Dynamics of pollination and seed dispersal mutualisms at low density

Kayla R. S. Hale[1*], Daniel P. Maes[1,2], & Fernanda S. Valdovinos[1,3*]

[1] Department of Ecology and Evolutionary Biology, University of Michigan, Biological

Sciences Building, 1105 North University Ave, Ann Arbor, MI 48109, USA.

[2] Department of Mathematics, University of Michigan, East Hall, 530 Church St, Ann

Arbor, MI 48109, USA.

[3] Department of Environmental Science and Policy, University of California, Davis,

Wickson Hall, One Shields Avenue, Davis, CA 95616.

*Corresponding authors; email: kaylasal@umich.edu, fvaldovinos@ucdavis.edu

Manuscript elements: Figure 1, Figure 2, Figure 3, Figure 4, Figure 5, Table 1, Online

Appendix A. Figures 1-4 are to print in color.

Keywords: transportation mutualism, reproductive services, population dynamics,

thresholds, Allee effects, germination, negative density-dependence

Manuscript type: Major Article.

Running title: Dynamics of mutualisms at low density.

Word count: 5564.

Acknowledgements: This research was supported by National Science Foundation Graduate

Research Fellowship DGE-1143953 to K.R.S.H., National Science Foundation Graduate

Research Fellowship DGE-1256260 to D.P.M., and National Science Foundation grant

DEB-1834497 to F.S.V.

Competing interests: The authors declare no competing interests.

Abstract

Pollination and seed dispersal mutualisms are critical for biodiversity and ecosystem services yet face mounting threats from anthropogenic perturbations that cause their populations to decline. Characterizing the dynamics of these mutualisms when populations are at low density is important to anticipate consequences of these perturbations. We developed simple population dynamic models detailed enough to distinguish different mechanisms by which plant populations benefit from animal pollination or seed dispersal. We modeled benefits as functions of foraging rate by animals on plant rewards and specified whether they affected plant seed set, germination, or negative density dependence during recruitment. We found that pollination and seed dispersal mutualisms are stable at high density but exhibit different dynamics at low density, depending on plant carrying capacity, animal foraging efficiency, and whether populations are obligate upon their partners for persistence. Under certain conditions, all mutualisms experience destabilizing thresholds in which one population declines because its partner is too rare. Plants additionally experience Allee effects when obligate upon pollinators. Finally, pollination mutualisms can exhibit bistable coexistence at low or high density when plants are facultative upon pollinators. Insights from our models can inform conservation efforts, as mutualist populations continue to decline globally.

Introduction

Pollination and seed dispersal mutualisms support vast amounts of biodiversity and

productivity in terrestrial ecosystems. Pollinators aid the reproduction of 78% and 94% of

flowering plants in temperate and tropical regions, respectively (Ollerton *et al.* 2011), while

seed dispersers aid the reproduction of 56% of plant species worldwide (Aslan *et al.* 2013).

In addition, the production of 75% of the world's major crops partially or completely

depend on pollinators (Klein *et al.* 2007). Unfortunately, these important mutualisms face

threats globally. A recent meta-analysis of plant regeneration in forests (Neuschultz *et al.*

2016) found that pollination and seed dispersal are the processes most vulnerable to human

disturbance including climate change, nutrient runoff, pesticide use, and invasive species

(Stachowicz 2001, Traveset & Richardson 2006, Tylianakis *et al.* 2008, Zhou *et al.* 2013).

In fact, there is clear evidence showing the abundance of pollinators and key seed disperser

taxa such as frugivorous birds and mammals are declining globally (Potts *et al.* 2010, 2016,

Wotton & Kelly 2011). Therefore, understanding the population dynamics of species

involved in these mutualisms, especially at low abundances, can inform predictions of the

consequences of those declines on the mutualisms, plant populations and crop production.

Historically, theoretical research (Gause & Witt 1935, Vandermeer & Boucher

1978, Addicott 1981, Wolin 1985, Bascompte *et al.* 2006, Okuyama & Holland 2008,

Bastolla *et al.* 2009) used modified Lotka-Volterra type models (*sensu* Valdovinos 2019) to

investigate the dynamics of populations interacting mutualistically. This phenomenological

representation of mutualistic interactions as net positive effects between interacting species

provided insight for characterizing the effects of facultative, obligate, linear, and saturating

mutualisms on the long-term stability of mutualistic systems. However, representing

mutualisms simply as positive effects between species overlooks important dynamics that

emerge from the specific mechanisms by which species positively affect each other. More

recent research advanced upon these phenomenological representations of mutualism by

developing models that account for consumer-resource mechanisms (Wright 1989, Holland

& DeAngelis 2010, Valdovinos *et al.* 2013, Revilla 2015). Accounting for consumer-

resource mechanisms enabled the discovery of important dynamics, such Allee effects,

alternative states, transitions between mutualism and parasitism, competition among

species sharing mutualistic partners, and niche partitioning (May 1976, Soberón &

Martinez del Rio 1981, Wells 1983, Wright 1989, Pierce & Young 1986, Holland &

DeAngelis 2010, Valdovinos *et al.* 2013, 2016, Revilla 2015, Valdovinos & Marsland

2021) as well as the integration of these mutualisms into food web dynamics (Ringel *et al.*

1996, Hale *et al.* 2020). This research, however, mostly focused on animal dynamics (i.e.,

the consumer) and the dynamics of plant resources available to the animals (but see Wells

1983). To investigate the consequences of pollinator and seed disperser declines on plant

populations, more focus is needed on the mechanisms by which these animals affect plant

reproduction (Beckman *et al.* 2020). Here, we improve mechanistic understanding of the

ecological dynamics of these mutualisms by developing and analyzing consumer-resource

models that also account for simple mechanisms of reproductive benefits to plants.

Pollination and seed dispersal mutualisms share similarities as "transportation

mutualisms" (Bronstein 2015). In both cases, animals visit plants to feed upon rewards

(such as nectar and fruit) and provide reproductive services (such as transport of pollen and

seeds) incidentally during foraging. However, these interactions differ in the mechanism of

reproductive benefit to plants. Pollinators increase plant seed set by facilitating cross-

fertilization, by moving pollen between conspecific plant individuals (Willmer 2011). Seed

dispersers increase plant recruitment by lessening density-dependent seed(ling) mortality

caused by predators, pathogens, and competitors and facilitating colonization of new

habitats (Wotton & Kelly 2011, Moore & Dittel 2020). Seed dispersal can also increase

germination by improving seed condition during passage through dispersers' guts (Fricke *et*

al. 2013). Here, we investigate the dynamical consequences of these various mechanisms of

reproductive benefits to plants. We find distinct dynamical behaviors at low population

densities, including thresholds, Allee effects, and bistable coexistence, depending on

species obligacy (i.e., their ability to persist without their partner), plant carrying capacity,

and animal foraging efficiency. We also show that pollination and seed dispersal

mutualisms have similar dynamics and stability at high density but differ in the ecological

conditions under which the populations are vulnerable to collapse.

Methods

We begin by deriving equations for animal mutualists, which closely follow

previous consumer-resource approaches (e.g., Wright 1989, Holland & DeAngelis 2010).

Then, we derive novel equations for plant mutualists, balancing simplicity with the

necessary mechanistic detail to distinguish between the potential benefits of pollination

versus seed dispersal interactions. We assume that the growth rate of animal and plant

populations are functions of the density-independent per-capita birth (b_A, b_P) and death (d_A,

d_P) rates in absence of their mutualist, where subscripts A and P indicate animal and plant

populations, respectively. These population growth rates are also function of per-capita

self-limitation and other density-dependent processes (s_A, s_P), and the benefits provided by

mutualists (see below). Our models are continuous in time, which accommodates species

with overlapping generations. They are also deterministic and ignore migration, which

allows us to focus on the dynamics that emerge from the mutualistic interactions,

unobscured by stochasticity and the dynamics of other patches. Table 1 summarizes our

parameter definitions for all models.

121   *Population dynamics of animals*

Pollinators and seed dispersers benefit from visiting plants by foraging for rewards

that offer primarily nutritional benefit (Willmer 2011, Jordano 2014). We therefore model

the change in animal population density ($\boldsymbol{A}$) over time t as

$$\frac{d\boldsymbol{A}}{dt} = b_A\boldsymbol{A} + \varepsilon C_R - s_A\boldsymbol{A} - d_A\boldsymbol{A}^2 \qquad\qquad \text{Eqn. 1}$$

where the growth rate of the animal population increases proportionally to its consumption

rate of plant rewards, C_R. Parameter ε is the efficiency of converting rewards to new animal

individuals via birth and maturation. We choose total consumption rate $C_R = \frac{a\boldsymbol{A}\boldsymbol{P}}{1+ah\boldsymbol{P}}$,

following a Holling Type II functional response with attack rate a and handling time h.

This encodes the reasonable assumption that animal consumption rate on plant rewards

saturates with increasing density of the plant population. Reproduction fueled by resources

other than plant rewards is included in b_A. We define an animal population ($\boldsymbol{A}$) as an

"obligate" mutualist of the plant population ($\boldsymbol{P}$) when $r_A = b_A - d_A \leq 0$, that is, when $\boldsymbol{A}$

cannot persist in the absence of its mutualist plant. Otherwise ($r_A > 0$), A is "facultative"

and its population is self-sustaining.

Population dynamics of plants

Plants benefit from reproductive services provided by animals while foraging. We

define b_P, the plant birth rate, specifically as maximum per-capita seed set. We assume that

negative density-dependence (s_P) limits the seeds that survive and mature to reproductive

adults, due to, e.g., seed competition during recruitment or the preferential attraction of

natural enemies (Janzen-Connell effect). Additionally, we assume that plant reproduction is

limited by the fraction of flowers that can be fertilized through wind pollination or selfing

($0 \leq f \leq 1$) and the fraction of seeds that can germinate ($0 \leq g \leq 1$) even when subjected

to low negative density-dependence. Reproductive services from animals increase the

density of mature (reproductive) plant individuals by pollinating flowers (φ), improving

germinability (γ), or providing refuge from seed predation and other sources of density-

dependent mortality during recruitment (σ). Reproductive services are functions of animal

visitation to plants, which we assume to be well approximated by the foraging rate on

rewards (C_R, Vázquez *et al.* 2005). Below, we derive separate models for pollination and

seed dispersal based on the different mechanisms by which each affects the population

dynamics of plants.

Pollination: Increase in realized seed set from pollinating flowers

Pollination requires the transfer of pollen from one individual's flower to the stigma

of a conspecific plant individual, which occurs when animals visit flowers to forage. Visits

by animals can increase the fraction of plant flowers that are pollinated (f) to a maximum

of $f + \varphi \leq 1$. We model animal-pollinated plant population dynamics (P) as

157
$$\frac{dP}{dt} = P\left[b_P\left(f + \varphi\frac{\mathcal{S}_P}{1+\mathcal{S}_P}\right)g - s_P P - d_P\right]$$
     Eqn. 2

where benefit from pollination services ($\mathcal{S}_P$) saturates as the fraction of flowers that are

pollinated approaches its maximum (also see Appendix A). We assume $\mathcal{S}_P = C_R$, where the

contribution of the animal population to per-plant seed set is the total foraging rate of

animals on plant rewards (C_R). The direct dependence on plant density in this expression

accounts for the repeated interactions between plant and animal individuals required for

conspecific pollen transfer (Vázquez *et al.* 2005, Schupp *et al.* 2017). Using the Holling

Type II functional response for C_R from above and simplifying the algebra yields our

specific model for animal-pollination mutualisms:

166
$$\begin{cases} \frac{dP}{dt} = P\left[b_P\left(f + \varphi\frac{aAP}{1+ahP+aAP}\right)g - s_P P - d_P\right] \\ \frac{dA}{dt} = A\left[b_A + \varepsilon\frac{aP}{1+ahP} - s_A A - d_A\right] \end{cases}$$
     Eqn. 3

Plant benefits are limited both by the fraction of flowers available to pollinate (φ) and by

pollinators' handling time on flowers (h), with maximally effective reproductive services

leading the plant population to achieve close to its maximum per-capita seed set (b_P).

Following our previous definitions, plant population (P) is an obligate mutualist of A when

it cannot persist in its absence ($r_P = b_P f g - d_P \leq 0$); otherwise, P is facultative ($r_P > 0$).

Seed dispersal: Reduction in negative density-dependence or increase in germination

Seed dispersers visit plants to forage on fruit, elaiosomes, or even seeds directly,

later depositing the seed away from the parent plant. This process increases recruitment by

reducing density-dependent mortality caused by predators or pathogens, which are most

abundant near adult plants (Wotton & Kelly 2011, Fricke *et al.* 2013, Gómez *et al.* 2019,

Moore & Dittel 2020). Seed dispersal may also increase germination by improving seed

condition during passage through dispersers' guts, which removes pathogens or provides

"chemical camouflage" from natural enemies (Fricke *et al.* 2013). We consider both cases

of seed dispersal services (S_D) below.

First, animals rescue seeds from predators, pathogens, and intraspecific competition

by transporting them away from parent plants. This can increase successful recruitment:

183
$$\frac{dP}{dt} = P\left[b_P f g - \left(s_P - \sigma \frac{S_D}{1+S_D}\right)P - d_P\right]$$
    Eqn. 4

where negative density-dependence (s_P) can be reduced to a minimum of $s_P - \sigma \geq 0$ in the

presence of animals. Eqn. 4 implies that plants must be potentially persistent (have positive

demographic rates, $r_P = b_P f g - d_P > 0$) to benefit from reductions to negative density-

dependence. Therefore, we only consider facultative plants ($r_P > 0$) for this case.

Second, animals may increase seed condition by masticating or digesting fruits.

This can improve germinability:

190
$$\frac{dP}{dt} = P\left[b_P f\left(g + \gamma \frac{S_D}{1+S_D}\right) - s_P P - d_P\right].$$
    Eqn. 5

where the fraction of seeds that germinate (g) can increase in the presence of animals to a

maximum of $g + \gamma \leq 1$. Plants may be obligate ($r_P \leq 0$) or facultative ($r_P > 0$) upon their

animal partner for population persistence.

In both seed dispersal cases, reproductive services are directly related to

consumption rate of animals on plant rewards. We use $\mathcal{S}_D = C_R/P$, where the per-plant

benefit of seed dispersal is proportional to the per-capita (i.e., per-plant) visitation rate of

animals on plants. This is because repeated interactions between plant and animal

individuals are possible but not required for effective seed dispersal, as they are for

effective pollination (Schupp *et al.* 2017). Using the Holling Type II functional response

from above yields our specific models for animal seed-dispersal mutualisms in which plants

benefit via escape from negative density-dependence:

202
$$\begin{cases} \frac{dP}{dt} = P\left[b_P f g - \left(s_P - \sigma \frac{aA}{1+ahP+aA}\right)P - d_P\right] \\ \frac{dA}{dt} = A\left[b_A + \varepsilon \frac{aP}{1+ahP} - s_A A - d_A\right] \end{cases} \qquad \text{Eqn. 6}$$

203 or via increased germination:

204
$$\begin{cases} \frac{dP}{dt} = P\left[b_P f \left(g + \gamma \frac{aA}{1+ahP+aA}\right) - s_P P - d_P\right] \\ \frac{dA}{dt} = A\left[b_A + \varepsilon \frac{aP}{1+ahP} - s_A A - d_A\right] \end{cases} \qquad \text{Eqn. 7}$$

In these specific forms, plant benefits are limited both by the fraction of seeds available to

germinate (γ) or the negative density-dependent effects to reduce (σ) and by dispersers'

handling time on rewards (h).

Costs of mutualism

We do not model costs of mutualisms explicitly. We see three scenarios in which

our choice is justified ecologically. First, individual-level costs may be already accounted

for in the population-level parameters. For example, costs of producing nectar can lead to

fewer ovules in flowers (Pyke 1991, Brandenburg *et al.* 2012), which reduces the ovules

fertilized by animal visits and, therefore, can be accounted for by a reduced value of

parameter φ in Eqn. 2. Second, individual-level costs may be on average (i.e., at the

population-level) of such a small effect over the lifespan of the individual that they can be

considered negligible. For example, nectar production is usually considered of low

energetic cost (Harder & Barrett 1992, Revilla 2015). Third, other population-level

parameters may drown out the effect of costs from mutualism (Ford *et al.* 2015). For

example, nectar may be costly to produce for some plant species, but safe seed sites are

significantly more limiting to those plants' reproduction. Some systems may not conform to

these scenarios, in which case modeling costs could be important to understand their

dynamics.

223   *Analysis*

We performed phase plane analyses on our pollination (Eqn. 3) and seed dispersal

models (Eqns. 6, 7) using Wolfram Mathematica 11. Mathematical analysis and simulation

code are presented in Appendix A and online, respectively. In the main text, we only

present results for the ecologically relevant cases where populations have positive densities

and can potentially coexist (i.e., are feasible). We investigated the behavior of populations

at low density, assessing our models for conditions under which Allee effects, thresholds,

and other alternative stable states occur. We use the term "Allee effects" for strong,

demographic Allee effects, in which a population declines under a certain threshold of its

own density (Kramer *et al.* 2009). We define "threshold effects" as population declines

caused by the decline of its partner's density under a certain threshold (Vandermeer &

Boucher 1978, Revilla 2015). Alternative stable states, such as single-species persistence or

bistable coexistence, occur when a system can settle stably into more than one equilibrium

depending only upon initial conditions ("historical accidents," May 1977).

Results

We found that pollination and seed dispersal mutualisms have similar dynamics and

stability at high density but differ in the ecological conditions under which the populations

are vulnerable to collapse. Different parameter regimes, in combination with whether each

partner is obligate or facultative, lead to different dynamics at low population densities.

Below, we describe these different dynamics for each of our models, assuming that

coexistence is feasible (but see Appendix A for mathematical details). Then, we describe

some ecological scenarios under which each outcome is likely to occur. We provide these

scenarios rather than providing formal mathematical conditions, because the latter are

complex and difficult to interpret due to the nonlinearities in our models.

Pollination

The shape and intersections of the plant and animal "nullclines" (curves of zero

change in population density) determine the dynamics of the system. Both species have

"trivial" nullclines at zero density. The non-trivial animal nullclines (black curves) are

concave down, increasing functions that saturate with respect to plant density (Figs. 1-3).

The non-trivial animal-pollinated plant nullclines (green curves) are U-shaped when plants

are obligate mutualists (Fig. 1A-B) or increasing and concave up at high densities when

plants are facultative (Fig. 1C-G). Depending on the parameter regime, the facultative plant

nullcline may exhibit an inflection point at low densities in which it transitions from

concave down to up (e.g., Fig. 1D). Facultative animals and plants may persist in the

absence of their partners at density $K_A = r_A/s_A$ and $K_P = r_P/s_P$, respectively. These

densities are "carrying capacities" in the original sense of single-species equilibrium

densities (Vandermeer & Boucher 1978). When coexistence occurs, it is at a higher density

than either species could achieve alone ($> K_P, > K_A$).

When plants are obligate (Fig. 1A-B), pollination mutualisms exhibit two non-

trivial equilibria: (1) A stable coexistence equilibrium (off-axes filled circle). Density past

this equilibrium in either species causes its population to decrease due to negative density-

dependence. Therefore, unbounded population growth cannot occur. (2) A saddle point that

attracts in one dimension but repels in the other (off-axes hollow circle), bisecting the plane

into two portions, as marked by the "separatrix" (dashed line). This separatrix marks out a

threshold under which obligate partners go extinct even if initially highly abundant

("threshold effects," regions shaded light red). For example, following a trajectory from the

lower-right region in Fig. 1B, initially highly abundant obligate plants go extinct while

initially rare pollinators persist at K_A. These threshold effects occur because one species is

too low in density to provide sufficient benefits to its partner, causing the partner's

population to decline. The low-density species continues to benefit from mutualism but its

increase in density cannot occur fast enough to save the system from collapse. Above the

separatrix, one or both species are of high enough density that benefits from mutualism

cause positive population growth in their partners and the system will achieve stable

coexistence.

Obligate animal-pollinated plants are additionally susceptible to Allee effects

(regions shaded dark red), where their population declines under a threshold of their own

density regardless of the density of their partner (Fig. 1A-B). This occurs because benefit is

proportional to the total consumption rate by animals, i.e., plants require obligate

outcrossing for successful pollination behavior. At very low plant density, even highly

abundant pollinators are limited by the time required to harvest and (incidentally) transfer

pollen between two plant individuals, and thus cannot provide pollination services at a rate

sufficient to allow plant population growth. Unsurprisingly, animals cannot acquire enough

food and decline either to extinction (Fig. 1A) or to K_A (Fig. 1B) when plants are extinct.

When animal-pollinated plants are facultative (Fig. 1C-G), more diverse dynamics

are possible, depending on the relative growth rates of plants and animals and animals'

foraging efficiency. High-density stable coexistence always occurs if partners are originally

at high enough density. At lower density, threshold effects can occur when animals are

obligate mutualists (Fig.1C), but population growth from low densities is also possible (Fig.

1D-G) and even guaranteed when both partners are facultative mutualists (Fig. 1F-G).

Additionally, in a small parameter range, the mutualism can exhibit bistable coexistence

(Fig. 1D, 1F). Here, there are two possible points of stable coexistence which the system

will be attracted to – one at lower density and one at higher – based on initial conditions. A

separatrix (dashed line) running through a central saddle point (hollow circle) marks out a

threshold under which the system will persist at the lower-density coexistence equilibrium.

By inspecting the intercepts of the nullclines with the axes, we gain intuition into

ecological scenarios when these different dynamics (threshold effects, stable coexistence,

or bistable coexistence) will occur. Threshold effects (Fig. 1C) can occur when

$-\frac{r_A}{a(\varepsilon+hr_A)} > K_P$, that is, when the intercept of the animal nullcline with the x-axis

(representing plant density) is greater than the intercept of the plant nullcline. This is most

likely when pollinators are highly obligate upon plants ($r_A \ll 0$) and when plants have low

carrying capacity, K_P. That is, when the environment is hostile due to, for example, high

density-independent mortality (d_P, d_A) or high negative density-dependence for plants (s_P).

On the other hand, growth from low density (Fig. 1D-G) can occur under the opposite

condition, when $-\frac{r_A}{a(\varepsilon+hr_A)} \leq K_P$. That is, when the intercept of the animal nullcline with

the x-axis is less than the intercept of the plant nullcline. This is most likely when animals

are facultative or only weakly obligate and plants have high K_P. However, if this condition

holds but plants have low K_P, bistable coexistence may occur. Bistable coexistence is most

likely when pollinators have near-zero population growth rate (r_A) in the absence of plants,

regardless of whether they are obligate or facultative. Additionally, the potential for

bistable coexistence is strongly modulated by pollinators' foraging efficiency, especially

their attack rate (a) or search time ($1/a$) for floral rewards. Within a narrow range of a,

bistable coexistence can occur (Fig. 4A). At higher a, only a single, high-density,

coexistence equilibrium is present. At lower a, stable coexistence occurs only at low

density or is even infeasible.

Seed Dispersal

Our seed dispersal models (Figs. 2-3) display simpler dynamics than our pollination

model. Seed dispersers follow the same dynamics as pollinators (black curves). The non-

trivial nullclines for seed-dispersed plants are concave up, increasing functions (green

curves), bounded by a vertical asymptote on the right. This results in stable coexistence at

higher density than either species could achieve alone with the potential for threshold

effects, but not the bistable coexistence or Allee effects dynamics described for pollination

mutualisms.

When seed dispersers benefit plants through reduced negative density-dependence

(Fig. 2), plants are always facultative (see Methods) and can persist in the absence of

animal mutualists at density K_P. The same qualitative conditions hold as described for the

pollination model as to whether the system exhibits threshold effects $(-\frac{r_A}{a(\varepsilon+hr_A)} > K_P$, Fig.

2A) or a single stable coexistence equilibrium $(-\frac{r_A}{a(\varepsilon+hr_A)} \leq K_P$, Fig. 2B) when animals are

obligate mutualists.

When seed dispersers benefit plants through increased seed germination (Fig. 3),

plants may be obligate or facultative, with population collapse or persistence at K_P,

respectively, in the absence of animals. When at least one partner is an obligate mutualist,

threshold effects can always occur, leading to extinction if at least one partner is at low

enough density (Fig. 3A-B, 3D). As shown for the previous models, threshold effects can

occur when $-\frac{r_A}{a(\varepsilon + h r_A)} > K_P$ (Fig. 3A, 3D). They can also occur in this model when

$-\frac{r_P}{a r_{P\,max}} > K_A$, where $r_{P\,max} = r_P + b_P f \gamma$. That is, when the intercept of the plant nullcline

with the y-axis (representing animal density) is greater than the intercept of the animal

nullcline (Fig. 3A-B).

In both seed dispersal cases, threshold effects are most likely when one partner is

highly obligate ($r_i \ll 0$) and when plants are difficult, but not impossible, for dispersers to

find on the landscape (low search time, $1/a$). At low a, threshold effects occur because

animals can never achieve a high enough foraging rate to prevent both species from decline

below the threshold density, unless they are initialized above the threshold density (Fig.

4B-C). At even lower a, coexistence is infeasible while at higher a, dispersers are adept at

finding plants, and both populations grow quickly to high density stable coexistence.

However, growth from low density with ultimate stable coexistence at high density is also

possible if neither partner is highly obligate and dispersers are efficient at finding plants

(Fig. 3C, 3E). Indeed, it is the only outcome when both partners are facultative (Fig. 2C,

3F).

351   **Discussion**

Pollination and seed dispersal mutualisms support the reproduction of a vast number

of plant species globally, including crops (Klein *et al.* 2007, Aslan *et al.* 2013, Garibaldi *et*

al. 2013, Neuschultz *et al.* 2016). Anthropogenic stressors threaten these mutualisms by

causing population declines that can disrupt the benefits provided by the mutualists

(Tylianakis *et al.* 2008, Traveset & Richardson 2006, 2014, Potts *et al.* 2010, 2016,

Beckman *et al.* 2020). These potential disruptions increase the need to study the dynamics

of mutualisms when the interacting populations exhibit low density. Theoretical studies

have traditionally investigated these dynamics at low density by using Lotka-Volterra

models. Our work builds from those studies by developing novel consumer-resource

models of mutualistic interactions (*sensu* Holland & DeAngelis 2010) that incorporate

simple mechanisms of reproductive benefits to plant populations. Our results expand the

understanding of pollination and seed dispersal mutualisms by elucidating dynamical

consequences of mechanisms by which pollinators and seed dispersers benefit plant

reproduction when visiting them as consumers.

We found threshold effects, Allee effects, and bistable coexistence in our models,

with transitions between these dynamics affected by partners' obligacy, environmental

hostility, and animals' foraging efficiency on plant rewards (Fig. 5). Threshold effects

occur in both pollination and seed dispersal mutualisms when at least one mutualist is

obligate because low-density populations cannot provide enough benefit to rescue their

higher density partner from decline when animal foraging efficiency on plant rewards is

low (Figs. 1A-C, 2A, 3A-B, 3D, 4B-C). Allee effects occur in obligate animal-pollinated

plants because the plants can become too low in density to attract sufficient pollinator visits

to save their own population (Figs. 1A-B). Bistable coexistence occurs in pollination

mutualisms when plants are facultative but the environment is hostile for plants (low

carrying capacity) and animals are of intermediate foraging efficiency (Figs. 1D, 1F, 4A).

Conversely, when the environment is benign (high plant carrying capacity) and animals are

efficient foragers (Fig. 4A, 4C), populations grow from low density with subsequent stable

coexistence at a single, high-density equilibrium (Figs. 1E, 1G, 2B, 3C, 3E). This single,

high-density equilibrium is guaranteed for seed dispersal mutualisms when both partners

are facultative (Fig. 2C, 3F).

Threshold effects, population declines due to rarity of a mutualistic partner, were

first described in the late 1970s for obligate mutualists that strongly benefit each other

(May 1976, Vandermeer & Boucher 1978). The strong mutualistic interaction allowed the

species to persist at high densities but was not sufficient for coexistence at densities below

the threshold. This research used the Lotka-Volterra model for mutualism with positive

interacting coefficients and linear functional responses, but the same qualitative results at

low density are found when saturation is added to the functional responses (Vandermeer &

Goldberg 2013). Indeed, models incorporating consumer-resource mechanisms also predict

these thresholds in density, below which the system collapses (May 1976, Soberón &

Martinez del Rio 1981, Wells 1983, Wright 1989, Fishman & Hadany 2010, Revilla 2015).

In contrast, a model for "unidirectional" consumer-resource mutualisms, which include

both animal-pollination and seed dispersal interactions, predicts thresholds in density above

which the system collapses (Holland & DeAngelis 2010). The collapse occurs due to

animal overexploitation of plant rewards.

Despite their ubiquity in theoretical work (Hale & Valdovinos 2021), threshold

effects have been difficult to observe empirically (Latty & Dakos 2019, Hillebrand *et al.*

2020). Our work suggests that threshold effects would only be observed in pollination

systems when pollinators are obligate and plants are facultative but in a hostile environment

(low carrying capacity, Figs. 1C, 5). Otherwise, destabilization of the system would be

attributed to Allee effects in plants (Figs. 1A-B, 5). Threshold effects may be easier to

observe in seed dispersal systems because they would manifest when either partner's

density drops below the critical threshold (Fig. 2A, 3A-C). As a potential example, Wotton

and Kelly (2011) observed that seed survival of two species of New Zealand trees dropped

dramatically when fruit consumption by dispersers crossed below 30%.

Allee effects may also cause irrecoverable declines in populations at low density.

We use "Allee effects" to describe self-induced, as opposed to partner-induced, declines at

low density. We find Allee effects in plant populations that are obligate mutualists of

pollinators, due to, e.g., high self-incompatibility. This result is consistent with pollination

ecology because pollinators' benefits to plants tend to decrease at low plant density due to

increased search time, leading to a decrease in visitation rate and pollen limitation (Forsyth

2003). Low plant density may also decrease visitation rate due to insufficient floral displays

or reduce visit quality due to pollen dilution (Forsyth 2003). Indeed, pollinator-mediated

Allee effects have been observed empirically in highly self-incompatible plant species

(Kramer *et al.* 2009). We show that reductions in visit quantity alone are sufficient to

induce an Allee effect, whereas natural populations likely experience both a decrease in

visit quality and quantity at low plant density. In contrast, we did not find Allee effects in

our seed dispersal models. This is consistent with the mechanisms by which seed dispersers

benefit plants. Seed dispersers benefit plants by increasing the seed germination or their

survival to adulthood through refuge from intraspecific competition and natural enemies

(seed predators, herbivores) that are attracted to regions of high food densities (i.e., the

Janzen-Connell effect, Janzen 1970, Connell 1971, Wotton & Kelly 2011, Fricke *et al.*

2013, Moore & Dittel 2020). These plants are thus less likely to experience Allee effects,

because at low density the primary threats are similarly reduced.

425   Bistable coexistence occurs when partners coexist stably either at low or high

density, depending upon if populations dip below a certain threshold of density. It is, to our

knowledge, a novel result in mutualism models (but see Zhang 2003 and Holland &

DeAngelis 2010 for examples when mutualism can transition dynamically to competition

or parasitism interactions). We observed bistable coexistence in pollination mutualisms

because the dependence on plant density due to the required transfer of pollen between

conspecific plants causes an inflection in the plant nullcline when facultative (Fig. 1D, 1F).

At intermediate foraging efficiency, pollinators can find plants, but do so inefficiently

enough (low enough attack rate) that the plant and animal populations never achieve a high

enough growth rate to escape a low-density stable attractor, where plants stay relatively rare

and animals stay inefficient at finding them. This happens unless initial plant abundance is

high enough to be easily found by animals so that sufficient mutualistic benefits can be

exchanged to allow stable coexistence at high density. This type of bistability may occur in

pollination systems where plant abundance explains the variability of total benefits that

plant populations receive from pollinator visits (Vázquez *et al.* 2007), with higher total

benefits received by more abundant plants that can sustain highly abundant pollinators, and

lower total benefits received by rare plants that would only sustain low pollinator

abundance.

The influence of environmental hostility or stress has received much attention as a

potential cause for tipping points (Beisner *et al.* 2003, Lever *et al.* 2014, Kéfi *et al.* 2016,

Latty & Dakos 2019, Hillebrand *et al.* 2020, Huang & D'Odorico 2020), which we also

find here (Fig. 5). Species may transition from "facultative" to "obligate" mutualists with

increasing death rate (d_i), leading to increased vulnerability in terms of the potential to

experience low-density thresholds. Additionally, lower carrying capacity ($K_i = r_i/s_i$) due

to high negative density-dependence (s_i), may cause a species to exhibit only low-density

or infeasible coexistence. Here, we highlight the effect of foraging efficiency on critical

transitions between dynamics (Figs. 4-5). Crypticity of rewards due to habitat

fragmentation, poor attack rate due to mismatched traits, or low preference due to declines

in rewards quality may shift animal foraging efficiency, may cause a previously stable

system at high density to shift to bistability, threshold effects or, in the worst case, collapse

to infeasible coexistence. Similarly, Valdovinos and Marsland (2021) identified the quality

of visits needed from pollinators for plants to persist. Below such threshold, the plant

species and the animals depending on those plants go extinct. This suggests that the

parameter of foraging efficiency (analogous to visit quality in their model) could be an

important topic for future investigation.

Overall, we find that obligate mutualisms are most at risk for experiencing

destabilizing thresholds, which may have cascading effects on the rest of the ecological

community (Latty & Dakos 2019). The existence of thresholds suggests that environmental

change could shift a mutualistic system from above a separatrix to below it. This will lead

to collapse of the system under certain conditions, but in very special cases, the system may

instead shift to a lower stable coexistence equilibrium, and still exhibit positive growth at

low density. Though this is a preferable outcome to deterministic extinction, these

dynamics still allow the system to reach very low population densities, and thus to be more

vulnerable to environmental or demographic stochasticity. However, the highly stable

outcomes for facultative mutualists, and particularly for facultative plants with high

carrying capacity, suggest that these species may buffer communities from perturbations to

low density in a network setting (also see van der Heide *et al.* 2020). This is because

mutualistic networks are typically highly nested, which means that generalist species tend

to interact with both generalist and specialist species but specialist species with only

generalists (Bascompte *et al.* 2003). Then, a nested network with generalist plants (by

definition facultative to each of their animal mutualists), that also have sufficiently high

carrying capacity, may not suffer threshold effects caused by rare, obligate animal

populations. In other words, those generalist plants with high carrying capacity may make

the network robust to potential threshold effects.

A unique aspect of our modelling approach is that we specify whether plants benefit

according to animal total or per-capita visitation rate. Our choice for pollination benefits to

plants to scale with animal total visitation rate accounts for outcrossing (Vázquez *et al.*

2005). This, to the best of our knowledge, makes our pollination model unique in the

literature of two-species models (but see Valdovinos *et al.* 2013, 2016, Hale *et al*. 2020 for

network models). In addition, visitation in our models differs from previous work (e.g.,

Revilla 2015) because we use nonlinear (specifically, Holling Type II) saturating functional

responses for consumption rate. This allows both plants and animals to experience intra-

specific competition for benefits, set by the maximum time animals can spend foraging on

rewards. Lastly, we impose a direct limitation to plant benefits, so that they saturate due to

limited ovules or seeds. In this way, plant benefits in our seed dispersal models follow the

Beddington-DeAngelis functional response, which was formulated for consumers

experiencing exploitation competition.

Our models can also accommodate functional responses interpreted as "net benefit"

curves (e.g., Holland *et al.* 2002, Morris *et al.* 2010) if costs and benefits affect the same

vital rate. That is, if "costs" simply reduce the benefits that accrue to a given vital rate (e.g.,

Brandenburg *et al.* 2012). Under this interpretation, unimodal functional forms may arise

(e.g., Morris *et al.* 2010) which could lead to substantially different dynamical predictions

than those presented here. Finally, our models, as well as previous ones, assume that

mutualisms have population-level impacts. Most empirical studies, however, quantify the

benefits and costs of mutualisms at the individual level in terms of fitness or even by using

a single proxy for fitness (Bronstein 2001, Ford *et al.* 2015). Those effects do not

necessarily imply population- or community-level impacts of mutualism (Flatt & Weisser

2000, Ford *et al.* 2015). Therefore, empirical work on population dynamics of mutualisms

is of foremost importance to evaluate historical and current ecological theory on

mutualisms.

505   **Conclusion**

This research increased mechanistic understanding of the dynamics of plant-animal

mutualisms by analyzing consumer-resource models that incorporate simple mechanisms of

reproductive benefits to plants. We found that these mutualisms may be vulnerable to

declines at low density due to Allee and threshold effects and identified potential bistable

coexistence. We also characterized the ecological scenarios under which those dynamical

behaviors are most likely to occur, which has been recognized a conservation priority

(Latty & Dakos 2019). Future work should continue to investigate how different

mechanisms of mutualism manifest in different population dynamics at low density, both to

advance ecological understanding and to aid in conservation objectives.

References

Addicott, J. F. 1981. Stability properties of 2-species models of mutualism: Simulation

studies. Oecologia 49:42–49.

Aslan, C. E., E. S. Zavaleta, B. Tershy, and D. Croll. 2013. Mutualism disruption Threatens

global plant biodiversity: A Systematic Review. PloS ONE 8.

Bascompte, J., Jordano, P., Melian, C.J. and Olesen, J.M. 2003. The nested assembly of

plant-animal mutualistic networks. Proceedings of the National Academy of Sciences USA

100:9383–9387.

Bascompte, J., P. Jordano, and J. M. Olesen. 2006. Asymmetric coevolutionary networks

facilitate biodiversity maintenance. Science 1:3-5.

Bastolla, U., M. A. Fortuna, A. Pascual-García, A. Ferrera, B. Luque, and J. Bascompte.

2009. The architecture of mutualistic networks minimizes competition and increases

biodiversity. Nature 458:1018-1020.

Beckman, N. G., C. E. Aslan, H. S. Rogers, O. Kogan, J. L. Bronstein, J. M. Bullock, F.

Hartig, et al. 2020. Advancing an interdisciplinary framework to study seed dispersal

ecology. AoB PLANTS 12:1–18.

Beisner, B. E., D. T. Haydon, and K. Cuddington. 2003. Alternative stable states in

ecology. Frontiers in Ecology and the Environment 1:376–382.

Brandenburg, A., C. Kuhlemeier, and R. Bshary. 2012. Hawkmoth pollinators decrease

seed set of a low-nectar *Petunia axillaris* line through reduced probing time. Current

Biology 22:1635–1639.

Bronstein, J. L. 2001. The costs of mutualism. American Zoologist 41:825–839.

Bronstein, J. L. 2015. The study of mutualism. Pages 3-19 *in* J. L. Bronstein, ed.

Mutualism. Oxford University Press, Oxford.

Connell, J. H. 1971 On the role of natural enemies in pre- venting competitive exclusion in

some marine mammals and forest trees. In Dynamics of populations (eds P. J. den Boer &

G. R. Gradwell), pp. 298–312. Wageningen, The Netherlands: Centre for Agricultural

Publishing and Documentation.

Courchamp, F., L. Berec, and J. Gascoigne 2008. Population dynamics: modelling

demographic Allee effects. *In* Allee Effects in Ecology and Conservation, Oxford

University Press, Oxford.

Edwards, W., M. Dunlop, and L. Rodgerson. 2006. The evolution of rewards: Seed

dispersal, seed size and elaiosome size. Journal of Ecology 94:687–694.

Fishman, M. A., and L. Hadany. 2010. Plant-pollinator population dynamics. Theoretical

Population Biology 78:270–277.

Flatt, T., and W. W. Weisser. 2000. The effects of mutualistic ants on aphid life. Ecology

81:3522–3529.

Ford, K. R., J. H. Ness, J. L. Bronstein, and W. F. Morris. 2015. The demographic

consequences of mutualism: ants increase host-plant fruit production but not population

growth. Oecologia 179:435–446.

Forsyth, S. A. 2003. Density-dependent seed set in the Haleakala silversword: Evidence for

an Allee effect. Oecologia 136:551–557.

Fricke, E. C., M. J. Simon, K. M. Reagan, D. J. Levey, J. A. Riffell, T. A. Carlo, and J. J.

Tewksbury. 2013. When condition trumps location: Seed consumption by fruit-eating birds

removes pathogens and predator attractants. Ecology Letters 16:1031–1036.

Garibaldi, L. A., I. Steffan-Dewenter, R. Winfree, M. A. Aizen, R. Bommarco, S. A.

Cunningham, C. Kremen, et al. 2013. Wild Pollinators Enhance Fruit Set of Crops

Regardless of Honey Bee Abundance. Science 339:1608–1611.

Gause, G. F., and A. A. Witt. 1935. Behavior of mixed populations and the problem of

natural selection. American Naturalist 69:596–609.

Gómez, J. M., E. W. Schupp, and P. Jordano. 2019. Synzoochory: the ecological and

evolutionary relevance of a dual interaction. Biological Reviews 94:874–902.

Hale, K. R. S., F. S. Valdovinos, and N. D. Martinez. 2020. Mutualism increases diversity,

stability, and function of multiplex networks that integrate pollinators into food webs.

Nature Communications 11.

Harder, L. D., and S. C. H. Barrett. 2008. The Energy Cost of Bee Pollination for

Pontederia cordata (Pontederiaceae). Functional Ecology 6:226–233.

Hillebrand, H., I. Donohue, W. S. Harpole, D. Hodapp, M. Kucera, A. M. Lewandowska, J.

Merder, et al. 2020. Thresholds for ecological responses to global change do not emerge

from empirical data. Nature Ecology and Evolution 4:1502–1509.

Holland, J. N., and D. L. DeAngelis. 2010. A consumer-resource approach to the density-

dependent population dynamics of mutualism. Ecology 91:1286–1295.

Holland, J. N., D. L. DeAngelis, and J. L. Bronstein. 2002. Population dynamics and

mutualism: Functional responses of benefits and costs. American Naturalist 159:231–244.

Holland, J. N., Okuyama, T., & DeAngelis, D. L. 2006. Comment on "Asymmetric

coevolutionary networks facilitate biodiversity maintenance." Science, 313:1887–1887.

Huang, H., and P. D'Odorico. 2020. Critical Transitions in Plant-Pollinator Systems

Induced by Positive Inbreeding-Reward-Pollinator Feedbacks. iScience 23:100819.

Janzen, D. H. 1970. Herbivores and number of tree species in tropical forests. American

Naturalist 104:501–508.

Jordano P. 2014. Fruits and frugivory. Pages 125–166 *in* R. S. Gallagher, ed. Seeds: The

ecology of regeneration in plant communities. CABI, Boston.

Kéfi, S., M. Holmgren, and M. Scheffer. 2016. When can positive interactions cause

alternative stable states in ecosystems? Functional Ecology 30:88–97.

Klein, A. M., B. E. Vaissière, J. H. Cane, I. Steffan-Dewenter, S. A. Cunningham, C.

Kremen, and T. Tscharntke. 2007. Importance of pollinators in changing landscapes for

world crops. Proceedings of the Royal Society B: Biological Sciences 274:303–313.

Kramer, A. M., B. Dennis, A. M. Liebhold, and J. M. Drake. 2009. The evidence for Allee

effects. Population Ecology 51:341–354.

Latty, T., and V. Dakos. 2019. The risk of threshold responses, tipping points, and

cascading failures in pollination systems. Biodiversity and Conservation 28:3389–3406.

Lever, J. J., E. H. van Nes, M. Scheffer, and J. Bascompte. 2014. The sudden collapse of

pollinator communities. Ecology Letters 17:350–359.

May, R. M. 1976. Theoretical Ecology, Principles and Applications. Blackwell Scientific,

Oxford.

May, R. M. 1977. Thresholds and breakpoints in ecosystems with a multiplicity of stable

states. Nature 269:471–477.

Moore, C. M., and J. W. Dittel. 2020. On mutualism, models, and masting: The effects of

seed-dispersing animals on the plants they disperse. Journal of Ecology 108:1775–1783.

Morris, W. F., D. P. Vázquez, and N. P. Chacoff. 2010. Benefit and cost curves for typical

pollination mutualisms. Ecology 91:1276–1285.

Neuschulz, E. L., T. Mueller, M. Schleuning, and K. Böhning-Gaese. 2016. Pollination and

seed dispersal are the most threatened processes of plant regeneration. Scientific Reports

6:6–11.

Okuyama, T., and J. N. Holland. 2008. Network structural properties mediate the stability

of mutualistic communities. Ecology Letters 11:208–216.

Ollerton, J., R. Winfree, and S. Tarrant. 2011. How many flowering plants are pollinated by

animals? Oikos 120:321–326.

Pierce, N. E., and W. R. Young. 1986. Lycaenid butterflies and ants: Two-species stable

equilibria in mutualistic, commensal, and parasitic Interactions. American Naturalist

128:216–227.

Potts, S. G., J. C. Biesmeijer, C. Kremen, P. Neumann, O. Schweiger, and W. E. Kunin.

2010. Global pollinator declines: Trends, impacts and drivers. Trends in Ecology and

Evolution 25:345–353.

Potts, S. G., V. Imperatriz-Fonseca, H. T. Ngo, M. A. Aizen, J. C. Biesmeijer, T. D.

Breeze, L. v. Dicks, et al. 2016. Safeguarding pollinators and their values to human well-

being. Nature 540:220–229.

Pyke, G. H. 1991. What does it cost a plant to produce floral nectar? Nature 350:58–59.

Revilla, T. A. 2015. Numerical responses in resource-based mutualisms: A time scale

approach. Journal of Theoretical Biology 378:39–46.

Ringel, M. S., H. H. Hu, and G. Anderson. 1996. The stability and persistence of

mutualisms embedded in community interactions. Theoretical Population Biology 50:281–

297.

Schupp, E. W., P. Jordano, and J. M. Gómez. 2017. A general framework for effectiveness

concepts in mutualisms. Ecology Letters 20:577–590.

Soberón, J. M., and C. Martinez del Rio. 1981. The dynamics of a plant-pollinator

interaction. Journal of Theoretical Biology 91:363–378.

Stachowicz, J. J. 2001. The structure of ecological communities. BioScience 51:235–246.

Traveset, A. and Richardson, D. M. 2006. Biological invasions as disruptors of plant

reproductive mutualisms. Trends in Ecology and Evolution 21:208–216.

Traveset, A. and Richardson, D. M. 2014. Mutualistic interactions and biological

invasions. Annual Review of Ecology, Evolution, and Systematics 45:89–113.

Tylianakis, J. M., R. K. Didham, J. Bascompte, and D. A. Wardle. 2008. Global change and

species interactions in terrestrial ecosystems. Ecology Letters 11:1351–1363.

Valdovinos, F. S. 2019. Mutualistic networks: moving closer to a predictive theory.

Ecology Letters 22:1517–1534.

Valdovinos, F.S., Moisset de Espanes, P., Flores, J.D. & Ramos-Jiliberto, R. 2013.

Adaptive foraging allows the maintenance of biodiversity of pollination networks. Oikos

122:907–917.

Valdovinos, F. S., B. J. Brosi, H. M. Briggs, P. Moisset de Espanés, R. Ramos-Jiliberto,

and N. D. Martinez. 2016. Niche partitioning due to adaptive foraging reverses effects of

nestedness and connectance on pollination network stability. Ecology Letters 19:1277–

1286.

Valdovinos, F.S., and Marsland, R. 2021. Niche theory for mutualism: A graphical

approach to plant-pollinator network dynamics. American Naturalist 197:393–404.

van der Heide, T., C. Angelini, J. de Fouw, and J. S. Eklöf. 2020. Facultative mutualisms:

A double-edged sword for foundation species in the face of anthropogenic global change.

Ecology and Evolution 11:29–44.

Vandermeer, J. H., and D. H. Boucher. 1978. Varieties of mutualistic interaction in

population models. Journal of Theoretical Biology 74:549–558.

Vandermeer, J. H., and Goldberg, D. E. 2013. Pages 225-238 *in* Population ecology: First

principles. Princeton University Press, Princeton.

Vázquez, D. P., W. F. Morris, and P. Jordano. 2005. Interaction frequency as a surrogate

for the total effect of animal mutualists on plants. Ecology Letters 8:1088-1094.

Vázquez, D. P., C. J. Melián, N. M. Williams, N. Blüthgen, B. R. Krasnov, and R. Poulin.

2007. Species abundance and asymmetric interaction strength in ecological networks.

Oikos 116:1120–1127.

Wells, H. 1983. Population equilibria and stability in plant-animal pollination systems.

Journal of Theoretical Biology 100:685–699.

Willmer, P. 2011. Pollination and Floral Ecology. Princeton University Press, Princeton.

Wolin, C. L., and L. R. Lawlor. 1984. Models of facultative mutualism: Density effects.

American Naturalist 124:843–862.

Wolin, C.L. 1985. The population dynamics of mutualistic systems. Pages *in* 248–269. D.

H. Boucher, ed. The Biology of Mutualism. Oxford University Press, Oxford.

Wotton, D. M., and D. Kelly. 2011. Frugivore loss limits recruitment of large-seeded trees.

Proceedings of the Royal Society B: Biological Sciences 278:3345–3354.

Wright, D. H. 1989. A simple, stable model of mutualism incorporating handling time.

American Naturalist 134:664–667.

Zhang, Z. 2003. Mutualism or cooperation among competitors promotes coexistence and

competitive ability. Ecological Modelling 164:271–282.

Zhou, Y., C. Newman, J. Chen, Z. Xie, and D. W. Macdonald. 2013. Anomalous, extreme

weather disrupts obligate seed dispersal mutualism: Snow in a subtropical forest ecosystem.

Global Change Biology 19:2867–2877.

Figure 1. Phase plane diagrams for plant-pollinator mutualisms. Nullclines are curves

of zero growth for plant (green) or animal pollinator (black) populations. Equilibria (dots)

occur at the intersection of plant and animal nullclines. Filled black equilibria are stable

attractors, filled red equilibria are unstable repellers, and hollow equilibria are saddle

points, which repel in one dimension and attract in the other. Arrows show the directions of

population change for plants (x-axis) and animals (y-axis), with lighter colors indicating a

faster rate of change. Dynamics depend upon the parameterization of the model and

whether plants and animals are obligate or facultative mutualists (rows). When plants are

obligate (**A, B**), they experience Allee effects at low density (dark red shaded region).

Plants cannot attract sufficient pollinator visitation to support their own population growth,

leading to collapse. When either plants or animals are obligate, both partners experience

threshold effects (light red shaded region). Below the threshold marked by the separatrix

(dashed line), one population's density is too low to support its partner's growth, resulting

in system collapse to extinction (**A**), animal-only persistence at $K_A = (b_A - d_A)/s_A$ (**B**), or

plant-only persistence at $K_P = (b_P f g - d_P)/s_P$ (**D**). Thus, depending upon the initial

densities of both partners, the mutualism may persist stably or collapse. When one partner

is facultative, stable coexistence may be the only outcome (**C, E, G**). However, in a small

parameter space, bistable coexistence may occur (**D, F**). Here, the separatrix divides the

region in which the system will be attracted to the low- or high-density stable coexistence

equilibrium, depending upon whether initial densities are below or above the separatrix,

respectively. Parameter values are fixed to the following: $b_P = 1, f = 1, \varphi = 0.5, g =$

$1, s_P = 0.15, a = 0.8, h = 1, b_A = 1, \varepsilon = 2, s_A = 0.15$, except for: (**A**) $s_P = 0.05, d_P =$

$0.75, d_A = 1.5$; (**B**) $b_P = 1.2, d_P = 0.76, a = 0.31, d_A = 0.7$; (**C**) $s_P = 0.14, d_P = 0.4, a =$

$0.9, s_A = 0.115, d_A = 2.1$; (**D**) $b_P = 1.5, s_P 0.61, d_P = 0.67, a = 2, h = 0.01, \varepsilon = 1, s_A =$

$2, d_A = 1.1$; (**E**) $d_P = 0.2, d_A = 1.5$; (**F**) $b_P = 1.5, s_P = 0.6, d_P = 0.7, a = 1.95, h =$

$0.01, \varepsilon = 1, s_A = 2, d_A = 0.9$; (**G**) $d_P = 0.2, \varepsilon = 1, d_A = 0.7$.

Facultative Plants / Obligate Animals

Facultative Plants / Facultative Animals

- ● Stable
- ○ Saddle
- ● Unstable
- Plant Nullcline
- Animal Nullcline
- Separatrix

Figure 2. Phase plane diagrams for plant seed-disperser mutualisms, where animals reduce negative density-dependence in plants. Nullclines are curves of zero growth for plant (green) and animal seed-disperser (black) populations. Here, plants must be able to persist at low density ($K_P > 0$) to benefit from reductions in negative density-dependence; therefore, we consider only facultative plant populations. All other formatting and terminology follow Fig. 1. When animals are obligate mutualists (**A**), both partners may experience threshold effects. Otherwise, both partners experience growth from low density (**B, C**), resulting in a single stable coexistence equilibrium. Parameter values are fixed to the following $b_P = 1, f = 1, g = 1, s_P = 0.2, \sigma = 0.2, d_P = 0.3, a = 1, h = 1, b_A = 1, s_A = 0.15$, except for: (**A**) $d_P = 0.85, a = 2, h = 0.5, b_A = 1, \varepsilon = 0.93, s_A = 0.08, d_A = 2$; (**B**) $\varepsilon = 1.5, d_A = 1.5$; (**C**) $\varepsilon = 1, d_A = 0.5$.

Figure 3. Phase plane diagrams for plant seed-disperser mutualisms, where animals

increase seed germination in plants. Formatting and terminology follow Fig. 1. When

either partner is an obligate mutualist, both partners may experience threshold effects (**A, B,**

D). When either partner is a facultative mutualist, the system may instead exhibit a single

coexistence equilibrium with growth from low density for both partners (**C, E, F**).

Parameter values are fixed to the following $b_P = 1, f = 1, g = 0.5, \gamma = 0.5, s_P =$

$0.05, d_P = 0.7, a = 0.85, h = 1, b_A = 1, \varepsilon = 1, s_A = 0.2, d_A = 1.5$, except for: (**A**) $\varepsilon =$

$2, s_A = 0.15$; (**B**) $s_A = 0.7, d_A = 0.9$; (**C**) $d_A = 0.6$; (**D**) $d_P = 0.48, a = 1$; (**E**) $d_P =$

$0.4, s_A = 0.15$; (**F**) $a = 1, d_A = 0.6$.

Figure 4. Bifurcation diagrams: animal foraging efficiency (*a*) mediates the dynamics of pollination and seed dispersal

mutualisms. Green (top) and black (bottom) curves show plant and animal equilibrium density, respectively, across a range of animal

foraging efficiencies or attack rate on plants' rewards (*a*, x-axis). This parameter mediates both animal benefits from plants (foraging

on rewards) and plant benefits from animals (foraging rate determines the rate at which plant gametes are transported). When multiple

curves occur at the same a value, multiple equilibria are possible. Assuming feasible coexistence: (**A**) Pollination mutualisms with

facultative plants: At low a, stable coexistence occurs at low density for both partners, whereas at high a, stable coexistence occurs at

high density. At intermediate a, coexistence occurs at either low or high density (bistable coexistence) depending on the initial

densities of the mutualists (whether densities are below or above the separatrix in Fig. 1D, 1F). (**B**) Seed dispersal with obligate animal

mutualists that reduce plant negative density-dependence: At low a, stable coexistence occurs if populations are initially at high

density. Below a threshold in initial density (separatrix in Fig. 2A), the mutualism collapses and animals go extinct. As a increases,

this threshold becomes lower and lower until populations beginning at any positive density exceed it (when $a \geq -\frac{r_A}{K_P(\varepsilon + hr_A)}$), ensuring

stable coexistence. Pollination mutualisms and seed dispersal mutualisms that increase germination also exhibit these dynamics. (**C**)

Seed dispersal mutualisms that increase germination of obligate plants: The transition from threshold effects to stable coexistence

occurs when $a \geq -\frac{r_P}{K_A r_{P_{max}}}$. Saddle point equilibria are dashed lines, while stable equilibria are solid. In all cases, extinction of both

partners and single-species persistence of facultative partners (at K_i) are possible equilibria. Coexistence is infeasible at very low a,

permitting only single-species persistence or extinction. Note that the inverse of animal attack rate on plants' rewards $(1/a)$ can be

interpreted as animals' search time for plant rewards, where higher search time could be caused by limitations of the animals' sensory

ability for identifying available food on the landscape. Attack rate can also be interpreted as animals' preference, where lower

preference could result from lower quality of the provided rewards or risk associated with accessing them. Parameters are fixed to: **(A)**

$b_P = 1.5, f = 0.5, \varphi = 0.5, g = 1, s_P = 0.61, d_P = 0.67, h = 0.01, b_A = 1, \varepsilon = 1, s_A = 0.2, d_A = 1.1;$ **(B)** $b_P = 1, f = 1, g = 1, s_P =$

$0.2, \sigma = 0.2, d_P = 0.85, h = 0.5, b_A = 1, \varepsilon = 0.93, s_A = 0.08, d_A = 2;$ **(C)** $b_P = 1, f = 1, g = 0.5, \gamma = 0.5, s_P = 0.05, d_P = 0.7, h =$

$1, b_A = 1, \varepsilon = 1, s_A = 0.2, d_A = 0.9,$ while a is varied.

Animal foraging efficiency (a)	Both obligate ($r_i \leq 0$)	One obligate, one facultative*	Both facultative ($r_i > 0$)
Stressful environment (low K_i)			
Very low	Infeasible		Stable coexistence
Low	Threshold effects	Threshold effects	
			(Pollination $r_A \approx 0$, low $K_P > 0$: Bistable)
High	(Pollination: Allee effects)		
Very high		Stable coexistence	
Benign environment (high K_i)			
Very low	Infeasible		Stable coexistence
Low	Threshold effects	Stable coexistence	
High	(Pollination: Allee effects)		
Very high			

Figure 5. Summary diagram of our results: pollination and seed dispersal dynamics under different ecological scenarios.

Critical transitions between different dynamics are strongly controlled by both partners' obligacy (governed by per-capita growth rate, r_i), the level of environmental hostility (governed by carrying capacity, $K_i = r_i/s_i$), and animals' foraging efficiency on rewards (or attack rate, a). Species may be facultative mutualists ($r_i > 0$) or obligate upon their partners for persistence ($r_i \leq 0$). In hostile environments, facultative and obligate mutualists are assumed to exhibit low and very negative "carrying capacities," respectively. In benign environments, facultative or obligate species have high or barely negative carrying capacities, respectively. At very low animal foraging efficiency, coexistence is infeasible if at least one species is obligate. When both species are facultative, stable coexistence always occurs when feasible, regardless of obligacy or environmental hostility. When both species are obligate, threshold effects (and

Allee effects for pollination mutualisms) occur when coexistence is feasible. In seed dispersal mutualisms: i) threshold effects occur in

hostile environments when at least one species is obligate, unless attack rate is very high in which case stable coexistence may occur,

and ii) stable coexistence always occurs in benign environments when at least one partner is facultative. The asterisk indicates that in

pollination mutualisms, i) and ii) occur specifically when plants are the facultative partner and animals are obligate. Otherwise,

threshold effects and Allee effects occur when animal-pollinated plants are obligate, regardless of animals' obligacy and the

environmental condition. Pollination mutualisms uniquely exhibit bistability when plants are facultative and r_A is close to zero,

regardless of animal obligacy.

Table 1. Table of parameters.

Parameter	Interpretation	Units
a	Per-plant attack rate on rewards	$[P^{-1} t^{-1}]$
b_i	Per-capita birth or seed production rate	$[t^{-1}]$
ε	Efficiency of converting food rewards to animal offspring	*Unitless*
d_i	Per-capita death rate	$[t^{-1}]$
f	Fraction of flowers pollinated due to selfing, wind-pollination, etc., $0 < f \leq 1$	*Unitless*
φ	Fraction of flowers pollinated due to animal-pollination, $0 < \varphi \leq 1 - f$	*Unitless*
g	Fraction of seeds that germinate in the absence of animal dispersal, $0 < g \leq 1$	*Unitless*
γ	Fraction of seeds that germinate due to animal dispersal (improved seed condition), $0 < \gamma \leq 1 - g$	*Unitless*
h	Handling time on rewards	$[t]$
s_i	Negative density-dependence due to, e.g., self-limitation during recruitment, Janzen-Connell effect	s_P: $[P^{-2}]$ or s_A: $[A^{-2} t^{-1}]$
σ	Reduced negative density-dependence due to animal dispersal (movement to safe sites), $0 < \sigma \leq s_P$	$[P^{-2}]$

768 All parameters are assumed to be positive (> 0). The units A, P, and t refer to animal density,
769 plant density, and time, respectively. Subscript i is used to specify the parameter for the plant (P)
770 or animal (A) population. We use Greek letters (ε, φ, γ, σ) to indicate conversion coefficients for
771 benefits due to mutualism, while Roman letters (a, b, d, f, g, h, s) indicate plant and animal
772 demographic and consumption parameters.

Appendix A: Mathematical Analysis

In all our models (Eqns. 3, 6, 7), plant and animal populations have "trivial" nullclines:

$\overline{P} = 0$, a vertical line along the y-axis, and $\overline{A} = 0$, a horizontal line along the x-axis. The

intersection of these trivial nullclines results in a trivial extinction equilibrium ($\overline{P} = 0$, $\overline{A} = 0$) in

each model. Below, we describe the geometry of the nontrival nullclines (hereafter, simply

"nullclines") in the ecologically relevant region of the plane, when $P \geq 0, A \geq 0$ (hereafter,

simply "the positive quadrant"). All our models are structurally unstable (Rohr *et al.* 2014), such

that smooth transitions in parameter values shift the nullclines so that they may intersect in

various ways or even fail to intersect in the positive quadrant, with different dynamical outcomes

for each case (Fig. 4). Below, we also describe how the nullcline geometries are dependent on

obligacy to mutualism, which leads to structural instability.

The animal population follows the same dynamics (Eqn. 1) and thus has the same

nontrivial nullcline in all our models (Figs. 1-3, black):

788
$$\overline{A} = \frac{r_A}{s_A} + \frac{\varepsilon}{s_A} \frac{aP}{(1+ahP)}$$
Eqn. A1

where $r_A = b_A - d_A$. This equation describes the balance between negative density-dependence,

benefit from mutualism, and intrinsic growth or decay for facultative ($r_A > 0$) or obligate ($r_A \leq$

0) animal populations, respectively. When $A > \overline{A}$, negative density-dependence is stronger than

benefit from mutualism and/or intrinsic growth, causing A to decrease. When $A < \overline{A}$, negative

density-dependence is weaker and A increases.

Specifically, Eqn. A1 is a concave down, increasing function that saturates with respect

to plant density, P. The benefit from mutualism can be isolated as $\frac{\varepsilon}{s_A} \frac{aP}{(1+ahP)}$; it is the density

above carrying capacity ($K_A = r_A/s_A$) that the animal population achieves by foraging on plant

rewards. Benefits to the animal population saturate with increasing P due to time constraints

from handling food rewards (h). This sets an upper bound (horizontal asymptote) on animal

density at $\bar{A} = K_A + \frac{\varepsilon}{s_A h}$. When facultative, the animal nullcline intersects the y-axis in the

positive quadrant at animal carrying capacity $\bar{A}_{0,y} = K_A$. All else being equal, decreasing r_A

pushes the visible part of the animal nullcline down so that when the animal population becomes

obligate, its nullcline intersects the y-axis at zero or negative values (not shown). Instead, for

obligate animals the x-intercept becomes visible in the positive quadrant at

804
$$\bar{A}_{x,0} = \frac{-r_A}{a(\varepsilon + h r_A)}. \qquad\qquad \text{Eqn. A2}$$

805   Plant dynamics are specific to each mutualism. The equation for the nullcline of animal-

806   pollinated plants (Fig. 1, green) is:

807
$$A = \frac{(r_P - s_P \bar{P})(1 + ah\bar{P})}{a\bar{P}(s_P \bar{P} - r_{P_{max}})} \qquad\qquad \text{Eqn. A3}$$

where $r_P = b_P f g - d_P$ is the intrinsic growth rate of the plant population, P, and $r_{P_{max}} =$

$r_P + b_P \varphi g$ is its maximum per-capita growth rate in the presence of pollinators. For feasible

coexistence, $r_{P_{max}} > 0$. The plant nullcline is bounded between vertical asymptotes at $P = 0$ and

$P = r_{P_{max}}/s_P$, where $P = r_{P_{max}}/s_P$ represents the plant's maximum population density. When

plants are obligate ($r_P \leq 0$, Fig. 1A-B), the nullcline is a U-shape. All else being equal,

increasing r_P pushes the minimum point of the U down towards the x-axis, so that when the plant

population becomes facultative, it intersects, flipping into a cubic shape. Therefore, when plants

are facultative ($r_P > 0$, Fig. 1C-G), the nullcline is concave up at high density but concave down

at low density, though this inflection may not be visible in the positive quadrant. The x-intercept

is the plant carrying capacity $\bar{P}_{x,0} = \frac{r_P}{s_P} = K_P > 0$. Inside the U or to the left of the plant

nullcline, plant density increases; to the right or under, plant density decreases due to strong

negative density-dependence.

The equation for the nullcline of animal-dispersed plants that benefit through reduced

negative density-dependence (Fig. 2, green) is:

822
$$A = \frac{(r_P - s_P \bar{P})(1 + ah\bar{P})}{a((s_P - \sigma)\bar{P} - r_P)}. \qquad \text{Eqn. A4}$$

In the positive quadrant, the nullcline is increasing, concave up and saturates to a maximum plant

density of $P = r_P/(s_P - \sigma)$ when $s_P > \sigma$. This maximum disappears to infinity when $s_P = \sigma$,

that is, when dispersers can completely remove sources of negative density-dependence. Thus,

based on the nullcline alone, benefits to plants would increase indefinitely with increasing animal

density. However, animal visitation rate saturates due to handling time (encoded in the Holling

type II functional response), bounding the benefits to plants by intersecting the plant nullcline at

high density. Because we consider only facultative plants for this mutualism, the nullcline

always has an x-intercept at plant carrying capacity $\bar{P}_{x,0} = K_P > 0$ but no y-intercept in the

positive quadrant.

The equation for the nullcline of animal-dispersed plants that benefit through germination

(Fig. 3, green) is:

834
$$A = \frac{(r_P - s_P \bar{P})(1 + ah\bar{P})}{a(s_P \bar{P} - r_{P_{max}})} \qquad \text{Eqn. A5}$$

where $r_{P_{max}} = r_P + b_P f\gamma$ is the maximum per-capita growth rate of the plant population in the

presence of dispersers. For feasible coexistence, $r_{P_{max}} > 0$. In the positive quadrant, the nullcline

is an increasing, concave up curve, bounded by a vertical asymptote at $\boldsymbol{P} = r_{P_{max}}/s_P$, the

maximum plant density in the presence of mutualism. The nullcline intersects the x-axis at plant

carrying capacity $\overline{\boldsymbol{P}}_{x,0} = \frac{r_P}{s_P} = K_P$ which is visible in the positive quadrant when plants are

facultative ($r_P > 0$). It intersects the y-axis at

841
$$\overline{\boldsymbol{P}}_{0,y} = \frac{-r_P}{ar_{P_{max}}} \qquad \text{Eqn. A6}$$

842   which is visible in positive quadrant when plants are obligate ($r_P \leq 0$).

Note on Functional Forms

Because reproductive services provided by animals are a function of their consumption

rate on plant rewards (C_R), only one functional form per model needs to be specified. Pollination

services (S_P) are assumed to be equal to animal's total consumption rate on plants, while seed

dispersal services (S_D) are assumed to be equal to the per-plant consumption rate. Reproductive

services are assumed to saturate according to $S/(\kappa + S)$, where κ is saturation coefficient for

benefit from animal visitation rate with units $[A\ t^{-1}]$ or $[A\ P^{-1}\ t^{-1}]$ for pollination or seed

dispersal mutualisms, respectively. For convenience, we set $\kappa = 1$ in the main text.

References

852   Rohr, R.P., Saavedra, S. & Bascompte, J. (2014). On the structural stability of mutualistic

853   systems. Science, 25, 416–425.