1	Neutrophils impose strong selective pressure against PfEMP1 variants implicated in
2	cerebral malaria
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25 Abstract

26 Plasmodium falciparum, the deadliest form of human malaria, remains one of the 27 major threats to human health in endemic regions. Its virulence is attributed to its ability to 28 modify infected red blood cells (iRBC) to adhere to endothelial receptors by placing 29 variable antigens known as PfEMP1 on the surface of the red cell. PfEMP1 expression on 30 the red cell surface determines the cytoadhesive properties of the iRBCs and is implicated 31 in severe manifestations of malaria. To evade antibody mediated responses the parasite 32 undergoes continuous switches of expression between different PfEMP1 variants. Recently 33 it became clear that in addition to antibody mediated responses, PfEMP1 triggers an innate 34 immune response, however, the role of neutrophils, the most abundant white blood cells in 35 the human circulation, in malaria remains elusive. Here we show that neutrophils recognize 36 and kill blood stages of several P. falciparum isolates, and we identify neutrophil ICAM-37 1 and specific PfEMP1s implicated in cerebral malaria as the key molecules involved in 38 this killing. Our data provide mechanistic insight into the interactions between neutrophils 39 and iRBCs and demonstrate the important influence of PfEMP1 on the selective innate 40 response to cerebral malaria.

41

42 Keywords

43 Malaria, Plasmodium falciparum, Neutrophils, PfEMP1, ICAM1, cerebral malaria

44 Significance Statement

45	The role played by neutrophils in malaria infection is only poorly understood. In, this
46	study we show that neutrophils recognize and kill red blood cells infected by <i>P. falciparum</i> ,
47	the parasite causing the most virulent form of malaria. Our findings demonstrate that
48	neutrophils may act as the first line of defense against severe disease, by recognizing
49	specific PfEMP1 molecules on RBCs via their ICAM-1. This ligand-receptor interaction
50	leads to efficient killing of RBCs infected by falciparum-parasites associated with cerebral
51	malaria (CM).
52	
53	Conflict of interest

- 54 The Authors confirm that they have no conflict of interests
- 55

57 Introduction

Plasmodium falciparum is the protozoan parasite responsible for the deadliest form of human malaria, which remains one of the major infectious diseases influencing mankind. This parasite infects hundreds of millions of people worldwide, resulting in approximately half a million deaths per year, primarily of young children (1). *P. falciparum* replicates within circulating red blood cells of infected individuals, and its virulence is attributed to immune evasion through its ability to modify the erythrocyte surface.

64 *Plasmodium*, like other protozoan and bacterial pathogens, has the ability to vary 65 infected host cell surface protein expression, and as a result, alter the profile of antigens 66 that are exposed to the host immune system. The process of antigenic variation involves 67 the variable expression of genes that encode immuno-dominant surface antigens. These 68 surface antigens frequently play a role in the virulence of the disease, thus linking antigenic 69 variation to pathogenicity (2). Immune evasion of *P. falciparum* is achieved in two known 70 ways: 1. modified infected erythrocytes adhere to different endothelial receptors found on 71 blood vessel walls, thus avoiding the peripheral circulation and removal by the spleen; 2. 72 they undergo antigenic variation to prevent host immune recognition of surface antigens. 73 The major antigenic ligands responsible for adherence are members of the *P. falciparum* 74 Erythrocyte Membrane Protein-1 (PfEMP1) family (3), antigenically variable proteins that 75 are placed on the surface of infected red blood cells (iRBC) and bind to different host 76 vascular adhesion molecules such as CD36, ICAM-1, CSA and EPCR (4-7). Sequestration 77 of iRBCs in different organs contributes to life threatening manifestations of the disease 78 such as cerebral and pregnancy-associated malaria (8). Therefore, PfEMP1 is considered 79 the main virulence factor of malaria caused by *P. falciparum* (9). The presence of PfEMP1

80 on the red cell surface stimulates the antibody response of the host, often successfully 81 clearing the majority of iRBCs from the circulation. However, small sub-populations of 82 parasites switch expression to an alternative PfEMP1 on the surface of iRBCs, thus 83 avoiding the antibody response and re-establishing the infection (10). This process is 84 referred to as antigenic variation and is responsible for the persistent nature of the disease 85 as well as the waves of parasitemia frequently observed in *P. falciparum* infections (11).

86 Over the past decades, significant efforts were invested in understanding immune 87 responses in the context of malaria. In this regard, there have been major advances in our 88 understanding of adaptive immune responses to malaria whereas the role of innate 89 immunity received much less attention. Still, components of innate immunity, including 90 NK cells, macrophages and monocytes were shown to play a role in protecting the host 91 against malaria infection (12, 13). It is somewhat surprising that the role of neutrophils, 92 which are the most abundant of all white blood cells in the human circulation and represent 93 the first line of defense against microbial infections, is understudied in the context of 94 malaria. Neutrophils are phagocytic cells equipped with a wide range of receptors and a 95 variety of antimicrobial weapons. On top of eliminating microbes via phagocytosis, they 96 can also de-granulate and deploy neutrophil extracellular traps (NETs) (14, 15). These 97 features make neutrophils highly potent scavengers for a variety of pathogens, which 98 suggests that they may play a role in the immune response against malaria infections. 99 Indeed, several studies have shown that $TNF\alpha$ stimulated neutrophils have the capacity to 100 phagocytose parasites in vitro (16). In addition, hemozoin containing neutrophils have been 101 previously reported in clinical isolates (17) and neutrophils were claimed to have the

102 capacity to limit the progression of malaria infection (18-20). However, the mechanism by 103 which neutrophils recognize and kill intra erythtrocytic blood stage parasites is unknown. 104 Neutrophils were shown to be able to identify parasite derived alterations on the RBC 105 membrane. For example, neutrophils recognize RBCs alterations caused by trypanosome-106 secreted microvesicles and eliminate these RBCs from the circulation (21). Given the 107 extensive variable modifications induced by P. falciparum parasites on the surface of the 108 iRBC and its association with different adhesion phenotypes that determine some of the 109 most severe manifestations of malaria (9), we were interested to study the possible 110 molecular interaction between neutrophils and iRBCs.

111 Here we demonstrate that neutrophils form physical contact with iRBCs and kill intra-112 erythrocytic stages of malaria parasites. We further show that the interaction between 113 neutrophils and iRBCs is mediated by PfEMP1 on the iRBC surface and neutrophil 114 expressed ICAM-1. In addition, we demonstrate that neutrophils impose strong selective 115 pressure on parasite subpopulations expressing PfEMP1 variants, which were implicated 116 in cerebral malaria. Taken together, these data provide novel molecular insights into the 117 mechanisms by which neutrophils contribute to the innate immune response during malaria 118 infection as a selective factor that may influence antigenic expression and protect against 119 severe cerebral manifestations.

120 Materials and Methods

121 Parasites and Cell Cultures

- 122 All parasites used were derivatives of the NF54, R7Dd2, DC-J and a field isolate from
- 123 Sierra Leone (SL). Parasite lines were cultivated at 5% (v/v) hematocrit in RPMI medium
- 124 1640, 0.5% (v/v) Albumax II (Invitrogen), 0.25% sodium bicarbonate and 0.1 mg/mL
- 125 gentamicin. Parasites were incubated at 37°C in an atmosphere of 5% (v/v) oxygen, 5%
- 126 (v/v) carbon dioxide, and 90% (v/v) nitrogen. NF54 parasite line expressing the PFD1235w
- 127 *var* gene was selected using antibodies against DBLβ_D4 domains of specific ICAM-1
- 128 binding PfEMP1s as described (4).

129 The human myeloid leukemia cell line PLB-985 (a generous gift from Dr. Borko 130 Amulic) was cultured in RPMI-1640 medium supplemented with 10% FCS, 2 mM L-131 glutamine, 100 units/ml penicillin and 100 µg/ml streptomycin at 37°C in a humidified 132 atmosphere of 5% CO_2 in air. Cell cultures were passaged 2-3 times a week to maintain a cell density of 2×10⁵-10⁶ cells/ml. ICAM-1kd PLB985 cells were generated by lentiviral 133 134 transduction with ICAM-1 specific shRNAs from Sigma (TRCN0000372478). EPCRkd 135 PLB985 cells were generated by lentiviral transduction with EPCR specific shRNAs from 136 Sigma (TRCN0000300553). Control cells were transduced an empty vector (pLKO). For 137 granulocytic differentiation, exponentially growing PLB-985 cells at a starting density of 2×10^{5} /ml were cultured in RPMI-1640 medium supplemented with 0.5% DMF and 0.5% 138 139 FCS for 6 days. The medium was changed once on day 3 during the differentiation period. 140

141 Parasite Transfections and Selections

142 For neutrophil-iRBC interaction assays the DC-J and NF54 parasite lines (22) were 143 transfected with pH_{eff}TIDH plasmids that constitutively express GFP fused to an unrelated 144 exogenous protein (tet repressor). This construct was made by replacing the *luciferase* 145 sequence in pHLIRH expression vector (23) with the *tet-gfp* fusion using *HindIII* and 146 BamHI. Parasites were transfected as previously described (24, 25). For luciferase killing 147 assays, the DC-J parasite line was transfected with phLI1055Dh plasmid to constitutively 148 express luciferase (26). Stable transfectants carrying plasmids with hDHFR-selectable 149 marker were selected with 4 nM WR99210. Selection for PfEMP1-null expression in the 150 transgenic line DC-J was done using 2 µg/ml blasticidin.

151

152 Neutrophil Purification

153 Neutrophils were isolated as previously described (27). In brief, heparinized blood (20) 154 U/ml) collected from healthy donors was mixed with an equal volume of Dextran 500 (3%155 in saline) and incubated for 30 minutes at room temperature. The leukocyte-rich 156 supernatant was layered on top of Histopaque 1077 (Sigma) and centrifuged at $400 \times g$ for 157 30 minutes. Neutrophils were collected in the pellet fraction and were resuspended in 20 158 ml 0.2% NaCl for 30 seconds to remove contaminating erythrocytes. Isotonicity was 159 restored by the addition of 20 ml 1.6% NaCl. Neutrophils were then washed three times in 160 PBS. Neutrophil purity and viability were determined visually and were consistently 161 >98%. All blood donors provided written informed consent in accordance with the 162 Declaration of Helsinki. The medical ethics committee of the Hadassah-Hebrew University Medical Center approved the used protocol. 163

164

165 Late-staged iRBCs Isolation

Parasite cultures were synchronized using Percoll/sorbitol gradient centrifugation as previously described (22). Briefly, iRBCs were layered on a step gradient of 40%/70%(v/v) Percoll containing 6% (w/v) sorbitol. The gradients were then centrifuged at 12,000 × g for 20 min at room temperature. Tightly synchronized, late-stage parasites were recovered from the 40%/70% interphase, washed twice with complete culture media and counted.

172

173 Parasite staining for flow cytometry interaction assays

174 MitoTracker Red CMXRos (ThermoFisher M7512) dye was dissolved in DMSO at a 175 concentration of 1 mM and stored at -20 °C until use. A 5 μ M working solution was 176 prepared with culture media prior to staining tightly synchronized late stages iRBCs. 177 Approximately, 10⁶ iRBC were resuspended in 100 μ l of 5 μ M CMXRos and incubated at 178 37°C for 30 min. iRBCs were washed twice with growth media to remove unbound dye.

179 Neutrophil-iRBC interaction assay and opsonization

180 Primary neutrophils or differentiated PLB985 cells were incubated with fluorescent late-181 staged iRBC either expressing GFP or stained using MitoTracker as described, at a 10:1 182 ratio at 37°C for different time periods. Samples were washed, and the extent of 183 neutrophils-iRBC interaction (% fluorescent neutrophils) was determined using flow 184 cytometry. Opsonization of iRBCs was performed by culturing iRBCs with AB human 185 serum (Sigma) for 30 minutes at 37°C. To assess ligands-receptor specificity to this 186 interaction we performed these assays using anti-Cd11b antibody (Biolegend Cat # 187 101211, 10 µl/ml) and a non-PfEMP1-blocking anti-ICAM-1 antibody (Biolegend Cat #

188 322702, 10 μ l/ml) as negative controls. An anti-ICAM-1 monoclonal antibody (15.2) that 189 blocks the PfEMP1 binding site (Thermofisher, MA180910, 10 μ l/ml) was used as 190 blocking antibody as described (28). All antibodies were incubated with iRBCs for 30 191 minutes at room temperature in culture media prior to flow cytometry interaction assays.

192

193 Immunofluorescent staining

194 Immunofluorescent staining was performed as described before (29) with few 195 modifications. Briefly, following the co-culture of neutrophils and iRBC, samples were 196 washed and stained with mouse anti-CD66b (BioLegend Cat # 305112, 1:200). Samples 197 were then washed, cyto-centrifuged and fixed using a fresh fixative solution (4% 198 paraformaldehyde (EMS) and 0.0075% glutaraldehyde (EMS) in PBS). Fixed samples 199 were treated with 0.1% Triton-X100 (Sigma) in PBS and blocked using CAS-Block (Life 200 Technologies Cat # 008120). Cells were then incubated with a rabbit anti-GFP (Invitrogen 201 Cat # A11122, 1:250), washed and incubated with Alexa Fluor 568 goat anti-Mouse 202 (Abcam Cat # ab175473, 1:500) and Alexa Fluor 488 goat anti-rabbit (Molecular Probes 203 Cat #A11034, 1:250) secondary antibodies. Polyclonal IgG antibodies against the ICAM-204 1 binding domain (DBL B D4) of the PFD1235w PfEMP1 were used for surface labeling 205 as described (48). Samples were washed and mounted in Fluoroshield mounting medium 206 with DAPI (abcam), covered with cover slips and imaged. Fluorescent images were 207 obtained using a Plan Apo λ 100× oil NA = 1.5, WD = 130 µm lens on a Nikon Eclipse Ti-208 E microscope equipped with a CoolSNAP Myo CCD camera. Images were processed using 209 the NIS-Elements AR (4.40 version) software.

210

211 Growth inhibition of parasite co-cultured with neutrophils

Parasite cultures were synchronized as described above and late stages were counted by flow cytometry. Approximately 10^6 parasites were cultured in 100 µl uninfected RBCs, resulting in a parasitemia of ~1%. Human neutrophils were isolated as described above and 10^6 cells were added to the culture every 24 hours for 5 consecutive days. Parasitemia was evaluated every 24 hours by flow cytometry. For each experiment neutrophils from the same donor were used for the 5 consecutive days. Growth inhibition assays were repeated at least three times.

219

220 Luciferase-based killing assay

221 Luciferase expressing parasite cultures were synchronized and late staged parasites were 222 put back into culture as described. After 20 hours uninfected RBCs were lysed using 223 Streptolysin O (Sigma) activated with 100 mM DTT. Isolated rings were washed three 224 times and returned to the culture without uninfected RBCs. After 20 hours isolated iRBCs 225 were collected and plated in 100 μ l RPMI-1640 with 2% FCS in 96-wells (1×10⁶/well) and 10⁶ purified neutrophils were added in a 100 µl volume. Following 6 hours incubation, 226 227 samples were lysed using saponin, centrifuged and the supernatant was discarded. The 228 pellet was then lysed using 50 µl Bright-GLO (Promega E2620) lysis buffer. Luciferase 229 activity was measured following addition of 50 µl Bright-GLO luciferase substrate, using 230 Tecan F200 microplate luminescence reader. Extent of killing was determined by the ratio 231 between parasites alone and parasites co-cultured with neutrophils. Killing assays were 232 repeated at least three times.

233

234 Evaluation of culture parasitemia

The level of parasitemia was evaluated by flow cytometry. 50 µl samples taken from the parasite cultures were washed in PBS and incubated 30 min. with 1:10,000 SYBR Green I DNA stain (Life Technologies). Since neutrophils have DNA as well, distinguishing neutrophils was done by adding anti-CD11b-APC antibody (Biolegend 301309) 1:400 in parallel to the SYBR Green staining. APC⁺ cells were excluded from the analysis. The fluorescence profiles of infected erythrocytes were measured on CytoFLEX (Beckman Coulter) and analyzed by the CytExpert software.

242

243 RNA extraction and cDNA synthesis

RNA was extracted from synchronized parasite cultures at 20–24 h after percoll/sorbitol
gradient centrifugation. RNA was extracted with the TRIZOL LS Reagent® as described
(30) and purified on PureLink column (Invitrogen) according to manufacturer's protocol.
Isolated RNA was then treated with DNase I (TaKaRa) to degrade contaminating gDNA.
cDNA synthesis was performed from 500 ng total RNA with PrimeScript[™] RT Reagent
Kit (TaKaRa) as described by the manufacturer.

250

251 *Real-time RT-qPCR*

Steady state mRNA levels of the entire *var* gene family was measured by RT-qPCR reactions using a primer set designated to detect transcripts of all *var* gene in the NF54 genome (31) with few modifications (32). Transcript copy numbers were determined using the formula $2^{-\Delta\Delta CT}$ as described in the Applied Biosystems User Bulletin 2 using NF54 gDNA as the calibrator. Specifically, relative copy number was calculated as 2 exponential

257 negative ((Ct target gene in cDNA – Ct reference gene in cDNA)-(Ct target gene in gDNA
258 – Ct target gene in gDNA)).

259

260 Soluble protein preparation

261 For soluble receptor expression, 4T1 cells were infected with viral particles prepared 262 from tet-inducible pLV TRE RFP vector (kindly provided by Prof. Eli Keshet, The 263 Hebrew University of Jerusalem) expressing the respective genes, and mRFP-positive cells 264 were sorted using BD FACSARIA III cell sorter. Soluble receptor expression was induced 265 by adding 1 μ g/ml doxycycline (Sigma) to the cells the day before the assay. sICAM-1-Fc 266 was prepared by amplifying the extracellular ICAM-1 domain from neutrophil cDNA using 267 Phusion Flash High-Fidelity PCR master mix. The PCR fragment was inserted into the 268 pLV TRE mRFP vector. The mutant Fc fragment of human IgG1 that do not bind Fc 269 receptors, and as such will not trigger antibody-dependent cell-mediated cytotoxicity 270 (33), was prepared by amplifying the Fc fragment of the CSI-Ig (Fc mut)-IRES-puro 271 plasmid kindly provided by Prof. Ofer Mandelboim (The Hebrew University of Jerusalem) 272 using Phusion Flash High-Fidelity PCR master mix. The mutant Fc fragment was inserted 273 into the pLV TRE mRFP vector.

274

275 Lentiviral Infection

276 2.5×10^6 293T cells seeded the day before in 10 ml DMEM+10% heat-inactivated FCS were 277 transfected with 20 µg of the respective lentiviral vectors, 15 µg of pCMV- Δ R8.91 gag-pol 278 and 5 µg VSV-G (pMD2.G) using the calcium phosphate DNA precipitation method. For 279 MigR1-luc retroviral vector pCL-Eco was used as gag-pol instead of Δ R8.91. On the

280	following day, the medium was changed and viral supernatant was collected after 24-48 h
281	and 0.45 μm filtrated. 4T1 cells were incubated in the filtrated viral supernatant in the
282	presence of 8 μ g/ml polybrene (Sigma) for 24 h. After 5–7 days, mRFP ⁺ cells were sorted
283	using BD FACSARIA III cell sorter. Pooled sorted cells were used for the experiments.
284	
285	Statistical Analysis
286	For experiments comparing differences between two groups, we used paired Student's
287	t tests. Differences were considered significant when $P < 0.05$. Data are presented as mean
288	\pm SEM.
289	
290	Human Data:
291	Informed consent was obtained from all subjects and that the experiments conformed to
292	the principles set out in the WMA Declaration of Helsinki for research number 0091-17-
293	НМО

294 **Results**

295 Neutrophils interact with *P. falciparum* iRBCs and kill blood stage parasites

296 To determine whether naive neutrophils spontaneously recognize and eliminate 297 iRBCs, we first examined the interaction between neutrophils and iRBCs. Neutrophils were 298 isolated from healthy donors and co-cultured with iRBCs containing late-stage NF54 299 parasites constitutively expressing GFP (GFP⁺-NF54). Using bright field and fluorescent 300 microscopy, neutrophils were shown to form physical contact with iRBCs and phagocytose 301 the parasites spontaneously (Fig. 1A & B). Next, we used flow cytometry to assess the 302 extent of neutrophils' capacity to interact with RBCs infected with GFP⁺-NF54 parasites. 303 Following 10 minutes of co-incubation and in the absence of human serum, 30-40% of 304 neutrophils were GFP⁺ (Fig. 1C middle panel & D); opsonization of iRBCs with human 305 serum prior to introducing them into the culture further potentiated this response, with 306 iRBCs bound to about 50-60% of the neutrophils (Fig. 1C right panel & D), indicating 307 that the response of neutrophils to iRBCs in vivo might be more effective than under 308 controlled culture conditions.

309 A key question arising from these observations is whether the interaction between 310 neutrophils and iRBCs leads to parasite killing by neutrophils. To test this, we performed 311 a pulse-chase experiment where RBCs infected with late-stage GFP⁺ parasites were incubated with neutrophils and measured changes in the fraction of GFP⁺ neutrophils over 312 time. We show that the fraction of GFP⁺ neutrophils either binding or phagocytosing 313 314 iRBCs decreased with time (Fig. 2A). We repeated this experiment with opsonized iRBCs 315 and found that although the fraction of iRBCs interacting with neutrophils was larger 316 following opsonization (Fig. 1C & D), the decrease in the fraction of GFP⁺ neutrophils by

317 time was similar to that of neutrophils incubated with non-opsonized iRBCs (Fig. 2A).
318 These data suggest that opsonization can significantly increase the fraction of neutrophils
319 interacting with iRBCs. However, once in contact, opsonized and non-opsonized iRBCs
320 are cleared at a similar rate.

The time dependent decrease in GFP⁺ neutrophils could be interpreted as either loss 321 322 of interaction between the neutrophils and iRBCs (escape) or as parasite elimination by 323 neutrophils (Fig. 2B). To discern between these two possibilities and confirm that indeed 324 the interaction between neutrophils and iRBCs leads to parasite killing, we tested whether 325 co-culturing neutrophils with iRBC limits the increase in parasitemia. To this end, iRBC 326 were cultured with neutrophils for 6 days. In light of neutrophils' short life span, we 327 replenished the neutrophils in the culture every 24 hours to maintain continuous selective 328 pressure. Our data show that a significant difference in parasitemia may be seen as early as 329 5 days following the initial introduction of neutrophils to the co-culture (Fig. 2C). We 330 repeated this experiment using three different parasite isolates, two culture adapted lines 331 (NF54 and Dd2) and a recently adapted parasite obtained from a traveler infected in Sierra 332 Leone (SL). In addition, to the adapted parasite lines, neutrophils were incubated with DC-333 J, a transgenic line that, when grown in the presence of blasticidin, ceases to express the 334 major surface antigen PfEMP1 (22). The iRBC ratio was measured by flow cytometry daily 335 and showed that incubation with neutrophils significantly reduced the growth rate of the 336 NF54, Dd2, SL lines, while the growth rate of the DC-J line lacking PfEMP1 expression 337 was unaffected (Fig. 2D-E). We reasoned that neutrophils may recognize parasite-derived 338 surface modifications on iRBCs, and thus would interact better with late-stage parasites, in 339 which modification of their red cell surface is nearly completed. To test this, we used flow

340 cytometry to assess how daily neutrophil challenges affect the distribution of different 341 parasite stages in tightly synchronized parasite culture. Following the completion of one 342 parasite replication cycle we found that while there were no late-stage parasites in the 343 control culture on day 4, there was a significant proportion of late-stage parasites remaining 344 in the culture challenged with neutrophils (Fig. 2F). This suggests that the presence of 345 neutrophils prohibited parasite cell cycle completion in a significant fraction of iRBCs and 346 possibly reflects the detection of the DNA remains from dead late stage parasites. To 347 conclusively determine whether neutrophils kill late stage parasites, we co-cultured 348 luciferase expressing NF54 parasites (NF54-luc (34, 35)) with freshly isolated neutrophils. 349 Our data show that neutrophils are able to kill late stage luciferase expressing parasites 350 within 6 hours (Fig. S1).

351 Although neutrophils are equipped with a wide array of cytotoxic molecules, most of 352 these molecules are anti-bacterial and as such should not harm eukaryotic malaria parasites. 353 Still, neutrophils can generate a potent oxidative burst where cytotoxic reactive oxygen 354 species (ROS) are released into phagosomes and their close vicinity. To determine whether 355 neutrophils use ROS to kill iRBC, we tested the capacity of freshly isolated neutrophils to 356 kill blood stage parasites in the presence or absence of catalase (to eliminate neutrophil 357 generated H_2O_2). We found that under these conditions, neutrophils eliminated >25% of 358 parasites (Fig. 2G) and reduced overall parasitemia (Fig. 2H). However, catalase 359 dramatically reduced neutrophil cytotoxicity (Fig. 2G) and reversed the effect of 360 neutrophils on overall parasitemia (Fig. 2H). Taken together, these data suggest that 361 neutrophils have the capacity to kill blood stages P. falciparum parasites through 362 phagocytosis of iRBCs and targeted oxidative burst. Consequently, we propose that

363 neutrophils may play an important protective role in the management of infection by killing

364 the malaria parasite and reducing overall parasitemia.

365

366 Neutrophils recognize and target iRBC via PfEMP1

367 PfEMP1 is the major surface antigen expressed on the surface of iRBCs at the second half 368 of the parasites life cycle within red blood cells (9). The observation that in the absence of 369 PfEMP1 expression, neutrophils do not reduce parasitemia (Fig. 2D-E) makes it a prime 370 candidate as a recognition ligand. To validate that PfEMP1 is indeed recognized by 371 neutrophils, we generated GFP expressing DC-J parasites (see methods), providing us with 372 a platform for evaluating their interaction with neutrophils by flow cytometry as described 373 above. Neutrophils were incubated with this parasite line in the presence (control) or 374 absence (blasticidin-selected) of PfEMP1 expression and the proportion of GFP⁺neutrophils was evaluated. We found that the proportion of GFP⁺-neutrophils was 375 376 significantly lower when incubated with PfEMP1 deficient iRBCs compared with those 377 incubated with control iRBCs (Fig. 3A) implying that neutrophil interaction with iRBCs is 378 largely PfEMP1-mediated. To further validate this observation, we trypsin treated iRBCs 379 to remove all surface proteins including PfEMP1s. Trypsin-treated DC-J (wild type i.e. 380 expressing PfEMP1) and trypsin-treated PfEMP1 deficient KO parasites showed similar 381 interaction with neutrophils (Fig. 3B) pointing to PfEMP1 as the main surface protein 382 recognized by neutrophils. To further investigate the importance of PfEMP1 in the 383 neutrophil mediated killing of iRBCs, we generated a luciferase expressing DC-J line. 384 When co-cultured with neutrophils, elimination of PfEMP1-deficient parasites was

significantly reduced compared with control PfEMP1 expressing parasites (Fig. 3C),
further supporting PfEMP1 as a major recognition ligand of neutrophils.

387

388 Neutrophils ICAM-1 is essential for iRBCs elimination

389 The reduced interaction between PfEMP1 deficient iRBCs and neutrophils points 390 to the importance of this family of variant surface antigens. PfEMP1 molecules are known 391 to interact with various endothelial host receptors including ICAM-1 and EPCR (4, 7, 8)392 that are also abundantly expressed on neutrophils (36, 37). Thus, we hypothesized that 393 these receptors might play a role in mediating interaction between neutrophils and iRBCs. 394 The short life span of human neutrophils precludes their genetic manipulation. Therefore, 395 to substantiate this hypothesis we used the neutrophil-like PLB985 cell line transduced 396 with ICAM-1 or EPCR specific shRNAs. As a result, reduced expression of ICAM-1 was 397 accompanied with an increase in EPCR mRNA levels (Fig. 4A), and knocking down EPCR 398 led to approximately 7-fold increase in ICAM-1 expression (Fig. 4B), suggesting a possible 399 compensatory mechanism between the two receptors. Using flow cytometry analysis, we 400 confirmed that while ICAM knock-down reduced its expression on the cell surface, EPCR 401 knock-down resulted in surface over-expression of ICAM-1 (**Fig. 4C**). We then tested how 402 knocking down the expression of ICAM-1 or EPCR in neutrophils affects their interaction 403 with iRBCs harboring GFP⁺ parasites. We found that the fraction of GFP⁺-neutrophils was 404 significantly reduced in the ICAM-1kd cells compared with those infected with the non-405 targeting (control) shRNA (Fig. 4D). In contrast, the EPCRkd cells, in which ICAM-1 was 406 overexpressed, showed increased neutrophil-iRBC interaction (Fig. 4D).

407 We next used two complementary strategies to conclusively determine if interfering 408 with PfEMP1-ICAM-1 interaction reduces neutrophil-iRBC contact and iRBC elimination. 409 First, we show that a monoclonal antibody targeting the PfEMP1-binding domain of 410 ICAM-1 (28) significantly reduced the ability of neutrophils to kill blood stage parasites 411 (Fig. 4E). Importantly, an ICAM-1 antibody targeting a different domain in ICAM-1 that 412 does not block ICAM-1-PfEMP1 interaction had no significant effect (Fig. 4E). In 413 addition, we show that the ICAM-1 antibody that blocks ICAM-1-PfEMP1 interaction 414 significantly inhibits neutrophil-iRBC interaction (Fig. 4F). As a second approach, we used 415 a soluble form of ICAM-1 (fused to a mutated Fc receptor) to compete with the binding of 416 PfEMP1 to neutrophil expressed ICAM-1. Specifically, NF54-luc infected iRBC were 417 incubated for 6h with naïve human neutrophils in the presence or absence of soluble 418 ICAM-1-Fc (sICAM-1). We show that incubation with sICAM-1 significantly reduced the 419 neutrophils' ability to kill parasites (Fig. 4G). Altogether, these results highlight ICAM-1 420 and PfEMP1 as the main mediators of neutrophil interaction with iRBCs, ultimately 421 leading to the killing of *P. falciparum*.

422

423 Neutrophils impose strong selective pressure against ICAM-1 binding iRBCs 424 expressing PfEMP1 implicated in cerebral malaria

Different PfEMP1 variants were shown to bind different endothelial receptors. Our data, pointing that neutrophil ICAM-1 interaction with PfEMP1 is required for parasite elimination led us to hypothesize that neutrophils may selectively eliminate parasite populations that express a subset of PfEMP1 with ICAM-1 cytoadhesive properties. To test this hypothesis, we set to determine whether neutrophils would preferentially kill parasites

430 expressing ICAM-1 binding PfEMP1. We used NF54 parasites which were pre-selected to 431 express ICAM-1-binding PfEMP1 that was implicated in cerebral malaria (48). This 432 selection yields isolation of a relatively homogenous parasite population that primarily 433 transcribe a single var gene (PFD1235w/ PF3D7 0425800) expressing ICAM-1 binding 434 PfEMP1 on the iRBC surface (Fig. 5A). This line was transfected with luciferase-reporter 435 plasmid to allow performing killing assays as described above. We found that the ability 436 of neutrophils to kill parasites was significantly higher in the ICAM-1 selected parasite line 437 compared with unselected control line expressing other var genes (Fig. 5B). Similarly, we 438 found that iRBCs primarily expressing ICAM-1 binding PfEMP1 interact with neutrophils 439 at a significantly higher rate than iRBCs which were not selected to express ICAM-1 440 binding PfEMP1 (Fig 5C). The differences in interaction and killing were also reflected in 441 the differences in parasitemia of the two parasite populations which were incubated with 442 neutrophils and cultured for 5 additional days (Fig. 5D). These data indicate that 443 neutrophils are more efficient in killing parasites that primarily express ICAM-1 binding 444 PfEMP1. In order to demonstrate how this selection affects antigenic expression among a 445 parasite population, we evaluated the expression of the entire var gene family in ICAM-1 446 selected parasites with or without neutrophil challenge. We show that in the parasite 447 population not challenged with neutrophils, the var gene encoding for ICAM-1 binding 448 PfEMP1 (PFD1235w/ PF3D7 0425800) remained the dominant var gene transcript in the 449 population. However, this transcript is almost undetectable in the parasite population which 450 was challenged with neutrophils (Fig. 5E). Taken together these data suggest that 451 neutrophils impose strong selective pressure on parasites expressing ICAM-1 binding 452 PfEMP1.

453 **Discussion**

454 In recent years, mounting evidence implicated components of the innate arm of the 455 human immune system in important defense mechanisms against malaria infections. For 456 example, NK cells were shown to produce pro-inflammatory cytokines in malaria infection 457 and kill iRBCs either directly or via antibody-dependent cell-mediated cytotoxicity 458 (ADCC) (12). Similarly, monocytes and macrophages play a role in the anti-malaria 459 immune response via secretion of cytokines and elimination of iRBCs through cytokine 460 secretion or ADCC. In addition, these cells are large enough and can eliminate iRBCs via 461 phagocytosis (13). Surprisingly, although neutrophils are the most abundant leukocyte in 462 human circulation and have well characterized roles in eliminating pathogenic infections, 463 little is known about their role in malaria (38). The capacity of neutrophils to phagocytose 464 merozoites and gametocytes in vitro was demonstrated years ago (18, 19). In addition, 465 neutrophils were shown to respond to malaria parasites by generating reactive oxygen 466 species (39) and by limiting the growth of malaria parasites in vitro (20). Recently, it was 467 shown that neutrophils accumulate in the intervillous space in the placenta during 468 pregnancy associated malaria (40). However, the mechanisms by which neutrophils 469 interact and eliminate intracellular blood stage parasites were thus far not elucidated.

Here we showed that neutrophils recognize *P. falciparum* iRBC via interaction between ICAM-1 and PfEMP1, the main antigen expressed by these parasites on the erythrocyte surface. Once neutrophils and iRBCs interact, the neutrophils are able to clear approximately 30% of the parasites in culture within less than two hours.

474 *P. falciparum* have the capacity to alternate between the expression of PfEMP1
475 variants that bind different receptors. The identification of neutrophil ICAM-1 as the iRBC

476 recognition receptor prompted the possibility that neutrophils may exhibit improved killing 477 efficiency against specific parasite subpopulations that express ICAM-1 binding PfEMP1 478 variants. Indeed, we demonstrated that neutrophils preferentially interact and clear 479 parasites expressing ICAM-1 binding PfEMP1. Importantly, we used parasites that were 480 selected to express PFD1235w/ PF3D7 0425800, a PfEMP1 variant that was classified as 481 a group A subtype that facilitates dual binding to human endothelial ICAM-1 as well as to 482 EPCR (41). The specific affinity to these receptors, expressed primarily by endothelial 483 cells in brain vasculature, had associated parasites expressing group A PfEMP1 with iRBC 484 sequestration in brain vasculature and the severe outcome of cerebral malaria (42, 43). In 485 addition, parasites expressing group A ICAM-1-binding PfEMP1 were shown to induce 486 cell swelling and damage to the blood barrier thereby contributing to the pathogenesis of 487 cerebral malaria (38). The strong selective pressure imposed by neutrophils against these 488 particular parasite populations suggests that they play an important protective role as the 489 first line of defense against cerebral malaria.

490 Our findings, using naïve neutrophils in culture, correspond with a previous report, 491 suggesting that even though TNFa stimulation enhances neutrophils' ability to kill blood 492 stages parasites, it is not obligatory (16). Moreover, careful examination of the data in this 493 study indicates that even though human neutrophil elimination of P. falciparum is 494 enhanced by TNF α stimulation, neutrophils have a significant capacity to eliminate blood 495 stage parasites even without any further stimulation. Interestingly, similar clearance rates 496 were obtained in opsonized and non-opsonized parasites suggesting that the rate limiting 497 step for iRBCs elimination is the recognition by neutrophils rather than the killing per se. 498 This clearance indeed translated into a significant decrease in the growth rate of the

499 cultured parasite. Clearly, neutrophils' ability to kill intraerythrocytic stages is not 500 sufficient to completely clear the infection. However, such a significant reduction in 501 parasitemia *in vivo* may provide an opportunity for additional components of the immune 502 system to contain the infection.

503 Our observations provide novel insight into the role played by neutrophils in malaria 504 infection. We demonstrate that neutrophils use both phagocytosis and ROS production to 505 kill blood stage parasites. Still, the choice of particular killing mechanisms and the possible 506 involvement of NETosis needs further investigation. Interestingly, the interaction between 507 neutrophils and iRBCs, involving PfEMP1 and ICAM-1, parallels the interaction between 508 iRBCs and the endothelium (Fig. 6). It is well documented that cytoadhesion triggers local 509 inflammation (44, 45), and it is therefore plausible that neutrophil interaction with iRBCs 510 occurs not only in the circulation but also at the site of iRBCs sequestration. The fact that 511 the same receptor employed by *P. falciparum* to both cytoadhere and avoid removal by the 512 spleen is also utilized by anti-malarial neutrophils to eliminate the future generation of 513 parasites inside iRBCs, may represent another aspect of host-pathogen co-evolution.

As it appears, not all neutrophils actively engage in iRBC interaction (see **Fig. 1C**) suggesting the possible existence of different neutrophil subtypes, with different roles in malaria infection. The concept that neutrophils are not a homogenous population of cells but actually consist of specialized subsets has been demonstrated in various clinical conditions ranging from cancer (46) to periodontal disease (47). Our results are in agreement with these findings and suggest that neutrophil functional heterogeneity may also be relevant in response to other infectious pathogens.

521 Apparently, PfEMP1 is not the only surface protein expressed by the parasite that 522 mediates neutrophil interaction with iRBCs as demonstrated by the additional reduction 523 after proteolytic elimination of erythrocyte surface proteins. Additional surface proteins 524 encoded by multi copy gene families known as *rif stevor* and *Pf-2TM* were implicated in 525 immune evasion and malaria pathogenicity (2, 48-55). Neutrophil's ICAM-1, like PfEMP1, 526 is not solely responsible for mediating the neutrophil-iRBC interaction as knocking down 527 ICAM-1 expression did not completely abolish this interaction. This is also indicated by 528 the fact that iRBCs opsonization increased their interactions with neutrophils.

529 Neutrophils express a large number of cell surface receptors for pathogen and 530 inflammatory environment sensing. These include G-protein-coupled receptors, Fc-531 receptors, adhesion receptors such as selectins and integrins, various cytokine receptors 532 and innate immune receptors such as Toll-like receptors and C-type lectins (56). Among 533 others, neutrophils express both ICAM-1 and EPCR, which are known ligands for PfEMP1, 534 the main virulence factor of *P. falciparum* parasites. While the role of other neutrophil 535 receptors for iRBC recognition is not clear, our data demonstrates the importance of 536 neutrophil ICAM-1 for their interaction and clearance of iRBC. Further detailed 537 investigation of the interactions between neutrophils and other parasite surface ligands is 538 required to comprehensively understand how neutrophils function in malaria infection.

539

540

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554 Figure legends:

555

556 Figure 1. Human neutrophils interact with and phagocytose Plasmodium falciparum-

- 557 infected red blood cells.
- 558 (A). Human neutrophils stained for CD66b after incubation with RBCs infected with GFP⁺
- 559 P. falciparum parasites (white arrows). Nuclei were stained with DAPI (blue), Neutrophils 560 stained against CD66b are shown in red, GFP labeled parasites are shown in green. Scale 561 bar, 5 μ m. The upper and lower panel are two different cells. (B). Giemsa staining of 562 freshly isolated human neutrophils from a healthy donor incubated with iRBCs harboring 563 late stages *P. falciparum* parasites (black arrows). Scale bar 10 µm. (C). Flow cytometry analysis of human neutrophils incubated with opsonized or non-opsonized GFP⁺ late-564 staged iRBCs. (D). Quantification of GFP⁺ iRBCs phagocytosed by neutrophils measured 565 566 by flow cytometry. Results represent the average of 3 biological replicates \pm standard error 567 of the mean. (* = p < 0.05).
- 568

569 Figure 2. Human neutrophils eliminate *P. falciparum* parasites in culture.

(A). "Pulse-Chase" experiment measuring the interactions between neutrophils and opsonized (red) or non-opsonized (blue) GFP⁺ iRBC over time (percentage of GFP⁺ neutrophils at 5 minutes defined as 100%) measured by flow cytometry. (B). Proposed model - the reduction in GFP⁺ neutrophils may be explained by the escape of GFP⁺ iRBCs (upper) which will not affect parasite propagation in culture or by elimination of GFP⁺ iRBCs (lower, either extracellularly or following phagocytosis) which will impair parasite propagation in culture. (C). Expansion of NF54 iRBC cultured alone or supplemented daily

577 with freshly isolated neutrophils. (D). The percentage of parasitemia of several P. 578 falciparum isolates (NF54; Dd2; SL and PfEMP1ko) cultured in the presence (Neut.) or 579 absence (Cont.) of neutrophils. (E). The fold reduction in iRBC following neutrophil 580 challenge. (F). Flow cytometric analysis of the effect of neutrophil challenge on parasite 581 cell cycle progression. (G). Short term neutrophil killing of late-stage NF54-luc in the 582 presence (red) or absence (blue) of catalase. (H), The effect of neutrophil challenge on 583 culture parasitemia in the presence or absence of catalase. Results represents the average 584 of 3 biological replicates \pm standard error. (* = p<0.05, ** = p<0.01).

585

586 Figure 3. PfEMP1 is a major ligand mediating the interaction and killing of iRBCs 587 by human neutrophils.

588 (A). Representative flow cytometric analysis of human neutrophils cultured alone 589 (Control), with late stage wild type (wild type) or PfEMP1ko (PfEMP1ko) GFP⁺ iRBC. 590 (B). Flow cytometric quantification of the effect of trypsin treatment on neutrophil 591 interaction with wild type and PfEMP1ko GFP⁺ iRBC. (C). Luciferase-based killing assay 592 demonstrating the extent of neutrophil elimination of late stage wild type (wt) and 593 PfEMP1ko iRBC. Results represent the average of 3 biological replicates ± standard error. 594 (* = p<0.05, ** = p<0.01).

595

596 Figure 4. Neutrophil ICAM-1 is an essential receptor for their killing ability of iRBCs.

597 (A-B). qRT-PCR analysis of the relative expression of ICAM-1 and EPCR in control,

598 ICAM-1kd and EPCRkd PLB985 cells. (C). Flow cytometric analysis of surface ICAM-1

599 expression in control, ICAM-1kd and EPCRkd PLB985 cells. (D). Flow cytometry

600 quantification of GFP⁺ iRBC with control, ICAM-1kd and EPCRkd PLB985 cells. (E).

601 Short term killing assay of NF54-luc parasites incubated with neutrophils in the presence

of CD11b and ICAM-1 blocking and non-blocking antibodies. (F). % reduction in GFP+

603 iRBC interaction with neutrophil in the presence of CD11b and ICAM-1 blocking

antibodies and quantified by flow cytometry. (G). Short term neutrophil killing of NF54-

605 *luc* in the presence or absence of soluble ICAM-1-Fc fusion protein (sICAM-1-Fc). Results

606 represent the average of 3 biological replicates \pm standard error. (** = p<0.01).

607

608 Figure 5. Neutrophils strongly select against ICAM-1-binding PfEMP1.

609 (A). I. Steady state mRNA levels of the entire var gene family measured by qRT-PCR from 610 ICAM-1 selected line transfected with luciferase expression vector demonstrating that 611 PFD1235w/PF3D7 0425800 is the transcriptionally dominant var gene. II. Immuno-612 fluorescence imaging using anti-ICAM-1-binding-PfEMP1 antibody demonstrating its 613 expression on the surface of the iRBC. (B). Short term neutrophil killing of unselected 614 NF54 and PFD1235w selected lines. (C). Flow cytometric quantification of neutrophil 615 interaction with MitoTracker (APC⁺) stained NF54 iRBC and PFD1235w iRBC selected 616 lines. (D). Percent reduction in parasitemia of NF54 and PFD1235w selected parasites after 617 5 days of co-culture with neutrophils, compared to unchallenged parasites (E). var gene 618 transcription profiles measured by qRT-PCR of PFD1235w-selected parasite line cultured 619 in the absence (upper panel) or presence (lower panel) of neutrophils. Steady state mRNA 620 levels of each individual var gene are presented as relative copy number to the 621 housekeeping gene arginyl-tRNA synthetase (PFL0900c). Results represent the average of 622 3 biological replicates \pm standard error. (** = p<0.01).

623

624 Figure 6. Graphic abstract

- 625 iRBCs adhere to the endothelium as a strategy for escaping immune elimination (left). The
- 626 interaction between iRBC is mediated by PfEMP1 and endothelial ICAM-
- 627 1/CSA/CD36/EPCR (left). ICAM-1 expressed on the neutrophil surface interacts with
- 628 PfEMP1 and leads to parasite elimination (right).

629

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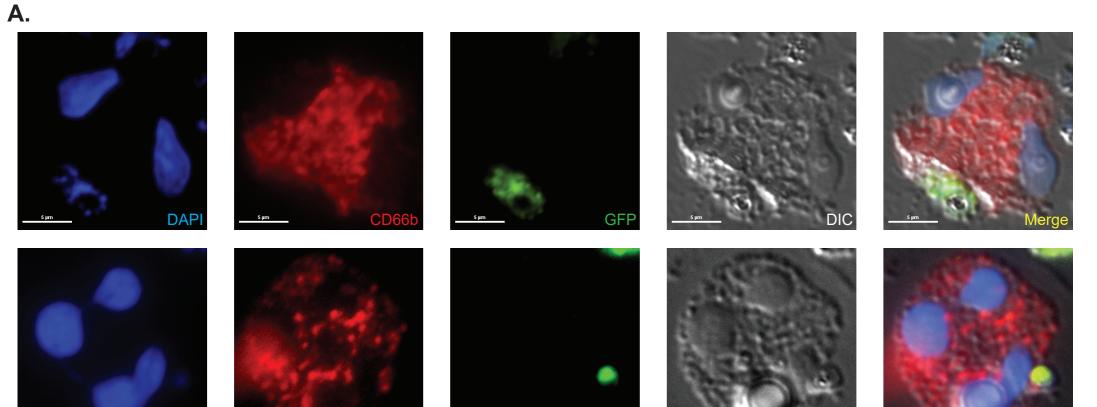
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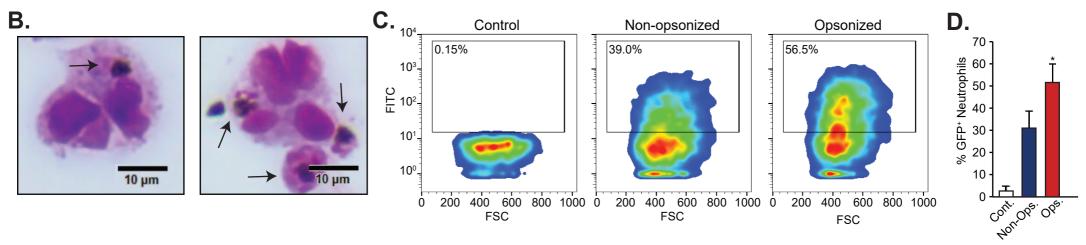
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GFP

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Merge

CD66

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Figure 1

DAPI

