1 **Ornament, armament, or toolkit? Modelling how population size drives the evolution of**
2 **birdsong, a functional cultural trait**

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7 **Abstract**

8 Oscine songbirds have been an important study system for social learning, particularly because

9 their learned songs provide an analog for human languages and music. Here we propose a

10 different analogy; from an evolutionary perspective, could a bird's song be more like an

11 arrowhead than an aria? We modify an existing model of human tool evolution to accommodate

12 cultural evolution of birdsong: each song learner chooses the most skilled available tutor to

13 emulate, and more likely produces an inferior copy than a superior one. Similarly to human tool

14 evolution, we show that larger populations foster greater improvements in song over time, even

15 when learners restrict their pool of tutors to a subset of individuals. We also demonstrate that

16 randomly sampling tutors from the population offers no clear benefit over sampling only existing

17 connections in a structured social network, and that by allowing a lower quality trait to be easier

18 to imitate than a higher quality one, simpler songs can be maintained after population

19 bottlenecks. We show that these processes could plausibly generate empirically observed patterns

20 of song evolution, and we make predictions about the types of song elements most likely to be

21 lost when populations shrink. More broadly, we aim to connect the modeling approaches used by

22 researchers studying social learning in human and non-human systems, moving toward a

23 cohesive theoretical framework that accounts for both cognitive and demographic processes.

24 **Introduction**

25   Social learning—"learning that is facilitated by observation of, or interaction with,

26 another individual or its products" [1,2]—is pervasive across the animal kingdom, from

27 invertebrates [3–5] to cetaceans [6] and primates [7]. Social learning is a special case of

inheritance that provides a non-genetic pathway for adaptive traits to be passed on from one

generation to the next. How does the pattern and process of evolution differ when important

traits are learned rather than transmitted genetically between generations? In the 20th century, the

discovery of genes as the primary unit of inheritance meant that Darwin's ideas about natural

selection could be synthesized with an underlying mechanism of transmission. A similar

synthesis between genetics, evolutionary processes, and broad behavioral patterns is still needed

for learned traits. In combination with experiments investigating the mechanisms of learning,

mathematical models can help generate hypotheses and test assumptions about cognitive

processes related to the transmission of social information.

The oscine songbirds offer a promising system for modeling the interaction of evolution

and culture; like humans, members of this group of songbirds must undergo a learning process in

order to produce effective vocal communications. In contrast to language, however, bird song is

often shaped by the transmission properties of the environment, such as foliage density [8,9] or

background noise [10]. Most notably, much research on birdsong has focused on social selection,

including female preferences for song elaboration or vocal performance (reviewed in [11,12] but

see [13]). These preference-shaped features are (to varying degrees) socially transmitted and

constrained by the availability of tutors. For example, even if the song trait of repertoire size has

a genetic and developmental component [14–16], pupils with a small pool of potential tutors will

be limited in the types of sounds from which to build their repertoires [17]. Returning to an

analogy with human culture, then, subtle variations in a bird's song can potentially be linked to

fitness differences, much like the skill to craft a sharp arrowhead can translate to increased

fitness for a human hunter. In both cases, a pupil's learned skill depends on the social

environment in which it learns.

Here, we propose that treating birdsong as a functional tool is a novel and complementary

approach to analogizing birdsong to human language. Although songs, unlike tools, are not

physical objects, songs have fitness consequences and may experience cumulative cultural

change towards a more effective version (which rarely happens in language). By emphasizing

song's functional aspects, we gain new methods to understand its evolutionary dynamics and

how demographic factors affect song over time. We use a cultural evolutionary approach to

social learning and human tool evolution [18] to make predictions about song evolution. In

essence, we represent song as a difficult skill that all learners in the population attempt to

reproduce with varying success. This simple but powerful framework has yielded novel insights

into human behavior (e.g. [19]; discussed in more detail below), and it holds promise to do the

same for cultural traits in other species. In particular, this approach provides a specific

mechanism to explain why attractive elements of song are sometimes lost when bird species

expand into new habitat and experience an associated population bottleneck. Unlike cultural

drift, which can be dominated by random processes, the model we propose here incorporates

selection—the assumption that certain forms of a cultural trait are beneficial or

preferable—while integrating population demographics and specific aspects of cognitive ability

[20–24]. Additionally, we apply the tools of social network analysis to show how changing

population connectivity affects trait evolution. Finally, we model the outcome if trait difficulty

varies with the elaboration of the trait, for example, if less attractive songs are easier to learn and

transmit than more attractive ones.

Basic model

In the tradition of anthropologists and cultural evolutionary theorists [25–27], Henrich

[18] applied mathematical models to show that the progressive loss of complex skills observed

across several thousand years of the Tasmanian archaeological record was best explained as an

outcome of demographic factors (namely, effective population size), rather than adaptations to

the climate or consequences of cognitive declines [28–30]. In Henrich's mathematical model, a

learned trait (such as a netweaving technique, or the straightness of an arrow shaft, p. 200 of ref.

[18]) gradually improves or declines across generations in a culture, depending on the interaction

of three factors: 1) how difficult the trait is to accurately copy (α), 2) how widely learners vary in

their attempts to copy the trait (β), and 3) how many learners and potential tutors exist in the

current population (N), a non-cognitive factor that nevertheless plays an important role in

cultural change. Henrich describes the relationship of these terms in a modified Price equation:

$$\Delta \bar{z} = -\alpha + \beta\left(\varepsilon + \ln(N)\right) \tag{1}$$

Here $\Delta\bar{z}$ is the population-level mean change in the skill level of a culturally transmitted

behavioral trait, and ε is Euler's constant (≈ 0.577). This equation represents a model of social

learning where all individuals attempt to imitate a trait produced by the most competent

individual in the previous generation, and there is no limit to the number of individuals that can

imitate the same tutor. Population size N is important because larger populations have more

individuals, increasing the probability that an individual produces a superior imitation. It is

important to note that there is no direct inheritance in this model, genetic or otherwise; each

pupil attempts to imitate the best tutor (z_{max}) in the population, and in each generation the

resulting z value for each pupil is drawn from a Gumbel distribution centered at $z_{max} - \alpha$ (Figure

1). Importantly, and perhaps counterintuitively, the population variation in skill of available

tutors does not influence trait change in the next generation in this model; only the highest value

tutor is chosen by pupils to attempt to imitate.

Given a trait that is difficult to learn, most individuals will produce inferior imitations

and thus only sufficiently large populations will contain enough pupils to consistently produce

slightly improved imitations by chance, therefore increasing the maximum value of the trait in

the next generation. When $\Delta\bar{z} > 0$, the mean skill of the population increases, including the skill

of the best tutor in the next generation (Fig 2). Conversely, $\Delta\bar{z} < 0$ results in a decline in the

population's skill over time, shifting the distribution to the left. This process differs from drift in

that traits that decline in skill level over time are not random but rather tend to be the most

difficult traits to imitate [18]. While the application of this model to empirical evidence in human

cultural evolution has been widespread, if contentious (e.g. [31–33]), to our knowledge it has

never been applied to non-human cultures.

 Figure 1. The probability distribution of a learner attempting to copy a trait with the highest z
 value possible. The trait value z varies in a population (here, $N = 100$ individuals). The maximum
 z value of the tutor population, z_{max}, is denoted with a dotted red vertical line. The skill a pupil
 acquires is drawn from the shown Gumbel distribution, with its mode (dashed line) set at $z_{max} -$
 α and a dispersion of β. As this distribution shows, most pupils in the population will end up
 learning to produce the trait with z values below the maximum possible value; by chance,
 however, some individuals will produce imitations in the far right tail of the distribution, such
 that large populations are more likely to exceed the previous maximum value in the population.

Figure 2. The change in maximum population skill level (z_{max}) from the first to second timestep when population size is 100 (left) and 20 (right). The second generation learns from the first generation, attempting to copy the example of the most skilled (highest z) individual in the population (filled red circle on the left). The open circle shows the maximum z value of the previous generation. Learners usually fall short of this model; however, in a large enough population, as shown on the left, one or more individuals will by chance improve in relation to the tutor (value of the filled circle in the second generation exceeds that of the open circle). Whenever the maximum value in the subsequent generation exceeds that of the previous generation, the trait will improve over time (value of filled circle exceeds open circle); if the maximum value is less than the previous generation, the mean value for that trait will decrease, as shown on the right.

Applying the model to birdsong: methods and results

To apply the above model to birdsong, it is necessary to translate the concept of an individual's skill along a continuous axis (z) to a measurable feature of song. Linking specific song traits to fitness is challenging, but some authors have shown how reproductive success

relates to particular performance traits in many taxa, including birds (reviewed in Byers et al 2010); for example, in oscine songbirds, a preference for the production of rapidly trilled notes seems widespread [34–37]. Additional song features that are thought to be under selection include repertoire size [38–40] and the production of particular elements [41–44]. Although not all components of birdsong are learned, it is reasonable to compare a male's ability to copy and produce a successful song (i.e. one that is capable of attracting a mate) with learned skills that increase survival in human cultures. Importantly, for the predictions of the Price equation to apply, it is only necessary for some degree of social inheritance to take place; song traits with partial genetic control can still be modelled if the distribution of learner performance is affected by the availability of skilled tutors. Furthermore, a better song (higher z) is defined loosely as any song that increases the singer's reproductive success and, thus, its fitness. In most oscine birds, this concept can also be expressed as a song that is more attractive from the receiver's perspective (usually, a prospective female mate).

Henrich's model assumes that, due to the incentive to produce high-quality imitations of these important traits, learners choose the best tutor in their population to imitate. In swamp sparrows, artificially slowed renditions of natural songs (<40% of the natural speed) are not imitated [45], suggesting that learners at least have a minimum set of criteria for tutor choice. Moreover, in captivity, young males in this species given only accelerated tutor songs will attempt to imitate higher trill rates than they are capable of producing, resulting in atypical syntax with a few trilled notes punctuated by longer silences [46]. Although such "broken" songs are not encountered in nature, young sparrows are able to imitate them in the lab, suggesting both a flexibility that could enable novel song adoption (in cases where such songs are not maladaptive), and a directional preference for copying faster trills. In another species of sparrow, artificial tutor songs with a single note removed were much less likely to be imitated than complete tutor songs, suggesting another kind of threshold for selecting quality tutors [47]. In addition to judging their songs, juvenile birds might also gauge potential tutors using social cues: for example, juveniles may preferentially imitate the songs of older [48] or more aggressive [49] males. This is somewhat analogous to cultural processes of prestige bias and success bias in humans [50–52]: in addition to judging tool quality (z), humans can also select

tutors based on social factors. While many aspects of song learning and tutor choice still need to

be studied in a natural context, enough is known to construct preliminary models that help

explain patterns of song divergence and make predictions that can motivate future fieldwork.

When we apply Henrich's adaptation of the Price equation to birdsong using a generic

metric of song quality (*z*), we find, as expected, that the mean skill level of the population

decreases over time when population sizes are small (lower *N*) or when traits are more difficult

to imitate (higher α), and the mean skill level increases when population sizes are larger or when

traits are easier to imitate (Figure 3a). The tradeoff between population size (*N*) and trait

difficulty (α) is shown by the white boundary in the heatmap, where $\Delta z = 0$ and the skill level

remains constant. Above this boundary ($\Delta z > 0$), the trait is expected to decline in quality over

time. Conversely, increasing variance in learning (β) tends to promote an increase in *z* over time

for a given population size (Figure 3b).

Figure 3. (a). The effect of population size (*N*) and trait difficulty (α) on the change in mean trait
skill level per generation (Δz), where β = 1. When population size is small, the mean change in
learned skill is negative each generation, even for lower values of α (easier traits). As population
size increases, cultural traits that are increasingly difficult to copy (higher α) can be maintained
and improved (positive Δz). **(b).** Increased learning variance (β) promotes the cumulative
increase in trait values. Here, α = 5 and β varies between 0 and 5.

Application to specific birdsong characteristics:

So far, *z* has referred to any generic song trait that is under selection. Next, we apply a

variation of this model to specific aspects of learned song. One aspect of birdsong that lends

itself well to the Price equation model of cultural evolution is trill rate. This component of song

is constrained by morphological limits [53], but also by the quality of the tutor song available:

swamp sparrows presented with only artificially slowed songs could not produce songs reaching

natural performance levels, although they did improve on their "tutor's" performance [45].

Moreover, trill rate is functionally important for mate attraction [34,36,41] and territory defense

[54–56]. However, several bird species show geographic variation in the rate or presence of trills

[57–59], raising the question of why these seemingly important features of song are present (or

more elaborated) in some populations and not others.

In Figure 4, we show 20 replicates of possible trajectories of this trait over 60

generations, starting from a uniform population trill rate of 4 notes per second. Population size

was set at $N = 100$ individuals with $\alpha = 5$ and $\beta = 1$. These values were chosen because a

population of 100 is realistic and not computationally prohibitive, whereas the values of α and β

represent a "boundary" at which minor changes to parameters will be visible due to changes in

trait value (see Fig. 3). These replicates differ due to the stochastic sampling of the Gumbel

distribution in each time step. In the majority of replicates, the mean z of the population

decreased over 60 generations; the mean of all replicates was a trill rate of 2.8 notes/second. This

result suggests that, at these cognitive parameters (α and β), a population greater than 100

individuals is required to maintain trill rate at or close to the physiological limit. It is important to

note that for most learned birdsong traits, physical or physiological limits exist that will constrain

song expression; e.g. bill size determines how rapidly a male can trill [60,61], while

neurophysiology places limits on auditory sensitivity [62,63]. Regardless of this limit, in our

model smaller populations experience a decline in trill rate as learners, over successive

generations, largely fail to exceed the skill level of the best tutor of their generation. Thus, over

time, trills may disappear altogether as the rate becomes so low as to no longer function in mate

attraction. Indeed, one replicate in this simulation reached a mean $z < 0$ before 60 generations

(red line), which we represent as the loss of the trait. While the precise values are in some sense

arbitrary (100 birds may be adequate to maintain most learned vocal behaviors in nature), this

example illustrates the general principle that smaller populations are more likely to lose

difficult-to-imitate traits than large ones.

Figure 4. The term *z* (a measure of skill level in a culturally transmitted trait) in the Price equation here represents the trill rate (number of notes repeated per second). Lines show the mean *z* of each replicate population over time. Open circles represent the mean *z* value across all 20 replicates for that generation. The range of final *z* values (maximum, mean, and minimum) after 60 generations are noted on the right y-axis. Since the simulation is stochastic, some replicates resulted in the cultural trait improving over time (e.g. *z* = 7.4, trill rate increased), whereas others resulted in a negative *z* and a loss of the trilled syllable (dashed x). For all replicates, α = 5, β = 1, and *N* = 100.

Even for song characteristics that are more difficult to quantify than trill rate, this model makes useful predictions about the direction of song evolution over time. For example, we can envision a bird's song composed of multiple syllables that vary in their difficulty to learn (Figure 5). In the language of birdsong literature, this would be a more syntactically complex song than one composed of a single repeated note. Like trill rate, syllable and song complexity has been shown to play a role in mate attraction for some species, although the strength and ubiquity of this effect is contested (see meta-analyses by [13,64]). For example, female Bengalese finches prefer songs with higher complexity, but stressful conditions early in life limit a male's syntactical complexity, indicating that these songs are more difficult to produce (large α) [65]. Repertoire size is another attractive feature of song that could be negatively affected by low population size. Although repertoire size *per se* may not be a culturally transmitted trait, the

availability of diverse models to copy necessarily limits the achieved repertoire size of imitative

learners, as seen in marsh wrens given a small pool of tutors from which to learn [17].

In the event of demographic changes such as population bottlenecks, our model predicts

that syllables with a high trait difficulty (large α) would be the most likely to disappear from the

population, while those that are simpler to learn (smaller α) would be maintained even after

population size shrank. This differs from the prediction of song component loss through neutral

processes (akin to genetic drift), because the loss of syllable types is not random. Rather, we

would expect that more difficult-to-imitate syllables would be disproportionately lost if

population size is reduced. This is true even if producing these syllables is beneficial to the

singer. We illustrate one such scenario in Figure 5, with a hypothetical bird song initially

composed of three different syllable types, each with its own imitation difficulty (α value). We

show the results in a single population over 60 generations, in which each note is learned

independently following the Price equation. Over 60 generations, the terminal syllable (which is

the most difficult to imitate) rapidly decreases in z, while the middle syllable remains mostly the

same and the initial notes increase in z value (Figure 5). Note that the exact interpretation of the z

value in this context is more complex than in the case of the trill. One way to conceptualize a

syllable with a larger z value is that the syllable is more salient or attractive to receivers (for

example, one that is faster, more stereotyped, or more complex). In contrast, a syllable with a

lower z value may be less salient to receivers (and perhaps disappears entirely from the song

when $z = 0$).

Figure 5. An ancestral song composed of 5 syllables of varying difficulty (α) is learned by a population of 100 individuals over 60 generations. The mean population value for z for each syllable (a measure of the attractiveness/effectiveness of that syllable) is indicated by color over time, with red indicating higher values of z. The first three syllables are relatively easy to learn accurately (lower α) and increase in z over 60 generations, represented here as less noisy, more consistent renditions; by contrast, the fourth syllable is more difficult to accurately learn, and the z value remains the same over time, while the fifth syllable is the most difficult to learn and quickly reaches a negative z (gray). In the context of bird song evolution, $z \leq 0$ can be thought of as a syllable disappearing from a population's song repertoire. This model shows that a population of 100 is more likely to maintain song components below some threshold degree of difficulty (here, the threshold is between $3 < α < 6$).

Song learning in a social network

Henrich's application of the Price equation necessarily simplifies the nature of social interaction in a population. Avian researchers might object that the pool of individuals from which a male could potentially learn his song is much smaller than the population sizes proposed in the examples above. A more sophisticated model would include an estimation of the size of both the overall population and those immediately accessible for copying at each timestep. Kobayashi and Aoki [66] devised such a model to reflect the fact that most individuals in a population are not able to learn from all members; in their model, individuals are randomly assigned k individuals out of the total population as potential cultural tutors, and choose the best tutor (highest z) from among those k individuals. Below we extend the methods of Kobayashi and Aoki in two ways to apply social network methodology to the question of how song might evolve in socially structured populations.

Disentangling population size (N) from connectivity of a network (degree)

A key characteristic of social networks is degree, or the number of connections possessed by each member (node) of the network (networks with different degrees are illustrated in Figure S1). To tease apart the impact of local social network dynamics from overall population size on song evolution, we modeled two different network scenarios. First, we simulated a network with $N = 100$ in which every individual was connected to twenty five others in the population (degree of 25). Initially, all individuals are assigned a z value of zero. Thus, in the first time step of our model, individuals are assigned an identical tutor randomly from the 25 connected individuals. In all following time steps, each individual selects the individual with the highest skill level (z) of its 25 connections to be its tutor. The likelihood that a pupil exceeds the tutor's z value is determined by the Gumbel distribution, defined by α and β, as before.

Second, we compared the above network to a fully connected network with $N = 26$, such that each individual still has 25 connections, but they are to every other individual in the population. We found that the larger network with a population of 100 and degree of 25 consistently achieves a higher mean z value after 50 generations than a network of 26 fully

connected individuals (Figure 6a), showing that there is indeed a "benefit" to being a part of a larger population—the mean skill level of the population will be higher—even if individuals in both populations only have 25 individuals to learn from at each time step. This benefit, as expected, decreases as the subset *k* approaches the size of the whole population (Figure 6b).

Figure 6. (A) The mean *z* value of the network in a 100-member network with a uniform degree of 25 (left) and a (fully connected) 26 member network with a uniform degree of 25 (right). Each simulation ran for 50 generations, and the violin plots show the outcome of 100 simulations for each type of network. **(B)** Each cell shows the difference between the final mean *z* of a population after 50 generations with the total N and *k* on the x- and y-axes respectively, and a population with the same parameters but a total N equal to *k*. Values shown are the mean of 20 replicates. **(C)** The effect of degree (the 25 network connections between nodes are maintained over generations) versus *k* (each node draws 25 individuals randomly each generation) on *z* over

time. $N = 100$, replicates $= 100$, generations $= 100$. **(D)** The difference in mean z after 50 generations for populations where $N = 100$, between networks with a k between 5 and 95 (where k potential tutors are sampled randomly from the network each timestep), and networks with degree between 5 and 95 (where the same connections are maintained for all 50 timesteps).

312 *The effect of randomized versus consistent tutors*

313   Next, we investigated two different scenarios in which only a subset of individuals in the
314 network were available as potential tutors. In the first, we created a 100-member network and ran
315 a simulation exactly as before, with each individual connected to 25 others (i.e. the network had

a uniform degree of 25). Again as before, these individual connections were retained for the

entire run of 100 generations. At each timestep, each individual chooses the highest k among its

connected nodes, and based on that maximum value, generates its new z value ("learns")

according to the Price equation. After every individual in the population has generated a new z

value, the timestep is completed and the next begins. We replicated this simulation 100 times for

100 generations each.

316   We also created 100-member networks where each individual had the opportunity to
317 learn from 25 individuals randomly drawn from the population in each timestep (k of 25). In
318 contrast to the previous scenario, the 25 individuals were picked randomly for each individual
319 during each learning event. We found that a fully connected network in which k individuals are

randomly selected as potential tutors, and a network with a degree of k, yield the same mean z

after 100 generations, as illustrated in Figure 6c. This suggests that whether the pool of tutors is

static over multiple generations, or shuffled each time, does not affect the overall outcome of

song evolution. In other words, re-sampling tutors for each new generation of individuals does

not provide any benefit for trait evolution by increasing the effective population size. Figure 6d

illustrates that this pattern holds for values of k and degree between 5 and 95; when k and degree

are the same, the difference in mean $\bar{z}$ after 50 generations is close to zero (light-colored

diagonal line).

The relationship between trait quality and difficulty: dynamic α as a function of z_{max}

Following Henrich [18], we have so far assumed that the value of α for a given trait

remains constant over time, regardless of the average skill level or complexity of that trait in the

population. However, it is plausible that as the skill level of a trait decreases (for example, trill

rate decreases or syllables become less structurally complex), learners will find it easier to

successfully imitate. In this case, we would predict a positive correlation between α and z: as the

quality of the trait increases (higher z values), the trait difficulty should also increase (higher α)

and vice versa. Below, we model this scenario by modifying α at each timestep, according to

how far above or below the initial z value the current maximum z is at that timestep.

Incorporating this plausible correlation between z and α produced two culturally and

biologically relevant patterns. First, when α increases with z, mean trait performance improves

until reaching a plateau, rather than a biologically unrealistic linear increase *ad infinitum*. As the

performance or quality of a trait improves in a population, the trait becomes progressively more

difficult to learn, acting as a check on the unbounded increase in trait quality seen in the original

model. Secondly, traits may be maintained after drastic decreases in population size, albeit in

simpler forms, rather than being lost altogether. In other words, as trait quality or performance

declines in a small population, the trait becomes progressively easier to transmit and is rescued

from loss. This concords with the relatively stable song traditions observed in most oscines, even

in small or isolated populations.

Figure 7. (A) In these ten simulations, α = 5, β = 1, and the population shrinks from 500 to 50 at generation 50 (note the difference in the *y*-axes between panels). **(B)** In these ten simulations, α varies between 3 and 7, changing each timestep depending on the mean value of z. Other parameters are the same as in the top panel (β = 1, population shrinks from 500 to 50 at generation 50), α varies between 3 and 7. Change from the original z_{max} (10) is used as an index

to increase or decrease within a range of possible alphas. Red dotted lines indicate the mean z for
the pre- and post-bottleneck populations.

Discussion

Analogies between research fields can be useful in sparking the application of novel

techniques to longstanding problems and encouraging interdisciplinary thinking. It is thus worth

periodically revisiting the scientific analogies we use most, and adopting new and

complementary ways of thinking about well-studied traits. Birdsong has often been compared to

human language [67,68] and music [69], both symbolic traits; these analogies have no doubt

encouraged the many decades of birdsong research in animal behavior, neuroscience, and

psychology. We argue that re-framing birdsong as a functional tool is likely to spur further

fruitful research. We draw an analogy between birdsong and human technologies—both of which

are fitness-enhancing culturally transmitted traits—and leverage models from cultural

evolutionary theory to shed new light on animal behavior evolution. We have shown, using a

relatively simple model based on the Price equation, that the size and network stability of a

population can influence the retention of song traits over time. Population size and network

structure in turn interact with cognitive parameters, such as the difficulty of copying a certain

song feature and variance of copying attempts, to shape song evolution. The interaction of

demographic and cognitive parameters, we argue, is likely to affect the evolution of signals in

oscine songbirds in similar ways to their effects on the evolution of human cultural traits: the

structure of a population and the properties of its learned behaviors both play a role in cultural

evolutionary dynamics. Specifically, populations that are small or sparsely connected will tend to

lose their most difficult-to-copy traits over time, even if these traits continue to be advantageous

to their bearers.

Empirical support for the hypothesis that population size affects song evolution can be

found in studies of island populations of songbirds. For example, in a chain of island

colonizations, chaffinches progressively lost syntactical structure compared with the ancestral

mainland population [70], and additionally showed simpler phrases and trills than mainland

chaffinches [71]. Studies of this kind are hard to replicate, as they rely on large-scale

phylogeographic events, but other researchers have shown rapid changes in songs on islands

[72,73] and in the associated recognition behavior [74]. Some common patterns emerge; for example, in a comparison of 49 pairs of mainland and island species, island species were less likely to sing rattles or trills [75], which are fast, complex sounds and thus potentially the most difficult to reproduce. There are already hints that difficult-to produce-elements (such as trilled notes) may be vulnerable to loss in small wild populations not restricted to islands, as predicted by our model; e.g. golden-crowned sparrows in the furthest northwest breeding population lack terminal trills or buzzes in their songs [58]. Similarly, in the barn swallow, the populations that have the shortest and least complex songs also have the slowest trills [57]. In species of conservation concern, a common pattern when songbirds experience population bottlenecks is the shrinking of repertoire size [73,76,77]. One complication in detecting this pattern in more common species is that apparently small, isolated populations may in fact be in contact with a larger number of potential tutors throughout their lifetime, for example during migration or wintering [78]. Determining the demographic history, as well as the current effective number of tutors, of populations that have slow or no trills, versus those with rapid trills, could provide a test of the hypothesis that trills are lost when population size falls below a certain threshold.

A key assumption of Henrich's model is that trait difficulty (α) stays constant for a given trait over time; in reality, a trait may become easier to learn as it simplifies. We modelled this variation and found an intuitive and important result: z approaches a minimum value as it becomes easier to replicate, enabling the trait to be stably maintained, though in a simpler form (small but positive z), in small populations that might have lost the trait when α was constant (negative z). However, even when trait difficulty (α) varies with trait quality (z), the trait can be lost from the population (z approaches a stable, but negative, value) under some parameter combinations. That simpler renditions of a species-typical song could be copied and maintained is consistent with empirical work in swamp sparrows [46], which shows that "poor" copies of song (with broken syntax, to accomodate trill rates beyond performance limits) are accepted as models by young sparrows and retained in future generations.

While population size has often been invoked in human cultural evolutionary theory as a factor in the maintenance or loss of learned skills [18,19,32,79–85], the existence of a straightforward relationship between population size and cultural complexity in humans has been

contested [86–96]. Empirical research in humans has provided evidence both for

[79,87,88,90,97–99] and against [94–96,100–103] such a relationship; similarly, there is also

debate around the relationship between population size and maintenance of a large inventory of

sounds in language [104–108]. The effects of population size may be complicated by other

properties of a population, such as migration rates and connectivity, and cultural features such as

conformity, that are also important to cultural evolution. For example, a recent study showed that

multiple, partially connected human groups can maintain a higher diversity of solutions to a

complex problem, and maintaining this heterogeneity of solutions enables more complex

combinations [109]. This framework offers a promising perspective for investigating the origin

and maintenance of dialects in many bird species, where spatial heterogeneity in song types can

persist in the absence of obvious physical barriers.

We cannot rule out that there is cultural selection directly on elements of song, as in some

aspects of human language [110], although there is no reason to suppose this will tend towards

simplifying songs. Very rapid changes in bird song cultures do occur (e.g. [78]), and in the

absence of obvious anthropogenic factors, such changes may be tied to cultural selection.

Anthropogenic changes to the soundscape may also be a major factor in selection on song

elements in the future, including elements that persist in the absence of noise [111] and may be

transmitted socially [10]. Although our model does not address the effects of cultural selection or

biased transmission on birdsong, clearly these mechanisms are extremely important for

understanding evolution of learned vocalizations.

Bird species vary in the relative importance of song for mate attraction (e.g., high in

zebra finches, [112]) versus territory defense (e.g. high in seaside sparrows, [113]). The strength

of selection on song, and whether it arises from mate choice or intrasexual competition, likely

affects how closely the assumptions of this model apply. Species where there is strong directional

selection on song via mate choice by females, which most closely fits with the classic Fisherian

runaway model, seem most likely to conform to the predictions we describe above. The stronger

the link between trait and fitness outcome, the more we might expect song to behave in a

tool-like way. We encourage researchers interested in vocal learning and other forms of

non-human cultural evolution to consider what novel predictions could be made in their system
by embracing this subtle but important change of perspective.

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