Constitutively active SARM1 variants found in ALS patients induce

2 **neuropathy**

1

3

6

13

21

- 4 A. Joseph Bloom^{1*}, Xianrong Mao¹, Amy Strickland¹, Yo Sasaki¹, Jeffrey Milbrandt^{1*}, Aaron
- 5 DiAntonio^{2*}
- ¹Needleman Center for Neurometabolism and Axonal Therapeutics and Department of Genetics,
- 8 Washington University School of Medicine in Saint Louis, St. Louis, MO, USA.
- 9 ²Needleman Center for Neurometabolism and Axonal Therapeutics and Department of
- 10 Developmental Biology, Washington University School of Medicine in Saint Louis, St. Louis,
- 11 MO, USA.
- 12 *corresponding authors
- 14 Author email addresses:
- 15 A. Joseph Bloom ajbloom@wustl.edu
- 16 Xianrong Mao maox@wustl.edu
- 17 Amy Strickland amy.strickland@wustl.edu
- 18 Yo Sasaki sasaki@wustl.edu
- 19 Jeffrey Milbrandt jmilbrandt@wustl.edu
- 20 Aaron DiAntonio diantonio@wustl.edu
- 23 **Running title:** *SARM1* ALS patient variants

Abstract

In response to injury, neurons activate a program of organized axon self-destruction initiated by the NAD⁺ hydrolase SARM1. In healthy neurons SARM1 is autoinhibited, but single amino acid changes can abolish autoinhibition leading to constitutively-active SARM1 enzymes that promote degeneration when expressed in cultured neurons. To investigate whether naturally-occurring human variants might similarly disrupt SARM1 autoinhibition and potentially contribute to risk for neurodegenerative disease, we assayed the enzymatic activity of 29 rare *SARM1* alleles identified among 8,507 amyotrophic lateral sclerosis (ALS) patients. Ten missense variants or small in-frame deletions exhibit constitutive NADase activity, including more than half of those that are unique to the ALS patients or that occur in multiple patients. Expression of these constitutively active ALS-associated SARM1 alleles in cultured dorsal root ganglion (DRG) neurons is pro-degenerative and cytotoxic. Intrathecal injection of an AAV expressing the common *SARM1* reference allele is innocuous to mice, but a construct harboring *SARM1* reference allele is innocuous to mice, but a construct harboring *SARM1* reference allele is innocuous to mice, but a construct harboring sargues axon loss, motor dysfunction, and sustained neuroinflammation. These results implicate rare

hypermorphic SARM1 alleles as candidate genetic risk factors for ALS and other

neurodegenerative conditions.

Keywords: ALS; SARM1; neurodegeneration; axon; NAD

Main Text

- 22 Trauma and disease in the nervous system activate an intrinsic axon self-destruction pathway,
- also known as Wallerian degeneration, which facilitates the orderly clearance of damaged axon

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

segments. This choice between maintaining or actively dismantling axons is primarily determined by the action of SARM1, a TIR-containing NAD⁺ hydrolase that cleaves NAD⁺ to generate nicotinamide and cyclic ADPR (cADPR), a useful biomarker of SARM1 activity¹. In healthy neurons, SARM1 is maintained in an autoinhibited state, but injury- or disease-induced depletion of the axon survival factor NMNAT2 activates SARM1 leading to a rapid loss of NAD⁺, metabolic catastrophe, and axon fragmentation²⁻⁴. SARM1 knockout mice are viable and without apparent phenotypes under routine conditions, but are protected against neurodegeneration in models of axotomy, traumatic brain injury, peripheral neuropathy, glaucoma, and retinal degenerative diseases^{5–11}. Conversely, mutations that decrease NMNAT2 activity lead to polyneuropathy in both humans and model organisms 12,13, suggesting that aberrant SARM1 activation has a role in human disease. Furthermore, the recent observation that single point mutations in SARM1 can disrupt enzyme autoinhibition ^{14–18} led us to speculate that naturally-occurring human variants might similarly dysregulate SARM1 and thereby increase disease risk. To investigate whether SARM1 mutations that disrupt its autoinhibition are associated with neurogenerative disorders, we sought to identify rare prodegenerative SARM1 missense variants in human databases. ALS warrants particular attention because peripheral axon degeneration accompanies and may precede motoneuron death during ALS progression 19,20. Here, we identify over two dozen such polymorphisms found in ALS patients and interrogate the activities of the encoded enzymes in cultured neurons. Provocatively, the majority of our strongest candidate variants disrupt SARM1 regulation and confer constitutive activity in vitro. Furthermore, expression of a constitutively-active SARM1 allele found in three unrelated patients causes an

- 1 ALS-like phenotype—motor dysfunction, axon loss and sustained neuroinflammation—when
- 2 expressed in the mouse spinal cord via intrathecal delivery.
- 3 We identified a total of 29 SARM1 coding variants (missense and small in-frame deletions)
- 4 culled from three large publicly-accessible ALS consortia databases that include 8,507 cases in
- 5 total (Table 1) $^{21-23}$.

Table 1 Rare SARM1 missense variants and in-frame deletions found in ALS patients

| Variant | rsID | Percent Minor Allele Frequency ^a | | | Number of occurrences in ALS patients |
|---------------------------|--------------|---|---------------|-----------|---------------------------------------|
| | | African | East Asian | Europeanb | paudina |
| Constitutively active | | | | | |
| Δ226-232 | rs782325355 | 0 | 0 | 0.01 | 2 ^d |
| Δ249-252 | | 0 | 0 | 0 | 1 d |
| V184G | rs71373646 | 0.007 | 0.006 | 0 | 3 ^e |
| G206S | rs1555585199 | 0 | 0 | 0 | 2 ^e |
| L223P | | 0 | 0 | 0 | 1 d |
| R267W | rs11652384 | 0 | 0 | 0.001 | 1 e |
| V331E | rs1555585331 | 0 | 0 | 0 | 1 d |
| E340K | rs781854217 | 0 | 0 | 0.003 | 1 d |
| T385A | | 0 | 0 | 0 | 1 d |
| T502P | rs782421919 | 0 | 0 | 0.006 | 2 ^{d,f} |
| Not constitutively active | | | | | |
| A240E | rs1449836804 | 0 | 0 | 0.004 | 1 d |
| R244S | | 0 | 0 | 0 | 1 d |
| A250T | rs1555585243 | 0 | 0.06 | 0 | 1 d |
| A275V | rs376587698 | 0 | 0 | 0.006 | 1 d |
| R310H | rs369186722 | 0 | 0 | 0.01 | 1 d |
| A341V | rs373458416 | 0 | 0 | 0.01 | 2 ^d |
| R403P | rs782706244 | 0 | 0 | 0.0009 | 1 ^f |
| E431G | rs1555585662 | 0 | 0 | 0.001 | 1 ^d |
| R465T | | 0 | 0 | 0 | 1 d |
| R484C | rs1555585809 | 0 | 0 | 0.0009 | 1 d |
| A488E | rs782228906 | 0.004 | 0 | 0.02 | 2 ^d |
| V518L | rs782106973 | 0 | 0 | 0c | 3 ^d |
| R569C | rs571724138 | 0 | 0 | 0.005 | 1 ^d |
| R570Q | rs539229444 | 0 | 0.008 | 0.005 | 1 e |
| D637Y | rs1451417529 | 0 | 0 | 0 | 1 d |
| A646S | rs782676389 | 0 | 0 | 0.0008 | 1 ^d |
| M672V | rs782774927 | 0 | 0 | 0.004 | 2e,f |
| S684F | rs782256561 | 0.004 | 0 | 0.004 | 1 ^f |
| R702C | rs781850558 | 0 | 0.005 | 0.002 | 1 ^f |

^agnomAD v2 ^bnon-Finnish

cV518L = 0.009% in gnomAD v3 non-Finnish Europeans

dMinE32

eALS Variant Server³⁴

fALS Knowledge Portal³³

7

8

9

10

11

12

13

14

17

18

19

21

Altogether, rare SARM1 variants (i.e. with allele frequencies <0.01% in all gnomAD 2 populations²⁴) occur in >0.9% of ALS cases but in only ~0.25% of controls^{21,22}, and in only $\sim 0.3\%$ of the general population²⁴. For comparison, potentially pathogenic *TBK1* variants were 3 reported in 1.1% of ALS cases and 0.19% of controls²⁵. This suggests that rare pathogenic 4 5 SARM1 alleles might contribute to ALS risk. 6 To investigate whether these variants disrupt autoinhibition, we assayed the NAD⁺ hydrolase activities of the encoded enzymes. We prioritized the variants and first tested a) those identified in multiple ALS patients but not in healthy controls and b) those unique to ALS patients (i.e. not reported in any prior human study as of January 2020). These 15 SARM1 variants (Figure 1A, Table 1) account for 51% (20/39) of rare SARM1 variants in ALS patients genotyped in the three large ALS databases we investigated. To examine the properties of these mutants, we tested them in Sarm1^{-/-} mouse dorsal root ganglion DRG neurons. We prepared lentiviruses for the 15 SARM1 mutant constructs, infected Sarm1-/- neurons, and assessed their NAD+ hydrolase 15 activity. Eight of these variants were determined to be constitutively active, i.e. we found that the baseline level of NAD⁺ was decreased in neurons expressing these mutant constructs and the 16 level of cADPR, a specific in vitro and in vivo SARM1 biomarker²⁶ was increased (Fig. 1). By contrast, SARM1^{P332Q}, the only variant common in any gnomAD population (1.1% in Europeans) is not constitutively active (Fig 1). 20 Encouraged by these results, we assayed the activities of an additional 14 rare missense variants. 22 These were considered poorer candidates because each is observed in only a single ALS patient 23 and they are not unique to the patients as they are also found in the gnomAD database. Among

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

these, we identified two additional constitutively active variants (Table 1). In total, 40% (4/10) of the SARM1 variants with constitutive NAD⁺ hydrolase activity occur in multiple ALS patients. Point mutants that disrupt SARM1's autoinhibitory interfaces result in dysregulation of SARM1 activity and promote the degeneration of cultured neurons 14-18. Consistent with those findings, lentiviral-mediated expression of all the constitutively active variants we tested (Table 1) alters the cell body morphology of cultured Sarm1^{-/-} mouse DRG neurons consistent with cell death. To quantify this pro-degenerative activity, two variant constructs, SARM1^{VI84G} and SARM1^{Δ226} ²³², were studied further. The mutant enzymes were expressed in Sarm1^{-/-} DRGs neurons and degeneration was measured by two methods. Fluorescently-labeled Annexin V, which binds to phosphatidylserine, was used to determine whether the expression of either variant construct significantly compromises axon health. Annexin V binding is a useful proxy for axon health as neurites undergoing Wallerian degeneration expose phosphatidylserine on their extracellular surfaces similarly to apoptotic cells²⁷. Neuronal death was quantified using an oxidoreductase activity assay, a common measure of cell viability. Both assays demonstrated that both ALSassociated SARM1 variants produced a significant degenerative effect relative to the common reference allele (Fig. 1). While these variants exhibit constitutive NAD⁺ hydrolase activity, it is formally possible that they mediate their pro-degenerative effects via a distinct toxic mechanism. To investigate this alternate hypothesis, we generated constructs containing two mutations, either of the ALSassociated variants, SARM1^{V184G} or SARM1^{A226-232}, together with E642A, a point mutation in the TIR domain that disrupts the catalytic glutamate required for SARM1 NAD⁺ hydrolase activity

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

and axon degeneration³. In both cases, introducing E642A abolishes enzymatic activity and the detrimental effects of the constructs on cell body and axon health (Fig. 1). Hence, these ALS patient-derived SARM1 variants promote degeneration via loss of autoinhibition and resulting constitutive NAD⁺ hydrolase activity. To test whether the rare SARM1 variants promote neurodegeneration in vivo, AAV viral vectors were administered intrathecally to male and female six-week old wild-type mice, expressing either the common human allele of SARM1 (the reference allele) or SARM1^{VI84G}, the constitutively active variant found most frequently in the ALS patient databases. In these constructs, each SARM1 protein was fused to EGFP and expressed under the control of the human synapsin promoter. The AAV viruses were produced with these constructs and a mixture of PHP.S and PHP.eB serotype capsids (both derived from AAV9²⁸) in order to infect neurons in the spinal cord and DRGs. Animals injected with AAV expressing the common SARM1 allele had no discernible behavioral phenotypes for at least 12 weeks. By contrast, those injected with AAV-SARM1^{VI84G} exhibited motor impairment. Two of the mice rapidly progressed to full limb paralysis 3-4 days after injection. Other animals injected with SARM1^{V184G} (7/9) displayed less dramatic motor deficits characterized by hindlimb clasping²⁹, and significant muscle weakness, as measured by the inverted screen assay (Fig. 2). These deficits were detected within 3 weeks of injection and did not progress significantly through the 12-week observation period.

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

To characterize the neurodegeneration caused by SARM^{VI84G} expression, the intrathecally injected mice were examined for evidence of axon degeneration and neuron loss. We examined the two mice that became rapidly paralyzed and the other mice with less severe disease as separate cohorts because of the difference in phenotype. In the spinal cords of the paralyzed mice, there was clear evidence of cell death around the ependymal canal as detected by TUNEL staining. Neuroinflammation was observed throughout the spinal cord of these mice as evidenced by prevalent staining for CD68, a marker of activated macrophages. Neither of these phenotypes were observed in animals injected with the common SARM1 allele construct (Additional File 1). These mice did not display obvious myelin defects or vacuolization in the sural, sciatic or tibial nerves at 3-4 days post-infection. The mice treated with SARM1^{V184G} that displayed a less severe behavioral response were sacrificed twelve weeks post-injection. Pathological inspection of their spinal cords revealed no evidence of ongoing apoptosis or elevated CD68 staining. Their peripheral nerves, however, contain almost 10-fold more CD68-positive macrophages than those treated with the control SARM1 allele (Fig. 2). Macrophages also increase in size upon activation³⁰, and the SARM1^{VI84G}infected mice have a 1.6-fold greater CD68-stained area per cell than do control mice, yielding a 15.2-fold difference in total CD68-stained area. Hence, neuronal expression of SARM1^{V184G} triggers an elevated inflammatory response in peripheral nerves that persists for at least twelve weeks after treatment³¹. The average fiber densities in the peripheral nerves of the SARM1^{V184G}-injected mice are also lower than those injected with AAV expressing the common human allele, demonstrating that

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

expression of the constitutively active SARM1 promotes axon loss. The number of axons were counted in the sural, sciatic and tibial nerves. In both the sural and sciatic nerves, the density of axons is significantly lower (p<0.001), a trend that is evident in the tibial nerve, though it did not reach statistical significance (Fig. 2). The average axon size and extent of myelination (g-ratio) does not differ significantly between the variant and common SARM1 allele treated animals (p>0.1, n=15). Myelin defects and vacuolization are not observed in these nerves, indicating a lack of ongoing axon loss. The lack of axon defects at twelve weeks is consistent with the early, but stable, deficits in motor function observed in mice receiving the SARM1^{V184G} virus (Fig. 2). We interpret these data as evidence that a subset of neurons—those sufficiently susceptible to SARM1-dependent degeneration and infected with virus—lost their axons before three weeks, while others, including uninfected neurons, remained healthy and functional up to twelve weeks. Inter-animal differences in motor dysfunction severity likely reflect variability in infection efficiency. In summary, we find that many rare SARM1 variants found in ALS patients also lack normal autoinhibition, and that such an allele induces neurodegeneration and neuroinflammation when expressed in the mouse nervous system. We therefore propose that hypermorphic SARM1 mutations are a candidate congenital risk factor for ALS. The mechanism by which constitutive NAD⁺ hydrolase activity would predispose to neurodegeneration appears straightforward as low NAD⁺ is a death sentence for energy-hungry neurons and is associated with both disease and aging-related functional defects³². We speculate that the contrast between virus-transfected mice that rapidly display severe degenerative phenotypes, and human ALS patients who are typically diagnosed only after several decades of life, likely reflects the difference in SARM1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

expression—i.e. viral over-expression precipitates abrupt metabolic catastrophe in this model, whereas chronic suboptimal NAD⁺ levels lead to gradual motoneuron attrition in patients. Fortunately, small molecule SARM1 inhibitors are already in development³³, and we have shown that a SARM1 dominant negative gene therapy can potently block the SARM1 programmed axon degeneration pathway in mice³⁴. Establishing that SARM1 inhibition is safe and effective in carriers of pathogenic SARM1 variants could provide a vital stepping stone toward the use of SARM1-directed therapeutics more generally for ALS and other diseases that involve axon degeneration. Methods Mice Male and female WT and Sarm1 knockout C57/BL6 mice were housed and used under the direction of institutional animal study guidelines at Washington University in St. Louis. The inverted screen test of strength was performed as previously³⁵. All protocols received institutional IACUC approval. **DRG** culture Mouse DRG culture was performed as previously described³⁶. DRG were dissected from embryonic day 13.5 Sarm1 knockout C57/BL6 mouse embryos and cells suspended in growth medium at a concentration of $\sim 7 \times 10^6$ cells/ml in 96- well tissue culture plates (Corning) coated with poly-d-Lysine (0.1 mg/ml; Sigma) and laminin (3 µg/ml; Invitrogen). For axotomy,

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

suspended neurons (2 ul) were placed as a drop in the center of each well and incubated at 37°C with 5% CO₂ for 15 min, after which media was added to each well. Lentiviral particles containing SARM1 variants were generated as previously described³⁶. Lentivirus was added (1– 10×10^3 pfu) after 1–2 days (DIV) and metabolites were extracted or assays were performed at 6–7 DIV. Cell death was quantified by assaying mitochondrial function (MTT assay), as previously described³⁷. Automated quantification of axon degeneration The axon degeneration index, the ratio of fragmented axon area over total axon area, was quantified as previously described³⁶. To quantify Annexin V staining, the Alexa FluorTM 568 conjugate (ThermoFisher) was added to the cultured neurons at a 1:100 dilution four days after viral infection. Bright field and fluorescent images were acquired one hour later using Operetta. Unbiassed image analysis was performed using ImageJ as follows: total axon area was measured from the binary bright field images after subtracting background. For Annexin fluorescent intensity measurement, the fluorescent images were background subtracted and then annexin positive area was defined using the particle analyzer. Data was reported as the total fluorescent intensity of the annexin positive area divided by the axon area. DRG metabolite extraction and metabolite measurement At DIV6, tissue culture plates were placed on ice and culture medium replaced with ice-cold saline (0.9% NaCl in water, 500 µl per well). Saline was removed and replaced with 160 µl ice cold 50% MeOH in water. Solution was transferred to tubes containing 50 µl chloroform on ice, shaken vigorously, and centrifuged at 20,000g for 15 min at 4 °C. The clear aqueous phase

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

(140 ul) was transferred into microfuge tubes and lyophilized under vacuum. Lyophilized samples were reconstituted with 5 mM ammonium formate (15 µl), centrifuged (13,000 g, 10 min, 4°C), and 10 µl of clear supernatant was analyzed. NAD⁺ and cADPR were measured using LC-MS/MS as previously described²⁶. **AAV** constructs and virus injections AAV particles with a mixture of Php.s and Php.eB capsids²⁸, containing a human SARM1 gene construct fused to EGFP, under the control of the human synapsin promoter, were produced by the Viral Vector Core of the Hope Center for Neurological Disorders at Washington University in St. Louis. Viral particles were purified by iodixanol gradient ultracentrifugation and virus titers were measured by dot blot. Under light anesthesia with Avertin, 6×10^{11} viral genomes were injected intrathecally at L6/S1. Viral expression in mice 12-weeks post injection was confirmed by detecting EGFP expression via immunohistochemical analysis of sectioned DRGs. Immunohistochemistry, imaging and quantification After perfusion with PBS followed by 4% PFA in PBS, tissues were fixed in 4% PFA in PBS for 1 h at room temperature and placed in 30% sucrose in PBS overnight at 4°C, then embedded in OCT (Tissue-Tek), frozen on dry ice, and then stored at -80°C. Longitudinal sections of 6 µm or cross-sections of 20 μm were obtained using a cryostat and slides were stored at -20°C. DRG and nerve slides were post-fixed in cold acetone, then washed with PBS. Spinal cord slides were simply washed three times in PBS. All slides were subsequently blocked with 4% BSA and 1% Triton X-100 in PBS and incubated with rat anti-CD68 (1:500; Bio-Rad) and mouse-anti-GFP conjugated to Alexa Fluor 488 (1:250; Thermo Fisher Scientific) overnight in the blocking

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

buffer. Slides were then washed, incubated in secondary antibodies (Jackson ImmunoResearch Laboratories) and mouse anti-GFP conjugated to AlexaFlour 488 (1:250, Thermo Fisher Scientific), washed, and mounted in Vectashield with DAPI. Slides were imaged using a DMI 4000B confocal microscope (Leica Microsystems) with a 20× oil objective and DFC 7000-T camera (Leica Microsystems). For quantification, at least four images were measured per animal. CD68-positive cells were counted by a researcher blinded to the images' treatment group. The total CD68-stained area and nerve area in each image was quantified with the particle analyzer in ImageJ using a uniform threshold. **TUNEL** apoptosis detection TUNEL was performed as previously described³⁸. Slides prepared for immunohistochemistry were thawed then postfixed with 4% PFA for 10 min at room temperature, washed thoroughly with PBS, incubated with 10 µg/ml proteinase K for 15 min at 37°C, then washed with PBS. A positive control slide was incubated in DNase I (1 U/ml) for 1 h at RT, then washed with PBS. Slides were then pretreated with TdT buffer (25 mm Tris-HCl, 200 mm sodium cacodylate, 0.25 mg/ml BSA, 1 mm cobalt chloride, Roche Diagnostics) at RT for 10 min. To perform endlabeling, TdT buffer was combined with terminal deoxynucleotidyl transferase (Roche Diagnostics, 400 U/slide) and Biotin-16-dUTP (Roche Diagnostics, 4 μm) and added to slides for 1 h at 37°C. Slides were thoroughly washed with PBS, then blocked for 30 min with 5% normal goat serum in PBS with 0.3% Triton-X, then incubated with Alexa-Fluor-conjugated streptavidin (Jackson ImmunoResearch Laboratories) for 30 min at 37°C. Slides were washed and mounted in Vectashield with DAPI.

Toluidine blue staining and axon quantification

- 2 Sural, sciatic and tibial nerves were fixed in 3% glutaraldehyde in 0.1 M PBS, processed and
- 3 imaged as previously described⁸. Micrographs were stitched using Leica software and axons
- 4 were counted using ImageJ. To determine axon size distribution and G ratios of the sciatic nerve,
- 5 four nonoverlapping areas per cross section were imaged with a 100× oil objective of a Zeiss
- 6 Axioskop and photographed with a Hitachi camera. Photographs were analyzed using a
- 7 previously described binary imaging analysis method³⁹.

Statistical analysis

1

8

9

12

13

- 10 Two-tailed significance is reported throughout. All statistics were calculated using the R
- software package. All data is available upon request.

14 **Abbreviations**

- 15 **AAV:** Adeno-associated virus
- 16 **ALS:** Amyotrophic Lateral Sclerosis
- 17 **ARM:** HEAT/Armadillo motif
- 18 **cADPR:** Cyclic adenosine diphosphate ribose
- 19 **CD68:** Cluster of Differentiation 68
- 20 **DRG:** Dorsal root ganglion
- 21 **EGFP:** Enhanced green fluorescent protein
- 22 MLS: Mitochondrial localization signal
- 23 MTT: 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide

- 1 **NAD:** Nicotinamide adenine dinucleotide
- 2 **NMNAT2:** Nicotinamide mononucleotide adenylyltransferase 2
- 3 **TIR:** Toll/Interleukin receptor
- 4 **TUNEL:** Terminal deoxynucleotidyl transferase dUTP nick end labeling
- 5 **SAM:** Sterile alpha motif
- 6 **SARM1:** Sterile alpha and TIR motif containing 1
- 8 **Declarations**

- 9 Ethical Approval and Consent to participate
- 10 All studies were approved by the Washington University Institutional Animal Care and Use
- 11 Committee.
- 12 Consent for publication
- 13 Not Applicable
- 14 Availability of supporting data
- 15 All data relevant to this study are contained within the article.
- 16 Competing interests
- 17 A.D. and J.M. are co-founders, scientific advisory board members and shareholders of Disarm
- 18 Therapeutics, a wholly owned subsidiary of Eli Lilly. A.J.B. and Y.S. are consultants to Disarm
- 19 Therapeutics. The authors have no other competing conflicts or financial interests.
- 20 **Funding**
- 21 This work was supported by National Institutes of Health grants (R01NS119812 to A.J.B., A.D.
- and J.M, R01NS087632 to A.D. and J.M., R37NS065053 to A.D., and RF1AG013730 to J.M.)
- 23 Authors' contributions

- 1 A.J.B, J.M and A.D designed the study. X.M performed in vitro experiments. A.S
- 2 performed in vivo experiments. Y.S assisted with mass spec analysis and method
- 3 development. A.J.B. analyzed the data. A.J.B., J.M. and A.D. drafted and edited the
- 4 figures and manuscript. All authors read and approved the final manuscript.

5 Acknowledgements

- 6 We extend our thanks to Tim Fahrner and Kelli Simburger for cloning viral constructs, to Rachel
- 7 McClarney and Cassidy Menendez for assistance with mouse husbandry and administering
- 8 behavioral tests, and to Alicia Neiner for processing LC-MS/MS samples. This work was
- 9 supported by the Hope Center Viral Vectors Core at Washington University School of Medicine.

10 **Authors' information**

11 Not Applicable

12

13

14

15

16

References

- 17 1. Figley MD, DiAntonio A. The SARM1 axon degeneration pathway: control of the NAD+
- metabolome regulates axon survival in health and disease. Curr Opin Neurobiol. 2020.
- 19 doi:10.1016/j.conb.2020.02.012
- 20 2. Gilley J, Ribchester RR, Coleman MP. Sarm1 Deletion, but Not WldS, Confers Lifelong
- Rescue in a Mouse Model of Severe Axonopathy. *Cell Rep.* 2017.
- doi:10.1016/j.celrep.2017.09.027
- 23 3. Essuman K, Summers DW, Sasaki Y, Mao X, DiAntonio A, Milbrandt J. The SARM1

- Toll/Interleukin-1 Receptor Domain Possesses Intrinsic NAD+ Cleavage Activity that
- 2 Promotes Pathological Axonal Degeneration. *Neuron*. 2017.
- 3 doi:10.1016/j.neuron.2017.02.022
- 4 4. Gerdts J, Brace EJ, Sasaki Y, DiAntonio A, Milbrandt J. SARM1 activation triggers axon
- 5 degeneration locally via NAD⁺ destruction. *Science* (80-). 2015.
- 6 doi:10.1126/science.1258366
- 7 5. Gerdts J, Summers DW, Sasaki Y, DiAntonio A, Milbrandt J. Sarm1-mediated axon
- 8 degeneration requires both SAM and TIR interactions. *J Neurosci.* 2013.
- 9 doi:10.1523/JNEUROSCI.1197-13.2013
- 10 6. Osterloh JM, Yang J, Rooney TM, et al. dSarm/Sarm1 is required for activation of an
- injury-induced axon death pathway. Science (80-). 2012. doi:10.1126/science.1223899
- 12 7. Ozaki E, Gibbons L, Neto NGB, et al. SARM1 deficiency promotes rod and cone
- photoreceptor cell survival in a model of retinal degeneration. *Life Sci Alliance*. 2020.
- 14 doi:10.26508/lsa.201900618
- 15 8. Geisler S, Doan RA, Strickland A, Huang X, Milbrandt J, DiAntonio A. Prevention of
- vincristine-induced peripheral neuropathy by genetic deletion of SARM1 in mice. *Brain*.
- 17 2016. doi:10.1093/brain/aww251
- 18 9. Henninger N, Bouley J, Sikoglu EM, et al. Attenuated traumatic axonal injury and
- improved functional outcome after traumatic brain injury in mice lacking Sarm1. *Brain*.
- 20 2016. doi:10.1093/brain/aww001
- 21 10. Ko KW, Milbrandt J, DiAntonio A. SARM1 acts downstream of neuroinflammatory and
- 22 necroptotic signaling to induce axon degeneration. *J Cell Biol.* 2020.
- 23 doi:10.1083/JCB.201912047

- 1 11. Sasaki Y, Kakita H, Kubota S, et al. SARM1 depletion rescues NMNAT1-dependent
- 2 photoreceptor cell death and retinal degeneration. *Elife*. 2020. doi:10.7554/eLife.62027
- 3 12. Huppke P, Wegener E, Gilley J, et al. Homozygous NMNAT2 mutation in sisters with
- 4 polyneuropathy and erythromelalgia. *Exp Neurol*. 2019.
- 5 doi:10.1016/j.expneurol.2019.112958
- 6 13. Gilley J, Mayer PR, Yu G, Coleman MP. Low levels of NMNAT2 compromise axon
- development and survival. *Hum Mol Genet*. 2019. doi:10.1093/hmg/ddy356
- 8 14. Figley MD, Gu W, Nanson JD, et al. SARM1 is a metabolic sensor activated by an
- 9 increased NMN/NAD+ ratio to trigger axon degeneration. *Neuron*. 2021.
- doi:https://doi.org/10.1016/j.neuron.2021.02.009
- 15. Sporny M, Guez-Haddad J, Khazma T, et al. Structural basis for SARM1 inhibition and
- activation under energetic stress. *Elife*. 2020. doi:10.7554/eLife.62021
- 13 16. Jiang Y, Liu T, Lee CH, Chang Q, Yang J, Zhang Z. The NAD+-mediated self-inhibition
- mechanism of pro-neurodegenerative Sarm1. *Nature*. 2020. doi:10.1038/s41586-020-
- 15 2862-z
- 16 17. Shen C, Vohra M, Zhang P, et al. Multiple domain interfaces mediate SARM1
- autoinhibition. *Proc Natl Acad Sci.* 2021. doi:10.1073/pnas.2023151118
- 18. Bratkowski M, Xie T, Thayer DA, et al. Structural and Mechanistic Regulation of the Pro-
- 19 degenerative NAD Hydrolase SARM1. *Cell Rep.* 2020. doi:10.1016/j.celrep.2020.107999
- 20 19. Fischer LR, Culver DG, Tennant P, et al. Amyotrophic lateral sclerosis is a distal
- 21 axonopathy: Evidence in mice and man. *Exp Neurol*. 2004.
- doi:10.1016/j.expneurol.2003.10.004
- 23 20. Clark JA, Southam KA, Blizzard CA, King AE, Dickson TC. Axonal degeneration, distal

1 collateral branching and neuromuscular junction architecture alterations occur prior to 2 symptom onset in the SOD1G93A mouse model of amyotrophic lateral sclerosis. J Chem 3 Neuroanat. 2016. doi:10.1016/j.jchemneu.2016.03.003 4 21. van der Spek RAA, van Rheenen W, Pulit SL, Kenna KP, van den Berg LH, Veldink JH. 5 The project MinE databrowser: bringing large-scale whole-genome sequencing in ALS to 6 researchers and the public. Amyotroph Lateral Scler Front Degener. 2019. 7 doi:10.1080/21678421.2019.1606244 Farhan SMK, Howrigan DP, Abbott L, et al. Enrichment of rare protein truncating 8 22. 9 variants in amyotrophic lateral sclerosis patients. bioRxiv. 2018. doi:10.1101/307835 10 23. Kenna KP, Van Doormaal PTC, Dekker AM, et al. NEK1 variants confer susceptibility to 11 amyotrophic lateral sclerosis. *Nat Genet*. 2016. doi:10.1038/ng.3626 12 24. Karczewski KJ, Francioli LC, Tiao G, et al. Variation across 141,456 human exomes and 13 genomes reveals the spectrum of loss-of-function intolerance across human protein-coding 14 genes. bioRxiv. 2019. doi:10.1101/531210 15 25. Cirulli ET, Lasseigne BN, Petrovski S, et al. Exome sequencing in amyotrophic lateral 16 sclerosis identifies risk genes and pathways. Science (80-). 2015. 17 doi:10.1126/science.aaa3650 18 26. Sasaki Y, Engber TM, Hughes RO, et al. cADPR is a gene dosage-sensitive biomarker of 19 SARM1 activity in healthy, compromised, and degenerating axons. *Exp Neurol*. 2020. 20 doi:10.1016/j.expneurol.2020.113252 21 27. Sievers C, Platt N, Perry VH, Coleman MP, Conforti L. Neurites undergoing Wallerian 22 degeneration show an apoptotic-like process with annexin V positive staining and loss of 23 mitochondrial membrane potential. Neurosci Res. 2003. doi:10.1016/S0168-

1 0102(03)00039-7 2 28. Chan KY, Jang MJ, Yoo BB, et al. Engineered AAVs for efficient noninvasive gene 3 delivery to the central and peripheral nervous systems. *Nat Neurosci*. 2017. 4 doi:10.1038/nn.4593 5 29. Igaz LM, Kwong LK, Lee EB, et al. Dysregulation of the ALS-associated gene TDP-43 6 leads to neuronal death and degeneration in mice. J Clin Invest. 2011. 7 doi:10.1172/JCI44867 8 30. Ying W, Cheruku PS, Bazer FW, Safe SH, Zhou B. Investigation of macrophage 9 polarization using bone marrow derived macrophages. J Vis Exp. 2013. 10 doi:10.3791/50323 11 31. Mueller M, Wacker K, Ringelstein EB, Hickey WF, Imai Y, Kiefer R. Rapid response of 12 identified resident endoneurial macrophages to nerve injury. Am J Pathol. 2001. 13 doi:10.1016/S0002-9440(10)63070-2 14 32. Covarrubias AJ, Perrone R, Grozio A, Verdin E. NAD+ metabolism and its roles in 15 cellular processes during ageing. Nat Rev Mol Cell Biol. 2021. doi:10.1038/s41580-020-16 00313-x 17 33. Hughes RO, Bosanac T, Mao X, et al. Small Molecule SARM1 Inhibitors Recapitulate the 18 SARM1-/- Phenotype and Allow Recovery of a Metastable Pool of Axons Fated to 19 Degenerate. Cell Rep. 2021. doi:10.1016/j.celrep.2020.108588 20 34. Geisler S, Huang SX, Strickland A, et al. Gene therapy targeting SARM1 blocks 21 pathological axon degeneration in mice. J Exp Med. 2019. doi:10.1084/jem.20181040 22 35. McGill BE, Barve RA, Maloney SE, et al. Abnormal microglia and enhanced

inflammation-related gene transcription in mice with conditional deletion of Ctcf in

1 Camk2a-Cre-expressing neurons. J Neurosci. 2018. doi:10.1523/JNEUROSCI.0936-2 17.2017 3 36. Sasaki Y, Vohra BPS, Lund FE, Milbrandt J. Nicotinamide mononucleotide adenylyl 4 transferase-mediated axonal protection requires enzymatic activity but not increased levels 5 of neuronal nicotinamide adenine dinucleotide. J Neurosci. 2009. 6 doi:10.1523/JNEUROSCI.5469-08.2009 7 37. Mao X, Moerman AM, Lucas MM, Barger SW. Inhibition of the activity of a neuronal 8 κB-binding factor by glutamate. J Neurochem. 1999. doi:10.1046/j.1471-9 4159.1999.01851.x 10 38. Sasaki Y, Hackett AR, Kim S, Strickland A, Milbrandt J. Dysregulation of NAD+ 11 metabolism induces a Schwann cell dedifferentiation program. J Neurosci. 2018. 12 doi:10.1523/JNEUROSCI.3304-17.2018 13 Hunter DA, Moradzadeh A, Whitlock EL, et al. Binary imaging analysis for 39. 14 comprehensive quantitative histomorphometry of peripheral nerve. J Neurosci Methods. 15 2007. doi:10.1016/j.jneumeth.2007.06.018 16 17 18

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

Figure Legends

Figure 1. Dysregulated SARM1 variants found in ALS patients promote **neurodegeneration.** (A) Schematic representation of the domain structure of SARM1. Constitutively-active variants are indicated above in red. Bold variants were prioritized because they were identified in multiple ALS patients or were unique to ALS patients. Δ indicates an inframe deletion. MLS, mitochondrial localization signal; ARM, HEAT/Armadillo motif; SAM, sterile alpha motif; TIR, Toll/interleukin-1 receptor homology domain. (B) cADPR and NAD⁺ levels from cultured Sarm1^{-/-} DRG neurons infected with variant human SARM1 constructs, performed in triplicate, relative to the reference SARM1 allele. (C) Neuron death as measured by the MTT assay and (**D**) axon degeneration as measured by Annexin V staining in Sarm1^{-/-} DRG neurons infected with lentivirus expressing SARM1 variant constructs as well as double mutant constructs including E642A, a point mutation that disrupts SARM1 NAD⁺ hydrolase activity, relative to the common SARM1 reference allele, all performed in triplicate. (E) Representative bright-field and Annexin V-stained images of axons from Sarm1-/- DRG cultures infected with variant and SARM1 reference allele constructs. *p<0.05; **p<0.005; ***p<0.005 difference from reference allele, two-tailed t-test. Figure 2. Motor dysfunction, neuroinflammation and axon loss in mice injected intrathecally with a SARM1^{VI84G} AAV construct. (A) Average time suspended from an inverted screen (maximum 120 seconds, performed in triplicate) for C57/BL6 mice injected with a human SARM1 reference allele (n=8) or SARM1^{VI84G} (n=7) AAV compared to uninjected

controls (n=3) 3, 9 and 12 weeks post-injection. *p<0.005 difference from both the reference

2

3

4

5

6

7

8

9

10

11

12

13

14

15

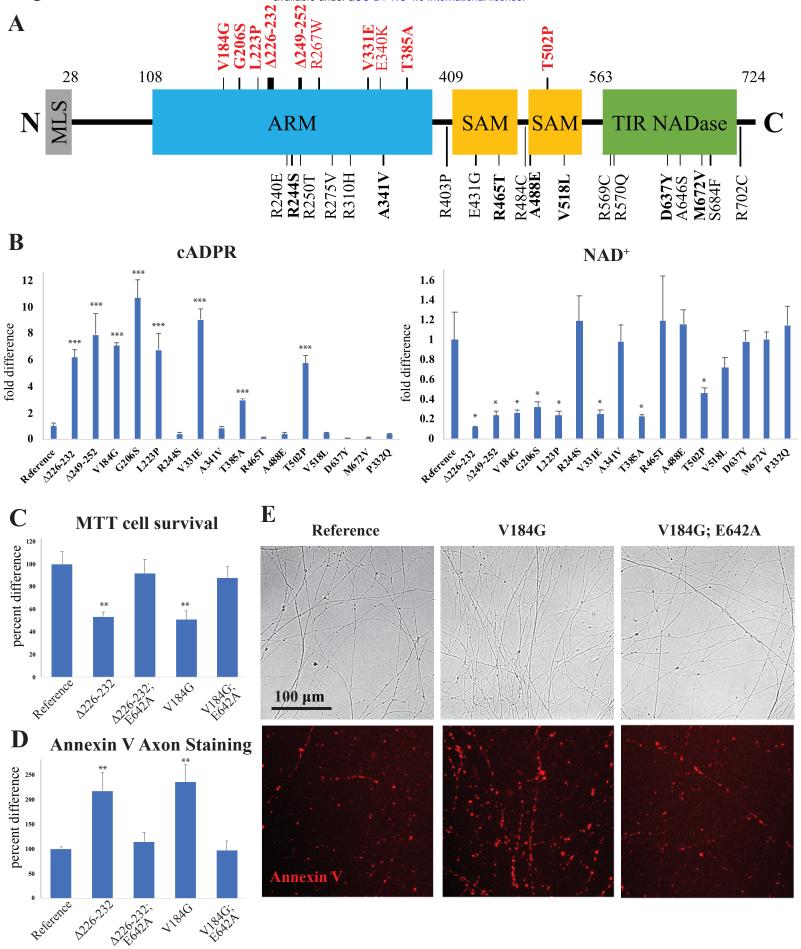
16

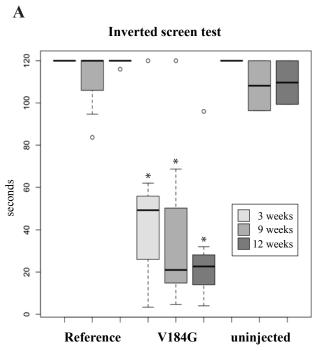
17

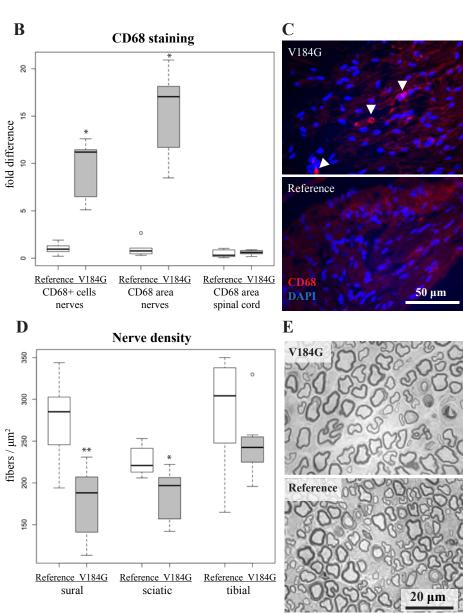
18

19

allele and uninjected controls, 2-tailed t-test. (B) The normalized average number of cells stained by the macrophage marker anti-CD68 in nerve, and the average percent area of total anti-CD68 staining in nerve and in spinal cord sections, from C57/BL6 mice injected with a SARM1^{V184G} AAV construct (3 images per mouse, n=7 mice) relative to those injected with a human SARM1 reference allele construct (n=8 mice) 12 weeks post-injection; *p<10⁻⁴, 2-tailed t-test. (C) Representative images of nerve stained with DAPI and anti-CD68 from mice 12 weeks after injection with a SARM1^{V184G} or reference allele construct. (**D**) Average fibers per cross-sectional μm² in sural, sciatic and tibial nerves from mice 12 weeks after injection with a SARM1^{VI84G} (3 images per mouse, n=7 mice) or reference allele construct (n=8 mice); *p<0.05; **p<0.001, 2tailed t-test. (E) Representative images of toluidine blue stained sural nerve sections. Additional file 1. Rapid cell death and neuroinflammation in mice injected intrathecally with a SARM1^{VI84G} AAV construct. (A) Representative images of spinal cord sections, with closeup of ependymal canal, stained with DAPI and the apoptosis marker TUNEL from mice 2 days after injection with a SARM1^{VI84G} or SARM1 human reference allele construct. (B) Representative images of spinal cord and (C) adjacent nerve sections stained with DAPI and the macrophage marker anti-CD68 from mice 2 days after injection with a SARM1^{VI84G} or reference allele construct.







A

B

