1 Drug distribution along the cochlea is strongly enhanced by low-frequency round window

2 micro vibrations

- 3 Samuel M. Flaherty^{1,2*}, Ian J. Russell¹, Andrei N. Lukashkin^{1,2}
- 4 ¹Sensory Neuroscience Research Group, School of Pharmacy and Biomolecular Sciences,
- 5 University of Brighton, Brighton BN2 4GJ, UK
- ⁶ ²Centre for Regenerative Medicine and Devices, University of Brighton, Brighton BN2 4GJ,

7 UK

- ⁸ ^{*}Current address: Nanomedicine Lab, Division of Pharmacy & Optometry, School of Health
- 9 Sciences, University of Manchester, Manchester, M13 9PT
- 10 **Concise title:** Enhancing drug distribution along the cochlea
- 11 **Correspondence to:** <u>A.Lukashkin@brighton.ac.uk</u>

12 Keywords: Inner ear drug delivery, cochlea, intratympanic administration, round window13 membrane

- 14 Word count: 5820
- 15
- 16
- 17
- 18
- 10
- 19
- 20
- 21
- 22

--

- 23
- 24

25 Abstract

The cochlea's inaccessibility and complex nature provide significant challenges to delivering 26 drugs and other agents uniformly, safely and efficiently, along the entire cochlear spiral. Large 27 drug concentration gradients are formed along the cochlea when drugs are administered to the 28 middle ear. This undermines the major goal of attaining therapeutic drug concentration 29 windows along the whole cochlea. Here, utilizing a well-known physiological effect of 30 salicylate, we demonstrate a proof of concept in which drug distribution along the entire 31 32 cochlea is enhanced applying round window membrane low-frequency micro vibrations with 33 a probe that only partially covers the round window. We provide evidence of enhanced drug influx into the cochlea and cochlear apical drug distribution without breaching cochlear 34 35 boundaries. It is further suggested that ossicular functionality is not required for the effective drug distribution we report. The novel method of local drug delivery to the cochlea presented 36 37 here could be implemented when ossicular functionality is absent or impeded and can be incorporated in clinically approved auditory protheses for patients who suffer with conductive, 38 39 sensorineural or mixed hearing loss.

40 Introduction

The relative inaccessibility of the human cochlea and its intricated structure requires new drug 41 42 delivery technologies to be designed to ensure safe, efficient and uniform drug distribution along the entire cochlear spiral (Salt & Plontke, 2009; Rivera et al., 2012; El Kechai et al., 43 44 2015; Hao & Li, 2019). The blood-labyrinth barrier hinders the effectiveness of systemic drug administration to the inner ear (Nyberg et al., 2019) and local drug administration becomes 45 increasingly important. Success of the most frequently used topical, intratympanic drug 46 delivery, when drugs are administrated into the middle ear cavity (Figure 1A), depends on a 47 drugs ability to diffuse into the scala tympani (ST) through the round window membrane (RW) 48 49 and, to a limited extent, into the scala vestibuli through the oval window occluded by the stapes. If the drug is allowed only to diffuse passively along the narrow, extended ST, its 50 concentration, in theory, should become the same within the entire scala after an arbitrary long 51 time (unrealistic scenario, Figure 1B) (Sadreev et al., 2019). However, for a drug to be 52 effective, it has to be cleared from the ST into other cochlear compartments (more realistic 53 scenario, Figure 1B). Dynamic equilibrium between diffusion and clearing leads to the 54 55 formation of substantial steady-state, base-to-apex drug concentration gradients along the cochlea (Salt & Ma, 2001; Sadreev et a., 2019), which have been confirmed experimentally for 56

marker ions and contrasting agents (Salt & Ma, 2001; Haghpanahi et al., 2013), corticosteroids
(Plontke et al., 2008; Creber et al., 2018) and antibiotics (Mynatt et al., 2006; Plontke et al.,
2007). Thus, intratympanic drug administration faces the fundamental problem of limited
passive diffusion within the cochlea, which undermines drug efficiency due to the inability of
drugs to reach their targets within the therapeutic concentration window.

A few relatively non-invasive techniques for assisting substance mixing along the cochlea have 62 been suggested recently that utilise low-frequency pressure stimulation (Lukashkin et al., 63 64 2020), stimulation at acoustic frequencies (Park & Moon, 2014; Shokrian et al., 2020) and ultrasound (Liao et al., 2020) which cause reciprocated movement of the stapes and RW. While 65 the later method relies on the formation of ultrasound-induced microbubbles which can act 66 directly on the RW (Liao et al., 2020), the other techniques require the mobility of the ossicular 67 chain. If the ossicular chain is immobile or malformed then these techniques become non-68 69 applicable. In this study we demonstrate that, in this case, micro vibrations of the RW alone can facilitate drug distribution along the cochlear spiral. 70

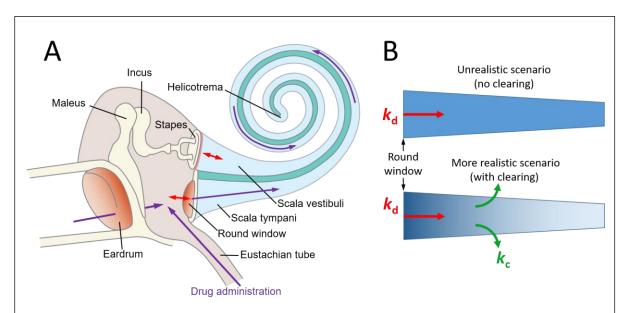


Figure 1. Schematic of the mammalian hearing organ (**A**) and two scenarios of molecular drug diffusion along the scala tympani (**B**). (**B**) Passive molecular diffusion of a drug along the scala tympani is described by a diffusion (k_d) and clearing (k_c) coefficients. For a given geometry of the scala tympani, the steady-state drug concentration gradient (denoted by the blue colour intensity) along it depends only on the ratio k_d/k_c (Sadreev et al., 2019). (**A**) is modified from (Lukashkin et al., 2020).

71

72 Materials and methods

73 Animals and surgery

74 Animal preparation and signal generation and recording have been described elsewhere (Burwood et al., 2017). Briefly, pigmented guinea pigs of similar weight (350-360 g) and both 75 sexes were anaesthetised with the neurolept anaesthetic technique (0.06 mg/kg body weight 76 atropine sulphate s.c., 30 mg/kg pentobarbitone i.p., 500 µl/kg Hypnorm i.m.). Additional 77 injections of Hypnorm were given every 40 minutes. Additional doses of pentobarbitone were 78 administered as needed to maintain a non-reflexive state. The heart rate was monitored with a 79 80 pair of skin electrodes placed on both sides of the thorax. The animals were tracheotomized 81 and artificially respired with a mixture of O₂/CO₂, and their core temperature was maintained at 38°C with a heating blanket and a heated head holder. 82

All procedures involving animals were performed in accordance with UK Home Office
regulations with approval from the University of Brighton Animal Welfare and Ethical Review
Body.

86 Signal generation and recording

The middle ear cavity of the ear used for the measurements and salicylate application was opened to reveal the RW. Compound action potentials (CAPs) of the auditory nerve in response to pure tone stimulation were measured from the cochlear bony ridge in the proximity of the RW membrane using Teflon-coated silver wire coupled to laboratory designed and built extracellular amplifier (James Hartley). Thresholds of the N1 peak of the CAP at different frequencies, which corresponds to different distances from the cochlear base (Greenwood, 1990), were estimated visually using 10 ms pure tone stimuli at a repetition rate of 10 Hz.

For acoustic stimulation sound was delivered to the tympanic membrane by a closed acoustic 94 system comprising two Bruel and Kjaer 4134 ¹/₂" microphones for delivering tones and a single 95 Bruel and Kjaer 4133 ¹/₂" microphone for monitoring sound pressure at the tympanum. The 96 97 microphones were coupled to the ear canal via 1 cm long, 4 mm diameter tubes to a conical speculum, the 1 mm diameter opening of which was placed about 1 mm from the tympanum. 98 The speculum was sealed in the ear canal. The closed sound system was calibrated in situ for 99 100 frequencies between 1 and 50 kHz. Known sound pressure levels were expressed in dB SPL re 2×10^{-5} Pa. 101

102 All acoustic stimuli in this work were shaped with raised cosines of 0.5 ms duration at the 103 beginning and at the end of stimulation. White noise for acoustical calibration and tone 104 sequences for auditory stimulation were synthesised by a Data Translation 3010 board 105 (Measurement Computing Corporation, MA) at 250 kHz and delivered to the microphones 106 through low-pass filters (100 kHz cut-off frequency). Signals from the acoustic measuring 107 amplifier (James Hartley) were digitised at 250 kHz using the same board and averaged in the 108 time domain. Experimental control, data acquisition and data analysis were performed using a 109 PC with custom programmes written in MATLAB (MathWorks, MA).

110 Salicylate application

5 μl of 100 mM sodium salicylate solution in Hanks' Balanced Salt Solution were placed on
the RW using pipettes. The solution was removed from the RW using paper wicks to observe
the wash out effect.

114 Round window stimulation

A miniature loudspeaker K16-50 Ohm (Visaton GmbH, Haan, Germany) was used to vibrate 115 116 the RW. Loudspeaker dust cover was removed and a carbon rod (20 mm in length and 0.5 mm in diameter) was glued centrally on the loudspeaker membrane. The probe was perpendicular 117 to the loudspeaker face and remained in this position during experiments to ensure no sideward 118 movements. The probe tip was rounded using a thin layer of superglue preventing RW damage 119 and carbon rod fragmentation. The loudspeaker was fixed to a steel rod, using araldite, and the 120 rod was held in a micromanipulator for a precise probe placement. A programmable 121 synthesiser/signal generator (Philips PM5193) was used to drive the loudspeaker in the 122 experiments. The probe movements versus voltage applied to the loudspeaker were calibrated 123 prior to experiment by focusing a laser vibrometer (CLV-2534, Polytec GmbH, Waldbronn, 124 Germany) at the probe tip along the probe axis and measuring dependence of the probe 125 vibration velocity on the voltage applied to the loudspeaker at the RW stimulation frequencies. 126 The probe vibration amplitude was calculated by integrating its velocity. 127

During experiments, the carbon probe was placed at about 45-degrees to the RW because of a limited access to the RW. Probe vibrations started immediately after placing salicylate solution on the RW. In the first 20 minutes period, acoustic CAP threshold recordings were taken every 3-5 minutes to record the fast action of salicylate at the basal region of the cochlea. Due to the very low frequencies used to vibrate the RW, there was no CAP generated in response to the probe vibrations, allowing recordings of the CAP due to acoustic stimulation to be taken during the RW micro vibrations. After 20 minutes, the CAP threshold recordings were made every 10 minutes until a total of 60 minutes of RW micro vibrations. To washout, the carbon probe was
removed, the salicylate was removed from the RW using fine paper wicks and the recovery of
CAP threshold to acoustic stimulation was recorded.

138 *Recording of stapes vibrations*

Stapes vibrations were recorded using a laser vibrometer (CLV-2534, Polytec GmbH,
Waldbronn, Germany). The laser beam was focussed on the stapes head. The output voltage
from the vibrometer was low-pass filtered at 100 kHz, with a sensitivity of 2 mm/s/V.

142 Fluorescent dye experiments

143 Lucifer yellow CH, lithium salt (Thermo Fisher Scientific) was used to visualize diffusion in straight water filled pipes. The pipes with an approximate length of 40 mm were constructed 144 145 using Tygon[™] LMT-55 tubing (1.14 mm ID, 0.80 mm wall, Fisher Scientific). An outlet was made with a 25G needle and inserted through the pipe's wall close to one end and fixed in 146 147 place with superglue. A membrane, cut from a laboratory latex glove (typical thickness of 0.1 mm), was glued with superglue at the same pipe end making sure that the glue does not cover 148 the open surface of the membrane. The other pipe end was closed with a Blu Tack (Blue-149 tack.co.uk) plug to prevent water evaporation. A 25G needle was inserted through the plug into 150 the pipe to provide pressure relief. The outlet was used to fill the pipe with deionized water to 151 a distance of about 30 mm from the latex membrane and to inject 0.2 µl of 5% Lucifer yellow 152 water solution into the pipe using a pipette. Lucifer yellow fluorescence was excited using a 153 470 nm laser source (Dragon Lasers, Changchun Jilin, China) and still images were taken 154 (Sony α6100 camera, Sony Macro E 30mm F/3.5 lens) through an optical band pass filter 155 (FB540-10, Thorlabs Inc.) to assess dye diffusion over time. The same miniature loudspeaker 156 K16-50 Ohm (Visaton GmbH, Haan, Germany) with the carbon probe attached as used for the 157 RW stimulation was employed to vibrate the latex membrane in assisted diffusion experiments. 158 The carbon probe touching the membrane was pushed slightly toward inside of the pipes at rest 159 160 to ensure membrane tension and its relaxation during backward phase of probe strokes. Fluorescence intensity profiles were measured along the pipe axis using Fiji open source image 161 processing package. 162

163 **Results**

164 Low-frequency round window membrane micro vibrations do not elevate hearing thresholds
165 in guinea pigs

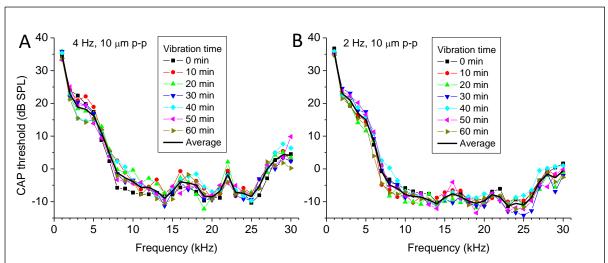


Figure 2. The effect of continuous RW probe vibrations at frequencies of 4 (A) and 2 (B) Hz on acoustic CAP thresholds without application of salicylate solution as a function of acoustic stimulus frequency. Frequency and amplitude of RW probe vibrations is indicated for each panel. Corresponding duration of vibrations is indicated by curves with different symbols. Each curve represents averaged data for 4 preparations (mean value, SD is not indicated for clarity). Solid black curves indicate averaged data (mean value) for all times presented at each panel. Vibration time indicated corresponds to the beginning of each individual CAP threshold curve measurements. It took less than a minute to record the CAP threshold curve for the entire frequency range 1-30 kHz.

RW stimulation with the carbon probe did not evoke any electrical responses that could be 166 detected by the RW electrode, which made it possible to make continuous CAP threshold 167 measurements to acoustic stimulation throughout the probe vibrations. Hearing sensitivity, 168 assessed by measured CAP thresholds, did not change when 10 µm peak-to-peak continuous 169 probe vibrations were applied to the RWM at 2 or 4 Hz for up to 60 minutes (Figure 2). In our 170 experiments, the probe covered only a small part of the RW. Under these conditions, most of 171 the pressure relief during the probe movement is through the RW area not occluded by the 172 probe. The generated far-field pressure component is small and cochlear excitation is due 173 mainly due to RW near-field pressure, which excites a conventional travelling wave at acoustic 174 frequencies (Weddell et al., 2014). Had a significant far-field pressure been generated, it would 175 176 cause a stapes movement. However, we were not able to detect any stapes responses above the 177 measurement noise floor of ~ 0.1 nm during the RW probe vibrations either at 2 or 4 Hz. As indicated by measurements from the RW electrode, the near-field pressure did not excite the 178 179 cochlear sensory apparatus at the frequencies of 2 and 4 Hz used in our experiments, even for relatively large 10 µm RW probe displacement. A consequence of this finding is that even large 180 probe induced vibrations of the RW membrane at these frequencies should be safe and unlikely 181 to produce hearing loss (see Discussion for detailed analysis). 182

183 Round window membrane micro vibrations promote drug distribution along the cochlear 184 spiral

The ability of micro vibrations of the RW to improve drug distribution along the cochlear spiral 185 was demonstrated in our experiments with the application of salicylate to the RW. Salicylate 186 readily diffuses through the RW (Borkholder et al., 2014; Sadreev et al., 2019). To monitor 187 salicylate diffusion along an intact guinea pig cochlea *in vivo*, we utilized the suppressive effect 188 of salicylate on cochlear amplification by blocking the outer hair cell (OHC) somatic motility 189 (Russell and Schauz, 1995; Hallworth, 1997). Salicylate competitively binds the motor protein 190 prestin, essential for OHC motility, by repelling Cl⁻-ions and preventing interaction with the 191 anion-binding site (Oliver et al., 2001). We measured the elevation of CAP thresholds caused 192 by salicylate at different frequencies of acoustic stimulation, which, due to cochlear 193 tonotopicity, corresponds to different distances from the RW (Greenwood, 1990). Thus, 194 195 through measuring the CAP threshold elevations we could assess the spread of salicylate along 196 the cochlea when it was applied to the RW.

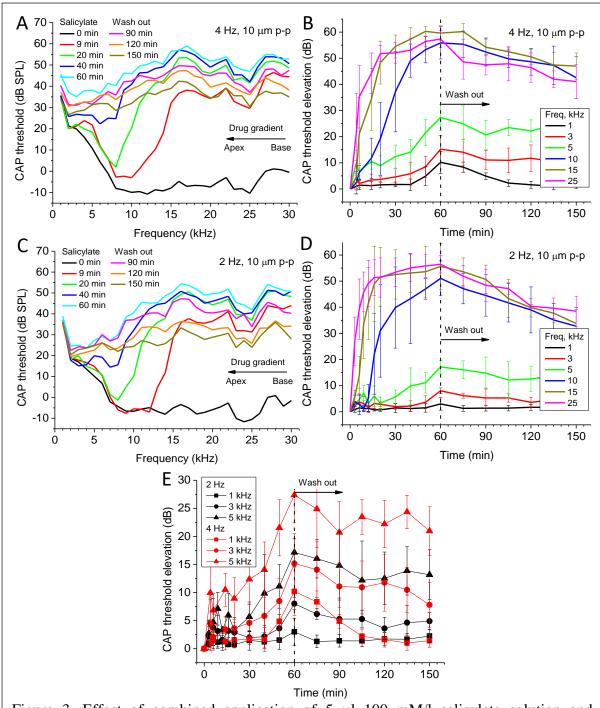


Figure 3. Effect of combined application of 5 μ l 100 mM/l salicylate solution and continuous RW probe vibrations at frequencies of 4 Hz (**A**, **B**, **E**) and 2 Hz (**C**, **D**, **E**) as a function of acoustic stimulus frequency. Salicylate was applied at time zero and RWM probe vibrations started at the same time. Salicylate was washed out after 60 minutes. (**A**, **C**). CAP thresholds for different times of salicylate application/RW vibrations (colour coded curves) as a function of acoustic stimulus frequency (mean values, SDs are not shown for clarity, N = 4). (**B**, **D**). CAP threshold elevations relative to the thresholds before salicylate application (time zero) for a few acoustic stimulus frequencies (colour coded curves) which correspond to different locations along the cochlea (mean ± SD, N = 6 and 4 for (**B**) and (**D**) respectively). (**E**). CAP threshold elevations relative to the thresholds before salicylate application (time zero) for acoustic stimulus frequencies (different symbols) corresponding to apical half of the cochlea (mean ± SD, N = 6 and 4 for 4 and 2 Hz of probe vibrations respectively). Statistically significant (p < 0.05, unpaired *t*-test) differences between the threshold elevations for probe vibrations at 4 and 2 Hz are observed after 60 minutes of salicylate application/RW vibrations.

When 5 μ l of 100 mM/l salicylate solution was applied to the RW (Figure 3), it caused a rapid 198 increase followed by saturation of CAP thresholds for high frequency tones with the 199 characteristic frequency place situated below or close to the RW (e.g. 25 kHz, Figure 3B, D). 200 Over time, CAP threshold elevation gradually spreads to lower frequencies (Figure 3A, C) 201 indicating salicylate diffusion into the cochlear apex. Salicylate did not cause elevation of the 202 CAP threshold responses for frequencies below 5 kHz, which corresponds to about 45% of the 203 204 total cochlear length from the base, when it diffused through the cochlea passively (Sadreev et al., 2019). The calculated gradient of base-to-apex salicylate concentration was about 13 orders 205 206 of magnitude. When, however, placement of salicylate solution on the RW was followed by RW probe vibrations at frequencies of 2 and 4 Hz, the CAP threshold was elevated throughout 207 the entire 1–30 kHz frequency range tested (Figure 3). The CAP threshold elevation did not 208 saturate and was still rising for frequencies below 5 kHz (Figure 3B, D) indicating continuous 209 increase in salicylate concentration in this cochlear region even after 60 minutes of the probe 210 vibration. This corresponds to about 25% of the total cochlear length from the apex 211 (Greenwood, 1990). Partial recovery of the CAP thresholds during washing out salicylate from 212 the RW after 60 minutes of its application (Figure 3) provided confirmation that the integrity 213 of the sensory cells was preserved and the CAP threshold elevation after joint salicylate 214 215 application and RW probe vibrations was not caused by the later.

Drug distribution along the cochlea length depends on the frequency of round window micro vibration

During combined application of salicylate solution to the RW and RW probe vibrations, the 218 CAP threshold elevations increase when the frequency of the RW probe vibrations is increased 219 (Figure 3). This is particularly evident for the lowest frequencies of acoustic stimulation 220 (Figure 3E). For the same acoustic frequencies (i.e. cochlear locations), the total CAP threshold 221 elevations after 60 minutes of combined salicylate application and RW probe vibrations at 4 222 223 Hz were significantly higher (p < 0.05, unpaired *t*-test) than the threshold elevations observed 224 during probe vibrations at 2 Hz. This frequency dependence confirms that increase in the CAP thresholds at frequencies which correspond to more apical cochlear locations and, hence, 225 226 enhanced diffusion of salicylate to the cochlear apex, was not due to placement of the probe alone and probe vibrations were required to observe the effect. 227

228 Comparison between different techniques of drug delivery through the round window 220 membrane

229 *membrane*

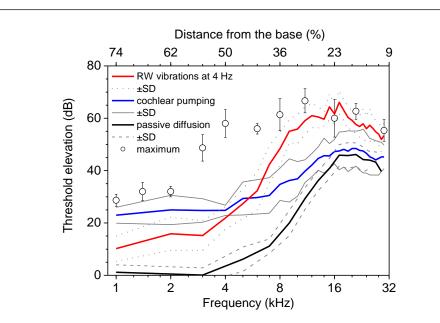


Figure 4. Comparison between different techniques of drug delivery through the RW. Frequency dependence of the CAP threshold elevation after 60 min of 5µl 100 mM/l salicylate solution application for passive diffusion (black line, mean \pm SD, N = 5, Lukashkin et al., 2020), during cochlear pumping (35 min of the total pumping time) (blue line, mean \pm SD, N = 5, Lukashkin et al., 2020) and continuous RW probe vibrations at 4 Hz (red line, mean \pm SD, N = 6) are shown. Open circles show maximal increase of the CAP thresholds after complete block of the cochlear amplifier by application of 5 µl of 1 M/l salicylate solution to the RW (mean \pm SD, N = 3) (Sadreev et al., 2019).

230

When 5 µl of 100 mM/l salicylate solution was applied to the RW and salicylate is allowed to 231 diffuse passively along the cochlear, it does not cause the CAP threshold elevations for 232 frequencies of acoustic stimulation below 5 kHz (Figure 4, black line; Sadreev et al., 2019). 233 This effectively means that salicylate is not able to reach the apical 50% of the cochlea at any 234 effective concentrations. Combined application of salicylate and low-frequency (4 Hz) 235 pressure oscillations to the ear canal (cochlear pumping), which causes large amplitude (80 µm 236 peak-to-peak), movement of the stapes and reciprocal movement of the RW, causes elevation 237 of CAP thresholds within the entire frequency range tested (Figure 4, blue line; Lukashkin et 238 al., 2020). This indicates the ability of the cochlear pumping to distribute salicylate evenly 239 along the entire cochlea. Joint application of salicylate and RW probe vibrations (4 Hz, 10 µm 240 peak-to-peak amplitude) reported in this study causes CAP threshold elevations for the 241 frequencies corresponding to the cochlear apex (Figure 4, red line) indicating enhanced drug 242

diffusion during the RW vibration. These threshold elevations at the cochlear apex (below 5 243 kHz) were smaller than those observed during cochlear pumping, probably because of the 244 smaller RW probe vibration amplitude (10 µm peak-to-peak) compared to the stapes vibration 245 amplitude (80 µm peak-to-peak). However, the CAP threshold elevations for the basal half of 246 cochlea (frequency of acoustic stimulation above 5 kHz) observed during the RW probe 247 vibrations exceed those recorded during both passive salicylate diffusion and cochlear 248 pumping. These high-frequency thresholds elevations during the RW vibrations are, in fact, 249 close to the maximum threshold elevations after complete block of the cochlear amplifier by 250 251 application of 1 M/l salicylate solution (Figure 4, circles; Sadreev et al., 2019) and indicate higher basal concentrations, i.e. influx of salicylate into the ST. Therefore, the RW probe 252 vibrations not only promote drug diffusion into the cochlea apex but also enhance salicylate 253 passage through the RW. 254

255 Passive and assisted diffusion of fluorescent dye

To gain insight into the mechanism of enhanced drug diffusion in our experiments with the 256 RW vibrations, we compare the speed of passive, molecular diffusion of Lucifer yellow along 257 water filled straight pipes and its diffusion assisted by micro vibrations of a membrane covering 258 one end of the pipes (Figure 5A, B). The pipes were water filled to ~ 30 mm from the membrane 259 and their internal cross-sectional area was ~ 1.02 mm^2 which correspond to the length and 260 average cross-sectional area of the human ST, respectively (Thorne et al., 1999). Fluorescence 261 intensity profiles, obtained immediately after injection of 0.2 µl of the dye, were closely similar 262 in all experiments (Figure 5C), indicating the initial conditions remained constant for all sets 263 of measurements. Small, 40 µm peak-to-peak vibration of the membrane at 10 Hz over 60 264 minutes enhanced dye distribution compared to passive diffusion (Figure 5D). The additional 265 spread of the diffusion front was small (about 1.7 mm for fluorescence intensity of 150 AU, 266 green arrow in Figure 5D). However, due to nonlinear dispersion of the diffusion front, this 267 small additional spread led to a statistically significant increase (unpaired *t*-test for 0.5 mm 268 bins, p<0.05) in the fluorescence intensity, i.e. dye concentration, over a much wider range of 269 9 mm (blue horizontal bar, Figure 5D). 270

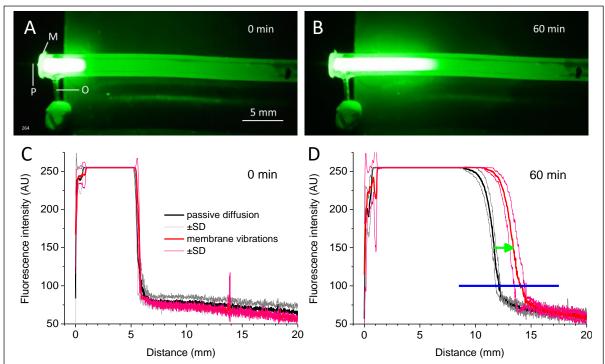


Figure 5. Distribution of Lucifer yellow in a straight pipe during passive diffusion and during vibrations of a membrane at a pipe end. (**A**). Fluorescence immediately after injections of 0.2 μ l of 5% Lucifer yellow water solution into the pipe through outlet O. M – latex membrane; P – carbon probe. (**B**). Fluorescence after 60 minutes of membrane vibrations at 10 Hz with 40 μ m peak-to-peak carbon probe movements. (**C**). Overlapping fluorescence intensity profiles measured along the pipe axis for all passive diffusion (black line, mean ± SD, N = 3) and membrane vibration (red line, mean ± SD, N = 3) experiments indicating the same initial conditions immediately after the dye injection. (**D**). Fluorescence intensity profiles after 60 minutes of passive dye diffusion (black line, mean ± SD, N = 3) and membrane vibration (black line, mean ± SD, N = 3) and membrane vibration (black line, mean ± SD, N = 3) and membrane of passive dye diffusion (black line, mean ± SD, N = 3) and membrane vibrations (red line, mean ± SD, N = 3). The same experiments as in C. Green arrow indicates additional spread of the diffusion front during membrane vibrations. Blue horizontal bar indicates the spread of statistically significant increase (unpaired *t*-test for 0.5 mm bins, p<0.05) in the fluorescence intensity/dye concentration which is observed during membrane vibrations.

271

272 Discussion

This is a proof of concept report which demonstrated that vibrating the partially occluded RW 273 at low frequencies of 2 and 4 Hz and with an amplitude of 5 µm facilitates drug distribution 274 along the cochlear spiral. Finding optimal and safe parameters of the RW vibrations was 275 276 outside the study's scope. However, we can conclude that for the range of stimulation parameters used within the timeframe of experiments, drug diffusion enhances with increasing 277 278 the RW stimulation frequency without affecting neural thresholds. This frequency dependence of the drug distribution also indicates that placement of the RW probe did not affect the RW 279 integrity. At the same time, enhanced effect of the RW vibrations on the CAP threshold 280 elevation in the basal half of cochlea (Figure 3) compared to passive diffusion (Sadreev et al., 281

2019) and cochlear pumping (Lukashkin et al., 2020) suggests that the RW drug permeability
for salicylate was increased during direct mechanical stimulation of the RW.

RW vibration stimulation alone did not elicit RW electrical responses, including CAPs 284 associated with afferent fibre/inner hair cell excitation or cochlear microphonic potentials 285 dominated by basal turn OHC mechanoelectrical transducer currents (Patuzzi et al., 1989; 286 Cheatham et al., 2011). Previously, very large cochlear microphonic potentials in response to 287 5 Hz acoustic stimulation were recorded from the cochlear apex but not the cochlear base in 288 289 guinea pigs suggesting excitation of the OHCs in this tonotopic frequency place (Salt et al., 290 2013). We argue, however, that excitation of sensory hair cells due to the low-frequency RW vibrations was minimal in our experimental configuration. The RW probe diameter (0.5 mm) 291 and its cross-sectional area (0.2 mm^2) were much smaller than the dimensions and area of the 292 RW in guinea pigs (Ghiz et al., 2001; Wysocki et al., 2005), and the probe covered only a small 293 294 part of the RW. Under these conditions, most of the pressure relief during the probe movement was through the RW area not occluded by the probe (Weddell et al., 2014) and the average 295 296 alternating far-field pressure, $P_{\rm M}$, generated within the cochlea was small. This was confirmed by the absence of stapes responses, which were below the measurement noise floor (~ 0.1 nm) 297 during the probe vibrations at RW either at 2 or 4 Hz. The magnitude of $P_{\rm M}$ will depend on the 298 stiffness, S, of the freely moving area, A, of the RW and its volume velocity, q, as (Weddell et 299 300 al., 2014)

$$P_M = \frac{Sq}{i\omega A^2}.$$
⁽¹⁾

Even if a small far-field pressure was generated in our experiments due to finite stiffness, *S*, of the RW, which did not generate measurable stapes vibrations, then it still would not lead to a significant excitation of the BM. Frequencies of 2 and 4 Hz used in our experiments are notably below the helicotrema cut-off frequency in guinea pigs (Marquardt et al., 2007) and will be filtered out by the helicotrema, preventing the BM excitation at these frequencies and damage to the cochlear sensory cells during the RW probe stimulation.

307 Vibrations of the partially occluded RW at acoustic frequencies excite the basilar membrane 308 with conventional travelling waves. A jet-like, near-field component, P_N , of a complex pressure 309 field near the RW is the proposed mechanism of stimulation (Weddell et al., 2014). P_N is 310 proportional to the fluid density, ρ , and to the acceleration of the probe, $i\omega q$, and an indicative 311 overall magnitude of P_N can then be defined as

$$P_N = i\omega\rho q. \tag{2}$$

Because RW stimulation with the carbon probe did not evoke any cochlear microphonic 312 potentials from the basal OHCs that could be detected by the RW electrode (Patuzzi et al., 313 314 1989; Cheatham et al., 2011), we conclude that this near-field component did not excite the basilar membrane at frequencies of 2 and 4 Hz used in our experiments which also resulted in 315 316 lack of excitation of the cochlear sensory apparatus and absence of any probe induced hearing loss even for relatively large 10 µm peak-to-peak RW probe displacements. It is worth noting, 317 that the near-field pressure, $P_{\rm N}$, increases with increasing frequency (Equation 2). This can 318 explain the higher efficiency of 4 Hz RW stimulation compared to 2 Hz (Figure 3E) if the near-319 field pressure component is the main factor facilitating enhanced drug diffusion during 320 vibration of a partially occluded RW. 321

322 The question is how this short acting jet-like, near-field pressure component can facilitate drug distribution along the entire cochlea which is an order of magnitude longer than the near-field 323 324 pressure spread (Weddell et al., 2014). The fluorescent dye experiments (Figure 5), while being different from the RW stimulation experiments in two important aspects, provide an insight 325 326 into the underlying physical mechanisms. Firstly, the latex membrane stiffness was much larger than the RW stiffness. Pressure relief in this case was through the open pipe end and a large 327 far-field pressure component was generated within the fluid-filled pipe leading to movement 328 of the entire fluid column. Taylor dispersion (Taylor, 1953) of solvents is observed during 329 oscillatory pipe flows which lead to additional spread of solvents compared to molecular 330 diffusion alone (Aris, 1960; Watson, 1983). It has been demonstrated experimentally that for 331 small-stroke fluid oscillatory movements and dimensions of the human cochlea this effect is 332 small (Dasgupta, 2015), which is confirmed by lack of changes in the diffusion front in our 333 experiments (Figure 5D). However, when a physical body vibrates in confined spaces, which 334 335 resembles the geometry of our experiments, the jet-like fluid movement is transformed into a steady fluid streaming which forms vortexes in the vicinity of the vibrating body even at low 336 337 frequencies (Costalonga et al., 2015). The vortexes can facilitate fluid mixing close to the vibrating body, which is the inner surface of the vibrating membrane in our experiments. Thus, 338 in the fluorescent dye experiments, this mixing should change the boundary condition at the 339 closed pipe end and lead to additional spread of the diffusion front without changing its 340 dispersion (Figure 5D). The diffusion front dispersion over the same time is larger for 341 substances with larger diffusion coefficients. Therefore, we can predict that the effective range 342 343 of increased concentration should be larger for salicylate used in our experiments (salicylate

diffusion coefficient is 9.59×10^{-4} mm²/s (Lide (2002)) and for dexamethasone, the most frequently used drug for intratympanic treatment of hearing disorders (dexamethasone diffusion coefficient calculated from Stokes-Einstein equation which, however, underestimates experimental values is 6.82×10^{-4} mm²/s), than we observed for Lucifer yellow (diffusion coefficient is 3.1×10^{-4} mm²/s (Brink & Ramanan, 1985)).

The second major difference between our *in vivo* and fluorescent dye experiments is in the 349 amount of material available for diffusion. The amount of dye was limited by its initial 350 injection. A relatively large volume of 5 µl of salicylate solution was placed on the outer surface 351 352 of the RW in vivo. Hence, an additional amount of salicylate could enter the ST down its concentration gradient when the salicylate concentration in the immediate vicinity of the inner 353 354 surface of the RW dropped due to enhanced mixing because of the vortex formation described above and because the RW permeability increased during its mechanical stimulation (e.g. Park 355 356 & Moon, 2014; Liao et al., 2020). This facilitated additional influx of salicylate could increase its concentration at the cochlear base, which is indicated by higher basal CAP threshold 357 358 elevations observed in our experiments (Figure 4). The increase in salicylate concentration changes the diffusion boundary condition and promotes diffusion of salicylate to the cochlear 359 360 apex. It should be noted that salicylate was utilized in this study due to its well documented physiological effects. However, it is a difficult drug to distribute along the cochlea because it 361 is cleared rapidly from the ST (Sadreev et al., 2019). It is anticipated that drugs, which are 362 better retained in the ST, will be redistributed along the cochlea even more quickly and 363 efficiently (Salt and Ma, 2001; Sadreev et al., 2019). 364

This work is a proof of concept study and it remains to be demonstrated that the RW micro 365 vibrations can promote distribution of substances for cochleae of the human cochlea's size and 366 for stimulation parameters that are safe for human cochlear function. If this drug delivery 367 method is effective in human patients, it could be used to deliver and distribute drugs along the 368 369 cochlea when cochlear pumping (Lukashkin et al., 2020) cannot be applied. For example, when 370 the ossicular functionality is absent or impeded, e.g. after injection of high concentrations of hydrogel formulations into the middle ear (e.g. Piu et al., 2011; Schilder et al., 2019). Cochlear 371 drug delivery utilizing micro vibrations of the RW could be particularly useful in patients with 372 round window vibroplasty (e.g. Beltrame et al., 2014) if a part of the RW is left available for 373 drug diffusion from the middle ear. In this case a vibrator is already present at the RW and any 374 additional interventions required are minimal. 375

376 Disclosure of interest

377 The authors report no conflict of interest.

378 Funding

379 This work was funded by the Medical Research Council (grant MR/ N004299/1).

380 Data availability

- 381 Data is available on request through the University of Brighton Research Data Repository at
 382 <u>https://researchdata.brighton.ac.uk/</u>
- 383 **References**
- Aris R. (1960). On the dispersion of a solute in pulsating flow through a tube. Proc R Soc A
 259:370-376.
- Beltrame AM, Todt I, Sprinzl G, Profant M, Schwab B. (2014). Consensus statement on
- round window vibroplasty. Ann Otol Rhinol Laryngol 123:734-740.
- Borkholder DA, Zhu X, Frisina RD. (2014). Round window membrane intracochlear drug
- delivery enhanced by induced advection. J Control Release 174:171-176. doi:
- 390 10.1016/j.jconrel.2013.11.021
- 391 Brink PR, Ramanan SV. (1985). A model for the diffusion of fluorescent probes in the
- septate giant axon of earthworm. Axoplasmic diffusion and junctional membranepermeability. Biophys J 48:299-309.
- Burwood GWS, Russell IJ, Lukashkin AN. (2017). Rippling pattern of distortion product
- 395 otoacoustic emissions evoked by high-frequency primaries in guinea pigs. J Acoust Soc Am
- 396 142:855–862. doi:10.1121/1.4998584
- 397 Cheatham MA, Naik K, Dallos P. (2011). Using the cochlear microphonic as a tool to
- evaluate cochlear function in mouse models of hearing. J Assoc Res Otolaryngol 12:113–125.
- 399 Costalonga M, Brunet P, Peerhossaini H. (2015). Low frequency vibration induced streaming
- 400 in a Hele-Shaw cell. Phys Fluids 27:013101. doi:10.1063/1.4905031

- 401 Creber NJ, Eastwood HT, Hampson AJ, Tan J, O'Leary SJ. (2018). A comparison of cochlear
- 402 distribution and glucocorticoid receptor activation in local and systemic dexamethasone drug
- 403 delivery regimes. Hear Res 368:75-85. doi: 10.1016/j.heares.2018.03.018
- 404 Dasgupta S. (2015). An Experimental Study of Dispersion in Oscillating Flows in Cylindrical
- 405 Tubes. Thesis. Rochester Institute of Technology. Accessed from
- 406 https://scholarworks.rit.edu/theses/8762
- 407 El Kechai N, Agnely F, Mamelle E, Nguyen Y, Ferrary E, Bochot A. (2015). Recent
- 408 advances in local drug delivery to the inner ear. Int J Pharm 494:83-101. doi:
- 409 10.1016/j.ijpharm.2015.08.015
- 410 Ghiz AF, Salt AN, DeMott JE, Henson MM, Henson Jr OW, Gewalt SL. (2001). Quantitative
- anatomy of the round window and cochlear aqueduct in guinea pigs. Hear Res 162:105-112.
- 412 doi: 10.1016/S0378-5955(01)00375-6
- 413 Greenwood DD. (1990). A cochlear frequency-position function for several species--29 years
- 414 later. J Acoust Soc Am 87:2592-2605. doi: 10.1121/1.399052
- 415 Haghpanahi M, Gladstone MB, Zhu X, Frisina RD, Borkholder DA. (2013). Noninvasive
- technique for monitoring drug transport through the murine cochlea using micro-computed
- 417 tomography. Ann Biomed Eng 41:2130-2142. doi: 10.1007/s10439-013-0816-4
- 418 Hallworth R. (1997). Modulation of outer hair cell compliance and force by agents that affect
- 419 hearing. Hear Res 114:204–212.
- 420 Hao J, Li SK. (2019). Inner ear drug delivery: Recent advances, challenges, and perspective.
- 421 Eur J Pharm Sci 126:82-92. doi: 10.1016/j.ejps.2018.05.020
- 422 Liao AH, Wang CH, Weng PY, Lin YC, Wang H, Chen HK, Liu HL, Chuang HC, Shih CP.
- 423 (2020). Ultrasound-induced microbubble cavitation via a transcanal or transcranial approach
- 424 facilitates inner ear drug delivery. JCI insight 5:e132880.
- Lide DR. (2002). CRC Handbook of Chemistry and Physics, 83rd Edn. Boca Raton, FL:
 CRC Press.
- 427 Lukashkin AN, Sadreev II, Zakharova N, Russell IJ, Yarin YM. (2020). Local Drug Delivery
- 428 to the Entire Cochlea without Breaching Its Boundaries. iScience 23:100945.

- 429 Marquardt T, Hensel J, Mrowinski D, Scholz G. (2007). Low-frequency characteristics of
- 430 human and guinea pig cochleae. J Acoust Soc Am 121:3628-3638.
- 431 https://doi.org/10.1121/1.2722506
- 432 Mynatt R, Hale SA, Gill RM, Plontke SK, Salt AN. (2006). Demonstration of a longitudinal
- 433 concentration gradient along scala tympani by sequential sampling of perilymph from the
- 434 cochlear apex. J Assoc Res Otolaryngol 7:182-193. doi: 10.1007/s10162-006-0034-y
- 435 Nyberg S, Abbott NJ, Shi X, Steyger PS, Dabdoub A. (2019). Delivery of therapeutics to the
- 436 inner ear: The challenge of the blood-labyrinth barrier. Sci Transl Med 11:eaa00935.
- 437 Oliver D, He DZ, Klocker N, Ludwig J, Schulte U, Waldegger S, Ruppersberg JP, Dallos P,
- 438 Fakler B. (2001). Intracellular anions as the voltage sensor of prestin, the outer hair cell
- 439 motor protein. Science 292:2340–2343.
- 440 Park SH, Moon IS. (2014). Round window membrane vibration may increase the effect of
- intratympanic dexamethasone injection. Laryngoscope 124:1444-1451.
- 442 Patuzzi RB, Yates GK, Johnstone BM. (1989). Outer hair cell receptor current and
- sensorineural hearing loss. Hear Res 42:47–72.
- 444 Piu F, Wang X, Fernandez R, Dellamary L, Harrop A, Ye Q, Sweet J, Tapp R, Dolan DF,
- 445 Altschuler RA, Lichter J. (2011). OTO-104: a sustained-release dexamethasone hydrogel for
- the treatment of otic disorders. Otol Neurotol 32:171-179.
- 447 Plontke SK, Biegner T, Kammerer B, Delabar U, Salt AN. (2008). Dexamethasone
- 448 concentration gradients along scala tympani after application to the round window
- 449 membrane. Otol Neurotol 29:401-406. doi: 10.1097/MAO.0b013e318161aaae
- 450 Plontke SK, Mynatt R, Gill RM, Borgmann S, Salt AN. (2007). Concentration gradient along
- 451 the scala tympani after local application of gentamicin to the round window membrane.
- 452 Laryngoscope 117:1191-1198. doi: 10.1097/MLG.0b013e318058a06b
- 453 Rivera T, Sanz L, Camarero G, Varela-Nieto I. (2012). Drug delivery to the inner ear:
- 454 strategies and their therapeutic implications for sensorineural hearing loss. Curr Drug Deliv
- 455 9:231-242. doi: 10.2174/156720112800389098
- 456 Russell IJ, Schauz C. (1995). Salicylate ototoxicity: Effects on stiffness and electromotility of
- 457 outer hair cells isolated from the guinea pig cochlea. Auditory Neurosci 1:309-319.

- 458 Sadreev II, Burwood GWS, Flaherty SM, Kim J, Russell IJ, Abdullin TI, Lukashkin AN.
- 459 (2019). Drug diffusion along an intact mammalian cochlea. Front Cell Neurosci 13:161.
- 460 Salt AN, Lichtenhan JT, Gill RM, Hartsock JJ. (2013). Large endolymphatic potentials from
- low-frequency and infrasonic tones in the guinea pig. J Acoust Soc Am 133:1561-1571. doi:

462 10.1121/1.4789005

- 463 Salt AN, Ma Y. (2001). Quantification of solute entry into cochlear perilymph through the
- 464 round window membrane. Hear Res 154:88-97. doi: 10.1016/S0378-5955(01)00223-4
- 465 Salt AN, Plontke SK. (2009). Principles of local drug delivery to the inner ear. Audiol
- 466 Neurotol 14:350-360. doi: 10.1159/000241892
- 467 Schilder AGM, Su MP, Blackshaw LL, Staecker H, Lenarz T, Safieddine S, Gomes-Santos

468 CS, Holme R, Warnecke A. (2019). Hearing protection, restoration, and regeneration: an

469 overview of emerging therapeutics for inner ear and central hearing disorders Otol Neurotol470 40:559-570

- 471 Shokrian M, Knox C, Kelley DH, Nam JH. (2020). Mechanically facilitated micro-fluid
- 472 mixing in the organ of Corti. Sci Rep 10:14847. doi: 10.1038/s41598-020-71380-5

Taylor GI. (1953). Dispersion of soluble matter in solvent flowing slowly through a tube.
Proc R Soc A 219:186-203.

- 475 Thorne M, Salt AN, DeMott JE, Henson MM, Henson Jr OW and Gewalt SL. (1999).
- 476 Cochlear fluid space dimensions for six species derived from reconstructions of three-
- dimensional magnetic resonance images. Laryngoscope 109:1661–1668.
- 478 Watson EJ. (1983). Diffusion in oscillatory pipe flow. J Fluid Mech 133:233-244.
- 479 Weddell TD, Yarin YM, Drexl M, Russell IJ, Elliott SJ, Lukashkin AN. (2014). A novel
- 480 mechanism of cochlear excitation during simultaneous stimulation and pressure relief through
- 481 the round window. J R Soc Interface 11:20131120. doi: 10.1098/rsif.2013.1120
- 482 Wysocki J, Sharifi M. (2005). Measurements of selected parameters of the guinea pig
- temporal bone. Folia Morphol 64:145-150.