (B), 2 (C) and 3 (D). E, F, Rooting axes branched profusely, through at least four orders of branching. F, Example branched rooting axis (marked by 233 arrowhead in E). 3D scale bar 1 x 0.1 x 0.1 cm (A), 5 x 1 x 1 mm (E). Scale bars, 2 mm (B, 234 C, D, F). Specimen accession codes: Pb 4174 (B, F), Pb 4175 (C), Pb 4177 (D). 235

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237 Rooting axes

238 *A. mackiei* rooting axes are similar to roots of extant lycopsids. However, they are

239 designated rooting axes because they lack root hairs and their meristems lack a root cap

- and are consequently interpreted as transitional to the roots of extant plants (Hetherington
- and Dolan, 2019, 2018a). These rooting axes include rhizomes (Bhutta, 1969), small root-
- 242 like rhizomes (Kidston and Lang, 1921, 1920) and rooting axes (Hetherington and Dolan,
- 243 2019, 2018a) of *A. mackiei* from previous descriptions of plant fragments. Rooting axes were
- always less than 2 mm in diameter and frequently less than 1 mm and were highly branched
- 245 (Bhutta, 1969; Hetherington and Dolan, 2018a; Kidston and Lang, 1921, 1920). Leaves, leaf
- traces, stomata and cuticles were never found on rooting axes, even when the epidermis
- 247 was well preserved (Kidston and Lang, 1920). The epidermis was frequently missing

248 suggesting it was lost in older axes and the outer cortex was often limited to one or two cell 249 layers (Figure 1F) (Kidston and Lang, 1920). We found a single well preserved highly 250 branched rooting axis in our reconstruction (Figure 3A-F). This rooting axis was attached to a root-bearing axis at an anisotomous branch point (Figure 3C). There was a circular xylem 251 252 strand at the centre of the rooting axis. The diameter of the rooting axis where it attached to 253 the root-bearing axis was ca. 2 mm but decreased in size distally at successive branch points. Leaves, leaf traces, stomata and cuticles were never observed on the rooting axis. 254 255 The rooting axis was weakly gravitropic in contrast to the strong gravitropic growth observed 256 in root-bearing axes (Figure 3A, E). The profuse branching of the rooting axis is evident with 257 over four orders of branching preserved in less than 1 cm in our reconstruction (Figure 3E). We found no evidence that other axis types developed from the rooting axis. The 258 morphological and anatomical boundary between the root-bearing axis and rooting axis was 259 clear (Figure 3C); it involved the change from strong gravitropic growth to weak gravitropic 260 growth, and the absence of scale leaves, stomata and a well-marked cuticle all found on 261 root-bearing axes but absent on rooting axes. This suggests the boundary between the two 262 axes types is defined at the point of branching and not in a continuum along a single axis. 263 264 The rooting axis and its attachment to root-bearing axes described here corresponds to the 265 axes termed, roots (Matsunaga and Tomescu, 2017, 2016; Schweitzer, 1980; Schweitzer 266 and Giesen, 1980), root-like axes (Gensel et al., 2001; Rayner, 1984) and rootlets (Xu et al., 2013) in other members of the Drepanophycales. This suggests that the body plan of A. 267 mackiei was similar to other members of the Drepanophycales. 268

Our new reconstruction from serial thick sections through an individual *A. mackiei* plant
 demonstrates that the *A. mackiei* body plan consisted of three distinct axes types – leafy
 shoot axes, root-bearing axes and rooting axes – each with characteristic anatomy and
 morphology.

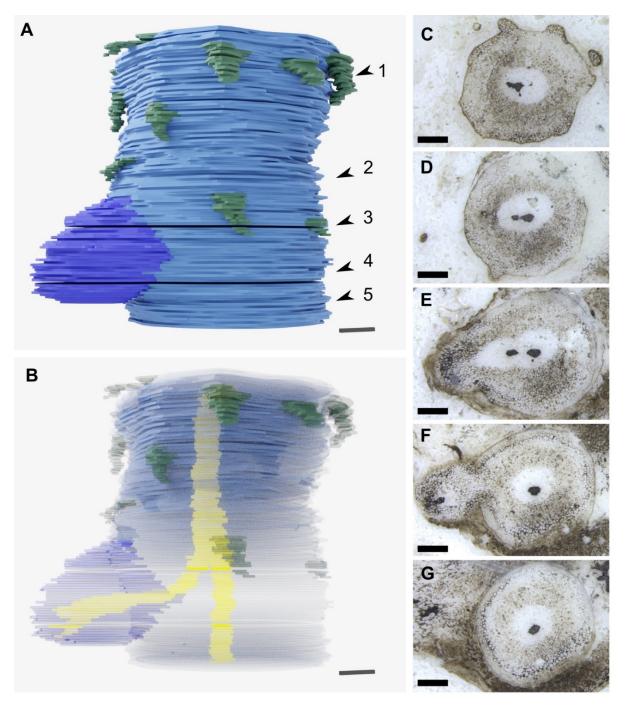
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274 Dichotomous origin of rooting axes

The rooting axes of A. mackiei hold a key position for interpreting the origin of roots in 275 lycopsids because they were transitional between the ancestral rootless state and the 276 277 derived state characterised by true roots with caps as found in extant lycopsids 278 (Hetherington and Dolan, 2019, 2018a). Our new reconstruction enables us to interpret these rooting axes in light of the overall body plan of A. mackiei, and in comparison to the 279 rooting axes called roots (Matsunaga and Tomescu, 2017, 2016; Schweitzer, 1980; 280 Schweitzer and Giesen, 1980), root-like axes (Gensel et al., 2001; Rayner, 1984) and 281 rootlets (Xu et al., 2013) in other members of the Drepanophycales. The reconstruction 282

demonstrates further similarities between the rooting axes of *A. mackiei* and the roots
(Matsunaga and Tomescu, 2017, 2016; Schweitzer, 1980; Schweitzer and Giesen, 1980),
root-like axes (Gensel et al., 2001; Rayner, 1984) and rootlets (Xu et al., 2013) in other
Drepanophycales, including attachment to root-bearing axes, weak gravitropic growth and
profuse dichotomous branching.

These findings suggest that the rooting system of A. mackiei was representative of the 288 Drepanophycales and that inferences made with the exceptional preservation of A. mackiei 289 290 can inform about other members of the Drepanophycales for which most plants are preserved only as compressions. Our new reconstruction indicates that rooting axes 291 292 connected to root-bearing axes at anisotomous branch points. Based on development of 293 extant lycopsids (Bierhorst, 1971; Fujinami et al., 2020; Gola, 2014; Guttenberg, 1966; Harrison et al., 2007; Hetherington and Dolan, 2017; Imaichi, 2008; Imaichi and Kato, 1989; 294 Ogura, 1972; Øllgaard, 1979; Spencer et al., 2020; Yi and Kato, 2001; Yin and 295 Meicenheimer, 2017) there are two modes of branching that could produce anisotomous 296 297 branch point morphology, endogenous branching or dichotomous branching. Endogenous 298 branching is the mode of branching where the meristem of the new axis develops from the 299 internal tissues of the parent axis and breaks through the parent tissue to emerge, a mode of 300 development typical of the initiation of roots of extant lycopsid species (Bierhorst, 1971; Bruchmann, 1874; Fujinami et al., 2020; Guttenberg, 1966; Hetherington and Dolan, 2017; 301 Imaichi, 2008; Imaichi and Kato, 1989; Ogura, 1972; Øllgaard, 1979; Wigglesworth, 1907; Yi 302 and Kato, 2001). Dichotomous branching is the mode of branching where the parent 303 304 meristem splits in two to produce two daughter axes, a mode of development typical of roots, shoots and rhizophores in extant lycopsids (Bierhorst, 1971; Bruchmann, 1874; Gola, 2014; 305 Guttenberg, 1966; Harrison et al., 2007; Hetherington and Dolan, 2017; Imaichi, 2008; 306 307 Imaichi and Kato, 1989; Ogura, 1972; Øllgaard, 1979; Spencer et al., 2020; Wigglesworth, 308 1907; Yi and Kato, 2001; Yin and Meicenheimer, 2017). To investigate which mode of 309 development operated in A. mackiei we examined anatomy of branch points.



310

311 Figure 4. Rooting axes developed from root-bearing axes by dichotomous branching. A, 3D reconstruction based on 119 peels from the A. Bhutta peel collection illustrating the 312 attachment of a rooting axis to a root-bearing axis at an anisotomous branch point. Above 313 the branch point the root-bearing axis, in blue, is covered by small scale leaves indicated in 314 dark green that are absent below the branch point. B, Same 3D reconstruction as in (A) but 315 with a transparent outline of the axis so the branching of the central xylem trace can be seen 316 in yellow. C-G, Images of representative peels used to create the 3D reconstruction showing 317 318 the anatomical changes associated with branching, including the branching of the xylem 319 strand (C, D), and the continuity of tissues between the root-bearing axis and the rooting axis (E, F). The positions of the peels (C-G) are shown with the numbered arrowheads 1-5 in 320 (A). 3D scale bar 1 x 0.1 x 0.1 mm (A, B). Scale bars, 1 mm (C-G). A. Bhutta peel collection 321 numbers RCA 14 (C), RCA 61 (D), RCA 81 (E), RCA 103 (F), RCA 114 (G). 322

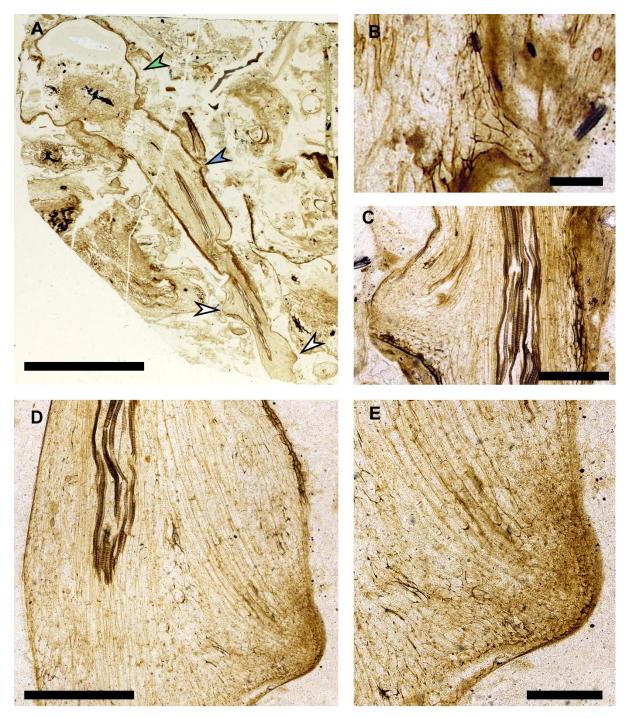
324 If the rooting axes developed by endogenous branching from root-bearing axes there would 325 likely be a disruption to the tissues of the leafy shoot and evidence that the vascular trace of 326 the root-bearing axes connected at right angles to the vascular trace of the leafy shoot (Bruchmann, 1874; Guttenberg, 1966; Imaichi, 2008; Ogura, 1972; Van Tieghem and 327 Douliot, 1888; Wigglesworth, 1907; Yi and Kato, 2001). Our thick sections only provided a 328 329 small organic connection between the two axes (Figure 3C) limiting our ability to investigate the anatomical changes associated with branching. We therefore searched other Rhynie 330 331 chert collections for rooting axes attached to root-bearing axes at anisotomous branching 332 points. We reinvestigated an example originally described as a branching rhizome by Bhutta (Bhutta, 1969). The presence of scale leaves, a small number of leaf traces and clear 333 epidermis and cuticle on the main axis suggested it was a root-bearing axis. Attached to this 334 root-bearing axis was a smaller axis that we interpret as a rooting axis because of its 335 rounded xylem, poorly preserved epidermis and the lack of both cuticle and root cap (Figure 336 337 4, Figure S3). We produced a 3D reconstruction of the anisotomous branch point that 338 connects the two axes based on 119 peels (Figure 4, Movie S2). Tissues were continuous 339 between the root-bearing axis and rooting axis. The vascular trace for the rooting axis was 340 seen to branch off and then run parallel to the main vascular trace before gradually arcing 341 into the rooting axis (Figure 4). These characteristics, especially the dichotomy of the 342 vascular trace, suggest that rooting axes developed from root-bearing axes by dichotomous branching. 343

344 While branching evidence in our reconstruction is consistent with the development of rooting 345 axes from root-bearing axes by dichotomy, we tested if there was evidence for endogenous development because roots originate endogenously in extant lycopsids (Bierhorst, 1971; 346 Bruchmann, 1874; Fujinami et al., 2020; Guttenberg, 1966; Hetherington and Dolan, 2017; 347 348 Imaichi, 2008; Imaichi and Kato, 1989; Ogura, 1972; Øllgaard, 1979; Wigglesworth, 1907; Yi and Kato, 2001). Therefore, we searched for meristems of rooting axes preserved soon after 349 350 they originated from root-bearing axes. We identified two fossilised A. mackiei meristems on 351 a single thin section. This thin section preserves a large A. mackiei leafy shoot axis ca. 5 mm in diameter with stellate xylem cut in transverse section at the top of the image (Figure 5A, 352 353 green arrowhead). Attached to the leafy shoot axis is a smaller root-bearing axis ca. 1.9 mm 354 in diameter close to the attachment with the leafy shoot. This axis is identified as a root-355 bearing axis by the presence of a small scale leaf (Figure 5A blue arrowhead, B), and its 356 orientation aligned with the gravity vector based on a geopetally infilled void (Figure S1). Close to the base of the thin section are two apices on either side of the root-bearing axis 357 (Figure 5A, white arrowheads). We interpret these as meristems because of their domed 358 structure and the large number of small cells close to the apices. Given the attachment of 359

360 these meristems to root-bearing axes and their small size we interpret them as meristems of 361 rooting axes. Cellular organisation of the promeristems (Figure 5C-E, Figure S4) is poorly preserved compared to other meristems we described (Hetherington and Dolan, 2018) but 362 the overall organisation including cell files running from the central vascular trace, and a 363 cuticle covering the apices can be clearly recognised. There is no root cap as previously 364 reported for rooting axes (Hetherington and Dolan, 2018a). Cell files are continuous between 365 the root-bearing axes and rooting axes and there is no evidence that the rooting axes 366 initiated by endogenous branching and broke through the ground or dermal tissues of the 367 368 root-bearing axes. The organisation of cells in these meristems is consistent with our hypothesis based on 3D reconstructed anatomy that the rooting axes developed by 369 370 dichotomous branching from root-bearing axes.

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We conclude that rooting axes originate by dichotomous branching of root-bearing axes in A. 372 373 *mackiei.* Furthermore, the branch connecting rooting axes and root-bearing axes was always 374 anisotomous. This finding is significant because roots do not originate by dichotomy in extant 375 lycopsids. Instead, roots of extant lycopsids originate endogenously from shoots, 376 rhizophores and rhizomorphs (Bruchmann, 1874; Guttenberg, 1966; Hetherington and Dolan, 2017; Imaichi, 2008; Imaichi and Kato, 1989; Ogura, 1972; Yi and Kato, 2001), and in 377 378 rare cases by exogenous development not related to dichotomy of an apex, such as 379 embryonic roots, protocorms and tubers (Bower, 1908; Hetherington and Dolan, 2017). Our findings therefore indicate that the origin of A. mackiei rooting axes by anisotomous 380 381 dichotomy was different from the origin of roots in extant lycopsids, and this developmental 382 mechanism is now extinct.



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Figure 5. Fossilised meristems preserve evidence that rooting axes developed from root-384 bearing axes by anisotomous dichotomy. A-E, A. mackiei axes preserving connection 385 between a leafy shoot axis, root-bearing axis and two rooting axes apices. A, photograph of 386 thin section NHMUK 16433 showing a large A. mackiei axis with stellate xylem cut in 387 transverse section in the top left and highlighted with the green arrowhead, and attached 388 389 root-bearing axis. On the root-bearing axis (A) the position of a scale leaf (B), is highlighted with a blue arrowhead and the two rooting axes meristems are highlighted with white 390 arrowheads. C-E, Rooting axis meristems marked by white arrowheads in (A) the left 391 arrowhead (C) and right (D, E). D, E, Well preserved rooting axis meristem showing 392 continuous cell files from the central vascular trace into the apex, the tissues of the rooting 393 axis are continuous with the root-bearing axis indicating that development was by 394 anisotomous dichotomy. Scale bars, 5 mm (A), 0.5 mm (C, D) 0.2 mm (B, E). Specimen 395 accession codes: NHMUK 16433 (A-E). 396

397 Discussion

398 We draw three significant conclusions from our 3D reconstruction of A. mackiei. (i) The body plan of A. mackiei was similar to the cosmopolitan members of the Drepanophycales found 399 400 across America, Europe and China in the Early and Middle Devonian (Gensel et al., 2001; Li and Edwards, 1995; Matsunaga and Tomescu, 2017, 2016; Rayner, 1984; Schweitzer, 401 1980; Schweitzer and Giesen, 1980; Xu et al., 2013; Xue et al., 2016). This suggests that 402 despite inhabiting the Rhynie geothermal wetland ecosystem (Edwards et al., 2018; 403 404 Garwood et al., 2020; Rice et al., 2002; Strullu-Derrien et al., 2019; Wellman, 2018), mechanisms of body plan construction in A. mackiei likely also operated in other Devonian 405 Drepanophycales. (ii) We demonstrate that rooting axes originated from root-bearing axes 406 407 by dichotomy. In extant lycopsids roots originate endogenously from shoots or specialised root producing organs, such as rhizophores. Once developed, roots, shoots and rhizophores 408 branch dichotomously (Chomicki et al., 2017; Fujinami et al., 2020; Gola, 2014; Harrison et 409 al., 2007; Hetherington and Dolan, 2017; Imaichi, 2008; Imaichi and Kato, 1991; Øllgaard, 410 411 1979; Yin and Meicenheimer, 2017). However, the two daughter axes produced by 412 dichotomous branching are always identical to the original axis: a shoot axis may branch 413 dichotomously to form two identical shoot axes, a root axis may branch to form two identical 414 root axes and a rhizophore branches to form two identical rhizophores (Chomicki et al., 2017; Fujinami et al., 2020; Gola, 2014; Harrison et al., 2007; Hetherington and Dolan, 2017; 415 Imaichi, 2008; Imaichi and Kato, 1991; Øllgaard, 1979; Yin and Meicenheimer, 2017). In A. 416 mackiei, the root-bearing axes branched anisotomously to produce one root-bearing axis 417 418 and a rooting axis. Our findings therefore suggest that anisotomous dichotomy was key for the development of the complex body plan of A. mackiei, which builds on previous 419 suggestions that the evolution of anisotomous dichotomy in land plants was a key 420 developmental innovation for both the evolution of leaves (Sanders et al., 2007; Stewart and 421 Rothwell, 1993; Zimmerman, 1952) and rooting systems (Gensel et al., 2001). (iii) Finally, 422 these findings demonstrate how 3D evidenced-based reconstructions of the Rhynie chert 423 424 plants can define how these plants grew and developed. These reconstructions allow the body plans of Rhynie chert plants to be compared with plants preserved as compression 425 426 fossils where body plans can be determined but cellular anatomy is not preserved. 427 Taken together our 3D reconstruction demonstrates that the body plan of A. mackiei

428 comprised three distinct axes types and we demonstrate that roots developed through

429 anisotomous dichotomy of a specialised root-bearing axis. This mode of rooting system

- 430 development is now extinct, but played a key role in the development of the complex rooting
- 431 systems of the Drepanophycales.

432

433 Materials and Methods

434 Specimen accession code abbreviations: Forschungsstelle für Paläobotanik, Institut für

- 435 Geologie und Paläontologie, Westfälische Wilhelms-Universität, Münster, Germany; Pb. The
- 436 Hunterian, University of Glasgow, GLAHM. Natural History Museum, London, NHMUK.
- 437

438 Thick section preparation

439 The reconstruction of A. mackiei was based on a series of 31 thick sections made from a 440 single block of chert collected from a trench dug in 1964. Thick sections were made by 441 mounting the rock to 2.8 cm by 4.8 cm glass slides using thermoplastic synthetic resin and 442 cutting with a 1 mm thick diamond blade. The resulting thin wafer of rock was ground with silicon carbide powder to ensure a flat surface, a number of specimens were released from 443 the glass slide and turned around to grind them down further from the other side (Hass and 444 Rowe, 1999). Thick sections were not sealed with a cover slip and were deposited in the 445 446 collection of the Forschungsstelle für Geologie und Paläontologie, Westfälische Wilhelms-Universität, Münster, Germany under the accession numbers Pb 4161-4191. 447

448

449 **3D** reconstruction of *A. mackiei* from thick sections

To create a 3D reconstruction based on the series of thick sections, photographs of the 450 upper and lower surface of the thick sections were taken. Thick sections were placed on a 451 452 milk glass pane above a lightbox and incident light was provided by two lamps (Kerp and Bomfleur, 2011). The surface of the specimen was covered with cedar wood oil and images 453 were captured with a Canon MP-E 65 mm macro lens and a Canon EOS 5D Mark IV single-454 lens reflex camera. Images of the full series of thick sections were deposited on Zenodo 455 (http://doi.org/10.5281/zenodo.4287297). Line drawings were made of both the outline of the 456 A. mackiei axes of interest and also the central vascular trace in each axis using Inkscape 457 458 (https://inkscape.org/). Line drawings were imported into Blender (https://www.blender.org/) and extruded in the z-dimension by 0.2 mm to turn each outline into a 3D slice. In the model, 459 a thick section was then represented by an upper and lower slice of 0.2 mm separated by a 460 461 gap of 0.4 mm. Slices from consecutive thick sections were aligned and a 1 mm gap was left to represent the material lost to the saw blade when making the sections. Images and 462 animations of the reconstruction were made in Blender, and the 3D reconstruction was 463 deposited on Zenodo (http://doi.org/10.5281/zenodo.4287297). 464

465

466 **3D reconstruction of** *A. mackiei* from peels

A branching root-bearing axis was reconstructed from a series of RCA 1–119 from the A. 467 Bhutta collection (Bhutta, 1969) at the University of Cardiff. Four peels were missing from 468 the series, RCA 3, RCA 31, RCA 80 and RCA 102. Images of peels were scanned using an 469 Epson perfection V500. Images of the full series of peels were deposited on Zenodo 470 (http://doi.org/10.5281/zenodo.4287297). Line drawings were made of the outline of the A. 471 mackiei axis of interest and also the central vascular trace in each axis using Inkscape 472 (https://inkscape.org/). Line drawings were imported into blender and extruded in the z-473 dimension by 0.058 mm based on (Bhutta, 1969). Consecutive slices were aligned to 474 produce the 3D model, and gaps were left for the four missing peels. The 3D reconstruction 475 was deposited on Zenodo (http://doi.org/10.5281/zenodo.4287297). 476

477

478 Higher magnification images and microscopy

479 Thick sections were placed on a milk glass pane above a lightbox and incident light was

480 provided by two lamps and the surface covered in cedar wood oil (Kerp and Bomfleur,

481 2011). Photographs were taken with a Canon EOS 5D Mark IV digital single-lens reflex

camera mounted on a copy stand using either a Canon MP-E 65 mm or Canon EFS 60 mm

483 macro lens (Figure 1H-J, Figure 2B, C, G-K, Figure 3B-D, F, Figure S1A, B, Figure S2A-D).

484 The photograph of thin section NHMUK 16433 (Figure 5C, Figure S1C) was taken with a

Nikon D80 camera with a 60-mm macro lens mounted on a copystand with light from below

486 from a lightbox. Higher magnification images were taken of the branching *A. mackiei* axis

487 from the A. Bhutta collection with a Leica M165 FC with light from above provided by a Leica

488 LED ring illuminator. (Figure 4C-G, Figure S3). Microscope images of NHMUK 16433

489 (Figure 5D-G, Figure S4) were taken with a Nikon Eclipse LV100ND.

490

491 Data availability Statement

492 Fossil preparations described in this study are housed in the A. Bhutta collection at the

493 University of Cardiff, UK. Forschungsstelle für Paläobotanik, Institut für Geologie und

494 Paläontologie, Westfälische Wilhelms-Universität, Münster, Germany. The Hunterian,

495 University of Glasgow, UK and the Natural History Museum, London, UK.

Photographs of the series of thick sections and peels used to create 3D reconstructions of *A*.
 mackie have been deposited on Zenodo (http://doi.org/10.5281/zenodo.4287297). All other

data supporting the findings of this study are included in the paper and its Extended Dataand Supplementary Information.

500

501 Acknowledgements

A.J.H. was funded by the George Grosvenor Freeman Fellowship by Examination in

- 503 Sciences, Magdalen College (University of Oxford), a grant from the Hester Cordelia
- 504 Parsons Fund University of Oxford and a UK Research and Innovation Future Leaders
- 505 Fellowship MR/T018585/1. A.L.J. was funded by a BBSRC Research Experience
- 506 Placement, Oxford cohort 2019. L.D. by a European Research Council Advanced Grant
- 507 (EVO500, contract 250284), and European Research Council Grant (De NOVO-P, contract
- 508 787613). L.D. and H.K. were supported by European Commission Framework 7 Initial
- 509 Training Network (PLANTORIGINS, contract 238640). H.K. and H.H. were funded by the
- 510 German Science Foundation (DFG Grant KE 584/13- 1 and 2). We would like to thank D.
- 511 Edwards for access to the Bhutta collection at the University of Cardiff, P. Hayes for access
- to collection at the NMHUK and J. Hetherington for drawing Figure 1G.

513

514 Author contributions,

- A.J.H. designed the project with advice from L.D.. H.K. and H.H. prepared fossil thick
- sections and A.J.H., and H.K. photographed fossil thick sections. A.J.H., S.B. and A.L.J.
- constructed the 3D reconstructions of *A. mackiei*. A.J.H. and L.D. wrote the paper with
- 518 comments from other co-authors.

519

- 520 **Competing Interest Statement:** The authors declare no competing interests.
- 521
- 522

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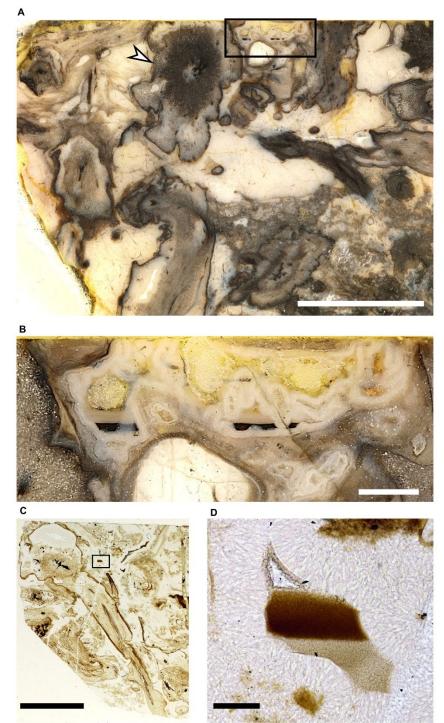
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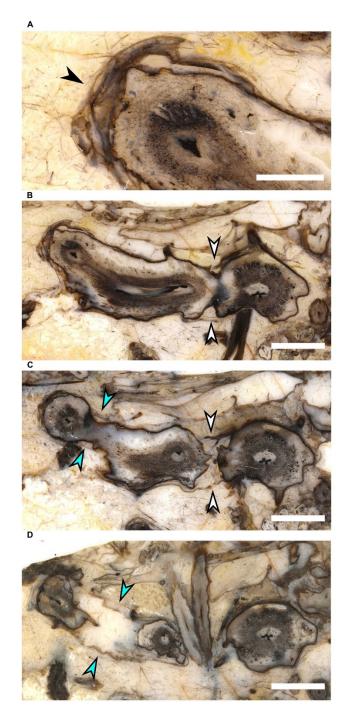
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Supplementary figures 686

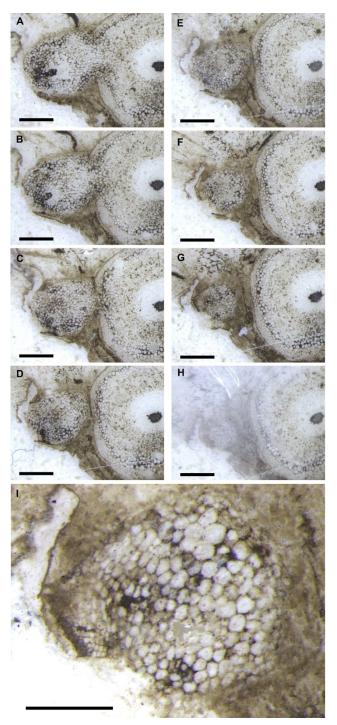


687 688 Figure S1. Geopetally infilled voids allow growth orientation to be established. A, B, A geopetally infilled void highlighted in the black box in (A) and magnified in (B) enabled the 689 690 establishment of the growth orientation for the main A. mackiei leafy shoot axis present in all thick sections in the series, indicated with the white arrowhead. C, D, A geopetally infilled 691 void highlighted in the black box in (C) and magnified in (D) enabled us to establish the 692 growth orientation of the shoot-borne rooting axis described in Figure 5. Scale bars, 1 cm 693 (A), 5 mm (C), 1 mm (B), 200 µm (D). Specimen accession codes: Pb 4161 (A, B), NHMUK 694 16433 (C, D). 695



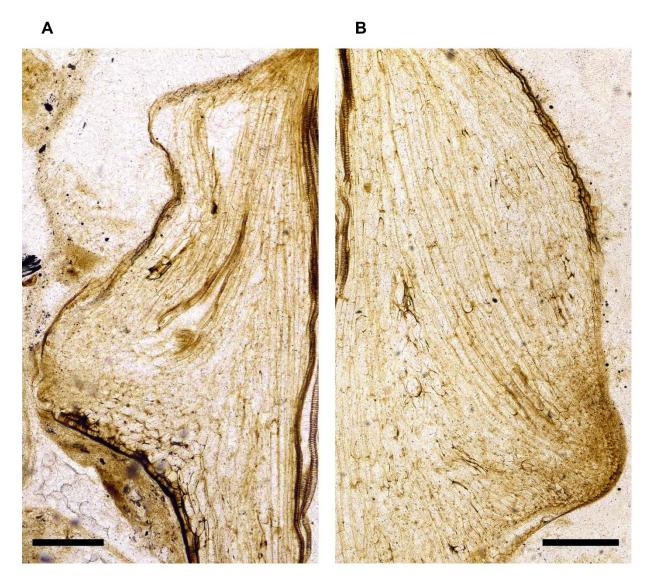
697

Figure S2. A. mackiei axes were preserved in original growth position. The peaty substrate 698 the A. mackiei axes were growing through consisted of plant material in various states of 699 decay and organic films. These organic films were often found covering the outside of axes 700 such as the layer highlighted with the black arrowhead (A). B-D, At branch points the organic 701 film was stretched round the two daughter axes. White and blue arrowheads (B, C) highlight 702 examples of this stretching across both the upper and lower surface of two consecutive 703 branching events. The way the organic film was stretched and the substrate deformed by the 704 705 branching of the A. mackiei axes suggests these axes were preserved in situ. Scale bars, 0.2 cm (A), 0.5 mm (B-D). Specimen accession codes: Pb 4171 (A, B), Pb 4172 (C), Pb 706 707 4173 (D).



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Figure S3. Rooting axes lacked cuticles and root caps. A-H, Serial peels through the apex of 710 a rooting axis described in text Figure 4C-G. The axis gradually decreases in size towards 711 the apex and there is no evidence of a cuticle, root cap or cap like covering of the apex 712 including the presence of large cells outside of the epidermis and a surrounding ring of cells 713 sloughed off into the substrate. I, Higher magnification image of (G), the last well preserved 714 specimen of the rooting axis. Specimen accession codes: Bhutta peel collection numbers 715 716 RCA 103 (A), RCA 104 (B), RCA 106 (C), RCA 107 (D), RCA 108 (E), RCA 109 (F), RCA 110 (G, I), RCA 112 (H). Scale bars, 1 mm (A-H), 500 µm (I). 717



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Figure S4. A. mackiei fossilised rooting axes meristems. A, B Enlarged image of the two
 fossilised A. mackiei rooting axes meristems shown in text Figure 5. A, B, Enlarged images
 of Figure 5C, D showing details of the rooting axis meristems including the cell files leading
 into the apices from the central vascular trace and the lack of a root cap covering the apices.
 Scale bars, 250 µm (A, B). Specimen accession codes: NHMUK 16433 (A, B).

725

Movie S1 (separate file). 3D reconstruction of *A. mackiei* based on serial thick sections. A
 3D reconstruction based on a series of 31 thick sections deposited in the collection of the

- 727 SD reconstruction based on a series of 31 thick sections deposited in the conection of the 728 Forschungsstelle für Geologie und Paläontologie, Westfälische Wilhelms-Universität,
- Münster, Germany under the accession numbers Pb 4161-4191. Leafy shoot axes in green,
- root-bearing axes in blue and rooting axes in purple. 3D scale bar $1 \times 0.1 \times 0.1 \text{ cm}$.

731

732 Movie S2 (separate file). 3D reconstruction of *A. mackiei* based on serial peels. A 3D 733 reconstruction based on 119 peels from the A. Bhutta peel collection, University of Cardiff, 734 illustration the attackment of a peeting ovia (huma) to a peet begring ovia (huma) at an

illustrating the attachment of a rooting axis (purple) to a root-bearing axis (blue) at an

- anisotomous branch point. Small scale leaves on the root-bearing axis indicated in dark
- 736 green 3D scale bar 1 x 0.1 x 0.1 mm.