The effect of developmental pleiotropy on the evolution of immune genes in *Drosophila*

melanogaster

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Abstract

The pressure to survive relentless pathogen exposure explains the frequent observation

that immune genes are among the fastest-evolving ones in the genomes of many taxa, but an

intriguing proportion of immune genes also appear to be under purifying selection. Though

variance in evolutionary signatures of immune genes is often attributed to differences in gene-

specific interactions with microbes, this explanation neglects the possibility that immune genes

pleiotropically participate in other biological processes that could constrain adaptive selection. In

this study, we analyzed available transcriptomic and manual annotation data from *Drosophila*

melanogaster to uncover substantial pleiotropic overlap in the developmental and immunological

functions of genes involved in immune signaling. As developmental pleiotropy could constrain

both the deployment and evolution of a gene product for immunological purposes, we predicted

that pleiotropic immune genes would show stronger signatures of purifying selection than non-

pleiotropic immune genes. We further predicted that, within the pleiotropic gene class, genes

expressed early in development or more broadly across developmental stages would be under

stronger purifying selection than genes with stage-specific functions. Using population genomics

data from *D. melanogaster* and related species, we show that pleiotropic immune genes do

undergo slightly slower evolutionary rates than those having no known developmental functions,

and that signatures of purifying selection are significantly stronger for broadly-expressed

pleiotropic immune genes. This study underscores the need to investigate immune system

evolution in the broader context of host life history and development, and raises new questions

about the evolution and maintenance of pleiotropic genetic architecture.

Introduction

Over evolutionary time, organisms have developed defense mechanisms against microbial pathogens and parasites which counter-adapt, in turn, to maintain successful infection strategies. Host immune systems put selective pressure on microbes to evade host recognition, repel antimicrobial effectors, and even manipulate immune signaling components to dampen host defenses (Schmid-Hempel 2008; Heil 2016). Hosts that cannot circumvent these machinations could suffer massive fitness costs from infection. As a result, co-evolutionary dynamics represent a major driving force in molecular evolution (Paterson et al. 2010).

How should we expect selection to act on immune system genes? Host adaptation to microbial pressure should drive positive, directional selection or, in the face of coevolutionary negative frequency dependence, balancing selection that maintains polymorphism in populations (Casals et al. 2011; Sackton 2019). Studies in species as diverse as humans (Mukherjee et al. 2009; Casals et al. 2011)(Mukherjee et al. 2009), non-human mammals (Seabury et al. 2010; Areal et al. 2011) and insects (Sackton et al. 2007; Obbard et al. 2009; Rottschaefer et al. 2015) have found evidence for both positive and balancing selection in immune system recognition and effector genes (Unckless et al. 2016). For example, Obbard *et al*. found that *Drosophila melanogaster* immune genes, as a class, have higher rates of adaptive substitution than location-matched non-immune genes (Obbard et al. 2009). However, they found that these trends were driven by a few particularly rapidly evolving genes associated with a subset of immune signaling pathways, while also uncovering a surprising prevalence of purifying selection on immune genes in other pathways. If parasites frequently target or evade signaling components, why wouldn't those targets show rapid adaptation?

The answer may depend on a crucial but underappreciated quality of immune systems.

Genetic pleiotropy arises when a single gene product contributes to multiple discrete phenotypic

traits, and many components of immune pathways appear to be pleiotropic. Since the discovery

of the Toll pathway, for example, numerous studies (and indeed Nobel prizes) have marveled at

its dual role in development and innate immune system signaling (Lemaitre et al. 1997;

DiAngelo et al. 2009) and its pleiotropic status appears to be at least partially conserved from

insects to mammals (Anthoney et al. 2018). More broadly, a recent study estimated that ~17% of

human genes affect multiple discrete phenotypic traits, and functional enrichment analysis of this

pleiotropic gene set revealed immune system functions to be among the most over-represented

processes (Sivakumaran et al. 2011). When a pleiotropic mutation affects uncorrelated traits,

opposing forces of selection on each trait can reduce the efficacy of selection and resist the

fixation of adaptive substitutions (Fraïsse et al. 2019). Thus, the adaptive evolution of pleiotropic

immune genes may be constrained by the deleterious effects of substitutions on other traits.

Pleiotropy between development and immunity is particularly intriguing because a

developmental program must be carried out faithfully for an organism to progress through its life

cycle, resulting in purifying selection on genes involved in embryonic and early life

development. Indeed, developmental pleiotropy (defined by the number of genetic interactions

(Stark et al. 2006)) has been shown in *D. melanogaster* to constrain positive selection in early-

expressed genes due to a higher number of functional interactions in those genes that render

mutations deleterious (Artieri et al. 2009). More broadly, evolutionary conservation is predicted

to be highest when developmental architecture is at its most connected and least modular, as

exemplified by the slime mold *Dictyostelium*, which undergoes its most complex (and

evolutionarily constrained) developmental processes late in ontogeny (Tian et al. 2013). We

hypothesize that developmental pleiotropy could constrain immune gene evolution, particularly

for genes involved in the most complex stages of development, leading to an under-

representation of signatures of positive selection on immune genes relative to theoretical

expectations.

Insects can serve as particularly valuable models for studying the evolutionary

consequences of developmental and immunological pleiotropy due to their discrete life stages, a

wealth of genomic resources, and availability of studies on immune gene function (Evans et al.

2013; Palmer and Jiggins 2015; Viljakainen 2015). The canonical components of an insect innate

immune response include microbial recognition, signal transduction to initiate cellular and

humoral responses, and production of effector molecules for pathogen clearance (Lemaitre and

Hoffmann 2007). Many genes and signaling pathways previously identified as core participants

in these processes are also broadly conserved among species (Waterhouse et al. 2007), including

two of the best studied pathways, Toll and Imd. Although these two pathways have been shown

to independently mediate defense against specific microorganisms, they can also act

synergistically to activate downstream target response genes such as antimicrobial peptides,

lysozymes and other pathogen-clearing effectors (Ferrandon et al. 2007; Tanji et al. 2007). Other

crucial immune signaling pathways include the melanization pathway, JAK-STAT, and RNAi,

which participate in injury and antiviral responses (Buchon et al. 2014). While the Toll pathway

is the most recognized example of developmental and immunological pleiotropy in insect

immune systems, previous work has highlighted potential pleiotropy within other pathways (Tate

and Graham 2015). For example, the same components of the melanization pathway responsible

for tanning the insect cuticle after each larval molt are also used for melanizing parasitoid eggs

and neutralizing pathogenic fungi, leading to allocation issues when an insect needs to

accomplish both at once (McNeil et al. 2010; Parker et al. 2017). Thus, pleiotropy is likely to

interfere with the deployment of immune responses if a host needs to use a gene product for both

development and immunity in the same life stage. Even if these functions are segregated into

different life stages, however, could pleiotropy still constrain immune system evolution?

We predict that immune genes that have a pleiotropic developmental function will be

more likely to experience evolutionary constraint, as defined by higher rates of purifying

selection and a lower frequency of positive selection, than immune genes that have no known

developmental function. Further, we predict that pleiotropic genes with a more specialized role

in early development or that are crucial to multiple developmental stages will be the most

constrained, relative to genes involved in later and less conserved developmental processes. To

investigate these predictions, we combine transcriptional and functional genomics data from fruit

flies (*Drosophila* spp.) to characterize the overall and immune pathway-specific degree of

pleiotropy among immune and developmental genes. We then analyze the rates of adaptive

selection in immune genes over long evolutionary periods using genomics data from six species

in the melanogaster group, as well as over the shorter term, using population genomics data from

D. melanogaster. Empirical support for our predictions would raise the question of why

evolution would maintain pleiotropy between development and immunity given the potential for

conflict and constraint. On the other hand, if pleiotropic immune genes are not more constrained

than non-pleiotropic ones, this study could inspire future investigations into compensatory

evolution and the role of network architecture in minimizing evolutionary conflict.

Results

Extent of developmental pleiotropy in immune genes

To determine the prevalence of developmental pleiotropy among immune genes, we started

by curating separate lists of immune and developmental genes. Previous studies have employed

various methods to curate immune gene lists, ranging from using only Gene Ontology

annotations (Fraïsse et al. 2019) to compiling experimentally confirmed and/or computationally

predicted immune gene orthologs (Early et al. 2017). Taking these different approaches into

account, we employed several sources to assemble a comprehensive suite of genes that

participate in immunity (Table 1 and Methods). In total, we assembled a list of 808 immune

genes, of which 551 genes have known canonical roles in immunity and 107 genes play a role in

immune system development, as annotated by Gene Ontology and previous studies (Early et al.

2017). The degree of overlap between different immune gene list sources can be found in

Supplemental Figure 1. The list of developmental genes contains 3346 genes, of which 262

genes are annotated specifically as "embryonic development" genes and 508 as "post-embryonic

development." Some embryonic development genes also participate in post-embryonic

development (overlap visualized in Supplemental Figure 2).

Genes that appear in both the immune and developmental gene lists were labeled as

"pleiotropic." When considering immune genes as those identified by all methods including

manually curated, GO annotated and differentially expressed genes, we found 354 immune genes

(43.8%) to be pleiotropic (Table 1, line 1). When considering immune genes as those that

directly contribute to an immune response while excluding genes participating in development of

the immune system, 299 (39.7%) genes are considered pleiotropic (Table 1, line 2). Under the

most conservative definition of development (only genes that directly participate in embryonic

development or 7.8% (262/3346) of all annotated developmental genes), 52 immune genes

(6.9%) still meet the definition of pleiotropy (Table 1). The full list of immune, developmental

and pleiotropic genes under different categorization methods is included in Supplemental Table

1. Note that although we used several methods to compile a list of pleiotropic genes, the

conclusions generated throughout this study are robust to different categorical definitions of

immunity, development, and pleiotropy (see expanded discussion in Methods). Therefore, from

this point on, for simplicity, we refer to our immune gene group as those defined using the

sources from Table 1, section 2, which comprises Immune Response GO-annotated genes,

immune genes employed in previous large scale studies, and a core set of genes differentially

expressed in ten bacterial infections (Troha et al. 2018).

Comparison of pleiotropic and non-pleiotropic immune gene characteristics

Immune genes can be categorized into different classes, such as recognition, signaling,

and effector, depending on their canonical function in an immune response. We were curious

whether certain classes of immune genes are more likely to have pleiotropic status than others.

We divided immune genes into major categories, relying on both annotation from previous

studies (Sackton et al. 2007; Early et al. 2017) and manual annotation based on gene description

in FlyBase (Supplemental Table 2). According to this classification system, the number of genes

confirmed to each category includes 33 recognition genes, 123 signaling genes and 27 effector

genes (Supplemental Figure 3). As represented in Figure 1A, the signaling immune class

contains the highest proportion of pleiotropic genes (66.67%, n = 123). Moreover, using the

PANTHER pathway database, we found that pleiotropic genes are, on average, associated with

more pathways than non-pleiotropic ones (Supplemental Table 3).

We also wanted to know whether our curated immune-developmental pleiotropic genes

exhibit characteristics associated with alternative definitions of pleiotropy, such as a high

number of associated protein-protein interactions and gene-gene interactions that reflect activity

at the molecular level. When comparing pleiotropic and non-pleiotropic immune genes (Fig. 1B-

C), we do find that pleiotropic genes have significantly more protein-protein interactions

(Wilcoxon test, $p = 6.4e-06$) and more gene-gene interactions (Wilcoxon test, $p = 1.4e-09$).

Moreover, pleiotropic genes are associated with more Biological Processes (Wilcoxon test, $p <$

$2e-16$) and Molecular Functions (Wilcoxon test, $p < 2e-16$) GO terms than non-pleiotropic genes

(Supplemental Figure 4).

Expression specificity across stages and tissues between pleiotropic and non-pleiotropic genes

To investigate the hypothesis that broadly expressed pleiotropic genes are under stronger

evolutionary constraint than specific ones, we determined gene expression specificity across

stages and tissues for pleiotropic and non-pleiotropic immune genes using the τ specificity index

((Yanai et al. 2005), see methods). A large τ value indicates specific expression while a small

value indicates broad expression across stages or tissues. While we could not confidently

determine whether any given gene plays only a developmental or immunological role or both at

any given stage, genes involved in development at multiple life stages may present a temporal as

well as evolutionary constraint on the immunological function of that gene.

We found that, in uninfected insects, pleiotropic immune genes tend to be more broadly

expressed across stages than both non-pleiotropic immune genes (Fig. 2A, Wilcoxon test, $p =$

$1.4e-06$) and non-pleiotropic developmental genes (Wilcoxon test, $p = 0.023$). We also found

that the most specific pleiotropic genes, determined by the top 25th percentile in τ (expression

specificity) value, mostly have maximal expression during the embryonic stage (43% among

pleiotropic genes vs 3.6% among non-pleiotropic immune genes) while the most specific non-

pleiotropic immune genes tend to have maximum expression during the larval stage (Figure 2B,

Supplemental Table 5). At the tissue level, pleiotropic genes are also expressed more broadly

than non-pleiotropic immune genes, and this trend is consistent throughout all life stages (Figure

2C). We found no significant differences in the tissue expression specificity between

developmental genes and pleiotropic genes except in the adult stage (Fig. 2C), where

developmental genes showed more specific patterns of expression.

Signatures of molecular evolution in non-pleiotropic and pleiotropic immune genes

To address whether pleiotropic genes are more evolutionarily constrained than non-

pleiotropic immune genes, we calculated the rate of molecular evolution for each gene. We

found that pleiotropic immune genes have significantly lower dN/dS ratios (Fig. 3A, $\omega = 0.0597$

vs $\omega = 0.0789$, $p = 0.038$), suggesting more evolutionary constraint compared to non-pleiotropic

immune genes. This observation holds true regardless of the phylogenetic group chosen for the

dN/dS analysis (Supplemental Figure 5). Interestingly, when using the branch-site model of the

dN/dS analysis to detect signatures of positive selection along the *Dmel* lineage specifically,

6.35% (total n = 299) of pleiotropic genes are found to be significantly positively selected, in

comparison to 3.08% (total n = 454) of non-pleiotropic immune genes showing positive selection

(Fisher's exact test, $p = 2.2e-16$) (Supplemental Figure 6). We also found that pleiotropic genes

broadly expressed across life stages have a significantly lower rate of molecular evolution than

specific pleiotropic genes (Figure 3C, Wilcoxon test, $p = 0.041$), suggesting that the ontogenetic

duration of pleiotropy may be an important determinant of evolutionary constraint.

On the other hand, we detected no difference in the MK α statistic between non-

pleiotropic and pleiotropic genes (Figure 3D). It is notable that many genes show extreme

negative values of MK α even when using the FWW correction method (Fay et al. 2001),

indicating prevalence of slightly deleterious mutations segregating in the population or test

sensitivity to population dynamics or the complex nature of these alleles. This pattern is

observed when using population data from both the Raleigh and Zambia populations

(Supplemental Figure 7).

When we subsetted the development gene list to include only embryonic morphogenesis

development functions, subsequent dN/dS analysis also indicate a lower median ω value for

pleiotropic genes (Figure 3B, ω = 0.0561 vs ω = 0.0672), but this difference is not statistically

significant (p = 0.24). When comparing MK α values between the same groups, pleiotropic genes

(N = 35, α = 0.7083) actually display a higher average rate of adaptive substitution compared to

non-pleiotropic immune genes (N = 511, α = 0.4062, p = 0.00017).

The extent of pleiotropy and signatures of molecular evolution in multiple signaling pathways

Since signaling pathways commonly coordinate both immunological and developmental

functions, we next wanted to examine major signaling pathways for patterns of pleiotropy and

signatures of molecular evolution (Figure 4). We were particularly interested in investigating

whether there are certain components of a signaling pathway that tend to be pleiotropic or show

discernable patterns of ω values.

As illustrated in Figure 4, there is a wide variety of pleiotropic gene organization and

rates of molecular evolution across pathways. For example, we find that most of the downstream

components of the Toll signaling pathway are pleiotropic, in contrast to, for example, the TGF-β

or the Hedgehog signaling pathway which only contain pleiotropic ligands. Furthermore, there

are instances where a pleiotropic component interacts with a non-pleiotropic component in the

pathway, such as Tak1 (pleiotropic) and Tab2 (immune) in the IMD pathway. Since this figure

only depicts canonical signal transduction in the context of immunity, this suggests that Tak1,

the pleiotropic component, may interacts with other molecules in developmental contexts as

well. Overall, each pathway displays a unique distribution of immune-development pleiotropy

and it would be interesting to further study these components to understand how the pleiotropy

pattern affect the availability for deployment of these pathways in immunity and development.

Discussion

247   Researchers have long recognized that some immune genes, such as those in the Toll

pathway, play double-duty in development (Lemaitre et al. 1996). Pleiotropy seems like it would

be a liability for a host, for multiple reasons – what if a gene product cannot be deployed to fight

a parasite because it is already being fully allocated to development? Shouldn't purifying

selection on developmental genes constrain the rate of adaptation against parasite pressure,

putting the host at a disadvantage during coevolution with rapidly evolving parasites? In this

study, we investigated the relationship between immunity-development pleiotropy and signatures

of molecular evolution in *Drosophila melanogaster* immune genes. Our results give credence to

the notion that pleiotropy between development and immunity is actually quite common (Tate

and Graham 2015). While pleiotropy does not appear to constrain the adaptive evolution of

immune genes as systematically as we expected, we did identify stronger signatures of purifying

selection in pleiotropic immune genes that were broadly expressed across developmental stages,

relative to those with more stage-specific patterns of expression.

260   We first explored the extent of developmental pleiotropy in characterized immune genes.

We observed that about 40-44% of immune genes are pleiotropic with development, concurring

with a phenotypic screening study in mammals that more generally classified approximately 65%

of screened alleles as pleiotropic across a range of phenotypes (De Angelis et al. 2015). We want

to note that the actual percentage of immune genes that are pleiotropic with other traits might

even be higher, since this study only focused on pleiotropy in developmental processes. Upon

analyzing the different immune gene classes for their prevalence of pleiotropy, we found that

immune signaling genes are most likely to participate in developmental functions. This is

expected since a signaling pathway is capable of activating the transcription of multiple genes, as

opposed to, for example, effector genes which likely only interact with microbial pathogens or

have specific immune functions. Further, we found that genes annotated with both immune and

developmental functions are also associated with common molecular parameters associated with

pleiotropy (Alvarez-Ponce et al. 2017), as they have more protein-protein interactions, gene-gene

interactions and are expressed in more stages. Although these interactions may not directly

reflect immune or developmental activities, this suggests that the pleiotropic genes might

participate in different processes by interacting with more molecular partners. The ubiquitous

expression of pleiotropic genes across stages compared to non-pleiotropic genes suggests that

one or both of the immune and developmental functions are required throughout ontogeny. In the

future, it would be interesting to know the extent to which pleiotropic genes exhibit temporal

segregation of developmental processes and immune roles in different life stages, as opposed to

simultaneous participation in both functions in one or more stages.

Regardless of how we curate our immune gene lists, we observed that in general,

pleiotropic genes have lower rates of protein coding sequence evolution. While this could be

attributed to antagonistic pleiotropy between immune and developmental functions, it is

interesting to note that the difference is not statistically significant when we consider only

embryonic developmental genes. Further studies are needed to understand why immune genes

with embryonic developmental pleiotropy are not more constrained than non-pleiotropic genes,

assuming that this result is not due solely to Type II error from low sample sizes. We also want

to note that our dN/dS analysis relies on genes with 1:1 orthologs across all five species,

excluding genes with copy number variations or missing orthologs in any species from the

group. For future studies, it would be interesting to revisit these genes, since the effect of paralog

functional diversification on pleiotropic constraint could be significant (Hahn 2009; Long et al.

2013).

We did not observe a difference in McDonald-Kreitman α values between the pleiotropic

and non-pleiotropic gene groups. Several interpretations are possible, and we keep in mind both

the technical and biological explanations for these observations. First, the McDonald-Kreitman

test has several limitations that can result in conservative estimation of α (Uricchio et al. 2019),

and it is unclear how exactly our gene lists are affected. Another apparent limitation is the fact

that genes in the non-pleiotropic group can actually be pleiotropic in biological contexts other

than development, further obscuring the true pleiotropic status of each gene. However, we found

no relationship between the number of Biological Processes associated with an immune gene and

its ω or α value (Supplemental Figure 10), suggesting that this is not likely to be a systematic

explanation. It is also possible that compensatory mutations arise in response to deleterious

sequence changes, potentially leading to the observation of more polymorphism and

overestimation of α in pleiotropic genes. This concept has been explored Vedanayagam et al,

where the authors found that genes with mutations affecting multiple phenotypes exhibited

higher rates of protein evolution (Vedanayagam and Garrigan 2015). In the context of our study,

we speculate that when mutations occur in pleiotropic proteins that have antagonistic effects on

immunity and development, compensatory substitutions could arise to resolve this conflict.

While we did not examine this explanation in the current study, a previous study suggested that

the presence of a non-synonymous mutation greatly increases the chance of finding other

substitutions nearby, possibly reflecting the correlated evolution of codons within a protein

module (Callahan et al. 2011).

Studies that have considered the more general relationship between signatures of

molecular evolution and molecular pleiotropy have also reached contrasting conclusions. For

example, in some cases, pleiotropy, as defined by connectivity in protein-protein or gene co-

expression networks, is negatively correlated with molecular evolution rates (Alvarez-Ponce et

al. 2017; Masalia et al. 2017), while others have detected very minimal or no correlations (Hahn

et al. 2004; Fraïsse et al. 2019). The variance in these results could be attributed to differences in

study organisms, different experimental contexts and the inherent differences in the various

definitions of pleiotropy. Therefore, the exact effect of pleiotropy on molecular evolution is most

likely to be context specific, emphasizing the importance of our study in focusing on very

specific biological processes such as immunity and development. One caveat associated with our

study is that our analyses do not consider domain- or site-specific evolution, and thus we cannot

parse signatures of selection on regions within a pleiotropic gene that might provide specific

immune or developmental functions or be closely associated with compensatory mutations that

obscure finer-scale evolutionary processes. Although such analysis would require very specific

knowledge of the effect of each mutation to the immune and development phenotypes, future

analyses could focus on a subset of genes with well-defined protein domain structures and

protein-protein interaction data to refine the functional and evolutionary significance of

pleiotropic activity.

The prevalence of upstream and downstream pleiotropic components varies among

pathways, resisting simple conclusions about the evolutionary maintenance of pleiotropy. While

most pleiotropic components of the Toll pathway are intracellular, the only pleiotropic molecules

from the TGF-β pathway (for example) are the extracellular ligands Dawdle and Dpp. Second,

we observed cases where pleiotropic proteins are interacting with non-pleiotropic ones, or where

evolutionarily constrained proteins interact with others that exhibit relatively high rates of

adaptation. This might reflect alternative interactions between the pleiotropic component and

molecules not annotated as part of canonical immune or developmental signaling pathways. This

analysis raises new questions for future investigation: how can a signaling pathway balance its

role in multiple biological processes? What are the key players and their characteristics that

affect how a pathway is used across several contexts or life stages?

Overall, our study serves as the first one to systematically quantify the degree of

pleiotropy in a specific biological context and investigate correlations between pleiotropy and

rates of molecular evolution in immune systems. These results lay the groundwork for future

work to tease apart the mechanistic framework of these pleiotropic patterns to understand how

genetic architecture shapes the mode and tempo of immune system evolution and their influence

on immune phenotypes**.**

Methods

Immune and developmental gene list curation

We curated a comprehensive list of genes representing immunity by combining several

resources, starting with manually curated list from previous immune studies, including Lemaitre

et al 2007 (Lemaitre and Hoffmann 2007) and Early et al 2017 (Early et al. 2017), which include

most experimentally validated "canonical" immune genes. Separately, we appended Gene

Ontology (GO)-annotated genes under the term "immune system process" (GO:0002376) to the

list. We further sub-divided genes under this GO term into either "Immune Response" or

"Immune Development" genes to differentiate between genes that play direct roles in mounting

an immune response and genes contributing to the development and maturation of the immune

system. Finally, we added to our list a core set of immune genes from Troha et al 2018, which

comprises 252 genes that show differential expression across infection with ten different

bacterial species of variable virulence (Troha et al. 2018).

For each immune gene, we also assigned an immune gene class – recognition, signaling, or

effector - based on the gene's known function in the immune system. If a gene has not been

assigned a class in previous studies, we manually assign it a class based on the gene description

from FlyBase. For a detailed description of each gene class definition, see Supplemental

Protocol.

Separately, we created a list of GO-annotated developmental genes by querying the term

"Developmental Process" (GO:0032502), while separately annotating genes belonging to the

child term "embryonic morphogenesis" (GO:0048698). All GO annotation queries were

conducted through FlyBase (Thurmond et al. 2019). A full list of genes in each group is included

in Supplemental Table 1 and visualization of the degree of overlap between different resources is

in Supplemental Figure 1.

Pleiotropy categorization

Pleiotropy refers to the phenomenon where a single gene influences multiple traits. However,

the definition of "trait" can be ambiguous across different biological contexts, and thus

pleiotropy can manifest at different levels and be detected by various methods (Paaby and

Rockman 2013; Tyler et al. 2016). At the molecular level, pleiotropy can refer to the multiple

biochemical roles that a gene can have and is frequently measured as the number of physical

interacting partners (Hahn et al. 2004). At the developmental or phenotypic level, pleiotropy can

involve genes affecting distinct phenotypes or biological processes, as measured by the number

of stage or tissues in which such genes are expressed (Artieri et al. 2009). Lastly, under an

evolutionary perspective, pleiotropy can refer to the separate components of fitness that a gene

might modulate, a well-known example being the antagonistic pleiotropy model for the evolution

of aging (Williams 1957). Though many interpretations of pleiotropy exist, in this study, we are

specifically concerned about pleiotropic genes at the phenotypic level. In particular, we focused

on genes annotated to play roles in both immune and developmental processes. As such, if a gene

is annotated as functioning in both immunity and development from the lists curated from the

method described above, it was considered pleiotropic. A full list of pleiotropic genes is included

in Supplemental Table 1.

For comparison purposes, we also calculated molecular metrics of pleiotropy for each gene in

the genome regardless of annotated function in immunity or development. These measurements

include expression stage specificity (described below), number of associated Biological

Processes GO terms, number of associated Molecular Functions GO terms, number of protein-

protein interactions, and number of gene-gene interactions. All raw data files were obtained

through the FlyBase ftp server, and the latest version of each file was downloaded (March 2020,

Supplemental Protocol).

Categorization of stage and tissue specificity

Genes with functions limited to specific tissues or life stages (and particularly later life

stages) may have less pervasive effects on organismal fitness (Cutter and Ward 2005; Artieri et

al. 2009), possibly buffering evolutionary constraint from pleiotropy. To calculate expression

specificity, we applied the following equation (Yanai et al. 2005) to expression level data of all

D. melanogaster genes in all stages (embryo, larva, pupa, adult) and tissues (Supplemental

Methods):

$\tau = \frac{\sum_{j=1}^{n} 1 - \log(A_j)/\log(A_{max})}{n-1}$

In this equation, n is the number of of stages or tissues. A_j is the expression level at stage/tissue j,

A_{max} the maximum expression level of of stages/tissues. Lower tau (τ) values signify specific

expression in a certain stage/tissue, while a higher one indicates broad expression across all

stages/tissues. (Fraïsse et al. 2019).

Pathway annotation

We used the PANTHER database to annotate our gene lists to pathway, if available. In

short, all genes are compiled into a list of IDs, which is then used as a query in PANTHER

(http://pantherdb.org/). We then downloaded the annotations and computed the total number of

unique pathways associated with each gene group (pleiotropic vs. non-pleiotropic).

Molecular evolution data and analysis

The patterns of molecular evolution in immune genes are often studied using comparative

approaches, either between different species or between individuals within the same species

(Barreiro and Quintana-Murci 2010; Viljakainen 2015). Specifically, the strength and mode of

selection acting on protein-coding genes is often measured by methods such as the ratio of

nonsynonymous and synonymous mutations (dN/dS, or ω) ratio and the McDonald-Kreitman α

estimation (McDonald and Kreitman 1991; Yang and Swanson 2002). In short, high estimates of

ω or α are indicative of positive selection, while low values suggest purifying selection.

We obtained a list of orthologs within the melanogaster group from FlyBase (Supplemental

Protocol). Only genes that have 1:1 orthologs for each of the six species were considered for this

analysis. The longest amino acid sequences from each species' orthologs were aligned using

MAFFT (Katoh and Standley 2013) and threaded back to coding sequences to obtain codon

alignments using pal2nal (Suyama et al. 2006). We used the PAML package (v4.9) (Yang 2007)

to calculate dN/dS under model 0 of the codeml program, in which dN/dS is assumed to have

one value averaged across all five species for each gene, and this is the value used for all

subsequent analysis. To test signatures of positive selection along the Dmel lineage specifically,

we used the branch-site model from the same PAML package. We also used a precalculated set

of dN/dS data from flyDIVAS (Stanley and Kulathinal 2016) for comparison purposes. This

resource contains dN/dS values under different models of molecular evolution of many

phylogenetic groups. Since the conclusions from this study are not affected by the dN/dS dataset

used (Supplemental Figure 9), all values reported are from our own calculations to reflect a more

up-to-date ortholog assignment from FlyBase. As dN/dS and MK calculations rely on 1:1

orthologs, we want to note that some genes are not included in the results from Figure 3; of the

299 pleiotropic genes defined in this study, 258 have 1:1 orthologs and were included in the

analysis. Similarly, 263/454 non-pleiotropic immune genes and 2132/3047 developmental genes

have 1:1 orthologs available for dN/dS calculations. A table of ortholog counts for all Dmel

genes across all 12 Dmel species can be found in Supplemental Table 4.

The McDonald-Kreitman (MK) test values were obtained from Murga-Moreno 2019 (Murga-

Moreno et al. 2019) using polymorphism data from the Raleigh and Zambia population of

Drosophila melanogaster along with genomic sequences from *Drosophila simulans* as the

outgroup. Detailed explanations of the methodology can be found in (Murga-Moreno et al.

2019). Briefly, the number of nonsynonymous and synonymous mutations between individuals

in the population as well as between Dsim and Dmel are determined from polymorphism data

obtained from PopFly (Lack et al. 2015). The alpha values are calculated using the standard MK

equation with the Fay-Wycoff-Wu correction method, which considers only polymorphic sites

with a frequency above 0.05. This accounts for segregating nonsynonymous mutations that can

drastically lead to underestimation of α (Fay et al. 2001).

Statistical Analysis

All statistical analyses were conducted in R (3.6.1). We used Shapiro tests to assess

distribution normality in datasets. For comparison between multiple groups (Figure 1B,C, 2A,C,

3A,B,D,E), we conducted Kruskal-Wallis tests followed by pairwise Wilcoxon with Benjamini-

Hochberg correction in cases where there are a significant difference between groups. Fisher's

exact test was used when assessing independence between two nominal variables (Figure 1A,

Supplemental Figure 7).

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Tables

Table 1: The extent of pleiotropy as defined with different annotation methods.

	Definition	Pleiotropic	Immune Non-pleiotropic	Dev Non-pleiotropic
1	Immune = all Immune GO + previous citations. + DE (808) Dev = all Dev GO	354 (43.8%)	454	2992
2	Immune = Immune Response GO + previous citations + DE (753) Dev = all Dev GO	299 (39.7%)	454	3047
3	Immune = Immune Response GO + previous citations+ DE (753) Dev = embryonic Dev GO	52 (6.9%)	701	210
4	Immune = Immune Response GO + previous citations (551) Dev = all Dev GO	276 (50.1%)	275	3070

Notes: GO: Gene ontology annotation terms. DE = differentially expressed via transcriptional analyses. Dev = developmental. Previous citations = genes or gene lists manually or computationally identified as having immune system functions in Drosophila

     Figures

Figure 1. Overall characterization of pleiotropic and non-pleiotropic immune genes. Each

immune gene was assigned a "gene class" (**A**) depending on their canonical function in an

immune response. For each class, the percentage of pleiotropic (those with developmental roles)

and non-pleiotropic genes was determined. The number of known protein-protein interactions

(ppi; **B**) and number of known gene-gene interactions (ggi; **C**) were also calculated for genes

annotated as immune non-pleiotropic (green), pleiotropic for development and immunity (pink),

or developmental non-pleiotropic (blue), represented on a log-scale and statistically analyzed

using Kruskal-Wallis tests followed by pairwise Wilcoxon tests (p values on figure).

 Figure 2. Comparison of gene expression stage specificity between pleiotropic and non-

pleiotropic genes. The stage specificity tau value (**A**), which varies from 0 (broadly expressed

across all stages) to 1 (expressed in only one stage) was calculated for each gene. For the non-

pleiotropic and pleiotropic immune gene group (**B**), the genes within the top 25th percentile of τ

value were characterized as "specific genes", and the stage with the highest expression for each

gene was determined and tallied for the whole group. To compare tissue gene expression

specificity between pleiotropic and non-pleiotropic genes within each life stage (**C**), the tau value

(tissue specificity level) was calculated for each gene across tissues. Differences among groups

were statistically analyzed using Kruskal-Wallis tests followed by pairwise Wilcoxon tests (p

values on figure or *** indicates p < 0.001).

Figure 3. Comparision of dN/dS and McDonald-Kreitman alpha values between pleiotropic and

immune- or developmental non-pleiotropic genes. dN/dS values were compared between non-

pleiotropic and pleiotropic genes when considering all types of developmental genes (A) and

only embryonic developmental genes (B). dN/dS values were also compared between non-

specific and specific pleiotropic genes (C), where non-specific genes are defined as the genes

within the lowest 25th percentile in stage-specific tau value from the pleiotropic group, while

specific genes are the ones within the top 25th percentile. McDonald-Kreitman alpha values were

compared between non-pleiotropic and pleiotropic genes when considering all types of

developmental genes (D) and only embryonic developmental genes (E). Differences among

groups were statistically analyzed using Kruskal-Wallis tests followed by pairwise Wilcoxon

tests (p values on figure).

 Figure 4. Examining the pleiotropy status and dN/dS levels for genes participating in major

 signaling pathways. The color indicates whether a gene is pleiotropic (blue), immune-exclusive

 (orange) or developmental-exclusive (green) as determined in methods. Each color is shaded

 according to dN/dS level of each gene, with the darker shade represent a higher ω value within

 the gene's respective pleiotropic or non-pleiotropic group. Pathway is depicted as shown from

 KEGG. Components for which no pleiotropy status available are shown in gray.