

1 **Structural basis of RNA polymerase recycling by the Swi2/Snf2 ATPase RapA in**
2 ***Escherichia coli***

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14 **ABSTRACT**

15 After transcription termination, cellular RNA polymerases (RNAPs) are occasionally trapped on
16 DNA, impounded in an undefined Post-Termination Complex (PTC), limiting free RNAP pool
17 and making transcription inefficient. In *Escherichia coli*, a Swi2/Snf2 ATPase RapA is involved
18 in countering such inefficiency through RNAP recycling. To understand its mechanism of RNAP
19 recycling, we have determined the cryo-electron microscopy (cryo-EM) structures of two sets of
20 *E. coli* RapA-RNAP complexes along with RNAP core enzyme and elongation complex (EC). The
21 structures revealed the large conformational changes of RNAP and RapA upon their association
22 implicated in the hindrance in PTC formation. Our study reveals that although RapA binds away
23 from the DNA binding channel of RNAP, it can close the RNAP clamp allosterically thereby
24 preventing its non-specific DNA binding. Together with DNA binding assays, we propose that
25 RapA acts as a guardian of RNAP by which prevents non-specific DNA binding of RNAP without
26 affecting the sigma factor binding to RNAP core enzyme, thereby enhancing RNAP recycling.

27

28 **Keywords**

29 RNAP recycling, RapA, Post-Termination Complex (PTC), cryo-EM

30

31

32 INTRODUCTION

33 Bacterial multi-subunit DNA-dependent RNA polymerase (RNAP) is a fast and processive
34 enzyme that can perform RNA synthesis at a rate of 90 nt/sec for thousands of nucleotides during
35 elongation phase of transcription in a purified system (1). However, in biological systems, this
36 process is not monotonous but encompasses a wide array of regulatory mechanisms giving
37 different functional outputs. Transcriptional pausing is one such process and plays important roles
38 in transcription regulation, RNA folding and transcription-translation coupling (2,3). It also serves
39 as an early step during transcription termination (4-7). A transcribing RNAP also halts when it
40 encounters a DNA lesion (8). Therefore, the transcribing RNAP requires a series of accessory
41 factors to achieve transcription speeds like those achieved in a purified system.

42 General elongation factors NusA and NusG bind RNAP to regulate the rate of transcription,
43 half-life of transcription pausing, mediate transcription-translation coupling, and facilitate
44 transcription termination (9-14). Due to nucleotide misincorporation into RNA, a backward
45 translocation of RNAP along DNA (as known as RNAP backtracking) temporarily arrests RNA
46 synthesis (15,16). To mitigate such events, transcription factors GreA/B bind to RNAP secondary
47 channel to cleave backtracked RNA including mis-incorporated nucleotides for restarting RNA
48 synthesis (17,18).

49 DNA damage, stalling events, and collisions with template-bound proteins halt RNA
50 elongation. Mfd, an ATP-dependent motor enzyme, is a part of transcription-coupled repair (TCR)
51 system that binds upstream DNA to the stalled RNAP, triggers transcription bubble collapse, and
52 the dissociation of RNAP from the DNA by virtue of its translocase activity (17,19-21). A recent
53 study also reveals the participation of an RNase (RNase J1) in resolving such stalled RNAPs
54 (22). The last phase of the transcription cycle (as known as transcription termination) is also
55 regulated by another ATP-dependent helicase/translocase Rho, that translocates along nascent
56 RNA and dislodges the EC after interacting with RNAP, NusA and NusG (23-26).

57 During the transcription termination, RNAP releases RNA product and dissociates from
58 the template DNA to prepare for a next round of transcription (RNAP recycling). However, some
59 RNAPs form an undefined post-transcription/post-termination complex (PTC) (27) that prevents
60 RNAP recycling, thus inhibits gene expression. In firmicutes and actinobacteria, transcription
61 factor HelD, a RNAP associated superfamily 1 (SF1) ATPase, is involved in RNAP recycling
62 (28,29). Recent cryo-EM studies of the RNAP-HelD complex (29-31) revealed that the HelD

63 inserts its two domains deep into the RNAP main and secondary channels akin a prong to de-
64 stabilize the EC. Dissociation of HelD from the RNAP is an active process that utilizes energy
65 from ATP hydrolysis by HelD (29-31).

66 In proteobacteria including *E. coli*, RNAP recycling is facilitated by RapA, a Swi/Snf2
67 protein superfamily ATPase (32) (Fig. 1A). RapA was first observed as a co-purifying protein
68 named τ factor with *E. coli* RNAP about 50 years ago in a study that discovered promoter
69 specificity σ^{70} factor (33). The studies on Swi2/Snf2 family of enzymes have mostly focused on
70 their roles in chromatin and nucleosome remodeling in eukaryotes (34). Interestingly, some
71 members of the family, such as RapA, do not modify chromatin structure. Instead, its direct
72 interaction with RNAP enhances RNA expression by facilitating RNAP recycling (35).

73 Biochemical studies of RapA characterized its enzymatic activities and modes of binding
74 to RNAP (36-41). A model of RapA-mediated RNAP recycling proposes that RapA binds to a
75 PTC, remodels it by utilizing its ATPase activity and releases the sequestered RNAP (35). The X-
76 ray crystal structure of RapA revealed the organization of its ATPase catalytic domains: two RecA-
77 like lobe domains and two Swi/Snf2-like domains together forming the ATP binding pocket (SFig.
78 1A) (42). The X-ray structure of the *E. coli* RNAP elongation complex (EC) with RapA (EC-
79 RapA) revealed that RapA binds around the RNA exit channel of RNAP without conformational
80 change from its apo-form structure (43) (SFig. 1D). This study speculated that RapA reactivates
81 stalled RNAPs by means of an ATP-driven backward translocation mechanism. However, the role
82 of RapA in RNAP recycling was not discussed.

83 To understand the mechanism of RNAP recycling by RapA, we determined four sets of
84 cryo-electron microscopy (cryo-EM) structures including the *E. coli* RNAP EC, RNAP EC with
85 RapA (EC-RapA), RNAP core enzyme (RNAP), and RNAP with RapA (RNAP-RapA binary
86 complex). The structures reveal the conformational changes induced in RNAP and RapA upon
87 their association. Based on the structural findings and the results of DNA binding assays, we
88 propose that RapA functions in RNAP recycling by acting as a guardian; RapA prevents the non-
89 specific association of the post-transcription terminated RNAP with DNA without obstructing σ
90 factor binding to core enzyme (holoenzyme formation) and primes it for a next round of
91 transcription cycle.

92

93 **RESULTS AND DISCUSSION**

94 **Cryo-EM structure of the EC-RapA complex**

95 EC-RapA was reconstituted *in vitro* by mixing RNAP with a DNA/RNA scaffold (Fig. 1B)
96 to form the EC followed by adding RapA. The complex was cross-linked with BS3 and purified
97 by gel filtration column. Cryo-EM data were collected after vitrifying purified complex
98 supplemented with AMPPNP (a non-hydrolysable ATP analog) to capture ATP-bound state of
99 RapA, and CMPCPP (a non-hydrolysable analog of CTP) to prevent RNAP backtracking.
100 Unsupervised 3D classification of the particles in the processing steps revealed two distinct classes
101 (SFig. 2) including EC-RapA (class2, 10%) and EC (class4, 36%). Bayesian polishing of particles
102 gave final reconstructions of EC-RapA and EC at 3.3 Å and 3.15 Å resolutions, respectively.

103 The EC-RapA structure shows well-defined cryo-EM densities for RNAP (except ω
104 subunit), RapA and the nucleic acid scaffold (Fig. 1C, SMovie 1). It also shows the density for
105 CMPCPP at *i*+1 site of RNAP (SFig. 3A), but AMPPNP was not found in the RapA active site
106 (SFig. 3B). RapA binds near the RNA exit channel of RNAP (Fig. 1D) as observed in the X-ray
107 crystal structure of EC-RapA (SFigs. 1D) (43). Nonetheless, the cryo-EM structure of EC-RapA
108 revealed the RNAP-induced conformational changes of RapA including the N-terminal domain
109 (NTD, residues 1-109) and the ATP binding site compared to its apo-form (42) (Figs. 1E and F).
110 The RapA-NTD rotates 90 degree and swings away from the Lobe 1 domain (Fig. 1E). The NTD
111 rotation, together with the Lobe 1 domain creates a cavity that harbors the flap-tip helix (β
112 subunit:897-905) and the zinc binding domain (ZBD, β' subunit:70-88) of RNAP. The RapA-NTD
113 rotation may influence the conformation of RapA active site allosterically; motif V of the Lobe 2
114 domain moves down toward a Lobe 2 helix (residues 540-553) compared with its position in the
115 apo-form RapA (Fig. 1F). The spatial rearrangement of motif V, in turn, may facilitate better
116 diffusion of ATP into the active site of RapA. NTD is involved in the regulation of ATPase activity
117 of RapA. The ATPase activity of RapA derivative lacking NTD is five-fold higher than that of
118 wild-type RapA. The ATPase activity of RapA is also enhanced upon binding to RNAP (44). The
119 cryo-EM structure of EC-RapA elucidates a mechanism of enhancing ATPase activity of RapA
120 upon binding of RNAP by which moving RapA-NTD from the Lobe 1 domain to widen the ATP
121 binding site of RapA. Moreover, we propose a potential auto-inhibitory function of RapA-NTD;
122 prior to binding RNAP, the RapA-NTD resides close to the catalytic lobe domains and may prevent
123 non-specific ATP binding and hydrolysis.

124

125 **RapA constraints non-specific association of RNAP core enzyme with DNA**

126 HelD in *Bacillus subtilis* and *Mycobacterium smegmatis* is the functional counterpart of
127 RapA in *E. coli*; these ATP-dependent motor enzymes bind RNAP and facilitate RNAP recycling.
128 Recent structural studies of the RNAP-HelD complex revealed that HelD associates with the main
129 and the secondary channels of RNAP and opens the DNA binding main channel of EC to actively
130 dissociate DNA/RNA from the EC (21,30,31). In sharp contrast, RapA accesses neither the main
131 nor the secondary channels of RNAP but associates on the RNA exit channel of RNAP, and the
132 RapA binding does not induce conformational change of EC, suggesting that the RapA does not
133 dissociate DNA/RNA from the EC and it exploits an alternative mechanism to prevent the PTC
134 formation and facilitates RNAP recycling.

135 A recent single-molecule study on transcription termination revealed that after RNA
136 transcript release from EC, majority of RNAP remain bound on DNA and exhibit one-dimensional
137 sliding over thousands of base pairs (45,46). Non-specific association of RNAP core enzyme with
138 DNA hampers RNAP recycling and delays a next round of transcription. We, therefore,
139 hypothesized that the RapA may function as a guardian of RNAP core enzyme for preventing the
140 PTC formation by which reduces non-specific binding of RNAP with DNA.

141 To test this hypothesis, we performed electrophoretic mobility shift assay (EMSA) using a
142 fluorophore (Cy3)-labeled DNA (Fig. 2A) and quantitated the fraction of DNA bound to RNAP.
143 About 60 % of DNA was associated with RNAP in the absence of RapA (Figs. 2B and C). Addition
144 of RapA to RNAP reduced the formation of RNAP-DNA complex by ~2.5 fold. Supplementing
145 ATP to RapA had no effect on the RNAP and DNA association. RapA did not reduce the RNAP-
146 DNA complex if it was added to the pre-formed RNAP-DNA complex even in the presence of
147 ATP. These results support our hypothesis that RapA reduces non-specific association of RNAP
148 with DNA.

149

150 **Allosteric closure of RNAP clamp by RapA-NTD interaction with the zinc binding domain**
151 **of RNAP**

152 RNAP structure resembles a crab claw, with the β and β' subunits forming two “pincers”
153 that forms the main channel of RNAP serving the binding site for DNA (47). The pincer formed
154 by the β' subunit is also known as the “clamp”. Structural and biophysical studies of bacterial
155 RNAP revealed flexible nature of the clamp, which can acquire different conformations from an

156 “open” to a “closed” states (48,49). The clamp primarily remains in its “open” conformation in the
157 apo-form RNAP core enzyme, but it closes upon formation of EC with DNA/RNA scaffold
158 accommodated in the main channel. The clamp opening permits the entry of double-stranded DNA
159 and/or DNA/RNA hybrid inside the main channel of RNAP. Although RapA binds around the
160 RNA exit channel of RNAP, it may close the RNAP clamp allosterically and prevent non-specific
161 DNA binding to RNAP. To study how the RapA influences the RNAP clamp conformation, we
162 determined the cryo-EM structures of the RNAP core enzyme (SFig. 4) and RNAP-RapA binary
163 complex (SFig. 5) at nominal resolutions of 3.41 Å and 4.8 Å, respectively.

164 In the structure of RNAP core enzyme, the main channel is in an open conformation with
165 the β' clamp and β lobe being 39 Å apart (Fig. 3A, SMovie 2). In the structure of RNAP-RapA
166 binary complex, the main channel is closed with the β' clamp and β lobe distance of 23 Å (Fig.
167 3A, SMovie 2) as observed in the cryo-EM structures of EC (24 Å) and EC-RapA (24 Å)
168 determined in this study.

169 The clamp closure of the RNAP-RapA binary complex is due to the positioning of RapA-
170 NTD beside the zinc binding domain (ZBD) of the β' subunit (Fig. 3B), which is a pivot point of
171 the β' clamp. Physical contact between RapA-NTD and β' -ZBD may restrict the flexibility of
172 ZBD, and in turn, keeps the clamp in a closed state allosterically.

173

174 **Model for RapA-mediated RNAP recycling**

175 The binding site of RapA on RNAP overlaps with that of the transcription elongation factor
176 NusA (50) and are thought to be mutually exclusive (SFig. 6). Therefore, RapA is unable to access
177 RNAP during the elongation phase of transcription. We posit that RapA prevents the detrimental
178 effects of RNAP bound at non-specific DNA by acting as a recycling chaperone that binds RNAP
179 core enzyme immediately after its release from DNA and RNA (Fig. 4). Upon RapA binding, it
180 allosterically locks the RNAP in a closed clamp conformation and prevents its non-specific
181 association with DNA. Moreover, as RapA binding site on RNAP does not mask the coiled-coiled
182 (CC) domain of the β' subunit of RNAP (Fig. 1D), the complex can still bind σ factor.

183 Both RapA and HelD enhance transcription by facilitating the RNAP recycling using
184 distinct mechanisms. RapA recycles in a passive manner by allosterically closing the clamp of
185 RNAP core enzyme, while HelD participates by interacting with the main channel of ECs, utilizing
186 ATP energy and recycling RNAP. From bacterial genome sequence analysis, we found that

187 presence of RapA and HelD is mutually exclusive with the former being predominantly present in
188 proteobacteria whereas the latter is mostly present in firmicutes and actinobacteria (SFig. 7). This
189 indicates that two different RNAP recycling mechanisms may have occurred during evolution.
190 RapA cannot actively release DNA/RNA from EC, however, in *E. coli*, the transcription-repair
191 coupling factor Mfd rescues EC stalled at DNA lesions and recruits the nucleotide excision repair
192 (NER) machinery to the site of the damage (19). Binding of Mfd to the β subunit of stalled RNAP
193 and upstream DNA of the transcription bubble (51,52) triggers its translocase activity (53). Mfd
194 actively translocates along dsDNA and results in the collapse of the transcription bubble and
195 dissociation of RNAP from the EC (54). Mfd-mediated active dissociation of RNAP may provide
196 another safeguard to rescue RNAP that are bound non-specifically on DNA.

197 In summary, we propose that RapA functions as a guardian of RNAP after completion of
198 RNA synthesis and releasing DNA from RNAP core enzyme, which is then protected by RapA for
199 reducing its non-specific DNA binding. Binding of σ factor to the RNAP-RapA binary complex
200 competes out RapA (42) and the new-formed holoenzyme is ready to begin the next round of
201 transcription cycle.

202

203 **MATERIALS AND METHODS**

204 **Protein purifications**

205 *E. coli* RNAP core enzyme was overexpressed in *E. coli* BL21(DE3) cells transformed
206 with pVS10 expression vector (encoding α , β , C-terminally His₆-tagged β' and ω subunits) (55)
207 and grown in LB medium supplemented with ampicillin (100 μ g/ml) at 37°C until OD₆₀₀ = ~0.5,
208 and then added IPTG (final conc. 1 mM) and grown for 5 hours. RNAP enzyme was purified using
209 Polymin P precipitation followed by heparin (HiTrap Heparin column), Ni-affinity (HisTrap HP
210 column), and anion exchange (MonoQ column) chromatography steps (all columns from GE
211 Healthcare). The purified RNAP core enzyme (20 μ M) was suspended in the storage buffer (10
212 mM HEPES, pH 7.5, 50 mM NaCl, 0.1 mM EDTA, pH 8.0, 5 mM DTT), aliquoted, snap frozen
213 in liquid N₂, and stored at -80 °C.

214 *E. coli* RapA protein was overexpressed in *E. coli* BL21(DE3) cells transformed with
215 pQE80L expression vector (encoding N-terminally His₆-tagged full-length RapA) and grown in
216 LB medium supplemented with ampicillin at 37°C till OD₆₀₀=0.8. Then the RapA expression was
217 induced with IPTG (final conc. 1 mM) at 37 °C for 3 hours and harvested (42). The protein was

218 purified by affinity and size-exclusion chromatography using prepacked 5 ml Ni-affinity (HisTrap
219 HP column), 5 ml heparin (HiTrap Heparin column), and Superdex200 columns (all columns from
220 GE Healthcare). The purified RapA (230 μ M) in storage buffer (10 mM HEPES, pH 7.5, 50 mM
221 NaCl, 0.1 mM EDTA, pH 8.0, 5 mM DTT) was aliquoted, flash frozen in liquid N₂, and stored at
222 -80 °C.

223

224 **Cryo-EM sample preparation**

225 *EC-RapA:*

226 The EC was reconstituted *in vitro* by mixing 4 μ M RNAP core enzyme with equimolar
227 amount of template DNA/RNA (Fig. 2A) in a storage buffer at 22 °C for 20 min, followed by
228 mixing 6 μ M non-template DNA for 10 min. The resulting EC was mixed with 5 μ M RapA and
229 incubated for 10 min at 22 °C. The EC-RapA complex was purified by a gel-filtration column
230 (Superdex200, GE Healthcare) to remove excess DNA/RNA and RapA. The EC-RapA was
231 concentrated to 4 mg/ml using Amicon Ultra centrifugal filter with 5kDa molecular weight cutoff
232 (Merck Millipore). AMPPNP and CMPCPP (1 mM each) was added and incubated at 22 °C for
233 10 min, followed by BS3 (Sulfo DSS, 100 μ M) cross-linking for 30 minutes at RT and reaction
234 was stopped by adding ammonium carbonate (1 M). The cross-linked EC-RapA was again passed
235 through a gel-filtration column (Superdex 200, GE Healthcare) and concentrated to 2.5 mg/ml.

236

237 *RNAP-RapA binary complex:*

238 The RNAP-RapA binary complex was assembled and purified in an identical fashion as
239 the EC-RapA complex but omitting the nucleic acid scaffold and the nucleotide analogs. In brief,
240 4 μ M RNAP was mixed with 5 μ M RapA in a storage buffer and incubated for 10 min at 22 °C.
241 The RNAP-RapA complex was pass through a gel-filtration column (Superdex200, GE
242 Healthcare) to remove excess RapA. The RNAP-RapA complex was concentrated to 2.5 mg/ml
243 using Amicon Ultra centrifugal filter with 5kDa molecular weight cutoff (Merck Millipore).

244

245 **Cryo-EM grids preparation**

246 C-flat Cu grids (CF-1.2/1.3 400 mesh, Protochips, Morrisville, NC) were glow-discharged
247 for 40 s prior to the application of 3.5 μ l of the sample (2.5–3.0 mg/ml protein concentration), and

248 plunge-freezing in liquid ethane using a Vitrobot Mark IV (FEI, Hillsboro, OR) with 100 %
249 chamber humidity at 5 °C.

250

251 **Cryo-EM data acquisition and processing**

252 *EC-RapA:*

253 The grids were imaged using a 300 kV Titan Krios (Thermo Fisher Scientific) equipped
254 with a K2-Summit direct electron detector at the National Cryo-EM Facility (NCEF) at National
255 Cancer Institute (NCI). A total of 1,674 movies were recorded with Latitude software (Gatan, Inc.)
256 in counting mode with a pixel size of 1.32 Å and a defocus range of -1.0 to -2.5 µm. Data was
257 collected with a dose of 4.64 e⁻/s/physical pixel, with 15 sec exposure time (40 total frames) to
258 give a total dose of 40 electrons/Å². Data was processed using RELION v3.0.8. Dose fractionated
259 subframes were aligned and summed using MotionCor2 (56). The contrast transfer function was
260 estimated for each summed image using Gctf (57). From the summed images, about 1,000 particles
261 were manually picked and subjected to 2D classification in RELION (58). Projection averages of
262 the most populated classes were used as templates for automated picking in RELION. Auto picked
263 particles were manually inspected, then subjected to 2D classification in RELION specifying 100
264 classes. Poorly populated classes were removed, resulting in a total of 718,074 particles. 3D-
265 classification of the particles was done in RELION using a model of *E. coli* core RNAP-RapA
266 (PDB ID 4S20) low-pass filtered to 60 Å resolution using EMAN2 (59) as an initial 3D template.
267 Among the 3D classes, the best-resolved classes (class2: 69,457 particles) and class4: 256,565
268 particles) were 3D auto-refined. Bayesian polishing and CTF refinement was performed on the
269 particles and reconstructed maps were postprocessed in RELION (SFig. 2).

270

271 *Core RNAP:*

272 The grids were imaged using a 300 kV Titan Krios (Thermo Fisher Scientific) equipped
273 with a K3 Camera at NCI. A total of 3,072 movies were recorded with Latitude software (Gatan,
274 Inc.) in counting mode with a pixel size of 1.08 Å and a defocus range of -1.0 to -2.5 µm. Data
275 was collected with a dose of 18 e⁻/s/physical pixel, with 3 sec exposure time (40 total frames) to
276 give a total dose of 45 electrons/Å². The data was processed using cryoSPARC (60). Dose
277 fractionated subframes were aligned and summed using Patch-Motion correction job and the
278 contrast transfer function was estimated for each summed image using Patch-CTF. A total of

279 766,796 particles were auto picked using Topaz picker (61), and then subjected to two rounds of
280 2D classification. Bad classes were removed, resulting in a total of 510,364 particles. Ab-initio
281 model was generated, and the particles were subjected to multiple rounds of heterogeneous
282 refinement. A final set of 235617 particles was refined and the reconstructed map was sharpened
283 (SFig. 4).

284

285 *RNAP-RapA binary complex:*

286 The grids were imaged using a 300 keV Titan Krios (Thermo Fisher Scientific) equipped
287 with a K3 Camera (Gatan, Inc.) at NCI. A total of 3,504 movies were recorded with Latitude
288 software (Gatan, Inc.) in counting mode with a pixel size of 1.08 Å and a defocus range of -1.0 to
289 -2.5 µm. Data was collected with a total dose of 45 electrons/Å². Movies were motion corrected
290 using multi-patch motion correction and CTF values estimated using multi-patch CTF estimation
291 in cryoSPARC (60). A total of 1,360,137 particles were auto picked using Topaz picker (61) and
292 subjected to 2D classification job. Particles from selected good classes were used to generate initial
293 models. All the particles were then further classified multiple times using heterogeneous
294 refinement job-type to discard bad particles. A final set of 102,128 particles were then imported to
295 RELION. To improve the density near the β lobe, focused classification was performed on RNAP
296 density. The good class containing 88,511 particles was selected, and the particles were refined
297 and post-processed (SFig. 5).

298

299 **Model building and refinement**

300 To refine the EC-RapA complex structure, the crystal structure of EC-RapA (PDB: 4S20)
301 was manually fit into the cryo-EM density map using Chimera (62), DNA and RNA were manually
302 built by using Coot (63), and the initial model was real-space refined using Phenix (64). In the
303 real-space refinement, the domains of RNAP and RapA were rigid-body refined and then
304 subsequently refined with secondary structure, Ramachandran, rotamer and reference model
305 restraints. The cryo-EM structures of the RNAP-RapA binary complex, RNAP core enzyme and
306 RNAP-DNA-RapA complex were built using the cryo-EM structure of EC-RapA as a reference
307 model, and these structures were refined as described in the EC-RapA complex structure
308 refinement (STable 1).

309

310 **Electrophoretic Mobility Shift Assay**

311 A linear Cy3-labeled dsDNA was generated by annealing the template and non-template
312 strands by heating to 95 °C for 5 min and subsequent cooling to 10 °C at 1.5 °C/min (Fig. 3A). A
313 final concentration of 150 nM Cy3-dsDNA was incubated with 300 nM RNAP for 10 minutes at
314 37 °C, followed by the addition of 300 nM RapA (either in the absence or presence of 1 mM ATP)
315 and incubated for another 10 minutes (Fig. 3B, lanes 4-6). In the experimental set, first RNAP-
316 RapA complex was formed by mixing 300 nM RNAP and 300 nM RapA (with/without 1 mM
317 ATP), followed by incubation for 10 minutes at 37 °C. Then, 150 nM Cy3-dsDNA was added to
318 the pre-formed complex, and further incubated for 10 minutes at 37 °C. Samples were loaded on
319 a non-denaturing 4% polyacrylamide gel and electrophoresed in 0.5X TBE buffer. The Cy3-
320 labeled DNA bands were visualized by a Typhoon imager and quantified using ImageQuant
321 software (GE Healthcare).

322

323 **Data Availability.** The cryo-EM density maps have been deposited in EMDataBank under
324 accession codes EMDB: EMD-23900 (EC-RapA), EMD-23901 (EC), EMD-23902 (core RNAP)
325 and EMD-23903 (RNAP-RapA). Atomic coordinates for the reported cryo-EM structures have
326 been deposited with the Protein Data Bank under accession numbers 7KMN, 7KMO, 7KMP and
327 7KMQ.

328

329 **SUPPLEMENTARY DATA**

330 This article contains supporting information.

331

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344

345 CONFLICT OF INTEREST

346 The authors declare that they have no conflicts of interest with the contents of this article.

347

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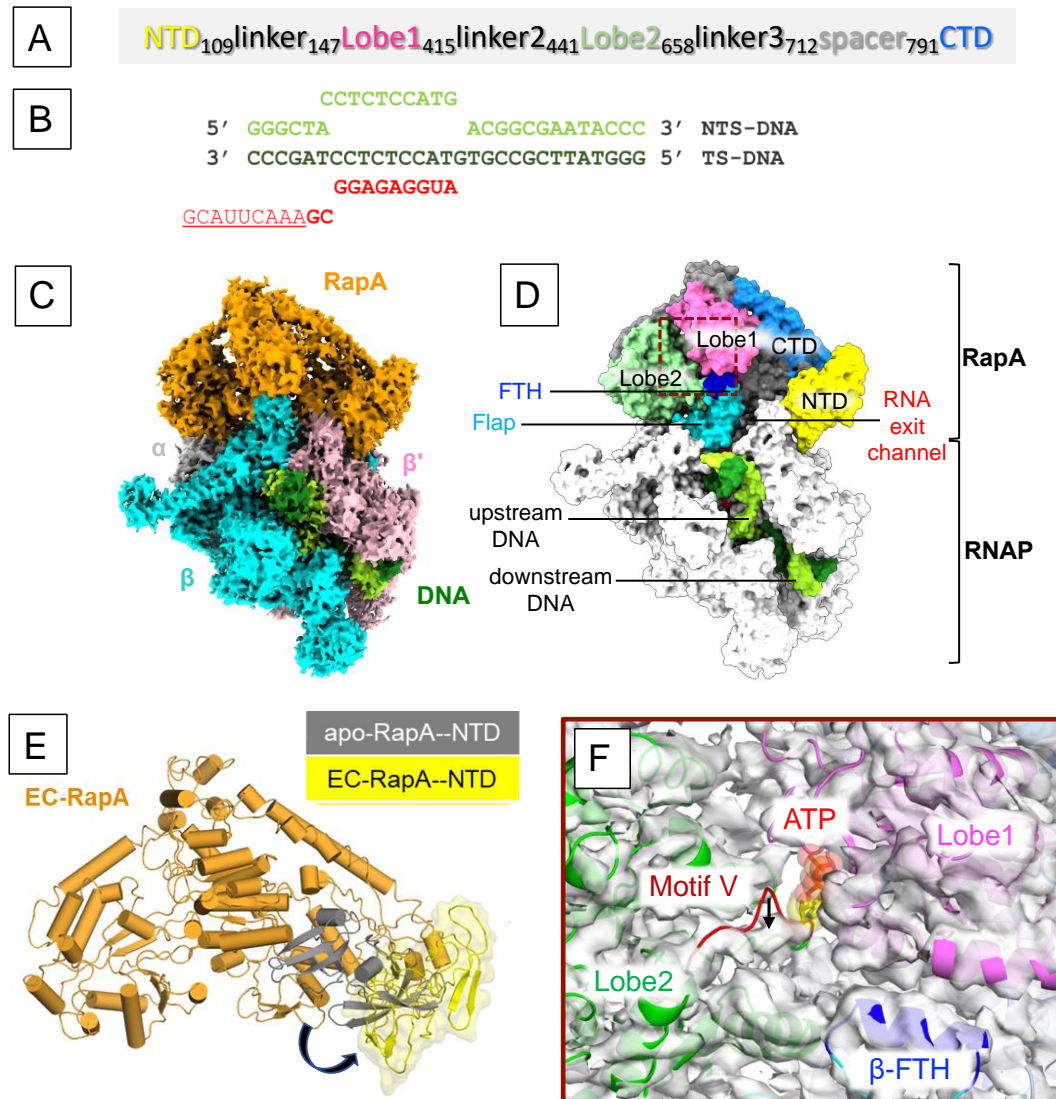
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535

536

537 **FIGURES**

538



539

540 **Figure 1. Cryo-EM structure of the RNAP-RapA elongation complex**

541 **A)** The domain organization of RapA.

542 **B)** The sequence of the nucleic acid scaffold used to form the elongation complex.

543 **C)** Orthogonal view of the cryo-EM density map of EC-RapA. Subunits of RNAP and RapA are
 544 colored and labeled. Template and non-template DNA are shown in dark green and light green,
 545 respectively.

546 **D)** Organization of EC-Rap. The structure of EC-RapA is depicted as a surface model. RapA and
 547 RNAP domains and are colored and labeled (FTH, flap-tip helix). The location of upstream and
 548 downstream DNA and the RNA exit channel are indicated.

549 **E)** Movement of RapA-NTD upon binding to RNAP. RapA is depicted as a cartoon model. RapA-
550 NTD in the EC-RapA (this study) and in the apo-form RapA (PDB: 6BOG) are colored yellow
551 and dark gray, respectively. The shift of RapA-NTD is indicated by an arrow.

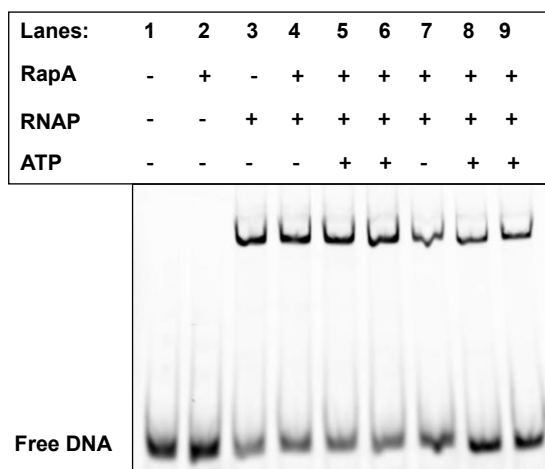
552 **F)** Allosteric opening of the ATP binding site of RapA upon RNAP binding (brown dashed box in
553 panel D). The apo-form RapA structure (cartoon model) fitted in the cryo-EM map of EC-RapA
554 (white transparent). The Lobe domains of RapA are colored and labeled and the ATP binding is
555 indicated by a modeled ATP. Compare with the apo-form RapA, motif V of the Lobe2 domain is
556 shifted down in the EC-RapA complex as indicated by an arrow.

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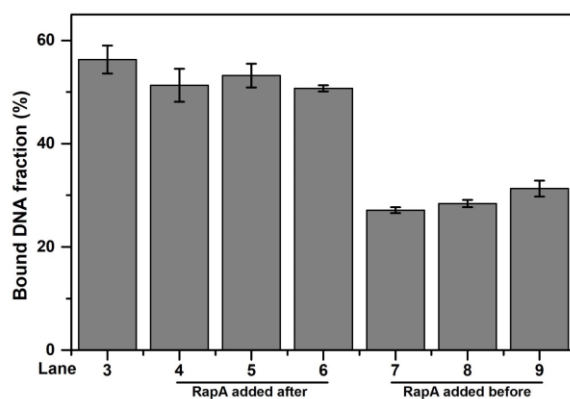
A

5' -GGGCGCATGCTGCTCTAGGAGAGGTACACGGCGACTGCC -3'
3' -CCCGGTACGACGAGATCCTCTCCATGTGCCGACAGCGG -Cy3-5'

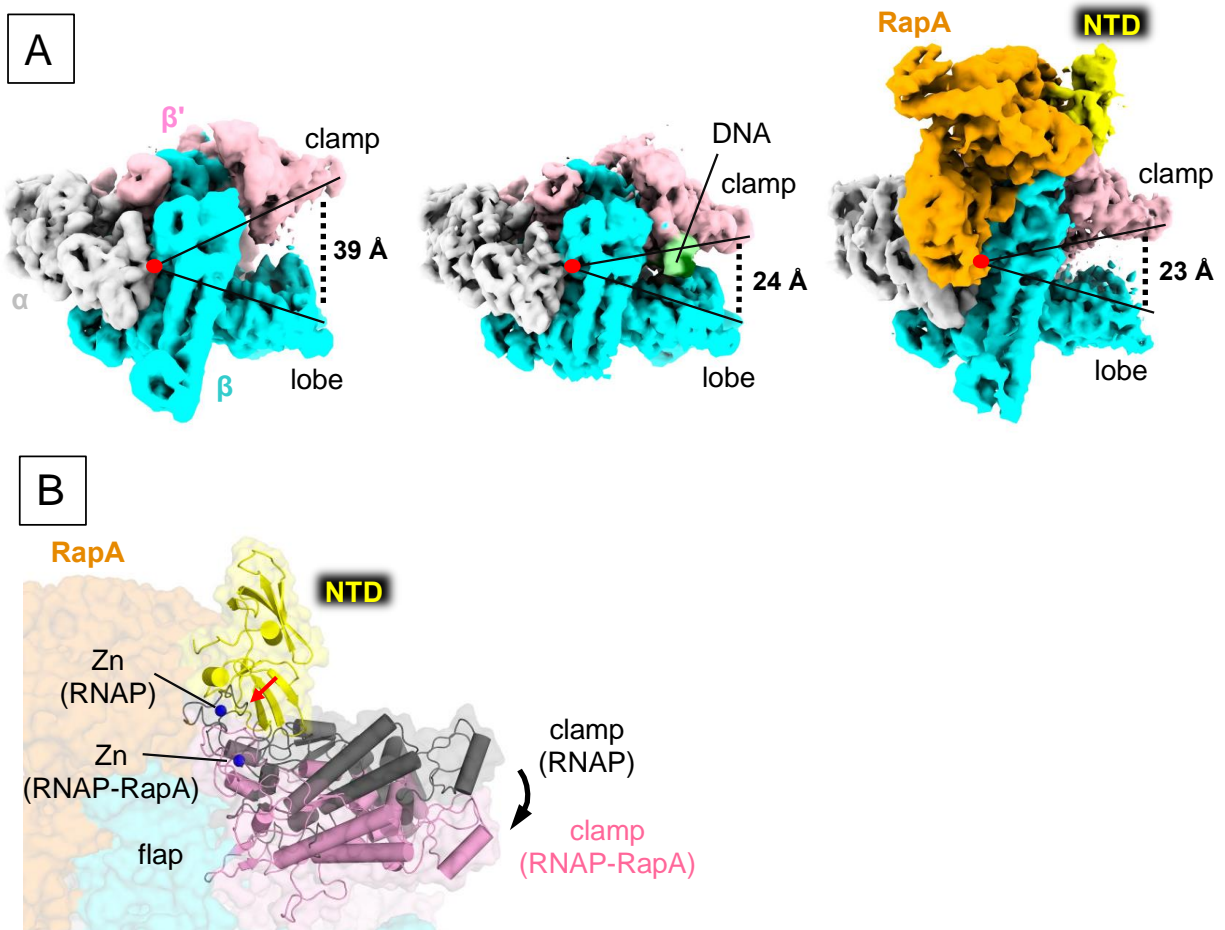
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C



558
559 **Figure 2. RapA reduces non-specific DNA binding of RNAP core enzyme.** Electrophoretic
560 Mobility Shift Assay (EMSA) to test the non-specific DNA binding to RNAP in the absence and
561 presence of RapA. **A)** The sequence of Cy3-DNA used in the assay. **B)** RNAP was mixed with
562 Cy3-labeled DNA and the shift DNA bands were quantitated. + indicated the components in the
563 reaction mixture. In lanes 4-6, RapA was added to pre-formed RNAP-DNA complex. In lanes 7-
564 9, DNA was added to pre-formed RNAP-RapA complex. In lanes 5 and 8, 1 mM ATP was added
565 together with RapA. In lanes 6 and 9, RapA was pre-incubated with 1 mM ATP for 10 minutes
566 before addition. **C)** Bar diagram showing the fraction of bound DNA (%) calculated with S.E.,
567 n=4.
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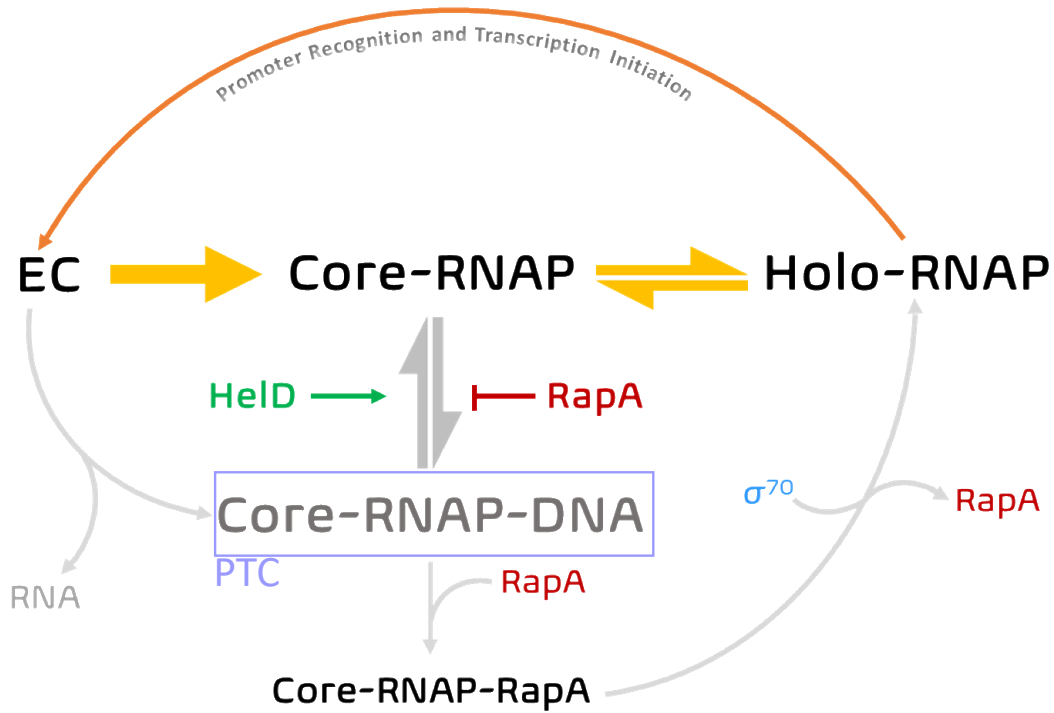
571 **Figure 3. Cryo-EM structures of the RNAP core enzyme and the RNAP-RapA binary**
572 **complex**

573 **A)** Cryo-EM density maps of RNAP core enzyme (left), EC (middle) and RNAP-RapA (right).
574 RNAP subunits and RapA are colored and labeled. RNAP domains and DNA are indicated.
575 Opened and closed states of the RNAP clamp are represented by acute-angled lines and distances
576 between the clamp and lobe domains of RNAP are indicated.

577 **B)** RapA-mediated clamp closure. The RNAP-RapA is depicted as a transparent surface with
578 cartoon models of the RapA-NTD and RNAP clamp as well as CPK representation of Zn bound
579 at the ZBD. The clamp and Zn of apo-form RNAP are also depicted (gray). The structures are
580 superimposed by aligning the DPBB domains of RNAP. Steric clash between the RapA-NTD and
581 ZBD in the opened clamp is indicated by a red arrow and the RapA induced closing of the RNAP
582 clamp is indicated by a black arrow.

583

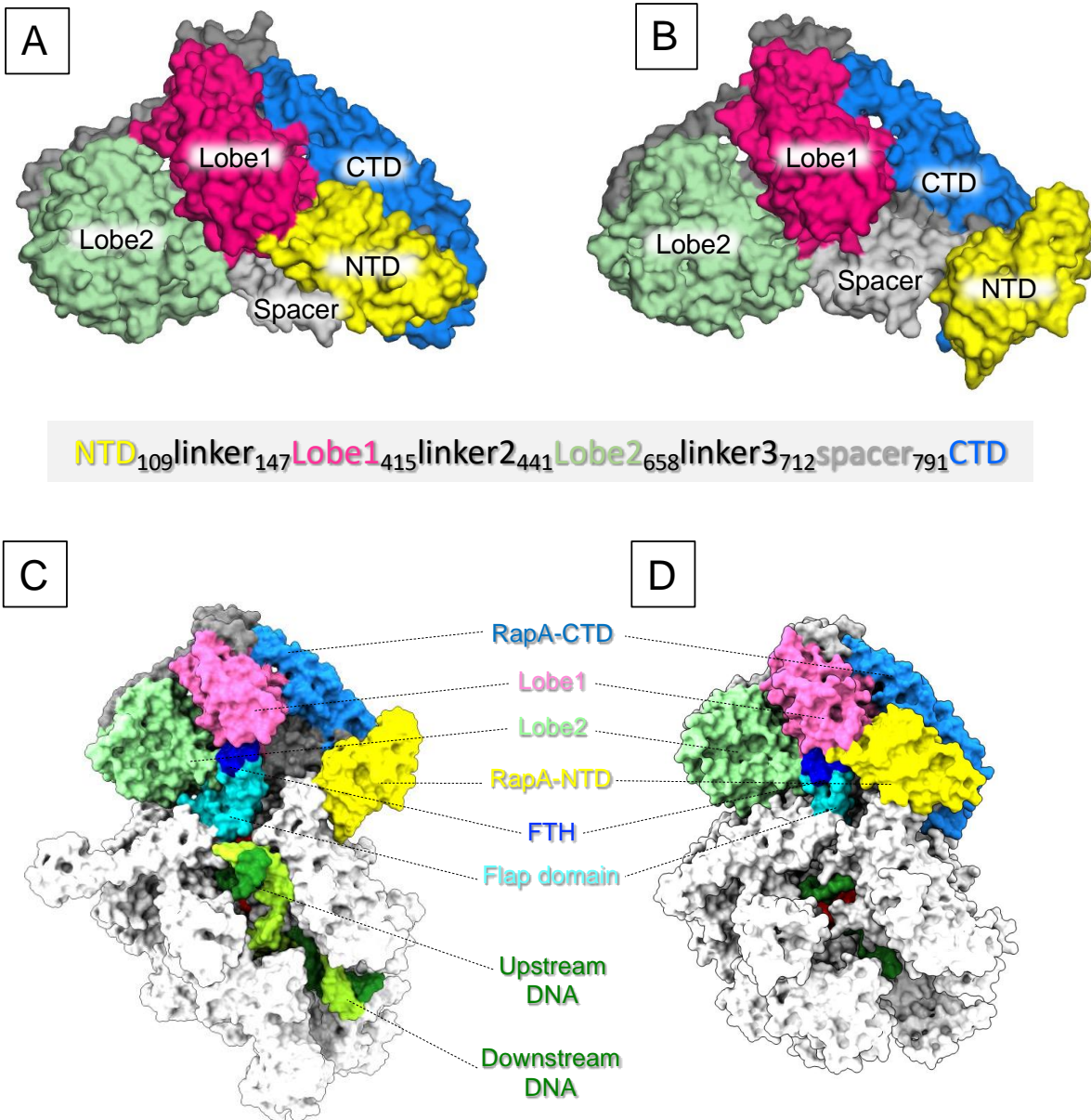
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586 **Figure 4. RapA-mediated RNAP recycling.** Post-termination, RapA guards the free RNAP
587 against non-specific association with DNA. RapA can also associate with RNAP released from a
588 PTC. RapA is competed out from the RNAP-RapA binary complex upon σ factor recruitment and
589 holoenzyme formation.

590



Cryo-EM structure of RNAP-RapA EC

Crystal structure of RNAP-RapA EC

591

592 **SFigure 1. RapA conformation in apo-form and in RNAP bound form.**

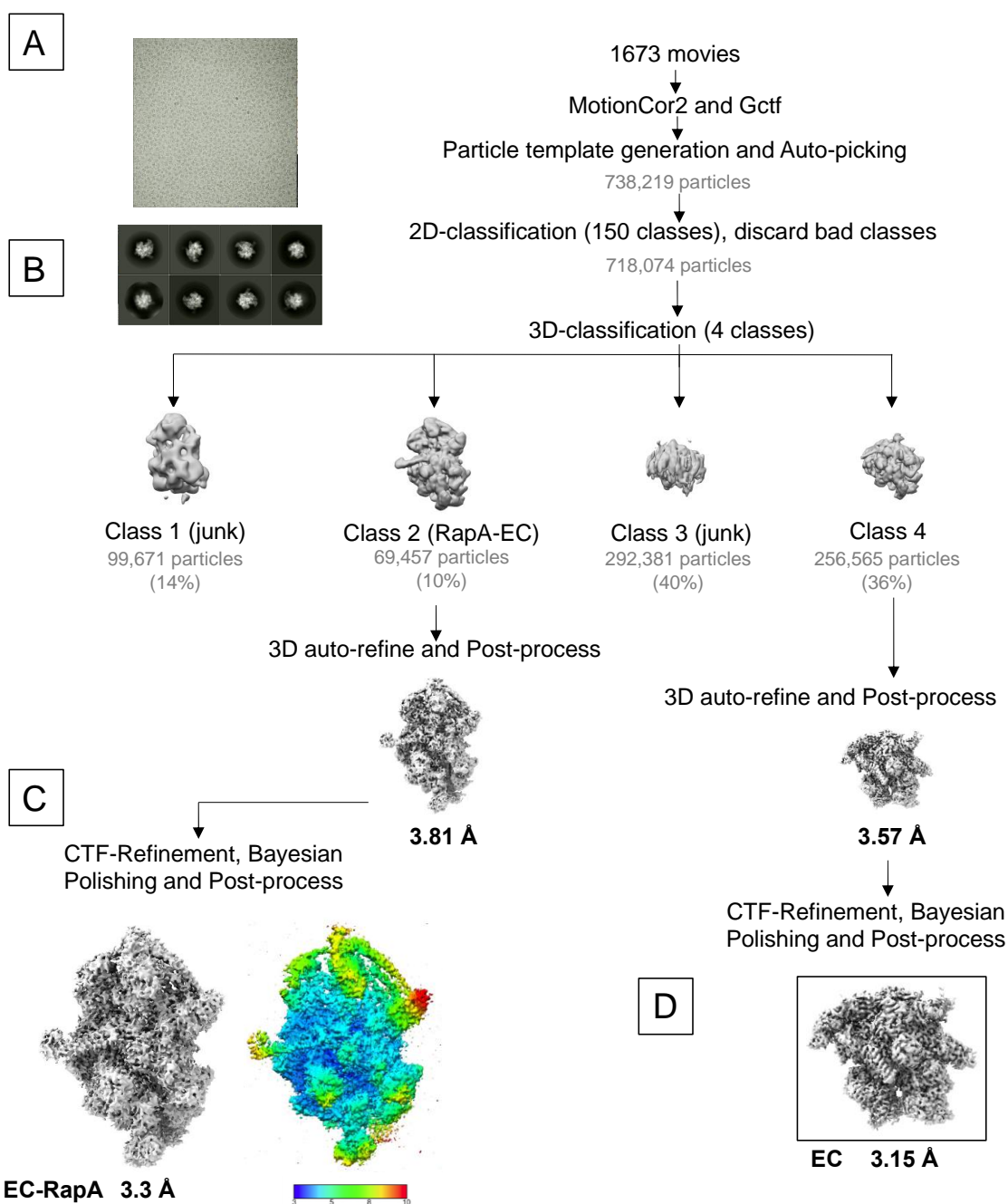
593 RapA conformations found in the crystal structure of apo-form RapA (**A**) and in the cryo-EM

594 structure of EC-RapA (**B**). Comparison of the RapA conformations in the cryo-EM structure (**C**)

595 and the X-ray crystal structure of EC-RapA (**D**) (43).

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599 **SFigure 2. Cryo-EM processing pipeline for RNAP-RapA EC.**

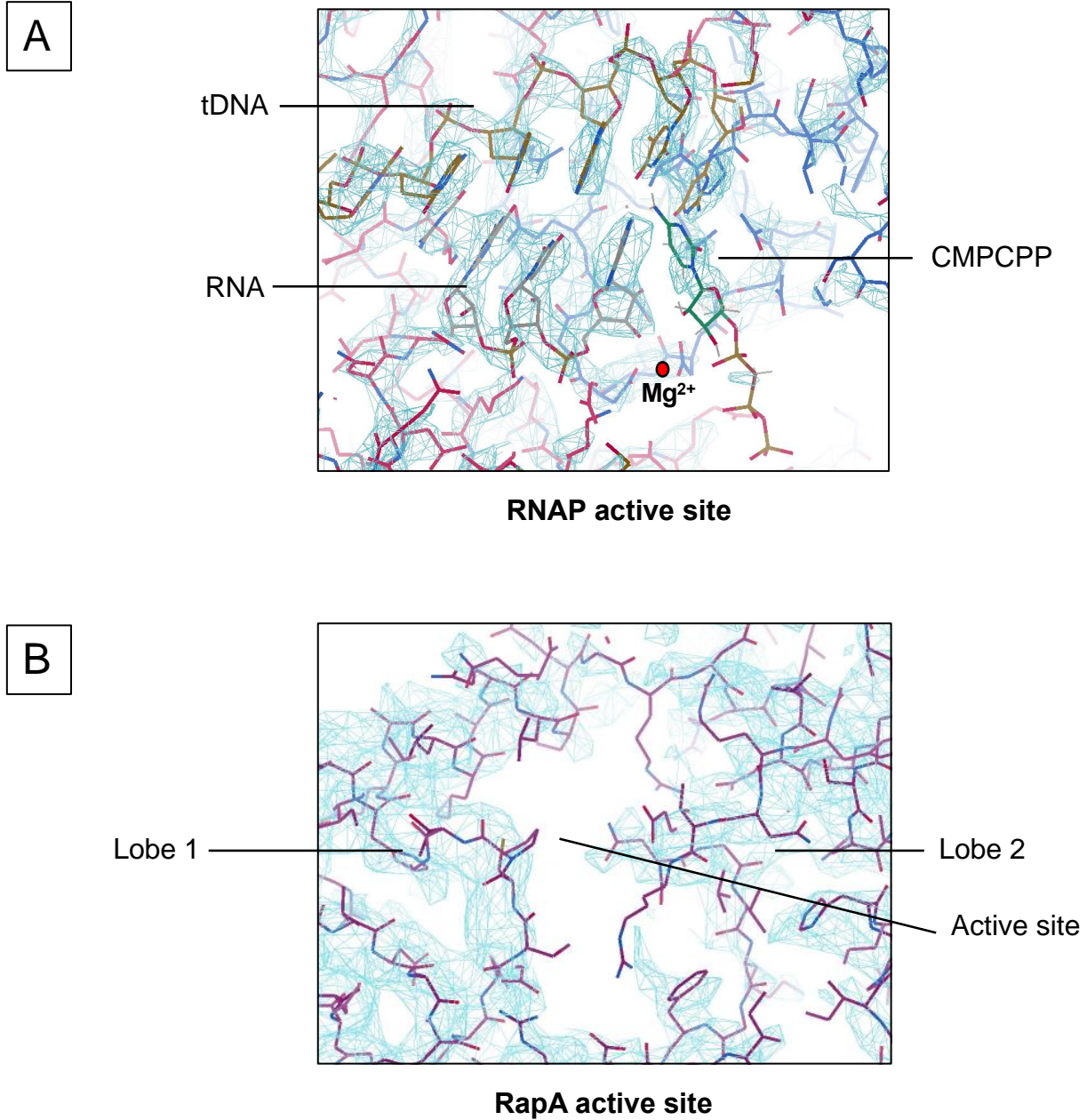
600 **A)** A representative micrograph used for data processing.

601 **B)** Selected representative 2D classes from 2D classification.

602 **C)** The postprocessed cryo-EM density map of EC-RapA. The right view is identical to left but
603 colored by local resolution.

604 **D)** The postprocessed cryo-EM density map of the EC.

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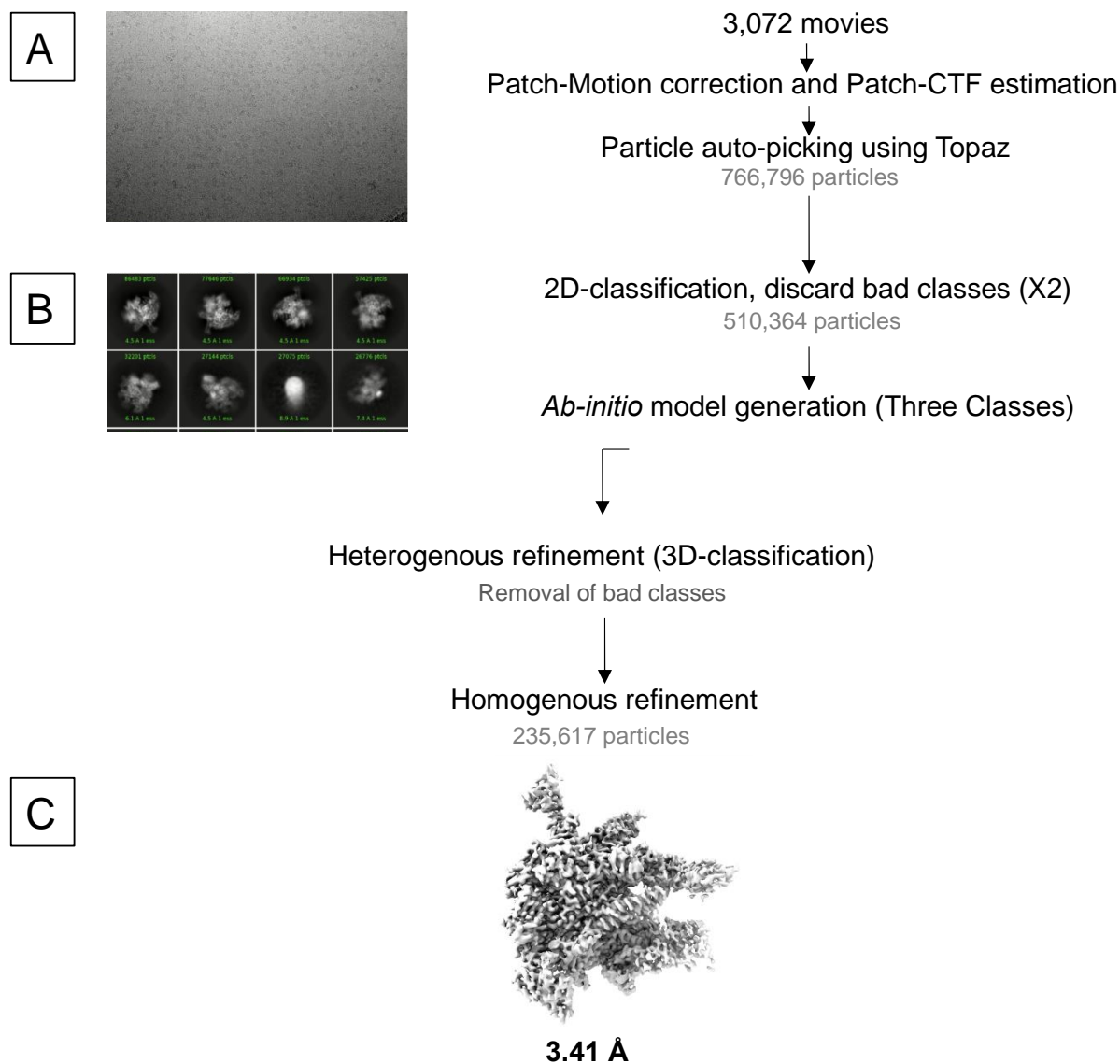
606

607 **SFigure 3. Cryo-EM density maps (blue mesh) at the active sites of RNAP (A) and RapA (B)**

608 **in the EC-RapA.**

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612 **SFigure 4. Cryo-EM processing pipeline for RNAP core enzyme.**

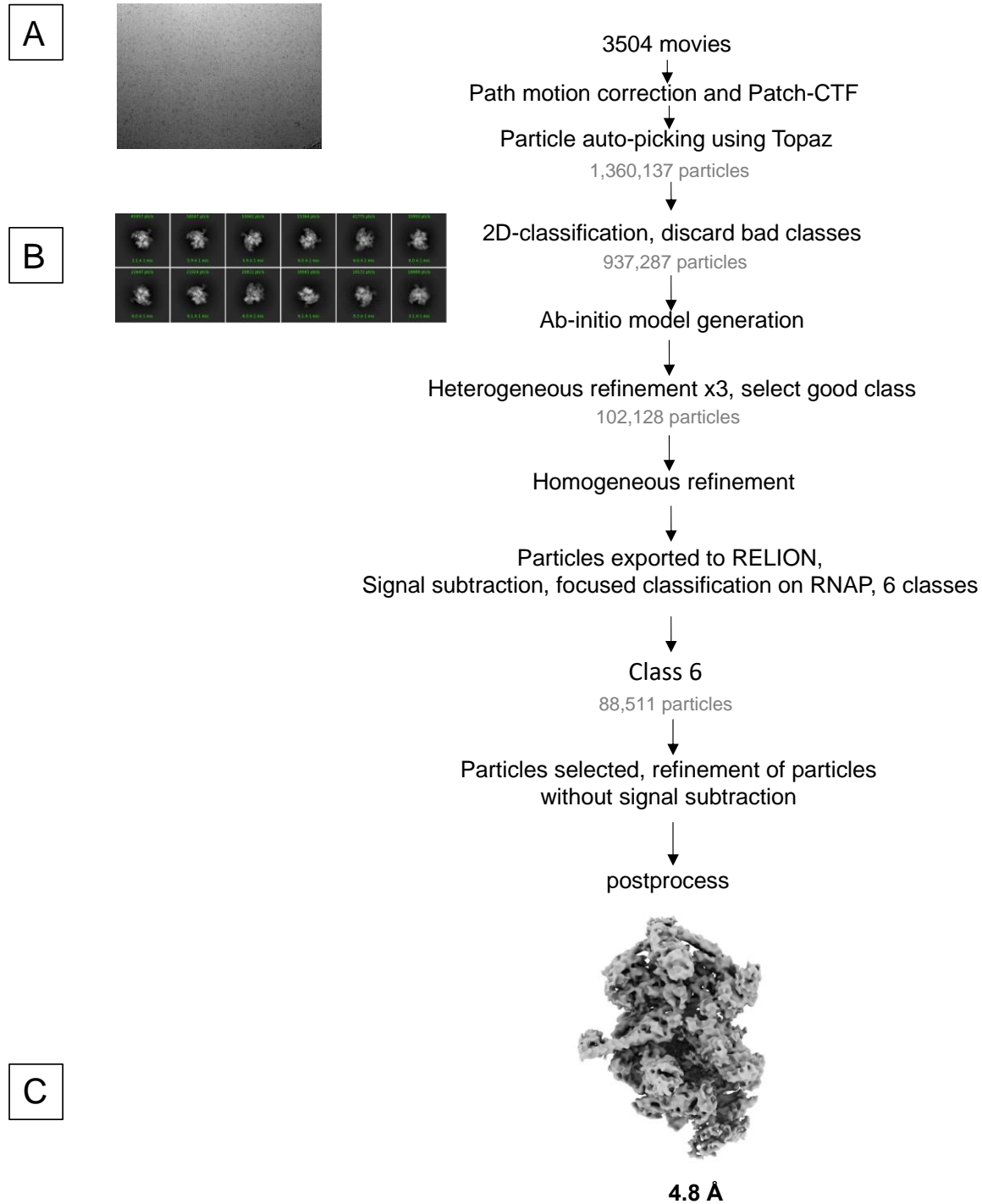
613 **A)** A representative micrograph used for data processing.

614 **B)** Selected representative 2D classes from 2D classification.

615 **C)** The postprocessed cryo-EM density map.

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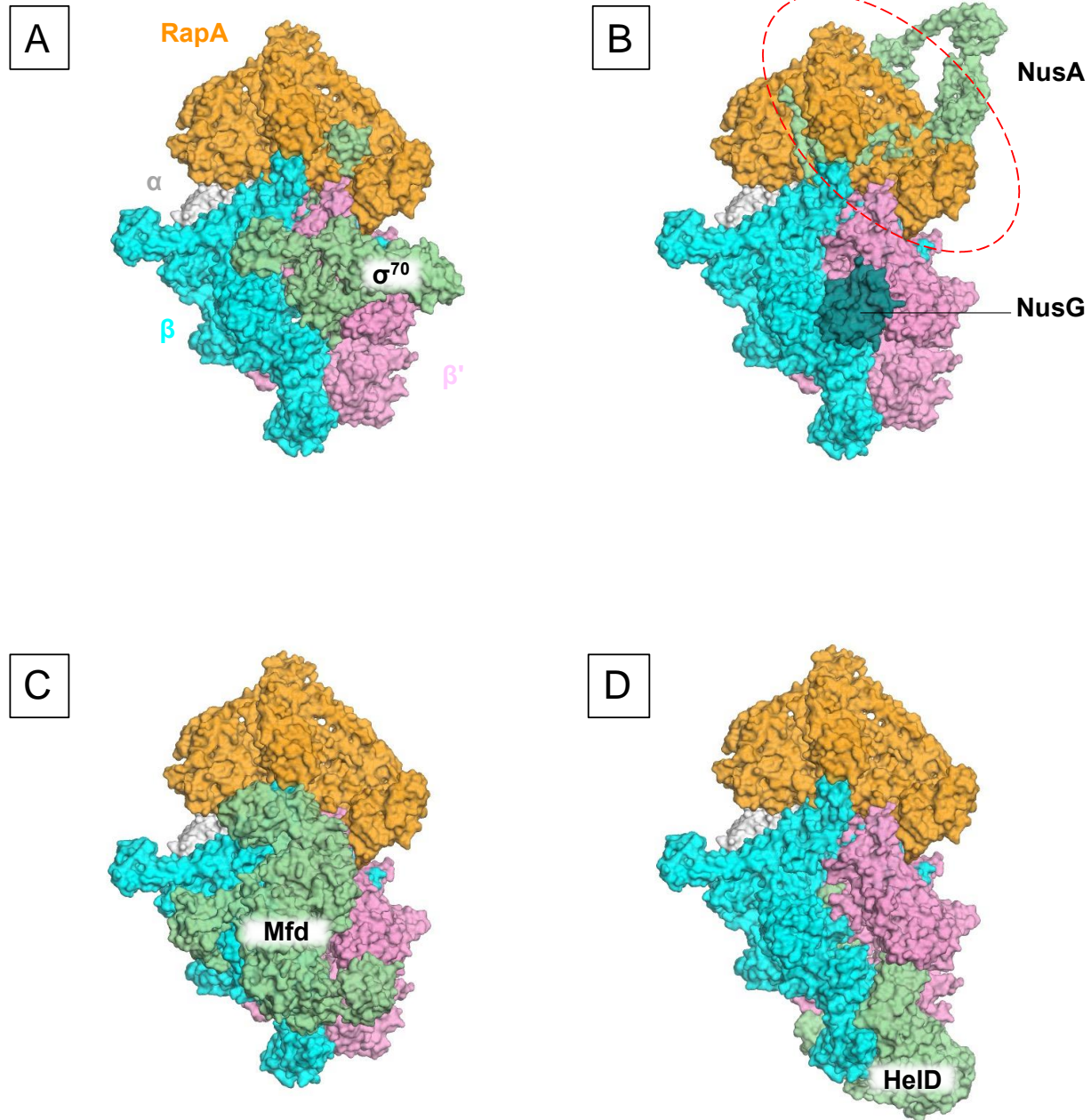
619 **SFigure 5. Cryo-EM processing pipeline for RNAP-RapA binary complex.**

620 **A)** A representative micrograph used for data processing.

621 **B)** Selected representative 2D classes from 2D classification.

622 **C)** The postprocessed cryo-EM density map.

623



624

625 **SFigure 6. Binding sites of various transcription factors on RNAP compared to RapA.**

626 Occurrence of RapA and HelD is mutually exclusive. Panel (D) depicts them together to emphasize
627 that their binding sites on RNAP are different.

628

629 **SMovie 1: Cryo-EM structure of *E. coli* RNAP elongation complex with RapA**

630 **SMovie 2: RapA-mediated allosteric closure of the RNAP clamp**

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Supplementary Table 1. Cryo-EM data collection, refinement, and validation statistics

	EC-RapA (EMD-23900) (7MKN)	EC (EMD-23901) (7MKO)	coreRNAP (EMD-23902) (7MKP)	RNAP-RapA (EMD-23903) (7MKQ)
Data collection and processing				
Magnification	105,000	105,000	81,000	81,000
Voltage (kV)	300	300	300	300
Electron exposure (e ⁻ /Å ²)	40	40	45	45
Defocus range (μm)	-1.0 to -2.5	-1.0 to -2.5	-1.0 to -2.5	-1.0 to -2.5
Pixel size (Å)	1.32	1.32	1.08	1.08
Symmetry imposed	C1	C1	C1	C1
Initial particle images (no.)	718,074	718,074	275,629	275,629
Final particle images (no.)	69,457	256,565	49,995	79,275
Map resolution (Å)	3.3	3.15	3.41	4.8
FSC threshold	0.143	0.143	0.143	0.143
Map resolution range (Å)	3.0-10.0	3.0-11.0	2.8-6.3	2.7-12.2
Refinement				
Initial model used (PDB code)	4S20	7MKN	7MKN	7MKN
Model resolution (Å)	4.7	3.3	3.3	3.3
FSC threshold	0.143	0.143	0.143	0.143
<i>Model composition</i>				
Non-hydrogen atoms	34,084	26,269	24,943	32,822
Protein residues	4,177	3,194	2,986	4,182
Ligands	Zn:2, Mg:1, 2TM	Zn:2, Mg:1 2TM	Zn:2, Mg:1	Zn:2, Mg:1
<i>B factors (Å²)</i>				
Protein	64.10	43.13	208.29	144.11
Nucleotide	109.24	84.86	---	---
Ligand	45.36	41.18	314.91	113.93
<i>R.m.s. deviations</i>				
Bond lengths (Å)	0.005	0.007	0.010	0.004
Bond angles (°)	1.004	1.048	1.212	0.910
<i>Validation</i>				
MolProbity score	2.3	2.33	2.34	2.18
Clash score	21.57	20.99	21.88	16.50
Rotamer outliers (%)	0.90	1.22	0.52	1.16
<i>Ramachandran plot</i>				
Favored (%)	92.50	91.60	91.52	92.58
Allowed (%)	7.02	7.93	8.07	6.99
Disallowed (%)	0.48	0.47	0.41	0.43
<i>Model vs. Data</i>				
CC (mask)	0.69	0.75	0.80	0.79
CC (box)	0.71	0.71	0.90	0.81
CC (peak)	0.59	0.61	0.77	0.71
CC (volume)	0.69	0.72	0.80	0.79
Mean CC for ligands	0.68	0.71	0.81	0.85

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