## 1 Main Manuscript for

- 2 Low effective mechanical advantage of giraffes' limbs during walking
- 3 reveals trade-off between limb length and locomotor performance
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#### 24 Abstract

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Giraffes (Giraffa camelopardalis) possess specialised locomotor morphology, namely elongate 26 27 and gracile distal limbs. Whilst this contributes to their overall height (and enhanced feeding 28 behaviour), we propose that the combination of long limb segments and modest muscle lever 29 arms results in low effective mechanical advantage (EMA, the ratio of in-lever to out-lever 30 moment arms), when compared with other cursorial mammals. To test this, we used a 31 combination of experimentally measured kinematics and ground rection forces (GRFs), 32 musculoskeletal modelling, and inverse dynamics to calculate giraffe forelimb EMA during 33 walking. Giraffes walk with an EMA of 0.34 (±0.05 S.D.), with no evident association with speed 34 within their walking gait. Giraffe EMA was markedly below the expectations extrapolated from 35 other mammals ranging from 0.03 – 297 kg, and provides further evidence that EMA plateaus or 36 even diminishes in mammals exceeding horse size. We further tested the idea that limb 37 segment length is a factor which determines EMA, by modelling the GRF and muscle moment 38 arms in the extinct giraffid Sivatherium giganteum and the other extant giraffid Okapia 39 johnstoni. Giraffa and Okapia shared similar EMA, despite a 4-6 fold difference in body mass 40 (Okapia EMA = 0.38). In contrast Sivatherium, sharing a similar body mass to Giraffa, had greater 41 EMA (0.59), which we propose reflects behavioural differences, such athletic performance. Our 42 modelling approach suggests that limb length is a determinant of GRF moment arm magnitude, 43 and that unless muscle moment arms scale isometrically with limb length, tall mammals are 44 prone to low EMA.

#### 45 Significance Statement

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Giraffes are the tallest living animals - using their height to access food unavailable to their
competitors. It is not clear how their specialized anatomy impacts their athletic ability. We made
musculoskeletal models of the forelimbs from a giraffe and two close relatives, and used
motion-capture and forceplate data to measure how efficient they are when walking in a
straight line. A horse for example, uses just 1 unit of muscle force to oppose 1 unit of force on
the ground. Giraffe limbs however are comparatively disadvantaged – their muscles must

develop 3 units of force to oppose 1 unit of force at the ground. This explains why giraffes walk
and run at relatively slow speeds.

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#### 56 57 **Main Text** 58

# 59 Introduction60

61 Giraffes (Giraffa camelopardalis, Linnaeus 1758) are feeding specialists, but does the possession 62 of a disproportionately long neck and long limbs facilitate or constrain other behaviors? Whilst 63 their anatomy confers a recognized feeding advantage (Cameron and Toit, 2007), the effect on 64 locomotor performance remains unclear. Giraffes embody the essence of cursorial morphology. 65 Cursoriality refers to a number of anatomical traits which lend themselves to enhanced locomotor performance, including elongate distal limbs, digit loss or reduction, and restriction 66 67 of joint rotation to the parasagittal plane (Gregory, 1912, Coombs, 1978). One method of 68 measuring the degree of cursoriality is the ratio of metatarsal to femur length (MT:F). By this 69 measure, giraffes display extreme cursoriality, with MT:F 1.4 (Garland and Janis 1993). 70 Considering that horses (Equus ferus caballus), fast-running and quintessential cursorial 71 mammals, have a MT:F of 0.8, giraffe morphology is extreme.

Mitchell suggested that giraffes' elongated appendicular skeleton delivers a 'mechanical
advantage' during locomotion (1), and Pincher speculated that long limbs facilitate fast running
speed (2). Yet despite their extreme cursorial morphology, giraffes are athletically challenged.
For example adults giraffes run and walk at modest speeds, and lack an aerial phase in their
galloping gait (3, 4), conforming to the observation that the largest terrestrial animals are not
the fastest (5-7).

We propose that maximal locomotor performance in giraffes is constrained by their elongate limb segments (and consequently high shoulder height), rather than enhanced by it. At increasing distances from the ground, ground reaction force (GRF) vectors are more horizontally distant from the foot's center of pressure (COP); or point of GRF application. As a result, limb joints in taller animals may be subject to larger GRF moment arms than the homologous joints in shorter animals. Large GRF moment arms may reduce the effective mechanical advantage of the limb, or put more simply, limit the ability to resist gravitational forces (Biewener, 1989,1990).

Giraffids (Figure 1A and 1B) are an ideal group in which to explore this idea, as a diverse range of
phenotypes (with respect to height) have existed in the lineage.

87 Effective mechanical advantage (EMA) is a measure of a given joint's (or limb's) leverage against 88 the GRF; or in a simpler sense, the relative suitability of the joint (or overall limb) to resist 89 gravity (Figure 2A). EMA is a useful variable to consider in the context of locomotion, as it is 90 inversely proportional to the muscle force required to balance GRFs during locomotion, and is 91 also associated with mechanical stress (8) and activated muscle volumes (9-11). EMA can be 92 expressed as the ratio of the "antigravity" (typically extensor, or joint-straightening) in-lever 93 muscle moment arm (r), to the out-lever moment arm of the GRF vector (R) during the stance 94 phase of locomotion:

95 EMA = r / R Eqn 1

96 EMA scales allometrically with body mass in mammals ranging from mice (0.03 kg) to horses 97 (275 kg), with a scaling exponent of 0.26 (12). This indicates that larger animals exert relatively 98 smaller muscle forces in order to resist gravitational collapse of their limbs during the stance 99 phase (here, with EMA measured at the trot-gallop transition). Horses have an EMA of 100 approximately 1, indicating that their extensor muscle moment arms are equal to their GRF 101 moment arms, on average. Hence for every 1 N of GRF, horses typically must develop 1 N of 102 muscle force to maintain their posture. Their large EMA can be explained by their relatively 103 upright posture, where their joints are closely aligned with the GRF vector.

104 A plateau might exist in the relationship of EMA with body mass, in animals exceeding horse 105 size. Asian elephants (*Elephas maximus*) have an EMA of approximately 0.68 during slow walking 106 (11), and a musculoskeletal model of the extinct Tyrannosaurus rex estimated that this animal 107 moved with similar EMA (13). Similarly, relatively straight-limbed humans walk with an EMA 108  $\sim$ 0.7 (Biewener et al., 2004); and both humans and elephants shift to EMA  $\sim$ 0.5 or less during 109 more crouched running gaits (Ren, Miller et al. 2010). Hence horses have the highest EMA yet 110 recorded, partly explaining their high athletic capacity despite their large size (e.g., Garland, 111 1983).

The evolution of the giraffid appendicular skeleton has functional implications involving EMA.Giraffids with more ancestral morphology (Figure 1A) possessed relatively shorter limb

segments, and smaller body mass than *Giraffa* (14, 15). Okapis (*Okapia johnstoni*), the only
other living giraffids, have body proportions considered to be more ancestral; with a modest
body mass of 250 kg (16), and moderate limb and neck elongation (14, 17, 18).

Sivatherium giganteum (Falconer and Cautley 1836), from an extinct giraffid lineage (Figure 1A), displayed a different morphological phenotype, featuring extreme body mass in the presence of a robust appendicular skeleton and short neck (19). Comparing the EMA of giraffes, okapis and *Sivatherium*, in the context of their anatomical traits, would help reveal how limb proportions and locomotor constraints may have evolved in the giraffid clade, and how similar constraints may have evolved in other tall animals, such as sauropod dinosaurs.

123 Here we question whether elongate, cursorial limbs constrain locomotion, rather than facilitate 124 it. Our first prediction is that giraffes' EMA is lower than expected for an animal of large body 125 mass. To address this prediction, we used a synthesis of experimental data and musculoskeletal 126 modelling to compare EMA of the giraffe forelimb (taken as the mean of EMA values at each 127 joint) during walking to EMA values for animals ranging from mice to horses. Previous 128 experimental work has demonstrated that forelimb and hindlimb EMAs in guadrupedal 129 mammals are comparable (8, 11). We also use these data to test if low EMA may result in 130 greater locomotor cost in giraffes by estimating active muscle volumes required during stance 131 phase (9-11). Our second prediction is that EMA in the giraffid clade is associated with the 132 lengths and proportions of the limb, i.e. taxa with longer limbs have poorer leverage against 133 GRFs. EMA throughout the stance phase was estimated using skeletal models of Giraffa, Okapia 134 and Sivatherium forelimbs, with modelled kinematics and GRFs. Okapia was assumed to be 135 representative of giraffids' ancestral condition.

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#### 137

### 138 Results

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## 140 Giraffe EMA

EMA values for each forelimb joint in the giraffe are displayed in Figure 3A. Mean EMA<sub>imp</sub> and EMA<sub>40</sub> ( $\pm$  1 standard deviation) values were 0.34 ( $\pm$  0.05) and 0.29 ( $\pm$  0.05) respectively, with no apparent relationship with speed. Although these were statistically different measurements (t test, p < 0.001), the difference in biological terms was negligible. EMA was typically low at the

start and end of stance (Figure S1), although forces are also low during this time (3). EMA

tended to abruptly rise to (and fall from) infinity during the stance phase, due to the GRF vector

147 passing through some joints' centers of rotation.

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149 EMA<sub>40</sub> was compared with data from other mammalian quadrupeds. Using the comparative

dataset of animals ranging from 0.024 to 297 kg (20), an animal with body mass 780 kg was

151 predicted to have an EMA of 1.3 (with 95% prediction interval 0.88 – 1.93). Giraffe forelimb EMA

152 falls well below the 95% prediction interval (Figure 3B); about 24% of predicted EMA.

153

154 EMA<sub>imp</sub> sensitivity varied with the magnitude of COP displacement in *Giraffa* (Figure S2).

155 Displacement of the COP from its initial location at the distal third phalanx resulted in modest

156 variation in EMA. Changes of this magnitude (or other plausible COP assumptions) did not alter

157 the result that giraffes' EMA falls well below the scaling prediction for smaller mammals.

158 Estimated active muscle volume for each trial ranged 40 – 89 cm<sup>-3</sup> kg<sup>-1</sup> m<sup>-1</sup>, with mean 54 (±14),

and showed no apparent relationship with speed or stance duration.

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#### 161 Comparisons of EMA between giraffids

We modelled the stance phase of *Giraffa, Sivatherium* and *Okapia* (Videos S1-3), using statically posed skeletal models, animated with experimental kinematics. We tested for any difference between this method (EMA<sub>stat</sub>) and the experimentally derived giraffe data (EMA<sub>imp</sub>). There was no statistical difference between the two methods (t test, p=0.26). We further checked for errors in modelled GRF moment arms and muscle moment arms, in case concurrent errors were effectively cancelling each other out, resulting in net agreement.

Mass and inertial properties were ignored in the static models, where EMA<sub>stat</sub> was purely a geometric calculation (Eqn 1). This was a potential source of discrepancy when comparing with experimentally derived EMA<sub>imp</sub>, which did take these parameters into account (Eqn 4). To ensure we made sufficiently valid comparisons, we repeated EMA<sub>imp</sub> measurements using a giraffe musculoskeletal model with all mass properties set to zero, which in effect was equivalent to the simple measurement of r/R. We found that EMA<sub>imp</sub> for each trial was similar whether the limb's mass properties were enabled or ignored (t test p = 0.065; Figure S3). Other potential sources of error from the EMA<sub>stat</sub> models for *Giraffa* included inaccurate muscle
moment arm and/or GRF moment arm estimates. To test this, GRF moment arms were
compared from the static models with moment arms from the inverse dynamics method (Figure
S4). The GRF moment arms from the two methods, summarized as the mean moment arm, had
a root mean square error (RMSE) of 6%. Therefore, we consider variable muscle moment arms
to be the source of disparity between experimentally derived and modelled EMA.

- The muscle moment arms measured from the static giraffe model were compared with the weighted mean moment arms (derived from the musculoskeletal model) used to calculate EMA<sub>imp</sub> (Figure S5). The largest disparities were observed at the shoulder joint, where the extensor moment arm was over-estimated by 0.04 m (~67%); a result which led to a greater EMA value and a non-significant bias against our assumption that static and dynamically modelled moment arms were similar. We assumed that similar disparities in all three taxa likewise were non-significant, but not problematic for addressing our study's key questions.
- 188 Giraffa incurred the greatest absolute GRF moment arms, followed by Sivatherium and Okapia,
- respectively (Figure 4A, S6). The muscle moment arms, modelled as the parasagittal distance
- 190 from the estimated joint center of rotation to the bone surface, and normalized by shoulder
- 191 height, were also compared. In most cases, *Sivatherium* had the largest muscle moment arms,
- 192 with the exception of the MCP flexor moment arm (Figure 4B). There was imprecision associated
- 193 with the measurement of the MCP moment arm in *Sivatherium*, as the proximal sesamoid bones
- 194 were modelled and scaled from *Giraffa* (19). In most cases *Giraffa* possessed the smallest
- 195 muscle moment arms. The greatest difference in muscle moment arms was between the *Giraffa*
- and *Sivatherium* olecranon process at the elbow joint.

The GRF and muscle moment arms above were used to estimate EMA<sub>stat</sub> over the course of a
modelled stance phase for the three giraffid models. *Sivatherium* was estimated to have the
greatest EMA<sub>stat</sub>, followed by *Okapia* and *Giraffa* (Figure 4C).

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#### 201 Discussion

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#### 203 EMA in giraffes

Giraffes have a smaller than expected EMA for an animal of such large body mass (Figure 3B). We found that a giraffe using a typical lateral sequence walking gait had a forelimb EMA<sub>40</sub> of 0.29 rather than the value of 1.3 predicted from scaling of forelimb EMA in smaller taxa (8, 12, 20). We predict that the same conclusions can be applied to the hindlimb, which (as in other cursorial mammals) display similar patterns of EMA (8). This value is also less than half that for walking Asian elephants (*Elephas maximus*) (11), and unlike Asian elephants, the giraffe's EMA did not change within the (narrow) range of observed speed.

211 We found that two common methods for calculating EMA (EMA<sub>imp</sub> and EMA<sub>40</sub>) yielded similar 212 results (t-test, p=0.26) and led to comparable conclusions. EMA<sub>40</sub> in giraffes was outside of the 213 95% prediction interval of the log-transformed linear model from Biewener (2005) (Figure 3B), 214 and was consistent with the concept that an EMA plateau exists in animals with body mass in 215 excess of 300 kg (11, 13, 20). Reasons for low EMA values in *Giraffa* can be ascribed to the 216 magnitudes of the GRF and/or muscle moment arms. With regard to GRF moment arms, animals 217 larger than horses probably are unable to align their GRF vector even closer to their joint centers 218 to minimize R and maximize EMA (21), via increased straightening of the limb. In the case of the 219 giraffe, our comparisons between closely related giraffid species suggest that their long segment 220 lengths and shoulder height (and thus "cursorial" limb morphology) predispose them to 221 exaggerated GRF moment arms (Figure 4A).

Alternatively, animals may be able to counter large GRF moment arms with similarly large muscle moment arms. This does not appear to be the case for giraffes. For example, the shoulder extensor moment arm of the long head of the triceps brachii muscle was 0.10 m throughout stance, similar to the 0.13 m predicted for a 780 kg animal (22). The moment arms of giraffes' major muscle groups are summarized in Table S1. We surmise that giraffes are illequipped to effectively offset such large GRF moment arms, resulting in low EMA.

Since the calculation of EMA dictates that it is inversely proportional to the active muscle
volume (11), giraffes' relatively small EMA during walking suggests that a large volume of muscle

is recruited to oppose the GRFs that act on a limb. Surprisingly though, giraffes' mass-specific

muscle volume recruitment (V<sub>musc</sub>; 40 – 89 cm<sup>-3</sup> kg<sup>-1</sup> m<sup>-1</sup>) during walking is 4 – 8 times larger than
in walking humans, but broadly in line with other quadrupeds, including dogs, quadrupedal
chimpanzees and elephants (11, 23). Low EMA is instead compensated for by long step lengths
and relatively short muscle fascicles; shorter than the predictions for other non-hopping
mammals (22).

236 We were unable to correlate active muscle volume with metabolic cost of transport in walking 237 giraffes, as such data are unavailable. But since active muscle volume is correlated with 238 metabolic costs in birds and mammalian guadrupeds and bipeds (11), we similarly expect that 239 giraffes incur modest cost of transport at the slow walking speeds observed, and speculate that 240 locomotor economy is an important factor in determining preferred speed. We previously 241 suggested that giraffes avoid speeds outside of this optimum, due to sharp increases in 242 metabolic cost (3). We predict that faster speeds during walking or their galloping gait (4) are 243 met with increased step lengths and (potentially) changes in limb EMA, leading to higher 244 metabolic costs, and that this places a constraint on giraffes' athletic performance. EMA also relates to mechanical stress of supportive tissues. The scaling of EMA  $\alpha$  BM<sup>0.26</sup> in 245 mammals from 0.03 – 300 kg BM, combined with PCSA  $\alpha$  BM<sup>0.80</sup>, suggests that supportive tissue 246 247 stresses are nearly independent of body mass (20, 22). As a consequence, animals with below-248 expected EMA may risk higher skeletal and muscle stress, and catastrophic failure if no other 249 changes are made to their locomotor dynamics. In order to reduce the risk of tissue failure, 250 giraffes should be forced to reduce their athletic ability (7). Low EMA may explain giraffes'

- 251 limited capacity for speed (4, 24, 25), and may be a contributing factor as to why giraffes do not
- 252 gallop in a dynamically similar manner to other mammalian quadrupeds (4).

We reject the notion that giraffes' extreme height disposes them to a 'mechanical advantage' in locomotion (1), or that their long limbs facilitate fast speed locomotion (2). Instead, we find support for our prediction that extreme height and limb length in animals such as giraffids exceeding 300 kg results in increased GRF moment arms, and logically, reduced EMA.

257 EMA of giraffid species

258 EMA<sub>stat</sub> from Giraffa, Sivatherium and Okapia - three phenotypically distinct giraffids - were 259 estimated, using statically posed skeletal models. We used this modelling method to predict 260 how changes in limb segment lengths can alter EMA<sub>stat</sub> of a limb, and as a consequence, drive 261 changes in locomotor behaviour. At each joint, Giraffa consistently had the greatest absolute 262 GRF moment arms (and lowest EMA<sub>stat</sub>), contrasting with Okapia which had the smallest (Figure 263 4A, S8). When these moment arms were normalized to shoulder height, these differences 264 disappeared. This is consistent with the assumption of geometrically similar GRF orientation 265 between the three studied taxa, and implies that GRF moment arms should scale isometrically 266 with shoulder height. If this assumption is experimentally confirmed for a phylogenetically diverse sample of cursorial mammals, tall animals will be subject to large GRF moment arms 267 268 (Figure 5); this offers an explanation as to why EMA diminishes in mammals exceeding horse 269 size.

EMA is also dependent on muscle moment arm length. To test whether or not large (>300 kg)
body mass is strictly associated with low EMA<sub>stat</sub>, we modelled the muscle moment arms and
GRF moment arms of *Sivatherium giganteum*. Despite sharing a similar body mass, and probably
a similarly upright limb posture (Figure 1B), mean EMA<sub>stat</sub> was predicted to be 2 times greater in *Sivatherium*, compared with G*iraffa*. The source of this apparent difference lay both in the
differences in GRF moment arm (Figures 4A, 5) and *Sivatherium's* relatively large 'antigravity'
muscle moment arms (Figure 4B).

The robustness of the *Sivatherium* skeleton is exemplified by the olecranon process of the fused
radioulna bone, which is a useful proxy for the magnitude of the elbow extensor muscles'
moment arm. The 'considerable' projection of the olecranon was noted in an early fossil
description (26). The olecranon process of *Sivatherium* was indeed considerably longer than in *Giraffa* (Table S1), by 0.07 m (an 80% difference in parasagittal length, despite similar body
mass). Hence we speculate that *Sivatherium* was better equipped to offset the GRF moment
arms encountered during the stance phase, than the more gracile *Giraffa*.

We surmise that giraffes' extreme height has incurred a locomotor performance penalty, which may reflect their relatively modest athleticism (25). This complements the specializations in behavior and ecology seen in megaherbivores (27). For example, reduced predation in adult

giraffes (28, 29) may relax the selection pressures for high performance traits, such as speed and
endurance. Such relaxation of selection pressures may subsequently facilitate the expression of
novel or extreme morphology.

#### 290 Conclusions

291 We have highlighted that giraffes use lower than expected effective mechanical advantage, as 292 their musculoskeletal morphology (such as the ulna's olecranon process) is insufficient to 293 maintain the observed trend in EMA in animals up to 300 kg. Our results from an analysis of 294 modelled GRF moment arms and muscle moment arms suggested that giraffes' EMA is similar to 295 okapis, a giraffid with lower body mass and more plesiomorphic locomotor traits. Low EMA was 296 not ubiquitous among the giraffids, as *Sivatherium giganteum* was predicted to have greater 297 EMA; but still low compared to smaller mammals, even horses. The differential EMA between 298 Sivatherium and Giraffa may reflect behavioural or athletic differences between these two 299 similarly sized giraffids. Whilst giraffes' feeding ability is driven by extreme height, it appears 300 that this specialization has come with a functional trade-off with locomotor performance.

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#### 304 Materials and Methods

#### 306 Dynamic musculoskeletal modelling

307 A rigid-body giraffe musculoskeletal model was developed using the software package Software 308 for Interactive Musculoskeletal Modeling (SIMM v6.0; MusculoGraphics Inc, California, USA), as 309 follows. The skeleton of a cadaveric forelimb from a captive bred 7 year old male giraffe 310 donated postmortem by a local zoo, with body mass 880 kg, was segmented from CT images 311 (2.5 mm slice thickness, 100 kV, 200 Ma, Lightspeed Pro 16 slice CT, GE Medical, 312 Buckinghamshire, UK), and the resulting meshes exported as .stl files using the software package 313 Mimics (v19.0 Materialise, Leuven, Belgium). The digitized bones of the forelimb were then used 314 to construct a model (Figure 2B) consisting of five body segments (scapula, humerus, radioulna, 315 metacarpus and phalanges). Joint axes were assigned, and the limb segments were aligned into 316 a neutral reference pose (all joints at  $0^{\circ}$  = vertically aligned) using the software Maya (2016, Autodesk, California, USA). Joint axes were restricted to flexion and extension (i.e. hinge joints). 317

318	Muscle paths were added in SIMM, following established methods (30-32), guid	led using muscle
319	geometry derived from CT data and gross dissection of the cadaver. The origins	of forelimb
320	extrinsic muscles were estimated in the model, as cadaveric geometry for the n	eck and skull
321	were unavailable. Thirty-one musculotendon actuators were included (Supplem	nentary
322	Information). The mass and centre of mass (COM) of each segment (including se	oft tissues) were
323	estimated with the methodology of (33) and (34), where the convex hull and su	bsequent mass
324	parameters for each segment were calculated using the convex hull function of	Meshlab version
325	2016.12 (35) and custom code written in Matlab (Mathworks, Massachusetts,	JSA). The
326	geometry of the 880 kg giraffe model was isometrically scaled to the size of a 73	80 kg giraffe
327	using OpenSim 3.3 (36), to match data from an experimental subject.	
328		
329	The calculation of EMA in Eqn. 1 is derived from the notion that joint moments	induced by a GRF
330	must be balanced by an opposing and equal muscle moment, i.e.:	
331	$GRF \times R = Force_{muscle} \times r$	Eqn 2
332	Rearranged, EMA can be expressed both in terms of moment arms and in terms	s of forces:
333	$\frac{r}{R} = \frac{GRF}{Force_{muscle}}$	Eqn 3
221	Forces can be considered over the duration of the stance phase by calculating in	mpulses (force-

Forces can be considered over the duration of the stance phase by calculating impulses (force-time integrals). In this way, EMA can be expressed as:

$$336 \quad \text{EMA}_{imp} = \frac{\int \text{GRF} \, dt}{\int \text{Force}_{muscle} \, dt} \qquad \text{Eqn 4}$$

Using the impulses (9, 11, 37) has the advantage that the entire stance duration can be
considered, not just a single instant or the mean across a step. Overall limb EMA<sub>imp</sub> was
calculated as the mean of EMA<sub>imp</sub> at each joint (12).

Experimentally derived GRF and kinematic data (3) were used to calculate EMA at each joint, throughout the stance phase. Briefly, three adult reticulated giraffes walked over a three-axis force platform, in front of a video camera (Video S1). Joint centers were visually estimated and digitized using DLTV6 (38). 14 walking steps from one individual were selected from the larger dataset, with speed ranging from 0.8 to 1.2 ms<sup>-1</sup> (0.04 to 0.08 Froude number). These were

345 selected on the basis that the giraffe was not obscured by any foreground objects. This work

346 was conducted with ethical approval (number URN 2016 1538) from the Clinical Research Ethical

347 Review Board of the Royal Veterinary College, University of London.

Forces (e.g. of muscles acting around a joint) can be estimated from moment and musclemoment arm (Eqn. 3), assuming static equilibrium:

$$350 \quad Force_{muscle} = \frac{\text{moment}}{r} \qquad \text{Eqn 5}$$

351 Total net moments acting at each joint were calculated using the inverse dynamics function in

352 OpenSim 3.3 (36), where inertial (M<sub>inert</sub>) and gravitational (M<sub>grav</sub>) moments at the shoulder,

elbow, carpus and MCP were considered along with the moments required to generate ground

354 reaction force (M<sub>GRF</sub>)(9). The integral of total muscle force acting around each joint (i.e.,

355 *Force<sub>muscle</sub>* in equation 4) was calculated by dividing joint moments by the weighted mean

356 muscle moment arm for muscles crossing that joint (equation 5 and see below). When a joint

357 had variable action during stance (e.g. flexion followed by extension), force integrals for flexion

and extension were separately calculated using their respective moment arm, and then summedto give total force.

360 The agonist muscle moment arm (r, Figure 2A) for each joint was calculated as the mean 361 moment arm of the muscles at the time of peak GRF, weighted by each muscle's contribution to 362 total muscle physiological cross-sectional area (PCSA; see below), and with the numerical 363 subscripts for r and PCSA below referring to each muscle's moment arm or PCSA. This assumed 364 that all agonist muscles were similarly active (9, 12) (Eqn 6). We did not address the issue of co-365 contraction by antagonist muscle groups, as these forces were assumed to be non-significant 366 with respect to total muscle force. This approach keeps our analysis maximally comparable to 367 other studies of mammalian EMA, vs. a more comprehensive dynamic simulation analysis.

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369 
$$r = r_1 * \frac{PCSA_1}{PCSA_{total}} + r_2 * \frac{PCSA_2}{PCSA_{total}} + r_3 * \frac{PCSA_3}{PCSA_{total}} \dots$$
 Eqn 6

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PCSAs of muscles from the same 880 kg individual were measured using muscle architecture
 methods from muscle mass, pennation, and mean fascicle length (37). The extrinsic muscles of

the adult forelimb were missing; the PCSAs of these muscles were estimated by isometrically
scaling PCSA of the corresponding muscles from a sub-adult giraffe cadaver, with body mass 480
kg. Isometry was chosen as an assumption in the absence of other data, as the bones of the
forelimb scale with or close to isometry in the post-natal giraffe (39). Modest allometry of these
missing muscles would not be expected to influence our results or conclusions in a pronounced
way.

379

Whilst recent studies have used the above impulse method to calculate EMA (9, 11, 40), EMA from a varied range of mammalian species has been previously calculated as the mean ratio r/R, during the middle third of stance and at the trot-gallop transition (12). To facilitate comparisons between giraffes and other terrestrial mammals, EMA was additionally calculated in a more comparable manner. For each joint, following Biewener (1989), r/R was calculated when M<sub>GRF</sub>> 40% of maximum M<sub>GRF</sub>, which approximately corresponds to the middle third of stance. A mean value of EMA at each joint was calculated from this sample, here referred to as EMA<sub>40</sub>.

387

388 Giraffe forelimb EMA<sub>40</sub> was compared with a compiled dataset of EMA from 12 other

389 mammalian species (8). Data points from a logarithmic scatter plot from this publication were

390 digitised and replotted. The data were log-transformed, and a least squares regression model

391 was used to calculate the 95% prediction interval for the EMA versus body mass relationship.

392 Following prior studies and considering the modest sample size, potential biases incurred by

393 phylogeny were not addressed. All data were analysed using Matlab.

394

395 EMA calculations are sensitive to the location of the center of pressure (COP). COP data derived 396 from raw force plate outputs in giraffes were excluded from this analysis due to excessive signal 397 noise. In our model, the COP was fixed at the distal tip of the third phalanx. Placing the COP at this location facilitates repeatability of the method with different model taxa, but experimental 398 399 data from a variety of animals show that COP is dynamic during the stance phase; tending to 400 track cranially from an initial caudal position at the heel (41-44). A sensitivity analysis was 401 performed to assess the effect of COP location on EMA for one trial, where the COP was 402 randomly displaced (using Matlab) from the distal tip of the foot 100 times, to a maximum of 0.1 403 m (i.e. the length of the distal phalanges). EMA was then calculated in each case.

We estimated the mass-specific volume muscle activated per distance travelled for each of thetrials (11, 23, 37), calculated as:

407 
$$V_{musc} = \frac{1}{\sigma} \times \left( \frac{l_{fasc,shoulder}}{EMA_{shoulder}} + \frac{l_{fasc,elbow}}{EMA_{elbow}} + \frac{l_{fasc,carpus}}{EMA_{carpus}} + \frac{l_{fasc,MCP}}{EMA_{MCP}} \right) \times \frac{g}{L_{step}},$$
 Eqn 7

408

409 where  $V_{musc}$  is in units cm<sup>-3</sup> kg<sup>-1</sup> m<sup>-1</sup>,  $\sigma$  is assumed constant muscle stress (20 Ncm<sup>-2</sup>), *g* is 410 acceleration due to gravity (9.81 ms<sup>-2</sup>),  $l_{fasc}$  values are the mean agonist muscle fascicle lengths 411 (in cm) at each joint, weighted by each muscle's relative PCSA (similar to equation 6), EMA is 412 derived from the ratio of GRF to muscle force (equation 4), and L<sub>step</sub> is the horizontal distance 413 travelled by the center of mass during the stance phase.

414

#### 415 Static musculoskeletal modelling

We generated biomechanical models of the forelimb stance phase for the extinct *Sivatherium qiganteum* and the extant *Okapia johnstoni* to estimate EMA in these taxa. We chose the

418 simplified approach of modelling the limbs as rigid multi-segmented structures. These models

419 are termed 'static' because the internal joint angles were fixed; not driven by experimental

420 kinematic data as for *Giraffa*; although all three taxa studied were analyzed using lever

421 mechanics. The static models were used to estimate the GRF and muscle moment arms

422 throughout stance (Figure 1B), during a modelled walking step. The model for *Okapia* was

derived from photogrammetry of a complete mounted skeleton (specimen USNM 399337,

424 Smithsonian Institution, Washington, DC, USA), mounted in a standing posture. A 3D mesh was

- 425 generated from 300 digital photographs of the specimen using Photoscan v1.4 (Agisoft, St.
- 426 Petersburg, Russia) and Meshlab v2016 (35). The forelimb skeleton of *Sivatherium giganteum*

427 was reconstructed from ten fossil specimens from the Natural History Museum, UK (Table S2).

428 3D surface meshes were derived from photogrammetry of these specimens, and articulated into

429 a reconstruction. It is likely that these post-cranial specimens may be attributed to the same

430 individual (45). The missing distal phalanx and proximal sesamoid bones were scaled from the

431 same 880 kg giraffe (19).

432 Stance phase postures and all measurements were implemented in Maya. Mid-stance forelimb
433 joint angles for the okapi (Table S3) were derived from walking in healthy okapis (personal

434 communication [46]). A reconstruction of the Sivatherium mid-stance posture required three 435 joint angles to be assumed, for the elbow, carpus and metacarpophalangeal (MCP) joints. The 436 elbow angle was estimated by positioning the olecranon process of the radioulna perpendicular 437 to the long axis of the humerus (47). The carpal joint angle was assumed to be fixed in a neutral 438 position (0°) during stance. There is no tested method to predict MCP joint angle in extinct 439 species using surface bone geometry. Thus for the current purpose, we speculated that loading 440 at the MCP joint, due to body weight, was similar in Sivatherium as it is in Giraffa, given their 441 similar body masses (19). We therefore assigned the same internal MCP angle to Sivatherium, as 442 for the mid-stance giraffe model.

443

444 To model limb joint kinematics during stance, each limb was modelled as a stiff inverted 445 pendulum (48), whereby the rigid limb vaults over a pivot. The most distal extremity of the third 446 phalanx was assumed to be the rotation point. The angular sweep of the forelimb about this 447 point was modelled on the motion of the giraffe's shoulder through a walking stance phase. The 448 unit vector of the shoulder position (from the toe) was measured at each timestep throughout 449 stance, and imposed on the models of Sivatherium and Okapi. It was reasonable to extrapolate 450 Giraffa kinematics to closely related species, considering that giraffes walk in a dynamically 451 similar fashion to other mammalian guadrupeds (3), and more specifically similar to other 452 cetartiodactyls ranging in size from domestic sheep (Ovis aries) to giraffes (49).

453 Model GRF vectors were required for the extinct giraffid Sivatherium, and for Okapia. Giraffa, as 454 a closely related species, was used to model the GRFs of Sivatherium and Okapia. The validity of 455 this approach was tested by comparing the GRF unit vectors of giraffes with other cetartiodactyl 456 ungulates. During a steady state walking step, the unit GRF vector changes from positive 457 (deceleration) to negative (acceleration). To assess whether the GRF vector is consistent 458 amongst different mammalian cursorial taxa, the unit vectors of a giraffe were compared with 459 two other ungulates whose phylogenetic relationships form a close bracket around the position 460 of Giraffa (50). If a trait is conserved within this bracket (in this case a postural trait, supported 461 by relatively conservative morphology), it can be assumed that all descendants of the root 462 ancestor (including Okapia and Sivatherium) similarly share this character (51, 52). The unit 463 vectors from the walking gait of red deer (Cervus elephas) and dromedary (Camelus 464 dromedarius) were collected using the same force plate equipment (53), and compared with the

stance phase unit vectors from the giraffe. Their GRF unit vectors showed a consistent patternof change (Figure S7), and fall within the giraffe inter-trial variation.

467

GRF moment arms (R) with respect to the shoulder, elbow, carpus and MCP joint were

469 calculated from the toe – joint vector (a) and GRF vector (b):

470

471  $R = \sqrt{(|\boldsymbol{a}|)^2 - (\boldsymbol{a} \cdot \widehat{\boldsymbol{b}})^2}$ Eqn 8

472

473 Muscle moment arms (r) were simplified to a single measurement of the flexor moment arm at 474 the carpus and MCP joint, and extensor moment arms at the shoulder and elbow (Figure S8). 475 EMA<sub>stat</sub> was calculated as r/R (Equation 3) at each percentage time step during stance. Only 476 flexor muscle moment arms at the carpus and MCP joints were included in the analysis, as these 477 account for the anti-gravity function throughout the stance phase. In the case of the shoulder 478 and elbow, the flexor muscle moment arms depend on prior interpretations of muscle origins 479 and insertions (i.e. a musculoskeletal model), and were not included in this analysis (Figure S1). 480 Adopting this approach permitted readily objective comparisons between specimens including 481 the fossil giraffid. We then compared these simplified geometric measurements in the static 482 Giraffa model with those derived from experimental inverse dynamics, to assess the validity of 483 this approach.

484

485 This static modelling approach made the following assumptions that throughout the stance phase: (1) GRF unit vectors are the same in *Giraffa*, Okapia and Sivatherium; (2) the toe to 486 487 shoulder unit vectors are the same in Giraffa, Okapia and Sivatherium; (3) joint angles are 488 constant throughout stance. These assumptions are static simplifications of an otherwise 489 dynamic behavior. In order to assess the validity of the subsequent EMA calculations, an 490 additional Giraffa static model was created using the same methodology. The static model's 491 moment arms and EMA were compared with those derived from the experimental data (Figure 492 S1, S4-5).

493

494

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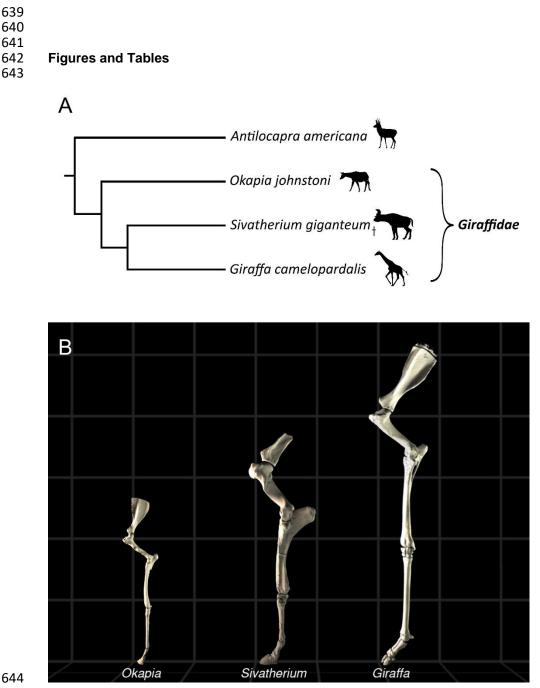
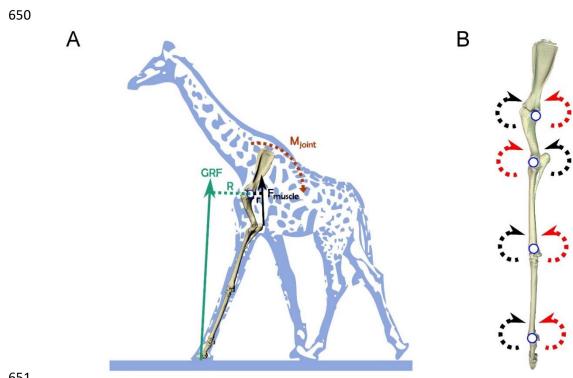
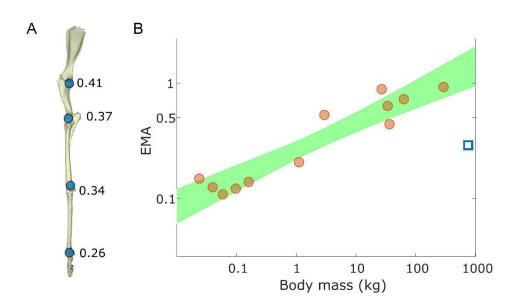


Figure 1 (A) Phylogeny of Giraffidae and outgroup (Ríos, Sánchez et al. 2016); † refers to 645 an extinct taxon. Image credits: www.phlopic.org (B) Modelled midstance postures of left 646 forelimbs of Okapia, Sivatherium and Giraffa. Models are displayed to scale, with each 647 gray box measuring 0.5 m in length. 648



651 652

Figure 2 (A) Giraffe forelimb skeleton during the early stance phase, with associated GRF 653 vector (green arrow), originating from a point (COP) under the foot. The GRF vector has a 654 moment arm (R; green dotted line) with respect to the shoulder joint, inducing a joint 655 656 moment (M<sub>joint</sub>). To resist this, muscle force (F<sub>muscle</sub>) produces an opposing muscle moment, with moment arm r (short black dotted line). (B) Locations of joint centers used 657 to set up a coordinate system for the giraffe musculoskeletal model (left forelimb in lateral 658 659 view). Red arrows represent flexion; black arrows represent extension.



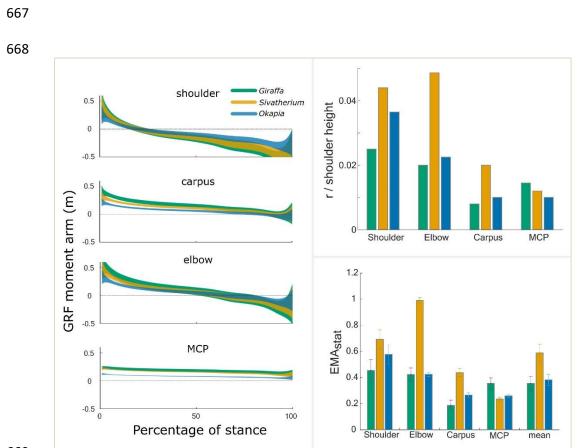
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Figure 3 (A) Mean values of EMA for each joint of the giraffe forelimb (shoulder to MCP;

663 shown in vertical reference pose). (B) Giraffe forelimb EMA (blue square) fell below the

664 **95% prediction interval (shaded area), indicating that walking giraffes significantly deviate** 

665 from the pattern seen in mammals of 0.03 – 297 kg at their trot-gallop transition (8).



669

670 Figure 4 (A) Modelled GRF moment arms in three giraffids, derived using data from 14 671 experimental trials from Giraffa. Shaded regions show 95% confidence intervals for mean 672 moment arm at each timepoint. Giraffa consistently had the greatest magnitude GRF moment arms. (B) Estimations of normalized muscle moment arms for the shoulder 673 extensors, elbow extensors, carpal flexors and MCP flexors (i.e. antigravity muscles used 674 675 to calculate EMA). (C) EMA<sub>stat</sub> throughout the stance phase. Due to a combination of large 676 GRF moment arms and modest muscle moment arms, Giraffa incurred the lowest EMA of 677 the giraffids studied. Error bars denote 1 standard deviation.

678

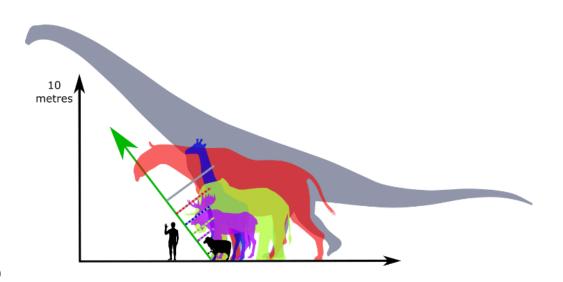


Figure 5. At increasing limb length, and given consistent GRF orientation (green arrow)
 and limb posture, GRF moment arms (dotted lines) are predicted to increase, resulting in
 progressively reduced EMA. In ascending order of size: Ovis aries, Alces alces, Elephas
 maximus, Giraffa camelopardalis, Paraceratherium transouralicum, Patagotitan mayorum.
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