

1 **Bayesian genome-wide analysis of cattle traits using variants with** 2 **functional and evolutionary significance**

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10

11 **Abstract**

12 **Context.** Functional genomics studies have revealed genomic regions with regulatory and
13 evolutionary significance. Such information independent of association analysis may benefit
14 fine-mapping and genomic selection of economically important traits. However, systematic
15 evaluation of the use of functional information in mapping, and genomic selection of cattle
16 traits is lacking. Also, Single Nucleotide Polymorphisms (SNPs) from the high-density (HD)
17 panel are known to tag informative variants, but the performance of genomic prediction using
18 HD SNPs together with variants supported by different functional genomics is unknown.

19 **Aims.** We selected six sets of functionally important variants and modelled each set together
20 with HD SNPs in Bayesian models to map and predict protein, fat, and milk yield as well as
21 mastitis, somatic cell count and temperament of dairy cattle.

22 **Methods.** Two models were used: 1) BayesR which includes priors of four distribution of
23 variant-effects, and 2) BayesRC which includes additional priors of different functional
24 classes of variants. Bayesian models were trained in 3 breeds of 28,000 cows of Holstein,
25 Jersey and Australian Red and predicted into 2,600 independent bulls.

26 **Key results.** Adding functionally important variants significantly increased the enrichment of
27 genetic variance explained for mapped variants, suggesting improved genome-wide mapping
28 precision. Such improvement was significantly higher when the same set of variants were
29 modelled by BayesRC than by BayesR. Combining functional variant sets with HD SNPs
30 improves genomic prediction accuracy in the majority of the cases and such improvement
31 was more common and stronger for non-Holstein breeds and traits like mastitis, somatic cell
32 count and temperament. In contrast, adding a large number of random sequence variants to
33 HD SNPs reduces mapping precision and has a worse or similar prediction accuracy,
34 compared to using HD SNPs alone to map or predict. While BayesRC tended to have better
35 genomic prediction accuracy than BayesR, the overall difference in prediction accuracy
36 between the two models was insignificant.

37 **Conclusions.** Our findings demonstrate the usefulness of functional data in genomic mapping
38 and prediction.

39 **Implications.** We highlight the need for effective tools exploiting complex functional
40 datasets to improve genomic prediction.

41

42 **Key words:** Functional genomics, Animal breeding, Genetic mapping, Quantitative genetics

43

44 **Introduction**

45 Emerging evidence shows that genomic variants with causal roles in biology can be used to
46 improve genomic prediction of complex traits. The biological function of genomic variants
47 provides information independent of genotype-trait associations which are usually
48 confounded by linkage disequilibrium (LD). Such independent information can be exploited
49 to identify informative variants. Once identified, informative variants can be used to improve
50 genomic prediction (Xiang, MacLeod, Daetwyler, de Jong, O'Connor, Schrooten,
51 Chamberlain & Goddard, 2021). While the use of functional data in improving genomic
52 mapping and prediction has been reported in humans (Amariuta, Ishigaki, Sugishita, Ohta,
53 Koido, Dey, Matsuda, Murakami, Price & Kawakami, 2020; Weissbrod, Hormozdiari,
54 Benner, Cui, Ulirsch, Gazal, Schoech, Van De Geijn, Reshef & Márquez-Luna, 2020), using
55 functional data in predicting the genetic merit of animal traits has not been comprehensively
56 examined. However, there is evidence in cattle supporting the advantage of the use of
57 functional information in genomic mapping and prediction with the linear mixed model
58 (Fang, Sahana, Ma, Su, Yu, Zhang, Lund & Sørensen, 2017a; Fang, Sahana, Ma, Su, Yu,
59 Zhang, Lund & Sørensen, 2017b; Liu, Fang, Zhou, Santos, Xiang, Daetwyler, Chamberlain,
60 Cole, Li, Yu, Ma, Zhang & Liu, 2019; Xiang, Berg, MacLeod, Hayes, Prowse-Wilkins,
61 Wang, Bolormaa, Liu, Rochfort, Reich, Mason, Vander Jagt, Daetwyler, Lund, Chamberlain
62 & Goddard, 2019; Xu, Gao, Wang, Xu, Liu, Chen, Xu, Gao, Zhang & Gao, 2020).

63 The Functional Annotation of ANimal Genomes (FAANG) consortium (Clark, Archibald,
64 Daetwyler, Groenen, Harrison, Houston, Kühn, Lien, Macqueen & Reecy, 2020) provides
65 many types of sequencing data indicating the functionality of genome-wide sites (examples
66 reviewed in (Clark *et al.*, 2020)). While these public datasets await exploitation, the structure
67 and information content of different functional datasets vary significantly. For example, we
68 recently showed that amongst all analysed functional datasets, a set of 300,000+ sequence

69 variants within sites highly conserved across 100 vertebrate species had the strongest
70 enrichment with cattle trait heritability (Xiang *et al.*, 2019), which primarily influences
71 genomic prediction accuracy. Additionally, a few thousand variants affecting the
72 concentration of milk fat metabolites, i.e., metabolic mQTLs, also had significantly higher
73 variance than SNPs in the 50K panel for cattle traits. Millions of variants that change gene
74 expression levels (geQTLs) or RNA splicing (sQTLs) are also enriched with complex trait
75 QTL (Fink, Lopdell, Tiplady, Handley, Johnson, Spelman, Davis, Snell & Littlejohn, 2020;
76 Li, van de Geijn, Raj, Knowles, Petti, Golan, Gilad & Pritchard, 2016; Lopdell, Tiplady,
77 Struchalin, Johnson, Keehan, Sherlock, Couldrey, Davis, Snell & Spelman, 2017; Silva,
78 Fonseca, Pinheiro, Magalhães, Muniz, Ferro, Baldi, Chardulo, Schnabel & Taylor, 2020;
79 Xiang, Hayes, Vander Jagt, MacLeod, Khansefid, Bowman, Yuan, Prowse-Wilkins, Reich,
80 Mason, Garner, Marett, Chen, Bolormaa, Daetwyler, Chamberlain & Goddard, 2018).
81 However, recent studies showed that variants close to genes with high or specific expression
82 patterns had limited improvement in prediction accuracy (de Las Heras-Saldana, Lopez,
83 Moghaddar, Park, Park, Chung, Lim, Lee, Shin & van der Werf, 2020; Fang, Cai, Liu,
84 Canela-Xandri, Gao, Jiang, Rawlik, Li, Schroeder & Rosen, 2020). Another common type of
85 functional data is peaks from ChIP-seq for histone modifications which are enriched with
86 promoters and/or enhancers regulating gene activities (Carey, Peterson & Smale, 2009). Our
87 work showed that hundreds of thousands of variants under ChIP-seq peaks are enriched for
88 complex trait QTL in cattle (Prowse-Wilkins, Wang, Xiang, Goddard & Chamberlain, 2021;
89 Xiang *et al.*, 2019). In addition, variants within the gene coding regions are expected to have
90 a high impact on complex traits. However, we and others previously found coding-related
91 variants (around 100,000) have limited contributions to cattle trait heritability (Koufariotis,
92 Chen, Stothard & Hayes, 2018; Xiang *et al.*, 2019), although their use in improving genomic
93 prediction has not been studied.

94 One way to assess the information content of functional data is to compare variants
95 prioritised by functional data with SNPs from standard genotyping panels. We have
96 previously performed such assessment using the standard 50K bovine SNP chip and showed
97 that functional information can improve genomic prediction accuracy compared to the 50K
98 chip SNPs (Xiang *et al.*, 2021). However, denser panels such as the high-density (HD) SNP
99 chip containing ~700,000 SNPs across the genome may be able to tag many functional
100 elements via LD, although it is not routinely used in animal genomic evaluation. With the
101 development of animal breeding, the HD panel may be intensively used in the future genomic
102 evaluation. Therefore, it is of interest to know if functional information can provide any
103 advantage in genomic mapping and prediction when HD SNPs are used. Also, since causal
104 variants are expected to have similar phenotypic effects across different breeds, we aim to
105 compare the use of functionally important variants in genomic prediction across different
106 breeds.

107 In the present study, we evaluate sequence variant sets prioritised by 6 types of functional and
108 evolutionary data in combination with the standard HD SNPs in genomic mapping and
109 prediction of 6 dairy cattle traits. We train the prediction equations using the BayesR method
110 (Erbe, Hayes, Matukumalli, Goswami, Bowman, Reich, Mason & Goddard, 2012) which fits
111 a mixture of 4 distributions of variant-effects and using the BayesRC method which fits
112 different distributions for each functional class of variant classifications (MacLeod, Bowman,
113 Vander Jagt, Haile-Mariam, Kemper, Chamberlain, Schrooten, Hayes & Goddard, 2016).

114 Genomic predictors were trained using 28,000 cows that included 3 breeds: Holstein, Jersey
115 and Australian Red. Genomic estimated breeding values (gEBVs) were predicted and
116 validated in 2,500 Holstein, Jersey and Australian Red bulls. We compare the results of
117 mapping and genomic prediction across the above-described scenarios, discuss these results
118 and provide suggestions for future studies.

119

120 **Materials and Methods**

121 The phenotype data analysed in this study were collected by DataGene Australia
122 (<http://www.datagene.com.au/>) and no further live animal experimentation was required for
123 our analyses. A set of 28,049 Australian cows were used as the discovery population and a set
124 of 2,567 bulls were used as the validation population. The bull phenotypes were obtained as
125 daughter trait deviations: i.e. the average trait deviations of a bull's daughters pre-corrected
126 for known fixed effects by DataGene. The cow phenotypes were measured on themselves.
127 Note that these bulls and cows were not included in those 44,000+ animals used to discover
128 functional variants (Xiang *et al.*, 2019; Xiang *et al.*, 2021; Xiang, van den Berg, MacLeod,
129 Daetwyler & Goddard, 2020). We also checked the pedigree to make sure that bulls used in
130 the validation population were not the sires of cows from the discovery population. Cows in
131 the discovery set included 24,305 Holstein, 2,486 Jersey, 1,258 Australian Red. Bulls in the
132 validation datasets contained 2,091 Holstein, 385 Jersey, 91 Australian Red. Traits
133 considered in the analysis included protein yield (Prot), fat yield (Fat), milk yield (Milk),
134 Mastitis (Mas), somatic cell count (Scc) and temperament (Temp).
135 The genotypes used in the study were imputed sequence variants based on Run7 of the 1000
136 Bull Genomes Project (Daetwyler, Capitan, Pausch, Stothard, Van Binsbergen, Brøndum,
137 Liao, Djari, Rodriguez & Grohs, 2014; Hayes & Daetwyler, 2018) based on the ARS-
138 UCD1.2 reference bovine genome
139 (https://www.ncbi.nlm.nih.gov/assembly/GCF_002263795.1/) (Rosen, Bickhart, Schnabel,
140 Koren, Elsik, Tseng, Rowan, Low, Zimin & Couldrey, 2020). Variants with Minimac3
141 (Fuchsberger, Abecasis & Hinds, 2014; Howie, Fuchsberger, Stephens, Marchini & Abecasis,
142 2012) imputation accuracy $R^2 > 0.4$ and minor allele frequency (MAF) > 0.005 in bulls and
143 cows. Most bulls were genotyped with a medium-density SNP array (50K) or a high-density

144 SNP array and most cows were genotyped with a low-density panel of approximately 6,900
145 SNPs overlapping with the standard-50K panel (BovineSNP50 beadchip, Illumina Inc). The
146 low-density genotypes were first imputed to the Standard-50K panel and then all 50K
147 genotypes were imputed to the HD panel using Fimpute v3 (Sargolzaei, Chesnais &
148 Schenkel, 2014; Xiang *et al.*, 2019). Then, all HD genotypes were imputed to sequence using
149 Minimac3 with Eagle (v2) to pre-phase genotypes (Howie *et al.*, 2012; Loh, Danecek,
150 Palamara, Fuchsberger, Reshef, Finucane, Schoenherr, Forer, McCarthy & Abecasis, 2016).
151 We aimed to test whether variant sets selected from different functional and/or evolutionary
152 information, in addition to the standard HD SNP panel, can be useful for genomic prediction.
153 Therefore, we first included a baseline set, which is 610,764 SNPs from the standard bovine
154 high-density panel. There were six functional and/or evolutionary variant sets: 549,007
155 variants under multiple ChIP-seq peaks (Kern, Wang, Xu, Pan, Halstead, Chanthavixay,
156 Saelao, Waters, Xiang & Chamberlain, 2021; Prowse-Wilkins *et al.*, 2021) ('ChiPseq'),
157 106,538 variants annotated as related to coding activities by Ensembl Variant Effect Predictor
158 (McLaren, Gil, Hunt, Riat, Ritchie, Thormann, Flicek & Cunningham, 2016) ('Coding'),
159 943,315 variants affecting RNA splicing sQTLs from 4 cattle tissues (Chamberlain, Hayes,
160 Xiang, Vander Jagt, Reich, Macleod, Prowse-Wilkins, Mason, Daetwyler & Goddard, 2018;
161 Daetwyler, Xiang, Yuan, Bolormaa, Vander Jagt, Hayes, van der Werf, Pryce, Chamberlain
162 & Macleod, 2019; Xiang *et al.*, 2018) ('sQTL'), 65,394 finely mapped variants with
163 pleiotropic effects genome-wide (Xiang *et al.*, 2021) ('Finemap80k'), 4,871 variants affecting
164 milk fat metabolites mQTLs (Xiang *et al.*, 2019) ('mQTL') and 317,279 conserved sites
165 across 100 vertebrates (Xiang *et al.*, 2019) ('Cons100w'). Note that some of these functional
166 variant sets were initially determined on the UMD3.1 genome and were from different cattle
167 populations. These sets were lifted over from the older genome to ARS-UCD1.2 and filtered
168 with imputation accuracy and MAF in the new cattle populations.

169 The model training of the above-described data used BayesR (Erbe *et al.*, 2012) and
170 BayesRC (MacLeod *et al.*, 2016), which are now implemented via BayesR3, with improved
171 efficiency using blocks. BayesR jointly models all variants together with different effect
172 distribution priors. BayesRC follows the same approach but in addition allows a ‘C’ prior
173 which models classes of variants. Another aim is to see whether there are differences in
174 genomic prediction accuracy by modelling the same variants using BayesR and BayesRC. To
175 aid this comparison, we combined each functional variant set with the HD variants which led
176 to 6 combined variant sets: 1) ChIP-seq peak tagged variants + HD SNPs (‘ChiPseq_HD’), 2)
177 coding variants + HD variants (‘Coding_HD’), 3) sQTL variants + HD SNPs (‘sQTL_HD’),
178 4) finely mapped variants + HD SNPs (‘Finemap80k_HD’), 5) mQTL variants + HD SNPs
179 (‘mQTL_HD’) and 6) conserved variants + HD SNPs (‘Cons100w_HD’). The average minor
180 allele frequency of these sets of variants were 0.22 (± 0.00014) for ChiPseq_HD,
181 0.25 (± 0.0002) for Coding_HD, 0.24 (± 0.0001) for sQTL_HD, 0.27 (± 0.0002) for
182 Finemap80k_HD, 0.27 (± 0.0002) for mQTL_HD, 0.23 (± 0.0002) for Cons100w_HD, and
183 0.27 (± 0.0002) for HD alone.

184 In single-trait BayesR, we directly model these 6 variant sets one set at a time. To create a
185 reference baseline, we also used single-trait BayesR to fit the HD variant set (‘HD’) alone. In
186 single-trait BayesRC, for each of the same 6 combined variant sets, we specify 2 different
187 variant classes: 1) Variants appeared in the functional and/or evolutionary set and 2) variants
188 only appeared in the HD variant set.

189 Both BayesR and BayesRC modelled variant effects as a mixture distribution of four normal
190 distributions including a null distribution, $N(0, 0.0\sigma_g^2)$, and three others: $N(0, 0.0001\sigma_g^2)$,
191 $N(0, 0.001\sigma_g^2)$, $N(0, 0.01\sigma_g^2)$, where σ_g^2 was the additive genetic variance for the trait.

192 The starting value of σ_g^2 for each trait was estimated using GREML implemented in the

193 MTG2 (Lee & Van der Werf, 2016) with a single genomic relationship matrix made of all
194 sequence variants. The statistical model used in the single-trait BayesR and BayesRC in was:

195
$$\mathbf{y} = \mathbf{W}\mathbf{v} + \mathbf{X}\mathbf{b} + \mathbf{e} \text{ (equation 1)}$$

196 where \mathbf{y} was a vector of phenotypic records; \mathbf{W} was the design matrix of marker genotypes;
197 centred and standardised to have a unit variance; \mathbf{v} was the vector of variant effects,
198 distributed as a mixture of the four distributions as described above; \mathbf{X} was the design matrix
199 allocating phenotypes to fixed effects; \mathbf{b} was the vector of fixed effects, including breeds;
200 \mathbf{e} = vector of residual errors. As a result, the effect b for each variant jointly estimated with
201 other variants were obtained for further analysis.

202 BayesRC used the same linear model as BayesR. The C component of BayesRC had two
203 categories c ($c = 2$) as described above. Within each category c , an uninformative Dirichlet
204 prior (α) was used for the proportion of effects in each of the four normal distributions of
205 variant effects: $P_c \sim Dir(\alpha_c)$, where $\alpha_c = [1, 1, 1, 1]$. α_c was updated each iteration within
206 each category: $P_c \sim Dir(\alpha_c + \beta_c)$, where β_c was the current number of variants in each of the
207 four distributions within category c , as estimated from the data.

208 Two metrics were evaluated for mapping results. One is the mixing proportion, i.e., the
209 proportion of variants with small effect $N(0, 0.0001\sigma_g^2)$, medium effect $N(0, 0.001\sigma_g^2)$
210 and large effect $N(0, 0.01\sigma_g^2)$ for each BayesRC run across the functional variant class and
211 the HD SNP class. This metric shows the information content of the two classes. The other
212 metric was the percentage of 50kb segments needed by the model to explain 50% of the
213 cumulative sum of posterior probability (PP), which indicated the mapping precision. For
214 each variant, PP was calculated as $1 - P_0$ where P_0 was the probability for the variant to be
215 within the zero-effect distribution $N(0, 0.0\sigma_g^2)$. The sum of PP across all variants estimates
216 the number of variants causing genetic variance in the trait. The smaller amount of genomic
217 segments needed to explain a cumulative sum of PP, the higher the mapping precision. We

218 also compared genomic prediction accuracy, defined as the Pearson correlation r between
219 genomic estimated breeding value (gEBV) and phenotype in the validation populations.
220 gEBV of the validation animals was calculated as $gEBV = \mathbf{Z}\hat{\mathbf{s}}$ (equation 2), where \mathbf{Z} was a
221 matrix of the standardised genotypes of animals in the validation set, and $\hat{\mathbf{s}}$ was the vector of
222 variant effects from the training model. In addition, to test if adding a large number of
223 random variants to the HD panel can increase mapping precision and prediction accuracy, a
224 random set of 944,616 variants matching the size of the largest set of functional variants
225 (sQTL, 943,315 variants) was also selected and added to the HD panel ('Random_HD'). This
226 random set was analysed for BayesR, mapping precision and prediction accuracy in the same
227 fashion as other variant sets described above.

228

229 **Results**

230 *Information content in the functional variant sets*

231 Averaged across mixing proportions from single-trait BayesRC, we show that compared to
232 HD SNPs, the finely mapped variants had consistently higher enrichment with variants
233 showing small, medium and large effects (Figure 1). Variants within coding regions showed
234 higher enrichment than HD SNPs for large- and medium-effect variants. Interestingly,
235 mQTLs, which were variants affecting the concentration of milk fat metabolites (Benedet,
236 Ho, Xiang, Bolormaa, De Marchi, Goddard & Pryce, 2019; Xiang *et al.*, 2019), had lower
237 enrichment of small-effect variants than HD SNPs, but had higher enrichment of medium and
238 large-effect variants than HD SNPs.

239 *Mapping precision*

240 Across traits, we show that all models using functional variants, except mQTL, needed a
241 smaller amount of genome-wide segments to explain 50% of the cumulative sum of PP,
242 compared to HD SNPs (Figure 2). This means that when adding to the HD SNPs, most

243 functional variants increased mapping precision. In contrast, adding randomly selected
244 944,000 variants to HD SNPs increased the amount of genome-wide segments (by $2.82\% \pm$
245 0.13%) across scenarios to explain 50% of the cumulative sum of PP, compared to only using
246 HD SNPs. This suggested that adding random variants to HD decreases mapping precision. It
247 is worth noting that when using 106,538 coding variants and 65,394 finely mapped variants,
248 BayesRC provided a further increase in mapping precision over HD SNPs than BayesR. On
249 the other hand, when using 549,007 ChIP-seq tagged variants and 943,315 sQTL variants,
250 BayesRC had less increase in mapping precision over HD SNPs than BayesR. This could be
251 due to the reduced signal-to-noise ratio in large variant sets of ChIP-seq tagged variants and
252 sQTLs.

253 *Genomic prediction of traits*

254 In total, we evaluated the genomic prediction accuracy in 216 scenarios, across 6 single-trait
255 analysis, 6 functional categories, 4 breeds in the validation population, and 2 Bayesian
256 methods. Out of these 216 scenarios, 142 (66%) times, HD SNPs combined with functional
257 variants increased genomic prediction accuracy, compared to the prediction only using the
258 HD SNPs (Figure 3 and 4). In 51 out of 216 times (24%), the increase in prediction accuracy
259 ($[r_{functional} - r_{HD}] \times 100\%$) was greater than 1%. These 51 cases were almost all accounted
260 for by Jersey (15/51) and Australian Red (34/51), with only 2 cases in Holstein cattle. In 29
261 analyses (14%), the increase in prediction accuracy over HD SNPs was greater than 2%. All
262 these 29 cases were for non-Holstein breeds. Amongst tested functional sets, genomic
263 prediction accuracy was the best when the HD variants were combined with conserved
264 variants (Cons100w_HD). In contrast, averaged across tested scenarios, adding randomly
265 selected 944,000 variants to HD had a slightly worse or no improvement in prediction
266 accuracy ($-0.5\% \pm 0.49\%$) compared to only using the HD panel to predict.

267 As shown in Figure 3, the genomic prediction accuracy of milk production traits using HD
268 SNPs in Holstein cattle was already high (around 0.7) and the increases in accuracy from
269 functional variants were very small. However, larger increases were evident in Jersey and
270 Australian Red. For milk production traits, 10 out of 18 times the genomic prediction
271 accuracy was the most improved by conserved variants and coding variants combined with
272 HD SNPs, followed by finely mapped variants combined with HD SNPs (4/18), ChIP-seq
273 tagged variants (3/18) combined with HD SNPs. sQTL combined with HD variants had the
274 highest accuracy when predicting protein yield in Holstein.

275 As shown in Figure 4, the greatest increases in prediction accuracy for traits mastitis, somatic
276 cell count and temperament were again seen in non-Holstein breeds. Chip-seq peak tagged
277 variants combined with HD SNPs (5/18 times) and conserved variants combined with HD
278 SNPs (5/18 times) had the best performances in predicting mastitis, somatic cell count and
279 temperament.

280 Across all scenarios, we did not see a clear distinction in prediction accuracy between
281 BayesR and BayesRC in the current study. There may be some tendencies where BayesRC
282 had a higher accuracy than BayesR for somatic cell count, mastitis and temperament.
283 However, none of these differences were significant.

284

285 **Discussion**

286 Our systematic evaluations show that functional information can improve genomic mapping
287 and prediction of cattle traits, even when HD SNPs are used, although there were times where
288 HD SNPs alone still had robust performances. It is usually the less represented breeds, such
289 as Jersey and Australian Red who benefited the most from the improvements using functional
290 data. This suggests functional information can well complement HD SNPs especially in
291 breeds with smaller training sets. Adding randomly selected variants to the HD panel reduces

292 mapping precision and provided no improvement in prediction accuracy compared to only
293 using the HD panel. This supports that the The benefit provided via selecting variants based
294 on functional importance can not simply be achieved by adding more sequence variants.
295 We show that the biological information content which can be used to benefit mapping and/or
296 prediction is different between functional datasets. One of the top-performing functional
297 variant sets in mapping large-effect variants was the finely mapped 80,000 variants (Xiang *et*
298 *al.*, 2021). This result is somewhat expected as these variants combined information from
299 multiple functional datasets and also included variants affecting multiple dairy cattle traits.
300 These finely mapped 80,000 variants outperformed the SNPs from the 50K panel in previous
301 evaluations (Xiang *et al.*, 2021). Furthermore, finely mapped 80,000 variants showed
302 enhanced enrichment of large-effect variants and improvement in mapping precision when
303 modelled with BayesRC. This suggests that this much more refined set of variants (chosen
304 because they were more relevant to the traits of interest) are likely more enriched for variants
305 that are more strongly associated with the trait or are causal. BayesRC would only
306 outperform BayesR when there is strong enrichment for QTL in at least one of the defined
307 classes. The other functional groups tested are not trait specific (except mQTL for fat) so
308 likely less enriched relative to each trait.

309 Previous results showed that coding-related variants did not explain a significant amount of
310 heritability (Koufariotis *et al.*, 2018; Xiang *et al.*, 2019). In the current study, coding-related
311 variants combined with HD SNPs showed enhanced enrichment with large-effect variants
312 and improvement in mapping precision. This implies that variants affecting protein coding
313 may not necessarily be good at capturing all the genetic variance of polygenic traits. The
314 small set of mQTLs, derived from milk fat showed strong enrichment of large-effect variants
315 but did not show improvement in mapping precision over HD SNPs. This set of variants
316 needs future investigations.

317 Unlike the results in mapping large-effect variants, for genomic prediction, the top-
318 performing variant set is the conserved variants combined with HD SNPs. The advantage of
319 adding conserved variants to HD SNPs was particularly evident when predicting somatic cell
320 count, mastitis and temperament of non-Holstein breeds (Figure 4). In fact, in these scenarios
321 HD SNPs alone did not perform so well and this leaves more room for functional variants to
322 improve the prediction accuracy. Another variant set that performed well in genomic
323 prediction is the set of ChIP-seq peak tagged variants. Again, such an advantage was the most
324 evident when predicting somatic cell count, mastitis and temperament in non-Holstein breeds.
325 Interestingly, ChIP-seq variants combined with HD SNPs appear to show some particular
326 advantages in predicting temperament. There may be some large-effect variants for
327 temperament captured by ChIP-seq peaks.

328 We found that sQTL variants combined with HD SNPs had variable performances in
329 mapping and prediction. This set did not show good performance in detecting enrichment of
330 informative variants, but overall significantly increased mapping precision over HD SNPs. In
331 genomic prediction, its performance was not impressive. This is somewhat different from
332 previous studies which showed that sQTLs are enriched with complex trait QTL (Li *et al.*,
333 2016; Xiang *et al.*, 2019; Xiang *et al.*, 2018). One explanation is that sQTLs or any other
334 eQTLs were not trait specific and are plagued by LD, which is particularly strong for
335 Holstein breeds that dominated the discovery population. Another explanation is that the
336 sample size with which we used to discover sQTLs is still small (N~120) and we should re-
337 discover and re-evaluate this set of variants when there is a larger sample size.

338 As mentioned earlier, BayesRC would only outperform BayesR when there is strong
339 enrichment for QTL in at least one of the defined classes. It would also require functional
340 information to be trait-specific. We saw advantages in BayesRC over BayesR in detecting
341 enrichment with large-effect variants using finely mapped variants, coding variants and

342 mQTLs. BayesRC also had advantages over BayesR in mapping precision when used with
343 finely mapped variants and coding variants. While these functional data are expected to be
344 informative, they did not provide consistent advantages for BayesRC to predict traits over
345 BayesR. Across all tested cases, we did not see strong advantages in BayesRC over BayesR
346 in genomic prediction (Figure 4). BayesRC may have some tendencies to better predict
347 somatic cell count, mastitis and temperament than BayesR. However, the differences were
348 not statistically significant. The reason behind these observations may be complex.

349 We know that not all variants in the functional datasets are informative and many sequence
350 variants are in strong LD. BayesR and BayesRC both have limitations where variants are in
351 very strong LD. In addition, if most causal variants are quite well tagged by HD variants and
352 if validation animals are highly related to the discovery animals, the room to improve
353 prediction accuracy is limited. Also, there may be less common variants that are not tagged
354 by HD SNPs, but these variants are not well imputed. Further, the optimal tissues and/or
355 experimental conditions to generate functional data that can be better used for improving
356 genomic prediction are usually not known. Therefore, the marriage between functional data
357 and genomic prediction is still at its very early stage.

358 We therefore suggest two future research directions to improve on the current results. The
359 first is to increase the information content in functional datasets. This can be achieved by
360 either increasing the sample size (biological replicates, tissues and experimental conditions)
361 of functional datasets or by developing better bioinformatic tools to increase the signal-to-
362 noise ratio in functional datasets before they can be processed by genomic prediction models.

363 The second direction is to improve the current genomic prediction models. Because the type
364 and complexity of functional data will keep growing, it will be necessary to develop more
365 sophisticated and flexible methods to better extract information from complex functional
366 data. For example, an extended BayesRC that can model quantitative biological priors,

367 instead of qualitative classes will be needed. Similarly, in the future we will use larger sample
368 sizes and diverse breeds in the training model to reduce LD between sequence variants. This
369 will also increase the need for Bayesian methods to be more efficient.

370 In conclusion, our evaluation of Bayesian genomic prediction using functional and
371 evolutionary information with HD SNPs provides novel insights into this emerging area. We
372 show that functional datasets of conserved variants, coding variants, ChIP-seq peaks and
373 previously finely mapped variants can improve genomic mapping and/or genomic prediction,
374 even when HD SNPs are used. Such improvements usually benefit non-Holstein breeds,
375 given the current available functional datasets. We found that by using informative biological
376 priors, BayesRC has significant advantages over BayesR in detecting enrichment with large-
377 effect variants and in mapping precision. However, the advantage of BayesRC over BayesR
378 for genomic prediction was not consistent. Our results highlight the need to develop better
379 tools to extract information from complex functional datasets which will benefit genomic
380 prediction in large datasets. Fusing functional genomics with genomic selection presents
381 great opportunities to develop new technologies that improve animal breeding and genetics.

382

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395

396 **Conflict of interest**

397 The authors declare no conflicts of interest.

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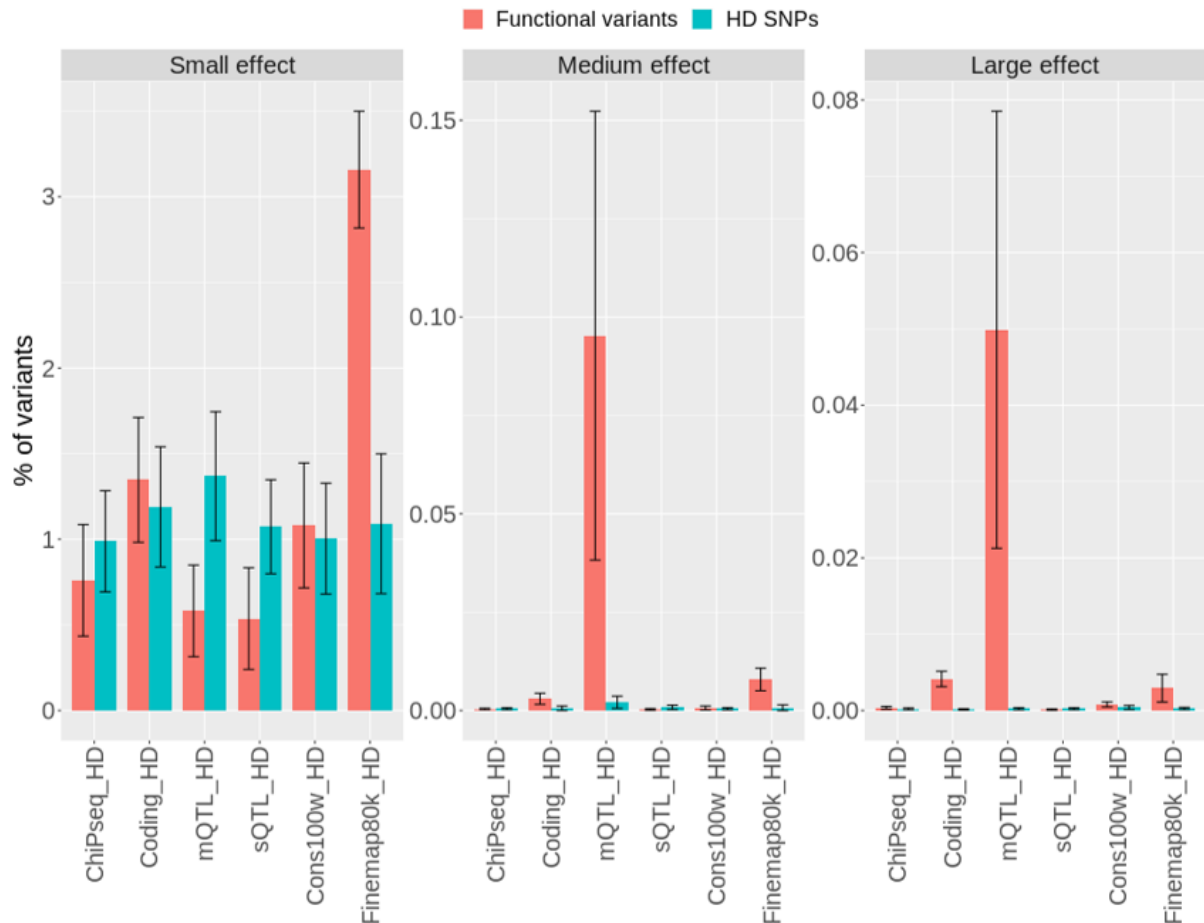
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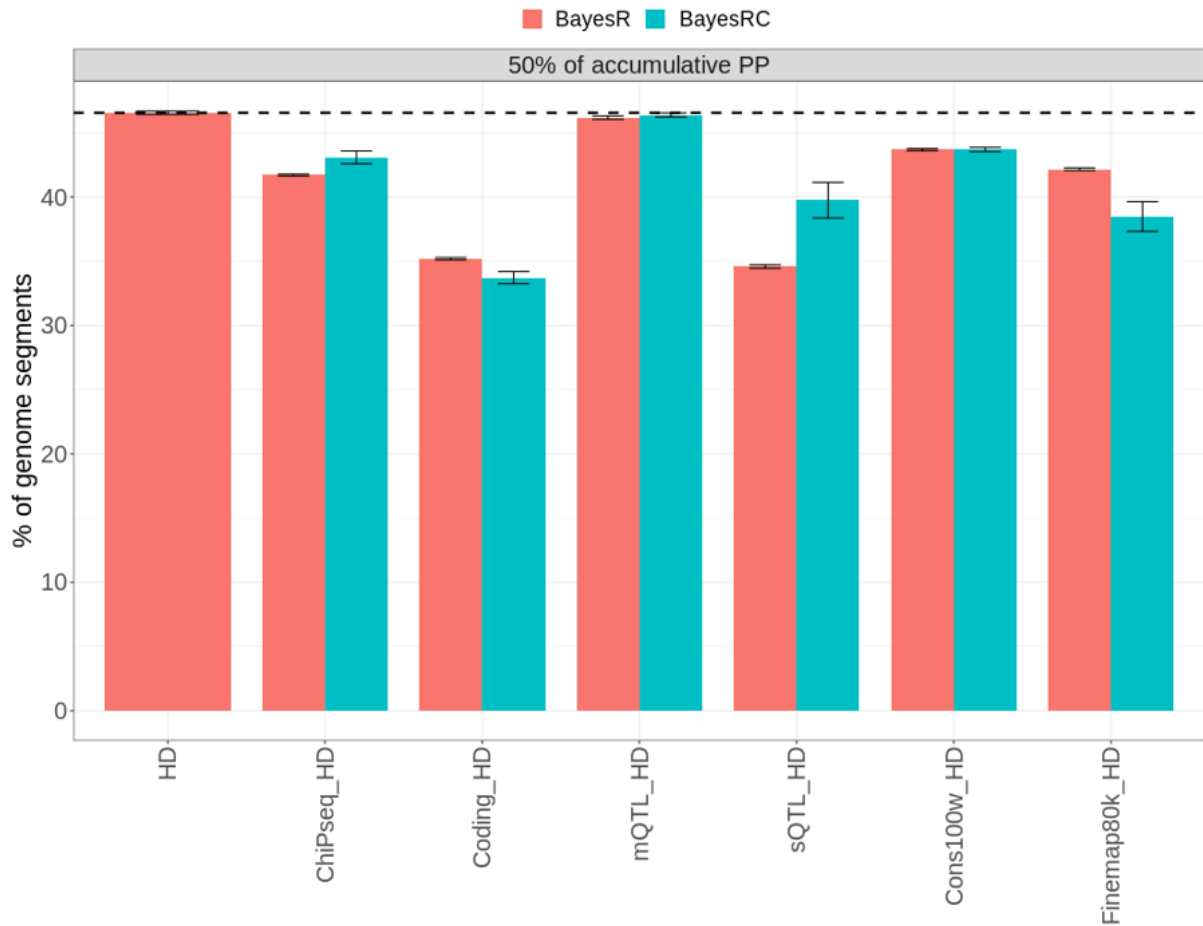
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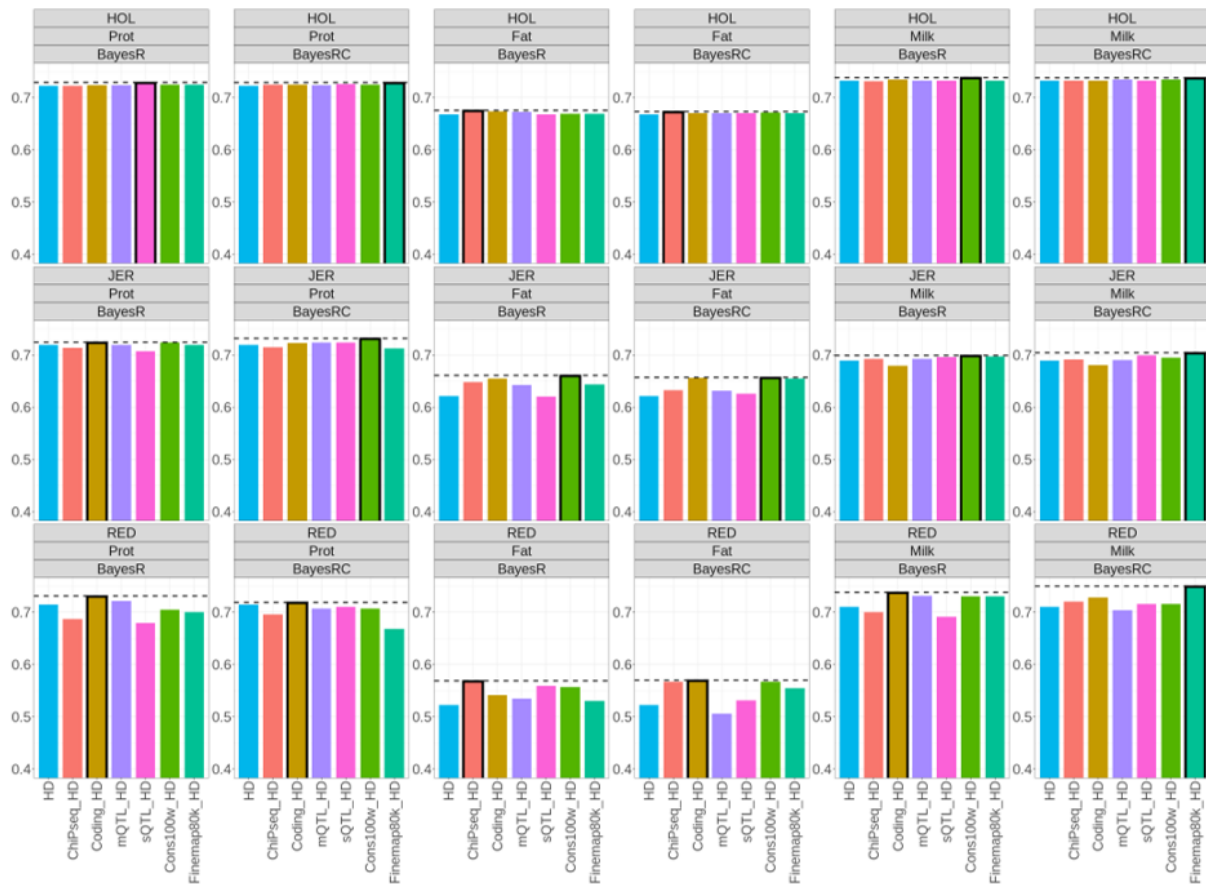
Figure 1. The proportion of small-effect, medium effect and large effect variants in functional variants and HD SNPs. The mean and standard error bars are averaged across 6 traits. ChiPseq_HD: ChIP-seq peaks + HD SNPs. Coding_HD: coding variants + HD SNPs. mQTL_HD: mQTLs + HD SNPs. sQTL_HD: sQTL variants + HD SNPs. Cons100w_HD: conserved variants across 100 vertebrates + HD SNPs. Finemap80k_HD: finely mapped variants + HD SNPs.



530

531 **Figure 2.** Mapping precision of different models. The Y-axes represent the percentage of
532 50kb segments needed by the model to explain 50% of the cumulative sum of posterior
533 probability (PP) of variants. A shorter bar means less amount of segments the model needs to
534 explain the same amount of genetic variance, indicating higher mapping precision. Black
535 dashed line indicates the Y value for the HD SNPs, fitted along in BayesR. ChiPseq_HD:
536 ChIP-seq peaks + HD SNPs. Coding_HD: coding variants + HD SNPs. mQTL_HD: mQTLs
537 + HD SNPs. sQTL_HD: sQTL variants + HD SNPs. Cons100w_HD: conserved variants
538 across 100 vertebrates + HD SNPs. Finemap80k_HD: finely mapped variants + HD SNPs.

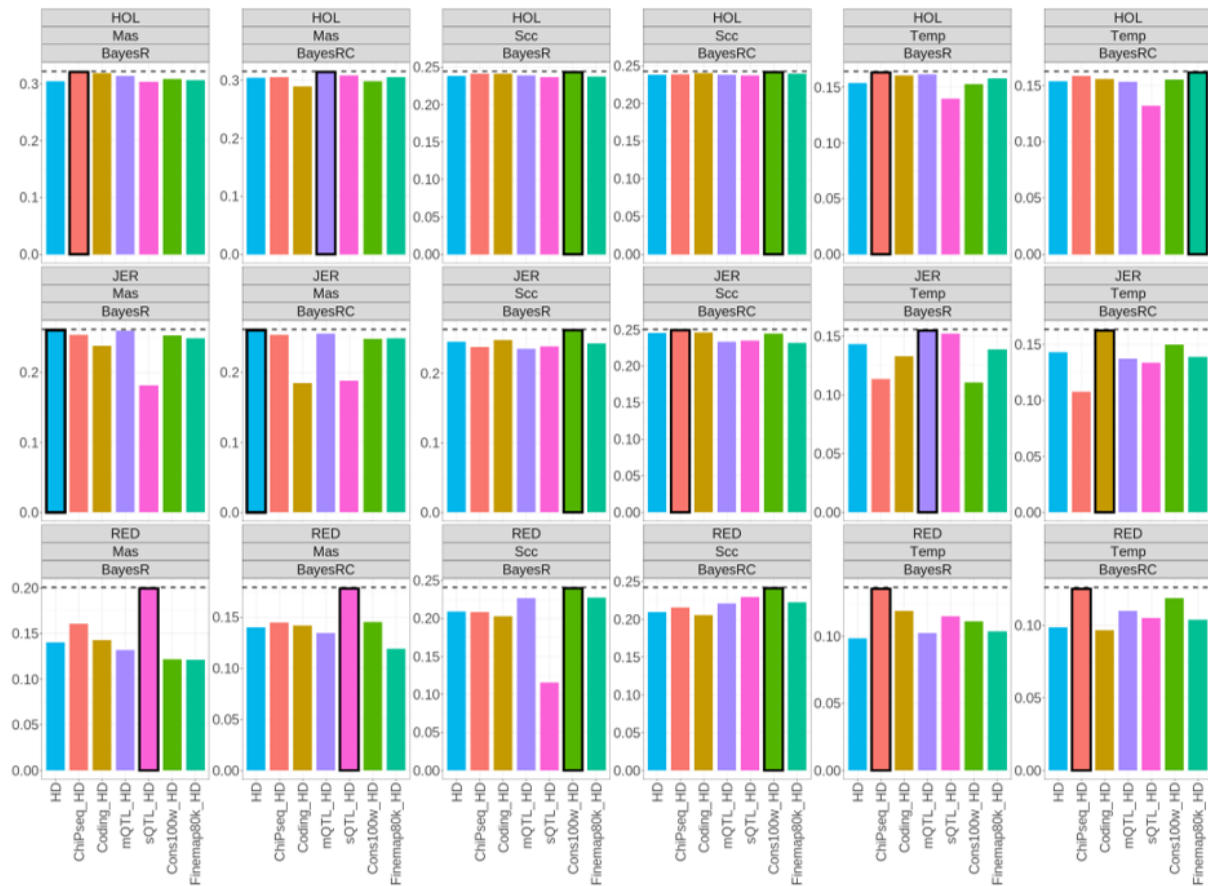
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541 **Figure 3.** Genomic prediction accuracy (Pearson correlation coefficient, Y-axis) for
 542 production traits, across different functional/evolutionary variant sets, breeds and Bayesian
 543 methods. A black border and a dashed line of a bar indicate that it has the highest genomic
 544 prediction accuracy in the panel. HOL: Holstein breed. JER: Jersey breed. RED: Prot: milk
 545 protein yield. Fat: milk fat yield. Milk: milk yield. Australian Red. ChiPseq_HD: ChIP-seq
 546 peaks + HD SNPs. Coding_HD: coding variants + HD SNPs. mQTL_HD: mQTLs + HD
 547 SNPs. sQTL_HD: sQTL variants + HD SNPs. Cons100w_HD: conserved variants across 100
 548 vertebrates + HD SNPs. Finemap80k_HD: finely mapped variants + HD SNPs.

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550

551 **Figure 4.** Genomic prediction accuracy (Pearson correlation coefficient, Y-axis) for mastitis,
 552 somatic cell count and temperament across different functional/evolutionary variant sets,
 553 breeds and Bayesian methods. A black border and a dashed line of a bar indicate that it has
 554 the highest genomic prediction accuracy in the panel. HOL: Holstein breed. JER: Jersey
 555 breed. RED: Australian Red. Mas: mastitis. Scc: somatic cell count. Temp: temperament.
 556 ChiPseq_HD: ChIP-seq peaks + HD SNPs. Coding_HD: coding variants + HD SNPs.
 557 mQTL_HD: mQTLs + HD SNPs. sQTL_HD: sQTL variants + HD SNPs. Cons100w_HD:
 558 conserved variants across 100 vertebrates + HD SNPs. Finemap80k_HD:
 559 variants + HD SNPs.