1 The genetics of assisted gene flow: immediate costs and long-term benefits

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ABSTRACT

19 Plant and animal populations are facing several novel risks such as human-mediated habitat 20 fragmentation and climate change that threaten their long-term productivity and persistence. 21 With the genetic health of many populations deteriorating due to climate change outpacing 22 physiological adaptation, human interventions in the form of assisted gene flow (AGF) may 23 provide genetic variation to adapt populations to predicted climate change scenarios and result 24 in more robust and productive populations. We ran genetic simulations to mimic a variety of AGF 25 scenarios and measured their outcomes on population-level fitness to answer the question: in 26 which circumstances is it worthwhile to perform AGF? Based on the parameters we explored, AGF may be harmful in certain situations over the short term (e.g., the first ~10-20 generations). 27 28 due to outbreeding depression and introducing deleterious genetic variation. Moreover, under 29 many parameter sets, the benefits of AGF were relatively weak or took many generations to 30 accrue. In general, when the adaptive trait is controlled by many loci of small effect, the benefits 31 of assisted gene flow take much longer to realize-potentially too long for most climate-related 32 management decisions. We also show that when translocation effort is divided across several 33 generations and outbreeding depression is strong, the recipient population experiences a 34 smaller decrease in fitness as compared to moving all individuals in a single effort. Importantly, 35 in most cases, we show that the genomic integrity of the recipient population remains relatively 36 intact following AGF; the amount of genetic material from the donor population typically ends up 37 constituting no more of the recipient population's genome than the fraction introduced. Our 38 results will be useful for conservation practitioners and silviculturists, for instance, aiming to 39 intervene and adaptively manage so that populations maintain a robust genetic health and 40 maintain productivity into the future given anthropogenic climate change.

- 42 **Keywords**: local adaptation, assisted gene flow, conservation genetics, outbreeding depression,
- 43 assisted migration, translocation

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INTRODUCTION

45 Natural populations are currently facing a multitude of anthropogenic threats, such as climate 46 change and habitat loss, alteration, and fragmentation, that lead to fitness reductions and 47 population declines (Klenner and Arsenault, 2009; Pacifici et al. 2017). In many species, a once 48 suitable environment becomes of lower quality and the local population is either forced to 49 migrate, adapt, exhibit phenotypic plasticity, or become extinct (Hamilton and Miller 2016). Many 50 populations cannot easily adjust to climate change because migration to suitable habitat is not 51 possible due to habitat fragmentation and the lack of suitable habitat corridors (Hoffman and 52 Sgrò 2011). Phenotypic plasticity may provide temporary relief (Levis and Pfennig 2016). 53 However, rapid anthropogenically-based climate change is outpacing the natural process of 54 environmental adaptation through natural selection (Gonzalez et al. 2013). 55 Natural selection allows populations to adapt to environmental conditions, but adaptation 56 requires genetic variation that may be lacking in certain populations. Particularly when 57 environmental conditions are changing rapidly, populations may experience a lag in adaptation 58 to the environmental conditions they experience, and thereby suffer fitness reductions (e.g., 59 Browne et al. 2019). Recently, human activities have caused the climate to change particularly 60 fast (Stocker et al. 2014). As a consequence of changing climates and environments, an 61 increasing number of populations experience an evolutionary lag and struggle with the pace of 62 environmental change (e.g., Uecker et al. 2014; Wilczek et al. 2014; Radchuk et al. 2019; 63 Klausmeier et al. 2020).

Many commercially relevant species such as crop plants and trees for timber production have
been studied for their capacity to adapt to changing climates (Anderson 2016). For instance,
Wang et al. (2010) showed that greater harvests of lodgepole pine (*Pinus contorta*), a
commercially harvested tree species in British Columbia, Canada, could be achieved when

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68 planting efforts account for genetic and predicted climatic effects. Similarly, many marine 69 organisms of economic importance for harvesting or ecotourism, such as coral reefs, are also 70 under threat due to ocean acidification and rising temperatures resulting from acute and severe 71 episodic ocean-climate events (Baker et al. 2008). In some systems, natural populations show 72 differential responses to bleaching (Hughes et al. 2003), suggesting that gene flow from other 73 populations could be beneficial for those populations suffering more severely from bleaching 74 events. Indeed, genomic data and biophysical modeling for a coral in the Great Barrier Reef 75 suggest that gene flow from lower to higher latitude populations can provide beneficial heattolerant alleles as the climate warms (Matz et al. 2018), in spite of moderate levels of selection 76 77 against migrants in other coral systems (Kenkel et al. 2015). In terrestrial populations, gene flow 78 from populations in drier regions could help populations in more mesic regions that are predicted 79 to experience a higher frequency of droughts via climate change (e.g., Exposito-Alonso et al. 80 2018). However, natural gene flow may not always be possible because of natural dispersal 81 barriers or anthropogenic habitat fragmentation. Over recent decades, habitat fragmentation has 82 increased considerably, both in terrestrial (Haddad et al. 2015) and aquatic (Grill et al. 2019) 83 habitats, likely often inhibiting the natural process of adaptation via gene flow.

84 Assisted gene flow (AGF), i.e., human-mediated translocation of individuals from other 85 populations to pre-adapt a population to a changing environment, has been proposed as a way 86 to introduce genetic variation into populations experiencing fitness declines via maladaptation 87 (Aitken and Whitlock 2013; Uecker et al. 2014; Tomasini and Peischl 2020). AGF is a specific 88 type of assisted migration. Assisted migration includes translocations both within and outside of 89 species' ranges but is typically focused on movement of individuals outside of current species' 90 ranges, whereas AGF refers to translocations among existing populations. Although related 91 concepts, a distinction should be made between AGF, genetic rescue, and evolutionary rescue. 92 Genetic rescue is an increase in the fitness of small populations owing to the immigration of new

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93 alleles (Tallmon et al. 2004), and is generally considered to occur when population fitness 94 increases by more than what can be attributed to the demographic contribution of immigrants 95 (Ingvarsson 2001). Thereby, genetic rescue alleviates the deleterious consequences of 96 inbreeding in small populations. Evolutionary rescue occurs when a population adapts, through 97 natural selection, to a changing environment and results in demographic stabilization, population 98 persistence and rescue from extinction (Bell 2013). Thus, evolutionary rescue is typically invoked 99 in large populations and includes adaptation to a changing environment, whereas genetic rescue 100 occurs in small populations by reducing inbreeding depression and promoting heterosis.

101 While the intended consequences of genetic or evolutionary rescue are to prevent a population 102 from going extinct. AGF aims to prevent extinction of threatened species or to promote 103 productivity in a species of economic importance (e.g., Aitken and Bemmels 2016). AGF is 104 typically invoked as a management action when a population is no longer adapted to its 105 environment. To achieve this goal, individuals harboring pre-adaptive alleles (alleles that cause 106 local adaptation to a particular environmental trait) are translocated to rescue an imperiled 107 population (Aitken and Whitlock 2013). Even if their environment has been stable, small 108 populations that suffer from inbreeding depression and fixed deleterious genetic variation may 109 benefit from genetic rescue via AGF (Gaggiotti and Hanski 2004).

110 The introduction of genotypes from foreign sources poses its own risks. For instance, genetic 111 swamping resulting from "hybridization" between distinct populations can lead to the loss of a 112 population's genetic integrity and potentially genomic extinction (Todesco et al. 2016). 113 Additionally, individuals from foreign populations may carry alleles which are maladaptive under 114 the local conditions or that may create outbreeding depression in combination with local alleles. 115 Locally deleterious variation could be responsible for adaptation to environmental dimensions 116 other than the ones of interest (e.g., Etterson and Shaw 2001). For example, AGF may be 117 planned with the aim of aiding adaptation to warming temperatures, but the source or "donor"

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118 population may be adapted to a different seasonal cycle and thus exhibit a phenology that is 119 incompatible with the conditions at the new location. Similar differences between the 120 environments of the source and recipient populations may exist in precipitation, exposure to the 121 weather, or other circadian and circannual (day length, seasonality) traits. Furthermore, although 122 populations may be selected for their ability to handle warmer temperatures, light limitations from 123 lower latitude populations may restrict their use in poleward assisted migrations (Wadgymar et 124 al. 2015; Huffeldt 2020). Finally, because alleles sometimes interact poorly with alleles from 125 other populations (outbreeding depression), some alleles that work well in one genetic context 126 can cause fitness declines in a novel genetic context (Templeton et al. 1986, Frankham et al. 127 2011). Such locally deleterious alleles will initially be in strong linkage disequilibrium with the 128 introduced beneficial alleles, making them even more potentially consequential. For reasons 129 such as this, it is important to take into consideration the level of population relatedness and 130 environmental differences between donor and recipient populations, but it is currently unclear 131 how these negative effects can reverse or ameliorate the benefits of AGF.

132 Conservation managers have several practical decisions to make when considering whether to133 invoke assisted gene flow or not:

Migration effort—how many individuals to translocate? Translocating a high number of
 individuals to increase the odds of allele frequency change in the recipient population
 could come at a high financial cost. Moreover, the resulting high proportion of foreign
 individuals may dilute or even completely replace the "native" composition of the recipient
 gene pool. Conversely, adding too few individuals might not introduce the pre-adaptive
 alleles at a high enough frequency to remain in the population.

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140	Translocation strategy—translocate all individuals at once, or over several generations (a	
141	"pulsed" strategy)? Will a pulsed translocation effort spread across several generations	
142	ease the transition of translocated individuals into a foreign ecosystem?	
143	Fitness reductions—does the long-term gain outweigh the short-term loss? Outbreeding	
144	depression and maladaptive alleles can lead to a sharp reduction in population-level	
145	fitness following translocation; is this "fitness valley" so deep that it can impair fitness	
146	recovery and potentially lead to local extinction, or shallow enough to be transcended	
147	and ultimately lead to long-term improvements in fitness?	
148	In this study, we investigated under which genetic circumstances it is worthwhile to perform	
149	assisted gene flow using forward-in-time individual-based simulations. We assessed the	
150	combined effect of alleles that are pre-adapted to a changing climatic variable (i.e., lead to local	
151	adaptation), maladaptive alleles (i.e., alleles that are fixed in the donor population but deleterious	
152	in the recipient population), and alleles that cause outbreeding depression through the	
153	breakdown of epistatic interactions. We simulated various genetic architectures of these traits	
154	that have positive ("pre-adaptation") and negative (maladaptation, outbreeding depression)	
155	consequences on the fitness of the recipient population. We then tracked population mean	
156	fitness over time to determine how different genetic architectures affected the short- and long-	
157	term fitness of the recipient population. We end by discussing the implications of these results	
158	for natural resource managers and conservation practitioners.	

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MATERIALS & METHODS

We conducted population genetic simulations using SimBit v4.9.30 (Matthey-Doret 2020). A
glossary of terms and default parameter values for variables are listed in Table 1. We simulated
the translocation of individuals from a donor population into a recipient population of either 1,000
or 10,000 diploid individuals. Individuals from the donor population were fixed for alleles that

164 were pre-adaptive or maladaptive in the recipient population (see below). We modelled a 165 translocation fraction (T_f) of either 5% or 50% (simulation results of $T_f = 0.5\%$ are available in the 166 Supplement). Although a translocation fraction of 50% may be unrealistic in certain scenarios, it 167 serves as an extreme example to help visualize trends of the impact of translocation effort on 168 fitness and the maintenance of local genetic identity. We modelled selection on fecundity so the 169 first round of selection in all simulations occurred at the time that generation 1 was produced. 170 Individuals were translocated in a single pulse or in five pulses separated by either 1, 2, or 4 171 generations, each pulse representing 20% of the total number of individuals translocated. After 172 the introduction, the simulated recipient population evolved for 100 generations.

173 Our simulations modelled alleles that were both locally pre-adapted and maladapted to the 174 climate experienced by the recipient population. We modelled alleles that were selectively 175 favored in the donor population (and therefore fixed there). Some of these alleles would be 176 adaptive in the new environment of the recipient population ("pre-adapted alleles") and other 177 alleles have low fitness in that new environment ("maladaptive alleles"). We assumed that these 178 alleles were absent in the recipient population until they were introduced by AGF. We 179 parameterized the selective effects of pre-adaptive and maladaptive alleles by focusing on the 180 overall effect of 0, 1, 5 or 50 selected loci. If an individual in the recipient population was 181 homozygous for all pre-adaptive alleles, its relative fitness would be increased by Δ_{PA} . Similarly, 182 if an individual in the recipient population was homozygous for all maladaptive alleles, its relative 183 fitness would be decreased by Δ_{MA} . We simulated cases with Δ_{PA} of 10% or 50% and Δ_{MA} = 184 ~9.1% and 50%. Note that these Δ_{PA} and Δ_{MA} values represent the maximum change in fitness, 185 e.g., when an individual is homozygous for all pre-adaptive or maladaptive alleles. As a 186 consequence, for a given Δ_{PA} or Δ_{MA} , the higher the number of loci, the lower the selection 187 coefficient per locus. The values were chosen so that Δ_{PA} of 10% will compensate $\Delta_{MA} \approx 9.1\%$ in 188 individuals homozygous for either all PA or MA alleles. The dominance coefficient was set to 0.5

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for PA loci and 0.5 for MA loci (0 dominance for MA loci is shown in the SupplementaryMaterial).

191 Outbreeding depression poses an additional genetic risk of translocating individuals from other 192 populations (Frankham et al. 2011). For example, epistatic interactions among pairs of loci may 193 considerably reduce the fitness of some double homozygotes (Orr 1995, 1996). At equilibrium, 194 these strongly deleterious double homozygotes rarely occur in a population. However, they may 195 occur more commonly in individuals with mixed ancestry from differentiated populations. 196 Outbreeding depression (OD) was modelled by simulating pairs of loci with additive-by-additive 197 epistasis. An individual homozygous for either foreign or local alleles at both loci in a pair had 198 fitness of $1 + s_{OD}$ relative to the double heterozygote (double heterozygote fitness = 1). 199 Individuals homozygous for local alleles at one locus and foreign alleles at the other had a 200 fitness of $1 - s_{OD}$ relative to the double heterozygote. All other genotype combinations (i.e., at 201 least one heterozygote in a pair) had a fitness of 1.0. We modelled either 0, 2, 10, or 100 pairs of 202 such epistatic OD loci.

203 Following Aitken & Whitlock (2013), we parameterized outbreeding depression by focusing on 204 the overall fitness reduction across all pairs of loci. Individuals heterozygous for all epistatic pairs 205 had a fitness reduction of Δ_{OD} relative to the fittest homozygotes. We simulated cases where 206 outbreeding depression resulted in fitness reductions of either Δ_{OD} = 20% or 60% in 207 heterozygotes relative to the fittest double homozygotes. By way of illustration, when simulating 208 Δ_{OD} = 20% across 2 pairs of epistatic loci, $s_{OD} \approx 0.1180$, for 10 pairs $s_{OD} \approx 0.0226$, and for 100 209 pairs $s_{OD} = 0.0022$. A fitness reduction of 60% resulted from 2 pairs of epistatic loci with $s_{OD} \approx$ 210 0.5811, 10 pairs with $s_{OD} \approx 0.0960$, or 100 pairs with $s_{OD} \approx 0.0092$. Though likely not 211 indicative of intraspecific population pairings, a Δ_{OD} of 60% illustrates an extreme case to 212 visualize the effect of outbreeding depression on fitness.

For the simulation results with PA and MA loci presented in the main text, we assumed
multiplicative fitness effects among loci and additive interactions between alleles within loci.
Fitness effects of OD loci pairs also interact multiplicatively with all other pairs and the PA and
MA loci. All loci were randomly distributed onto 10 chromosomes of 10 centimorgans each with a
uniform recombination rate. Thus, pairs of loci causing outbreeding depression were randomly
distributed in the genome and therefore often not closely linked.

219 Local genomic replacement (LGR) may occur as introduced haplotypes spread to fixation in the 220 recipient population, replacing local genetic diversity. Given the concern that an increase in local 221 fitness may be due to a proportionate change in non-local ancestry (e.g., Harris et al. 2019), we 222 measured local genomic replacement by including 100 neutral loci in all simulations that were 223 reciprocally fixed in the source and recipient populations; these were placed at random along the 224 genome. At every generation of a simulation run, we calculated the mean allele frequency 225 across all the neutral markers to obtain a measure of the average proportion of alleles in the 226 local population that derived from alleles introduced during AGF. Our measure of LGR therefore 227 varies from 0.0 to 1.0, where 1.0 indicates that all neutral alleles in the recipient population are 228 derived from the donor population.

For each combination of all parameter values summarized in Table 1, we performed 50 simulation replicates on a total of 1,536 unique parameter combinations. We measured relative fitness in the recipient population and calculated the mean fitness across replicates. We used the mean frequency of neutral alleles as a measure of local genomic replacement.

233 Data availability statement

Code to perform and analyze the simulations as well as to plot the results are available at <u>https://github.com/TBooker/Assisted-Gene-Flow</u> and in the Dryad data repository (link to be added upon acceptance for publication). The results of all our simulations can be visualized

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using a Shiny App (https://shiney.zoology.ubc.ca/whitlock/AGF/), the code for which is availablein the github repository.

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RESULTS

240 One of the goals of assisted gene flow is to provide populations with alleles that may help them 241 cope with a changing environment. In the absence of maladaptive alleles or outbreeding 242 depression, introducing pre-adaptive alleles always increased the fitness of recipient 243 populations, as expected (Fig. 1A, black lines, left column). For natural systems, though, 244 outbreeding depression and/or maladaptive alleles may be difficult to identify, which motivated 245 us to examine a wide variety of parameter combinations. Here, we show the results from 10.000 246 individuals, but the results for 1,000 individuals were qualitatively similar and are available for 247 exploration in the Shiny App. Furthermore, simulations showed little inter-replicate variation (Fig. 248 S1), so we present our results as the means of 50 simulation replicates per parameter 249 combination.

250 Under a variety of outbreeding depression and maladaptation scenarios, we found that there 251 was an initial reduction in relative fitness after a translocation replacing $T_f = 5\%$ of the recipient 252 population, but the recipient population fitness typically recovered within 100 generations, and 253 often within 20 generations (Fig. 1A upper half; Fig. S2). This was true across a range of 254 different selection strengths and also for $T_f = 0.5\%$ (Fig. S3). However, with the high value $T_f =$ 255 50%, fitness recovery was often guite delayed (Fig. 1A lower half) and did not always recover to 256 pre-translocation levels within 100 generations when OD was > 0% and maladaptation was 257 weak (Fig. S3). The effect of genetic incompatibilities on fitness mainly depended on the total 258 effect across all loci rather than the number of pairs of epistatic alleles. For instance, in cases 259 with high migration ($T_f = 50\%$), scenarios with outbreeding depression of 20% frequently took

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260 longer to fully recover than with outbreeding depression of 60%, regardless of genetic261 architecture (Fig. 1A; Fig. S3).

262 The dynamics of fitness change after assisted gene flow were driven in part by the total strength 263 of selection acting on pre-adaptive alleles (Δ_{PA}). In the cases where the overall fitness benefit of 264 pre-adaptive alleles was greater than or equal to the effect of maladaptation, the deleterious 265 alleles were purged and the pre-adaptive alleles rose in frequency, increasing population mean 266 fitness. The presence of maladaptation did not prevent pre-adaptive alleles from rising in 267 frequency and increasing population mean fitness-(Figs. 1A, S2 and S3). However, when 268 maladaptation was stronger than the fitness benefit of the pre-adaptive alleles, the translocated 269 individuals were purged and the assisted gene flow had negligible long-term fitness 270 consequences for the recipient population.

The fitness consequences of the interplay between positive and negative selection were dependent on the number of individuals moved (translocation fraction). When the translocation fraction was 5% or smaller (i.e., 0.5%), a stronger selection on maladaptive alleles (Δ_{MA}) led to slower fitness recoveries and with less ultimate increases in fitness, as mentioned above (Figs. 1A, S2 and S3). In the cases of T_f = 50%, increasing selection strength on pre-adaptive alleles led to fewer generations of greatly reduced fitness when selection is weak on maladaptive alleles (Δ_{MA} = 0).

The magnitude of population-level fitness increase due to assisted gene flow was highly dependent upon the genomic architecture underlying the traits of interest. Specifically, the strength of selection on pre-adaptive alleles had a noticeable impact on how long it took for mean fitness to increase (Fig. 2). When there was only a single large-effect pre-adaptive allele, fitness increased to the maximum possible value within ~50 generations. However, fitness gains occurred much more slowly with genetic architectures that had more loci of weaker effect.

In contrast to the pre-adaptive alleles, the architecture of maladaptation and outbreeding depression had relatively little effect on long-term population fitness, when the translocation fraction is small (Figs. 2, S2). However, for a given strength of outbreeding depression and Δ_{MA} , the mean fitness was slightly higher in cases with fewer outbreeding depression pairs and/or maladaptive alleles with larger effects, even though the total strength of selection was equal regardless of the number of loci (Fig. S2).

290 One possible concern surrounding assisted gene flow is the replacement of native genetic 291 variation in the recipient population. We measured local genomic replacement (LGR) by 292 calculating the proportion of donor population ancestry in the recipient population at neutral sites. 293 We observed that local genomic replacement varies over time, but it will be less than the fraction 294 of individuals translocated (T_f) when maladaptation is strong (Figs. S4 and S5). When 295 maladaptation was comparatively weak ($\Delta_{MA} < 10\%$), the strengths of outbreeding depression, 296 pre-adaptive, and maladaptive alleles determined whether LGR was greater than T_f (Figs. 1B, 297 S4).

298 The interaction of outbreeding depression and adaptation was highly dependent on the 299 proportion of translocated individuals. For instance, when translocating a modest number of 300 individuals ($T_f = 5\%$, shown in Fig. 1B), local genomic replacement was highest when the effects 301 of positive selection (Δ_{PA}) outweigh negative selection (Δ_{MA} and Δ_{OD} ; Fig. 1B). Qualitatively 302 similar results were obtained with a lower translocation fraction ($T_f = 0.5\%$) and are presented in 303 the Supplementary Materials (Fig. S5). When the translocation fraction was very large (T_f = 304 50%), local genomic replacement exceeded the translocation fraction value when Δ_{MA} was low 305 and Δ_{OD} was high, presumably because with 50% introduction, the outbreeding depression loci 306 are exactly at a fitness saddle and genetic hitchhiking from the PA alleles causes an increase in 307 introduced OD alleles, leading to resolution of those loci towards introduced alleles. When the 308 selection strengths on pre-adaptive and maladaptive alleles exactly equaled each other (Δ_{PA} =

309 10% and $\Delta_{MA} = ~9.1\%$, or both equal 0.0), a stable equilibrium was reached and LGR remained 310 at 0.5 for all outbreeding depression levels (Fig. 1B). These results were independent of 311 dominance patterns of maladaptive loci and the number of pre-adaptive, maladaptive and 312 outbreeding depression loci (Figs. S6, S7).

313 Our simulations included scenarios where the total number of introduced individuals was divided 314 among five pulses introduced at five evenly spaced time points. We found that this pulsed 315 migration resulted in a lower fitness reduction (e.g., relatively higher fitness) than when 316 translocating all individuals in a single event. Indeed, we found that, when $\Delta_{OD} = 60\%$, the fitness 317 reduction experienced by populations was about half that of a single translocation event (Fig. 318 3A); this same effect was seen with Δ_{OD} = 20%, but to a lesser extent (Fig. 3A). In general, the 319 architecture of loci contributing to outbreeding depression (e.g., number of pairs of loci) had less 320 of an impact on fitness and local genomic replacement than the overall strength of outbreeding 321 depression (Figs. S8, S9). Additionally, with outbreeding depression, local genomic replacement 322 (LGR) was lower in pulsed scenarios in the short-term given the smaller number of individuals 323 introduced at each event and the frequency of introductions (Fig. 3B). It is important to note, 324 however, that although pulsing may decrease the minimum fitness experienced (Fig. 3C). 325 relative fitness may stay decreased for a longer period of time in comparison to when all 326 individuals are moved at a single time (Figs. 3A, S8). After the short-term effects of pulsing have 327 subsided, both local genomic replacement and relative fitness values of single translocation or 328 pulsed translocation events converge on the same values after approximately 30 generations 329 (Fig. 3A).

In absence of outbreeding depression, introducing foreign individuals over many generations in a "pulsed" manner did not offer any measurable benefit over translocating all individuals at once (Δ_{OD} = 0%; Fig. 3C). Overall, changing the frequency of translocation events—whether every one, two, or four generations—offered marginal decreases in the amount of overall population

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334 fitness reduction. In these cases, considering the organismal generation time will be important—

from a practical, applied perspective—in determining the frequency of translocation events.

336 Specifically, it may be impractical to spread out translocation efforts across decades for species

337 with long generation times.

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DISCUSSION

We have found that assisted gene flow can in some cases be a useful and powerful tool for
conservation and production management. However, in many cases the advantages are small or
take several generations to accrue, and the disadvantages of AGF caused by outbreeding
depression and the introduction of locally maladapted alleles may have short-term
consequences that need to be overcome.

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Assisted Gene Flow Leads to Modest Increases in Fitness in the Short Term

345 Assisted gene flow, while sometimes causing a reduction in fitness immediately following 346 translocation, often increases population-level fitness in the long term. However, even in most 347 beneficial scenarios, AGF does not often provide measurable benefits in the short term (e.g., the 348 first ~10 generations following translocation). In general, the exact fitness response resulted 349 from a complex interplay between selection on loci with deleterious (maladaptive alleles and 350 outbreeding depression loci) and beneficial (pre-adaptive alleles) genetic variation and their 351 genomic architectures. The number of individual migrants (translocation fraction) had a 352 significant impact on both the fitness response and amount of genome replaced in the recipient 353 population. Specifically, both positive and negative fitness effects were exaggerated in the cases 354 of higher migration levels. When the translocation effort was divided into discrete "pulses" across 355 generations as opposed to a single translocation event, fitness reductions and genomic 356 replacement were mitigated (particularly when outbreeding depression was strong).

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357 Our results indicate that the conservation outcomes of AGF may be fairly modest. In our 358 simulations, we assumed a rather extreme situation where all fitness-affecting alleles were 359 reciprocally fixed in the donor and recipient populations. While extreme, reciprocal fixation 360 allowed us to understand the maximum effect that AGF may have on population mean fitness. In 361 many of the cases we tested, we found that population mean fitness had not appreciably 362 increased even 40 generations after AGF, particularly when there were many pre-adapted loci of 363 small effect (Figs. 1, 2, and S2A). With a translocation fraction of 5% or less, AGF was only 364 effective when there was an oligogenic architecture of adaptation (i.e., 1 or 5 preadapted alleles) and a 50% fitness difference between the recipient and donor populations. If conservation 365 366 practitioners are considering AGF as a management tool to buffer populations against the effects 367 of anthropogenic climate change, an understanding of the genetic architecture of adaptation 368 would be very useful. The effects of AGF on population mean fitness in long-lived species such 369 as trees or corals, many of which are reported to have generation times in excess of decades 370 (Babcock 1991; Howe et al. 2008), may be too slow to help populations cope with rapidly 371 changing climates.

372

The Genomic Architecture of Adaptation and Maintaining Local Identity

373 We examined the fitness effects resulting from different genomic architectures of three types of 374 loci: pre-adaptive alleles, maladaptive alleles, and those causing outbreeding depression. In 375 addition to modifying the number of these loci across the genome, we also varied their total 376 effect. A key finding of our analysis is that the long-term fitness outcome of AGF is highly 377 dependent upon the architecture of pre-adaptive alleles. Fitness gains were rapid when the 378 selected trait is controlled by one or few loci of large effect. Conversely, when the trait is 379 controlled by many loci of small effect, fitness gains were very slow and of limited benefit, even 380 when the total possible benefit for pre-adaptive loci was the strongest (50 pre-adaptive loci and 381 Δ_{PA} = 50%; Figs. 2 and S2). A similar result was found when examining the effects of genetic

architecture of heat tolerance in coral, where simulated populations went extinct more quickly
and had higher reductions in population size when thermal tolerance was controlled by many loci
(Bay et al. 2017).

385 Regarding this point, it is important to understand the specific causes of fitness gains resulting 386 from AGF. When translocating beneficial mutations only, an initial increase in fitness of the 387 recipient population results from the translocation itself as the population now includes 388 individuals with novel beneficial alleles. Subsequent fitness gains following this initial "fitness 389 bump" result from selection. The change in fitness due to selection will be directly proportional to 390 the magnitude of the selective effect on the loci controlling the trait for the following reason. 391 Fisher's fundamental theorem states that the change in fitness Δ_W is equal to the additive 392 genetic variance in fitness V_A (Fisher 1930; Grafen 2018). Assuming random mating, the additive 393 genetic variance equals

$$V_A = 2p(1-p)\alpha^2,$$

with *p* being the allele frequency, and α representing the slope of a regression of genotypic value on allele count (Falconer 1985; Falconer and Mackay 1996 [Fig. 7.2 therein]). Without dominance effects and with *s* being the single locus homozygous selection coefficient, this slope is $\frac{s}{2}$, and thus

399
$$V_A = 2p(1-p)\left(\frac{s}{2}\right)^2$$
,

400
$$V_A = \frac{p(1-p)s^2}{2}$$

401 In our calculations, we held the total possible fitness benefit constant, meaning that we modelled 402 cases with either few large-effect loci or many small-effect loci. In this case, the value of *s* 403 decreases with the number of loci n_{PA} for a given total effect Δ_{PA} . Although in our simulations all

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404 loci interact multiplicatively, we use the approximation of additivity in the following calculations.

405 The approximation suffices for the illustrative purpose here. With that approximation,

$$406 s \cong \frac{\Delta_{PA}}{n_{PA}}.$$

407 Given that we assume that the relevant alleles are reciprocally fixed in the recipient and donor

408 populations, the initial allele frequency p equals the translocation fraction T_f , and thus

409
$$V_A = \frac{T_f (1 - T_f) \left(\frac{\Delta_{PA}}{n_{PA}}\right)^2}{2},$$

410
$$V_A = \frac{T_f (1 - T_f) \Delta_{PA}^2}{2 n_{PA}^2}$$

411 In the absence of gametic phase disequilibrium, total V_A is the sum of the contributions from

412 different loci (Falconer and Mackay 1996, pg. 132):

413
$$V_A = \frac{n_{PA} T_f (1 - T_f) \Delta_{PA}^2}{2 n_{PA}^2},$$

414
$$V_A = \frac{T_f (1 - T_f) \Delta_{PA}^2}{2 n_{PA}}.$$

415 Gametic phase disequilibrium will increase additive genetic variance particularly in the early

416 generations in our simulations (Lynch and Walsh 1998, pg. 102). However, our simulations show

417 that this effect is not strong enough to affect the conclusion that the change in fitness decreases

418 when an increasing number of loci are responsible for a given cumulative effect.

419 It follows that the change in fitness is slower for traits controlled by many loci of small effect,

420 because additive genetic variance is smaller for these traits. An important result therefore is that

421 for such traits, AGF is not likely to confer practically relevant benefits within a few generations for

the range of parameters simulated here. On the other hand, simulations using assisted gene flow to pre-adapt *Acropora* coral to a warming climate showed that translocating as few as 10 migrants per year (with population sizes of fewer than 1,000 individuals, 114 SNPs associated with thermal tolerance, and no maladaptive loci) increased population sizes within ~30 years, approximately 10 *Acropora* generations, of migration onset (in relation to no AGF; Bay et al. 2017).

428 The result that fitness gains are slow for traits controlled by many loci of small effect is an 429 important consideration when implementing AGF, given that empirical studies have shown 430 climate-related traits are often controlled by many small-effect loci (e.g., Rose et al. 2018; Fuller 431 et al. 2020). For instance, drought tolerance in European populations of Arabidopsis thaliana 432 was shown to be polygenic and associated with 151 SNPs (Single Nucleotide Polymorphisms; 433 Exposito-Alonso et al. 2018). Similarly, tolerance to warm marine temperatures in Acropora 434 corals was shown to be associated with variation at 114 SNPs (Bay and Palumbi, 2014). 435 Although managers without genomic resources are at a disadvantage, it bears reminding that 436 AGF rarely results in long-term fitness declines (Fig. S2). Furthermore, other simulations have 437 shown little difference in the outcome of AGF when thermal tolerance is controlled by more than 438 ca. 150 loci (Bay et al. 2017). Thus, a rough estimate of a trait's genetic architecture or an 439 estimation based on related species or similar traits may under some circumstances already be 440 helpful. We also observed that the overall selection strength on maladaptive alleles (Δ_{MA}) had a 441 larger effect on fitness than the number of maladaptive alleles; the same pattern was seen in 442 outbreeding depression loci (Fig. S2).

One concern that AGF raises is the loss of local genetic identity in the recipient population due to
genetic swamping from the donor population, a process we term "local genomic replacement".
Through the process of AGF, some fraction of the local genome will come to be derived from
outside sources, representing a change from the natural state of the population. In extreme

cases, "hybridization" between donor and recipient populations could lead to the recipient
population's genomic extinction and therefore the loss of its genetic integrity (Todesco et al.
2016). Maintaining such genetic integrity (e.g., Hauskeller 2008) may be more important in some
conditions (conservation) than others (optimizing resource extraction), but the case-by-case
need for maintaining genetic integrity is a philosophical question beyond what we consider here
(but see Rohwer and Marris 2015 for an in-depth treatment of this topic).

453 In the cases we simulated, the amount of local genomic replacement was largely a function of 454 translocation fraction (T_t). Under likely more realistic levels of translocation ($T_t \le 5\%$), the amount 455 of local genomic replacement was typically less than the translocation fraction. However, this 456 replacement of local genetic variation by translocated alleles is greatest when there are the 457 greatest fitness benefits of AGF. The exceptions were the combinations of weak total selection 458 strength on maladaptive alleles ($\Delta_{MA} < 10\%$) while selection was strong on pre-adaptive alleles 459 $(\Delta_{PA} = 50\%)$; Fig. S5). When a large number of individuals was translocated ($T_f = 50\%$), the 460 amount of genomic turnover exceeded 80% in some cases (Fig. S5). It is important to note, 461 however, that such a large translocation fraction is likely not realistic in a management scenario. 462 In large populations, it is likely unfeasible to move so many individuals, and in small populations 463 where this fraction can be achieved, inbreeding depression will likely become an issue and the 464 results here may be inapplicable. In some real-world cases, such as reforestation of harvested 465 sites following clearcutting, many millions of individuals may be replanted across a species 466 range in a year. In British Columbia, for example, 259 million trees of various species were 467 replanted in 2016 (https://news.gov.bc.ca/factsheets/factsheet-reforestation-in-bc), so a 468 simulated translocation fraction of 50% helps illustrate fitness trends for management 469 considerations.

22

Pulsed Translocation Efforts

471 We examined whether dividing the translocation effort into discrete "pulses", here represented as 472 20% of the total number of individuals to be moved in five separate events, had an effect on the 473 recipient population's fitness response. Overall, in comparison to translocating all individuals in a 474 single effort, pulsing alleviated some of the negative fitness effects resulting from assisted gene 475 flow. In particular, translocating individuals every four generations resulted in marked decreases 476 in fitness reductions (e.g., relatively higher fitness) compared to more frequent pulses or a single 477 migration (Fig. 3C). As new deleterious genotypes are introduced in each pulse, selection 478 reduces their frequency over the subsequent generations until the next pulse of individuals 479 arrives. This pattern, however, is largely, but not exclusively, driven by scenarios with high levels 480 of outbreeding depression (60%). Such high levels of genomic incompatibilities via epistatic 481 interactions are not likely to result from inter-population matings within a species; this level of 482 outbreeding depression is more likely to result from interspecific matings and therefore not 483 applicable to many cases where AGF may be implemented. However, the potentially unrealistic 484 value of outbreeding depression demonstrates an extreme and shows a trend of its effect on 485 fitness.

486 The genomic architecture of loci causing outbreeding depression also interacted with pulsing the 487 translocation effort. In general, the overall strength of outbreeding depression (Δ_{OD}) had a larger 488 effect on the resulting fitness than the number of pairs of outbreeding depression loci (Fig. S8). 489 Nonetheless, within a given strength of outbreeding depression, populations with fewer pairs of 490 outbreeding depression loci experienced faster gains in fitness and higher levels of local 491 genomic replacement (Fig. S8). This is likely because selection can more easily remove 492 individuals from the population with fewer pairs of outbreeding depression loci, each with a 493 higher s_{OD} value, than when many weaker pairs of outbreeding depression loci are spread 494 across the genome and potentially linked with beneficial (e.g., pre-adaptive) alleles.

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495 Although populations show reduced levels of both fitness reductions and local genomic 496 replacement as a result of pulsing (Fig. 3), they also experience these depressed levels for a 497 longer period of time. In other words, translocating individuals in a single effort might lead to 498 lower fitness and higher local genomic replacement, but both levels recover (towards 1.0 and 499 0.0, respectively) more quickly. Similarly, whereas pulsing can mitigate fitness reductions when 500 outbreeding depression is strong, it can also delay the fitness benefits of gene flow when 501 introduced individuals have a high fitness (high Δ_{PA} , low Δ_{MA}) and weak outbreeding depression 502 (low Δ_{OD}). Hence, if pulsing helps reduce fitness reductions in some scenarios, it also delays 503 fitness gains in other scenarios. Given that fitness and local genomic replacement levels 504 converge on the same values regardless if pulsing was performed or not, it becomes important 505 to consider the more critical state-a more severe fitness reduction for less time, or a less 506 severe reduction in fitness for more time.

507

Considerations for Resource Managers

508 We simulated a fitness increase of 50% owing to pre-adaptive alleles, meaning that individuals 509 from the donor population would have a 50% higher fitness in the new environment. Empirical 510 studies in natural populations have found that the strength of local adaptation is of that order in a 511 wide variety of species (Bontrager et al. 2020). Similarly, Exposito-Alonso et al. (2019) reported 512 strong climate-mediated natural selection in A. thaliana from common garden transplants where 513 > 60% of populations were killed due to non-native (hot and dry) conditions. However, estimating 514 the beneficial effect of pre-adaptive alleles in a novel ecological and genomic context is 515 extremely difficult in natural settings. Furthermore, if an environment is predicted to change in a 516 particular direction over time (e.g., trend of climatic warming), the selective benefits of pre-517 adaptive traits may increase in the future. In general, the benefits of pre-adaptive alleles from 518 assisted gene flow may take many generations to realize (Figs. 1, S2), and an important

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consideration must be made as to whether the long-term gains outweigh the short-term fitnesscosts.

521 Assisted gene flow has been proposed as part of a decision-tree for managing coral reef 522 restoration (Van Oppen et al. 2017). In such systems, our results can be used to guide decisions 523 for managers, but we are aware that estimates for many of the parameters we have simulated 524 here will not be available in most systems. It is therefore important to consider the results 525 presented here qualitatively and in relative terms. For instance, little is known about outbreeding 526 depression and its underlying genetic mechanisms in many systems. Our results show that 527 outbreeding depression should be a consideration mainly when it is strong, e.g., between very 528 divergent populations representative of interspecific crosses (Fig. S2). Given that assisted gene 529 flow is typically done with closely related populations, outbreeding depression is not likely to play 530 a strong role in reducing the benefits of AGF. Similarly, little is known about alleles originating in 531 source populations that are deleterious in the recipient population. Our results highlight that this 532 parameter (Δ_{MA} , the maximum possible fitness reduction in an individual with all maladaptive 533 alleles) matters when it is strong, e.g., when fitness is reduced in an individual by >10%.

534 This research has also generated some suggestions for managers considering assisted gene 535 flow. First, performing controlled breeding trials before going "all-in" at the population-scale in the 536 wild may be helpful. Many problems resulting from outbreeding depression or maladaptive 537 alleles could be screened by measuring growth and fitness in F_1 (and F_2 and beyond) individuals 538 resulting from donor-recipient crosses. Indeed, using F_1 individuals in AGF attempts may help 539 reduce the fitness reduction the population may experience. Second, translocating fewer 540 individuals at a time (i.e., a smaller translocation fraction) is one way to mitigate population-level 541 harm if breeding trials are not able to occur before translocation and unforeseen risks manifest in 542 reductions of individual-level fitness and fecundity. Furthermore, translocating fewer individuals 543 per translocation event mimics our pulsing scenarios that resulted in benefits previously

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mentioned. And lastly, if these options are not available, landscape genomic techniques that
merge species distribution models with the knowledge of adaptive loci can generate
recommendations for assisted migrations (Shrvock et al. 2020).

In spite of a broad parameter space that we explored in our simulations, we did not examine all factors that might be considered while deciding to perform assisted gene flow or not. For instance, we did not model carrying capacity or a fluctuating population size. Secondly, we did not consider other consequences of translocations, such as disease/parasites or disruptions of social structures. Furthermore, even though adaptive genetic variation may help a population cope with environmental change, climatically-induced range shifts may increase interspecific competition in certain contexts (Razgour et al. 2019), which is a factor we did not consider.

554

Future Work

555 Our study has provided a deepened understanding of some of the genetic factors determining 556 the outcomes of assisted gene flow. Nonetheless, the results and parameter choices we made 557 have exposed some avenues that future research should pursue. First, we assumed that the 558 beneficial (pre-adaptive) alleles were reciprocally fixed between donor and recipient populations. 559 This is likely to be an oversimplification—it is possible that these pre-adaptive alleles may 560 already be present at a low frequency in the recipient population. Thus, what is the benefit of 561 assisted gene flow when the pre-adaptive alleles are already present in the recipient population? 562 Exploring the cases in which a translocation fraction (T_f) of 0.5% was simulated may give an 563 approximate representation of pre-adaptive alleles being present at a low frequency. In this 564 case, however, the pre-adaptive alleles will be in linkage disequilibrium (at least in the early 565 generations following translocation), which might not be an accurate representation of these 566 alleles existing at low frequencies in natural populations. On a related point, further research is 567 necessary into the uncertainty of positive selection strength of pre-adaptive loci. We modeled a

568 constant positive selection strength over time, but selection strength may increase in the future 569 with a changing environment. In such cases, the benefits from AGF may be more frequent or 570 strong than our results imply.

Although we were primarily concerned with assisted gene flow as a means to improve the overall genetic health and productivity of a population and therefore measured relative fitness, it would also be worthwhile to explore the impacts of assisted gene flow on population size. Given that increasing population size is one of the principal goals of genetic rescue, population size is perhaps more comprehensible than fitness and almost certainly to be of interest to resource managers. Future research might consider allowing population size to fluctuate instead of maintaining a fixed size, and might explicitly model the possibility of population extinction.

578 Lastly, much remains to be explored in terms of interactions between divergent genomes and 579 the effects of outbreeding. Although we modeled such genomic interactions as only having zero 580 or negative consequences (e.g., outbreeding depression), we did not explore how "hybrids" 581 between donor and recipient individuals may have hybrid vigor (e.g., heterosis). Although 582 relatively little is known about heterosis in natural populations, it is expected to be strongest in 583 small populations (Whitlock 2002). Although our study was concerned with large populations, the 584 use of translocations to promote genetic rescue in small populations has recently received 585 increasing attention (Bell et al. 2020).

586

Conclusions

As climate change intensifies and populations experience fitness reductions and/or local
extinctions, management strategies such as assisted gene flow will become a more widely
considered tool for "prescriptive evolution" (Smith et al. 2014). Our results indicate that the
conservation outcomes of AGF may be fairly modest in real world settings. In our simulations,

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591 we assumed a rather extreme situation where all fitness-affecting alleles were reciprocally fixed 592 in the donor and recipient populations; this allowed us to understand the maximum effect that 593 AGF may have on population mean fitness. In many of the cases we tested, we found that 594 population mean fitness had not appreciably increased even 40 generations after AGF, 595 particularly when there were many pre-adapted loci of small effect (Figs 1, 2, and S2A). If the 596 alleles that contribute to local adaptation have individually weak fitness effects, the effects of 597 AGF on population mean fitness in long-lived species may be too slow to help populations cope 598 with rapidly changing climates. With a translocation fraction of 5% or less, our simulations 599 showed AGF to be effective only with a 50% fitness difference between the recipient and donor 600 populations and when an oligogenic architecture of adaptation underlied the adaptive trait (i.e., 1 601 or 5 preadapted alleles) Fig. 2).

Although detailed knowledge of outbreeding depression and the genetic architecture of adaptive (both pre- and maladaptive) traits would greatly improve predictions regarding the long-term success of assisted gene flow, such knowledge is often rudimentary at best, and often limited to model systems. However, tools such as controlled breeding trials or landscape genomics can help inform managers before conducting AGF. Although assisted gene flow has the potential to lead to negative short-term fitness consequences, its long-term benefits suggest it may be a useful management tool moving forward to help populations adapt to a changing climate.

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Author Contributions

- 622 MCW conceived of the study. RMD performed genetic simulations, TB analyzed the data and AT
- and TB made the figures. JAG led the manuscript writing. All authors designed the study and
- 624 contributed to manuscript writing and editing.
- 625

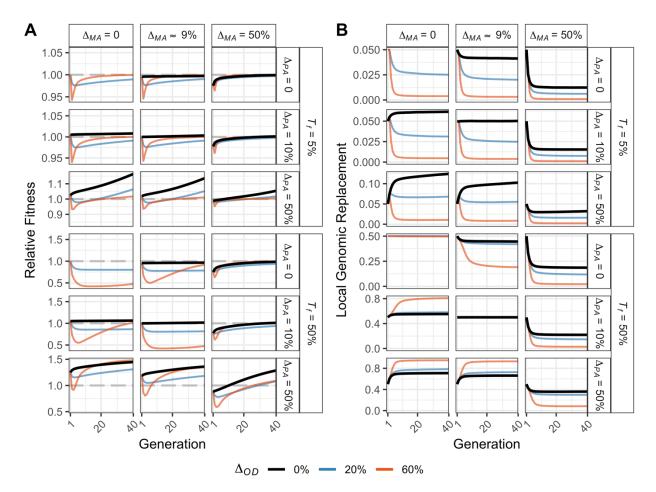
626 **Table 1** A glossary of terms and the parameters used in this study. For simulation parameters,

627 we include the values used in our factorial simulation analysis.

Term	Definition	Values Used
Assisted gene flow (AGF)	The process of translocating individuals with the aim of increasing the frequency of beneficial alleles in the recipient population	N/A
Donor population	The population that supplies individuals for translocation	N/A
Recipient population	The population that receives translocated individuals	N/A
Local genomic replacement	The proportion of the recipient genome derived from alleles introduced from the donor population (averaged across all individuals in the population)	N/A
Translocation fraction (T_f)	The proportion of the recipient population replaced by translocated individuals at the time of introduction	0.5%; 5%; 50%
Translocation pulses	Number of discrete translocation events	Either a single pulse or five pulses each comprising 20% of T_t every 1, 2, or 4 generations
Recipient population size	Number of diploid individuals in recipient population (remains constant)	1,000; 10,000
PA alleles	Pre-adaptive (beneficial) alleles coming from translocated individuals	0; 1; 5; 50
PA dominance coefficient	Dominance of PA alleles	0.5 (= additive gene action)
Δ _{PA}	Maximum possible fitness increase in an individual carrying all pre-adaptive alleles	0; 10%; 50%
Maladaptive (MA) alleles	Maladaptive alleles coming from translocated individuals	0; 1; 5; 50
MA dominance coefficient	Dominance of MA alleles	0 (= MA alleles are recessive); 0.5 (= additive gene action)
Δ_{MA} OD (outbreeding depression)	Maximum possible fitness reduction in an individual carrying all maladaptive alleles	0; ~9.1%; 50%
loci	Pairs of loci with additive-by-additive epistasis	0; 2; 10; 100
Δ _{oD}	The fitness reduction of a genotype heterozygous for all loci relative to local or foreign double homozygotes due to outbreeding depression	0; 20%; 60%
Neutral loci	Neutral loci used to calculate LGR	100

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629 Figure Captions

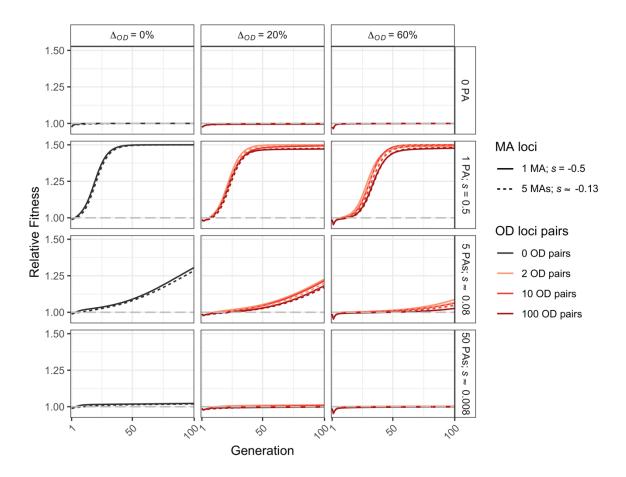


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631 Figure 1. Assisted gene flow is beneficial in the long term with moderate translocation fractions 632 (T_f) , although the mean fitness of recipient populations can be reduced for a large number of 633 generations. (A) Relative fitness (B) and local genomic replacement fraction for the first 40 generations under T_f = 5% and 50% following a single translocation event in generation 1. In all 634 635 cases with beneficial alleles, the mean fitness of the recipient population increased to greater 636 than 1.0 after several generations, but the initial drop in fitness can be substantial. For this 637 figure, genomes included ten pairs of outbreeding depression loci, and in the scenarios where 638 pre-adaptive and maladaptive alleles occurred, five of each were present with variable selection 639 strengths in both cases (Δ_{PA} and Δ_{MA} ; maladaptive with 0.5 dominance). Relative fitness value of 640 1.0 is indicated with a grey dashed line. Note that the scale of the y-axis changes for different 641 rows and the y-axis limits vary in panel (B). Cases with $\Delta_{PA} = \Delta_{MA} = 0$ are not shown because 642 they were not simulated (relative fitness would stay at 1.0). 643



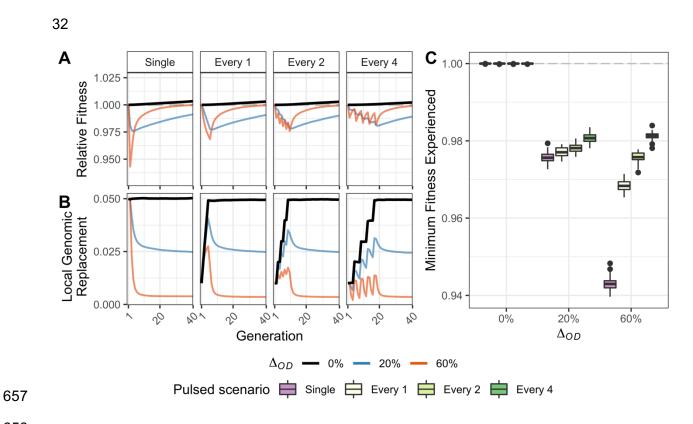
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646 Figure 2. When the fitness changes of introduced alleles are the result of many loci of weak 647 effect, the response to selection is much slower than when fewer alleles of larger effect are 648 present. In each of these cases, the total immediate fitness effect of introduced individuals is 649 held constant, and fitness contributions are set up as 1+ s per locus. Note that all plots have the 650 same y-axis limits, and a relative fitness value of 1.0 is indicated with a grey dashed line. Results 651 are shown for a single translocation event with $T_f = 5\%$, $\Delta_{PA} = 50\%$ and $\Delta_{MA} = 50\%$ (with 0.5 652 dominance). Different colours denote numbers of outbreeding depression (OD) loci pairs, and 653 the continuous and dashed lines indicate variable numbers of maladaptive alleles (MA). Note 654 that unlike Figures 1 and 3, the x-axis on this plot extends to generation 100.

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659 Figure 3. When populations suffer from outbreeding depression, pulsed introductions can decrease fitness reductions. (A) Relative fitness and (B) local genomic replacement by 660 661 outbreeding depression level are shown over the first 40 generations for each translocation 662 scenario (i.e., single translocation and five events of pulsed translocations every one, two or four 663 generations). Note that the total number of individuals translocated was the same in each 664 scenario (e.g., each "pulsed" introduction was one fifth the size of the single one-time 665 introduction). Panel (C) shows the variation across 50 replicates of each translocation scenario, 666 using the same data as in (A). Results are shown for a total migration rate of 5%, five adaptive 667 and maladaptive alleles with a Δ_{PA} = 10%, $\Delta_{MA} \approx 9.1\%$ (dominance coefficient of 0.5), and 10 pairs of outbreeding depression loci. 668

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