Optimizing Parameters for Using the Parallel Auditory Brainstem Response (pABR) to Quickly Estimate Hearing Thresholds

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Abbreviations:

ABR – auditory brainstem response

ASSR – auditory steady-state response

pABR – parallel auditory brainstem response

dB peSPL – decibels peak-equivalent (baseline-to-peak) sound pressure level

dB nHL – decibels normal hearing level

PT – pure tone

RETSPL – reference equivalent threshold in sound pressure level

Highlights

- The pABR yields robust responses across stimulus rates and intensities.
- The optimal rate is 40 Hz, but using multiple rates may prove useful.
- The pABR shows some adaptation with increased stimulation rate.
- Extended analysis windows improve response detection for low stimulus frequencies.
- Behavioral thresholds subtly change across pABR rate, giving similar dB nHL values.

ABSTRACT

Objectives: Timely assessments are critical to providing early intervention and better hearing and spoken language outcomes for children with hearing loss. To facilitate faster diagnostic hearing assessments in infants, the authors developed the parallel auditory brainstem response (pABR), which presents randomly timed trains of tone pips at five frequencies to each ear simultaneously. The pABR yields high-quality waveforms that are similar to the standard, single-frequency serial ABR but in a fraction of the recording time. While well-documented for standard ABRs, it is yet unknown how presentation rate and level interact to affect responses collected in parallel. Furthermore, the stimuli are yet to be calibrated to perceptual thresholds. Therefore, this study aimed to determine the optimal range of parameters for the pABR and to establish the normative stimulus level correction values for the ABR stimuli.

Design: Two experiments were completed, each with a group of 20 adults (18 – 35 years old) with normal hearing thresholds (≤ 20 dB HL) from 250 to 8000 Hz. First, pABR electroencephalographic (EEG) responses were recorded for six stimulation rates and two intensities. The changes in component wave V amplitude and latency were analyzed, as well as the time required for all responses to reach a criterion signal-to-noise ratio of 0 dB. Second, behavioral thresholds were measured for pure tones and for the pABR stimuli at each rate to determine the correction factors that relate the stimulus level in dB peSPL to perceptual thresholds in dB nHL.

Results: The pABR showed some adaptation with increased stimulation rate. A wide range of rates yielded robust responses in under 15 minutes, but 40 Hz was the optimal singular presentation rate. Extending the analysis window to include later components of the response offered further time-saving advantages for the temporally broader responses to low frequency tone pips. The perceptual thresholds to pABR stimuli changed subtly with rate, giving a relatively similar set of correction factors to convert the level of the pABR stimuli from dB peSPL to dB nHL.

Conclusions: The optimal stimulation rate for the pABR is 40 Hz, but using multiple rates may prove useful. Perceptual thresholds that subtly change across rate allow for a testing paradigm that easily transitions between rates, which may be useful for quickly estimating thresholds for different configurations of hearing loss. These optimized parameters facilitate expediency and effectiveness of the pABR to estimate hearing thresholds in a clinical setting.

INTRODUCTION

Early identification of hearing loss and timely intervention is important for promoting typical auditory development and spoken language acquisition (Ching et al. 2014; Cullington et al. 2017; May-Mederake 2012; Moeller 2000; Niparko et al. 2010). Currently the gold standard for estimating hearing thresholds in young infants, and other individuals who do not provide reliable behavioral responses, involves serially measuring electrophysiological auditory brainstem responses (ABRs) to frequency-specific tone pip stimuli presented over a range of intensities to each ear separately (American Academy of Audiology 2012; BC Early Hearing Program 2012; NHS Newborn Hearing Screening Programme 2013; Ontario Ministry of Children, Community and Social Services 2018). These diagnostic ABRs can take a long time, and often yield incomplete information because the child cannot sleep or remain still long enough to obtain the necessary responses. Multiple visits to obtain a complete assessment delays treatment, carries additional costs and risk of attrition, adds stress to the family as they await clinical decisions, and burdens clinician times and resources. To address the time constraints of testing, we recently validated the new parallel ABR (pABR) as a viable method for facilitating faster recording of canonical ABR waveforms than traditional serial methods (Polonenko & Maddox 2019). However, the optimal presentation rates across level for the pABR have yet to be established. In this paper we determined the optimal parameters for using the pABR to estimate hearing thresholds.

The pABR method uses time-saving strategies of simultaneous presentation of stimulus sequences at five frequencies to both ears and randomized stimulus timing sequences. Simultaneous presentation has been a successful tool used for estimating hearing thresholds with the auditory steady-state response (Luts et al. 2006; Sininger et al. 2018; Van Maanen & Stapells 2010). While simultaneous presentation allows for multiple responses to be recorded, randomization allows for unrestricted analysis windows, affording higher stimulation rates and better estimates of the pre-stimulus noise (Burkard et al. 1990; Eysholdt & Schreiner 1982; Polonenko & Maddox 2019; Valderrama et al. 2016; Valderrama et al. 2014; Wang et al. 2013). These in turn provide better estimates of signal-to-noise ratio (SNR), a key metric that dictates testing time. However, the actual SNR gains achieved by higher rates become a trade-off between the reduction in noise and the shrinkage of response amplitudes due to neural adaptation at higher rates (e.g., Burkard et al. 1990; Burkard & Hecox 1983; Chiappa et al. 1979; Don et al. 1977; Jiang et al. 2009).

The optimal range for stimulation rate across intensities is well studied for serial ABR measurement, but the effects of simultaneous stimulation across all frequencies with random timing are not obvious. Parallel presentation across frequency bands and ears may lead to cochlear excitation patterns that differ from the standard single-frequency ABR. Indeed, each frequency may act as a masker for the other frequencies, particularly at higher levels when there is more spread of activation along the cochlea. Preliminary evidence for different interactions comes from the longer latencies and smaller amplitudes of wave V for the pABR than serial ABR, particularly at higher intensities and lower frequencies (Polonenko & Maddox 2019). Potential interactions that depend on both stimulus level and rate may result in optimal stimulus parameters for the pABR that differ from the standard ABR.

Clinical application of the pABR for threshold estimation will also depend on accurate calibration
of the pABR stimuli. There are two considerations for calibration due to the short duration of
tone pip stimuli. First, transient stimuli such as tone pips are physically calibrated in peak
equivalent SPL (dB peSPL) by matching the amplitude of the tone pip to that of a 1000 Hz tone
because the time constants of sound level meters are too long to adequately capture the level of
the short stimuli (Laukli & Burkard 2015). The amplitudes can be matched by the baseline-to-
peak (peSPL) or peak-to-peak (ppeSPL) of the transient (for a discussion of the merits of both
approaches see Laukli & Burkard 2015). We chose to calibrate our pABR stimuli in dB peSPL
since our stimuli were slightly asymmetric and for the ease of comparing to clicks and
converting to other metrics such as peak SPL (pSPL). Second, transient stimuli are then
psychoacoustically calibrated into units of dB normal hearing level (dB nHL) because perceptual
sensitivity in dB peSPL (or dB SPL for tones) varies by frequency. Due to temporal integration,
perceptual thresholds for brief stimuli such as tone pips are elevated compared to tones, and
vary by pip duration and stimulation rate (Gorga et al. 1984; Gorga & Thornton 1989; Sharma et
al. 2003; Watson & Gengel 1969). Therefore, the correction factors for converting thresholds in
dB peSPL to the flattened curve of 0 dB nHL are specific to the tone pip parameters and
transducers.

Therefore, in this study we investigated the effects of stimulus level and presentation rate on
responses measured using the pABR method, with the goal of determining the optimal stimulus
parameters and dB peSPL to dB nHL correction factors before evaluating clinical
implementation of the pABR for threshold estimation. We show that the pABR yields responses
with good SNR that can be recorded over a wide range of rates in reasonable recording times.
Furthermore, we show that extending the analysis window to include additional components of
the response offers advantages for response detection in many subjects, particularly for the
broader responses to low frequency tone pips. Correction factors for perceptual thresholds were
relatively similar (within 3.5–6 dB) across stimulation rates.

MATERIALS AND METHODS

135 We completed two experiments. First, pABR electroencephalographic (EEG) responses were
136 recorded to different rates and intensities. Second, behavioral thresholds were measured for
137 pure tones and for the pABR stimuli at each rate to determine the correction factors that relate
138 the stimulus level in dB peSPL to perceptual thresholds in dB nHL.

Subjects

EEG responses and psychoacoustic perceptual thresholds were collected in two separate
experiments, each with a different set of 20 adults (13 females, 6 males, 1 non-identifying
person for experiment 1; and 11 females, 9 males for experiment 2). There was an additional
subject recruited for perceptual threshold testing because one subject was excluded due to
unreliable, sporadic thresholds from repeatedly falling asleep during behavioral testing. All
subjects gave informed consent before participating in the experiments, which were done under
protocols approved by the University of Rochester Institutional Review Board (#3866). The
mean ± SD (range) age was 22.5 ± 4.2 (18–35) years for EEG testing, and 21.8 ± 3.4 (18–33)
years for behavioral testing.

Normal hearing thresholds, defined as ≤ 20 dB HL, were confirmed at octave frequencies from
250–8000 Hz. Tympanometry and otoscopy confirmed normal middle and outer ear function.
Distortion product emission (DPOAE) testing confirmed normal outer hair cell function from 1–4
kHz, barring 2 subjects who had a DPOAE but poor SNR at 2 kHz in one of their ears. Most
subjects also had DPOAEs at 500 Hz, but the noise floor was high and the DPOAE did not
reach the 6 dB SNR criteria for one ear in 2 subjects and for both ears in 4 subjects.

155　pABR stimuli

Details of the pABR stimulus construction and method can be found in Polonenko and Maddox
(2019). Briefly, stimuli to each ear comprised summed independent, randomly timed trains of
Blackman windowed 5-cycle cosine tone pips centered at octave frequencies from 500 to 8000
Hz. Individual tone pips had durations of 10, 5, 2.5, 1.25 and 0.625 ms for the frequencies 500,
1000, 2000, 4000 and 8000 Hz respectively. Thirty unique 1 s stereo epochs were created to
ensure sufficient statistical independence between the pseudorandom Poisson processes
controlling timing of the tone pip trains (Maddox & Lee 2018; Polonenko & Maddox 2019). To
create these tone pip trains, unit-height impulses were randomly inserted across 1 s and
convolved with the tone pip. The number of impulses corresponded to the stimulus presentation
rate. Polarity was randomly set to ±1 so that half the tone pips were condensation and the other
half rarefaction. For the EEG experiment, an inverted version of each of the 30 unique epochs
was presented in sequence to counter-phase the stimuli to help mitigate stimulus artifact (i.e.,
Epoch A^+, A^-, B^+, B^-, etc, where A and B represent independent epochs and the superscript
sign denotes the phase). For the behavioral experiment, a token from these 30 unique epochs
was randomly chosen with replacement for each presentation of the stimulus.

The tone pip stimuli were calibrated to 80 dB peak-equivalent SPL (peSPL) by matching the
amplitudes of the peak tone pip cosine component to the amplitude of a 1000 Hz sinusoid tone
that read 80 dB SPL on a sound level meter (2240, Bruel & Kjaer) when played through an
insert earphone (ER-2, Etymotic Research) attached to a 2-cc coupler (RA0038, G.R.A.S.). The
other stimulus levels (L) in dB peSPL were obtained by multiplying the reference-level tone pip
by $10^{(L-80)/20}$. For the behavioral experiment, pure tones were created with amplitudes that
were also calibrated to the same 80 dB SPL tone.

Stimuli were created at a sampling rate of 48 kHz and presented through ER-2 insert earphones
connected to a sound card (Babyface Pro, RME, Haimhausen, Germany). For the EEG, the
sound card was also connected to a headphone amplifier (HB7, Tucker Davis Techologies,
Alachua, FL, USA), which sent an inverted stimulus to a second "dummy" set of earphones that
had a blocked tube and was taped in the same orientation to the stimulus earphones. This setup
further mitigated stimulus artifact by cancelling electromagnetic fields close to the transducers.
The earphones were also hung from the ceiling by magnets to allow as much distance as
possible between the transducers and EEG electrodes. Stimulus presentation was controlled by
a python script using publicly available software at https://github.com/LABSN/expyfun (Larson et
al. 2014). The sound card's optical digital out was also used to send digital signals that precisely
marked the beginning of each 1 s epoch, which were then converted to trigger pulses by a
custom trigger box (modified from a design by the National Acoustic Laboratories, Sydney,
NSW, Australia). These triggers were then sent to the EEG system in order to synchronize

responses with stimuli.

EEG experiment

Stimulus conditions and EEG recording

We recorded responses in both ears to pABR stimulation with average presentation rates of 20,
40, 60, 80, 100, and 120 Hz, each at intensities of 51 and 81 dB peSPL. For a single 2-hour
recording session, this afforded 10 minutes for each of the 12 conditions, resulting in averaged
responses comprised of 12,000 (20 Hz rate) to 72,000 (120 Hz rate) repetitions. Conditions
were interleaved to prevent changes in impedance, subject state, or EEG noise from affecting
one condition more than the others.

Two-channel scalp potentials were recorded with BrainVision's PyCorder software, using
passive Ag/AgCl electrodes connected to two differential preamplifiers (BrainVision LLC,
Greenboro, SC). In standard 10–20 coordinates, the non-inverting (positive) electrode was
positioned at FCz (just anterior of the vertex), the inverting (negative) electrodes at A1 and A2
(left and right earlobes), and the ground electrode at FPz (frontal pole). The non-inverting and
ground electrodes were plugged into y-connectors that split between the two differential pre-
amplifiers. Data were sampled at 10 kHz and high-pass filtered at 0.1 Hz. Triggers marked the
beginning of each epoch rather than each individual tone pip stimulus for two reasons: 1) to
avoid trigger overlap due to random stimulation of 10 tone pips (5 for each ear), and 2) to
efficiently analyze 1 s blocks of data in the frequency domain, which is mathematically
equivalent to – but faster than – averaging responses to each individual tone pip. Participants
reclined in a darkened sound treated audiometric booth during testing, and were encouraged to
rest.

pABR response calculation

Further details can be found in Polonenko and Maddox (2019) but a brief description is provided
below. Using the mne-python package (Gramfort et al. 2013), raw EEG was filtered offline from
30–2000 Hz using a first order causal Butterworth filter, and then notch-filtered at odd multiples
of 60 Hz up to 2500 Hz to remove electrical line noise.

As mentioned above, triggers denoted the beginning of each 1 s epoch. This epoch, along with
500 ms before and after it was extracted for each trigger, giving 2 s of EEG data, denoted as y.
This same EEG was used to derive responses for each of the 10 tone pips for that epoch. For
each tone pip of frequency, f, and ear, e, we took the rectified impulse sequence used to create
the tone pip train – a unit-height impulse at the onset of each tone pip in the 1 s epoch – and
zero-padded it with 500 ms before and after to give a 2 s impulse train with all the impulses in
the center 1 s, which was denoted $x_{f,e}$. The response, $w_{f,e}$ was then calculated as the circular
cross-correlation of the 2 s EEG and the 2 s zero-padded rectified pulse train, performed in the
frequency domain as $w_{f,e} = 1/n\, F^{-1}\left\{F\{x_{f,e}\}^* F\{y\}\right\}$ where F denotes the fast Fourier transform,
F^{-1} its inverse, * denotes complex conjugation, and n the number of impulses in a sequence
(e.g., 40 for the 40 Hz stimulation rate). Due to the circular nature of the cross-correlation, the
time interval [0, 500] ms was at the beginning of $w_{f,e}$ and [−500, 0) ms at the end.

Concatenating these two time intervals (i.e., discarding the middle 1 s of $w_{f,e}$) gave the final
response from [−500, 500] ms, where 0 ms denotes the onset of the tone pip. This was
repeated for each of the 10 tone pips and for each of the two EEG channels for every epoch.

Average responses for each condition (level, rate, channel, ear, and tone pip frequency) were
calculated by first weighting each epoch by the inverse variance of its pre-stimulus baseline
from −480 to −20 ms relative to the summed pre-stimulus inverse variances of all epochs for
that condition, and then summing across the weighted epochs. This method resembles
Bayesian averaging (Elberling & Wahlgreen 1985), but leverages the much longer 500 ms pre-
stimulus baseline afforded by random stimulus timing. Using this averaging method, very noisy
epochs contribute much less to the grand average by assigning a weight close to zero. This
avoids the need for rejecting epochs based on threshold criteria. Responses were also
averaged across channels to reduce noise because we were not interested in ipsilateral versus
contralateral differences for this paper. However, it would be easy to keep the two channels
separate for clinical applications.

EEG data analysis

The primary objective of this paper was to determine the optimal rate for quickly obtaining
waveforms for all 10 tone pips. To achieve this, we: 1) compared the wave V latency (ms) and
amplitude (µV) across conditions using linear mixed effect regression with rate and intensity
fixed effects and a random intercept per subject; 2) quantified the response SNRs; and 3)
estimated the recording time required for all responses in a rate-intensity combination to
achieve 0 dB SNR. We chose a threshold of 0 dB SNR based on when waveforms became
clearly identifiable and what we have done previously (Maddox & Lee 2018; Polonenko &
Maddox 2021; Polonenko & Maddox 2019). Of course, other dB SNR thresholds would change
the estimated times to reach this criterion, but in a proportional way for each condition. Two
analysis time windows were tested to determine SNR: a 10 ms window to include wave V of the
ABR, and a 30 ms extended window to include wave V of the ABR and early components of the
middle latency response (MLR).

The dB SNR of each averaged response (i.e., after 600 epochs, or 10 minutes) was estimated
according to the formula: $SNR_{600} = 10\log_{10}[(\sigma^2_{S+N} - \sigma^2_{N}) / \sigma^2_{N}]$ where σ^2_{N} was the variance of the
noise calculated as the mean variance over 10 ms (ABR wave V window) or 30 ms (ABR/MLR
extended window) intervals from −480 to −20 ms, and σ^2_{S+N} was the variance of the signal and
noise calculated as the variance in the respective 10 ms or 30 ms latency range starting at a lag
that captures wave V for each tone pip's frequency: 10.5, 7.5, 6.5, 5.0, and 5.0 ms for 500,
1000, 2000, 4000 and 8000 Hz respectively (Polonenko & Maddox 2019; Stapells 2010). Then
we standardized the SNR to a 1 minute (60 s) run: $SNR_{60} = SN_{600} + 10\log_{10}(60 / 600)$. From
SNR_{60} we estimated the time-to-0 dB SNR as $60 \times 10^{-SNR60 / 10}$. This time was calculated for
each tone pip, but the overall acquisition time for a condition is based on the slowest waveform,
and as such, was calculated as the maximum time-to-0 dB of the 10 simultaneously acquired
waveforms. Cumulative density functions were computed across subjects of time-to-0 dB for
each tone pip in order to determine the optimal presentation rate – the rate at which 90% of
subjects reached an SNR ≥ 0 dB for all 10 tone pips in the shortest recording time.

The linear mixed effects regressions and their power analyses were performed using the lmer4,
lmerTest and simR packages in R (Bates et al. 2015; Green & MacLeod 2016; Kuznetsova et al.
2017; R Core Team 2020). To calculate power, the likelihood ratio test was performed on 1000
Monte Carlo permutations of the response variables based on the fitted model.

Perceptual thresholds experiment

Stimulus conditions and psychoacoustics parameters

The 1 s stimulus tokens used for EEG were also used for determining perceptual thresholds for
dB nHL correction factors. However, the tone pip trains were not presented in parallel but serial
to determine the threshold for each tone pip frequency. In addition to the tone pip stimuli, we
measured thresholds for 1 s cosine pure tones at each frequency, which were calibrated using
the same 1000 Hz tone at 80 dB SPL that was used to calibrate the pABR tone pips. The tones
had raised cosine window edges set to 35 ms, which was within the American National
Standards Institute (ANSI) S3.6-2010 rise/fall standards and matched that of our audiometer
used for hearing screening.

During the behavioral task, subjects sat in a sound treated audiometric booth, looked at a dark
computer monitor screen with a central white fixation dot, and responded with keyboard presses
when they heard the stimulus. Stimulus presentation time was jittered by a random number
between 1 to 4 s. Perceptual thresholds were measured using an automated tracker based on
the modified Hughson Westlake method (Carhart & Jerger 1959), with a 5 dB base step size in
a 2-down/1-up paradigm and a starting level of 40 dB (pe)SPL. If there was no response for the
starting level, then the level was increased in 20 dB steps until the subject responded or a
maximum level of 85 dB (pe)SPL was reached. Threshold was defined as the level at which 2
out of 3 correct responses were given when the level of the stimulus was ascending. All 70
threshold tracks were randomly presented over the course of the experiment (2 ears x 5
frequencies x 7 rates, with 0 Hz rate representing tones). For each presentation of a pABR tone
pip, a random token was chosen with replacement from the thirty unique 1 s tokens. Breaks of
at least 15 s were provided after every 4 threshold tracks, and subjects chose when to continue
after a break if they needed longer than 15 s to rest.

Data analysis

Attentional state drifted in some subjects resulting in a few spuriously high thresholds.
Thresholds were considered outliers and removed when the threshold to the pABR stimulus
was >40 dB above that for the pure tone, and the track was confirmed to be poor and reflecting
dozing (i.e., the intensity increased to 85 dB peSPL with no response). Of 1,400 thresholds, 23
(1.6%) were removed and there was never more than 3 of 40 data points removed for a
frequency-rate condition.

The reference values in dB nHL were calculated similarly to what has been done before (Gorga
et al. 1993; Sharma et al. 2003; Stapells & Oates 1997). The thresholds to pure tones were
subtracted from the thresholds to the brief pABR stimuli to correct for temporal integration and
the subject's own pure tone thresholds. These corrections were modeled using linear mixed
effects regression with fixed effects of rate, logged frequency, and their 2-way interaction, as

well as a random intercept for subject-ear. Then the corrections derived from the model for each
rate-frequency condition were converted to reference values to give 0 dB nHL by adding them to
the HA-1 coupler reference equivalent threshold in SPL (RETSPL) for the ER-2 earphones (i.e.,
the conversion of SPL to audiometric zero).

RESULTS

The pABR yields canonical brainstem responses that characteristically show adaptation at higher rates.

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We recorded the pABR over a range of stimulation rates in 20 Hz steps and at two intensities.
Figure 1 shows the grand average waveforms for each of these conditions, and for each ear
and tone pip frequency. Overall, morphology of the pABR responses resembled the canonical
responses from traditional ABR methods, with lower frequency responses exhibiting a broader
wave V than the higher frequencies. Although wave V is the primary focus of methods for
estimating hearing thresholds, Figure 1 also shows that additional ABR waves I and III were
clearly visible in the higher frequency responses across several presentation rates, especially at
the higher intensity of 81 dB peSPL.

Figure 1. *Grand average waveforms across stimulation rates and tone pip frequencies, plotted for both the left and right ears and for a high (top) and low (bottom) intensity. Areas show ± 1 SEM, computed across subjects. All responses are plotted over the interval 0 to 20 ms.*

Waveforms were visually inspected and quantified for the presence, amplitude and latency of
wave V. Amplitude was defined as the peak to following trough. All 2,400 responses were
quantified by a trained audiologist (MJP), and the other author (RKM) quantified a subset of 720
responses (30%) from a random selection of 6 participants. Wave V was manually quantified to

mimic what is done in the clinic and to avoid noise spuriously being identified as the peak,
especially in the broader low frequency responses. The intraclass correlation coefficient for
absolute agreement (ICC3) was ≥0.81 for each frequency and measure (the lowest two ICC3
95% confidence intervals were 0.76–0.85 and 0.89–0.94 for 500 Hz latency and 1000 Hz
amplitude respectively, all others were ≥0.94), indicating good agreement for chosen wave V
peaks. In 13 waveforms there was not a clear wave V and following trough compared to the
recording noise. These absent responses were for 500 Hz at the two highest stimulation rates of
100 Hz (n = 6) and 120 Hz (n = 7), and mostly for 51 dB peSPL (3 / 13 at 81 dB peSPL). For
further analyses, the latencies of missing waves were removed and the amplitudes considered
to be zero.

We modeled wave V latency (Figure 2A) and amplitude (Figure 2B) using linear mixed effects
models, with a random intercept for each subject and fixed factors of rate (for amplitude
measures only, rate was log transformed due to the non-linear relationship), intensity, ear,
frequency in log units, and gender. We included the ear–frequency interaction, as well as all 2-
way and 3-way interactions between rate, intensity, and frequency. There was one subject who
did not identify as male or female and could not be included in the full model due to insufficient
numbers for a third gender category. Details of the full statistical models for latency and
amplitude are given in Supplemental Digital Content 1 (see Table 1A and 1B respectively).
There was not a significant effect of gender for latency (0.22 ± 0.16 ms, t(19) = 1.38, p = 0.184,
power = 0.29 [95% confidence interval: 0.26–0.32]), but there was a trend that responses from
female subjects were slightly larger (0.025 ± 0.012 μV [95% confidence interval = -0.001–0.049
μV], t(19) = 2.05, p = 0.054, power = 0.55 [95% confidence interval = 0.52–0.58]). To include all
20 subjects, we confirmed that the significant effects in the full model were maintained in
models excluding the non-significant fixed effect of gender, and the details of these statistical
models are found in Table 1. Wave V latency showed a difference between ears (p = 0.003) but
also a significant ear–frequency interaction (p = 0.008), indicating that responses for the right
ear were faster for lower frequencies (mean ± SEM difference: 0.28 ± 0.07 ms for 500 Hz) but
similar for higher frequencies (0.02 ± 0.03 ms difference for 8000 Hz). Consistent with our
previous study (Polonenko & Maddox 2019), there were also significant effects of intensity,
frequency, and a significant intensity–frequency interaction (all p < 0.01), indicating that latency
decreased with increasing frequency and increasing intensity, and the effect of intensity was
greater at lower frequencies. The slight increase in latency with increasing rate was not
significant (p = 0.875), and there was no significant rate–intensity, rate–frequency, or rate–
intensity–frequency interactions (all p > 0.109). Unlike latency, wave V amplitude showed no
effect of ear or an ear–frequency interaction (both p > 0.118). Also consistent with our previous
study (Polonenko & Maddox 2019), wave V amplitude increased with increasing intensity at a
greater rate for higher frequencies (intensity–frequency interaction, p < 0.001). Here, we also
showed that amplitude decreases with increasing rate to a greater extent at higher intensities
and higher frequencies (rate–intensity–frequency interaction, p = 0.010). There was an
exception for 8000 Hz, which showed similar or smaller amplitudes than 4000 Hz for the lower
rates.

TABLE 1. *Linear Mixed Effects Models for Wave V Latency and Amplitude*

Fixed Effect	Estimate	SE	df	t	p		Power (95% CI)
A. Latency formula: *latency ~ rate + intensity + logfreq + ear + rate:intensity + rate:logfreq + intensity:logfreq + logfreq:ear + rate:intensity:logfreq + (1 | subject)*							
Intercept [Left]	39.38	1.71	2375	23.05	< 0.001	***	1.00 (1.00, 1.00)
rate	0.00	0.02	2367	-0.16	0.875		0.04 (0.03, 0.05)
intensity	-0.18	0.03	2367	-7.13	< 0.001	***	1.00 (1.00, 1.00)
logfreq	-8.15	0.51	2367	-15.90	< 0.001	***	1.00 (1.00, 1.00)
Ear [Right]	-0.99	0.33	2367	-2.98	0.003	**	0.84 (0.82, 0.86)
rate:intensity	0.00	0.00	2367	1.61	0.109		0.36 (0.33, 0.39)
rate:logfreq	0.00	0.01	2367	0.38	0.701		0.05 (0.04, 0.07)
intensity:logfreq	0.04	0.01	2367	5.33	< 0.001	***	1.00 (0.99, 1.00)
logfreq:ear [Right]	0.26	0.10	2367	2.64	0.008	**	0.75 (0.73, 0.78)
rate:intensity:logfreq	0.00	0.00	2367	-1.57	0.117		0.35 (0.32, 0.38)
B. Amplitude formula: *amplitude ~ lograte + intensity + logfreq + ear + lograte:intensity + lograte:logfreq + intensity:logfreq + logfreq:ear + lograte:intensity:logfreq + (1 | subject)*							
Intercept [Left]	0.26	0.20	2384	1.33	0.183		0.27 (0.24, 0.30)
lograte	-0.19	0.11	2380	-1.79	0.074	.	0.42 (0.39, 0.45)
intensity	-0.01	0.00	2380	-1.75	0.080	.	0.39 (0.36, 0.42)
logfreq	-0.06	0.06	2380	-1.01	0.312		0.18 (0.16, 0.21)
ear [Right]	-0.01	0.01	2380	-0.79	0.431		0.15 (0.13, 0.17)
lograte:intensity	0.00	0.00	2380	1.18	0.238		0.22 (0.20, 0.25)
lograte:logfreq	0.06	0.03	2380	1.73	0.083	.	0.41 (0.38, 0.44)
intensity:logfreq	0.00	0.00	2380	3.61	< 0.001	***	0.94 (0.93, 0.96)
logfreq:ear [Right]	0.01	0.00	2380	1.56	0.118		0.35 (0.32, 0.38)
lograte:intensity:logfreq	0.00	0.00	2380	-2.56	0.010	*	0.71 (0.68, 0.74)

*Note: CI = confidence interval; SE = standard error; logfreq = $\log_{10}$(frequency); lograte = $\log_{10}$(rate); . p < 0.1; * p < 0.05; ** p < 0.01; *** p < 0.001*

Figure 2. *Mean wave V latency (A) and amplitude (B) as a function of stimulation rate at a low (left) and high (right) intensity. Stimulus frequency is indicated beside each line. Error bars (where large enough to be seen) indicate ± 1 SEM. Crosses joined by dotted lines indicate left ear responses and circles joined by solid lines indicate right ear responses.*

Acquisition times are fastest for a stimulation rate of 40 Hz

Next, we explored how the changes in amplitude with rate affect the time required for responses
to reach ≥ 0 dB SNR using a 10 ms analysis window. We extrapolated to a maximum of 20
minutes, which was twice our recording time but represented the minimum time required for
serial collection of each response based on our previous study (Polonenko & Maddox 2019). To
determine the optimal pABR rate for the majority of subjects, the cumulative proportion of
subjects was computed as a function of recording time. The cumulative density functions (CDF)
for the 40 Hz rate are shown in Figure 3A, and the CDFs for all rates are provided in
Supplemental Digital Content 2 (see Figure 1). Figure 3B shows the time to 0 dB SNR for 90%
and 50% (median time) of responses for each rate, which were taken from the CDFs.

Figure 3. *Acquisition times for waveforms to reach ≥ 0 dB SNR for a low (left) and high (right) presentation level for each tone pip frequency (indicated by line color). (A) The cumulative density function (CDF) is shown for the 40 Hz stimulation rate. From the CDF for each stimulation rate, the time for waveforms to reach ≥ 0 dB SNR in 90% and 50% of subjects (median) were calculated and displayed in (B). Shaded areas represent time estimations extrapolated past the 10-minute recording time.*

Acquisition times were faster for the higher tone-pip frequencies and lower stimulation rates.
Half the subjects had all waveforms within 9.6 minutes for 51 dB peSPL and 3.5 minutes for 81
dB peSPL, except for the 120 Hz rate at 81 dB peSPL (5.8 minutes) and for the 80 Hz and 120
Hz rates at 51 dB peSPL (12.9 and > 20 minutes respectively). In fact, for a 40 Hz stimulation
rate the median time was 7.3 and 2.2 minutes for 51 and 81 dB peSPL. However, the
acquisition time necessary for most subjects was limited by the 500 Hz tone pip – the broadest
and lowest-amplitude response. The estimated time for ≥ 90% of subjects to reach 0 dB SNR
for 2000–8000 Hz was ≤ 9 and 4 minutes for 51 and 81 dB peSPL respectively. But for 500 Hz
the acquisition time – and thus, the total time for the pABR – was > 20 minutes for all rates at
the lower intensity, and 7.7 minutes for 40 Hz but >15 minutes for the other rates at the higher

intensity. At the end of the 10-minute recording session, 48–72% of the 500 Hz responses
reached criterion at the lower intensity and 60–92% reached criterion at the higher intensity.
Based on the 50% and 90% metrics, a 40 Hz stimulation rate appears optimal for ensuring the
timeliest robust responses from most subjects, although rates between 20–60 Hz required
similar times for the mid and higher frequencies.

Extended analysis windows afforded by random timing improves SNR and saves time for low frequencies in some cases.

The random timing of the pABR allows for extending the analysis window to view more
components of the evoked response. This advantage may improve SNR and acquisition
estimates for the broader low frequency responses, which are present but vary less over 10 ms
than the high frequency responses. Figure 4 shows the same responses from Figure 1 with the
time window extended from 20 to 40 ms, which allows an analysis window of 30 ms for each
tone pip frequency. With this extended window, the negative trough following wave V is now
visible for the lower frequency responses (along with the higher frequency responses, which
were visible with the shorter window), as well as the early components of middle latency
response (MLR).

Figure 4. *Grand average waveforms across stimulation rates and tone pip frequencies, plotted for both the left and right ears and for a high (top) and low (bottom) intensity. Areas show ± 1 SEM across subjects. All responses are plotted over the interval 0 to 40 ms.*

Figure 5 compares the acquisition times for the low frequency tone-pips at low stimulation rates
when using a 30 ms versus 10 ms window to estimate SNR. We focused on 20 and 40 Hz
because these stimulation rates showed the best recording times with the 30 ms analysis

window, as well as the greatest response variation over the extended window for 500 and 1000
Hz tone pips. The CDFs for all rates are provided in Supplemental Digital Content 2 (see Figure
2). For many subjects the 30 ms window provided similar or better estimates of SNR and
acquisition times, especially for the 500 Hz tone pip. In Figure 5A, the CDFs for the 20 Hz
stimulation rate began with a similar trajectory, but grew faster for the 30 ms window (i.e.,
diverged from the curve for the 10 ms window) for recording times > 4 minutes (at ~35% of
ears) for 500 Hz and > 6 minutes (at ~70% ears) for 1000 Hz at 51 dB peSPL, and after ~5.5
minutes for 500 Hz (at ~72% of ears) at 81 dB peSPL. The 1000 Hz CDF for the 10 ms window
was higher than that for the 30 ms window at all times for 81 dB peSPL. This means that the 30
ms analysis window provided a time benefit for the ~65% of 500 Hz waveforms and ~30% of
1000 Hz waveforms that took longer than 4–6 minutes to reach criterion SNR at the lower
intensity level, but the 10 ms window was adequate for the quicker waveforms (i.e., < 4–6
minutes) and for most of the 1000 Hz tone pip waveforms at the higher intensity.

Figure 5. *Extending the analysis window from 10 to 30 ms can improve response dB SNR and*
acquisition time for low frequency tone pips. (A) An example cumulative density function (CDF) is shown
for the 20 Hz stimulation rate. Recording time required for waveforms to reach 0 dB SNR with a low (left)
and high (right) presentation level for 500 and 1000 Hz tone pips when using an extended analysis
window of 30 ms. Stimulus frequency is indicated by line color. (B) The distribution of extended window
benefit ratios for using a 30 versus 10 ms analysis window. The horizontal black line indicates the
interquartile range and the vertical solid black line denotes the median ratio. Ratios are on a log2 scale,
with ratios > 1 indicating a benefit to the 30 ms versus 10 ms window. The 10 ms window includes wave
V of the ABR, and the extended 30 ms window also includes components of the middle latency response.
The dashed line represents similar times to reach 0 dB SNR for both analysis windows.

Consistent with the CDFs, the distribution of extended window benefit ratios in Figure 5B
suggest that the 30 ms analysis window provides a time benefit for the slower set of waveforms,
with significant improvements for some subjects. The greatest extended window benefit ratios
for a 30 ms window occurred for 500 Hz at 51 dB peSPL. Half the subjects had speedup ratios ≥
1, and for the "slowest 25%" of subjects (i.e. 75th percentile and higher), the 30 ms window gave
extended window benefit ratios of 2–7, corresponding to 5.7–16.1 minutes saved by using a 30
ms window. At this intensity, the 75th percentile extended window benefit ratios were < 1.6 (up to
~3 minutes saved) for 1000 Hz, but there were also some cases that benefited from the 30 ms
window by a ratio of 3-5, or up to 13–15 minutes saved. At the higher intensity of 81 dB peSPL,
there were faster or similar recording times for the 10 ms window in at least half the subjects,
with the median extended window benefit ratios ≤ 1 for both stimulus rates and tone pip
frequencies. However, the 30 ms window benefited about half the subjects for 500 Hz, and
there were significant improvements for some subjects for both 500 Hz and 1000 Hz. The 75th
percentile extended window benefit ratios were < 1 for 1000 Hz and <1.4 for 500 Hz (up to 1.2
minutes saved by the 30 ms window). Again, even for the high intensity, there were some
extreme cases for whom the 30 ms provided an extended window benefit ratio up to 5.5,
corresponding to a maximum of 5 to 16.5 minutes saved. To succinctly summarize the above,
having the option to use a 30 ms window will speed the exam for some patients.

Behavioral thresholds for pABR stimuli subtly change with rate

446 Having examined the effects of stimulation rate on the pABR responses and acquisition times,

Figure 6. *Perceptual thresholds show subtle changes across different stimulation rates. (A) Perception thresholds for pure tones (PT, in dB SPL) and the pABR stimuli (in dB peSPL) with different rates are shown for individuals (color) and the group mean (black). (B) The difference between thresholds to the pABR and pure tone stimuli. Individual colored lines are given a slight random vertical offset between ±1 dB to make the individual data with similar thresholds easier to see.*

we next compared perceptual thresholds to the pABR stimuli with those of pure tones to obtain
normative values. Figure 6A shows the perceptual thresholds in dB SPL for the pure tones and
dB peSPL for the pABR stimuli. Thresholds to the pure tones varied between −10 and 10 dB
SPL and were elevated for the pABR stimuli, as expected due to temporal integration of brief

stimuli. Thresholds for the pABR stimuli subtly decreased with increasing stimulation rate for
each tone pip frequency. This pattern was similar for each subject-ear, as indicated by the light
colored lines. The difference between the thresholds to pABR stimuli and pure tones ranged
between 0 and 40 dB but were on average between 10 and 21 dB depending on rate and tone
pip frequency (Figure 6B).

Next, the normative values for 0 dB nHL were calculated. First, the difference in thresholds, or
correction factors, for the pABR stimuli (from Figure 6B) were modeled using linear mixed
effects regression. Details of the statistical model are provided in Table 2. As shown in Figure
7A, the threshold difference significantly decreased with increasing stimulation rate ($p = 0.004$)
and increased with increasing frequency ($p < 0.001$), but there was not a significant interaction
between rate and frequency ($p = 0.085$). The mean difference in correction factor between
stimulation rates of 20 and 120 Hz ranged from 3.5 dB for the 500 Hz tone pip to 6.0 dB for the
8000 Hz tone pip. Second, the reference-equivalent thresholds in SPL (RETSPLs) for our ER-2
insert earphones were added to the correction factors to give the normative values for 0 dB nHL
(Figure 7B). The values from Figure 7 are also provided in Table 3 for ease of reference.

TABLE 2. *Linear Mixed Effects Model for pABR Correction Values*
Model formula: correction ~ rate + logfreq + rate:logfreq + (1 | subject-ear)

Fixed Effect	Estimate	SE	df	t	p		Power (95% CI)
Intercept	3.01	3.20	1162	0.94	0.348		0.14 (0.12, 0.16)
rate	-0.12	0.04	1138	-2.87	0.004	**	0.80 (0.77, 0.82)
logfreq	4.74	0.96	1138	4.97	< 0.001	***	1.00 (0.99, 1.00)
rate:logfreq	0.02	0.01	1138	1.73	0.085		0.39 (0.36, 0.43)

Note: CI = confidence interval; SE = standard error; logfreq = $\log_{10}$(frequency);
*correction values = threshold differences for pABR tone pip versus pure tone; * p < 0.05;*
*** p < 0.01; *** p < 0.001*

Figure 7. Correction factors for pABR stimuli. The modeled threshold differences, or correction factors, in (A) are added to the appropriate reference-equivalent threshold in SPL (RETSPL) for the transducer (in our study, ER-2 with HA-1 coupler) to give the normative values for audiometric zero (i.e., dB nHL) in (B). PT = pure tone

Table 3. *Correction and normative values for converting pABR dB peSPL to 0 dB nHL*

Tone pip	Stimulus rate					
	20 Hz	40 Hz	60 Hz	80 Hz	100 Hz	120 Hz
Model-estimated correction factors (dB)						
500 Hz	14.6	13.4	12.2	11.0	9.8	8.6
1000 Hz	16.2	15.1	14.0	12.9	11.9	10.8
2000 Hz	17.7	16.8	15.8	14.9	13.9	13.0
4000 Hz	19.3	18.5	17.6	16.8	16.0	15.2
8000 Hz	20.8	20.1	19.4	18.8	18.1	17.4
ER-2 normative values for 0 dB nHL (dB)[1]						
500 Hz	20.6	19.4	18.2	17.0	15.8	14.6
1000 Hz	16.2	15.1	14.0	12.9	11.9	10.8
2000 Hz	20.2	19.3	18.3	17.4	16.4	15.5
4000 Hz	19.3	18.5	17.6	16.8	16.0	15.2
8000 Hz	17.3	16.6	15.9	15.2	14.6	13.9

[1]RETSPL for the ER-2 transducer (calibrated in an HA-1 coupler) was added to the model-estimated correction factors: 500 Hz = 6 dB, 1000 Hz = 0 dB, 2000 Hz = 2.5 dB, 4000 Hz = 0 dB, 8000 Hz = -3.5 Hz

With these normative values, the 51 and 81 dB peSPL intensities used to evoke the pABR
responses convert to a range from 30–35 and 60–65 dB dB nHL respectively for a 20 Hz
stimulation rate to 36–40 and 66–70 dB nHL for 120 Hz rate. For the 40 Hz stimulation rate, 51
and 81 dB peSPL corresponded to 32–36 and 62–66 dB nHL respectively, with an average dB
nHL across tone pip frequency of 33 and 63 dB nHL.

DISCUSSION

Here, we describe how the pABR changes with stimulation rate and establish normative
correction values for pABR levels based on perceptual thresholds. A wide range of rates yields
robust responses in reasonable recording times for most subjects. For adults with normal
hearing, the total recording time is limited by the broader component wave V of the waveforms
for the low frequency tone pips. For some subjects with particularly broad responses, extending
the analysis window improves response detection and the acquisition time necessary to reach
SNR criterion. The perceptual thresholds to pABR stimuli subtly change with rate, giving a
relatively similar set of correction factors to convert the level of the pABR stimuli from dB peSPL
to nHL. Overall, the 40 Hz stimulation rate is the singular optimal rate, but in the clinic a range of
rates may be useful for facilitating faster acquisition of elevated hearing thresholds across
frequency.

Responses to pABR stimulation show adaptation to higher rates, both at a low and a high
intensity. Even though the pABR uses random timing and simultaneous presentation of all 10
tone pips trains, wave V amplitude decreases with increasing frequency in a similar way to
responses evoked by serially and randomly presented click stimuli (e.g., Burkard et al. 1990;

Burkard & Hecox 1983; Chiappa et al. 1979; Don et al. 1977; Jiang et al. 2009; Burkard et al.
1996; Valderrama et al. 2014). The effect of rate on latency was not statistically significant here
e, but the overall slight latency trend from 20 to 120 Hz were within the range of shifts previously
reported for randomized click stimuli at higher rates (Burkard et al. 1996; Valderrama et al.
2014). Parallel presentation of randomized stimuli may also have contributed to differences in
the effect of rate on latency. The benefit of higher rates is decreased noise, as variance reduces
linearly with increasing number of stimuli. However, at some point adaptation limits this benefit
by decreasing the response amplitude enough that SNR and response detection suffer. This
trade-off can be seen in the estimates of recording time required to reach 0 dB SNR. The
acquisition times improve (i.e., become faster) or remain similar for rates up to either 60 or 80
Hz – especially for the mid-to-high frequencies – and then lengthen again for the higher rates
(Figure 3B) as the responses become smaller and broader (Figures 1, 4). When considering all
tone pip frequencies at both intensities, the fastest recording times for most subjects is achieved
with a 40 Hz stimulation rate – a rate that is consistently used in current clinical protocols
(American Academy of Audiology 2012; BC Early Hearing Program 2012; Ontario Ministry of
Children, Community and Social Services 2018). However, the trade-off between adaptation
and SNR is subtle for the pABR stimuli, especially depending on the tone pip of interest. If
focusing on low frequency tone pips then the 20–40 Hz rates are optimal, but for tone pips ≥
2000 Hz, higher rates continue to improve acquisition times by a few minutes for most subjects
(Figure 3). A few minutes represents valuable time when conducting diagnostic tests on infants,
when the length of napping, and thus remaining testing time, is unknown. Furthermore, the
effect of rate may not be as drastic for infants – amplitudes for click stimuli do not decrease as
much for infants compared to adults, although they start off with a smaller amplitude for lower
rates (Lasky 1997; Lasky 1984). Therefore, despite adaptation, a method that utilizes a
combination of rates may speed up the time required to estimate hearing thresholds that may
differ across frequencies, and will need to be tested in the clinic and with infants.

Acquisition times are reasonable for the pABR stimuli, and can be supported by extending the
analysis window to better capture broader responses in some subjects. For most subjects, an
analysis window of 10 ms adequately covers the wave V component (Figures 1, 5) and provides
timely estimates to 0 dB SNR, with median total recording times for all 10 tone pips at most
rates within 10 minutes for the lower intensity and within 4 minutes for a higher intensity (Figure
3). However, the recording time at a given level, at which the pABR yields responses for all
frequencies in both ears, depends on the slowest response to emerge. For our adults with
normal hearing, the broader 500 and 1000 Hz responses are the slowest – these two responses
increase the median testing time for most rates from < 4 minutes for the lower intensity and < 2
minutes for the higher intensity to < 10 and < 4 minutes respectively. These are still acceptable
times to simultaneously collect 10 responses and represent the substantial improvement in
measurement time over serial measurement (Polonenko & Maddox 2019), but there are cases
where the recording times are much longer for the low frequency tone pips. The "slowest
quartile" of subjects have testing times that are < 10 minutes for 2–8 kHz tone pips but > 15
minutes for the low frequency tone pips (Figures 3, 5). In the cases where the low-frequency
tone pip responses are visible, but the time for reaching the criterion SNR is taking longer than
6–9 minutes, there can be a significant speedup benefit of 5–16 minutes (corresponding to
speedup ratios of 2–7) to using an extended analysis window that captures more of the broader

response (Figure 4; Stapells & Oates 1997). This longer analysis window which is afforded by
the random timing of the pABR and can be done for the same recording data, thereby not
requiring extra recording runs/time. Next, the stimulation rate can be reduced to 20 Hz, which
gives the most speedup advantage for detecting a response for 500 Hz at a lower intensity
(Figure 5). This flexibility of using multiple analysis windows and stimulation rates gives another
option in the toolkit that is easily implemented with the pABR.

Measurement time will also depend on hearing thresholds and implementation into a clinical
setting. Time is limited by the 500 and 1000 Hz responses in the context of adults with normal
hearing, but most hearing losses are more severe in the higher frequencies (e.g., Pittman &
Stelmachowicz 2003). When obtaining responses at higher intensities, the threshold for the
lower tone pip frequencies will either be established already or a response confirmed as present
at the higher intensity. Then the time will be limited by the SNR of the higher tone pip frequency
responses. Response amplitudes tend to be more linear for hearing loss and for high
frequencies, because high frequency responses do not suffer the same blurring together of tone
pips or adaptation (shallower amplitude-rate slopes at a level closer to threshold I Figure 2;
smaller changes in recording time in Figure 3). Both of these suggest that there may still be
time-saving advantages of using higher rates when searching for elevated thresholds at higher
frequencies. At the lower intensity, 90% of subjects reached criterion for the 2–8 kHz tone pips
within 5 minutes for the 80 Hz rate, compared to 7–9 minutes for rates < 80 Hz. Using faster
rates for higher frequencies may save valuable time and allow more intensities to be tested
within a recording session, giving a more complete exam. Of course the actual acquisition times
will depend on the stopping criteria and implementation in the clinic. Here we used 0 dB SNR,
but the reported minutes will scale if that threshold is changed (doubling, e.g., if it is increased to
3 dB SNR). We will next evaluate the pABR in a clinical setting and determine the time to find
thresholds for a variety of degrees and shapes of hearing loss in adults who are able to sit
through a complete session. Then, because the responses and acquisition times may differ with
infants (Werner et al. 1993), we will finally test the method for evaluating hearing thresholds in
infants.

Clinical implementation of the pABR also requires calibration of the pABR stimuli using
perceptual thresholds. Perceptual thresholds to the brief pABR stimuli show some temporal
integration with increasing rate (Figures 6, 7), but less than full integration. The energy
increases nearly 6-fold – or 8 dB – from a stimulation rate of 20 to 120 Hz, but the differences in
perceptual thresholds ranged from 3.5 dB for the 8000 Hz tone pip to 6 dB for the 500 Hz tone
pip (Figure 7, Table 3). This minimal change in thresholds, and thus correction factors, across
stimulation rates makes a multiple-rate paradigm easy to implement in an effort to obtain
thresholds in the fastest recording time. For the optimal rate of 40 Hz, our normative values of
15.1–19.4 dB nHL for ER-2 earphones (Table 3, bottom) are lower than most reported values of
20–26 dB nHL for tone pips at similar stimulation rates (37.1–39.1 Hz, 41 Hz) using ER-3A
inserts (Sharma et al. 2003; Stapells 2010; Stapells & Oates 1997). This holds even when we
use our correction factors (Table 3, top) and convert to dB nHL using the RETSPLs for ER-3A
inserts. This suggests that the pABR may require lower levels to obtain a response, but there
are other variables that may contribute to these different norms. Primarily, our method adjusts
for both temporal integration and the subject's pure-tone threshold, whereas these other norms

do not correct for thresholds < 15–20 dB HL (Sharma et al. 2003; Stapells 2010; Stapells &
Oates 1997). Our values are more similar to the 17–21 dB nHL values obtained using the
similar method of subtracting the pure-tone threshold from the threshold to the tone pip (Gorga
et al. 1993). Other variables that contribute to some minor variation in norms are final step sizes
(here we used 5 dB, others have used 2 dB), duration of the stimuli (like our study, most use 5
cycles, but some use 4 cycles), and calibration units for the tone pips (dB pSPL, peSPL,
ppeSPL). We have provided the correction values in dB (Table 3, top) from which our normative
dB nHL values were calculated, so that our values can be used by future studies by simply
adding the RETSPLs for the transducers being used to the correction factors. We recommend
using our correction factors because they directly compare our subjects' thresholds to pure-
tones and tone pips while using the same transducer, thereby accounting for temporal
integration, hearing threshold re: 0 dB HL, and transducer. When using different transducers for
future studies, however, it is important to note that the frequency responses of earphones differ,
which may affect the morphology and amplitudes of the pABR responses to high-frequency tone
pips in particular. For example, ER-3A inserts are commonly used in the clinical systems, which
have a spectrum that decreases after 8 kHz. This is appropriate as most clinical diagnostic
exams only test up to 4 kHz. But the pABR as implemented here tests up to 8 kHz, so we use
the ER-2 inserts that have a flatter spectrum to 10 kHz. These differences in ER-2 and ER-3A
transducer spectra have resulted in differences in ABRs to chirp stimuli (Elberling et al. 2012).
Finally, these correction and normative values are for perception. Often, higher dB nHL levels
are needed to evoke an electrophysiological response, and additional correction factors are
needed to convert the physiological dB nHL level to estimated perceptual level (dB eHL). For
example, for some systems, a normal hearing threshold of 25 dB eHL is established if an ABR
response is present at 35–40 dB nHL for 500 Hz but 25 dB nHL for 4 kHz (American Academy
of Audiology 2012; BC Early Hearing Program 2012; Ontario Ministry of Children, Community
and Social Services 2018). Now that we have established the dB nHL corrections, our next step
is to determine the relationship between dB nHL and dB eHL thresholds for a range of hearing
loss severities and configurations.

For this study we focused on the wave V component of the pABR responses. However,
additional earlier ABR and later middle-latency components are visible in the responses to
pABR stimuli, particularly in at the higher intensity of 81 dB peSPL (Figures 1, 4). While not
quantified herein, measuring the earlier waves may provide useful information for other clinical
and research applications, such as evaluating cochlear synaptopathy (Bharadwaj et al. 2014;
Bramhall et al. 2017; Liberman et al. 2016; Prendergast et al. 2017). Perhaps measuring
responses at a low and high rate may reveal differences in synchronization at different stages of
early auditory processing (Milloy et al. 2017). Often clicks of higher intensity (>100 dB peSPL)
are used but even 81 dB peSPL yields robust responses with the pABR. Furthermore, results
from our earlier work suggest that the pABR may be more place-specific at higher intensities
than serially presented stimuli (Polonenko & Maddox 2019). Future studies can investigate the
utility of the pABR for other applications than estimating hearing thresholds.

CONCLUSIONS

The pABR evokes robust responses across a range of rates and intensities within reasonable recording times. The random timing affords extended analysis windows, allowing better estimates of noise in the pre-stimulus interval and faster detection of broader responses to low frequency tone pips or responses at lower intensities. A pABR method utilizing multiple stimulation may be useful in quickly estimating thresholds, particularly when thresholds are elevated at high but not low frequencies. We recommend that testing start with a stimulation rate of 40 Hz and a 10 ms analysis window. If responses are visible but longer times are needed to reach stopping criterion, then we suggest first increasing the analysis window to 30 ms and then change the rate as necessary. For calibration, we recommended using the correction factors established here and then adding the appropriate RETSPL for the specific transducer, so that the norms account for temporal integration, pure-tone threshold, and transducer. Finally, our future studies will evaluate the relationship between the ABR and perceptual thresholds as well as the measurement time in a clinical setting.

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DATA AVAILABILITY

EEG data will be made available in the EEG-BIDS format (Pernet et al. 2019) on Dryad (https://doi.org/10.5061/dryad.1c59zw3vm), as well as the stimulus files and python code necessary to derive the pABR responses. The behavioral data are deposited to the same Dryad repository.

SUPPLEMENTAL DIGITAL CONTENT

Supplemental Digital Content 1. Table that describes details of the linear mixed effects models for wave V latency and amplitude that include the gender variable. pdf

Supplemental Digital Content 2. Figures that illustrate the CDFs for all stimulus rates and intensities using a 10 ms and 30 ms analysis window. pdf

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