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2 **Modeling in yeast how rDNA introns slow growth and increase desiccation tolerance in lichens**

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7
8 **Abstract**

9 We define a molecular connection between ribosome biogenesis and desiccation tolerance in
10 lichens, widespread symbioses between specialized fungi (mycobionts) and unicellular phototrophs.
11 Our experiments test whether the introns present in the nuclear ribosomal DNA of lichen
12 mycobionts contribute to their anhydrobiosis. Self-splicing introns are found in the rDNA of several
13 eukaryotic microorganisms, but most introns populating lichen rDNA are unable to self-splice,
14 being either degenerate group I introns lacking the sequences needed for catalysis, or spliceosomal
15 introns ectopically present in rDNA. Although all introns are eventually removed from rRNA by
16 the splicing machinery of the mycobiont, Northern analysis of its RNA indicates that they are not
17 removed quickly during rRNA transcription but are still present in early post-transcriptional
18 processing and ribosome assembly stages, suggesting that delayed splicing interferes with ribosome
19 assembly. To study the phenotypic repercussions of lichen introns in a model system, we used
20 CRISPR to introduce a spliceosomal intron from the rDNA of the lichen fungus *Cladonia grayi* into
21 all nuclear rDNA copies of the yeast *Saccharomyces cerevisiae*, which lacks rDNA introns. Three
22 intron-bearing yeast mutants were constructed with the intron inserted either in the 18S rRNA
23 genes, the 25S rRNA genes, or in both. The mutants removed the introns correctly but had half the
24 rDNA genes of the wildtype strain, grew 4.4 to 6 times slower, and were 40 to 1700 times more
25 desiccation tolerant depending on intron position and number. Intracellular trehalose, a disaccharide
26 implicated in desiccation tolerance, was detected, but at low concentration. Overall, our data
27 suggest that the constitutive interference of the intron splicing machinery with ribosome assembly
28 and the consequent lowering of the cytoplasmic concentration of ribosomes and proteins are the
29 primary causes of slow growth and increased desiccation tolerance in the yeast mutants. The
30 relevance of these findings for slow growth and desiccation tolerance in lichens is discussed.

31

32 **Keywords**

33 Desiccation tolerance, rDNA introns, rRNA processing, Ribosome assembly, Lichen fungi,
34 *Cladonia grayi*, *Saccharomyces cerevisiae*, Trehalose, CRISPR

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38 Introduction

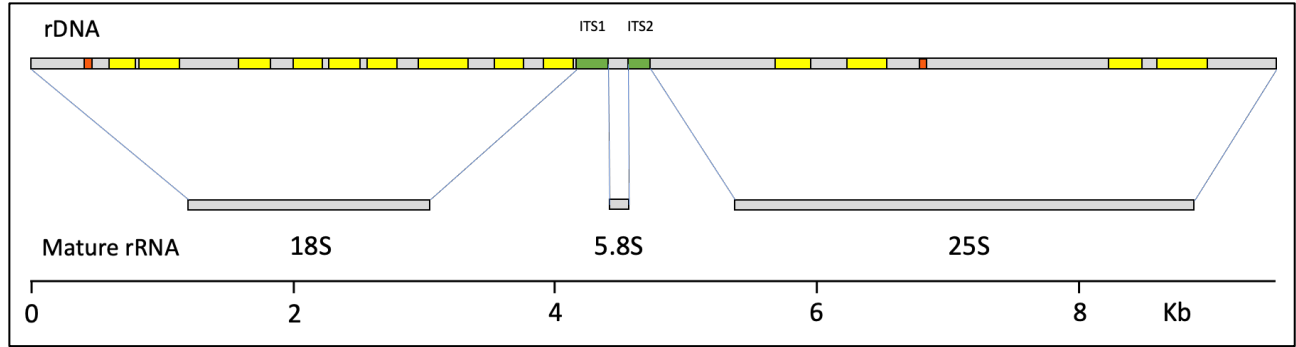
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40 In the study of desiccation tolerance, special attention has been devoted recently to
41 anhydrobiotes, organisms that can survive losing more than 99% of their water (LEPRINCE AND
42 BUITINK 2015; KOSHLAND AND TAPIA 2019). We focus here on ribosome biogenesis as a key node
43 in the control of desiccation tolerance in lichens, stable anhydrobiotic symbioses between
44 specialized fungi (mycobionts) and unicellular green algae or cyanobacteria (photobionts).
45 Transcriptomic analyses addressing lichen desiccation tolerance suggest ribosomal involvement
46 (JUNTTILA *et al.* 2013; WANG *et al.* 2015). Several ribosomal assembly and translation functions
47 appear to be under purifying selection in the lichen *Cladonia grayi* (ARMALEO *et al.* 2019)
48 suggesting that they play pivotal roles in the anhydrobiotic lichen symbiosis. In yeast, ribosomal
49 network regulation is central to the Environmental Stress Response (ESR) (GASCH *et al.* 2000) and
50 mutations hindering ribosomal assembly enhance desiccation tolerance (WELCH *et al.* 2013).

51 Lichen anatomy is complex for microorganisms (HONEGGER 2012) but lacks the water
52 management systems found in plants, such as roots, vascular tissues or waxy cuticles. Lichens
53 employ water-storing polysaccharides and evaporation barriers like cortices, hydrophobins, and
54 secondary compounds to slow down water loss but eventually, within minutes or hours of drying
55 depending on conditions, residual metabolism and transcription come to a complete halt throughout
56 their thalli (JUNTTILA *et al.* 2013; WANG *et al.* 2015; CANDOTTO CARNIEL *et al.* 2020). Yet lichens
57 can survive losing more than 95% of their water content and remaining dehydrated for long periods
58 of time, a stress that would kill most organisms (KRANNER *et al.* 2008). This is in contrast with non-
59 lichenized fungi, which spend most of their life cycles protected within their substrates and survive
60 desiccation through spores. This work focuses exclusively on mycobionts. For a review of lichen
61 desiccation tolerance which includes photobionts, see (GASULLA *et al.* 2021).

62 Frequent desiccation and rehydration induce protein denaturation and aggregation as well as
63 the formation of reactive oxygen species (ROS) that can cause direct damage to DNA, proteins, and
64 lipids. Antioxidants and ROS-processing enzymes, common defenses against stress, are thought to
65 protect also lichens from desiccation damage (KRANNER *et al.* 2008). However, the ability of
66 lichens to repeatedly withstand extreme desiccation suggests the involvement of additional defense
67 mechanisms. Thus, we hypothesized (ARMALEO *et al.* 2019) that the many rDNA introns present in
68 lichen fungi (DEPRIEST 1993; GARGAS *et al.* 1995; BHATTACHARYA *et al.* 2000; BHATTACHARYA *et al.*
69 2002) may provide such an extra defense through their effects on ribosome biogenesis.

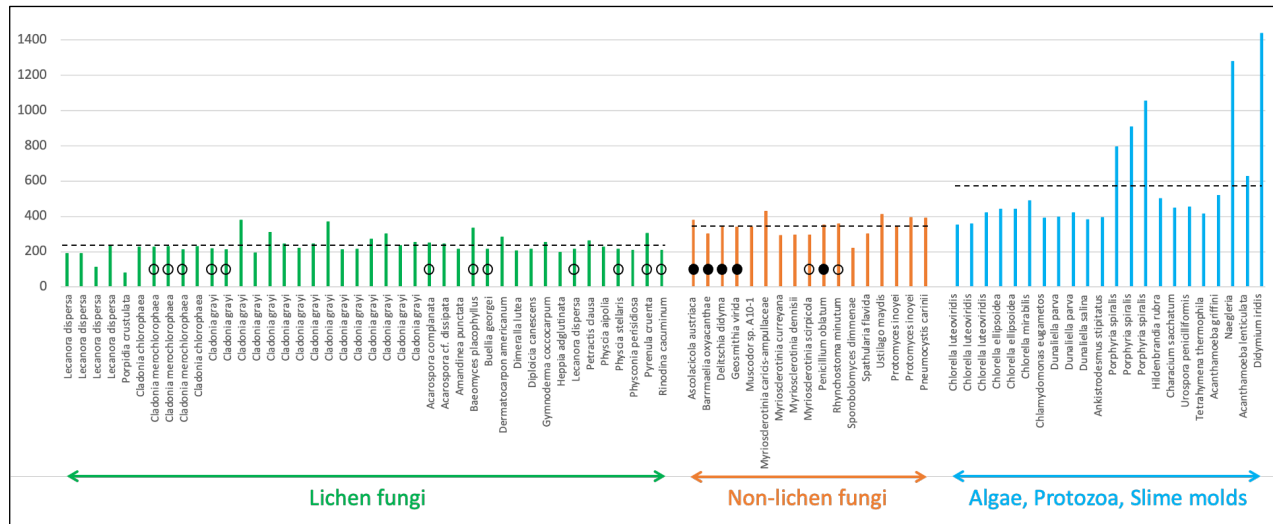
70 The introns relevant to this work are spliceosomal introns and group I introns. Spliceosomal introns,
71 universal among eukaryotes, are normally associated with Polymerase II transcription of protein-
72 coding genes, require specialized host factors to be excised, and perform important regulatory roles
73 (POVERENNAYA AND ROYTBERG 2020). Group I introns are found mostly in the ribosomal DNA of a
74 number of eukaryotic microorganisms, and normally form ribozymes able to fold into a conserved
75 structure that catalyzes self-splicing from the transcript without the aid of host factors *in vitro*
76 (CECH 1990), although self-splicing can be aided by maturase proteins facilitating proper folding of
77 the RNA *in vivo* and *in vitro* (LAMBOWITZ *et al.* 1999). Experiments with the self-splicing group I
78 intron from the ciliate *Tetrahymena thermophila* in its original host or transferred into yeast rDNA
79 have shown that the intron splices itself co-transcriptionally within seconds (BREHM AND CECH
80 1983), and that 99% of the introns are removed before the end of 35S pre-rRNA transcription
81 (JACKSON *et al.* 2006), which takes ~2 minutes per molecule in yeast (OSHEIM *et al.* 2004). Intron
82 presence has no discernible consequences on growth rate (LIN AND VOGT 1998) or other phenotypes
83 (NIELSEN AND ENGBERG 1985). This is why group I introns are commonly considered harmless



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85
86 **Figure 1.** Introns in the rDNA of a single-spore isolate from the lichen fungus *Cladonia grayi* (ARMALEO *et al.* 2019). Top:
87 the 18S, 5.8S, and 25S region of one rDNA repeat; gray, rRNA coding sequences; green, internal transcribed spacers;
88 yellow, group I introns; orange, spliceosomal introns. Middle: the three mature rRNAs produced from this region. Bottom:
89 scale in kilobases. Sequences were retrieved from <https://mycocosm.jgi.doe.gov/Clagr3/Clagr3.info.html>.

90
91 genetic parasites, including the relatively few group I introns found in the rDNA of non-lichen
92 fungi (HAUGEN *et al.* 2004b; HEDBERG AND JOHANSEN 2013). Compared to non-lichen fungi, the
93 rDNA of lichen mycobionts contains many group I introns (Fig.1) and a few ectopic spliceosomal
94 introns (BHATTACHARYA *et al.* 2000) which normally operate only in mRNA.

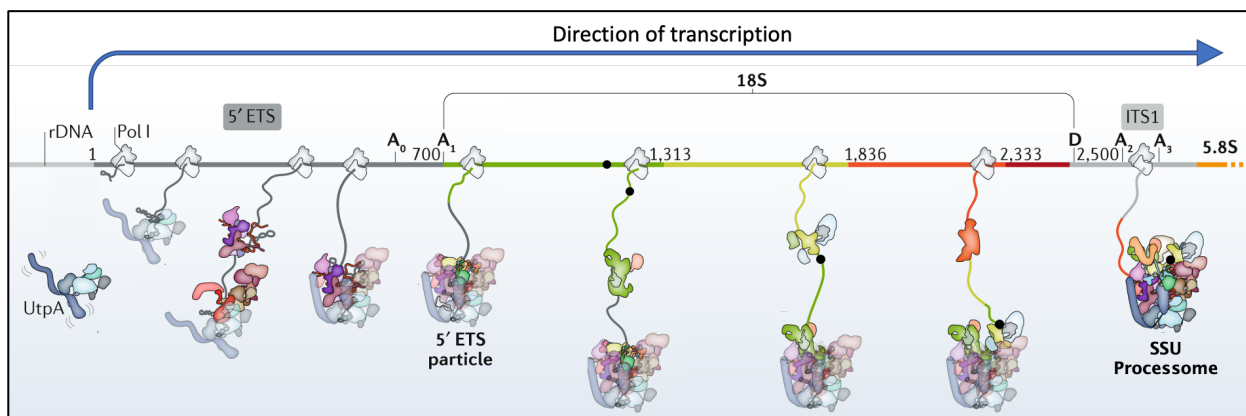
95 Lichen group I introns tested *in vitro* were found to be “degenerate”, *i.e.* unable to self-
96 splice (DEPRIEST AND BEEN 1992; HAUGEN *et al.* 2004b). This lack of self-splicing has remained a
97 puzzling observation which we reframe here as a central feature of lichen rDNA introns and a
98 fundamental key to interpret our yeast results and extrapolate them to lichens. To this end, Figure 2
99 combines self-splicing data across several lichen and non-lichen fungi with their group I intron
100 lengths and includes also group I introns lengths from other microbial eukaryotes. Lichen group I
101 introns, in which all self-splicing tests were negative, are the shortest and lack an average of 100
102



103
104
105 **Figure 2.** Length and splicing comparisons between group I introns from lichen and non-lichen fungi. Each colored
106 vertical bar represents the length of an intron (nucleotide number on the ordinate). Dotted lines represent the average
107 intron lengths within each of the three groupings (233, 339, and 586 nucleotides respectively). Introns tested for self-
108 splicing *in vitro* by (DEPRIEST AND BEEN 1992) and (HAUGEN *et al.* 2004b) are highlighted with open circles (no splicing)
109 or filled circles (splicing). Length data were compiled from (GARGAS *et al.* 1995), (HAUGEN *et al.* 2004b), (ARMALEO
110 *et al.* 2019). This is not a comprehensive compilation of all available group I intron data.

111 nucleotides relative to group I introns from non-lichen fungi. The lost sequences have been shown
112 to be necessary for catalysis (HAUGEN *et al.* 2004b). Since such degenerate introns are completely
113 removed from mature rRNA (Fig. 1), a specific group I intron splicing machinery must have
114 evolved in lichens. Since spliceosomal introns require spliceosomes for removal, the need for a
115 dedicated splicing machinery is a characteristic shared in lichens by group I and spliceosomal
116 rDNA introns. There are occasional self-splicing group I introns in lichen fungi (HAUGEN *et al.*
117 2004a; REEB *et al.* 2007), which would quickly splice themselves out of any significant interference
118 with rRNA processing. Those rare cases do not negate that loss of self-splicing is a prevalent
119 feature of lichen rDNA introns.

120 The hypothesis prompting us to test the effect of lichen introns on desiccation tolerance is
121 that the splicing machinery necessary to remove them from nascent rRNA interferes with rRNA
122 processing and ribosome assembly (Fig. 3), leading to slow growth and to increased desiccation
123 tolerance. A Northern analysis of intron splicing in the cultured lichen mycobiont *Cladonia grayi*
124 supports that hypothesis. To test in a model system the effects of non-self-splicing introns on
125 growth and desiccation tolerance, we used CRISPR to transfer a spliceosomal rDNA intron (first on
126 left in Figure 1) from the lichen *Cladonia grayi* into all 150 rDNA repeats of *S. cerevisiae* (CHIOU
127 AND ARMALEO 2018), which lacks rDNA introns. We inserted the intron at two yeast rDNA sites
128 occupied by introns in *C. grayi* (Figure 3 shows the intron inserted at the 18S site). Three intron-
129 bearing mutants were constructed, with the intron stably inserted either in all copies of the 18S
130 rRNA gene, of the 25S rRNA gene, or of both. Among wild type and mutant strains, we compared
131 ribosomal DNA repeat number, growth rates, desiccation tolerance, cell morphology and
132 intracellular concentration of trehalose, a disaccharide associated with desiccation resistance in
133 yeast and other organisms (KOSHLAND AND TAPIA 2019). The main effects of the introduced introns
134 were dramatic decreases in growth rate and equally significant increases in desiccation resistance.
135



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137
138 **Figure 3.** Visualization of how delayed intron splicing could interfere with ribosome assembly. The scheme depicts early
139 nucleolar phases of the cotranscriptional assembly of yeast 18S pre-ribosomal particles. From the start of transcription,
140 several assembly factors interact dynamically with the nascent rRNA, initiating ribosome construction. The grey parts of
141 the nascent RNA correspond to the 5' ETS and ITS1, the colored parts to the mature 18S rRNA. The spliceosomal intron
142 we inserted into the yeast 18S rDNA is depicted as a proportionally sized black dot located on the DNA and incorporated
143 upon transcription into the growing pre-ribosomal particle. The bulky and relatively slow spliceosome (not depicted here,
144 but comparable in size to the processome) removing the intron is expected to interfere with ribosome assembly, delaying
145 it. We depicted the intron as persisting up to the processome stage (as inferred from a Northern blot involving a different
146 18S intron; see Results). A similar interference by the intron is expected to delay maturation of 25S pre-ribosomal
147 particles (not shown). The diagram was modified from (KLINGE AND WOOLFORD 2019) with permission.
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149 **Materials and Methods**

150 **Plasmids, strains, and media**

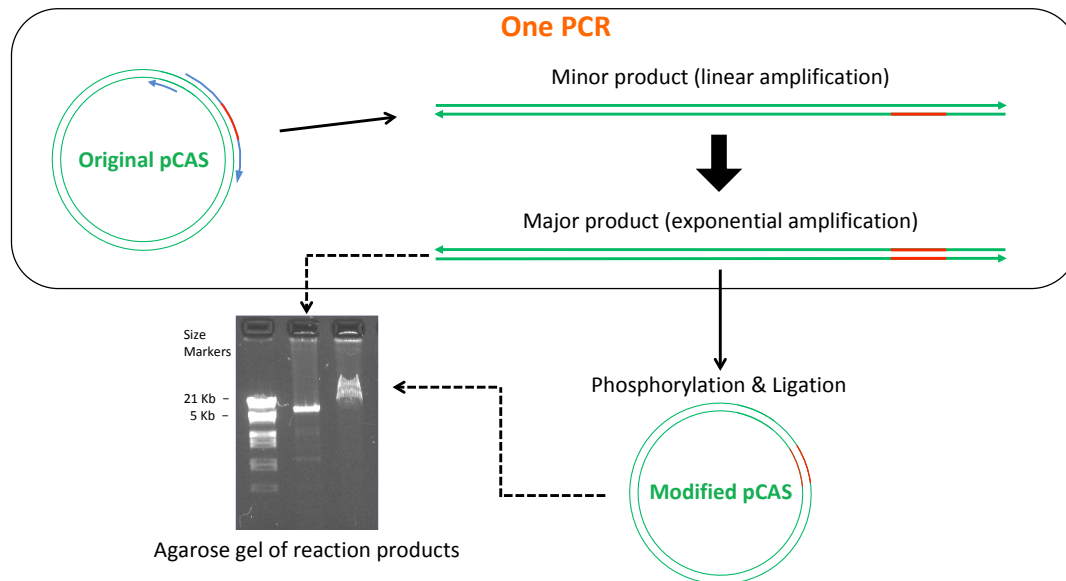
151 The lichen mycobiont used for the Northern is the *C. grayi* single-spore isolate
152 Cgr/DA2myc/ss (ARMALEO *et al.* 2019), routinely propagated on MY medium (HAMADA 1996).
153 DH5 α *E. coli* cells containing the plasmid pCAS were obtained from Addgene (#60847). Plasmid
154 pCAS (8.7 Kb) is a kanamycin/G418 shuttle vector carrying the gene for Cas9 and a generic guide
155 RNA expression cassette (RYAN AND CATE 2014). Competent *E. coli* strain DH10B (New England
156 Biolabs, C3019I) was used for transformations. *E. coli* was grown in LB medium (PROTOCOLS 2006)
157 at 37°C. For *E. coli* transformant selection, kanamycin (ThermoFisher Scientific) was added to the LB
158 medium to 100 mg/L. The yeast strain used was *S. cerevisiae* YJ0 (*MATa*, *gal4* Δ , *gal80* Δ , *ura3*-52,
159 *leu2*-3, *112 his3*, *trp1*, *ade2*-101) (STAFFORD AND MORSE 1998), and was typically grown in YEPD
160 medium (PROTOCOLS 2010) at 30°C. To select for yeast containing the transforming plasmid, G418
161 (VWR) was added to the plate medium to 200 mg/L.

162 **Northern of group I intron splicing in the lichen fungus**

163 To prepare total genomic RNA, small volumes of a *C. grayi* mycobiont liquid culture were
164 seeded onto 47-mm diameter, 20 μ m pore size Nylon filters. Filters were placed on MY plates and the
165 mycobiont was grown for 1 month at room temperature. Dry weight of mycelia per filter was
166 determined separately, two fresh filters (total dry weight equivalent \sim 10 mg) were removed from
167 plates, wetted in RNAlater (Ambion), mycelia were scraped off, pooled, and total genomic RNA was
168 extracted as described in (ARMALEO *et al.* 2019). Using standard Northern protocols, 1% agarose gel
169 electrophoresis was performed with 1 μ g of total RNA per lane, and the RNA was then transferred and
170 UV-crosslinked to a Hybond-N+ nylon membrane (Amersham). To prepare the probe, primers
171 CgInt3F and CgInt3R (Table 1) were used to isolate by PCR (Table 2A) from *C. grayi* DNA a 109 bp
172 fragment internal to group I intron-3 (third from left in Figure 1). The PCR fragment was cloned into
173 the pGEM-T Easy vector (Promega), whose polycloning site is flanked by two phage promoters (T7
174 and SP6) for RNA probe synthesis. Sequence and orientation of the insert were confirmed by
175 sequencing. The plasmid was linearized with SacII, and SP6 polymerase was used for transcription
176 and digoxigenin (DIG) for labeling of the intron-3 RNA antisense probe, using the Roche DIG
177 Northern Starter Kit. The same kit was used for hybridization and signal detection on X-ray film.

178 **Guide sequence introduction into pCAS by inverse PCR, screening, plasmid isolation**

179 In our hands the yields were very low when we used the procedure recommended by (RYAN *et al.*
180 2016) to introduce a desired guide sequence into pCAS. Their procedure involves PCR with two
181 self-complementary “guide RNA” primers, followed by DpnI restriction to eliminate the original
182 methylated plasmid before transformation. We therefore decided to update the inverse PCR
183 procedure developed for plasmid mutagenesis by (HEMSLEY *et al.* 1989). We found that by using
184 two primers which are not self-complementary dramatically increases product yield and eliminates
185 the need for DpnI treatment (Fig. 4). One of the two is 60 bp long and is the mutagenic primer
186 (GuideRNA primers in Table 1), designed according to the guidelines in (RYAN *et al.* 2016) to
187 contain the desired 20-bp guide sequence flanked on both sides by 20-bp sequences homologous to
188 the plasmid. The other is a normal, shorter PCR primer not overlapping with but immediately
189 adjacent to the long primer on the plasmid sequence (Fig. 4). Using the inverse PCR method, we
190 constructed three modified pCAS plasmids, pCAS_SS2, pCAS_LS7, and pCAS_LS7nc with,
191



192

193 **Figure 4.** Inverse PCR is fast and efficient in modifying CRISPR guide sequences. Drawings are not to scale and primer
 194 sizes (blue rounded arrows on original pCAS) are exaggerated relative to the size of the plasmid (green double lines). The
 195 modified guide sequence is colored orange on primers and plasmids. Components and events in the single PCR reaction
 196 are enclosed within the rounded rectangle at the top. Either one of the two possible primer arrangements on the original
 197 pCAS can be chosen to create the same modification of the guide RNA. The figure depicts only one primer arrangement.
 198 The 5' nucleotide of the 60 base-long GuideRNA primer and of the 20 or 27 base-long Extend primer (Table 1) correspond
 199 to adjacent nucleotides on the plasmid sequence, producing full-length, blunt-ended, linear products that are directly
 200 phosphorylated, ligated, and used in transformation. Sub-nanogram quantities of the original 8.7 Kb plasmid can yield
 201 micrograms of modified plasmid as shown by the gel image. The very large excess of modified plasmid over the amounts
 202 of original and minor product DNA bypasses the need to eliminate the minor DNAs before transformation, as 50% or
 203 more of the *E. coli* transformants will have the desired guide sequence.

204
 205 respectively, primer pairs GuideRNA_SS2R and pCAS_ForwExtend, GuideRNA_LS7F and
 206 pCAS_RevExtend, and GuideRNA_LS7nc and pCAS_RevExtend (Table 1). For high fidelity PCR,
 207 we used Phusion HF DNA Polymerase (NEB) according to the manufacturer's specifications. Each
 208 10- μ l PCR contained 1 ng pCAS plasmid. It is important to use the relatively high dNTP
 209 concentration in the reaction (200 μ M each) recommended by the manufacturer to avoid unwanted
 210 deletions and mutations around the plasmid ligation junction. At lower dNTP concentrations the
 211 3'>5' exonuclease activity of Phusion polymerase increases and could damage primer and amplicon
 212 ends. Thermocycling conditions were as described in Table 2B. The linear PCR product (Fig. 4)
 213 was 5' phosphorylated and blunt-end-ligated using standard protocols. Competent *E. coli* (strain
 214 DH10B) were transformed with each of the three plasmids and plated on LB-kanamycin medium.
 215 Colony PCR was first used to screen 10-20 transformants for the presence of the correct guide
 216 sequence. The primers used for colony PCR (Table 1) were GuidePrimerSS2F, and pCAS_Seq_R
 217 for plasmid pCAS-SS2, GuidePrimerLS7R and GuideSeq for plasmid pCAS-LS7, and GuideSeq
 218 and pCAS_Seq_R2 for plasmid pCAS-LS7nc. For the first two plasmids, one primer
 219 (GuidePrimerSS2F or GuidePrimerLS7R) was specific for the guide sequence so that a PCR
 220 product would form only in presence of the correct guide sequence. Both primers used for plasmid
 221 pCAS-LS7nc were flanking the guide sequence, whose correctness was then directly confirmed by
 222 sequencing. PCR conditions were as described in Table 2D. Plasmids were isolated from
 223 transformants, and presence of the correct gRNA sequence was confirmed by sequencing using
 224 forward primer GuideSeq and either pCAS_Seq_R or pCAS_Seq_R2 as the reverse primer.

Name	Sequence	Function
CgInt3F	GACGCCAGTCACAGATTGAT	Northern probe for group I intron-3
CgInt3R	GCCTCTAAGAGACCCCTCCC	
CgSSint1_SS2F	AGGGCCCATTCGGGTCTTGTAAATGGGAATGAGTACAATGTAATACCTT AGTA GTCAAAATAATCCTTTTC	
CgSSint1_SS2R	GGAAATACCGGGCTGCTGGCACCAGACTTGCCTCCAATTGTTCTCTCGT CTATTAATGATGTTAGTAATG	
CgSSint1_LS7F	AGTCCCTCGGAATTTGAGGCTAGAGGTGCCAGAAAAGTTACCACAGGGAT GTAT GTCAAAATAATCCTTTTC	
CgSSint1_LS7R	AGAATCAAAAAGCAATGTCGCTATGAACGCTTACTGCCACAAGCCAGTT CTATTAATGATGTTAGTAATG	
GuideRNA_SS2R	GCTATTTCTAGCTCTAAAAC CGTTAAGGTATTACATTG TAAAGTCCCATTCCGCCACCCG	To modify the pCAS guideRNA sequence
GuideRNA_LS7F	CGGGTGGCGAATGGGACTTT AAAGTTACCACAGGGATAACG TTTTAGAGCTAGAAATAGC	
GuideRNA_LS7ncF	CGGGTGGCGAATGGGACTTT CCACAAGCCAGTTATCCCTG TTTTAGAGCTAGAAATAGC	
pCAS_ForwExtend	AAGTTAAAATAAGGCTAGTCCGTTATC	
pCAS_RevExtend	AAGGTGTTGCCAGCCGGCG	Yeast colony PCR
YrDNA10F	GCTCGTAGTTGAACCTTTGGGCC	
YrDNA18R	GGCCCAAAGTTCACACTACGAGC	
Cg28S_F2	CAGTGTGAATACAAACCATGAAAGTG	
Cg28S_R1	CCAACGCTTACCGAATTCTGCTTCGG	
PCR Control F	ACGGCGCGAAGCAAAAATTAC	To check for plasmid loss
PCR Control R	TGCCCGACATTATCCGGAG	
GuidePrimerSS2F	ACAATGTAATACTTTAAGC	Primers specific for guideRNA sequences
GuidePrimerLS7R	GTTATCCCTGTGGTAACTTT	
GuideSeq	CGGAATAGGAACCTTCAAAGCG	Sequencing primers
pCAS_Seq_R	AAGCACCCGACTCGGTGCCAC	
pCAS_Seq_R2	GAGGCAAGCTAAACAGATCTC	
Y-SSUqF2	CACCAGGTCCAGACCAATAAG	qPCR primers
Y-SSUqR2	CAGACAAATCACTCCACCAACTA	
Y-Act1qF4	CGTCTGGATTGGTGGTTCTATC	
Y-Act1qR4	GGACCACTTTCGTCGTATTCTT	

Table 1. Primers used in this study. The 3' end sequences bolded in the first set of primers anneal to the 5' and 3' end regions of the intron respectively. The T in red is a mismatch designed to modify the 5' splice site. The fifty 5' bases match the yeast rDNA flanking the Cas9 cut site. The twenty bases bolded in the second set of primers are the guide sequences for Cas9.

A		B		C		D		E		F	
Temp.	Time	Temp.	Time	Temp.	Time	Temp.	Time	Temp.	Time	Temp.	Time
94°C	4 min	98°C	30 sec	98°C	30 sec	94°C	4 min	95°C	5 min	95°C	60 sec
*94°C	30 sec	*98°C	10 sec	*98°C	10 sec	*94°C	30 sec	*95°C	30 sec	*95°C	30 sec
55°C	30 sec	58°C	1 min	55°C	30 sec	50°C	30 sec	60°C	30 sec	56°C	30 sec
72°C	30 sec	72°C	4 min	72°C	10 sec	72°C	15 sec	to * 39x		to * 39x	
to * 39x		to * 30x		to * 34x		to * 39x		72°C	2 min		
72°C	7 min	72°C	2 min	72°C	5 min	72°C	7 min				

Table 2. Thermocycling conditions referred to in the text.

Intron PCR

Cladonia grayi mycobiont DNA was isolated as described in (ARMALEO AND MAY 2009). The 57-bp intron (first on left in Figure 1) we chose for transfer into yeast rDNA was amplified (Phusion HF DNA Polymerase) from 5 ng *C. grayi* DNA with two primer pairs, CgSSint1_SS2F and CgSSint1_SS2R for insertion into the 18S (SSU) sequence, or CgSSint1_LS7F and CgSSint1_LS7R for insertion into the 25S (LSU) sequence (Fig. 5 and Table 1). PCR conditions are listed in Table 2C. PCR products were cleaned with a QIAquick PCR purification kit and used for yeast cotransformation with the appropriate pCAS plasmid variant. Each 157-bp PCR fragment contained the intron flanked by 50-bp segments homologous to either the small or the large subunit rDNA of yeast, to direct integration by HDR (Fig. 5). The branchpoint and 3' splice site sequences of the lichen intron match the most common consensus sequences in yeast (KUPFER *et al.* 2004), but the 5' splice site, GUAAGU, corresponds to a less frequent yeast version. To make the 5' splice site match the common consensus in yeast, GUAUGU (KUPFER *et al.* 2004), we introduced into the forward primer a one base A>T mismatch with the original lichen sequence (Fig. 5 and Table 1).

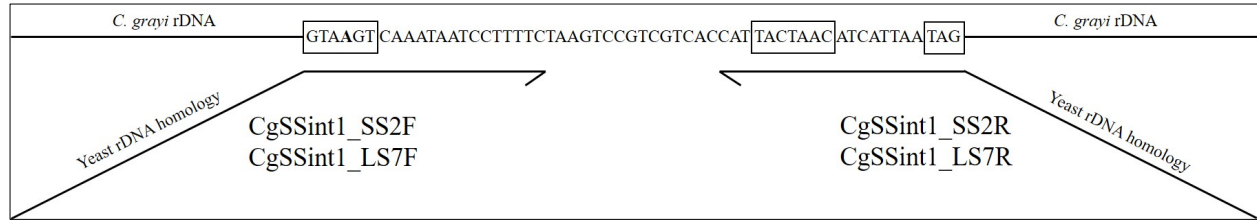


Figure 5. Incorporation of a *C. grayi* intron into a PCR fragment for integration into yeast rDNA. The top line shows the intron sequence within *C. grayi* rDNA. From left to right, boxes highlight the 5' splice site, branchpoint, and 3' splice site consensus sequences, respectively. The bolded A in the 5' splice site was changed to a T through primer CgSSint1_SS2F or CgSSint1_LS7F. The primer regions annealing to the intron are drawn parallel to the intron, with arrowheads indicating the 3' ends. Tilted are the 50-bp regions homologous to yeast rDNA, which allow intron integration by HDR into yeast rDNA cut by Cas9 within the homologous regions. Not drawn to scale.

Yeast transformations, colony PCR, test of plasmid loss

Single intron insertion yeast strains MSS2 and MLS7 were obtained from wildtype strain YJ0 by cotransformation, respectively, with pCAS-SS2 + SSU intron fragment and pCAS-LS7nc + LSU intron fragment. The double insertion strain Md was obtained from strain MSS2 by cotransformation with pCAS-LS7 + LSU intron fragment. We also performed transformations using plasmids pCAS-SS2 or pCAS-LS7nc each by itself, to screen for small mutations around the Cas9 cut sites rather than intron insertions. The protocol by (RYAN *et al.* 2016) was followed for competent cell preparation and transformation. In each cotransformation, 100 μ l competent cells were combined with 1 μ g pCAS plasmid derivative and 5 μ g intron fragment. Transformants were selected on G418 YEPD plates incubated at 30°C. Individual transformants were screened by colony PCR using primers flanking each expected insertion/small mutation site (YrDNA10F/YrDNA18R for the small subunit and Cg28S_F2/Cg28S_R1 for the large subunit, Table 1) and sequencing of PCR products. Thermocycling conditions were as described in Table 2E. After prolonged growth in YEPD lacking G418, yeast transformants were checked for plasmid loss using the same colony PCR protocol with plasmid-specific primers PCR Control F and PCR Control R (Table 1). All yeast mutant strains used in the experiments had lost the Cas9 plasmid.

Yeast RNA extraction, cDNA preparation

To demonstrate intron splicing, total RNA was extracted from the MSS2 transformant, bearing the intron in the 18S rRNA repeats. A 10 ml overnight culture was pelleted, the pellet was frozen with liquid N₂ and thoroughly ground in a mortar with liquid N₂. Total RNA was extracted using the RNAqueous kit (ThermoFisher Scientific). RNA was quantified by NanoDrop (ThermoFisher Scientific) and quality was assessed by agarose gel electrophoresis. Using Superscript III RT (ThermoFisher Scientific), reverse transcription was performed at 50°C for 120 min in a 25 μ l volume with 100 ng RNA and the reverse primer YrDNA18R (Table 1), whose 5' end is 111 bases downstream from the intron insertion site. The cDNA was amplified with primers YrDNA10F and YrDNA18R (Table 1). PCR conditions were as described for yeast colony PCR (Table 2E). The PCR fragment sizes with and without intron are 290 and 233 bp respectively. Correct splicing was confirmed by sequencing.

Relative rDNA copy number determination

We used qPCR to determine the relative number of rDNA copies in the mutants vs. the wildtype strain. Each strain was grown in 10 ml YEPD at 30°C to early stationary phase, and DNA was extracted (HOFFMAN AND WINSTON 1987). DNA concentration was estimated by Nanodrop

293 (ThermoFisher) and fine-tuned by measuring band intensity on gels. The change in rDNA copy
294 number in MSS2 and MLS7 relative to YJ0 was assessed (Supplementary File 1) with the $\Delta\Delta C_t$
295 method as modified by (PFAFFL 2001) to allow for different amplification efficiencies between
296 reference and target gene. As target we used a section of SSU rDNA, amplified with primers Y-
297 SSUqF2 and Y-SSUqR2, for an amplicon size of 102 bp. As reference we used the single-copy
298 ACT1 gene, amplified with primers Y-Act1qF4 and Y-Act1qR4, for an amplicon size of 87 bp. In
299 each of two replicate qPCR experiments, each DNA was tested in triplicate with the SSU primers
300 and with the ACT1 primers. Standard SybrGreen qPCR reactions were run in 96-well plates in a
301 Bio-Rad Chromo 4 machine, with Opticon Monitor software version 3.1. Cycling conditions are in
302 Table 2F. Amplification efficiencies, 1.55 for SSU and 1.47 for ACT1, were calculated by the
303 Opticon Monitor software.

304

305 **Growth Assay**

306 Growth assays were done on YJ0, MSS2, MLS7, and Md. For each strain, a fresh agar plate
307 culture was used to prepare a liquid suspension in YEPD with a concentration between 10^3 - 10^5
308 cells/ml, assuming an OD_{600} of $1 = 3 \times 10^7$ cells/ml. Twelve 150 μ l aliquots of the suspension were
309 loaded in three groups (biological replicates) of four technical replicates on two adjacent columns
310 of a 96-round-bottom well plate. To generate growth curves, an automatic plate reader (Tecan) was
311 used to record the OD_{600} at 30°C every 15 minutes over a period of 96 hours. The plate was shaken
312 for 60 seconds before each measurement.

313

314 **Desiccation Assay**

315 We modified in two ways the method by (WELCH AND KOSHLAND 2013). Desiccation was
316 performed in the flow hood rather than in the speedvac and colonies were counted on agar plates
317 rather than directly in the liquid culture wells. All procedures were sterile and media were YEPD.
318 Growth was at 30°C, and liquid cultures were shaken at 255 rpm. Fresh overnight liquid cultures of
319 YJ0, MSS2, MLS7 and Md were diluted to an OD_{600} between 0.1 and 0.25 and grown to 0.5 OD_{600} .
320 For each strain, duplicate 1 ml aliquots were pelleted in microfuge tubes. Pellets were resuspended
321 in 1 ml of H₂O, re-pelleted, and all the water was carefully removed with a pipette. One of the
322 duplicate aliquots (designated as “undesiccated”) was immediately resuspended in 100 μ l medium,
323 serially diluted 10x, and three 5 μ l replicates from each dilution were spotted on an agar plate. The
324 other duplicate aliquot (“desiccated”) was laid against the sterile air flow in a laminar flow hood to
325 dry for 24 hours, resuspended in 100 μ l medium, serially diluted and plated the same way as the
326 undesiccated replicate. The number of survivors in each case was estimated by counting colonies at
327 the highest countable dilutions and extrapolating to the number of viable cells in the starting
328 suspension. Desiccation resistance was defined as the fraction of viable cells in the desiccated vs.
329 undesiccated sample. Three to six biological repeats were performed with each strain. P-values
330 were calculated with a two-sided Student’s t-test.

331

332 **Microscopy**

333 Budding frequency was measured in a haemocytometer with samples from mid-log phase
334 cultures in YEPD liquid medium. For photography, diluted cell suspensions were plated on YEPD
335 and incubated at 30°C. The YJ0 wildtype was photographed after overnight growth, the mutants
336 after 48-72 hrs. A 1.5 x 1.5 square of agar was cut out of the plate, placed onto a microscope slide,
337 and 10 μ l water were placed on the surface followed by a cover slip.

338
339 **Intracellular trehalose measurement**
340 The method was essentially as described by (TAPIA *et al.* 2015), and (GIBNEY *et al.* 2015).
341 Each strain was grown at 30°C in YEPD liquid medium to an OD₆₀₀ between 0.3 and 0.5. A volume
342 containing 10⁷ cells was harvested, cells were pelleted, resuspended in 2 ml ice-cold water and re-
343 pelleted. Each pellet was resuspended in 250 µl of 0.25 M sodium carbonate, and the suspension
344 was transferred to a 2-ml screwcap tube and stored at -80°C until trehalose extraction. Trehalose was
345 extracted from cells by incubating the tightly sealed samples at 98°C for 4 hours, with occasional
346 mixing. Samples were stored at -20°C. For trehalase treatment, each 250 µl sample was mixed with
347 150 µl of 1M acetic acid and 600 µl 0.2 M sodium acetate, cell debris were pelleted, and 250 µl
348 were removed from the top of the sample and stored at -20°C (untreated control). To the remaining
349 750 µl, 0.35 µl trehalase (Megazyme, at 4.2 units/µl) were added for a final concentration of 2
350 units/ml, and reactions were incubated overnight at 37°C on a rotating wheel. After pelleting cell
351 debris, the supernatant (750 µl) was transferred to a new tube to be assayed for glucose either
352 immediately or, if convenient, after storage at -20°C, using the Glucose (GO) assay kit from Sigma
353 Aldrich. For each assay, 220 µl of sample were mixed with 440 µl kit reagent in a 15-ml glass test
354 tube, capped and incubated at 37°C for 30 minutes, and 440 µl of 6M sulfuric acid were added in a
355 fume hood with a 1-ml glass pipette. Absorbance at 540 nm was measured in 1-ml plastic cuvettes.
356 The untreated controls were used to measure background glucose, water samples were used as
357 blanks and glucose standards to determine concentration.

358

359

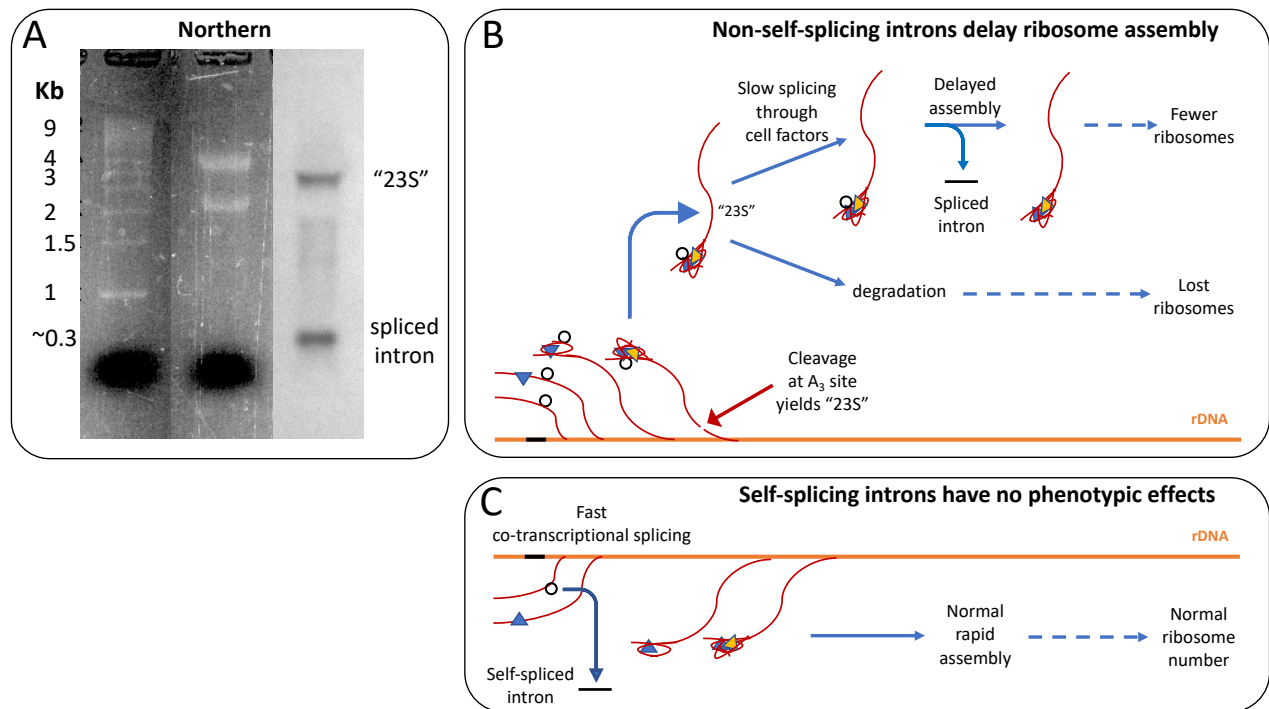
360 **Results**

361

362 **In the lichen mycobiont, a group I intron is still present in a post-transcriptional rRNA** 363 **processing intermediate**

364 We assessed by Northern the *in vivo* splicing pattern of intron-3, a group I intron located in
365 the 18S gene of the *C. grayi* lichen fungus (the third from left in Figure 1). Total RNA extracted
366 from the mycobiont grown on MY plates was run on a gel, transferred onto a membrane, and
367 hybridized to an intron-3 specific probe (Fig. 6A). The Northern blot showed two RNAs reacting
368 with the probe, one at ~0.3 Kb and one at ~3Kb. The 0.3 Kb band corresponds to the size of the
369 spliced 310-base intron. The 3 Kb band, significantly larger than the mature 18S rRNA, shows that
370 intron-3 is still present in a post-transcriptional rRNA processing intermediate. The slight smear
371 under the 3 Kb band indicates that it is being partly degraded. The 3 Kb intermediate is likely to be
372 the mycobiont analog of a major yeast 18S rRNA precursor known as “23S” (KLINGE AND
373 WOOLFORD 2019), which includes the entire 5’ ETS, the 18S sequence, and extends to the A3 cut
374 site in ITS1 (see Figure 3 for a map). Figure 6B schematizes how A3 processing in the lichen
375 fungus would yield the 23S-like intermediate containing intron-3, and how the presence of the
376 intron would interfere with the ongoing assembly either by delaying it through splicing or by
377 removing some of the intermediate by degradation (see Discussion). Figure 6C depicts for
378 comparison the case of a self-splicing fungal rDNA intron which removes itself co-transcriptionally
379 and quickly from nascent rRNA without significantly interfering with ribosome assembly.

380



381
 382
 383 **Figure 6.** Northern blot and interpretation of the splicing pattern of a degenerate group I rDNA intron (intron-3) in the
 384 *C. grayi* lichen fungus. **A.** composite image of the Northern. The first two lanes represent the SybrSafe-stained agarose
 385 gel, with size standards in the first and total RNA in the second lane, with prominent 25S and 18S bands. The third lane
 386 shows hybridization of the intron-3 probe to the RNA from the second lane transferred onto a membrane. **B.** Schematic
 387 of the process by which the 23S-like intermediate is formed during transcription of rRNA (red curvy lines emanating
 388 from the orange rDNA). The inserted intron is depicted either as a black segment on rDNA, or as an unspliced black
 389 circle on rRNA. Colored triangles schematize proteins assembling with rRNA into pre-ribosomal particles (Fig. 3). **C.**
 390 Schematic for comparison of the rapid cotranscriptional removal of a self-splicing intron from nascent rRNA.
 391

392 **CRISPR is effective in introducing introns or base pair changes into all yeast rDNA repeats.**

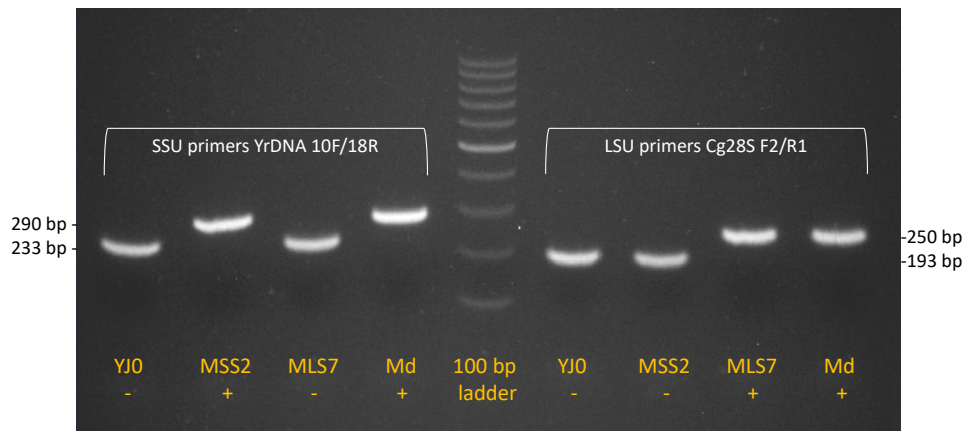
393 Genetic manipulations of lichen fungi are in their infancy (WANG *et al.* 2020; LIU *et al.*
 394 2021). Therefore, we tested the effects of lichen rDNA introns in the model fungus *S. cerevisiae*,
 395 whose rDNA is normally intronless. We transformed yeast with a 57 base-pair spliceosomal intron
 396 (first on left in Figure 1) from the rDNA of the lichen fungus *Cladonia grayi* (ARMALEO *et al.*
 397 2019). The splicing signals overlap with those of yeast mRNA introns (Fig. 5) and thus are
 398 expected to be recognized by yeast spliceosomes. CRISPR-Cas9 technology was seen as the only
 399 available way to simultaneously insert the intron into 150 repeats of yeast rDNA (CHIOU AND
 400 ARMALEO 2018; SANCHEZ *et al.* 2019) because of the powerful selection of CRISPR against
 401 unmodified rDNA. Our method was developed to insert introns into the 18S and 25S rRNA genes
 402 by Homology Directed Repair (HDR), but we list here for the record also the base pair substitutions
 403 produced through Non-Homologous End Joining (NHEJ) during this work (Supplementary File 2).

404 Three intron-bearing mutants were constructed, with the intron stably inserted either in all
 405 copies of the 18S (SSU) gene, of the 25S (LSU) gene, or of both. The selected yeast insertion sites
 406 corresponded to two *C. grayi* intron sites and had appropriately located PAM sequences (Fig. 7).
 407 Intron insertions were obtained by cotransformation of yeast with a Cas9-gRNA plasmid and the
 408 corresponding intron containing fragment. Single intron insertion mutants MSS2 and MLS7 contain



409
 410 **Figure 7.** Intron insertion sites in the 18S and 25S rRNA genes of yeast. Only sense-strand sequences are shown, 5' ends
 411 on the left. PAM sequences are marked red. The intron is colored orange and its insertion points by HDR are indicated
 412 by the blue-dotted brackets. The vertical dash marks the nucleotide 5' to the Cas9 cut site on the rDNA sequence and the
 413 numbers indicate the corresponding positions in the mature rRNA.

414 the intron in the 18S or 25S rRNA gene at positions 534 or 2818 respectively (Fig. 7) The double
 415 insertion mutant Md contains the intron at those positions in both genes. All mutants were verified
 416 by PCR (Fig. 8) and sequencing; additionally, correct splicing in MSS2 was confirmed by
 417 sequencing the mature rRNA. Regardless of whether we inserted introns or produced base-pair
 418 mutations around the Cas9 cut sites, the introduced changes appear to involve all repeats as
 419



420
 421 **Figure 8.** Agarose gel showing intron location in the yeast strains. Primer pairs (white lettering) flanking each intron
 422 insertion site were used for PCR with the DNA from the four strains (orange lettering). For each primer pair, the sizes
 423 (base pairs) of the fragments with or without the intron are indicated on the side. Intron absence/presence in each fragment
 424 is labeled +/- . The sizes indicate that the wildtype strain YJ0 has no introns, MSS2 has the intron only in the SSU gene,
 425 MLS7 has the intron only in the LSU gene, Md has the intron in both genes. The lanes with the intron fragments contain
 426 no discernible trace of intronless fragments, suggesting that the introns spread through all rDNA repeats.

427 suggested by the absence of heterogeneous peaks at the mutation sites in the sequencing
 428 chromatograms (Supplementary File 2) and by the absence of intronless bands in PCRs spanning
 429 the intron insertions (Fig. 8). In addition, rDNA introns are stably inherited, which also suggests
 430 that no intronless repeats were left to act as recombination seeds and restore the wildtype intronless
 431 configuration. Spreading an intron to all yeast rDNA repeats was also achieved by Volker Vogt's
 432 group (MUSCARELLA AND VOGT 1993; LIN AND VOGT 1998) with a method foreshadowing our use
 433 of CRISPR-Cas9 to the same end: to study intron endonucleases, they introduced functional group I
 434 rDNA introns from *Physarium polycephalum* or *Tetrahymena thermophila* into yeast rDNA.

435

436 **Small nucleolar RNAs may interfere with Cas9 when targeting rDNA**

437 Despite two attempts, CRISPR-mediated intron insertion into the rDNA of the YJ0 wildtype using
 438 the large subunit guide sequence LS7 (matching the sense strand) failed. It was however successful

439 when we used the LS7nc guide sequence (matching the template strand at the same location). This
 440 made us suspect that an endogenous yeast sequence serendipitously complementary to the LS7
 441 guide RNA could have inhibited LS7-Cas9. A small nucleolar RNA (snoRNA) was considered a
 442 likely culprit. During yeast rRNA synthesis and ribosome assembly, a number of specific ~100- to
 443 1000-nucleotide long snoRNAs bind as ribonucleoprotein complexes to complementary sequences
 444 on the rRNA, leading to post-synthetic nucleotide modifications in those sequences (DUPUIS-
 445 SANDOVAL *et al.* 2015). A snoRNA (snR38) with an 11-base complementarity to the 3' terminal
 446 region of the LS7 guide sequence (Fig. 9A) is in fact listed in the yeast snoRNA database
 447 (<https://people.biochem.umass.edu/fournierlab/snornadb/main.php>). Although we do not
 448 demonstrate this directly here, the likelihood that snR38 inhibited Cas9 by binding to LS7 is
 449 supported a) by the fact that the complementarity is in the snoRNA region that is meant to bind
 450 directly to rRNA to methylate it, b) by the successful insertion of the intron at the same position
 451 when using the LS7nc guide which is not complementary to snR38 (Fig. 9A and B), and c) by the
 452 absence of a snoRNA complementary to the SS2 guide sequence which mediated the successful
 453 insertion of the intron into the SS2 site. Figure 9B also shows that the exact insertion point is
 454 determined by the placement of the intron within the cotransforming PCR fragment, even if it is a
 455 few bases removed from the actual Cas9 cut site.
 456

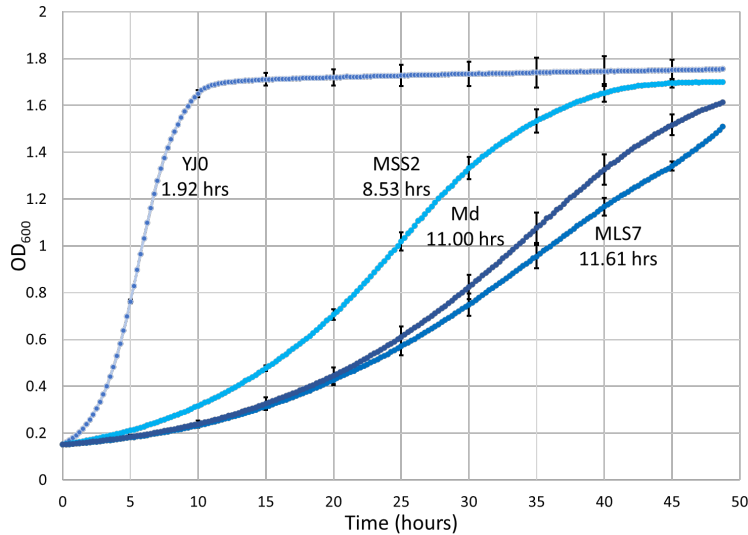


457
 458 **Figure 9.** Cause of the likely inhibition of Cas9 by snR38, and intron targeting to the LSU gene. **A.** Complementary
 459 binding between the RNA-binding region of snR38 and the LS7 guide RNA is likely to have inhibited the Cas9-LS7
 460 complex. RNA complementary regions are highlighted green; nucleotides corresponding to a PAM sequence on either
 461 strand are in red; the star marks rRNA nucleotide 2815, targeted for O-methylation by snR38; Cas9 was not inhibited
 462 when used with guide RNA LS7nc, which could not bind to snR38. **B.** The intron was inserted at the LS7 site using LS7nc
 463 guide RNA. Red arrowheads indicate the Cas9 cut sites (at positions 2814 for LS7nc and 2818 for LS7) corresponding to
 464 the two opposite-strand PAM sites (in red). While LS7nc directed Cas9 to cut the site on the left, homology directed
 465 repair integrated the intron in the rDNA in correspondence of the LS7 site at position 2818, where the intron was located
 466 in the PCR fragment used in cotransformation.
 467

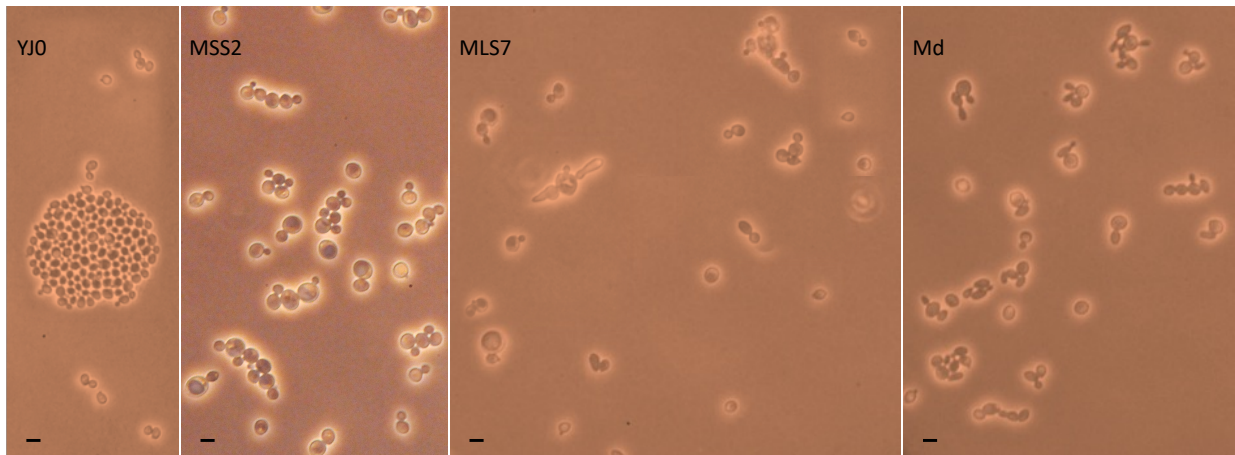
468 Introns decrease rDNA copy number, inhibit growth, and modify cell morphology

469 To assess whether intron presence affects rDNA copy number, we used the $\Delta\Delta$ Ct qPCR method by
 470 (PFAFFL 2001) to determine the relative number of rDNA copies in mutants vs. wildtype. Copy
 471 numbers in each of the single-intron mutants MSS2 and MLS7 decreased to about 53% of the YJ0
 472 wildtype (Supplementary File 1). The qPCR data from the double-intron mutant Md were
 473 uninterpretable for unclear reasons. The intron-bearing mutants produced small, slow-growing

474 colonies and their growth rates in liquid culture were 4-6 times slower than that of YJ0 (Fig. 10). It
 475 is noteworthy that the single insertion in the LSU region caused a much stronger growth inhibition
 476 than the one in the SSU region, pointing to the importance of intron context. The slowdown appears
 477 to lengthen the cell cycle in G1, as the strains' budding frequency is lowered from 65.6% in the
 478 wildtype YJ0 to 27.4% (MSS2), 43% (MLS7), and 39.7% (Md). On average, cells of the intron-
 479 bearing strains are larger than those of the wildtype. The cell morphology of MLS7 and Md is also
 480 frequently abnormal (Fig. 11) and may have inflated the bud counts in these strains.
 481



482
 483 **Figure 10.** Growth curves for the four strains. Curves represent the means of three biological replicates and four technical
 484 replicates for each strain. Bars represent the SD. The numbers next to the curves are the doubling times for each strain.
 485
 486

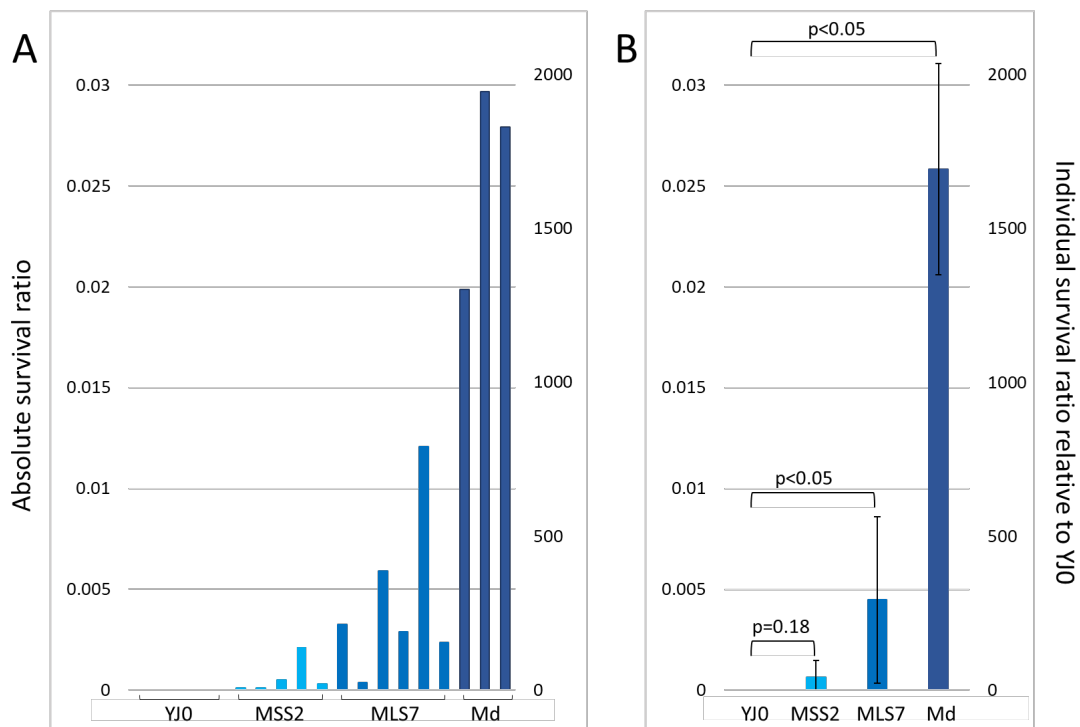


487
 488 **Figure 11.** Cell size and morphology. Cells were photographed growing on the surface of freshly seeded agar plates. Bar
 489 = 10 μ . Intron-bearing cells are on average larger than the YJ0 wildtype cells. Several MLS7 and Md cells have aberrant
 490 morphologies. Due to its faster growth, YJ0 quickly forms many incipient colonies, one of which is visible here.
 491

492 **Introns enhance desiccation tolerance in *S. cerevisiae***

493 We measured desiccation tolerance in the wildtype and the three intron-bearing mutants using a
 494 method modified from (WELCH AND KOSHLAND 2013). Each strain was grown to mid-log phase,
 495 two identical samples were removed, and one was subjected to desiccation while serial dilutions of
 496 the other were plated. One day later the desiccated sample was resuspended and serial dilutions

497 were plated. Desiccation tolerance (Fig. 12) for each strain is expressed as the ratio of live cells
 498 (scored as colonies) in desiccated vs. undessicated samples of that strain. Figure 12A shows the
 499 resistance of individual biological replicates. Three biological replicates were assayed for YJ0 and
 500 Md, and five and six biological replicates were assayed for MSS2 and MLS7, respectively. The
 501 variation between replicates might be due to small between-sample differences in drying speed
 502 and/or to the stochastic behavior of the biochemical networks underlying stress tolerance (see
 503 Discussion). Figure 12B shows the same data plotted as averages of the biological replicates. For
 504 YJ0, the average absolute survival was 1.5×10^{-5} . The average absolute (and relative) survival
 505 ratios for MSS2, MLS7, and Md were 6.3×10^{-4} (42), 4.5×10^{-3} (300), and 2.6×10^{-2} (1700). The
 506 position and number of introns affects desiccation resistance, paralleling their effect on growth (Fig.
 507 10). MLS7 with the intron in the large subunit is more desiccation resistant than MSS2 with the
 508 intron in the small subunit. Md, the strain with introns in both subunits, is the most resistant.
 509

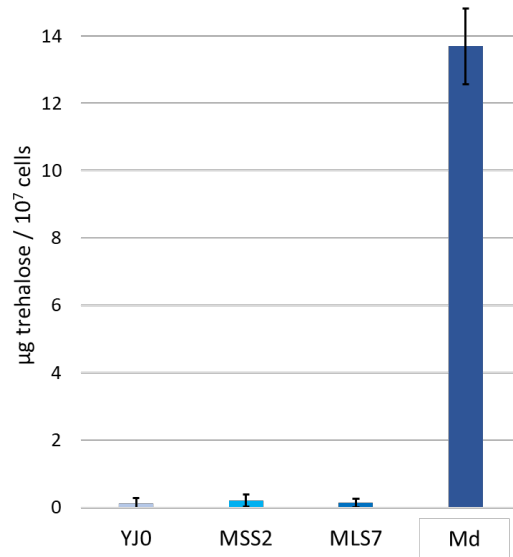


510
 511 **Figure 12.** Desiccation resistance of the four strains. The Y axes are the same in both panels. Absolute survival ratios
 512 represent the fraction of cells surviving desiccation within each strain. Relative survival ratios represent each strain's
 513 resistance relative to that of the YJ0 wildtype. **A.** Resistances of individual biological replicates. **B.** Same data averaged
 514 over biological replicates; SD in black; brackets indicate p values for mutant vs. wildtype ratios.
 515

516
 517 **Introns induce trehalose biosynthesis in the double mutant**

518 In some organisms, including yeast, intracellular concentrations of the disaccharide
 519 trehalose are positively correlated with desiccation tolerance (KOSHLAND AND TAPIA 2019). We
 520 therefore measured whether intracellular trehalose accumulates in our mutants during normal
 521 growth. The procedure involves extraction of trehalose from a fixed number of mid-log phase cells
 522 grown in YEPD medium, trehalase treatment to split trehalose into its two glucose constituents, and
 523 a colorimetric assay that measures glucose concentration. The results (Fig. 13) indicate that
 524 trehalose was undetectable not only in the YJ0 wildtype, but also in the single-intron mutants MSS2
 525 and MLS7, despite their increased desiccation tolerances. Trehalose increased to detectable levels

526 only in the Md strain. Md carries two introns, one per subunit in each rDNA gene copy, and
527 displays the highest desiccation tolerance (Fig. 12).



528
529 **Figure 13.** Intracellular trehalose in the four strains. Amounts are expressed /10⁷ cells /ml of assay. For each strain, the
530 SD is calculated on 9 samples (three biological replicates, each with three technical replicates).
531

532
533 **Discussion**
534

535 The successful use of CRISPR to mutagenize the entire array of rDNA repeats in yeast has
536 been described and discussed (CHIOU AND ARMALEO 2018; SANCHEZ *et al.* 2019). The interesting,
537 albeit peripheral issue of snoRNA interference with Cas9 described in Results is not further
538 discussed here. In our first experiment, we used the *C. grayi* lichen fungus to assess, using a
539 Northern blot, the splicing pattern of a degenerate group I intron naturally present in its rDNA. All
540 other experiments were done by approximating a lichen-like nuclear rDNA intron arrangement in
541 yeast. Using CRISPR, a lichen spliceosomal intron was introduced at one or two positions into each
542 yeast rDNA repeat (Figs. 7 and 8). We could not have used a lichen degenerate group I intron, as
543 yeast does not have the machinery to splice it out. Bending the general rule that spliceosomes
544 operate only on Polymerase II transcripts, yeast successfully removed the lichen spliceosomal
545 introns from the Polymerase I rRNA transcripts, allowing us to model in yeast the effects of lichen
546 rDNA introns. The goal was to test in yeast whether the inability of lichen rDNA introns to self-
547 splice may lead to slow growth and desiccation tolerance.
548

549 **In the lichen mycobiont, delayed group I intron splicing is likely to slow ribosome assembly**

550 The ~300-base size of the smaller band in the Northern blot (Fig. 6A) and the absence of a
551 signal from the mature 18S rRNA indicate that the degenerate group I intron-3 in the rRNA of the
552 *C. grayi* mycobiont is correctly spliced out as a complete ~300-nucleotide fragment. However, the
553 ~ 3 Kb size of the larger band indicates that the splicing is delayed enough for the intron to persist
554 within an accumulating precursor of the 18S rRNA. The size of this intron-bearing precursor is
555 slightly larger than that of a 2.8-Kb yeast rRNA intermediate (23S) observed when yeast grows
556 slowly due to mutational or nutritional stress (VENEMA AND TOLLERVEY 1999; TALKISH *et al.* 2016;
557 KLINGE AND WOOLFORD 2019) and is an indication that under those circumstances rRNA

558 processing is delayed until after transcription of the entire 35S gene product is terminated (TALKISH
559 *et al.* 2016). The yeast 23S precursor contains a fully unprocessed 5' ETS, the 18S sequence, and
560 ends at the A₃ processing site in ITS1 (see Fig. 3 for a map). The 23S precursor is part of the SSU
561 processome and its 5'ETS region needs to be rapidly removed for ribosome assembly to continue
562 (KLINGE AND WOOLFORD 2019). Therefore, accumulation of an unprocessed intron-bearing 23S-
563 like precursor in the mycobiont supports our hypothesis that intron presence delays ribosome
564 assembly in lichens (Fig. 6B). The Northern shows also that the precursor is partly degraded (Fig.
565 6A). The 23S rRNA precursor accumulating in yeast under slow-growth conditions is frequently
566 degraded and associated with decreased levels of mature 18S rRNA, *i.e.* with ribosome depletion
567 (VENEMA AND TOLLERVEY 1999; TALKISH *et al.* 2016). Degradation of stalled rRNA processing
568 intermediates in yeast due to an rDNA intron defective in self-splicing has also been reported for
569 the large subunit by (JACKSON *et al.* 2006). The processing pattern in fast-growing yeast (conserved
570 in other fast-growing fungi) is quite different, as most processing of the 18S rRNA precursor region
571 occurs through rapid co-transcriptional removal of the entire 5'ETS and most of ITS1 (FERNÁNDEZ-
572 PEVIDA *et al.* 2015; KLINGE AND WOOLFORD 2019), with no accumulation of 23S rRNA (Fig. 6C).
573 In conclusion, accumulation of an intron-3 bearing 23S-like intermediate and its partial degradation
574 in the *C. grayi* fungus strongly imply that intron-3 and most other lichen rDNA introns delay
575 ribosome assembly and reduce ribosome number.

576

577 **The slow growth of the yeast mutants suggests that non-self-splicing rDNA introns inhibit** 578 **ribosome biogenesis constitutively**

579 The large growth decreases in the intron-bearing yeast mutants (Fig. 10) also support our
580 main hypothesis that interference by spliceosomes with the highly cooperative and perturbation
581 sensitive ribosome assembly (OSHEIM *et al.* 2004) delays rRNA processing and slows growth. The
582 growth decreases depend on position and number of introns, but not in a linear fashion (Fig. 10).
583 The structures of rRNA folding intermediates (KLINGE AND WOOLFORD 2019), indicate that the 18S
584 gene intron insertion site is located at the surface of the SSU processome, whereas the 25S insertion
585 site is buried deep in the folding LSU pre-ribosomal particle, near the developing entrance to the
586 polypeptide exit tunnel. The interference of intron splicing with LSU assembly might therefore be
587 stronger than with SSU assembly, suggesting an explanation for the growth differential between the
588 two single insertions and also for the similar growth rates of the double insertion and the single 25S
589 rRNA insertion. The growth defects are permanent, as expected for a process co-occurring with
590 rRNA transcription. Also, other phenotypes we observe in the mutants, like rDNA repeat number
591 reduction, G1 delays, and aberrant cell morphologies (Fig. 11), are likely consequences of intron
592 interference with ribosomal assembly. These phenotypes could all result from DNA replication
593 stress (TRIPATHI *et al.* 2011; SALIM *et al.* 2017) perhaps triggered by the interference of intron
594 splicing with the functions of early 60S assembly factors Noc3p and Rix, which are also part of the
595 DNA pre-replicative complex (ZHANG *et al.* 2002; DEZ AND TOLLERVEY 2004; HUO *et al.* 2012).

596 Besides interfering with rRNA processing through their splicing machinery, rRNA
597 spliceosomal introns may also inhibit growth by competing with their mRNA counterparts for
598 limiting spliceosomal components, directly inhibiting splicing of ribosomal protein (RP) mRNAs
599 and RP synthesis. Competition between the highly expressed intron-rich RP genes, which use the
600 most splicing factors (ARES *et al.* 1999), and other intron-containing genes has been demonstrated
601 in yeast (MUNDING *et al.* 2013). Even with a reduced number of rDNA genes (70 to 80), the single
602 intron mutants would have roughly 25% more spliceosomal introns in their genome than the

603 wildtype strain. The inhibition of RP synthesis might be deepened if these introns persist as
604 undegraded and linearized RNAs after splicing (MORGAN *et al.* 2019; PARENTEAU *et al.* 2019).

605

606 **Induction of desiccation tolerance is stochastic and independent of the onset of desiccation**

607 In yeast, ribosomal synthesis during unstressed growth is enabled by the activity of the TOR
608 and PKA signal transduction pathways. Environmental stress signals repress TOR/PKA, which
609 regulate the two arms of the Environmental Stress Response (ESR) (GASCH *et al.* 2000). One arm
610 involves ~600 genes which are turned down under stress and include ribosomal biogenesis (RiBi)
611 genes needed for rRNA, RP, and assembly factor production. The other involves ~300 genes which
612 are upregulated by stress and are responsible for specific induced defenses (iESR) like the
613 disaccharide trehalose, chaperone proteins and redox effectors.

614 Extreme desiccation stress quickly deprives cells of water, the most basic ingredient for any
615 life-sustaining process. This makes it critical for desiccation-defenses to be in place before the onset
616 of desiccation. Most cells in an unstressed yeast population will not express the ESR and will die
617 when desiccated. However, due to cell-to-cell stochasticity of transcriptional, translational and post-
618 translational network regulation (GASCH *et al.* 2017), a few cells will express the ESR during
619 unstressed growth in liquid media and survive desiccation. This “bet-hedging” (LEVY *et al.* 2012)
620 allows a single-celled, fast-growing organism like yeast to thrive evolutionarily, as variable subsets
621 of the population survive under changing stresses, even if most of the population dies. The average
622 desiccation survival of normal intronless *S. cerevisiae* growing in rich liquid media is $\sim 10^{-6}$. That
623 means that in the bet-hedging process only one in a million cells has serendipitously activated,
624 before desiccation, the ESR defenses needed to survive. In other yeast experiments that uncovered
625 processes or molecules protecting cells from desiccation damage (GADD *et al.* 1987; CALAHAN *et al.*
626 *et al.* 2011; WELCH *et al.* 2013; TAPIA *et al.* 2015; KIM *et al.* 2018), desiccation tolerance could be
627 experimentally increased up to 10^6 fold relative to normal “unprepared” yeast as increasingly larger
628 fractions of cells expressed their defenses before desiccation was applied.

629 The intron-bearing mutants are 40 to 1700 times more resistant to desiccation than the
630 wildtype strain, depending on intron position and number (Fig. 12). The highest resistance
631 corresponds to only 2.6% of cells surviving desiccation, with bet-hedging still occurring, although
632 resistance is spread to many more cells than in the YJ0 wildtype. Our desiccation results parallel
633 those by (WELCH *et al.* 2013), who inhibited ribosomal biogenesis through the TOR pathway in two
634 specific ways, by treating yeast with rapamycin or by deleting the TOR effector SFP1 which is a
635 positive regulator of ribosomal protein and assembly genes. Either method increased desiccation
636 resistance. In addition, they tested 41 temperature sensitive (Ts) ribosome assembly protein mutants
637 which, like our intron mutants, impaired ribosome assembly independently of TOR/PKA. Also like
638 ours, the Ts mutations were expressed in liquid media before desiccation, and all produced various
639 degrees of desiccation resistance, the highest matching the 2-3% resistance of our double mutant.

640

641 **Ribosome number reduction and trehalose increases represent two complementary defenses 642 against desiccation, the first constitutive and the second inducible**

643 While the slow growth of the yeast mutants is likely a direct, even if not linear, consequence
644 of intron-mediated RiBi repression, the connection between the latter and increased desiccation
645 tolerance is less clear. However, viewing the trehalose results within the context of rDNA introns
646 sheds some light on the issue. The disaccharide trehalose is a primary anti-desiccation molecule in
647 several anhydrobiotes (KOSHLAND AND TAPIA 2019). In yeast it is induced through the iESR with
648 several other defenses and reduces protein misfolding and aggregation *in vitro* and *in vivo* (KIM *et*

649 al. 2018). Trehalose was assayed in the intron-bearing mutants as they grew slowly in rich liquid
650 media in absence of environmental stress.

651 Intracellular trehalose concentration, naturally or experimentally induced, is generally
652 proportional to desiccation resistance in intronless yeast (GADD *et al.* 1987; TAPIA AND KOSHLAND
653 2014). In our strains, despite their increased desiccation tolerances, trehalose remained undetectable
654 in both single-intron mutants, and was detected only in the double-intron mutant Md at 14 $\mu\text{g/ml}$
655 (Fig. 13). This concentration would be too low in normal, intronless yeast to account for the 2.6%
656 desiccation tolerance shown by Md (Fig. 12). Yeast tolerances around 1% require trehalose
657 concentrations between 150 $\mu\text{g/ml}$ (Fig 2 in (TAPIA *et al.* 2015)) and 700 $\mu\text{g/ml}$ (Fig 2 in (TAPIA
658 AND KOSHLAND 2014)), depending on the experiment. Our trehalose results resemble findings by
659 (CALAHAN *et al.* 2011) who showed that desiccation resistance remained high in slow-growing
660 yeast after diauxic shift even without trehalose (eliminated through pathway mutations).

661 This indicates that, whether yeast grows slowly because of rDNA introns or because of
662 depletion of fermentable carbon sources, factors other than inducible defenses like trehalose can
663 elicit desiccation tolerance. We believe that data in the literature strongly suggest that a central
664 component of those “other factors” is the constitutive reduction of cytoplasmic ribosome number.
665 (WELCH *et al.* 2013) showed that TOR dependent and independent inhibition of ribosome function
666 increases desiccation tolerance; (PESTOV AND SHCHERBIK 2012) showed through rRNA quantitation
667 that rapamycin inhibition of TOR during yeast exponential growth degrades ~50% of ribosomes;
668 (DELARUE *et al.* 2018) demonstrated directly that inhibition of the TOR pathway by rapamycin
669 increases cytoplasmic diffusion rates by decreasing ribosome numbers by 40-50%. They also
670 showed that diffusion rates increase without rapamycin while yeast cells enter stationary phase,
671 again indicating a decrease in ribosome number during this transition, shown by (CALAHAN *et al.*
672 2011) to produce large increases in desiccation tolerance. Ribosome depletion during entry into
673 stationary phase was also observed directly at the rRNA level by (TALKISH *et al.* 2016). We
674 therefore hypothesize that permanent RiBi repression by rDNA introns produces desiccation
675 tolerance by maintaining a less crowded cytoplasm with fewer ribosomes and proteins. This would
676 reduce protein aggregation, misfolding, and phase separation (DELARUE *et al.* 2018), and therefore
677 the load on heat shock proteins and damage to the proteome upon water loss and cell volume
678 shrinkage. Synergy between this constitutive ribosome-based defense and inducible defenses like
679 trehalose, Hsp12 (KIM *et al.* 2018), glycerol and polyols (DUPONT *et al.* 2014) might allow lower
680 concentrations of inducible defenses to yield the same protective effects that higher concentrations
681 yield in a normally more crowded cytoplasm. In our yeast mutants, both types of defenses were
682 limited by bet hedging, thus protecting only a subset of cells. Stochastic cell-to-cell variation in
683 ribosome numbers and induced defenses, differentiating desiccation survival between individual
684 cells, is not in contradiction with the constitutive expression of ribosomal introns slowing overall
685 growth of the mutant cell population, which is averaged through time and across all cells.

686

687 **Extrapolations on the functions and evolution of rDNA introns in lichens**

688 Unlike the fast-growing, single-celled yeast, lichens are slow-growing, differentiated
689 multicellular networks of hyphae interwoven with consortia of different organisms. Their
690 adaptation to extreme and repeated moisture oscillations must ensure survival of all or most
691 component cells, not just of small subsets as is the case with bet hedging in yeast. We view the
692 acquisition of large numbers of non-self-splicing rDNA introns as a powerful constitutive defense
693 suppressing bet hedging across the entire lichen thallus. Such a constitutive defense based on
694 ribosome reduction might also reduce the amounts of inducible defenses needed, like trehalose,

695 polyols, and Hsps. As the introduction of just two non-self-splicing rDNA introns is quite disruptive
696 to yeast, we imagine that the evolutionary insertion of many introns into mycobiont rDNA was
697 gradual, providing time for selection to turn partial disruptions of RiBi and DNA replication into
698 adaptations. The importance of constitutive stress-defenses in lichens has been also recognized by
699 (GASULLA *et al.* 2021).

700 How could the degeneracy of lichen Group I introns have evolved? Despite lacking
701 sequences needed for self-splicing, lichen group I introns appear still able to fold into tertiary
702 structures resembling the original ones (DEPRIEST AND BEEN 1992; BHATTACHARYA *et al.* 2000;
703 BHATTACHARYA *et al.* 2002; HAUGEN *et al.* 2004b). It is therefore possible that, while progressively
704 removing catalytically important sections from the introns, natural selection transferred splicing
705 capability to the maturases that originally helped those structures fold. Cloning lichen maturases
706 could verify such a scenario. Why are group I and spliceosomal introns maintained together in
707 lichen rDNA? We think because their primary functions are different: the numerous group I introns
708 primarily interfere with rRNA processing, whereas the spliceosomal introns' direct interference
709 with rRNA processing is secondary in lichens due to their relatively small numbers; their primary
710 function is to repress ribosomal protein (RP) synthesis by competing for limiting splicing factors
711 with the intron-rich RP mRNAs.

712 In conclusion, the splicing pattern of a degenerate group I intron in a lichen fungus implies
713 that lichen rDNA introns inhibit ribosome assembly. With yeast, we demonstrate that introduction
714 into its rDNA of a lichen intron requiring spliceosomes for removal strongly enhances desiccation
715 tolerance, also through the likely inhibition of ribosome assembly. We hypothesize that the
716 numerous rDNA introns present in lichen fungi protect the entire mycelium in the thallus essentially
717 by lowering ribosome content and holding the cytoplasm in a permanent state of “molecular
718 frugality” which is less susceptible to desiccation damage. The evolution in lichens of a specific
719 splicing machinery for the degenerate group I introns turned them into repressors of rRNA
720 processing and ribosome assembly, transforming genetic parasites into a new tool against
721 environmental stress; the ectopic rDNA location of spliceosomal introns turned them into repressors
722 of ribosomal protein synthesis to the same end. We think that evolutionarily, the spreading of
723 special introns into the rDNA of lichen fungi was a watershed moment that helped lichens adapt to
724 their permanent exposure to ever-changing environments. The price they paid was slow growth.

725

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727

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733

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