Testing the adaptive walk model of gene evolution

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Abstract

Understanding the dynamics of species adaptation to their environments has long been a central focus of the study of evolution. Early adaptive theories proposed that populations evolve by "walking" in a fitness landscape. This "adaptive walk" is characterised by a pattern of diminishing returns, where populations further away from their fitness optimum take larger steps than those closer to their optimal conditions. This theory can also be used to understand molecular evolution in time, particularly across genes of different ages. We expect young genes to evolve faster and experience mutations with stronger fitness effects than older genes because they are further away from their fitness optimum. Testing this hypothesis, however, constitutes an arduous task. Young genes are small, encode proteins with a higher degree of intrinsic disorder, are expressed at lower levels, and are involved in species-specific adaptations. Since all these factors lead to increased protein evolutionary rates, they could be masking the effect of gene age. While controlling for these factors, we fitted models of the distribution of fitness effects to population genomic datasets of animals and plants. We found that a gene's evolutionary age significantly impacts the molecular adaptive rate. Moreover, we observed that substitutions in young genes tend to have larger fitness effects. Our study, therefore, provides the first evidence of an "adaptive walk" model of molecular evolution in large evolutionary timescales.

Significant statement

How does molecular adaptation occur? John Maynard Smith was one of the first to address this question by introducing the notion of "adaptive walk", which defines the "walk" of a gene towards higher fitness. At the start of this walk, genes tend to experience mutations with larger fitness effects than those closer to their fitness peak. Whilst being well-established, this theory has never been tested on large evolutionary timescales. Here, we achieve this by comparing molecular adaptive rates across genes of different ages in plants and animals. We showed that a gene's age acts as a significant determinant of molecular adaptation, where young genes adapt faster than old ones. We, therefore, provide evidence for an "adaptive walk" through time.

Introduction

How does adaptive evolution proceed in space and in time? This question has long intrigued evolutionary biologists. Fisher (1930) proposed that adaptation relies on mutations with small effect sizes at the phenotypic level. He presented the geometric model of adaptation where phenotypic evolution occurs continuously and gradually towards an optimum fitness (1). At the molecular level, Wright (2, 3) first introduced the idea that populations evolve in the space of all possible gene combinations to acquire higher fitness. He characterised this model of evolution as a "walk" in an adaptive landscape. Wright consequently proposed the shifting balance theory of adaptation, which combines the effects of drift and selection. Drift acts by moving the population away from its local peak, while natural selection directs the population to higher fitness, the so-called "global optimum" in

the fitness landscape. With the rise of molecular genetics, Maynard Smith (4) extended this idea to a

sequence-based model of adaptation. He introduced the concept of an "adaptive walk," where a protein

"walks" in the space of all possible amino-acid sequences towards the ones with increasingly higher

fitness values. Wright's and Maynard Smith's adaptation model was further developed by Gillespie (5–

7), who presented the "move rule" in an adaptive landscape. Gillespie suggested that adaptation

proceeds in large steps, where mutations with higher fitness effects are more likely to reach fixation.

The adaptive walk model was later fully developed by Allen Orr (8, 9), who extended Fisher's

geometric model of adaptation and demonstrated that, apart from small effect mutations, adaptation

relies on mutations of large fitness effects. He, therefore, characterised the adaptive walk with a

pattern of diminishing returns. Under this model, a sequence further away from its local optimum will

tends to accumulate large-effect mutations at the beginning of the walk. Small-effect mutations will

then only be fixed when the sequence is approaching its optimum fitness. Experimental studies tracing

the evolution of bacteria (10–13) and fungi (14) provided evidence for this view of adaptation as a

walk with diminishing returns. Experimental studies, however, can only assess patterns of adaptation

at relatively short time scales. The challenge lies in studying adaptation across time: how does the

distribution of beneficial mutations vary across long evolutionary times?

While long-term evolutionary processes are not directly observable, footprints are left in

genomes in the form of genes of different ages (15, 16). The age of a gene can be inferred from its

phyletic pattern, that is, its presence or absence across the phylogeny (17). This reconstruction is

obtained using sequence similarity searches performed by tools like BLAST (18). A gene is

considered "old" if a homolog is identified in several taxa over a deep evolutionary scale, or "young"

or lineage-specific if the recognised homologs are only present in closely-related species. This

approach is known as phylostratigraphy (19).

Previous studies suggested that young or lineage-specific protein-coding genes evolve faster

than older ones (16, 20–26). Albà and Castresana (26) showed a negative correlation between the ratio

of the non-synonymous (d_N) to synonymous (d_S) substitutions rates, ω, and gene age in the

divergence between humans and mouse, with young genes presenting a higher ω. Cai and Petrov (21)

reported similar findings using human-chimpanzee divergence data. By looking at polymorphism data,

they further suggested that the faster evolution in young primate genes may be due to the lack of

selective constraint posed by purifying selection and showed that these genes are more often positively

selected. Similar correlations between ω and gene age have been observed in fungi (24), *Drosophila*

(22, 27, 28), bacteria (29), viruses (30), plants (31, 32), and protozoan parasites (33).

Despite the observed consistency across taxa, the drivers of such an effect remain debated

(21). Besides, young and old genes differ in their structural properties, expression level, and protein

function. Young genes tend to be smaller (21, 23, 34), have a higher degree of intrinsic disorder (35),

and are expressed at lower levels (16, 21, 23, 25). Moreover, young genes tend to encode proteins

involved in developing species-specific characteristics and immune and stress responses (15, 36, 37).

As the macromolecular structure (38, 39), gene expression levels (38, 40) and protein function (38, 41,

42) are known determinants of the rate of protein adaptation, they could be confounding the effect of

gene age. Several studies reported the substantial impact of gene expression on the adaptive rate of

proteins, where highly expressed proteins are significantly more constrained and have lower

adaptation rates (38, 40, 43, 44). At the macromolecular level, some studies showed that highly

disordered (38, 39) and exposed residues (38) present higher rates of adaptive evolution. Finally, there

is evidence that proteins involved in the immune and stress response have higher molecular adaptive

rates (38, 42, 45, 46). Thus, it is crucial to control for these confounding factors when assessing the

impact of gene age on the rate of molecular adaptation.

Here, we used a population genomic approach to test the adaptive walk model. We make two

predictions: first, that younger genes are undergoing faster rates of adaptive evolution, and second, the

evolutionary steps they make are larger. We tested the first prediction by estimating rates of adaptive

and non-adaptive protein evolution using an extension of the MacDonald-Kreitman test (47), which

uses counts of polymorphism and substitution at selected and neutral sites. We quantified the rates of

adaptive and non-adaptive evolution using the statistics ω_a and ω_{na}, which denote the rates of

adaptive and non-adaptive non-synonymous substitution relative to the mutation rate. We investigated

whether protein length, gene expression, relative solvent accessibility (RSA), intrinsic protein

disorder, BLAST's false-negative rate, and protein function act as confounding factors of the effect of

gene age. To test the second prediction, we considered the rates of substitution between amino acids

separated by different physicochemical distances as a function of gene age. We tested our hypotheses

in two pairs of species with different life-history traits: the diptera *Drosophila melanogaster* and *D.*

simulans and the Brassicas *Arabidopsis thaliana* and *A. lyrata*. In each species pair, we compared their

most recent genes with those dating back to the origin of cellular organisms.

Results

We tested the adaptive walk model of sequence evolution by assessing the impact of gene age on the

rate of adaptive (ω_a) and non-adaptive (ω_{na}) non-synonymous substitutions. To assess whether the

effect of gene age persisted when controlling for multiple confounding factors, we applied a non-

parametric measure of correlation between gene age and ω_a and ω_{na} for each category of the co-

factors analysed. The overall effect of gene age on ω_a and ω_{na} in each co-factor was assessed by

combing significance values across tests in both species using the weighted Z-method (48).

<u>Young genes have a higher rate of adaptive substitutions</u>

We tested the adaptive walk model of sequence evolution by assessing the impact of gene age on the

rate of adaptive (ω_a) and non-adaptive (ω_{na}) non-synonymous substitutions. We found that gene age

significantly impacts estimates of ω, ω_a and ω_{na} in both species' pairs (Table 1 and Figure 1b). This

result suggests that the higher ω ratio of more recently evolved genes is due to a higher rate of

adaptive and non-adaptive non-synonymous substitutions. As X-linked genes are known to evolve

faster (49, 50), we assessed whether the relationship between evolutionary rates and gene age differed

between chromosomes in *Drosophila* (Figure 1b). We compared models with and without the

chromosome's effect (see Material and Methods and supplementary file S1) and found low support for

a chromosomal effect (p = 0.041 for ω_{na} and p = 0.094 for ω_a). We, therefore, combined all

chromosomes for subsequent analyses.

<u>The effect of gene age on the rate of molecular adaptation is robust to multiple confounding factors</u>

Genes of different ages intrinsically differ in their features (15, 21, 35). As such traits significantly

impact the rate of molecular evolution (38), they may be confounding the faster adaptive rates

observed in young genes. Here, we assessed whether the effect of gene age on the rate of molecular

adaptation persisted after controlling for multiple confounding factors. To do so, we assessed the

correlation of gene age with the rates of molecular evolution in distinct categories of genes, according

to a putative confounding factor. As estimates of the rate of adaptive substitutions for a small number

of genes exhibit large sampling variances (51, 52), we could only assess each confounding factor

individually.

Previous studies reported that younger genes encode shorter proteins (23, 34, 53) and are

expressed at lower levels (16, 21, 23, 25), a pattern that we also observed in our data set (gene age vs.

protein length: Kendall's τ = -0.485, p = 2.82e-02; τ = -8.48, p = 1.06e-05, Figure S1a; gene age vs.

gene expression: τ = -0.595, p = 7.35e-03; τ = -0.790, p = 4.00e-05, Figure S1b in supplementary data;

for *D. melanogaster* and *A. thaliana,* respectively). As younger proteins are shorter than older ones,

they have a higher proportion of exposed residues (38): gene age is significantly positively correlated

with the average relative solvent accessibility per gene (τ = 0.636, p = 3.98e-03; τ = 0.695, p = 3.03e-

04, for *D. melanogaster* and *A. thaliana* respectively; Figure S2a in supplementary data). Because

exposed residues are more flexible (54), young genes tend to encode proteins with a higher degree of

intrinsic disorder, a pattern previously reported in mice (35). We confirmed this pattern in *D.*

melanogaster (τ = 0.606, p = 6.10e-03; Figure S2b in supplementary data) and *A. thaliana* (τ = 0.467,

p = 1.53e-02; Figure S2b in supplementary data).

We split our data into two roughly equal sized groups according to each of these potentially

confounding factors and reran the analysis within the "high" and "low" groups, combining

probabilities from the two analyses using the weighted Z-method (48). Some phylostrata were further

combined when under-represented in some gene categories (see Material and Methods). We found that

ω, ω_{na} and ω_a remain significantly correlated to gene age, except when controlling for protein length

and gene expression for ω_a in *Arabidopsis* (Figure 2 and Table 1). This weaker effect may be a

consequence of how the most recent clades were combined in these analyses, as there was little data

available for those genes (see Material and Methods). Nonetheless, when combining probabilities

across the two species, we observed a significant correlation between all measures of evolutionary rate

and gene age controlling each of the co-factors (Table 1). Our findings, therefore, suggest that the effect of gene age on rates of protein evolution is robust to the tested confounding factors and that a gene's age acts as a significant determinant of the rate of adaptive and non-adaptive evolution in both species.

The effect of gene age on the molecular rate of adaptation is robust to BLAST's false negative rates

The physlostratigraphic approach has been previously used to date the emergence of new genes, and some studies have pointed out its potential limitations (27, 55–58). Because BLAST homology searches might fail to identify homologs in short or rapidly evolving genes, such genes could be mistakenly classified as young. To assess whether BLAST's false negative rate could explain the correlation between gene age and the rate of adaptive evolution, we analysed the gene age's effect by correcting the variation in E-values estimates from BLAST's searches between each gene and their respective outgroups. As expected, we observed that genes in younger phylostrata present higher E-values in both species ($\tau = 0.564$, $p = 0.025$; $\tau = 0.951$, $p = 1.20e\text{-}06$, for *D. melanogaster* and *A. thaliana* respectively; Figure S3a in supplementary data). To control this effect, we reran our analyses with a subset of genes for which the correlation between the E-value and gene age was no longer significant (see Material and Methods and supplementary file S2) ($\tau = 0.408$, $p = 0.111$; $\tau = 0.354$, $p = 0.141$, for *D. melanogaster* and *A. thaliana* respectively; Figure S3b in supplementary data). We observed that the effect of gene age prevailed for all estimates in the two species (ω: $\tau = 0.929$, $p = 1.30e\text{-}03$; ω_{na}: $\tau = 0.786$, $p = 6.49e\text{-}03$; ω_a: $\tau = 0.643$, $p = 2.59e\text{-}02$ in *A. thaliana*; and ω: $\tau = 0.697$, $p = 1.61e\text{-}03$; ω_{na}: $\tau = 0.636$, $p = 3.98e\text{-}03$; ω_a: $\tau = 0.636$, $p = 3.98e\text{-}03$ in *D. melanogaster*, Figure S4 in supplementary data). These results suggest that the correlation of gene age with the rate of adaptive evolution cannot be attributed to errors in dating the emergence of a gene stemming from the failure of identifying homologs in older taxa.

The effect of gene age on the rate of molecular adaptation does not depend on protein function

Lineage-specific genes are known to be involved in species-specific adaptive processes, such as the evolution of morphological diversity (59) and immune and stress responses (16, 33, 59). As proteins encoding such functions tend to have higher molecular rates of adaptation (38, 41, 42, 45, 46, 60), we further assessed whether the observed effect of gene age could be due to younger genes being enriched in functions with higher evolutionary rates. We first examined which functions are encoded by young genes in *A. thaliana* and *D. melanogaster*. In *A. thaliana*, young genes (Clades 12 to 15 in Figure 1a) are mostly involved in a large variety of cellular processes, stress response and external stimulus, protein binding, and signal transduction (Figure S5a in supplementary data). In *D. melanogaster*, young genes (Clades 11 and 12 in Figure 1a) encode mostly functions involved in the cell's anatomic structure, stress response, nervous system processes, enzyme regulators, immune system mechanisms, and a wide range of metabolic processes (Figure S5b in supplementary data). However, it is important

to note that these functions represent general terms and not direct gene products due to the difficulty of
annotating young genes.

To further correct for the potential bias of protein function, we assessed the effect of gene age
separately for several GO-annotated genes, when a sufficient number of annotated genes in each age
class was available (see Material and Methods). In *A. thaliana*, we found that the impact of gene age
on ω_a is stronger in proteins linked to stress response and cellular components, where younger genes
present higher molecular adaptive rates (Figure S6a and supplementary file S3 in supplementary data).
Although the GO term cellular component represents a comprehensive annotation, it denotes the
cellular compartments where processes such as signal transduction and membrane trafficking occur,
essential for maintaining the cell homeostasis (61, 62). In *D. melanogaster*, we observed a strong
effect of gene age on ω_a for proteins encoding multiple cellular compartments, chromosomal
organisation, protein complex, stress response, signal transduction, and involved in the cell cycle
(Figure S6b and supplementary file S3 in supplementary data in supplementary data). Even though
these functions cover a wide range of molecular processes, they are involved in DNA replication,
genome stability, and immune and stress responses, which are critical functions for the co-
evolutionary arms race between hosts and parasites (46). When looking at ω_{na}, our analyses revealed
a strong influence of gene age in most functions analysed in both species, where young genes present
higher rates of non-adaptive substitutions (Figure S6 and supplementary file S3 in supplementary data
in supplementary data).

These results suggest that, when restricting the analysis to proteins involved in defence
mechanisms, which are known to adapt faster (41, 42, 46, 60), gene age still has an impact on the
efficiency of selection acting upon a protein.

<u>Substitutions in young genes have larger effect sizes</u>
Our second hypothesis predicts that substitutions in young genes have larger fitness effects than in
older genes. To test this prediction, we used Grantham's physicochemical distances between amino-
acids (63) as a proxy for the fitness effects of amino-acid substitutions. We looked at the fixed
differences separated by one mutational step between each pair of species and reported the average
Grantham's distances between residues within each age stratum. We observed that substitutions in
young genes tend to occur between less biochemically similar residues (*Arabidopsis*: $\tau = 1$, p = 2.00e-
07; *Drosophila*: $\tau = 0.788$, p = 3.628e-04; Figure 3 and supplementary file S4), suggesting that
substitutions in these genes have larger fitness effects than in old ones.

Discussion
Our population genomic approach successfully disentangled the effects of positive and negative
selection on the rate of non-synonymous substitutions. Using complete genome data from two
Arabidopsis and *Drosophila* species, we showed that the higher rate of non-synonymous substitutions

in younger genes results both from a relaxed purifying selection (higher ω_{na}) and a higher rate of

adaptive substitutions (higher ω_a) (Figure 1b). By looking at the magnitude effect of gene age, we

observed that young genes present a 25-fold higher rate of adaptation than older genes in *Drosophila*

species and around 30-fold higher in *Arabidopsis*. The magnitude of this effect is higher than that

observed for recombination rate and solvent exposure in these species, two other factors strongly

correlated to the rate of adaptive evolution (38, 64). We also observe that young genes undergo

substitutions that are larger in terms of physicochemical properties than older genes. A question

remains: what are the drivers of these effects?

<u>The magnitude effect of gene age on adaptive evolution is species-specific</u>

Although we observed a strong impact of gene age on the molecular adaptive rate in both species

pairs, the shape of their correlations differs. While the relationship between gene age and ω_a is

monotonously increasing in *Arabidopsis*, it has several peaks in *Drosophila* (Figure 1b). This pattern

is particularly evident if we discard the two youngest clades. In *Drosophila*, the correlation becomes

much weaker and non-significant for ω_a (ω: $\tau = 0.600$, p = 0.016; ω_{na}: $\tau = 0.556$, p = 0.025; ω_a: $\tau = $

0.467, p = 0.060), whereas, in *Arabidopsis,* the effect of gene age persists (ω: $\tau = 0.9487$, p = 6.342e-

06; ω_{na}: $\tau = 0.872$, p = 3.345e-05; ω_a: $\tau = 0.692$, p = 9.86e-04). Intriguingly, this multimodal

distribution of ω_a observed in *Drosophila* resembles the pattern of emergence of young genes in this

species (16). The peak in the adaptive substitution rate observed for clades 6 and 7 (Figure 1b)

coincided with the animal phyla's major radiation at the time of extensive periods of glacial cycles

(65). When looking at the functions coded by these proteins, we found that they are linked to a wide

range of vital cellular and biological processes, such as defence mechanisms and cell differentiation

(Figure S7 in supplementary data). This pattern suggests that these genes might be experiencing higher

molecular adaptive rates due to their role in such vital processes. However, for these genes to keep

such high rates of adaptive substitutions until recent times, epistatic interactions might be at play.

Studies across taxa have proposed that functional epistasis is an important factor in the evolution of

genes involved in defence mechanisms and adaptation to new environmental stresses (66–70). We

posit that such gene interactions keep these proteins further from their optimum throughout time due

to the rugged shape of the fitness landscape, leading to the high molecular adaptive rates observed in

the branch between *D. melanogaster* and *D. simulans*. To further test this hypothesis, we used the

degree of protein-protein interactions (PPI) as a proxy for epistatic interactions and analysed its

relationship with gene age. We observed that genes in clade 7 have a slightly higher degree of PPI

than other strata (Figure S8 in supplementary data and supplementary file S5), suggesting that these

genes might be experiencing relatively more epistatic interactions. These findings are consistent with

epistasis influencing the evolution of these genes, potentially explaining their continued higher rates of

molecular adaptation. In contrast, the burst of the emergence of new genes in *Arabidopsis* coincided

with the plant-specific radiation right before the emergence of Brassicaceae (16, 71). This trend is

consistent with our results from *A. thaliana*, where the bursts of ω_a occur in younger clades (after

clades 11 and 12 in Figure 1b). These distinct patterns observed between species suggest that the role

of a gene's age in molecular adaptation is complex, as also evidenced by the lack of a significant

correlation with ω_a previously reported in humans (21). The authors proposed that this result may be a

consequence of the generally low molecular adaptive rates observed in primates (21, 47).

Despite these species-specific trends, our analyses revealed a strong correlation between ω_a

and gene age extending through hundreds of millions of years (Figure 2). These findings suggest a

consistent effect of a gene's age on the rate of molecular adaptation across taxa.

<u>An adaptive walk model of gene evolution</u>

Our study highlighted that, after their emergence, young genes evolve through relaxed selection, as

first proposed by Ohno (1970), but also by acquiring beneficial mutations, as described in the

"adaptive-conflict" model (36, 73). Ohno's idea of evolution was "non-Darwinian" in its nature, as he

believed that "natural selection merely modified while redundancy created" (Ohno 1970). He proposed

that new genes evolve by accumulating "forbidden" mutations, where they are only preserved if the

development of a formerly non-existent function occurs, a process known as neo-functionalisation. In

this scenario, natural selection only acts at the stage of acquiring a new function. Further extensions of

this theory suggested that the preservation of a new gene can also occur through sub-functionalisation,

where the accumulation of deleterious mutations leads to a complementary loss of function in both

copies of the gene (74, 75).

In contrast, the "adaptive-conflict" model assumes that the ancestral gene could carry more

than two pleiotropically constrained functions (36, 73). Once the duplication event occurs, each copy

then becomes specialised in one of the ancestral functions. In this case, the ancestral gene's split

proceeded through positive Darwinian selection (36, 73). These theories are based on the evolution of

gene duplicates and agree with the idea of evolution as a "tinkerer" proposed by Jacob (1977), where

evolution adjusts the already existing elements. In *de novo* evolution, however, new genes emerge by

acquiring new functions from the non-coding fragments of the genome (16, 77, 78). This process is

thought to proceed through a stochastic phase followed by the successive accumulation of beneficial

mutations, ultimately leading to a new function with a species-specific selective advantage (79–82).

When looking at the fundamental ideas behind these theories, one can draw one prominent

feature that portrays the evolution of new genes: young genes are further away from their fitness

optimum. Hence, we posit that these genes follow an adaptive walk model of gene evolution to reach

their fitness peak (3, 83, 84). As their full potential has yet to be met, more consecutive beneficial

mutations are theoretically needed to reach their fitness optimum, leading to the higher molecular

adaptive rates observed in these genes. In turn, older genes are closer to their optimal features and less

robust to large effects' mutations, thus only accumulating mutations with small fitness effects. Such

slightly advantageous mutations are more difficult to select for, leading to lower adaptive rates in

these proteins. We further tested this hypothesis using the Grantham's physicochemical distances (63)

as a proxy for the fitness effect of substitutions. This analysis showed that substitutions in young genes

tend to occur between more dissimilar residues (Figure 3), suggesting that the evolution of young

genes proceeds in larger steps compared to old ones. Our study, therefore, provides evidence that the

adaptive evolution of protein-coding genes follows a pattern of diminishing returns in plants and

animals, indicating the potential generality of an adaptive walk model of gene evolution.

Material and Methods

We assessed the role of gene age on adaptive evolution using the divergence and polymorphism data

published in Moutinho et al. (38). The data included 10,318 protein-coding genes in 114 *Drosophila*

melanogaster individuals from an admixed sub-Saharan population from Phase 2 of the *Drosophila*

Genomics Project (DPGP2) (85) and divergence estimates from *D. simulans* (Table S1 in

supplementary data online); and 18,669 protein-coding genes in 110 *Arabidopsis thaliana* genomes

comprising polymorphism data from a Spanish population (1001 Genomes Project) (86) and

divergence out to *A. lyrata* (Table S2 in supplementary data online). These datasets also included data

on protein length, gene expression, and residue intrinsic disorder. Gene age data were obtained from

published data sets, wherein 9,004 *Drosophila* (27) and 17,732 *Arabidopsis* (32) genes were used.

Analyses were performed by dividing genes into 12 and 15 phylostrata for *D. melanogaster* and *A.*

thaliana (Figure 1), respectively, numbered from the oldest (stratum 1) to the most recent (strata 12

and 15 in *D. melanogaster* and *A. thaliana*, respectively). The most recent clades include orthologous

genes present in each species and their respective outgroups. The analyses on the X-linked and

autosomal genes in *D. melanogaster* were performed with 1,478 and 7,526 genes, respectively. We

fitted models of the distribution of fitness effects (DFE) across different age classes and gene

categories to estimate the molecular rate of adaptation (47).

Estimation of the adaptive and non-adaptive rate of non-synonymous substitutions

The rates of adaptive non-synonymous substitutions were estimated with the Grapes program (47),

using the Gamma-Exponential distribution of fitness effects (DFE), as this model was previously

shown best to fit the data (38). Estimates and their confidence intervals were obtained with a bootstrap

analysis by sampling genes in each category, with replacement. We performed a total of 100

replicates, and the DFE model was fitted for each replicate with Grapes. Results for ω, ω_{na} and ω_a

were plotted using the R package "ggplot2" (87) by taking the mean value and the 95% confidence

interval of the 100 bootstrap replicates performed for each category (see detailed R scripts in the

supplementary files in the supplementary data online,

https://gitlab.gwdg.de/molsysevol/supplementarydata_geneage).

Gene age vs. protein length and gene expression

To correct for the effects of protein length and gene expression, we divided our dataset into two

equally sized groups based on the factor we wished to control. Short proteins had a size up to 366 and

389 amino-acids, and long proteins had a size up to 4,674 and 5,098 amino-acids in *A. thaliana* and *D.*

melanogaster, respectively. We further merged phylostrata containing a low number of genes. For *D.*

melanogaster, we categorised gene age into 6 main clades by combining clades 3-4, 5-6, 7-10, and 11-

12, keeping the others unchanged. In *A. thaliana*, we combined the 15 clades in 6 main groups by

merging clades 5-8 and 9-15. For gene expression, we used a total of 17,126 and 6,247 genes for *A.*

thaliana and *D. melanogaster,* respectively, being categorised as lowly and highly expressed. Genes

were classified as lowly expressed if the mean expression levels were up to 10.3 and 6.8, and highly

expressed genes were the ones with expression up to 6,632.8 and 4,237.0 in *A. thaliana* and *D.*

melanogaster, respectively. For *D. melanogaster*, we categorised gene age in 6 categories by

combining clades 3-5, 6-9, and 10-12. In *A. thaliana*, we combined the data in 6 clades, merging

clades 4-7, 8-11 and 12-15.

<u>Gene age vs. protein structure</u>

Since most young genes lack a defined three-dimensional structure (35), they do not have information

on the residue's solvent accessibility. Hence, we used a deep learning approach, NetSurfP-2.0, that

predicts the RSA of each residue from the amino-acid sequence (88) by using as a training model the

HH-suite sequence alignment tool for protein similarity searches (89). To assess whether this approach

provided reliable results, we compared the RSA estimates of NetSurfP-2.0 with those obtained from

the PDB structures in our dataset (38). We found a good correlation between the two approaches for

both species (Kendall's $\tau = 0.571$, p < 2e-216; $\tau = 0.462$, p < 2e-216, for *D. melanogaster* and *A.*

thaliana respectively). Using NetSurfP-2.0, RSA estimates were successfully obtained for a total of

4,238,686 (88% of the total codon sites) and 7,479,807 (99% of the total codon sites) amino-acid

residues for *D. melanogaster* and *A. thaliana,* respectively. To assess the impact of RSA at the gene

level, we analysed the total number of genes in both species by making two categories of genes

according to the average RSA value per gene. Genes with lower RSA had mean values between 0.127-

0.389 in *Drosophila* and 0.217-0.386 in *Arabidopsis*. Genes with a higher RSA had mean values

between 0.390-0.894 in *Drosophila* and 0.387-0.898 in *Arabidopsis*. The phylostrata groups were

defined by combining clades 7-8 in *D. melanogaster*, and 8-11, 12-15 in *A. thaliana*.

The intrinsic residue disorder analysis was performed for 7,126,304 and 3,645,645 sites for *A.*

thaliana and *D. melanogaster,* respectively. Genes were combined into two categories according to

the mean value of their residue's intrinsic disorder. Genes with a low level of intrinsic disorder had

values between 0.029-0.080 in *Drosophila* and among 0.041-0.084 in *Arabidopsis*. Genes with a

higher degree of intrinsic disorder had values between 0.081-0.554 in *Drosophila* and among 0.085-

0.551 in *Arabidopsis*. In *D. melanogaster*, all of the 12 phylostrata could be used. In *A. thaliana*, the

15 strata were combined in 12 categories by merging clades 9-10, 11-12 and 13-14.

Correcting for BLAST e-values

We analysed the robustness of the gene age's effect by correcting the variation in the Expect (E) value estimates in BLAST's searches between our focus species and their respective outgroups. By reducing the variation in E-values estimates, we could correct for potential failures in BLAST's homology searches. To do so, we used a subset of genes for which the correlation between the E value and gene age was no longer significant: 12,472 genes with an E value lower than 1e-150 for *A. thaliana* and 7,104 genes with an E value lower than 1e-100 for *D. melanogaster* (supplementary file S2). For *A. thaliana*, analyses were carried by combining clades 8-13, with no genes left in clades 14 and 15. For *D. melanogaster*, analyses were performed with the 12 strata.

Gene age vs. protein function

Gene ontology terms were obtained from the "dmelanogaster_gene_ensembl" and the "athaliana_eg_gene" tables in the Ensembl database (version 103), through the R package "biomaRt" (90). A total of 7,253 (~70% of the genes) and 15,604 (~80% of the genes) genes were mapped in *D. melanogaster* and *A. thaliana*, respectively. To check whether the effect of gene age prevailed across functional protein classes, we analysed the GO terms with the highest number of young genes mapped: more than 50 genes present in Clades 11 and 12 in *D. melanogaster*; and more than 30 genes present in Clades 12 to 15 in *A. thaliana*. This filtering step resulted in 6,637 genes across 23 GO categories in *D. melanogaster* (Table S1 in Supplementary Data online), and 15,410 genes across 10 GO categories in *A. thaliana* (Table S2 in Supplementary Data online). To analyse the effect of gene age, we compared three age classes. In *D. melanogaster*, the first age category spanned over phylostrata 1-3, the second category covered clades 4-7, and the third one included clades 8-12. In *A. thaliana*, the first category comprised genes belonging to clades 1-6, the second category spanned over clades 7-11, and the third one included the phylostrata between clades 12-15 (Figure 1a).

Gene Age vs. Protein-protein interactions (PPI)

We obtained PPI data for *D. melanogaster* from the STRING database (91), which includes both physical and functional interactions (https://string-db.org/). This database included 13,046 proteins with annotated interactions, which were used to analyse the distribution of protein networks across phylostrata.

Statistical analyses

Assessing the effect of gene age within each protein functional class was performed by comparing rate estimates between all pairs of age categories. 100 bootstrap replicates were generated and ω_a and ω_{na} were estimated for each resampling, allowing to compute the rate differences between categories. A one-tailed P-value can be obtained using the formula $P = (2k + 1)/ (N + 1)$, where N=100 is the

number of bootstrap replicates and k is the number of times the computed difference was greater (resp.

lower) than 0. Here. we used a two-tailed version of this test, computing the P-value as P = (2 * min

$(k^-, k^+) + 1)/(N+1)$, where k^- is the number of times the difference was negative, and k^+ is the number

of times the difference was positive. P-values for all pairwise comparisons were corrected for multiple

testing using the FDR method (91) as implemented in R (92) (see detailed R script in supplementary

file S3). For the analysis with PPI and gene age, statistical significance was assessed using non-

parametric posthoc tests, as implemented in the "Kruskal" method of the R package "agricolae" using

the FDR method to correct for multiple testing (92) (see detailed R script in supplementary file S5).

For the rest of the analyses, statistical significance was assessed with Kendall's correlation tests using

the mean value of the 100 bootstrap replicates for each category (see detailed script in supplementary

file S6). To estimate the combined P-value for each co-factor we used the weighted-Z method using

the R package "metap" (93). To obtain the weight of each p-value, we used a linear modelling

approach with ω_a and ω_{na} as response variables, and gene age and potential co-factors as explanatory

variables and inferred the reciprocal of the squared standard error of the residuals in each model (see

detailed R scripts in supplementary file S7). Finally, to determine whether the chromosome impacted

gene age's effect on estimates of ω_a and ω_{na}, we performed an analysis of covariance (ANCOVA) by

comparing a model M1 that included the impact of the chromosome, age, and their interaction, with a

model M0 that included age only (see detailed R script in supplementary file S1). Normality,

homoscedasticity, and independence of the error terms of the model were assessed with the package

"lmtest" (94) in R (95).

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Figures

Figure 1. (a) Phylogenetic definition of the strata used in the analyses for *A. thaliana* (top) and *D. melanogaster* (bottom). The number of genes mapped to each clade is shown. **(b)** Relationship between the rate of protein evolution (ω), non-adaptive non-synonymous substitutions (ω_{na}) and adaptive non-synonymous substitutions (ω_a) with gene age in *A. thaliana* (top) and in *D. melanogaster* (bottom). Clades are ordered according to (a). In *D. melanogaster*, the results for X-linked, autosomal, and total genes are shown. Mean values of ω, ω_{na} and ω_a for each category are represented with the black points. Error bars denote for the 95% confidence interval for each category, computed over 100 bootstrap replicates.

Figure 2. Estimates of ω, ω_{na} and ω_a plotted as a function of **(a)** protein length and **(b)** mean

expression levels, **(c)** relative solvent accessibility, and **(d)** protein intrinsic disorder with gene age in

A. thaliana (top) and *D. melanogaster* (bottom). Analyses were performed by comparing short and

long genes **(a)**, lowly and highly expressed genes **(b)**, proteins with low and high mean RSA values

(c), and proteins with low and high average intrinsic disorder **(d)** across age categories (see Material

and Methods). Legend as in Figure 1.

682
683 **Figure 3**. Relationship between gene age and Grantham's distance between amin-acids for *A. thaliana*
684 (a) and *D. melanogaster* (b). A linear model was fitted between gene age and Grantham's distances
685 values and is represented with the blue line. For each clade, the median value of the Grantham's distance
686 between residues is depicted with the black dot. The shaded area represents the physicochemical
687 distances within the 1st and 3rd quartile.

Tables

690　**Table 1**. Kendall's correlation coefficients for the relationship between ω, ω_{na} and ω_a and gene age, for the analysis of gene age and the combined analyses of

691　gene age with the respective co-factors: protein length, gene expression, protein intrinsic disorder and the mean relative solvent accessibility per gene. The

692　combined probabilities for each co-factor within and across species are presented in the fields "Weighted Z" and "Weighted Z across species", respectively, for

693　ω, ω_{na} and ω_a.

		Arabidopsis			Drosophila			Weighted Z across species		
		ω	ω_{na}	ω_a	ω	ω_{na}	ω_a	ω	ω_{na}	ω_a
Gene Age		0.962 ***	0.848 ***	0.733 ***	0.727 ***	0.697 **	0.636 **			
Protein Length	**Long**	1.000 **	0.867 *	-0.200	0.867 *	0.600 (·)	0.867 *	1.56e-04 ***	7.71e-05 ***	7.98e-03 **
	Short	1.000 **	0.867 *	0.600 (·)	0.733 *	0.867 *	0.467			
	Weighted Z	6.46e-04 ***	1.61e-03 **	0.133	2.64e-03 **	5.29e-03 **	0.0105 *			
Gene Expression	**High**	0.867 *	0.867 *	0.467	0.867 *	1.000 **	0.600 (·)	6.93e-05 ***	6.89e-06 ***	3.53e-03 **
	Low	0.867 *	1.000 **	0.333	0.867 *	0.733 *	1.000 **			
	Weighted Z	1.51e-03 **	3.71e-04 ***	0.186	1.09e-03 **	1.68e-03 **	2.24e-03 **			
Protein Intrinsic Disorder	**High**	1.000 ***	0.939 ***	0.636 **	0.670 **	0.303	0.515 *	<2e-216 ***	6.60e-06 ***	2.53e-03 **
	Low	0.970 ***	0.909 ***	0.454 *	0.630 **	0.576 **	0.273			
	Weighted Z	< 2e-216 ***	< 2e-216 ***	1.20e-03 **	3.85e-05 ***	5.80e-03 **	4.18e-02 *			
Mean Relative Solvent Accessibility	**High**	0.944 ***	0.889 ***	0.722 **	0.636 **	0.673 **	0.564 *	1.00e-07 ***	9.00e-07 ***	1.37e-05 ***
	Low	1.000 ***	0.778 *ic	0.667 *	0.636 **	0.491 *	0.564 *			
	Weighted Z	6.20e-06 ***	1.41e-05 ***	1.24e-03 **	3.67e-04 ***	7.76e-04 ***	1.55e-03 **			

695　**Note**. For each variable, the correlation coefficient and the combined probabilities are shown with the respective significance (*$P < 0.05$; **$P < 0.01$;

696　***$P < 0.001$; "." $0.05 \le P < 0.10$) for ω, ω_{na} and ω_a in *Arabidopsis* and *Drosophila*.