

Glycine attenuates impairments of stimulus-evoked gamma oscillation in the ketamine model of schizophrenia

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1 Abstract

2 Although a substantial number of studies suggests some clinical benefit concerning negative
3 symptoms in schizophrenia through the modulation of NMDA-receptor function, none of
4 these approaches achieved clinical approval. Given the large body of evidence concerning
5 glutamatergic dysfunction in a subgroup of patients, biomarkers to identify those with a
6 relevant clinical benefit through glutamatergic modulation are urgently needed. A similar
7 reduction of the early auditory evoked gamma-band response (aeGBR) as found in
8 schizophrenia patients can be observed in healthy subjects in the ketamine-model, which
9 addresses the putative excitation / inhibition (E/I) imbalance of the diseases. Moreover, this
10 change in gamma-band oscillations can be related to the emergence of negative symptoms.
11 Accordingly, this study investigated whether glycine-related increases of the aeGBR
12 accompany an improvement concerning negative symptoms in the ketamine-model. The
13 impact of subanesthetic ketamine doses and the pretreatment with glycine was examined in
14 twenty-four healthy male participants while performing a cognitively demanding aeGBR
15 paradigm with 64-channel electroencephalography. Negative Symptoms were assessed
16 through the Positive and Negative Syndrome Scale (PANSS). Ketamine alone caused a
17 reduction of the aeGBR amplitude associated with more pronounced negative symptoms
18 compared to placebo. Pretreatment with glycine attenuated both, the ketamine-induced
19 alterations of the aeGBR amplitude and the increased PANSS negative scores in glycine-
20 responders, classified based on relative aeGBR increase. Thus, we propose that the aeGBR
21 represents a possible biomarker for negative symptoms in schizophrenia related to
22 insufficient glutamatergic neurotransmission. This would allow to identify patients with
23 negative symptoms, who might benefit from glutamatergic treatment.

24

25 Introduction

26 Schizophrenia places a substantial burden on people with this illness, more so as there is no
27 satisfactory pharmacotherapy for some of its core symptoms, namely cognitive and negative
28 symptoms (Correll & Schooler, 2020). A prominent challenge in the pursuit of an effective
29 pharmacotherapy is that patients respond heterogeneously to treatments (Kumar et al.,
30 2020; McCutcheon, Krystal, & Howes, 2020). Therefore, biomarkers to identify the subgroup
31 of patients that would benefit from certain treatments are urgently needed.

32 A promising approach to new treatments is the modulation of aberrant neural glutamatergic
33 activity in patients with schizophrenia. The glutamate hypothesis of schizophrenia presumes
34 a hypofunction of the N-methyl-d-aspartate receptor (NMDAR) which is essential for
35 glutamate neurotransmission. On this account, there were several attempts to modulate its
36 function both directly (through co-agonists such as glycine or D-serine) and indirectly
37 (through glycine-reuptake inhibitors), which both yielded improvements in negative
38 symptomatology (J. Kantrowitz, 2017; Umbricht et al., 2014). However, none of these
39 pharmacological agents achieved clinical approval despite the repeated confirmation of the
40 viability of this treatment approach through recent studies (Chang, Lin, Liu, Chen, & Lane,
41 2020; Krogmann et al., 2019). This reinforces the need for biomarkers to detect patients with
42 a putative clinical benefit from glutamatergic modulation.

43 Gamma band oscillations (GBO) play an essential role in cognition, consciousness, and
44 perception and have been found to be impaired in schizophrenia (Dienel & Lewis, 2019;
45 Uhlhaas & Singer, 2010). The generation of those 30 to 100 Hz frequencies substantially
46 involves NMDARs which are frequently expressed on the inhibitory parvalbumin- (PV+)
47 (Sohal, Zhang, Yizhar, & Deisseroth, 2009) and somatostatin-expressing (SST+) γ -
48 aminobutyric acid (GABA) interneurons (Alherz, Alherz, & Almusawi, 2017). The micro-
49 circuitual interplay of the respective interneurons with glutamatergic pyramidal cells (Lisman et
50 al., 2008) then produce said frequency oscillations.

51 The auditory evoked gamma-band response (aeGBR) is among the sensory evoked GBOs
52 which have been of special interest, given that they do not only reflect sensory processes but
53 appear to be affected by attention and memory (Cho, Konecky, & Carter, 2006; Herrmann,
54 Frund, & Lenz, 2010). The aeGBR appears 25 to 100 ms after an auditory stimulus and
55 exemplifies the top-down operations in sensory processing, as its magnitude is profoundly
56 altered by task difficulty (Mulert et al., 2007). Several studies have proven that aeGBRs are
57 impaired in all stages of schizophrenia (Leicht et al., 2015; Leicht et al., 2010), high risk
58 subjects (Leicht et al., 2016), and even first-degree relatives of people with the illness (Leicht
59 et al., 2011). It is noteworthy, that these detriments are accompanied by a reduced activity of
60 a network including the anterior cingulate cortex (ACC) (Leicht et al., 2015), which has
61 repeatedly been implicated in the pathophysiology of schizophrenia and its cognitive
62 dysfunctions (Reid et al., 2019; Takayanagi et al., 2017).

63 The ketamine model of schizophrenia offers the opportunity to study this illness without
64 having to test patients. It utilizes the administration of subanesthetic doses of the NMDAR
65 antagonist ketamine to reduce NMDAR dependent glutamatergic neurotransmission, which
66 causes the emergence of schizophrenia-like positive, negative, and cognitive symptoms in
67 healthy volunteers (Krystal et al., 1994) or aggravating symptom severity in patients (Lahti,
68 Koffel, LaPorte, & Tamminga, 1995). This model particularly depicts aberrant GBOs since
69 under physiological conditions ketamine demonstrates the highest affinity for the NMDARs
70 expressing GluN2C and GluN2D subunits, which are most frequently expressed on the
71 aforementioned PV+ and SST+ GABAergic interneurons (Khlestova, Johnson, Krystal, &
72 Lisman, 2016; Kotermanski & Johnson, 2009). The inhibition of the respective interneurons is
73 supposed to result in a disruption of the local microcircuits (Lisman et al., 2008) and leads to
74 an impaired generation of GBO resembling deficiencies observed in patients with
75 schizophrenia (Jadi, Behrens, & Sejnowski, 2016).

76 Glycine binds to an allosteric binding site of the NMDAR, thus enabling signal transduction
77 following the engagement of glutamate as well as promoting and enhancing the binding of

78 glutamate to the NMDAR (Leeson & Iversen, 1994). These characteristics allow glycine to
79 attenuate neurophysiological impairments in patients suffering from schizophrenia
80 (Greenwood et al., 2018; J. T. Kantrowitz et al., 2018) and neurophysiological impairments
81 related to (induced) NMDAR hypofunction, as animal studies have proven (Lee et al., 2018).

82 Based on the strong interplay of schizophrenia symptoms, NMDAR dysfunction and receptor
83 co-agonists, this study aimed to delineate the impact of an exogenously induced NMDAR
84 dysfunction on the generation of the aeGBR and the effect of glycine thereon. This was
85 achieved by means of a cognitively demanding auditory choice reaction task after a
86 pretreatment with glycine and during the continuous infusion of ketamine. We hypothesized
87 that glycine-pretreatment mitigates the disturbances of the aeGBR and the interrelated
88 emergence of schizophrenia-like symptoms during ketamine administration in healthy
89 volunteers.

90 Results

91 Participants

92 We included 24 healthy male participants in EEG data analysis. Their mean age was 24
93 years (19 - 32 years, SD 3.7 years) and they had experienced an average of 16.5
94 educational years (13 - 21 years, SD 2.4 years). All participants were right-handed as
95 assessed by means of the Edinburgh Handedness Scale (mean 77.2 %, 48 - 100 %, SD 16.8
96 %) and had a mean verbal IQ of 111.5 points (99 - 122 points, SD 6.3 points) according to
97 the German WST-Wortschatztest.

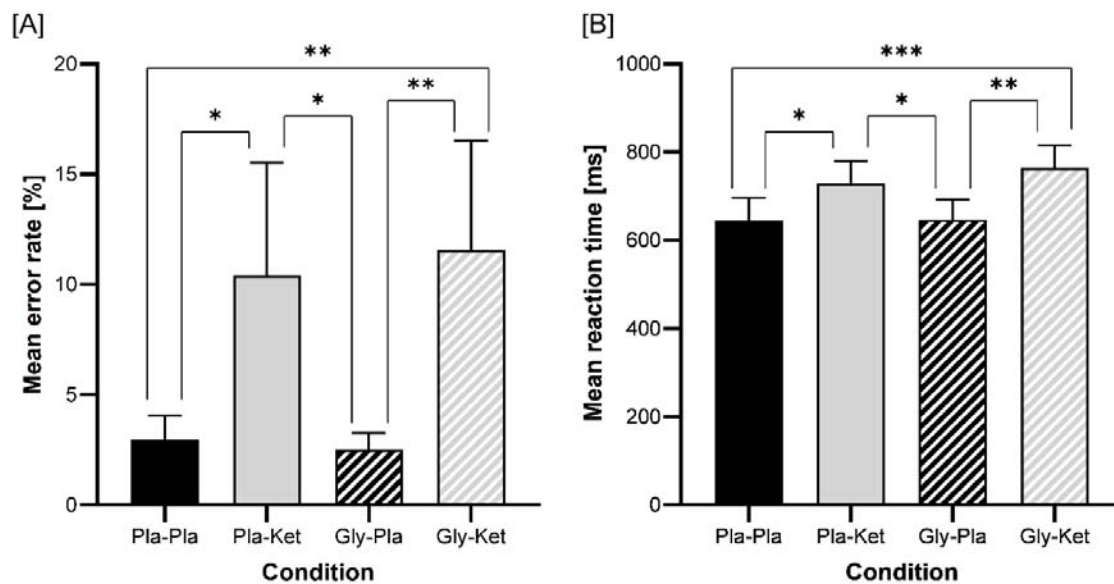
98 All participants underwent four EEG recording sessions, which differed regarding the
99 pretreatment and continuous infusions. The four corresponding experimental conditions
100 were: (i) placebo-pretreatment followed by placebo (Pla-Pla), (ii) placebo-pretreatment
101 followed by ketamine (Pla-Ket), (iii) glycine-pretreatment followed by placebo (Gly-Pla), and
102 (iv) glycine-pretreatment followed by ketamine (Gly-Ket).

103

104 **Behavioral performance**

105 We observed a significant main effect of ketamine on reaction times ($F(1,23)=28.4$, $p<0.001$),
106 with increased reaction times occurring during the application of ketamine (Figure 1A).
107 Regarding error rates, a significant main effect of ketamine occurred ($F(1,23)=17.7$, $p<0.001$)
108 with ketamine increasing the error rate (Figure 1B). Neither glycine pretreatment nor the
109 interaction between glycine and ketamine affected the behavioral performances.

110 **Figure 1**



111

112 **Figure 1** Bar charts of the mean values of the error rate [A] and the reaction time [B].

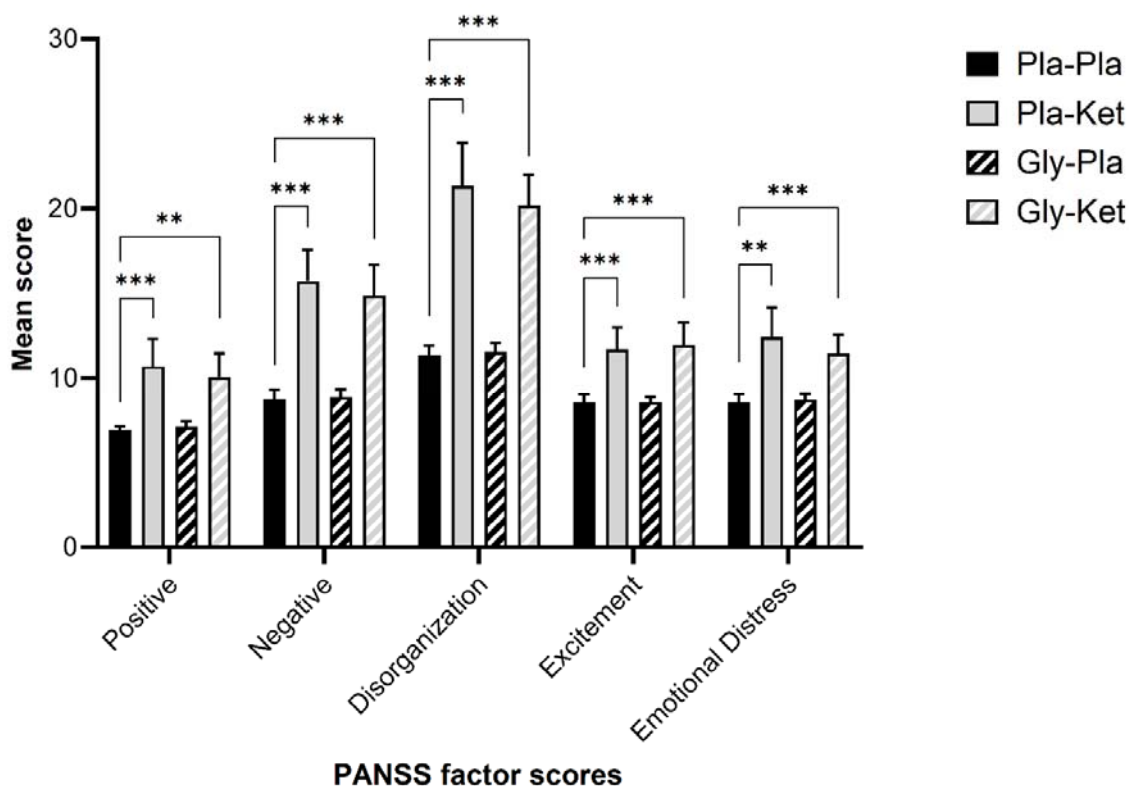
113 (**p<0.001, **p<0.01, *p<0.05)

114

115 Ketamine-induced psychopathology

116 Concerning PANSS total score as well as all factor scores (Figure 2), there was a significant
117 main effect of ketamine (PANSS Total: $F(1,23)=121$, $p<0.001$; Positive: $F(1,23)=33.7$,
118 $p<0.001$; PANSS Negative $F(1,23)=100.9$, $p<0.001$; Disorganization $F(1,23)=130.4$, $p<0.001$;
119 Distress $F(1,23)=35.5$, $p<0.001$; Excitement $F(1,23)=41$, $p<0.001$). Neither glycine
120 pretreatment nor the interaction between glycine and ketamine affected the PANSS total or
121 factor scores.

122 **Figure 2**



123

124 **Figure 2** Bar charts of the mean values of the five Positive and Negative Syndrome Scale
125 (PANSS) factor scores. Only significant differences between the Pla-Pla and both ketamine
126 conditions (Pla-Ket and Gly-Ket) are displayed. (**p<0.01, ***p<0.001, *p<0.05)

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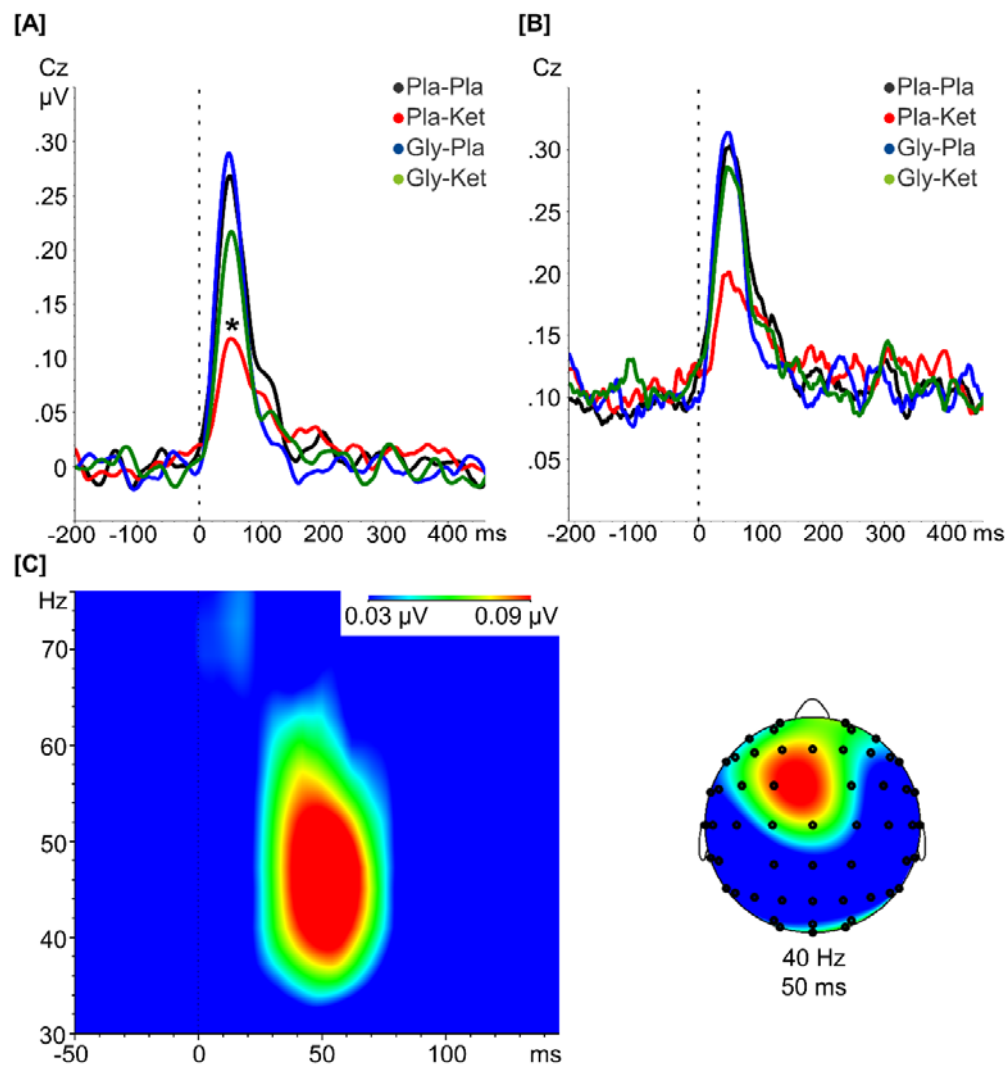
128 **Auditory evoked GBR amplitude and PLF**

129 Around 50 ms after stimulus presentation in all four conditions, the evoked gamma activity
130 increased at electrode Cz (Figure 3B). Regarding the peaks of the evoked gamma band
131 amplitude, a significant interaction effect between ketamine and glycine occurred
132 ($F(1,23)=6.2$, $p=0.02$, $\eta_p^2=0.21$). Simple main effects analysis revealed a significantly
133 reduced aeGBR amplitude due to the application of ketamine condition following both
134 placebo ($p_{\text{adjusted}}<0.001$, 95 % CI [-0.203, -0.086]) and glycine ($p_{\text{adjusted}}=0.017$ 95 % CI [-0.126,
135 -0.014]) pretreatment. Glycine-pretreatment led to an increased aeGBR only when preceding
136 the application of ketamine ($p_{\text{adjusted}}=0.004$, 95 % CI [0.022, 0.102]) but not the application of
137 placebo ($p_{\text{adjusted}}=0.718$, 95 % CI [-0.058, 0.083]) (Figure 3A).

138 Regarding the PLF, neither the interaction between ketamine and glycine ($F(1,23)=3.6$,
139 $p=.071$, $\eta_p^2=0.14$) nor the ketamine main effect ($F(1,23)=4.2$, $p=0.053$, $\eta_p^2=0.15$) nor the
140 glycine main effect ($F(1,23)=2.3$, $p=0.141$, $\eta_p^2=0.09$) reached statistical significance (Figure
141 3C).

142

143 **Figure 3**



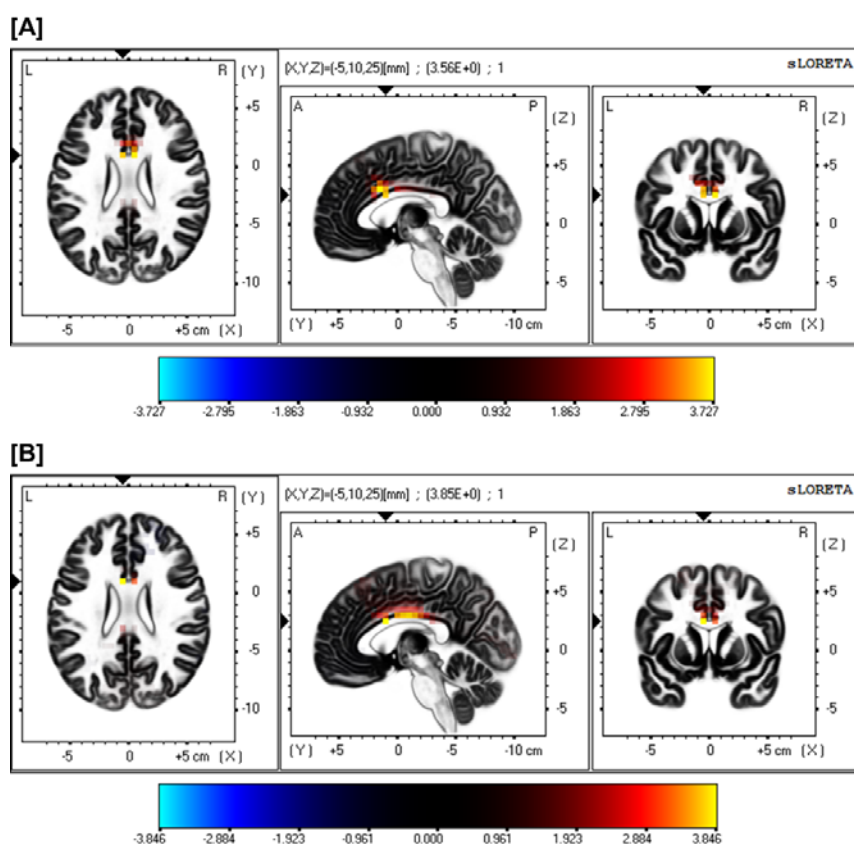
144

145 **Figure 3.** The aeGBR is an increased activity about 50 ms after stimulus presentation
146 (dashed lines). The aeGBR amplitude [A] and phase-locking factor (PLF) [B] are displayed
147 as the results of the wavelet analysis focused on the activity around 40 Hz for the Pla-Pla
148 condition (black line), Pla-Ket (red line), Gly-Pla (blue line) and the Gly-Ket condition (green
149 line). The aeGBR amplitude was significantly altered by an interaction between glycine and
150 ketamine as well as ketamine alone [A] while the PLF was not significantly affected by
151 glycine or ketamine [B]. The time–frequency analysis of the mean difference (Gly-Ket minus
152 Pla-Ket) of the auditory evoked gamma-band response (aeGBR) amplitude and the
153 corresponding topography at 40 Hz and 50 ms after stimulus presentation [C]. (* $p < 0.05$)

155 **LORETA whole head analysis**

156 The reduction of the aeGBR due to administration of ketamine (Pla-Pla minus Pla-Ket)
157 involved a significant reduction of gamma activity (30–50 Hz) within the ACC (4 voxels within
158 Brodmann areas 24 and 33, $t>3.436$, $p_{\text{adjusted}}<0.05$, Figure 4A). Glycine-pretreatment before
159 ketamine administration increased the activity of this aeGBR source compared to placebo-
160 pretreatment (Gly-Ket minus Pla-Ket) (Brodmann area 33, $t=3.85$, $p_{\text{adjusted}}=0.021$, Figure 4B).

161 **Figure 4**



162

163 **Figure 4** Difference map of low-resolution brain electromagnetic tomography (LORETA)
164 source activity in the gamma-frequency band (30–50 Hz) contrasting the current source
165 density (CSD) of the aeGBR between the Pla-Pla and the Pla-Ket conditions [A] as well as
166 the Gly-Ket and the Pla-Ket conditions [B]. Yellow voxels depict a significantly increased
167 CSD in the anterior cingulate cortex (ACC) comprising the Brodmann areas 24 [A] and 33 [A
168 and B].

169 **Association between neurophysiological and psychopathological variables**

170 Based on our previous study, we specifically investigated the Pearson correlations between
171 the PANSS negative scores and the relative changes of the aeGBR (Curic et al., 2019). To
172 calculate these relative changes, the Pla-Pla condition was defined as baseline and used as
173 the reference for all contrasts.

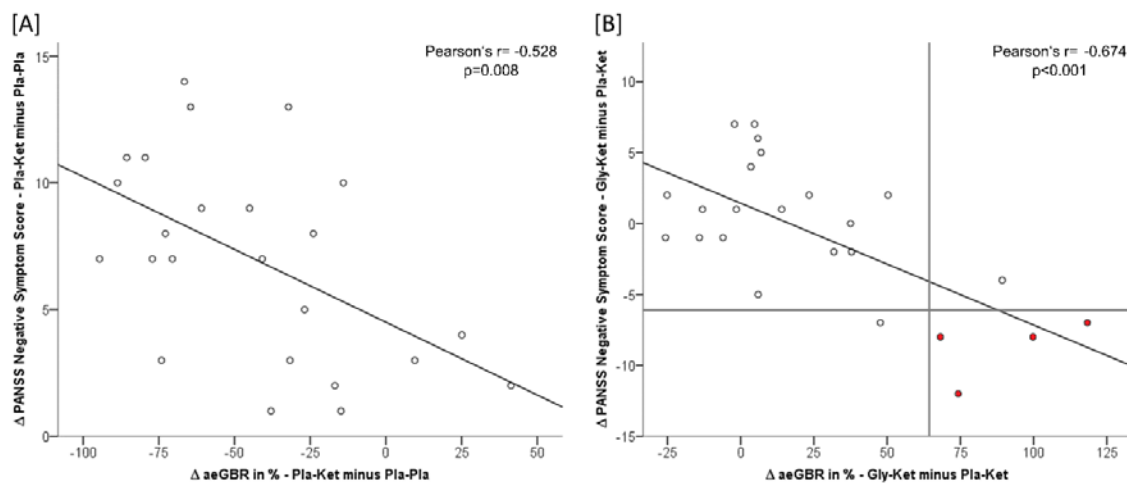
174 Comparing the Pla-Ket and Pla-Pla conditions, increases of the PANSS negative score
175 negatively correlated with the relative reduction of the aeGBR under the influence of
176 ketamine (Pearson's $r = -0.53$, $p = 0.008$) (Figure 5A).

177 When contrasting the pretreatments of both ketamine sessions (Gly-Ket minus Pla-Ket), the
178 reduction of the PANSS negative score correlated with the relative increase of the aeGBR
179 after pretreatment with glycine (Pearson's $r = -0.67$, $p < 0.001$) (Figure 5B).

180 In an explorative post-hoc analysis, we divided all individuals into two groups with regard to
181 their glycine-dependent reduction of the PANSS negative score comparing both ketamine
182 sessions, using a clinically significant reduction (of >5.5 points corresponding to the scale
183 intervals of the Clinical Global Impressions-Severity (CGI-S) rating) as the cut-off (Leucht et
184 al., 2019). Employing binary logistic regression, the relative increase of the aeGBR
185 (Δ aeGBR) predicted a clinically relevant attenuation of the PANSS negative score comparing
186 both ketamine conditions (Chi-Square=13.91, $df=1$, $p < 0.001$). This model determined a
187 Δ aeGBR increase of 64,5 % as the cut-off, which correctly predicted 18 out of 19 (94.7 %)
188 cases where there was no clinically significant attenuation of the PANSS negative score, and
189 four out of five (80 %) cases where there was a significant attenuation.

190

191 **Figure 5**



192

193 **Figure 5** Negative correlation between [A] the relative changes of the aeGBR and changes
194 of the PANSS negative factor comparing the Pla-Ket and the Pla-Pla conditions (Pearson's
195 $r = -0.528$ $p = 0.008$) as well as [B] the Gly-Ket and the Pla-Ket conditions (Pearson's $r = -$
196 0.674 $p < 0.001$). A 64.5 % relative increase of the aeGBR (vertical line) correctly identifies
197 four out of five individuals with a clinically relevant glycine response (red dots) of the PANSS
198 negative factor score (horizontal line, >5.5 points corresponding to the scale intervals of the
199 Clinical Global Impressions-Severity (CGI-S)).

200 **Discussion**

201 We have presented evidence that pretreatment with glycine mitigates ketamine-induced
202 impairments of the aeGBR. Furthermore, we have demonstrated that the relative changes of
203 the aeGBR correlate with the severity of schizophrenia-like symptoms associated with the
204 modulation of glutamatergic neurotransmission. While glycine-pretreatment did not affect
205 behavioral performance and PANSS factor scores at the group level, the aeGBR amplitude
206 allowed us to identify individuals with a relevant psychopathological benefit from the
207 pretreatment with glycine.

208 Cortical and subcortical GBO both at rest or task-driven (e.g. aeGBR) are critically involved
209 in cognitive functions such as working memory (Howard et al., 2003; van Vugt, Schulze-

210 Bonhage, Litt, Brandt, & Kahana, 2010) and are found to be altered in schizophrenia (Dienel
211 & Lewis, 2019; Uhlhaas & Singer, 2010). The early aeGBR is known to be reduced across all
212 stages of schizophrenia (Leicht et al., 2015; Leicht et al., 2010) and corresponding
213 impairments can be found in the ketamine model (Curic et al., 2019). Moreover, the activity
214 of the aeGBR generators within the dACC is reduced in patients with schizophrenia (Leicht et
215 al., 2015; Leicht et al., 2010) and in healthy subjects following the acute infusion of ketamine
216 (Curic et al., 2019). Accordingly, we were able to replicate these findings in the present
217 study.

218 Based on these observations the aeGBR has been proposed as a correlate to disrupted
219 glutamatergic neurotransmission in schizophrenia, since the generation of oscillations in the
220 gamma frequency range depends on a feedback-loop encompassing pyramidal cells and
221 GABAergic interneurons (Lisman et al., 2008). This premise is further supported by our
222 finding that the alleviation of ketamine-related aeGBR impairments follows the modulation of
223 glutamatergic neurotransmission through the NMDAR co-agonist glycine. Yet, the effect of
224 glycine on humans had neither been studied in the ketamine model of schizophrenia (Haaf,
225 Leicht, Curic, & Mulert, 2018) nor in light of aberrant GBO. Regarding another
226 neurophysiological measure, the acute administering of glycine normalized the reduced
227 duration mismatch negativity (MMN) amplitudes in patients suffering from schizophrenia
228 (Greenwood et al., 2018). This was paralleled by findings of an improved frequency MMN
229 after continuous treatment with D-serine (another NMDAR glycine-binding site agonist) (J. T.
230 Kantrowitz et al., 2018).

231 In the aforementioned feedback-loop, PV⁺ and SST⁺ interneurons significantly contribute to
232 the model of NMDAR-dysfunction in schizophrenia, since these subtypes express high
233 densities of NMDARs containing either GluN2C (PV⁺) or GluN2D (SST⁺) subunits for both of
234 which ketamine demonstrates a higher affinity under physiological conditions compared to
235 other subunits (Bygrave, Kilonzo, Kullmann, Bannerman, & Kätzel, 2019). Conversely, both
236 types of interneurons are reduced in schizophrenia according to postmortem studies

237 (Konradi et al., 2011). One might speculate that the disruption of inhibitory feedback might
238 lead to an excessive yet uncoordinated downstream release of glutamate through pyramidal
239 cells, causing an imbalance of glutamatergic excitation and GABAergic inhibition (E/I
240 imbalance) (Kehrer, Maziashvili, Dugladze, & Gloveli, 2008). This offers a feasible
241 explanation for the counterintuitive observation that the acute administering of ketamine
242 increases extracellular glutamate levels in several brain regions assessed by means of 1H-
243 MRS (Stone et al., 2012), paralleled by findings in schizophrenia (Merritt, Egerton, Kempton,
244 Taylor, & McGuire, 2016). Further, the reports of increased resting-state gamma band
245 oscillations in schizophrenia (Andreou et al., 2015; Baradits et al., 2019) as well as
246 corresponding findings in the ketamine model (Bianciardi & Uhlhaas, 2021) – seemingly
247 contradicting the notion of a hypo-glutamatergic state – might be attributed to a putative lack
248 of synchronized neuronal activity. Hence, the concurrent inhibition of PV⁺ and SST⁺
249 GABAergic interneurons offers an explanation implementing both the incapacity to increase
250 GBO following a cognitive-demanding stimulus and elevated GBO at rest in light of the E/I
251 imbalance resembling a reduced signal/noise ratio.

252 Accordingly, animal studies found the ability to increase stimulus-evoked gamma to be
253 diminished ensuing global disruption of NMDAR signaling or the administering of NMDAR-
254 antagonists or selective reduction of PV⁺-cell activity by means of either optogenetic methods
255 (Sohal et al., 2009) or gene knockout (Bygrave et al., 2019). Whereas, the results of animal
256 studies focusing on SST⁺ interneurons remain limited and inconclusive (Alherz et al., 2017).
257 Nonetheless, a dysfunction restricted to a specific neuron subtype is likely not enough to
258 explain the pathophysiology and complex neurophysiological changes seen in schizophrenia
259 (Bygrave et al., 2019).

260 Bearing all considerations outlined above in mind, the association between an impaired early
261 aeGBR and the emergence of schizophrenia-like negative symptoms as seen in this study
262 leads to the presumption that glutamatergic dysfunction, aberrant neural oscillations, and
263 negative symptoms are interdependent. Accordingly, our results contribute to a growing body

264 of evidence that suggests an interrelation of impaired evoked GBO in a cognitive demanding
265 task based on NMDAR dysfunction and negative symptoms as reported in both the ketamine
266 model (Curic et al., 2019) and schizophrenia itself (Leicht et al., 2015). These assumptions
267 are further substantiated by our discovery that the NMDAR co-agonist glycine mitigates
268 ketamine-related aeGBR impairments which in turn presents as a recovery of PANSS
269 negative scores.

270 Remarkably, four out of five individuals showing a clinically relevant attenuation of the
271 PANSS negative score (Leucht et al., 2019) following glycine-pretreatment could be
272 identified post-hoc by the relative aeGBR-increase with a high specificity and sensitivity
273 (Figure 5B). Hence, the aeGBR could serve as a putative biomarker for target-engagement
274 of glutamatergic remedies in schizophrenia and could help to identify individuals who might
275 benefit from the corresponding treatment. Insufficient target-engagement or competing
276 target-engagement of co-administered medication (i.e. clozapine) might explain the varying
277 results of glycine and D-serine treatments in schizophrenia (J. Kantrowitz, 2017).
278 Nevertheless, further verification of our observations is required given the heterogenous
279 response to the paradigm and considering we are the first to report a mitigating effect of a
280 glutamatergic substance on psychopathological and neurophysiological aberrations in the
281 ketamine model of schizophrenia.

282 The ketamine-induced increases in reaction time and error rates accompanied by the
283 occurrence of schizophrenia-like symptoms, assessed by means of the PANSS, add to an
284 extensive portfolio of data corroborating the presumptive overlap of schizophrenia itself and
285 the related ketamine model (Frohlich & Van Horn, 2014). Glycine-pretreatment however,
286 paralleled by unsuccessful clinical trials of glutamatergic agents (J. Kantrowitz, 2017), did not
287 reverse these effects at the group level, while clearly mitigating ketamine-associated aeGBR
288 reductions. Nonetheless, glycine-pretreatment reduced PANSS negative scores in the subset
289 of individuals that also demonstrated a profound increase of the aeGBR, whilst no individuals

290 with an increase of the PANSS negative factor by more than two points responded to
291 glycine-pretreatment regarding the aeGBR.

292 Finally, our study was limited by several factors that merit consideration. First, the small
293 sample size of the experiment represents an important limitation, possibly contributing to the
294 fact that we did not observe a mitigating effect of glycine on behavioral results (e.g., error
295 rate or reaction time) due to medium or small effect sizes. Moreover, we acknowledge that
296 the results of our post-hoc binary logistic regression analysis demand replication with a larger
297 sample size. Secondly, the psychotomimetic effects of ketamine could have led to the partial
298 unblinding of participants. While blinding for the pretreatment was thoroughly realized, further
299 studies could implement dopaminergic agonists or non-glutamatergic psychotomimetic
300 agents in place of saline infusions as the control for ketamine.

301 In conclusion, this is the first study to report a normalizing effect of glycine, an NMDAR co-
302 agonist, on ketamine-related decreases of the aeGBR peak amplitude and on the activity of
303 its generator located in the ACC in healthy human subjects during the performance of a
304 cognitively demanding auditory choice reaction task. Further, we found an association
305 between glycine-related aeGBR increases and improvements in schizophrenia-like negative
306 symptoms. Remarkably, an increase of the aeGBR predicted a clinically relevant
307 psychopathological response to glycine-pretreatment. This points to the applicability of the
308 aeGBR as a putative rapid biomarker for responders to a glutamatergic therapy for negative
309 symptoms. To this end, our intriguing results call for the investigation of the transferability of
310 this effect to patients suffering from schizophrenia.

311 **Methods and Materials**

312 **Participants**

313 Twenty-six healthy male participants were enrolled in this study. The general procedure was
314 approved by the Ethics Committee of the Medical Association Hamburg and carried out in
315 accordance with the latest version of the Declaration of Helsinki. Written informed consent

316 was obtained from all participants after the nature of the procedures had been fully
317 explained. One participant dropped out due to adverse effects caused by ketamine
318 (dissociative effect/headache). Another participant had to be excluded due to poor EEG data
319 quality. Volunteers were either students or medical staff of the University Medical Center
320 Hamburg and received a monetary compensation for the EEG recording sessions.

321 The inclusion criteria included male gender, an age between 18 – 40 years, right-
322 handedness, normal or corrected visual acuity and German at native speaker level.

323 Exclusion criteria were any acute or previous psychiatric disorders, a family history of
324 schizophrenia or bipolar disorder, the use of illegal drugs, active medication or health
325 conditions representing a contraindication to the administration of ketamine.

326 The required sample size was calculated using G*Power 3.1 (Faul, Erdfelder, Lang, &
327 Buchner, 2007). With respect to the reduction of the aeGBR amplitude through the
328 application of ketamine and the potential attenuation of this effect via pretreatment with
329 glycine, we expected a medium effect size in the range of $\eta_p^2=0.1$. This estimation was
330 based on a previous study comparing the effect of the administration of ketamine on the
331 aeGBR to placebo ($d=0.6$) (Curic et al., 2019). We planned to apply regular statistical
332 analyses and error probabilities (ANOVA, $\alpha=0.05$; $1-\beta=0.95$). Thus, the sample size analyses
333 led to a total sample size of $n = 21$ required for a repeated measure, within factors ANOVA
334 for one group and four measurements. To completely counterbalance the order of the four
335 experimental conditions, we decided to include 24 participants.

336 **Study Design**

337 This study followed a double-blind (regarding pretreatment), randomized, placebo-controlled
338 crossover design. All participants underwent four EEG recording sessions, which differed
339 regarding the pretreatment and continuous infusions. The four corresponding experimental
340 conditions were: (i) placebo-pretreatment followed by placebo (Pla-Pla), (ii) placebo-
341 pretreatment followed by ketamine (Pla-Ket), (iii) glycine-pretreatment followed by placebo

342 (Gly-Pla), and (iv) glycine-pretreatment followed by ketamine (Gly-Ket). The order of
343 sessions was randomized and overall counterbalanced. The glycine-pretreatment was
344 administered at a dosage of 200 mg/kg bodyweight (Greenwood et al., 2018) as an
345 intravenous infusion in 500 ml 0.9 % sodium chloride (NaCl) solution over one hour. Placebo
346 was administered analogously as a NaCl infusion. Both pretreatments were prepared by an
347 unblinded third person prior to the recording sessions and the ready-to-use infusions were
348 indistinguishable. Subsequently, during the ketamine sessions a subanesthetic dose of S-
349 ketamine hydrochloride (Ketanest® S, Pfizer) was administered intravenously in a 0.9 %
350 NaCl solution for a duration of 75 minutes. The infusion was started with an initial bolus of
351 10 mg over 5 minutes followed by a maintenance infusion of 0.006 mg/kg/min, reduced by
352 10 % every 10 minutes (Curic et al., 2019). Placebo was administered analogously as NaCl
353 infusions. Heart rate, blood pressure and oxygen saturation were continuously monitored
354 during all sessions. The clinical raters were blinded with respect to the pretreatment but not
355 regarding the continuous infusion condition due to the clinical effects of ketamine.

356 **Psychometric assessment**

357 The psychiatric symptomatology was assessed by an experienced psychiatrist using the
358 Positive and Negative Syndrome Scale (PANSS) (Kay, Fiszbein, & Opler, 1987) after each
359 recording session. Based on our previous studies the PANSS scores were evaluated using
360 the five-factor model (van der Gaag et al., 2006).

361 **Stimuli**

362 An attentionally demanding auditory reaction task used in previous studies of our group was
363 employed (Curic et al., 2019; Leicht et al., 2015; Mulert et al., 2007). Three tones of different
364 pitch (800, 1000 and 1200 Hz; 40 repetitions of each) were generated and presented to
365 participants, who were instructed to respond as quickly and as accurately as possible to the
366 low or the high tone (target tones) by pressing a corresponding button. Reaction times and
367 errors were registered and only trials with correct responses to target tones were considered
368 for further analyses.

369 **EEG recording**

370 In a sound-attenuated and electrically shielded room subjects were seated in a reclined chair
371 with a head rest with their eyes open and asked to look at a fixation cross presented at a
372 monitor 1 m in front of them. The EEG was recorded at a sampling rate of 1000 Hz including
373 an analog band-pass filter (0.1 – 1000 Hz), with 64 active electrodes mounted on an elastic
374 cap (actiCap, Brain Products, Gilching, Germany) in an extended 10/20 system (Curic et al.,
375 2019), using the Brain Vision Recorder software Version 1.21 (Brain Products). Eye
376 movements were recorded through four EOG channels. An electrode at the FCz position was
377 used as reference, the electrode at position AFz served as ground. Impedances were kept
378 below 5 k Ω .

379 **Data Analysis**

380 Data preprocessing and analysis was carried out using the Brain Vision Analyzer (BVA)
381 Version 2.1 (Brain Products). The preprocessing procedure was conducted in accordance
382 with previous studies (Curic et al., 2019; Leicht et al., 2010). After band-pass filtering (1–80
383 Hz) and down-sampling to 500 Hz, a topographic interpolation (spherical splines) of up to five
384 channels was performed (the mean number of interpolated channels did not differ between
385 conditions). The channels were selected for interpolation in accordance with the procedure
386 mentioned above. An independent component analysis (ICA) was performed to identify and
387 remove blinks, drifts, muscle artifacts and saccadic spike potentials based on their
388 characteristic topographies, time courses, and frequency distributions (the mean number of
389 removed ICA components did not differ between conditions). The continuous EEG was
390 segmented into epochs of 3000 ms starting 1000 ms prior to the auditory stimulus. Segments
391 including amplitudes exceeding ± 70 μ V within a 600 ms window starting 200 ms pre-stimulus
392 in any channel were automatically rejected. After re-referencing to common average
393 reference and baseline correction (using an interval of -210 to -10 ms pre-stimulus),
394 averaged event-related potential (ERP) waveforms were computed. Only waveforms based
395 on at least 35 segments were accepted and included in further analyses.

396 **aeGBR amplitude and phase-locking factor (PLF)**

397 The aeGBR amplitude and PLF were computed using a complex Morlet wavelet
398 transformation (Morlet parameter $c=5$, instantaneous amplitude (Gabor) normalization) as
399 applied in several previous studies (Curic et al., 2019; Leicht et al., 2010; Mulert et al., 2010).
400 This wavelet transformation was performed on averaged ERPs to obtain the phase-locked
401 evoked gamma amplitude. The analysis followed previously published and well-established
402 procedures and extracts the highest value within the timeframe of 30–100 ms post-stimulus
403 at the electrode Cz of the wavelet layer with the central frequency of 40 Hz (frequency range
404 32–48 Hz) (Curic et al., 2019; Leicht et al., 2015; Leicht et al., 2011; Leicht et al., 2010;
405 Leicht et al., 2016; Mulert et al., 2007). The PLF was calculated by performing a wavelet
406 transformation at the single trial level and extracting complex-phase information with all
407 vector lengths normalized to the unit circle before averaging the phase information (Curic et
408 al., 2019; Leicht et al., 2010). Gamma PLF peaks were defined as the highest value of the
409 wavelet layer centered around 40 Hz within the timeframe of 30–100 ms post-stimulus at the
410 electrode Cz.

411 **Source analysis of the aeGBR**

412 Source-space localization analyses were performed with the low-resolution brain
413 electromagnetic tomography (LORETA) KEY software package v2017 (Pascual-Marqui,
414 2002). The EEG source localization of the aeGBR across 30–50 Hz was executed for every
415 subject within a time window of 30 to 70 ms post-stimulus.

416 The voxel-wise comparison of cortical activities between conditions was conducted using a
417 one-tailed t -test for paired groups (statistical significance threshold $p < 0.05$) provided in
418 the sLORETA software. A statistical nonparametric mapping randomization method was
419 used to automatically adjust for multiple comparisons with a Fisher's random permutation
420 test with 5000 randomizations.

421 **Statistical analyses**

422 All statistical analyses were performed using IBM SPSS Statistics Version 24. Comparisons
423 of reaction times and error rates, PANSS scores, aeGBR amplitude, and PLF between all
424 conditions were conducted using 2 (ketamine) x 2 (glycine) repeated measure analyses of
425 variance (RM-ANOVA). All follow-up simple main effect analyses and post-hoc contrasts
426 were subject to a Bonferroni correction.

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429 **Competing interests**

430 The authors declare no conflicts of interest in relation to the subject of this study.

431

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