1	Genomic inference of a human super bottleneck in the Early Stone Age
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3	Wangjie Hu <sup>1,†</sup> , Ziqian Hao <sup>1,†</sup> , Pengyuan Du <sup>1</sup> , Yi-Hsuan Pan <sup>2,*</sup> , Haipeng Li <sup>1,3,*</sup>
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5	<sup>1</sup> CAS Key Laboratory of Computational Biology, Shanghai Institute of Nutrition and
6	Health, University of Chinese Academy of Sciences, Chinese Academy of Sciences,
7	Shanghai 200031, China.
8	<sup>2</sup> Key Laboratory of Brain Functional Genomics of Ministry of Education, School of
9	Life Science, East China Normal University, Shanghai 200062, China.
10	<sup>3</sup> Center for Excellence in Animal Evolution and Genetics, Chinese Academy of
11	Sciences, Kunming 650223, China.
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13	†These authors contributed equally.
14	
15	*Corresponding Authors: <u>yxpan@sat.ecnu.edu.cn</u> ; <u>lihaipeng@picb.ac.cn</u>
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17	Short title: Human super bottleneck
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#### 20 Abstract

The demographic history has been a foundation of human evolutionary studies for 21 more than a century. In this study, we developed a novel method referred to as the fast 22 infinitesimal time coalescent (FitCoal) process. This method allows the accurate 23 calculation of the composite likelihood of a site frequency spectrum and provides the 24 precise inference of recent and ancient demographic history. Genomic sequences of 25 the 1000 Genomes Project and the Human Genome Diversity Project – Centre 26 d'Etude du Polymorphisme Humain panel were analyzed. Results showed that all ten 27 28 African populations had a population super bottleneck, a small effective size of approximately 1,280 breeding individuals between 813 and 930 thousand years ago, 29 and a 20-fold rapid growth at the end of the bottleneck. The super bottleneck caused a 30 loss of 65.85% in current human genetic diversity, but it may have separated our 31 ancestors from other hominins. Further analysis confirmed the existence of the super 32 bottleneck in all 40 non-African populations. Our results provide new insights into 33 human evolution in the Early Stone Age. 34

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37 Inferring demographic history from genomic information has played an important role in population genetics. It uncovers prehistoric evolutionary events and deepens 38 our understanding about the evolution of human and other species<sup>3-7</sup>. Multiple 39 methods have been developed to infer demographic history with a predefined 40 demographic model<sup>8-12</sup>. These methods require prior knowledge about the species 41 being investigated and estimation parameters by fitting in summary statistics such as 42 site frequency spectrum (SFS). In contrast, model-free methods do not need a 43 predefined model when inferring demography<sup>13-18</sup>. As SFS plays an essential role in 44 demographic inference, many efforts have been attempted to derive its analytical 45 formula under certain demographic models<sup>19-21</sup>. 46

To precisely infer recent and ancient demography, we developed the fast 47 infinitesimal time coalescent (FitCoal) process (Fig. 1) that calculates expected 48 branch length for each SFS type under arbitrary demographic models. It is effective 49 for a wide range of sample sizes in the calculation of the composite likelihood of a 50 51 given SFS<sup>8,9</sup>. To infer the demographic history, FitCoal first maximizes the likelihood with the constant size model and then increases the number of inference time intervals 52 and re-maximizes the likelihood until the best model is found. As inference time 53 54 intervals are variable to avoid long fixed ancient time intervals, the precision in the inference of ancient demographic events can be improved. FitCoal does not need prior 55 information on demography, and its accuracy can be confirmed by simulation. 56

With African hominid fossils, the origin of anatomically modern humans has been 57 determined to be approximately 200 thousand years (kyr) ago<sup>22</sup>. Although the 58 demographic history of anatomically modern humans has been intensively 59 studied<sup>14,15,17,23-26</sup>, it is conceivable that many new insights remain to be explored. In 60 this study, we used FitCoal to analyze genomic sequences of the 1000 Genomes 61 Project phase 3 (1000GP)<sup>1</sup> and the Human Genome Diversity Project–Centre d'Etude 62 du Polymorphisme Humain panel (HGPD-CEPH)<sup>2</sup>. Results revealed a super 63 bottleneck in all 10 African populations between 813 and 930 kyr ago with an 64 effective population size of 1,280. According to the coalescent theory and simulations, 65

the super bottleneck cannot be directly inferred in the non-African populations
because fewer coalescent events remained in the non-African populations during the
bottleneck period. Instead, a hidden effect of the bottleneck was found in all 40
non-African populations. Our results suggest that our ancestors experienced a super
bottleneck and the effective size of our ancestors remained small for a very long
period of time (~117,000 years). The super bottleneck may have separated our
ancestors from other hominins<sup>27,28</sup>.

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# 4 Fast infinitesimal time coalescent process

75 As determination of expected branch length for each SFS type is essential for theoretical population genetics and demographic inference<sup>8,9</sup>, we developed the fast 76 77 infinitesimal time coalescent (FitCoal) process to accomplish the task (Fig. 1). For FitCoal analysis, each of millions of time intervals  $\Delta t$  was set extremely small, and 78 79 the population size was assumed to be constant within each infinitesimal time interval. 80 The probabilities of all states were calculated backward in time. During each  $\Delta t$ , the branches were categorized according to their state. For each state, the branch length 81 82 was multiplied by its probability and population size and then transformed to calculate the expected branch length of each SFS type. Because the expected branch length of a 83 84 SFS type is equal to the sum of the expected branch length of this type during each 85 time interval, the latter can be rescaled and tabulated, making the calculation of the expected branch lengths extremely fast under arbitrary demographic histories. 86 Hereafter, tabulated FitCoal is referred to as FitCoal for short, unless otherwise 87 indicated. 88

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## 90 FitCoal demographic inference

After the expected branch lengths were obtained, the composite likelihood of the
SFS observed in a sample was calculated<sup>8,9,15,29</sup>. As each single nucleotide
polymorphism (SNP) was treated independently, FitCoal did not need phased
haplotype data. When inferring demography, the likelihood was maximized in a wide
range of demographic scenarios. The FitCoal likelihood surface is smooth (Fig. S1),
so it is efficient to maximize the likelihood. FitCoal considered both instantaneous
populations size changes<sup>14-16</sup> and long-term exponential changes of population in

98 order to generate various demographic scenarios.

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### 100 Demographic inference on simulated data

The accuracy of FitCoal was validated by simulation and comparing its 101 demographic inferences with those of PSMC<sup>14</sup> and stairway plot<sup>15</sup> (Fig. 2). Six 102 different demographic models, examined in the study by Liu and Fu<sup>15</sup>, were 103 considered by simulating 200 independent data sets under each model. The medians 104 and 95% confidence intervals of demography were then determined by FitCoal with 105 the assumption that a generation time is 24 years  $^{15,30}$  and the mutation rate is 106  $1.2 \times 10^{-8}$  per site per generation for human populations<sup>15,31-33</sup>. Our results (Fig. 2) 107 confirmed that SFS allows precise recovery of the demographic history<sup>34</sup>. 108 FitCoal was found to precisely infer demographic histories (Fig. 2), and the 109 inference accuracy was improved by increasing sample size and length of sequence 110 (Fig. S2). In general, the confidence intervals of FitCoal inferred histories were 111 narrower than those of PSMC and stairway plot, except for those with insufficient 112 information on ancestral populations (Fig. 2c). The proportion of the most recent 113 change type inferred from the six different models mentioned above also showed that 114 FitCoal can distinguish instantaneous and exponential changes (Table S1). 115 Since a demographic event may affect every SFS type, demographic history can 116 be inferred using a subset of SFS. Results of simulation confirmed that FitCoal 117 accurately determined demographic history based on truncated SFSs (Fig. S3, 4), thus 118 reducing the impact of other factors, such as positive selection (Fig. S5) and 119 sequencing error, on FitCoal analysis. 120

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#### 122 Demographic inference of African populations

To infer the demographic histories of African populations, seven African
populations in the 1000GP<sup>1</sup> were analyzed by FitCoal. Only non-coding regions,
defined by GENCODE<sup>35</sup>, were used in order to avoid the effect of purifying selection.
To avoid the potential effect of positive selection<sup>36</sup>, high-frequency mutations were
excluded from the analysis.

Results showed that all seven African populations had a super bottleneck around
914 (854–1,003) kyr ago and that this bottleneck was relieved about 793 (772–815)
kyr ago (Fig. 3a-c, S6; Table S2). The average effective population size of African

populations during the bottleneck period was determined to be 1,270 (770–2,030).
Although traces of the bottleneck were observed in previous studies, the bottleneck
was ignored because its signatures were too weak to be noticed<sup>1,2,14,16,17</sup>. After the
bottleneck was relieved, the population size was increased to 27,080 (25,300–29,180),
a 20-fold increase, around 800 kyr ago. This population size remained relatively
constant until the recent expansion.

To avoid the potential effects of low sequencing depth ( $\sim 5x$ ) of non-coding 137 regions in the 1000GP on the analysis, the autosomal non-coding genomic 138 139 polymorphism of HGDP-CEPH data set with high sequencing coverage (~35x) was used. In total, populations with more than 15 individuals each were examined. Results 140 showed that the super bottleneck occurred between 859(856-864) and 1,257(1,042-141 1,527) kyr ago in all three African populations in HGDP-CEPH (Fig. 3d-f, S7; Table 142 S3), and the average population size during the bottleneck period was 1,300 (908– 143 1,670). This number was very similar to that (1,270) estimated from the data of 144 1000GP. 145

After the bottleneck was relieved, the population sizes of the two HGDP-CEPH 146 agriculturalist populations were increased to 27,300 and 27,570 (Fig. 3e, S7; Table 147 148 S3), consistent with the 1000GP estimate of 27,280. However, the Biaka, a hunter-gatherer population, had a larger population size of 35,330, suggesting a deep 149 divergence between this and other agriculturalist populations<sup>37-39</sup>. The Biaka 150 population was found to have a recent population decline (Fig. 3d, S7), as previously 151 observed<sup>2</sup>. These results suggest that hunter-gatherer populations were widely spread 152 and decreased when agriculturalist populations were expanded. 153

To provide a precise inference of the super bottleneck, the results from the two data sets were combined. After analyzing the inferred time of instantaneous change of ten populations, the super bottleneck was inferred to last for about 117,000 years,

157 from 813 (772–864; s.e.m.: 11.02) to 930 (854–1,042; s.e.m.: 23.52) kyr ago. The

effective size during the bottleneck period was precisely determined to be 1,280 (767–

159 2,031; s.e.m.: 131). A loss of 65.85% in current genetic diversity of human

160 populations was estimated because of the bottleneck.

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### 162 Demographic inference of non-African populations

No super bottleneck was directly observed in all 19 non-African populations in 163 1000GP (Fig. 3a-c, S6; Table S4). The ancestral population size of these populations 164 was determined to be 20,260 (18,850–22,220), similar to that determined in previous 165 studies<sup>2,14,16,17</sup>. The population size started to decline around 368 (175–756) kyr ago in 166 1000GP non-African populations, suggesting that African and non-African 167 divergence occurred much earlier than the out-of-African migration<sup>1,2,14,16,17,24</sup>. 168 European and South Asian populations were found to have a relatively weaker 169 bottleneck than East Asian populations, and the bottleneck severity was found to 170 correlate with their geographic distance to Africa, consistent with the observed 171 correlation between heterozygosity and geographic distance<sup>40,41</sup>. A weak bottleneck 172 was observed in American populations, probably because of recent admixture<sup>1</sup>. All 173 1000GP non-African populations were found to increase in size recently. 174 The super bottleneck was also not directly detected in all 21 HGDP-CEPH 175 non-African populations (Fig. 3d-f, S7; Table S5). The ancestral population size of 176 these populations was determined to be 20,030 (19,060–21,850), very similar to that 177 (20,260) estimated from 1000GP. These populations started to decline 367 (167–628) 178 kyr ago. A positive correlation was also observed between the severity of 179 180 out-of-African bottleneck and their geographic distance to Africa. The Middle East populations had the weakest bottleneck, while the Maya, an American population, had 181 182 the strongest bottleneck. Similar to 1000GP non-African populations, most HGDP-CEPH non-African populations were found to increase in size recently, except 183 an isolated Kalash population, consistent with previous studies<sup>2,42</sup>. 184

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## 186 Super bottleneck in the Early Stone Age

Although a super bottleneck was detected in all 10 African populations, such 187 bottleneck was not directly detected in all 40 non-African populations. To investigate 188 this phenomenon, simulations were performed with three 1000GP demographic 189 models, designated Bottleneck I, II, and III (Fig. 4). Bottleneck I simulated the 190 average inferred demographic history of African populations with the super 191 192 bottleneck, and Bottleneck II and III simulated the demography of non-African populations without and with the super bottleneck. Both Bottleneck I and II were 193 inferred correctly as a corresponding bottleneck was found in all simulated data sets 194 (Table S6). However, no super bottleneck was detected in Bottleneck III simulations. 195

196 The super bottleneck was found to cause a population size gap between the true model and inferred demographic history, suggesting a hidden effect of the super bottleneck 197 on non-African populations. Simulations were then extended to HGDP-CEHP 198 populations with Bottleneck models IV–VI, and similar results were obtained (Fig. S8; 199 Table S7). When simulations were performed on three artificial models (Bottleneck 200 VII-IX) with various demographic parameters, a population size gap was still 201 detected (Fig. S9; Table S8). These results suggest a hidden effect of the super 202 bottleneck on non-African populations. 203

The population size gap was found in both 1000GP and HGDP-CEPH data sets (Fig. 3a,d). The average population sizes of non-African populations were determined to be 20,260 and 20,030, respectively, while those of African agriculturalist populations were 27,080 and 27,440, respectively in these two data sets. The observed population size gap was 7,020, probably due to the hidden effect of the super bottleneck on non-African populations.

The reasons were then investigated why the super bottleneck had different effects 210 on African and non-African populations. Results showed that non-African populations 211 had the out-of-African bottleneck, but African populations lacked such bottleneck. 212 213 Therefore, the standard coalescent time of non-African populations was larger than that of African populations (Fig. 3c,f). As African populations had more coalescent 214 215 events occurred during the bottleneck period, the bottleneck was more readily detected. The mathematical proof on this issue was described in the supplemental 216 217 material.

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## 219 Discussion

In this study, we develop FitCoal, a novel model-flexible tool for demographic 220 inference. Key characteristic features of FitCoal are that the composite likelihood can 221 222 be rapidly calculated based on expected branch lengths and that inference time intervals are variable during the demographic inference. Since coalescent events 223 become rare when tracing backward in time, the length of time interval is usually set 224 to increase progressively<sup>14-17</sup>. Although this strategy can capture recent demographic 225 226 events, it may miss ancient ones. As FitCoal uses variable time intervals, it can give an accurate inference for both recent and ancient demographic events. 227

228 The most important discovery with FitCoal in this study is that human ancestors had a super bottleneck. The ancient population size reduction around 930 kyr ago was 229 likely to be due to the formation of geographically isolated populations driven by 230 culture or ecological factors. In addition, we found that our ancestors had a very small 231 effective size of approximately 1,280 breeding individuals during the bottleneck 232 period. This number is comparable in the same magnitude in the effective size of 233 mammals threatened by extinction<sup>43</sup>. We also detected an instantaneous recovery in 234 all ten African populations with a 20-fold population growth during a short time 235 period around 813 kyr ago (Fig. 3, S10). The earliest archaeological evidence for 236 human control of fire was found in Israel 790 kyr ago<sup>44,45</sup>. As the control of fire 237 profoundly affected social evolution<sup>46</sup> and brain size<sup>47</sup>, it may be associated with the 238 big bang in population size at the end of the super bottleneck. 239

The super bottleneck, which started about one million years ago, might be 240 associated with a speciation event in the early human evolution  $^{27,28}$ . The questions 241 about where the small ancient population dwelt, how they survived for such a long 242 time, and how they diverged from other hominin groups remain to be investigated. As 243 Neandertals and Denisovans diverged with the modern human between 270 and 440 244 kvr  $ago^{48,49}$ , it is conceivable that they also had the super bottleneck. In the future, a 245 more detailed picture of human evolution in the Early Stone Age may be revealed 246 247 when more genomic sequences of African populations and archaic hominins and more advanced population genomics methods become available. 248

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## 250 Methods

Standard coalescent time and time in generations. The population size is denoted  $N(\cdot)$ , representing the demographic history. Time  $\tau$  represents one-point scaled time since the time in a generation is scaled by 2N(0). Time t is usually scaled by 2N(t) generations<sup>20,34,50,51</sup>. To distinguish it from the one-point scaled time  $\tau$ , time tis designated as the standard coalescent time.

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Fast infinitesimal time coalescent (FitCoal) process. The FitCoal calculates the expected branch length for each type of site frequency spectrum (SFS) under arbitrary demographic history  $N(\cdot)$ . We assume that a sample is obtained by randomly taken *n* 

sequences from the population. The sample is designated to be state l (l = 2, ..., n)at time t if it has exactly l ancestral lineages at this time. The probability of state lat time t is denoted  $p_l(t)$ . In a coalescent tree, a branch is designated to be type i if it has exactly i descendants. We have

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$$\frac{\mathrm{d}}{\mathrm{d}t}p_{l}(t) = \begin{cases} \binom{l+1}{2}p_{l+1}(t) - \binom{l}{2}p_{l}(t) & l = 2, \cdots, n-1 \\ -\binom{l}{2}p_{l}(t) & l = n \end{cases}$$

265 When  $\Delta t$  is extremely small (Fig. 1), there is at most one coalescent event during t 266 and  $t + \Delta t$ , leading to

267 
$$p_{l}(t + \Delta t) = \begin{cases} \binom{l+1}{2} \Delta t p_{l+1}(t) + (1 - \binom{l}{2} \Delta t) p_{l}(t) & l = 2, \cdots, n-1 \\ (1 - \binom{l}{2} \Delta t) p_{l}(t) & l = n \end{cases}$$

The branch length is in units of generations. The expected branch length of state *l* during *t* and  $t + \Delta t$  is calculated as  $\int_{t}^{t+\Delta t} 2N(t)p_{l}(t)ldt$ . The probability that a branch of state *l* is of type *i* is  $\frac{\binom{n-i-1}{l-2}}{\binom{n-1}{l-1}}$  (ref.<sup>20</sup>). The expected branch length of type *i* of state *l* during *t* and  $t + \Delta t$  is  $\int_{t}^{t+\Delta t} 2N(t)p_{l}(t)l\frac{\binom{n-i-1}{l-2}}{\binom{n-1}{l-1}}dt$ . Therefore, the

expected branch length  $BL_i(N(\cdot))$  of type *i* is

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$$\sum_{l=2}^{n-i+1} \int_0^\infty 2N(t) p_l(t) l dt \frac{\binom{n-i-1}{l-2}}{\binom{n-1}{l-1}}$$

A FitCoal time partition is denoted by  $\{t_0, t_1, \dots, t_m\}$ , where  $0 = t_0 < t_1 < \dots < t_m$ . We have  $p_l(t_0) = \begin{cases} 1 & l = n \\ 0 & \text{else} \end{cases}$ . For a large positive number m, if  $t_m$  is large and  $(t_k - t_{k-1})$  is small for  $k = 1, \dots, m$ , then

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$$p_{l}(t_{k}) = \begin{cases} \left(1 - {l \choose 2}(t_{k} - t_{k-1})\right)p_{l}(t_{k-1}) & l = n \\ \left(1 - {l \choose 2}(t_{k} - t_{k-1})\right)p_{l}(t_{k-1}) + {l+1 \choose 2}(t_{k} - t_{k-1})p_{l+1}(t_{k-1}) & \text{else} \end{cases},$$

278 where  $k = 1, \cdots, m$ .

279 The expected branch length of type i is calculated as

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$$BL_{i}(N(\cdot)) = \sum_{l=2}^{n-i+1} l \frac{\binom{n-i-1}{l-2}}{\binom{n-1}{l-1}} (\sum_{k=1}^{m} 2N(t_{k-1})p_{l}(t_{k-1})(t_{k}-t_{k-1})).$$

To determine the time partition, we required that the coalescent probability was less than  $10^{-4}$  during  $t_{k-1}$  and  $t_k$  ( $k = 1, \dots, m$ ), the probability of common ancestor (*i.e.*, the probability of state 1) at  $t_m$  was larger than  $(1 - 10^{-6})$ . When the sample size was 10, the number of infinitesimal time intervals was 1,571,200. When the sample size was 200, the number of infinitesimal time intervals was 7,038,398.

Thus, each  $\Delta t$  was extremely small for precise calculation of expected branch length, and the time was partitioned to obtain  $p_l(t)$  in order to calculate the expected branch length of type *i*.

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Tabulated FitCoal. The expected branch length of each type can be calculated for 290 arbitrary time intervals according to the procedure described above. Considering 291 another tabulated time partition  $\{t_0, t_1, \dots, t_m\}$   $(0 = t_0 < t_1 < \dots < t_m)$ , the expected 292 293 branch length of a type is equal to the sum of the expected branch length of this type during each tabulated time interval, thus the latter can be rescaled and tabulated. 294 295 The scaled expected branch length  $BL_{i,t}$  of type *i* during 0 and *t* is  $BL_{i,t} = \sum_{l=2}^{n-i+1} \int_0^t p_s(l) l \frac{\binom{n-i-1}{l-2}}{\binom{n-1}{l}} ds$ , where  $i = 1, \dots, n-1$ . For the tabulated time 296 partition  $\{t_0, t_1, \dots, t_m\}$ ,  $BL_{i,t_0}$ ,  $BL_{i,t_1}$ ,  $\dots$ , and  $BL_{i,t_m}$  are tabulated. When n = 10, 297 m = 231. When n = 200, m = 529. 298  $BL_{i,t}$  is used to calculate the expected branch lengths under arbitrary 299 demographic histories. When  $\tilde{t} \in [t_{k-1}, t_k)$ , 300  $BL_{i,\tilde{t}} \approx \frac{t_k - \tilde{t}}{t_k - t_{k-1}} BL_{i,t_{k-1}} + \frac{\tilde{t} - t_{k-1}}{t_k - t_{k-1}} BL_{i,t_k}.$ 301 If N(t) is a piecewise constant, that is, there exist a demographic time partition 302  $\{\tilde{t}_0, \tilde{t}_1, \cdots, \tilde{t}_{\widetilde{m}}\}, N(t) = N_k \text{ for } t \in [\tilde{t}_k, \tilde{t}_{k+1}), k = 0, \cdots, \widetilde{m}. \text{ Then, the expected}$ 303 branch length of type *i* is calculated as 304  $BL_i(N(\cdot)) = \sum_{k=1}^{\widetilde{m}} 2N_k (BL_{i,\widetilde{t}_k} - BL_{i,\widetilde{t}_{k-1}}).$ 305 When N(t) is complex, the population size can be approximated by a piecewise 306 307 constant function. 308 **Composite likelihood.** The mutation rate per base pair per generation is denoted  $\mu$ , 309 and  $\vec{\xi} = (\xi_i)$  is the observed number of SNPs of *n* sequences with  $\sigma$  base pairs, 310 where  $i = 1, \dots, n-1$ . The expected SFS is  $\vec{\lambda} = (\lambda_i)$ , where  $\lambda_i = \mu \sigma B L_i(N(\cdot))$ . 311 Following the Poisson probability and previous studies<sup>8</sup>, the composite likelihood is 312 calculated as follows: 313

314  $L_{\mu,\iota}(\vec{\xi}, N(\cdot)) = \prod_{i=1}^{n-1} \frac{\lambda_i^{\xi_i} e^{-\lambda_i}}{\xi_i!}.$ 

The likelihood is extended to missing data and truncated SFS (see Supplemental materials).

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**Demographic inference.** The number of demographic time intervals is variable.

FitCoal first fits the observed SFS using a constant size model with one demographic

time interval, and the number of time intervals is increased by one at a time to

321 generate more complex models. The Local Unimodal Sampling (LUS) algorithm<sup>52</sup> is

used to maximize the likelihood and estimate demographic parameters. A

log-likelihood promotion rate is used to determine the best model to explain the

observed SFS, and 20% is used as the threshold.

A series of demography with m pieces is denoted by a set S(m), where S(m)contains all of the following m pieces of population size:

$$N(t|N0 > 0, N_{(m)}, t_{(m)}, c_{(m)})$$

$$= \begin{cases} N_m N 0 & t \ge t_m \\ N_k N 0 & t_k \le t < t_{k+1}, c_k \in \mathcal{C}, k = 1, \cdots, m-1, \\ \frac{(t_{k+1} - t_k)N_{k+1}N_k N 0}{(t - t_k)N_k + (t_{k+1} - t)N_{k+1}} & t_k \le t < t_{k+1}, c_k \in \mathcal{E}, k = 1, \cdots, m-1 \end{cases}$$

328 where  $N_{(m)} = (N_1, \dots, N_m) \in N[m], t_{(m)} = (t_1, \dots, t_m) \in t[m],$ 

329 
$$c_{(m)} = (c_1, \dots, c_m) \in c[m], \ N[m] = \{(N_1, \dots, N_m) | N_1 = 1, N_i > 0 \text{ for } i > 1\},\$$

330 
$$t[m] = \{(t_1, \dots, t_m) | 0 = t_1 < \dots < t_m\}, \ c[m] = \{(c_1, \dots, c_m) | c_m \in \mathcal{C}, c_i \in \mathcal{C} \cup (c_i \in \mathcal{C}) \}$$

331  $\mathcal{E}$  for  $= 1, \dots, m-1$ ,  $\mathcal{C} = \{\text{constant}\}, \text{ and } \mathcal{E} = \{\text{exponential}\}.$ 

The set S(m) was used as the wide-range parameter space to determine the maximum likelihood. To find the best demographic history to explain the observed SFS, the following procedures were used:

335 (1) The number of inference time intervals (or pieces) m is initially set to 1, and the 336 maximum likelihood max  $L_1$  is determined with the constant size model (model in 337 S(1)).

338 (2) Increase *m* by 1. For each change of type  $c_{(m)}$ , parameters  $N_{(m)} = (N_1, \dots, N_m)$ 339 and  $t_{(m)} = (t_1 = 0, t_2, \dots, t_m)$  are searched to maximize the likelihood by LUS 340 algorithm to fit the observed SFS. The maximum likelihood max  $L_m$  is calculated 341 with models in S(m) with all possible change types.

342 (3) Repeat step (2) until  $(1 + \text{threshold}) \cdot \log(\max L_m) < \log(\max L_{m-1})$  is

- obtained. The best model corresponding  $\max L_{m-1}$  is determined to explain the observed SFS.
- 345 (4) To avoid local optima, steps (1) (3) are repeated K times to find the best model.
- 346 K = 10 when analyzing simulated samples, and K = 200 when analyzing the

observed SFSs of the 1000GP and HGDP-CEPH populations.

To determine the threshold of log-likelihood promotion rate, a large number of 348 simulations were performed (Table S9). For each model, 200 replicates were 349 conducted, and the number of inference time intervals in the estimated demographic 350 history was determined for each replicate. If the estimated number of inference time 351 intervals was larger than the true number of inference time intervals, overfitting was 352 recorded. When the former was smaller than the latter, underfitting was considered. 353 The thresholds of 10%, 20%, and 30% were used. When 10% was used, the maximum 354 355 overfitting rate was 2%. When 20% was used, all cases examined were inferred correctly. When 30% was used, the underfitting was observed in one of 20 examined 356 models. Therefore, 20% was used as the threshold of log-likelihood promotion rate in 357 subsequent analyses. 358

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**Data simulation.** Data were simulated using ms<sup>53</sup> and MaCS<sup>54</sup> software. Unless 360 otherwise specified, a generation time was assumed to be 24 years $^{15,30}$ , the mutation 361 rate  $\mu$  was set for  $1.2 \times 10^{-8}$  per base per generation<sup>15,31-33</sup>, and the recombination 362 rate was  $r = 0.8\mu$ . For each model, 200 SFSs were simulated to calculate the median 363 and 2.5 and 97.5 percentiles. When verifying the inferred demographic histories, 364 80,000 DNA fragments with the length of 10kb each were used for simulation, taking 365 into the consideration of small fragments split by sequencing mask in 1000GP and 366 HGDP-CEPH data sets. High frequency alleles of SFS (10% mutation types for 367 Bottleneck I, II, III, VII, VIII, IX, and 15% for Bottleneck IV, V, VI) were removed 368 when assessing models to verify the super bottleneck. Detailed simulation command 369 370 lines and demographic inference are presented in the Supplementary information. 371

**1000 Genomes Project data.** Sequences of autosomal SNPs in 1000GP phase 3 (ref.<sup>1</sup>)
were downloaded from the 1000GP ftp server

374 (ftp://ftp.1000genomes.ebi.ac.uk/vol1/ftp/release/20130502/), and 26 populations

were analyzed, including seven African populations (ACB, ASW, ESN, GWD, LWK,

- MSL, and YRI), five European populations (CEU, FIN, GBR, IBS, and TSI), five
- East Asian populations (CDX, CHB, CHS, JPT, and KHV), five South Asian
- populations (BEB, GIH, ITU, PJL, and STU), and four American populations (CLM,

379 MXL, PEL, and PUR). The 1000 GP strict mask was used to exclude artifacts of SNP

calling. Noncoding regions except pseudogenes, defined by GENCODE release 35

(ref.<sup>35</sup>), were examined to avoid potential effects of purifying selection. Sites without
high-confidence ancestral allele inference, according to 1000GP annotations, were
excluded. The number of bi-allelic sites that passed the filtering was 826,649,529. To
avoid the effect of positive selection, high frequency mutations were excluded, and
the truncated SFS was used to infer demographic history (Fig. S11; Table S10). The
average proportion of excluded high-frequency SNPs for all 1000GP populations was
4.40%.

388

HGDP-CEPH data. In total, 24 populations were analyzed, including three African 389 populations (Biaka, Mandeka, and Yoruba), five European populations (Adygei, 390 Basque, French, Russian, and Sardinain), four Middle East populations (Bedouin, 391 Druze, Mozabite, and Palestinian), three East Asian populations (Han, Japanese, and 392 Yakut), eight Central and South Asian populations (Balochi, Brahui, Burusho, Hazara, 393 Kalash, Makrani, Pathan, and Sindhi), and an American population (Maya). Only 394 bi-allelic SNPs locating in GENCODE non-coding regions<sup>35</sup> except pseudogenes that 395 passed HGDP-CEPH filtering were used. HGDP-CEPH accessible mask was also 396 used to filter SNPs<sup>2</sup>. The number of sites that passed filtering was 791,999,125. 397 398 Missing data were allowed to avoid artifacts due to imputation. The proportion of sites with two or more missing individuals was less than 3% for all populations (Table 399 400 S11). Each population had two SFSs, with one calculated from sites with no missing data, and another from sites with one missing individual. Similarly, truncated SFSs 401 402 were used to avoid the effect of positive selection (Fig. S12, S13; Table S12). The average proportion of excluded high-frequency SNPs for all HGDP-CEPH 403 populations was 7.18%. 404 405

## 406 Data availability.

The authors declare that all data supporting the findings of this study are included inthis paper and its supplementary information file.

409

## 410 **Code availability.**

FitCoal is a free plug-in of the eGPS software<sup>55</sup> and can be downloaded and run as an

- 412 independent package. FitCoal and its documentation are available via Zenodo at
- 413 <u>https://zenodo.org/record/4765447#.YKDt7aG-vuq</u>, our institute website at
- 414 <u>http://www.picb.ac.cn/evolgen/</u>, and eGPS website <u>http://www.egps-software.net/</u>.

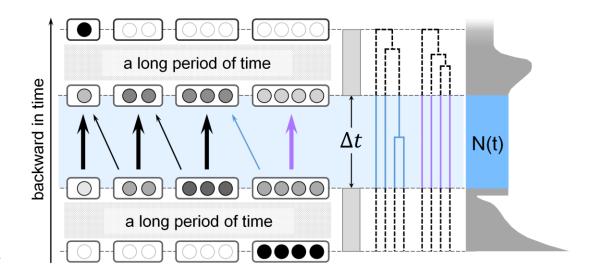
415					
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423					
424	Author contributions: W.H., Z.H., Y.H.P., and H.L. conceived and designed the				
425	research; W.H., Z.H., and H.L. wrote the code; W.H., Z.H., P.D., and Y.H.P. analyzed				
426	the data; W.H., Z.H., P.D., Y.H.P., and H.L. wrote the paper.				
427					
428	Competing interests: All authors declare that they have no competing interests.				
429					
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Figure 1. Illustration of the fast infinitesimal time coalescent (FitCoal) process. 558 The left panel shows the backward process in which four lineages coalesce into one 559 after passing through millions of infinitesimal time intervals. The highlighted area 560 shows the backward transformation process of different states with tiny probability 561 changes in an infinitesimal time interval ( $\Delta t$ ). Thick arrows indicate high 562 transformation probabilities, and thin arrows indicate low transformation probabilities. 563 Each state is indicated with a rounded rectangle, in which one circle indicates one 564 lineage. The rounded rectangles with black filled circles are the states with probability 565 566 1. The rounded rectangles with empty circles are the states with probability 0. The probabilities between 0 and 1 are indicated by grey circles. The middle panel shows 567 branches of different states. The right panel shows the demographic history of a 568 population. The width of shadowed area indicate the effective population size, *i.e.*, the 569 number of breeding individuals<sup>56</sup>. It is assumed that the effective population size 570 remains unchanged within  $\Delta t$ . 571

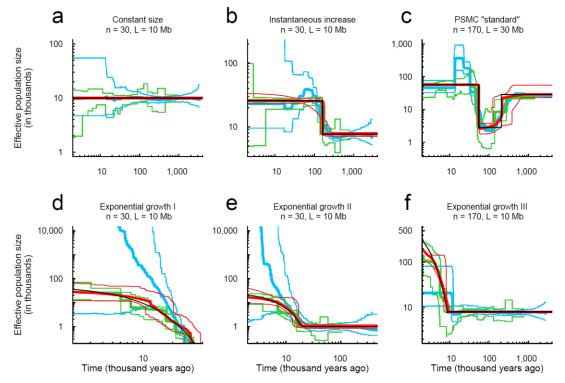


Figure 2. Demographic histories estimated by FitCoal, stairway plot, and PSMC 573 using simulated samples. (a) Constant size model. (b) Instantaneous increase model. 574 (c) PSMC "standard" model. (d) Exponential growth I model. (e) Exponential growth 575 II model. (f) Exponential growth III model. These six models are the same as those of 576 the previous study by Liu and Fu<sup>15</sup>. Thin black lines indicate true models. Thick red 577 lines indicate the medians of FitCoal estimated histories; thin red lines are 2.5 and 578 97.5 percentiles of FitCoal estimated histories. Green and blue lines indicate the 579 results of stairway plot and PSMC, respectively, of the previous study<sup>15</sup>. The mutation 580 rate is assumed to be  $1.2 \times 10^{-8}$  per base per generation, and a generation time is 581 assumed to be 24 years. *n* is the number of simulated sequences, and *L* is the length of 582 583 simulated sequences.

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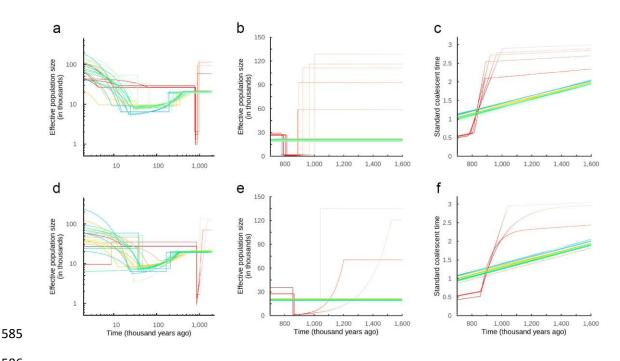
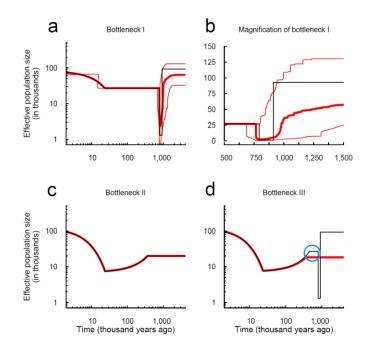




Figure 3. FitCoal estimated histories of human populations using 1000GP and 587 HGPD-CEPH genomic data sets. (a) Estimated histories of 26 populations in 588 1000GP. (b) Linear-scaled estimation of histories of 1000GP populations during the 589 super bottleneck period. (c) Calendar time vs standard coalescent time of estimated 590 histories of 1000GP populations. (d) Estimated histories of 24 HGPD-CEPH 591 populations. (e) Linear-scaled estimation of histories of HGPD-CEPH populations 592 during the super bottleneck period. (f) Calendar time vs standard coalescent time of 593 estimated histories of HGPD-CEPH populations. Various color lines indicate the 594 following: red, African populations; yellow, European populations; brown, Middle 595 East populations; blue, East Asian populations; green, Central or South Asian 596 populations; and dark sea green, American populations. The mutation rate is assumed 597 to be  $1.2 \times 10^{-8}$  per base per generation, and a generation time is assumed to be 24 598 years. 599



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602 Figure 4. Verification of the super bottleneck. (a) Bottleneck I model, mimicking the demography of 1000GP African population and its estimated histories. (b) 603 Linear-scaled Bottleneck I model during the super bottleneck period. (c) Bottleneck II 604 model, mimicking the estimated demography of 1000GP non-African population and 605 its estimated histories. (d) Bottleneck III model, mimicking the true demography of 606 1000GP non-African population and its estimated histories. Thin black lines indicate 607 models. Thick red lines denote the medians of FitCoal estimated histories; thin red 608 lines represent 2.5 and 97.5 percentiles of FitCoal estimated histories. Blue circle 609 indicates the population size gap. The mutation rate is assumed to be  $1.2 \times 10^{-8}$  per 610 base per generation, and a generation time is assumed to be 24 years. The number of 611 simulated sequences is 202 in Bottleneck I and 200 in Bottleneck II and III. The 612 length of simulated sequence is 800 Mb. 613 614

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