# Cortical Representations of Concrete and Abstract Concepts in Language Combine Visual and Linguistic Representations

<sup>5</sup> Jerry Tang<sup>1</sup>, Amanda LeBel<sup>2</sup>, Alexander G. Huth<sup>1,2</sup>

- <sup>7</sup> <sup>1</sup> Department of Computer Science, The University of Texas at Austin, Austin, TX 78712, USA
- <sup>8</sup> <sup>2</sup> Department of Neuroscience, The University of Texas at Austin, Austin, TX 78712, USA
- <sup>10</sup> Correspondence should be addressed to:
- Alexander G. Huth
- 12 100 E. 24th St., NHB 2.504
- 13 The University of Texas at Austin
- <sup>14</sup> Austin, TX 78712
- 15 E-mail: <<u>huth@cs.utexas.edu</u>>
- 17 Running Title: Visually grounded models of language processing
- <sup>19</sup> Manuscript Summary:
- 20 Pages: 34
- Abstract Words: 168
- 22 Words: 13508 (including figure legends)
- <sup>23</sup> Figures: 5
- 24 Supplementary Figures: 2
- 25 References: 55
- 26

4

6

9

16

18

- <sup>27</sup> This work was supported by the Whitehall Foundation, Alfred P. Sloan Foundation, Burroughs-
- <sup>28</sup> Wellcome Fund, and the Texas Advanced Computing Center (TACC). The authors declare no
- <sup>29</sup> conflict of interest.

Tang et al. Visually grounded models of language processing

#### Abstract

The human semantic system stores knowledge acquired through both perception and language. 31 To study how semantic representations in cortex integrate perceptual and linguistic information. 32 we created semantic word embedding spaces that combine models of visual and linguistic 33 processing. We then used these visually-grounded semantic spaces to fit voxelwise encoding 34 models to fMRI data collected while subjects listened to hours of narrative stories. We found 35 that cortical regions near the visual system represent concepts by combining visual and 36 linguistic information, while regions near the language system represent concepts using mostly 37 linguistic information. Assessing individual representations near visual cortex, we found that 38 more concrete concepts contain more visual information, while even abstract concepts contain 39 some amount of visual information from associated concrete concepts. Finally we found that 40 these visual grounding effects are localized near visual cortex, suggesting that semantic 41 representations specifically reflect the modality of adjacent perceptual systems. Our results 42 provide a computational account of how visual and linguistic information are combined to 43 represent concrete and abstract concepts across cortex. 44

45

30

<sup>46</sup> Keywords: fMRI, semantic, language, visual, grounding

Tang et al. Visually grounded models of language processing

# 47 Introduction

#### 48

Humans learn about the world through both perception and language. The acquired knowledge 49 is stored in cerebral cortex as semantic concept representations, which support a range of 50 cognitive processes including language understanding. Many previous fMRI studies have found 51 that concepts are represented near the perceptual systems through which they are commonly 52 experienced (Binder and Desai, 2011; Harpaintner et al., 2020; Martin, 2016). These studies 53 support grounded cognition theories, which hold that a concept's semantic representation is 54 formed through generalization or re-enactment of perceptual representations involved in 55 learning the concept (Barsalou, 2008; Binder and Desai, 2011). Other studies have found that 56 BOLD responses to words (Mitchell et al., 2008) and narratives (Huth et al., 2016; Webbe et al., 57 2014) can be predicted using distributional word embeddings, which capture word co-58 occurrence statistics in language data. Distributional word embeddings lack explicit connections 59 to the physical world (Bruni et al., 2014; Harnad, 1990), so their success in modeling brain 60 responses demonstrates that semantic representations reflect word associations that can be 61 learned from language alone. Together these findings suggest that semantic representations 62 contain both perceptual and linguistic information (Andrews et al., 2014). However, little is 63 known about how these different sources of information are combined to form semantic 64 representations in each cortical region. 65 66

One open question is whether different cortical regions represent concepts using different 67 amounts of perceptual and linguistic information. Grounded cognition theories predict that 68 representations in each semantically selective cortical region reflect how information is 69 represented in adjacent perceptual systems (Barsalou, 2008; Binder and Desai, 2011). For 70 instance, these theories predict that cortical regions near the visual system represent concepts 71 using visual information. We might similarly expect cortical regions near the language system to 72 represent concepts using information about language usage, such as distributional word co-73 occurrence. However, there is little work directly assessing these theories by comparing 74 semantic representations in each cortical region to computational models of perceptual and 75 linguistic processing (Anderson et al., 2019). A second open question is whether concrete and 76 abstract concepts are represented using different amounts of perceptual and linguistic 77 information. Previous studies (Binder et al., 2005; Paivio, 1991) suggest that concrete 78 concepts—which are directly experienced through perception—contain more perceptual 79 information, but this relationship has not been directly tested using fMRI. Furthermore, the role 80 of perceptual information in representing abstract concepts-which are not directly experienced 81 through perception—is under debate. Traditional views hold that abstract concepts are 82 represented solely by linguistic information (Dove, 2009; Paivio, 1991), while recent studies 83 suggest that abstract concepts contain some amount of perceptual information (Harpaintner et 84 al., 2018). A third open question is how the semantic system represents concepts experienced 85 through multiple perceptual modalities. Grounded cognition theories predict that concepts are 86 represented near each perceptual system through which they are experienced, in a format that 87 specifically reflects that perceptual modality (Barsalou, 2008; Martin, 2016). For instance, visual 88 features of "hammer" might be represented near visual cortex, while tactile features of 89 "hammer" might be represented near somatosensory cortex. Alternatively, concepts could be 90

#### Tang et al. Visually grounded models of language processing

<sup>91</sup> represented across cortex in a format that integrates information from multiple different

- <sup>92</sup> perceptual modalities. For instance, each cortical region selective for "hammer" might
- <sup>93</sup> simultaneously represent its visual, tactile and auditory features.
- 94

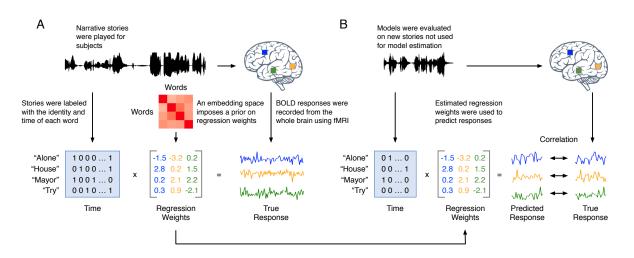
Here, we investigated these questions by constructing a computational model of how visual and 95 *linguistic* information combine to form semantic representations. We first modeled visual and 96 linguistic representations as separate word embedding spaces. Embedding spaces represent 97 each word using a high-dimensional vector, and quantify the similarity between each pair of 98 words using the dot product between their corresponding vectors. Since our subjects have 99 learned about concepts through both vision and language, we next modeled each word's 100 semantic representation by concatenating its visual and linguistic embeddings, making the 101 semantic similarity between each pair of words a combination of their visual and linguistic 102 similarities. Because the relative amount of visual and linguistic information may differ across 103 brain regions or concepts, we weighted the visual and linguistic embeddings for each word prior 104 to concatenation. By varying the weights on the visual and linguistic embeddings, we were able 105 to construct a spectrum of semantic spaces that can capture different possibilities for how each 106 word's semantic representation combines its visual and linguistic representations. 107

108

We compared the different semantic embedding spaces to concept representations in each 109 cortical region using a natural language fMRI experiment. In this experiment, BOLD fMRI 110 responses were collected from seven human subjects as they listened to over five hours of 111 narrative stories from The Moth Radio Hour (Figure 1A). These stories activate the semantic 112 representations of thousands of concepts common in daily life. We then fit voxelwise encoding 113 models that separately predict the fMRI data in each subject from the stimulus words (Huth et 114 al., 2016; Jain and Huth, 2018; Wehbe et al., 2014). An encoding model uses regularized linear 115 regression to estimate a set of weights for each voxel that predict how each word influences 116 BOLD responses in that voxel. Encoding models were fit using an embedding space prior, 117 which enforces that similar words in the embedding space should have similar encoding weights 118 (Nunez-Elizalde et al., 2019). Since successful models of the brain should be able to generalize 119 to new natural stimuli (Hamilton and Huth, 2018), encoding models were evaluated by predicting 120 BOLD responses to stories that were not used for model estimation, and then computing the 121 correlation between predicted and actual responses (Figure 1B). 122

To quantify how much visual or linguistic information is represented in each cortical region, we fit separate voxelwise encoding models using embedding spaces that range from fully linguistic to fully visual. In voxelwise modelling, the embedding space that best reflects a voxel's semantic representations will yield the best generalization performance. We thus operationalized the *representational format* of each voxel as the semantic embedding space with the best generalization performance.

Tang et al. Visually grounded models of language processing



130

Figure 1. Natural language fMRI experiment. (A) Seven human subjects listened to over 5 hours of narrative stories while BOLD

responses were measured using fMRI. A stimulus matrix was constructed by identifying the words spoken at each point in time in

the stories. A regularized, linearized finite impulse response regression model was then estimated for each cortical voxel using a

word embedding space prior. The estimated encoding model weights describe how words in the stories influence BOLD signals in

each cortical voxel. The prior enforces that similar words in the embedding space should have similar encoding model weights. (B)
 Models were tested on stories that were not included in the model estimation procedure. Generalization performance for a test story

was computed as the linear correlation between the predicted BOLD responses to the test story and the observed BOLD responses.

Tang et al. Visually grounded models of language processing

# 138 **Results**

139

140 Construction of visual, linguistic, and semantic embedding spaces.

- In order to assess the amount of visual and linguistic information that is incorporated into
   semantic representations, we first needed to construct computational models of visual and
   linguistic processing. We did that here using separate visual and linguistic word embedding
   spaces, which are then combined in different ratios to create semantic embedding spaces.
- We modeled linguistic representations using distributional word embeddings, which assign each 147 word a vector based on its co-occurrence statistics with a set of target words across a large 148 corpus. Such embeddings have been shown to capture meaningful linguistic associations 149 (Deerwester et al., 1990; Lund and Burgess, 1996), and are widely used as computational 150 models of lexical semantics (Pennington et al., 2014). Here, we used a distributional embedding 151 space previously shown to model BOLD responses to narrative stories (de Heer et al., 2017; 152 Deniz et al., 2019; Huth et al., 2016). While co-occurrence statistics may implicitly capture some 153 degree of perceptual similarity (Riordan and Jones, 2011), they do not incorporate explicit 154 information about the physical world (Glenberg and Robertson, 2000; Harnad, 1990), making 155 them an appropriate model of knowledge acquired through language. Words that occur in 156 similar linguistic contexts will have similar linguistic embeddings, and will thus be considered 157 linguistically similar. 158
- 159

We modeled visual representations using image embeddings extracted from convolutional 160 neural networks (CNNs). We first defined a diverse pool of visual words, which refer to entities 161 or events that can be experienced through vision (see **Methods** for details). For each visual 162 word, we sampled 100 related natural images from ImageNet (Deng et al., 2009). Recent 163 studies (Cadieu et al., 2014; Eickenberg et al., 2017; Güçlü and van Gerven, 2015; Khaligh-164 Razavi and Kriegeskorte, 2014; Yamins et al., 2014) have shown that primate visual processing 165 is well-modeled by CNNs trained to identify objects in images (Chatfield et al., 2014; Krizhevsky 166 et al., 2012; Sermanet et al., 2013; Zeiler and Fergus, 2014). We used a similar CNN (VGG16; 167 Simonyan and Zisserman, 2015) to extract embedding vectors for each image. The visual 168 embedding for each visual word was then obtained by averaging the extracted CNN 169 embeddings across the 100 sampled images. Words with referents that evoke similar responses 170 in visual cortex will have similar visual embeddings, and will thus be considered visually similar. 171 172

We next estimated visual embeddings for non-visual words. While non-visual words refer to 173 concepts that cannot be directly experienced through vision, recent studies suggest that their 174 representations may nonetheless contain some amount of visual information (Harpaintner et al., 175 2018). To capture this, we developed a perceptual propagation method that represents non-176 visual words by combining the visual embeddings of linguistically associated visual words 177 (similar to Collell et al., 2017). For each non-visual word w, we fit a linear regression  $\theta_w$  to 178 reconstruct its linguistic embedding as a weighted sum of the linguistic embeddings of visual 179 words. Visual words that are linguistically associated with w will have high weights in  $\theta_w$ . We 180 then predicted a visual embedding for w by applying the same linear weights  $\theta_w$  to the visual 181

#### Tang et al. Visually grounded models of language processing

embeddings of the visual words. Non-visual words will thus be considered visually similar if they
 are linguistically associated with visually similar words. For instance, the non-visual words
 "famous" and "lonely" are dissimilar in the linguistic embedding space but similar in the visual
 embedding space, as they are respectively associated with the visually similar words "musician"
 and "friend". Figure 2A summarizes the process of creating visual and linguistic embedding
 spaces.

188

Before using the visual and linguistic embedding spaces to model semantic representations in 189 the brain, we first tested whether they capture different notions of similarity. We did this by 190 defining semantic categories consisting of people, clothing, and place words and then 191 identifying gualitative differences in how these categories are represented across embedding 192 spaces (Figure 2B). We visualized each embedding space by using principal components 193 analysis (PCA) to project the embedding of each visual word onto two dimensions. PCA projects 194 words with similar embeddings to nearby points in 2D space, and those with very different 195 embeddings to distant points. First, we found that both embedding spaces contain distinct 196 people, clothing, and place clusters, reflecting previous findings that visual and linguistic 197 embedding spaces structure concepts into similar categories (Riordan and Jones, 2011). 198 However, we found that relationships within each category differed between the visual and 199 linguistic embedding spaces. For instance, people words (such as "doctor", "athlete", and 200 "friend") are close together in the visual space, reflecting their shared visual features, and far 201 apart in the linguistic space, reflecting their diverse linguistic contexts. In contrast, clothing 202 words (such as "jacket", "shoe", and "hat") are far apart in the visual embedding space, 203 reflecting their diverse visual features, and close together in the linguistic embedding space, 204 reflecting their shared linguistic contexts. This qualitative analysis suggests that the visual and 205 linguistic embedding spaces structure concepts into similar high-level categories, but capture 206 fine-grained notions of visual and linguistic similarity within each category. 207

208

While the previous analysis shows that visual and linguistic embedding spaces differ within 209 visual categories like people and clothing, it is unclear whether they also differ for more abstract 210 words. Our perceptual propagation method predicts that non-visual words (which tend to be 211 more abstract) acquire visual information through associations with visual words. However, for 212 highly abstract words that are not strongly associated with any visual words, the estimated 213 visual embeddings may not contain any meaningful visual information. In that case, we might 214 expect no difference between the visual and linguistic embedding spaces. To test this 215 possibility, we quantified the difference between visual and linguistic model representations for 216 each individual word. We did this by constructing visual and linguistic similarity vectors for each 217 word that contain its visual and linguistic similarity with every other word. We then computed a 218 modality alignment score for each word as the linear correlation between its visual and linguistic 219 similarity vectors. We plotted each word's modality alignment score against a concreteness 220 score derived from a separate dataset of behavioral judgments about word concreteness 221 (Brysbaert et al., 2014; see Methods). We found that modality alignment scores are 222 anticorrelated with concreteness scores (r = -0.26), suggesting that the visual and linguistic 223 embedding spaces differ more for concrete words than for abstract words. Nonetheless, we 224 found that the visual and linguistic embedding spaces differ to some degree even for highly 225

#### Tang et al. Visually grounded models of language processing

abstract words, suggesting that the visual embedding space represents abstract words using
 some visual information that is absent from the linguistic embedding space (Figure 2C).

228

Finally, we combined the visual and linguistic embedding spaces into semantic embedding 229 spaces to model how concepts are represented in the brain's semantic system. Since our 230 subjects have learned about the world through both vision and language, we expect each 231 word's semantic representation to combine the two information sources. Semantic embedding 232 spaces formalize this hypothesis by representing each word as a concatenation of its visual and 233 linguistic embeddings. Since different words may contain different amounts of visual and 234 linguistic information, each word w is assigned a modality weight  $\alpha_w$  such that its visual 235 embedding is weighted by  $\alpha_w$  and its linguistic embedding is weighted by  $(1 - \alpha_w)$  prior to 236 concatenation. The semantic similarity between each pair of words is thus modeled as a 237 combination of their visual and linguistic similarities, weighted by the modality weights of both 238 words (see **Methods**). Under this model, each semantic embedding space is generated by a 239 vector  $\mathbf{\alpha}$  of modality weights across the words, and captures a different possibility for how visual 240 and linguistic information are combined to represent each word. For example, setting  $\alpha = 1$  for 241 all words would capture the hypothesis that all concepts are represented in a visual format, 242 while setting  $\alpha = 1$  for concrete words and  $\alpha = 0$  for abstract words would capture the hypothesis 243 that only concrete concepts are represented in a visual format. 244 245 The space of  $\alpha$  vectors—and thus the number of possible semantic embedding spaces—is 246 infinitely large. To constrain this space, we only considered modality weights that are 247

monotonically increasing functions  $\alpha_{concrete}$  (see **Methods**) of concreteness score *c*. This

<sup>249</sup> hypothesis reflects previous findings that more concrete words appear to contain more

perceptual information (Harpaintner et al. 2018, Anderson et al. 2019). The  $\alpha_{concrete}$  model has a

single parameter *b* that biases the degree to which each word is represented by visual

information (**Figure 2D**). When *b* is small,  $\alpha_{\text{concrete}}(c)$  approaches 0 for all values of *c*, causing all

words to be represented solely by their linguistic embeddings. As *b* increases, more concrete

words are represented by more visual information. When *b* is large,  $\alpha_{\text{concrete}}(c)$  approaches 1 for

all values of c, causing all words to be represented solely by their visual embeddings. We tested

a range of *b* values (-10, -1, 0, 1, 10) that induce semantic embedding spaces ranging from *fully* 

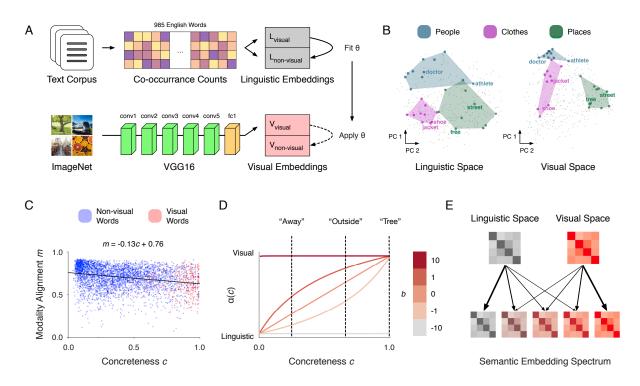
*linguistic* (b = -10) to *fully visual* (b = 10). We considered all embedding spaces containing some

amount of visual information (b = -1, 0, 1, 10) to be visually grounded. This semantic embedding

spectrum captures a diverse set of hypotheses for how visual and linguistic information are

combined in each word's semantic representation (**Figure 2E**).

Tang et al. Visually grounded models of language processing



261

Figure 2. Construction of visual, linguistic, and semantic embedding spaces. (A) Linguistic embedding vectors were 262 constructed from distributional co-occurrence statistics in a large external corpus. Visual embedding vectors for visual words were 263 constructed by sampling 100 images from ImageNet for each word and averaging embeddings extracted from a VGG16 264 convolutional neural network. Visual embedding vectors for non-visual words were constructed using a perceptual propagation 265 266 method θ that represents each non-visual word as a linear combination of the visual embeddings of associated visual words (see Methods for details). (B) The visual and linguistic embedding spaces were visualized by projecting the embedding of each visual 267 word onto the first two principal components of the embedding space. The visual and linguistic embedding spaces structure words 268 into similar high-level people, clothing, and place categories. However, fine-grained similarities within each category differ across 269 270 embedding spaces. Words with visually similar referents (e.g. people) are more similar in the visual space, while words that occur in 271 similar linguistic contexts (e.g. clothes) are more similar in the linguistic space. (C) For each word, a modality alignment scorecomputed as the linear correlation between its visual similarities and linguistic similarities with other words-was plotted against a 272 concreteness score derived from behavioral judgments. Visual words were colored red, and non-visual words blue. Modality 273 alignment scores are weakly anticorrelated with concreteness scores, suggesting that visual and linguistic embedding spaces differ 274 more for concrete words than for abstract words. Nonetheless, visual and linguistic similarity differ to some degree even for highly 275 abstract words, demonstrating that the visual embedding space represents abstract words using visual information absent from the 276 linguistic embedding space. (D) Semantic embedding spaces were constructed by concatenating visual and linguistic embeddings 277 for each word. Prior to concatenation, the visual and linguistic embeddings were weighted by a function α<sub>concrete</sub> of each word's 278 concreteness score, and the total amount of visual information for each word was controlled by a parameter b. Varying b creates a 279 semantic embedding spectrum that interpolates between the linguistic embedding space and the visual embedding space. 280 Intermediate spaces in the semantic embedding spectrum represent each word as a combination of visual and linguistic information. 281

- 282
- 283

#### Representational format of cortical regions near visual and language systems.

284

We first compared semantic embedding spaces to characterize the representational format of
each semantically selective cortical region. Grounded cognition theories (Barsalou, 2008; Binder
and Desai, 2011) predict that cortical regions near the visual system respond similarly to visually
similar words, and should thus be best modeled by visually grounded embedding spaces.
Conversely, we predict that cortical regions near the language system respond similarly to
linguistically similar words, and should thus be best modeled by the fully linguistic embedding
space. Previous studies have tested whether cortical regions are better modeled by an

#### Tang et al. Visually grounded models of language processing

experiential embedding space, a linguistic embedding space, or a multimodal embedding space 292 that combines the two information sources (Anderson et al., 2019). However, this experiential 293 embedding space reflects coarse-grained behavioral ratings of whether concepts are 294 experienced through similar perceptual modalities (such as whether each concept "has a 295 characteristic or defining color"), rather than fine-grained similarity within a specific perceptual 296 modality. Furthermore, multimodal embeddings were modeled in (Anderson et al., 2019) as 297 unweighted concatenations of perceptual and linguistic embeddings, which implicitly assumes 298 that each concept is represented by the same amount of perceptual and linguistic information. 299 Our semantic embedding spectrum differs from these previous models in two important ways: 300 CNN embeddings explicitly reflect fine-grained visual similarity (Eickenberg et al., 2017), and 301 different semantic embedding spaces model different hypotheses for how each concept's 302 semantic representation combines visual and linguistic information. 303

304

For each subject, we fit voxelwise encoding models using each space in the semantic 305 embedding spectrum, and then tested the generalization performance of each model on held-306 out data. We identified semantic system voxels that were significantly predicted under any 307 space in the embedding spectrum (q(FDR) < 0.05, blockwise permutation test; see **Methods**). 308 Our encoding models significantly predicted up to 18 percent of cortical voxels in each subject. 309 These semantic system voxels were located in broad regions of prefrontal cortex, temporal 310 cortex, and parietal cortex (see Figure S1 for encoding model performance across cortex) that 311 align with semantically selective regions reported in previous studies (Binder et al., 2009; Huth 312 et al., 2016). 313

314

To compare the different semantic spaces, we aggregated model performance across semantic 315 system voxels near known vision and language regions of interest (ROIs), which were identified 316 in each subject using separate localizer data (see **Methods** for details). For vision ROIs we 317 defined the fusiform face area (FFA), parahippocampal place area (PPA), occipital place area 318 (OPA), retrosplenial cortex (RSC), and extrastriate body area (EBA). For language ROIs we 319 defined the auditory cortex (AC), Broca's area, and superior premotor ventral speech area 320 (sPMv). The performance of each embedding space around each ROI was first summarized by 321 averaging encoding model generalization performance across all semantic system voxels within 322 15mm of the ROI along the cortical surface. We then defined the visual grounding score for 323 each visually grounded space around an ROI as the difference between its encoding 324 performance and that of the fully linguistic space (Figure 3). If any visually grounded spaces 325 have a positive visual grounding score around an ROI, it would suggest that semantically 326 selective cortical regions near the ROI tend to represent concepts using some amount of visual 327 information. If all visually grounded spaces have a negative visual grounding score, it would 328 suggest that semantically selective cortical regions near the ROI tend to represent concepts 329 using mostly linguistic information. 330

331

We used a linear mixed-effects model to compare visual grounding score for each visually grounded space (4 levels) across ROI type (2 levels: vision, language) with ROI identity as a random effect nested in subject identity. This test showed that visual grounding score varies significantly across embedding spaces (Wald  $\chi^2$  test,  $p < 10^{-4}$ ) and ROI type ( $p < 10^{-4}$ ). There

#### Tang et al. Visually grounded models of language processing

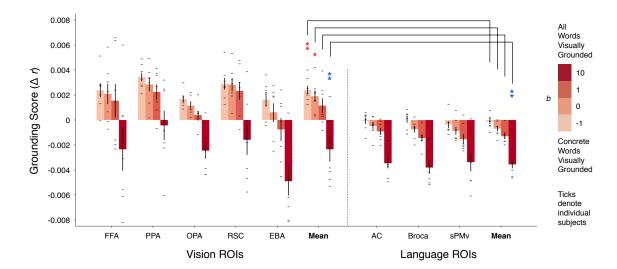
was also a significant interaction between embedding space and ROI type (p = 0.012). 336 demonstrating that semantic embedding spaces have different patterns of generalization 337 performance across vision and language ROIs. A post hoc test comparing the visual grounding 338 score of each visually grounded space against the null hypothesis of zero found that multiple 339 visually grounded spaces (b = -1, 0) significantly outperformed the fully linguistic space around 340 vision ROIs (q(FDR) < 0.05), while no visually grounded spaces significantly outperformed the 341 fully linguistic space around language ROIs. A post hoc test comparing visual grounding score 342 around vision and language ROIs found that every visually grounded space had a significantly 343 higher visual grounding score around vision ROIs than around language ROIs (q(FDR) < 0.05). 344 345 Figure 3 shows these differences between the semantic embedding spaces around visual and 346

language ROIs. The small size of these effects is likely a consequence of our encoding
 framework and the large amount of fMRI data (5 hours per participant) that was used. In a
 regularized encoding model, different embedding spaces impose different priors on the model
 weights (Nunez-Elizalde et al., 2019), but as the amount of training data increases, the model
 can learn accurate weights from the data alone. Comparing embedding spaces by fitting

encoding models on large fMRI datasets thus reveals small but significant differences in
 performance.

354

Our results provide fMRI evidence that cortical regions near the visual system represent 355 concepts using both visual and linguistic information, while cortical regions near the language 356 system represent concepts using mostly linguistic information (Barsalou, 2008; Binder and 357 Desai, 2011). These results are markedly different from previous fMRI studies, which found that 358 multimodal embedding spaces outperform linguistic embedding spaces in superior temporal and 359 inferior frontal regions, but not in cortical regions near the visual system (Anderson et al., 2019). 360 The success of our visually grounded embedding spaces in these latter regions suggests that 361 semantic representations near the visual system specifically reflect fine-grained visual 362 information, which is captured in our CNN embeddings but not in previous experiential 363 embeddings. 364



365 366

Figure 3. Representational format of cortical regions near visual and language systems. Encoding models were fit using each

#### Tang et al. Visually grounded models of language processing

space in a semantic embedding spectrum ranging from fully linguistic to fully visual. Vision- and language-related functional regions 367 of interest (ROIs) were identified for each subject using separate localizer data. Embedding space performance around an ROI was 368 quantified by averaging encoding model generalization performance (linear correlation r) across all significantly-predicted voxels 369 370 within 15 mm of the ROI along the cortical surface. For each visually grounded embedding space, visual grounding score-defined 371 as the performance improvement over the fully linguistic embedding space-was averaged across subjects and plotted for each ROI and ROI type (vision and language). Ticks denote visual grounding scores for individual subjects. Error bars indicate the standard 372 error of the mean across subjects (n = 7). We used a linear mixed-effects model to compare visual grounding score around vision 373 and language ROIs for each visually grounded embedding space. Significance was tested for each ROI type (vision, language); red 374 asterisks indicate that a visually grounded space performs significantly better than the fully linguistic space, and blue asterisks 375 indicate that a visually grounded space performs significantly worse (\*, q(FDR) < 0.05; \*\*, q(FDR) < 10<sup>-2</sup>; \*\*\*, q(FDR) < 10<sup>-3</sup>, \*\*\*\*, 376 q(FDR) < 10<sup>-4</sup>). Brackets signify that the visual grounding score of each visually grounded space is significantly higher around vision 377 ROIs than around language ROIs (q(FDR) < 0.05). These results show that visually grounded embedding spaces significantly 378 outperform the fully linguistic embedding space near vision ROIs, but not language ROIs. 379

380

#### <sup>381</sup> Visual grounding of concrete and abstract concepts near visual cortex.

382

The previous analyses show that concept representations in regions near visual cortex are 383 better modeled by visually grounded embedding spaces that combine visual and linguistic 384 information (b = -1, 0, 1) than by embedding spaces that solely reflect linguistic (b = -10) or 385 visual (b = 10) information. In these intermediate visually grounded embedding spaces, the 386 relative weighting of each word's visual and linguistic embeddings was selected to be a function 387  $\alpha_{concrete}$  of the word's concreteness score. The  $\alpha_{concrete}$  model captures two major hypotheses for 388 how semantic representations combine visual and linguistic information. First,  $\alpha_{\text{concrete}}$  is a 389 monotonically increasing function of concreteness. This models the hypothesis that more 390 concrete concepts are represented by more visual information while more abstract concepts are 391 represented by more linguistic information (Paivio, 1991). Second, the visually grounded 392 parameterizations of  $\alpha_{concrete}$  (b = -1, 0, 1, 10) assign a positive weight to every word, meaning 393 that even abstract words are represented to some extent by their estimated visual embeddings. 394 This models the hypothesis that abstract concepts are represented using some amount of 395 perceptual information from linguistically associated concrete concepts. In the following 396 analyses we focused on semantically selective regions near visual cortex, and directly tested 397 these two hypotheses by comparing the  $\alpha_{concrete}$  model against alternative modality weight 398 models. 399

<sup>401</sup> To quantify how well a modality weight model explains semantic representations near visual <sup>402</sup> cortex, we fit an encoding model using the semantic embedding space that it generates. We <sup>403</sup> then averaged encoding model performance (linear correlation *r*) across semantic system <sup>404</sup> voxels within 15mm of vision ROIs. Before comparing against alternative modality weight <sup>405</sup> models, we selected the best visually grounded  $\alpha_{concrete}$  model across the tested voxels (*b* = -1) <sup>406</sup> using separate validation data (see **Methods**).

407

400

Previous theories have proposed that concrete concept representations contain more perceptual information, while abstract concept representations contain more linguistic information (Paivio, 1991). However, this hypothesis has not been directly tested at the level of individual words using fMRI. Here, we conducted a permutation test to quantify whether the concreteness of each concept explains the amount of visual and linguistic information in that concept's representation. We conducted 1,000 trials in which we permuted concreteness scores across words before computing modality weights under the  $\alpha_{concrete}$  model. Each trial *t* produced

#### Tang et al. Visually grounded models of language processing

a vector of modality weights  $\alpha_t$  corresponding to a different permutation of the concreteness-415 derived modality weights  $\alpha_{concrete}$  (Figure 4A). We then evaluated encoding model performance 416 under the semantic embedding space generated by  $\alpha_t$ . If the amount of visual and linguistic 417 information in each concept representation does not reflect concreteness, then model 418 performance using the true concreteness scores should not be substantially different from 419 performance using randomly permuted concreteness scores. However, if the amount of visual 420 and linguistic information in each concept representation can be explained by concreteness, 421 then model performance using the true concreteness scores should be much higher than 422 performance using randomly permuted concreteness scores. 423 424 We found that the encoding performance of the  $\alpha_{\text{concrete}}$  model was significantly higher than the 425 permutation distribution of encoding performance when combined across subjects ( $q(FDR) < 10^{-1}$ 426 <sup>4</sup>), and individually for 5 of 7 subjects (q(FDR) < 10<sup>-2</sup>) (Figure 4B). These results suggest that 427 the amount of visual and linguistic information in each concept representation is significantly 428 related to concreteness; more concrete concepts contain more visual information, while more 429 abstract concepts contain more linguistic information. 430 431

- We next addressed the question of whether abstract concept representations contain any 432 perceptual information. Traditional views propose a binary in which concrete concepts are 433 represented by perceptual and linguistic information, while abstract concepts are represented 434 solely by linguistic information (Dove, 2009; Paivio, 1991). Conversely, recent behavioral 435 studies suggest that many abstract concepts contain some amount of perceptual information 436 (Borghi et al., 2017; Harpaintner et al., 2020, 2018). Extending these recent findings, our 437 perceptual propagation method estimates visual embeddings of non-visual words by combining 438 the visual embeddings of linguistically associated visual words. The visually grounded  $\alpha_{concrete}$ 439 models (b = -1, 0, 1, 10) then assign each abstract word a positive weight on its estimated 440 visual embedding, modeling the hypothesis that abstract concept representations contain visual 441 information from linguistically associated visual concepts. Here, we directly tested if abstract 442 concepts are better modeled by including some amount of this associated visual information, or 443 solely by linguistic information. 444
- 445

We operationalized the traditional binary view of abstractness by defining abstractness cutoffs 446 on concreteness scores. For each abstractness cutoff, words with concreteness scores below 447 the cutoff value were represented solely by their linguistic embeddings, while words with 448 concreteness scores above the cutoff were represented by a weighted concatenation of visual 449 and linguistic embeddings. Formally, this binary view of abstractness is captured by a modality 450 weight model  $\alpha_{\text{binary}}$  with an abstractness cutoff parameter a (Figure 4C).  $\alpha_{\text{binary}}$  maps 451 concreteness scores c below the cutoff to 0 and maps concreteness scores above the cutoff to 452  $\alpha_{\text{concrete}}(c)$  (see **Methods**). If setting an abstractness cutoff increases performance relative to 453  $\alpha_{concrete}$ , it would suggest that words with concreteness scores below the cutoff tend to be 454 represented solely by linguistic information. However, if setting an abstractness cutoff 455 decreases performance relative to  $\alpha_{concrete}$ , it would suggest that words with concreteness scores 456 below the cutoff tend to be represented by a combination of visual and linguistic information. 457

458

#### Tang et al. Visually grounded models of language processing

- We tested the  $\alpha_{\text{binary}}$  model for a range of abstractness cutoffs (**Figure 4D**). We used a linear 459 mixed-effects model to compare the performance difference between each abinary model (11 460 levels) and the  $\alpha_{concrete}$  model with subject identity as a random effect. This test showed that 461 performance difference varies significantly across  $\alpha_{\text{binary}}$  models (Wald  $\chi^2$  test,  $p < 10^{-4}$ ). A post 462 hoc test comparing the performance between each  $\alpha_{binary}$  model and the  $\alpha_{concrete}$  model found 463 that  $\alpha_{\text{binary}}$  models with abstractness cutoffs of 0.6, 0.8, 0.9, and 1.0 performed significantly 464 worse than the  $\alpha_{concrete}$  model (q(FDR) < 0.05). These results suggest that many abstract 465 concepts (c < 0.6) are represented in a format that includes perceptual information from 466
  - linguistically associated concrete concepts. Change from Concrete Model (r) А В Word Concreteness nutatio 0.002 Better Fully a concrete Visua -0.002 Trial 1 T Better Trial 2 α -0.004 Trial 3 -0.006 Trial 999 Fully -0.008 Linauistic Trial 1000 S02 S03 S05 S06 S07 S08 Combined S01 Subject С D Change from Concrete Model (r) 0.002 "Outside 'Awa Tree  $\boldsymbol{\alpha}_{\text{binary}}$ Better 0.001 Visua 0.2 -0.001 a<sub>concrete</sub> 0.4 Better a(c) -0.002 а 0.6 -0.003 0.8 -0.004 Linguistic -0.005 0 1 0.0 0.2 0.4 0.6 0.8 1.0 Concreteness c Abstractness Cutoff a

468

467

Figure 4. Visual grounding of concrete and abstract concepts near visual cortex. Encoding models fit under a visually 469 grounded acconcrete modality weight model were compared to encoding models fit under alternative modality weight models. 470 Performance for each encoding model was quantified by averaging generalization performance (linear correlation r) across all 471 significantly-predicted voxels within 15 mm of vision ROIs along the cortical surface. (A) A permutation test was performed to 472 quantify whether concreteness explains the amount of visual and linguistic information in each concept representation. In each trial, 473 concreteness scores were permuted across words before modality weights were computed under the  $\alpha_{concrete}$  model. (B) The 474 475 difference between the permutation distribution of encoding performance and the observed encoding performance of the aconcrete 476 model was first plotted for each subject, and then aggregated across the seven subjects. Boxes indicate the interquartile range of 477 the differences; whiskers indicate the 2.5th and 97.5th percentiles. If the true amount of visual information in each concept representation increases with concreteness, the permutation distribution should be lower than the observed test statistic. If the true 478 amount of visual information in each concept representation is not related to concreteness, the permutation distribution should not, 479 on average, differ from the observed test statistic. Red asterisks signify that the permutation distribution is significantly lower than 480 the α<sub>concrete</sub> model performance for five of seven individual subjects, and combined across subjects. (\*, q(FDR) < 0.05; \*\*, q(FDR) < 481  $10^{-2}$ ; \*\*\*, q(FDR) <  $10^{-3}$ , \*\*\*\*, q(FDR) <  $10^{-4}$ ). (C) The  $\alpha_{\text{binary}}$  model modifies the  $\alpha_{\text{concrete}}$  model to assign a modality weight of 0 to all 482 words with concreteness scores below an abstractness cutoff. Abstractness cutoffs operationalize the hypothesis that certain 483 abstract concepts are represented solely by linguistic information. (D) Model performance under the abinary model for different 484 abstractness cutoffs was compared to model performance under the aconcrete model. Error bars indicate the standard error of the 485 mean across (n = 7) subjects. Red asterisks signify that an  $\alpha_{\text{binary}}$  model performed significantly worse than the  $\alpha_{\text{concrete}}$  model (\*, 486

#### Tang et al. Visually grounded models of language processing

q(FDR) < 0.05; \*\*,  $q(FDR) < 10^{-2}$ ; \*\*\*,  $q(FDR) < 10^{-3}$ , \*\*\*\*,  $q(FDR) < 10^{-4}$ ). These results suggest that many abstract concept 487

representations (c < 0.6) near visual cortex contain some amount of visual information. 488

489 490

#### Representational format of concrete concepts across cortex.

491

Our results suggest that cortical regions near the visual system represent concepts in a format 492 that explicitly reflects visual information (Figure 4), supporting theories that the semantic 493 representations of concrete concepts are formed through reuse of representations in adjacent 494 perceptual systems (Barsalou, 2008; Binder and Desai, 2011). However, concrete concepts 495 tend to be experienced through multiple perceptual modalities, and not solely vision (Lynott et 496 al., 2020). Thus it remains unclear how their semantic representations might combine 497 information from different perceptual systems. Grounded cognition theories predict that concrete 498 concepts are represented near each perceptual system through which they are experienced 499 using information from that particular perceptual modality (Barsalou, 2008; Martin, 2016). 500 Alternatively, concrete concepts could be represented across cortex in a common multimodal 501 format that combines representations from multiple perceptual modalities. For instance, (Amedi 502 et al., 2001) found that certain regions in lateral occipital cortex are activated when subjects 503 either view or hold an object, suggesting that these regions contain multimodal representations 504 of object shape. 505

506

Our results thus far are consistent with both possibilities. Voxels near visual cortex may be best 507 modeled by visually grounded embedding spaces because their representations specifically 508 reflect visual information. However, it may also be possible that all concrete concepts are 509 represented in a multimodal format that includes some visual information as well as information 510 from other perceptual systems. In this case, voxels near visual cortex may be best modeled by 511 visually grounded embedding spaces simply because they represent concrete concepts. To 512 differentiate these possibilities, we quantified the concrete selectivity and visual grounding of 513 each voxel in the semantic system. If concrete concepts are represented near each perceptual 514 system in a format that specifically reflects the corresponding modality, we would expect visually 515 grounded embedding spaces to only perform well near visual cortex. However, if concrete 516 concepts are represented in a common multimodal format across cortex, we would expect 517 visually grounded embedding spaces to perform well in all cortical regions that represent 518 concrete concepts. 519

520

We defined a concrete selectivity score for each voxel by projecting its encoding model weights 521 onto the vector of concreteness scores for each word. Voxels which tend to respond more to 522 concrete words than abstract words will have positive concrete selectivity scores, while voxels 523 which tend to respond more to abstract words than concrete words will have negative concrete 524 selectivity scores. We defined a visual grounding score for each voxel as the difference in 525 encoding model performance between the best performing visually grounded embedding space 526 across cortex (b = -1; see **Methods**) and the fully linguistic embedding space. Voxels that 527 represent concepts using some amount of visual information will have positive visual grounding 528 scores, while voxels which represent concepts using mostly linguistic information will have 529 negative visual grounding scores. 530

531

#### Tang et al. Visually grounded models of language processing

We projected the concrete selectivity and visual grounding scores for each semantic system 532 voxel onto a cortical flatmap. Each voxel was assigned a brightness based on its concrete 533 selectivity score and a color based on its visual grounding score. In this visualization, concrete 534 selective voxels appear red if they are best modeled by the visually grounded space, and blue if 535 they are best modeled by the linguistic space. Abstract selective voxels appear black. The 536 resulting map (Figure 5A; see Figure S1 for other subjects) shows that voxels near perceptual 537 systems (specifically visual cortex, somatosensory cortex, and auditory cortex) tend to be 538 concrete selective, while voxels farther away in regions like temporoparietal junction (TPJ) tend 539 to be abstract selective. These results replicate previous fMRI studies (Martin, 2016: Saxe and 540 Kanwisher, 2003) mapping concrete and abstract concept representations across cortex. 541

542

Consistent with our previous results, we found that concrete selective voxels near visual cortex 543 tend to be best modeled by the visually grounded space. Conversely, we found that concrete 544 selective voxels in inferior parietal cortex and intraparietal sulcus (IPS) tend to be better 545 modeled by the linguistic space than the visually grounded space. Based on their proximity to 546 functional regions involved in somatosensory and motor processing, we predict that these 547 parietal voxels represent concrete concepts using tactile features such as affordances 548 (Barsalou, 2008; Binder and Desai, 2011), which may happen to be more aligned with the 549 linguistic embedding space than the visual embedding space. The linguistic space also 550 outperformed the visually grounded space in many inferior temporal voxels. While these regions 551 are located near visual cortex, previous studies have suggested that they contain multimodal 552 representations of object shape that combine visual and tactile information (Amedi et al., 2001). 553 Notably, this visualization shows that concrete concepts are not invariably represented across 554 cortex in a format that reflects visual information. 555

556

To quantify these results, we partitioned the set of semantic voxels with positive concrete 557 selectivity scores into those located within 15mm of vision ROIs, and those located in other 558 cortical regions. For each subset of concrete selective voxels, we computed the fraction with a 559 positive visual grounding score (Figure 5B). Across subjects, 68 percent of concrete selective 560 voxels near visual cortex were visually grounded, while only 49 percent of concrete selective 561 voxels in other cortical regions were visually grounded. The fraction of concrete selective voxels 562 that are visually grounded was significantly higher near visual cortex than in other cortical 563 regions ( $p < 10^{-3}$ , paired *t*-test; see **Methods**). 564

565

Together these results are consistent with the prediction that concrete concepts are represented 566 near each perceptual system in a format that specifically reflects the corresponding modality. In 567 particular, voxels near somatosensory and motor systems represent concrete concepts in a 568 format that is *not* aligned with visual similarity, showing that concrete concepts are not invariably 569 represented by visual information across cortex. However, because we do not explicitly model 570 representations from non-visual perceptual systems, our results neither support nor challenge 571 the existence of multimodal representations. While we have shown that certain concrete 572 concept representations do not reflect visual information, it is possible that many voxels 573 considered visually grounded in this study-particularly those farther from visual cortex (Binder 574 and Desai, 2011)-may also reflect representations from other perceptual systems. 575

Tang et al. Visually grounded models of language processing

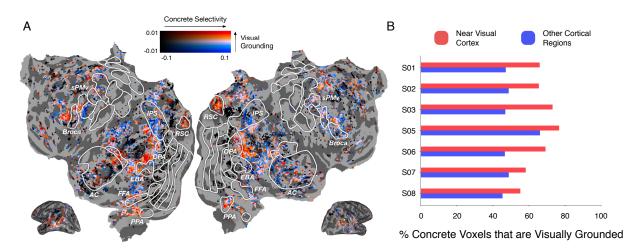


Figure 5. Representational format of concrete concepts across cortex. A concrete selectivity score was computed for each 577 voxel as the projection of its encoding weights onto the vector of concreteness scores for each word. A visual grounding score was 578 579 computed for each voxel as the difference in model performance between a visually grounded encoding model (b = -1) and a fully linguistic encoding model. (A) A cortical flatmap showing the concrete selectivity score and visual grounding score for each voxel in 580 581 subject UT-S-02. Each semantic system voxel was assigned a brightness based on its concrete selectivity score and a color based on its visual grounding score. Concrete selective voxels were colored red if they are better modeled by the visually grounded space 582 and blue if they are better modeled by the linguistic space. Abstract selective voxels were colored black. See Figure S2 for similar 583 maps for other subjects and visually grounded embedding spaces. Concrete selective voxels near the visual system are better 584 modeled by the visually grounded space, while concrete selective voxels near somatosensory and motor systems are better 585 586 modeled by the linguistic space. (B) The fraction of concrete selective voxels that are visually grounded was plotted near visual 587 cortex, and in other cortical regions. For each subject, the fraction of concrete selective voxels that are visually grounded is higher near visual cortex than in other cortical regions. 588

# 590 Discussion

591

589

Most people learn about the world through both vision and language. This study characterized 592 how these two sources of information are combined in the semantic system by modeling cortical 593 concept representations evoked by narrative stories. We first operationalized visual and 594 linguistic information as different embedding spaces, and then created a spectrum of semantic 595 embedding spaces to model different possibilities for how visual and linguistic information are 596 combined. Comparing encoding model performance between different semantic embedding 597 spaces, we found that cortical regions near the visual system represent concepts using some 598 amount of visual information, while cortical regions near the language system represent 599 concepts using mostly linguistic information. Focusing on regions near visual cortex, we next 600 demonstrated that most concepts are best modeled by a combination of visual and linguistic 601 information, with more concrete concepts containing more visual information. Notably, however, 602 we found that even many abstract concepts contain some amount of visual information from 603 linguistically associated concrete concepts. Finally, we found that the visual grounding of 604 concrete concepts—which tend to be experienced through multiple perceptual modalities—is 605 localized near visual cortex, suggesting that semantic representations near each perceptual 606 system specifically reflect how information is represented in the corresponding modality. 607 608

<sup>609</sup> To facilitate future work in this area, we are sharing the semantic embedding spectrum and <sup>610</sup> code used to generate it (https://github.com/jerryptang/grounded-embedding-spaces). Further,

#### Tang et al. Visually grounded models of language processing

<sup>611</sup> we plan to shortly release the entire fMRI dataset that was used in this study, which we hope

- will enable many future experiments since responses to natural language stimuli are highly
   reusable for asking many different scientific questions.
- 614

While we found consistent and statistically significant differences between semantic encoding 615 models, these differences are numerically small. This is likely a consequence of the regression 616 approach used to estimate the encoding models. In a regularized, ridge regression-based 617 encoding model, weights are estimated to maximize the likelihood of the brain responses given 618 the stimulus, under a prior that similar words in the embedding space should have similar 619 weights (Nunez-Elizalde et al., 2019). However, as the amount of training data increases, the 620 model can learn accurate weights from the data alone, decreasing the relative impact of the 621 embedding space prior. Consequently, while our large fMRI dataset increases our confidence in 622 the differences between embedding spaces, it also leads these differences to be numerically 623 small. 624

625

Another potential issue is that the observed effects may not generalize beyond the narrative 626 stories used to train and evaluate our encoding models. This issue of generalizability affects all 627 fMRI experiments (Westfall et al., 2016). However, our study mitigates this issue to a large 628 degree by using a very large set of natural language stimuli (5.37 hours or 55,144 total words) 629 that span a broad space of semantic concepts, and an encoding framework in which we 630 explicitly evaluate generalization performance of our models on multiple test stories. While 631 issues of generalization can never be completely eliminated, our approach reduces this problem 632 greatly compared to standard approaches in the field. 633

634

Our analyses are also bounded by our computational models of visual and linguistic 635 representations. While our exploratory analyses (Figure 2) show that the visual and linguistic 636 embedding spaces capture different notions of similarity, the embedding spaces are inherently 637 imperfect models of visual and linguistic processing. Consequently, our results may be 638 confounded by biases in the embedding spaces. For instance, we identified many voxels that 639 are best modeled by semantic embedding spaces that solely contain linguistic information and 640 concluded that these voxels represent concepts in a format that reflects linguistic 641 representations (Figure 3) or representations from non-visual perceptual systems (Figure 5). 642 However, we may also observe these results if the voxels contain visually grounded 643 representations of concepts that are poorly modeled by the visual embedding space. This issue 644 affects all model comparison experiments (Anderson et al., 2019). Our study attempts to 645 mitigate this issue by using state-of-the-art computational models of visual and linguistic 646 information. The analyses introduced in this study are applicable to all models that can be 647 expressed as word embedding spaces, and can thus be used to test future models of visual and 648 linguistic processing. 649

650

Finally, this study modeled semantic representations as combinations of visual and linguistic
 representations. However, there are many other sources through which humans acquire
 conceptual knowledge, such as somatosensation and emotion. We expect that some cortical

regions that appear to reflect visual or linguistic representations may actually be best aligned

Tang et al. Visually grounded models of language processing

with concept representations in these other modalities (Figure 5). Furthermore, other cortical

regions may contain multimodal representations that combine information from multiple

<sup>657</sup> perceptual modalities (Binder and Desai, 2011). An important direction for future work is

developing computational models for these other sources of information and using them to

create increasingly detailed models of the semantic system.

660

# 661 Methods

662

# 663 MRI Data Collection

664

MRI data were collected on a 3T Siemens Skyra scanner at the UT Austin Biomedical Imaging
Center using a 64-channel Siemens volume coil. Functional scans were collected using a
gradient echo EPI sequence with repetition time (TR) = 2.00 s, echo time (TE) = 30.8 ms, flip
angle = 71°, multi-band factor (simultaneous multi-slice) = 2, voxel size = 2.6mm x 2.6mm x
2.6mm (slice thickness = 2.6mm), matrix size = (84, 84), and field of view = 220 mm.

670

Anatomical data for all subjects except UT-S-02 were collected using a T1-weighted multi-echo
 MP-RAGE sequence on the same 3T scanner with voxel size = 1mm x 1mm x 1mm following
 the Freesurfer morphometry protocol. Anatomical data for subject UT-S-02 were collected on a
 3T Siemens TIM Trio scanner at the UC Berkeley Brain Imaging Center using a 32-channel
 Siemens volume coil using the same sequence.

676

# 677 Subjects

678

Data were collected from three female and four male human subjects: UT-S-01 (female, age 679 24), UT-S-02 (author A.G.H., male, age 34), UT-S-03 (male, age 22), UT-S-05 (female, age 23), 680 UT-S-06 (author A.L., female, age 23), UT-S-07 (male, age 25), and UT-S-08 (male, age 24). All 681 subjects were healthy and had normal hearing, and normal or corrected-to-normal vision. The 682 experimental protocol was approved by the Institutional Review Board at the University of Texas 683 at Austin. Written informed consent was obtained from all subjects. To stabilize head motion 684 during scanning sessions participants wore a personalized head case that precisely fit the 685 shape of each participant's head (https://caseforge.co/). 686

687

# 688 Natural Language Stimuli

689

The model estimation and evaluation data set consisted of 25 10-15 min stories taken from The 690 Moth Radio Hour. In each story, a single speaker tells an autobiographical story without reading 691 from a prepared speech. Each story was played during one scan with a buffer of 10 seconds of 692 silence before and after the story. Data collection was broken up into 6 different scanning 693 sessions, with the first session consisting of the anatomical scan and localizers, and each 694 subsequent session consisting of 5 or 6 stories. A separate repeated test data set consisted of 695 one 10 min story, also taken from The Moth Radio Hour. This story was played five times for 696 each subject (once during each story scanning session), and the five sets of responses were 697 averaged. 698

Tang et al. Visually grounded models of language processing

699

Stories were played over Sensimetrics S14 in-ear piezoelectric headphones. The audio for each 700 story was filtered to correct for frequency response and phase errors induced by the 701 headphones using calibration data provided by Sensimetrics and custom python code 702

- (https://github.com/alexhuth/sensimetrics filter). All stimuli were played at 44.1 kHz using the 703
- pygame library in Python. 704
- 705
  - fMRI Data Preprocessing
- 707

706

All functional data were motion corrected using the FMRIB Linear Image Registration Tool 708 (FLIRT) from FSL 5.0. FLIRT was used to align all data to a template that was made from the 709 average of all functional runs in the first story session for each subject. These automatic 710 alignments were manually checked for accuracy. Low frequency voxel response drift was 711 identified using a 2<sup>nd</sup> order Savitzky-Golay filter with a 120 second window and then subtracted 712

- from the signal. To avoid onset artifacts and poor detrending performance near each end of the 713 scan, responses were trimmed by removing 20 seconds (10 volumes) at the beginning and end 714 of each scan, which removed the 10-second silent period and the first and last 10 seconds of 715 each story. The mean response for each voxel was subtracted and the remaining response was 716 scaled to have unit variance.
- 717 718

#### Flatmap Construction 719

720

Cortical surface meshes were generated from the T1-weighted anatomical scans using 721 FreeSurfer software (Dale et al., 1999). Before surface reconstruction, anatomical surface 722 segmentations were hand-checked and corrected. Blender was used to remove the corpus 723 callosum and make relaxation cuts for flattening. Functional images were aligned to the cortical 724 surface using boundary based registration (BBR) implemented in FSL. These alignments were 725 manually checked for accuracy and adjustments were made as necessary. 726

727

Flat maps were created by projecting the values for each voxel onto the cortical surface using 728 the "nearest" scheme in pycortex software (Gao et al., 2015). This projection finds the location 729 of each pixel in the flat map in 3D space and assigns that pixel the associated value. 730

731

#### Stimulus Preprocessing 732

733

Each story was manually transcribed by one listener. Certain sounds (for example, laughter and 734 breathing) were also marked to improve the accuracy of the automated alignment. The audio of 735 each story was then downsampled to 11kHz and the Penn Phonetics Lab Forced Aligner 736

- (P2FA) (Yuan and Liberman, 2008) was used to automatically align the audio to the transcript. 737
- Praat (Boersma and Weenink, 2014) was then used to check and correct each aligned 738
- transcript manually. 739
- 740
- Localizers 741

#### Tang et al. Visually grounded models of language processing

Known regions of interest (ROIs) were localized separately in each subject. Three different 742 tasks were used to define ROIs; a visual category localizer, an auditory cortex localizer, and a 743 motor localizer. 744

745

Visual category localizer data were collected in six 4.5 minute scans consisting of 16 blocks of 746 16 seconds each. During each block 20 images of either places, faces, bodies, household 747 objects, or spatially scrambled objects were displayed. Subjects were asked to pay attention to 748 the same image being presented twice in a row. The cortical ROIs defined with this localizer 749 were the fusiform face area (FFA), parahippocampal place area (PPA), occipital place area 750 (OPA), retrosplenial cortex (RSC), and extrastriate body area (EBA). 751

752

Motor localizer data were collected in two identical 10 minute scans. The subject was cued to 753 perform six different tasks in a random order in 20 second blocks. The cues were 'hand', 'foot', 754 'mouth', 'speak', saccade, and 'rest' presented as a word at the center of the screen, except for 755 the saccade cue which was presented as an array of dots. For the 'hand' cue, subjects were 756 instructed to make small finger-drumming movements for the entirety of the cue display. For the 757 'foot' cue, subjects were instructed to make small foot and toe movements. For the 'mouth' cue, 758 subjects were instructed to make small vocalizations that were nonsense syllables such as 759 balabalabala. For the 'speak' cue, subjects were instructed to self-generate a narrative without 760 vocalization. For the saccade cue, subjects were instructed to make frequent saccades across 761 the display screen for the duration of the task. 762

763

Weight maps for the motor areas were used to define primary motor and somatosensory areas 764 for the hands, feet, and mouth; supplemental motor areas for the hands and feet, secondary 765 somatosensory areas for the hands, feet, and mouth, and the ventral premotor hand area. The 766 weight map for the saccade responses was used to define the frontal eve fields and intraparietal 767 sulcus visual areas. The weight map for speech was used to define Broca's area and the 768 superior ventral premotor (sPMv) speech area (Chang et al., 2011). 769

770

Auditory cortex localizer data were collected in one 10 minute scan. The subject listened to 10 771 repeats of a 1-minute auditory stimulus containing 20 seconds of music (Arcade Fire), speech 772 (Ira Glass, This American Life), and natural sound (a babbling brook). To determine whether a 773 voxel was responsive to auditory stimulus, the repeatability of the voxel response across the 10 774 repeats was calculated using an F-statistic. This map was used to define the auditory cortex 775 (AC). 776

777

#### Visual and Linguistic Embedding Spaces 778

779

We constructed a linguistic embedding space based on word co-occurrence statistics in a large 780 corpus of text (same as de Heer et al., 2017; Deniz et al., 2019; Huth et al., 2016). First, we 781 constructed a 10,470-word lexicon from the union of the set of all words appearing in the first 2 782 story sessions and the 10,000 most common words in the large text corpus. We then selected 783 985 basis words from Wikipedia's List of 1000 Basic Words (contrary to the title, this list 784 contained only 985 unique words at the time it was accessed). This basis set was selected 785

#### Tang et al. Visually grounded models of language processing

because it consists of common words that span a very broad range of topics. The text corpus 786 used to construct this feature space includes the transcripts of 13 Moth stories (including 10 787 used as stimuli in this experiment), 604 popular books, 2,405,569 Wikipedia pages, and 788 36.333.459 user comments scraped from reddit.com. In total, the 10.470 words in our lexicon 789 appeared 1,548,774,960 times in this corpus. Next, we constructed a word co-occurrence 790 matrix, L, with 985 rows and 10.470 columns. Iterating through the text corpus, we added 1 to 791 L<sub>i</sub> each time word *j* appeared within 15 words of basis word *i*. A window size of 15 was selected 792 to be large enough to suppress syntactic effects (that is, word order) but no larger. Once the 793 word co-occurrence matrix was complete, we log-transformed the counts, replacing  $L_{ii}$  with 794  $log(1 + L_{i,i})$ . Next, each row of L was z-scored to correct for differences in basis word frequency, 795 and then each column of L was z-scored to correct for word frequency. Each column of L is now 796 a 985-dimensional vector representing one word in the lexicon. We then filtered the columns of 797 L for the 3,933 unique words that occur in the stimulus stories. The linguistic embedding space 798 is summarized by the covariance matrix  $\Sigma_L = L^T L$ , where  $(\Sigma_L)_{i,i}$  captures the degree of linguistic 799 similarity between words *i* and *j*. 800

801

We constructed a visual embedding space based on embeddings extracted using a 802 convolutional neural network (CNN). First, we defined a set of potential visual words from the 803 union of words appearing in the first 2 story sessions and words with a concreteness rating c 804 greater than or equal to 4.6 out of 5 in the Brysbaert Concreteness Ratings dataset (Brysbaert 805 et al., 2014). We manually assigned each potential visual word the WordNet (Miller, 1995) 806 synset that best corresponds to its linguistic meaning, which was inferred from the word's 10 807 nearest neighbors in the linguistic embedding space  $\Sigma_{L}$ . We then identified 720 visual words 808 with ImageNet (Deng et al., 2009) entries corresponding to their assigned WordNet synsets. Of 809 the 720 visual words, 394 were contained in the stimulus vocabulary. The 3,539 words in our 810 stimulus vocabulary without corresponding ImageNet entries were considered non-visual. For 811 each visual word, 100 images were randomly sampled from its ImageNet entry. 4,096-812 dimensional CNN embeddings were extracted for each image using the fc1 layer of a pretrained 813 VGG16 (Simonyan and Zisserman, 2015) CNN implemented in Keras (Chollet and Others, 814 2015). We chose the feature extraction layer by fitting language encoding models (described 815 below) induced by each layer of VGG16 on a single test subject (UT-S-02); fc1 attained the 816 highest prediction performance across cortex. We obtained a CNN embedding for each visual 817 word by averaging the extracted features across the 100 sampled images. The CNN 818 embeddings were stored as columns in a matrix C with 4,096 rows and 720 columns. 819

820

We developed a perceptual propagation method to construct a matrix V of visual embeddings 821 for both visual and non-visual words. We defined the linguistic submatrix  $L_{\nu}$  with 985 rows and 822 720 columns as the linguistic embeddings of the visual words. We then fit a linear model  $\theta$  as  $L^{T}$ 823  $= \theta L_v^{T}$  to reconstruct each word's linguistic embedding as a linear combination of the linguistic 824 embeddings of visual words. For each word w, row  $\theta_w$  contains 720 weights, which capture the 825 degree to which each visual word contributes to the linguistic meaning of w. The matrix V of 826 visual embeddings was then estimated by  $V^{T} = \Theta C^{T}$ . V represents non-visual words as linear 827 combinations of the CNN embeddings of associated visual words. V additionally combines each 828 visual word's CNN embedding with CNN embeddings of associated visual words, which 829

#### Tang et al. Visually grounded models of language processing

smooths the visual embedding space (Collell et al., 2017). Finally, each column of *V*, which corresponds to the visual embedding of a word, was *z*-scored. The visual embedding space is summarized by the covariance matrix  $\Sigma_V = V^T V$ , where  $(\Sigma_V)_{i,j}$  captures the degree of visual similarity between words *i* and *j*.

834

We fit the perceptual propagation model  $\theta$  using Tikhonov regression with prior covariance 835 matrix  $\Omega$  and regularization constant  $\lambda$ . We chose  $\lambda$  as the smallest value for which the first 836 eigenvalue of the visual embedding space  $\Sigma_V$  was approximately equal to that of the linguistic 837 space  $\Sigma_{L}$  in an effort to keep the smoothness of the visual embedding space as similar as 838 possible to the linguistic embedding space. We tested two different prior covariance matrices; a 839 spherical prior  $\Omega_{\rm c}$  that corresponds to ridge regression, and a CNN prior  $\Omega_{\rm c} = C^{\rm T}C$  which 840 enforces that visual words with similar CNN embeddings have similar weights in  $\theta$ . We found 841 that for non-visual words, the associated visual words obtained under the spherical prior were 842 more semantically diverse, while the associated visual words obtained under the CNN prior 843 were more visually coherent. For example, the top associated words for "education" under the 844 spherical prior were "school", "college", "university", "student", and "conservative", while the top 845 associated words under the CNN prior were "instructor", "teacher", "grade", "student", and 846 "classroom" (which all depict a classroom setting). As the two priors capture different types of 847 information, our perceptual propagation model  $\theta$  was obtained by averaging the models  $\theta_l$  and 848 **θ**<sub>C</sub>. 849

850

#### 851 Concreteness Scores

852

We quantified the concreteness of each stimulus word using scores derived from the separate 853 Brysbaert Concreteness Ratings dataset. The Brysbaert dataset contains human ratings c of the 854 extent to which each word can be experienced through sensation. The concreteness ratings 855 range from 1 (very abstract) to 5 (very concrete). We scaled the ratings between 0 (very 856 abstract) and 1 (very concrete) by subtracting 1 and dividing by the range 4, and then squared 857 the resulting values to obtain concreteness scores c. To interpolate concreteness scores for 858 stimulus words that were not included in the Brysbaert dataset, each word w was assigned the 859 max of its own concreteness score  $c_w$  (where  $c_w = 0$  if w is not contained in the Brysbaert 860 dataset) and the mean concreteness score of its 15 closest linguistic neighbors. Each word's 861 concreteness score  $c_w$  was thus given as  $max(c_w, \frac{1}{15}\Sigma_{n \in nn(w)}c_n)$ , where the nearest neighbors 862 function nn(w) gives the 15 closest words (where similarity is defined under  $\Sigma_L$ ) to w in the 863 Brysbaert dataset. 864

865

## 866 Visualizing Embedding Space Structure

867

We used PCA to visualize the structure of the visual and linguistic embedding spaces. For each space, we applied PCA to the embeddings of the 394 visual words that occur in the stimulus stories, and projected each word's embedding onto the first two PCs. The first two PCs of the visual space account for 24.5% of the variance, and the first two PCs of the linguistic space account for 22.9% of the variance. For each embedding space, we plotted the two-dimensional projection of each visual word.

Tang et al. Visually grounded models of language processing

874

<sup>875</sup> To highlight how notions of similarity differ between the visual and linguistic spaces, we

<sup>876</sup> identified 3 broad semantic categories; *people*, *clothes*, and *places*. For each category, we

hand-selected 10 representative words prior to visualization, and colored the convex hull of the

<sup>878</sup> representative words in the two-dimensional visualization of each embedding space.

879 880

### Quantifying Word-level Differences in Embedding Spaces

881

For each word *w*, we defined a visual similarity vector  $(\Sigma_V)_w$  containing its visual similarities with every other word, and a linguistic similarity vector  $(\Sigma_L)_w$  containing its linguistic similarities with every other word. We computed a modality alignment score for each word as the linear correlation between its visual and linguistic similarity vectors. Words with high modality alignment scores are represented similarly in the visual and linguistic embedding spaces, while words with low modality alignment scores are represented differently in the visual and linguistic embedding spaces.

889

Across stimulus words, modality alignment scores *m* were anticorrelated with concreteness scores *c* (linear correlation r = -0.26). The linear least squares regression line between concreteness scores and modality alignment scores is m = -0.13c + 0.76.

893

## 894 Semantic Embedding Spectrum

895

We created semantic embeddings  $S_w$  for each word w by concatenating its visual embedding  $V_w$ 896 and its linguistic embedding  $L_w$ . Each word was assigned a modality weight  $\alpha_w$  between 0 and 1 897 to model the relative contributions of its visual and linguistic representations to its semantic 898 representation. Prior to concatenation  $V_w$  was scaled to unit norm and then multiplied by  $\alpha_w^{1/2}$ 899 while  $L_w$  was scaled to unit norm and then multiplied by  $(1 - \alpha_w)^{1/2}$ . When  $\alpha_w$  is 1 the semantic 900 embedding  $S_w$  will fully reflect the visual embedding, and when  $\alpha_w$  is 0 the semantic embedding 901  $S_w$  will fully reflect the linguistic embedding. Semantic embedding spaces are summarized by 902 the covariance matrices  $\Sigma_S = S^T S$ . The semantic similarity  $(\Sigma_S)_{i,i}$  between words *i* and *j* is an 903 average of their visual similarity  $\Sigma_V$  weighted by  $\alpha_i^{1/2} \alpha_i^{1/2}$  and their linguistic similarity  $\Sigma_L$  weighted 904 by  $(1 - \alpha_i)^{1/2}(1 - \alpha_i)^{1/2}$ . 905

906

Each semantic embedding space is parameterized by a vector  $\boldsymbol{\alpha}$  containing the modality weight 907  $\alpha_w$  for each word w. To constrain the infinitely large space of  $\alpha$  vectors we modeled each word's 908 modality weight  $\alpha_w$  as a monotonically increasing function  $\alpha_{\text{concrete}}(c; b) = \sigma(\sigma^{-1}(c) + b)$  of its 909 concreteness score  $c_w$ , where  $\sigma$  is the sigmoid function  $\sigma(x) = e^{x}/(e^x + 1)$ . The  $\alpha_{concrete}$  model has 910 a single bias parameter b that controls the total amount of visual information in each word's 911 semantic embedding. As b approaches negative infinity,  $\alpha(c_w)$  approaches 0 for all  $c_w$ , causing 912  $\Sigma_{\rm S}$  to approach  $\Sigma_{\rm L}$ . As b approaches infinity,  $\alpha(c_w)$  approaches 1 for all  $c_w$ , causing  $\Sigma_{\rm S}$  to 913 approach  $\Sigma_V$ . 914

915

For our analyses, we chose 5 values of *b* (-10, -1, 0, 1, 10), which induce semantic embedding spaces that smoothly interpolate between the linguistic space  $\Sigma_L$  and the visual space  $\Sigma_V$ . This

#### Tang et al. Visually grounded models of language processing

semantic embedding spectrum contains a fully linguistic embedding space (b = -10) and a range of visually grounded embedding spaces (b = -1, 0, 1, 10)

920

#### 921 Voxelwise Encoding Models

922

fMRI encoding models are estimated on a set of training stories Strain and evaluated on a set of 923 test stories S<sub>test</sub>. In model estimation, a response matrix Y<sub>train</sub> is constructed by concatenating 924 the fMRI responses to stories in  $S_{\text{train}}$ . To construct the stimulus matrix  $X_{\text{train}}$ , each word in  $S_{\text{train}}$  is 925 first represented by a one-hot indicator vector corresponding to its identity in the 3,933-word 926 stimulus vocabulary. The resulting binary matrix is then downsampled to the MR acquisition 927 times using a 3-lobe Lanczos filter, yielding a t-by-3,933 dimensional word matrix  $W_{\text{train}}$ , where t 928 is the number of fMRI images in  $Y_{\text{train}}$ . The word matrix  $W_{\text{train}}$  is then projected onto a feature 929 matrix P which contains a p-dimensional embedding for each word, yielding the t-by-p 930 dimensional stimulus matrix X<sub>train</sub>. Each feature channel of X<sub>train</sub> is z-scored to match the 931 features to the fMRI responses, which are z-scored within each story. 932

933

A linearized finite impulse response (FIR) model is fit to every cortical voxel in each subject's brain. A separate linear temporal filter with four delays (1, 2, 3, and 4 time points) is fit for each of the *p* stimulus features, yielding a total of 4*p* features. This is accomplished by concatenating feature vectors that have been delayed by 1, 2, 3, and 4 time points (2, 4, 6, and 8 s). Taking the dot product of this concatenated feature space with a set of linear weights is functionally equivalent to convolving the original stimulus vectors with linear temporal kernels that have nonzero entries for 1-, 2-, 3-, and 4-time-point delays.

941

The 4p weights for each voxel are estimated from  $X_{\text{train}}$  and  $Y_{\text{train}}$  using L2-regularized linear 942 regression (also known as ridge regression). The regression procedure has a single free 943 parameter which controls the degree of regularization. This regularization coefficient is found for 944 each voxel by repeating a regression and cross-validation procedure 50 times. In each iteration, 945 approximately a fifth of the time points (t/200 blocks of 40 consecutive time points each) are 946 removed from the training data set and reserved for validation. Then the model weights are 947 estimated on the remaining time points for each of 15 possible regularization coefficients (log 948 spaced between 10 and 10,000). These weights are used to predict responses for the reserved 949 time points, and prediction performance is computed between the predicted and actual 950 responses. For each voxel, the regularization coefficient is chosen as the value that led to the 951 best performance, averaged across bootstraps, on the reserved time points. For models where 952 the sizes of the responses should be preserved (word-rate encoding models; described below), 953 the regularization coefficient was optimized using  $R^2$  as the performance metric. For models 954 where the sizes of the predicted responses do not matter (semantic encoding models; described 955 below), the regularization coefficient was optimized using linear correlation as the performance 956 metric. 957

958

The regression procedure produces a set of estimated feature weights  $\beta^{P}$ , with columns

- <sup>960</sup> corresponding to the 4*p* weights for each voxel. To evaluate a voxel-wise model,  $\beta^{P}$  is used to
- $_{961}$  predict brain responses to stories in a test dataset  $S_{test}$  that were not used for model estimation.

#### Tang et al. Visually grounded models of language processing

For each story *s* in  $S_{\text{test}}$ , a stimulus matrix  $X_s$  and a response matrix  $Y_s$  are constructed using the procedure described above for constructing  $X_{\text{train}}$  and  $Y_{\text{train}}$ . Each feature channel of  $X_s$  is normalized using the mean and standard deviation of the corresponding channel in  $X_{\text{train}}$ . For each voxel, prediction performance on each test story is estimated as the linear correlation between predicted and actual responses over the time points in the story. Overall prediction performance on  $S_{\text{test}}$  is obtained by averaging the voxel's prediction performance across the stories in  $S_{\text{test}}$ .

969

#### 970 Encoding Model Estimation

971 Before fitting semantic encoding models, we first fit a word-rate encoding model for each subject 972 to remove variance in the response data that could be explained by low-level auditory features. 973 The word-rate model represents stimulus words with a 3,933-by-1 dimensional matrix of ones 974  $P_{WR}$ . We estimated word-rate weights  $\beta_{WR}$  using all 5 story sessions as the training set  $S_{train}$ . L2 975 regularization coefficients were chosen by maximizing  $R^2$  in the cross-validation procedure. For 976 each of the 25 stimulus stories and the repeated test story, we predicted brain responses  $Y_{WR}$  = 977  $X\beta_{WR}$  using the word rate model. The word-rate predictions  $Y_{WR}$  were subtracted from the actual 978 brain responses Y, which were then z-scored to produce word-rate corrected brain responses. 979 Semantic encoding models were then fit to the word-rate corrected brain responses. 980

981

To fit a semantic encoding model with embedding space prior  $\Sigma$ , stimulus words were 982 represented by embedding features  $P = \Sigma^{1/2}$ . Previous work shows that performing ridge 983 regression on the stimulus matrix  $X = W\Sigma^{1/2}$  is equivalent to performing Tikhonov regression on 984 the word matrix W using  $\Sigma$  as the prior covariance (Nunez-Elizalde et al., 2019). L2 985 regularization coefficients were chosen by maximizing linear correlation in the cross-validation 986 procedure. This procedure for solving Tikhonov regression yields a set of weights  $\beta^{P}$  on 987 embedding features P. To represent the encoding model as weights on individual words, rather 988 than weights on embedding features, we left-multiplied the feature space weights  $\beta^{P}$  by the 989 delayed embedding features to obtain word-space weights  $\beta^{W} = (I_4 \otimes \Sigma^{1/2})\beta^{P}$ . Each column of 990 the weight matrix  $\beta^{W}$  contains a set of 15,732 estimated weights for a corresponding voxel. 991 These weights predict how each of the 3,933 words in the stimulus vocabulary influences the 992 BOLD responses in that voxel at each of the four temporal delays. When estimating the 993 selectivity of each voxel for each word (Figure 5), we removed temporal information by 994 averaging across the four delays for each word. Each voxel is then represented by a set of 995 3.933 averaged weights which predict how each word in the stimulus vocabulary influences the 996 BOLD responses in that voxel. 997

998

To compare model performance under different embedding space priors (**Figure 3**), we estimated and evaluated encoding models using a bootstrap procedure across story sessions. For each of the 5 story sessions, we held out the chosen session as  $S_{\text{test}}$  and estimated encoding models using the remaining 4 story sessions as  $S_{\text{train}}$ . We then computed prediction performance of the estimated models on each story in  $S_{\text{test}}$ . Repeating this process for each story session yielded prediction performance on all 25 stimulus stories. Aggregate performance was obtained by averaging performance across the 25 stories. As the stimulus stories vary in

#### Tang et al. Visually grounded models of language processing

semantic content and imageability, maximizing the number of evaluation stories was desirable
for identifying the embedding space that best models each voxel. Because this session
bootstrap procedure evaluated encoding models on single repetitions of many stories rather
than many repetitions of a single story (de Heer et al., 2017; Huth et al., 2016; Jain and Huth,
2018), our reported prediction performance values were lower than previously reported results
due to the lower signal-to-noise ratio of single repetition response data.

1012

1021

1025

1027

A downside to the story session bootstrap procedure is that the 5 story sessions produce 5 1013 separate encoding models. As the encoding models were not estimated using independent 1014 data, their weights cannot be meaningfully combined. Furthermore, the story session bootstrap 1015 procedure is computationally intensive. For analyses estimating voxel selectivity from encoding 1016 model weights (Figure 5) and analyses that compare a large number of encoding models 1017 (Figure 4), we instead split the story sessions into explicit train and test sessions. This 1018 procedure produces a single set of encoding model weights. The number of training and test 1019 sessions used depends on the nature of each analysis, as described below. 1020

All model fitting and analysis was performed using custom software written in Python, making
 heavy use of NumPy (Oliphant, 2006), SciPy (Jones et al., 2001), and pycortex (Gao et al.,
 2015).

#### 1026 Semantic System Voxels

Semantic system voxels were defined as voxels that were significantly predicted by any space 1028 in the semantic embedding spectrum. We tested for significance using a permutation test on the 1029 repeated test story S<sub>reptest</sub>. The embedding spectrum performance for each voxel was defined as 1030 the maximum linear correlation r between the true response time course and the predicted 1031 response time course under each semantic embedding space. We then constructed a null 1032 distribution on embedding spectrum performance for each voxel by permuting the voxel's true 1033 response time course. In each trial, we randomly resampled (with replacement) 10-TR blocks 1034 from the voxel's true response time course. Resampling contiguous blocks preserves the auto-1035 correlation structure of the voxel's responses. We then computed null embedding spectrum 1036 performance as the maximum linear correlation r between the permuted response time course 1037 and the predicted response time course under each semantic embedding space. Repeating this 1038 process for 10,000 trials provided a null distribution of embedding spectrum performance for 1039 each voxel. Semantic system voxels were identified as voxels with an observed embedding 1040 spectrum performance that is significantly higher than its null distribution (q(FDR) < 0.05), 1041 correcting for multiple comparisons using the false discovery rate (Benjamini and Hochberg, 1042 1995). 1043

1044

For encoding models estimated using the session bootstrap procedure (**Figures 3**, **5**) we averaged across the 5 sets of encoding weights (corresponding to each bootstrap session) to predict responses to the repeated test story. This yielded 8,578 semantic system voxels in subject UT-S-01, 13,502 semantic system voxels in UT-S-02, 17,135 semantic system voxels in

#### Tang et al. Visually grounded models of language processing

UT-S-03, 3,835 semantic system voxels in UT-S-05, 5,504 semantic system voxels in UT-S-06, 3,065 semantic system voxels in UT-S-07, and 1,321 semantic system voxels in UT-S-08.

1051

For encoding models estimated using an explicit train-test split (**Figure 4**) we predicted responses to the repeated test story using the single set of encoding weights. This yielded 7,047 semantic system voxels in subject UT-S-01, 11,933 semantic system voxels in UT-S-02, 12,807 semantic system voxels in UT-S-03, 3,338 semantic system voxels in UT-S-05, 2,539 semantic system voxels in UT-S-06, 2,230 semantic system voxels in UT-S-07, and 807 semantic system voxels in UT-S-08.

1058

#### 1059 Linear Mixed-effects Modeling

1060

A linear mixed-effects model (Ime) was used to compare the performance of different spaces in 1061 the semantic embedding spectrum around vision and language ROIs. We identified vision (FFA, 1062 PPA, OPA, RSC, EBA) and language (AC, Broca, sPMv) ROIs in each subject using separate 1063 localizer data (described above). We used pycortex software (Gao et al., 2015) to identify 1064 semantic system voxels within 15mm of each ROI along the cortical surface. For each ROI, we 1065 first identified all vertices on the fiducial surface that fall within the ROI definition. We then 1066 computed the geodesic distance from each surface vertex to the closest vertex in the ROI. We 1067 defined ROI-adjacent vertices as vertices within 15mm of the ROI vertices. We finally used the 1068 "cortical" scheme in pycortex to select all voxels with centers within the cortical ribbon where the 1069 closest vertex is ROI-adjacent. 1070

1071

For each subject, the performance of each embedding space around an ROI was computed by 1072 averaging the prediction performance of the corresponding encoding model (estimated under 1073 the story session bootstrap encoding procedure) across semantic system voxels within 15mm of 1074 the ROI. We then computed a visual grounding score for each visually grounded embedding 1075 space as its performance improvement over the fully linguistic embedding space. Our linear 1076 mixed-effects model compared visual grounding score for each visually grounded embedding 1077 space (4 levels: b = -1, 0, 1, 10) and ROI type (2 levels: vision, language). The ROI ID nested 1078 within subject ID was the random effect. The lme test was run in R using the lme4 library (Bates 1079 et al., 2015). For post hoc tests, p-values were corrected for multiple comparisons using the 1080 false discovery rate. 1081

1082

For each ROI, we plotted the visual grounding score for each visually grounded embedding space. We then plotted mean visual grounding score across vision and language ROIs for each visually grounded embedding space. All values were averaged across 7 subjects. Error bars indicate standard error of the mean across 7 subjects.

1087

## 1088 Modality Weight Permutation Test

1089

We conducted a two-tailed permutation test to determine whether the amount of visual information in each word's semantic representation around visual cortex is related to concreteness. We first identified the best  $\alpha_{concrete}$  model around visual cortex (*b* = -1) by

#### Tang et al. Visually grounded models of language processing

comparing encoding model performance on the repeated test set  $S_{reptest}$ . We then fit semantic encoding models using the first 3 story sessions as  $S_{train}$  and the remaining 2 story sessions as  $S_{test}$ . L2 regularization coefficients were chosen by maximizing linear correlation in the crossvalidation procedure. Encoding model performance (linear correlation *r*) was averaged across semantic system voxels within 15mm of vision ROIs (FFA, PPA, OPA, RSC, EBA) along the cortical surface.

1099

We next conducted 1,000 trials in which we permuted concreteness scores across words before computing modality weights under the  $\alpha_{concrete}$  model (b = -1). In trial t of the permutation test, the modality weights across stimulus words were given by a vector  $\alpha_t$  corresponding to a random permutation of the concreteness-derived modality weights  $\alpha_{concrete}$ . We then fit an encoding model under the semantic embedding space induced by  $\alpha_t$  and averaged encoding model performance across the tested voxels. For each voxel, we reused the L2 regularization coefficient previously optimized for the  $\alpha_{concrete}$  encoding model.

1107

The 1,000 trials provide a permutation distribution of the encoding model performance. The permutation distribution was significantly lower than the observed performance of the  $\alpha_{concrete}$ model when combined across subjects (q(FDR) < 10<sup>-4</sup>), and individually for five of seven subjects (q(FDR) < 10<sup>-2</sup>).

1112

# 1113 Binary Modality Weight Model

1114 The visually grounded parameterizations (b = -1, 0, 1, 10) of the  $\alpha_{concrete}$  modality weight model 1115 predict that all abstract words contain some amount of visual information. To capture the 1116 alternative hypothesis that abstract words solely contain linguistic information, we defined an 1117  $\alpha_{\text{binary}}$  modality weight model parameterized by an abstractness cutoff *a*. Words with 1118 concreteness scores below the cutoff were considered purely abstract and represented solely 1119 by their linguistic embeddings, while words with concreteness scores above than the cutoff were 1120 represented by a combination of their visual and linguistic embeddings specified in the  $\alpha_{\text{concrete}}$ 1121 model. Formally,  $\alpha_{\text{binary}}(c; a, b)$  is a piecewise function that outputs 0 if c is less than a, and 1122  $\alpha_{\text{concrete}}(c; b)$  otherwise. To directly compare  $\alpha_{\text{concrete}}$  and  $\alpha_{\text{binary}}$ , both models were parameterized 1123 by the best bias parameter for  $\alpha_{\text{concrete}}$  around visual cortex (b = -1), which was determined by 1124 comparing encoding model performance on the repeated test set S<sub>reptest</sub>. 1125

1126

We compared the  $\alpha_{concrete}$  model against the  $\alpha_{binary}$  model for a range of abstractness cutoffs (a = 1127 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0). For each modality weight model, we fit a semantic 1128 encoding model under the induced embedding space using the first 3 story sessions as  $S_{train}$ 1129 and the remaining 2 story sessions as  $S_{\text{test}}$ . For both the  $\alpha_{\text{concrete}}$  and  $\alpha_{\text{binary}}$  encoding models, L2 1130 regularization coefficients were chosen by maximizing linear correlation in the cross-validation 1131 procedure. Encoding model performance (linear correlation r) was averaged across semantic 1132 system voxels within 15mm of vision ROIs (FFA, PPA, OPA, RSC, EBA) along the cortical 1133 surface. 1134

1135

#### Tang et al. Visually grounded models of language processing

A linear mixed-effects model (Ime) was used to compare the performance difference between each  $\alpha_{\text{binary}}$  model and the  $\alpha_{\text{concrete}}$  model (11 levels: a = 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9,1.0). The subject ID was the random effect. The Ime test was run in R using the Ime4 library (Bates et al., 2015). For post hoc tests, *p*-values were corrected for multiple comparisons using the false discovery rate.  $\alpha_{\text{binary}}$  models with concrete cutoffs of 0.6, 0.8, 0.9, and 1.0 performed significantly worse than the  $\alpha_{\text{concrete}}$  model (q(FDR) < 0.05).

1142

#### 1143 Visual Grounding of Concrete Selective Voxels

1144

We defined a concrete selectivity score for each voxel to quantify the degree to which it 1145 responds to concrete words. We fit encoding models under the fully linguistic embedding space 1146 using all 5 story sessions as Strain. The estimated encoding weights (averaged across delays) 1147 predict the degree to which each word influences BOLD responses in each voxel. We then 1148 projected a vector of concreteness scores for each word onto the encoding weights for each 1149 voxel. We divided each voxel's score by the sum of its absolute weights on each word. Concrete 1150 selectivity scores range from -1 to 1; voxels that respond more to concrete words than abstract 1151 words will have positive concrete selectivity scores, while voxels that respond more to abstract 1152 words than concrete words will have negative concrete selectivity scores. 1153

1154

We defined a visual grounding score for each voxel to guantify the degree to which it represents 1155 concepts in a visually grounded format. We determined the best visually grounded 1156 parameterization of  $\alpha_{concrete}$  across visual cortex (*b* = -1) by comparing encoding model 1157 performance on the repeated test set S<sub>reptest</sub>. The visual grounding score of each voxel was then 1158 defined as the difference in encoding model performance (estimated under the story session 1159 bootstrap procedure) between the visually grounded embedding space (b = -1) and the fully 1160 linguistic embedding space (b = -10). Visual grounding scores range from -1 to 1; voxels that 1161 represent concepts in a visually grounded format will have positive visual grounding scores, 1162 while voxels that represent concepts in a linguistic format will have negative visual grounding 1163 scores. 1164

1165

We defined concrete selective voxels as semantic system voxels with a positive concrete 1166 selectivity score. We tested whether concrete selective voxels are more visually grounded near 1167 visual cortex than in other cortical regions. We partitioned concrete selective voxels into those 1168 near visual cortex (within 15mm of visual ROIs) and those in other cortical regions. For each 1169 subset of concrete selective voxels, we computed the fraction that are visually grounded (visual 1170 grounding score > 0). Combined across subjects, 68 percent of concrete selective voxels near 1171 visual cortex were visually grounded, while 49 percent of concrete selective voxels in other 1172 cortical regions were visually grounded. We conducted a two-tailed paired t-test across subjects 1173 comparing the fraction of concrete selective voxels near visual cortex that are visually grounded 1174 to the fraction of concrete selective voxels in other cortical regions that are visually grounded. 1175 We found that concrete selective voxels were significantly more likely to be visually grounded 1176 near visual cortex than in other cortical regions (p < 0.01). 1177

Tang et al. Visually grounded models of language processing

# 1178 **References**

1179

- Amedi A, Malach R, Hendler T, Peled S, Zohary E. 2001. Visuo-haptic object-related activation in the ventral visual pathway. *Nat Neurosci* **4**:324–330.
- Anderson AJ, Binder JR, Fernandino L, Humphries CJ, Conant LL, Raizada RDS, Lin F, Lalor
   EC. 2019. An Integrated Neural Decoder of Linguistic and Experiential Meaning. *J Neurosci* 39:8969–8987.
- Andrews M, Frank S, Vigliocco G. 2014. Reconciling embodied and distributional accounts of meaning in language. *Top Cogn Sci* **6**:359–370.
- Barsalou LW. 2008. Grounded cognition. *Annu Rev Psychol* **59**:617–645.
- Bates D, Mächler M, Bolker B, Walker S. 2015. Fitting Linear Mixed-Effects Models Using Ime4.
   *Journal of Statistical Software*. doi:10.18637/jss.v067.i01
- Benjamini Y, Hochberg Y. 1995. Controlling the False Discovery Rate: A Practical and Powerful
   Approach to Multiple Testing. *J R Stat Soc Series B Stat Methodol* **57**:289–300.
- Binder JR, Desai RH. 2011. The neurobiology of semantic memory. *Trends Cogn Sci* **15**:527– 536.
- Binder JR, Desai RH, Graves WW, Conant LL. 2009. Where is the semantic system? A critical
   review and meta-analysis of 120 functional neuroimaging studies. *Cereb Cortex* 19:2767–
   2796.
- <sup>1197</sup> Binder JR, Westbury CF, McKiernan KA, Possing ET, Medler DA. 2005. Distinct brain systems <sup>1198</sup> for processing concrete and abstract concepts. *J Cogn Neurosci* **17**:905–917.
- Boersma P, Weenink D. 2014. Praat: doing phonetics by computer.
- Borghi AM, Binkofski F, Castelfranchi C, Cimatti F, Scorolli C, Tummolini L. 2017. The challenge of abstract concepts. *Psychol Bull* **143**:263–292.
- Bruni E, Tran N-K, Baroni M. 2014. Multimodal distributional semantics. *J Artif Intell Res* **49**:1– 47.
- Brysbaert M, Warriner AB, Kuperman V. 2014. Concreteness ratings for 40 thousand generally
   known English word lemmas. *Behav Res Methods* 46:904–911.
- Cadieu CF, Hong H, Yamins DLK, Pinto N, Ardila D, Solomon EA, Majaj NJ, DiCarlo JJ. 2014.
   Deep neural networks rival the representation of primate IT cortex for core visual object
   recognition. *PLoS Comput Biol* **10**:e1003963.
- Chang EF, Edwards E, Nagarajan SS, Fogelson N, Dalal SS, Canolty RT, Kirsch HE, Barbaro
   NM, Knight RT. 2011. Cortical spatio-temporal dynamics underlying phonological target
   detection in humans. *J Cogn Neurosci* 23:1437–1446.
- <sup>1212</sup> Chatfield K, Simonyan K, Vedaldi A, Zisserman A. 2014. Return of the Devil in the Details: <sup>1213</sup> Delving Deep into Convolutional Nets. *arXiv [csCV]*.
- 1214 Chollet F, Others. 2015. Keras. https://keras.io
- <sup>1215</sup> Collell G, Zhang T, Moens M-F. 2017. Imagined visual representations as multimodal <sup>1216</sup> embeddingsThirty-First AAAI Conference on Artificial Intelligence.
- <sup>1217</sup> Dale AM, Fischl B, Sereno MI. 1999. Cortical surface-based analysis. I. Segmentation and <sup>1218</sup> surface reconstruction. *Neuroimage* **9**:179–194.
- <sup>1219</sup> Deerwester S, Dumais ST, Furnas GW, Landauer TK, Harshman R. 1990. Indexing by latent <sup>1220</sup> semantic analysis. *Journal of the American society for information science* **41**:391–407.

Tang et al. Visually grounded models of language processing

1221	de Heer WA, Huth AG, Griffiths TL, Gallant JL, Theunissen FE. 2017. The hierarchical cortical
1222	organization of human speech processing. Journal of Neuroscience <b>37</b> :6539–6557.
1223	Deng J, Dong W, Socher R, Li L-J, Li K, Fei-Fei L. 2009. ImageNet: A large-scale hierarchical
1224	image database2009 IEEE Conference on Computer Vision and Pattern Recognition. IEEE.
1225	pp. 248–255.
1226	Deniz F, Nunez-Elizalde AO, Huth AG, Gallant JL. 2019. The Representation of Semantic
1227	Information Across Human Cerebral Cortex During Listening Versus Reading Is Invariant to
1228	Stimulus Modality. J Neurosci 39:7722–7736.
1229	Dove G. 2009. Beyond perceptual symbols: a call for representational pluralism. Cognition
1230	<b>110</b> :412–431.
1231	Eickenberg M, Gramfort A, Varoquaux G, Thirion B. 2017. Seeing it all: Convolutional network
1232	layers map the function of the human visual system. Neuroimage <b>152</b> :184–194.
1233	Gao JS, Huth AG, Lescroart MD, Gallant JL. 2015. Pycortex: an interactive surface visualizer for
1234	fMRI. Front Neuroinform 9:23.
1235	Glenberg AM, Robertson DA. 2000. Symbol grounding and meaning: A comparison of high-
1236	dimensional and embodied theories of meaning. J Mem Lang 43:379–401.
1237	Güçlü U, van Gerven MAJ. 2015. Deep neural networks reveal a gradient in the complexity of
1238	neural representations across the ventral stream. Journal of Neuroscience 35:10005-
1239	10014.
1240	Hamilton LS, Huth AG. 2018. The revolution will not be controlled: natural stimuli in speech
1241	neuroscience. Language, Cognition and Neuroscience 1–10.
1242	Harnad S. 1990. The symbol grounding problem. <i>Physica D</i> .
1243	Harpaintner M, Sim E-J, Trumpp NM, Ulrich M, Kiefer M. 2020. The grounding of abstract
1244	concepts in the motor and visual system: An fMRI study. Cortex <b>124</b> :1–22.
1245	Harpaintner M, Trumpp NM, Kiefer M. 2018. The Semantic Content of Abstract Concepts: A
1246	Property Listing Study of 296 Abstract Words. Front Psychol 9:1748.
1247	Huth AG, de Heer WA, Griffiths TL, Theunissen FE, Gallant JL. 2016. Natural speech reveals
1248	the semantic maps that tile human cerebral cortex. <i>Nature</i> <b>532</b> :453.
1249	Jain S, Huth A. 2018. Incorporating Context into Language Encoding Models for fMRIAdvances
1250	in Neural Information Processing Systems. pp. 6629–6638.
1251	Jones E, Oliphant T, Peterson P. 2001. SciPy: Open source scientific tools for Python.
1252	Khaligh-Razavi S-M, Kriegeskorte N. 2014. Deep supervised, but not unsupervised, models
1253	may explain IT cortical representation. <i>PLoS Comput Biol</i> <b>10</b> :e1003915.
1254	Krizhevsky A, Sutskever I, Hinton GE. 2012. Imagenet classification with deep convolutional
1255	neural networksAdvances in Neural Information Processing Systems. pp. 1097–1105.
1256	Lund K, Burgess C. 1996. Producing high-dimensional semantic spaces from lexical co-
1257	occurrence. Behav Res Methods Instrum Comput <b>28</b> :203–208.
1258	Lynott D, Connell L, Brysbaert M, Brand J, Carney J. 2020. The Lancaster Sensorimotor Norms:
1259	multidimensional measures of perceptual and action strength for 40,000 English words.
1260	Behav Res Methods <b>52</b> :1271–1291.
1261	Martin A. 2016. GRAPES—Grounding representations in action, perception, and emotion
1262	systems: How object properties and categories are represented in the human brain.
1263	Psychon Bull Rev 23:979–990.
1264	Miller GA. 1995. WordNet: a lexical database for English. Commun ACM 38:39–41.

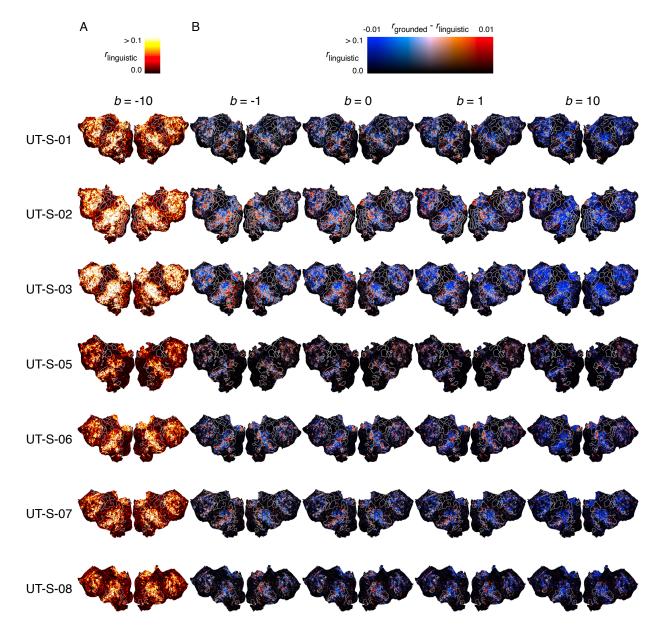
Tang et al. Visually grounded models of language processing

1265	Mitchell TM, Shinkareva SV, Carlson A, Chang K-M, Malave VL, Mason RA, Just MA. 2008.
1266	Predicting human brain activity associated with the meanings of nouns. Science 320:1191-
1267	1195.
1268	Nunez-Elizalde AO, Huth AG, Gallant JL. 2019. Voxelwise encoding models with non-spherical
1269	multivariate normal priors. Neuroimage 197:482–492.
1270	Oliphant TE. 2006. A guide to NumPy. Trelgol Publishing USA.
1271	Paivio A. 1991. Dual coding theory: Retrospect and current status. Canadian Journal of
1272	Psychology/Revue canadienne de psychologie <b>45</b> :255–287.
1273	Pennington J, Socher R, Manning C. 2014. Glove: Global vectors for word
1274	representationProceedings of the 2014 Conference on Empirical Methods in Natural
1275	Language Processing (EMNLP). pp. 1532–1543.
1276	Riordan B, Jones MN. 2011. Redundancy in perceptual and linguistic experience: Comparing
1277	feature-based and distributional models of semantic representation. Top Cogn Sci 3:303-
1278	345.
1279	Saxe R, Kanwisher N. 2003. People thinking about thinking peopleThe role of the temporo-
1280	parietal junction in "theory of mind." NeuroImage. doi:10.1016/s1053-8119(03)00230-1
1281	Sermanet P, Eigen D, Zhang X, Mathieu M, Fergus R, LeCun Y. 2013. OverFeat: Integrated
1282	Recognition, Localization and Detection using Convolutional Networks. arXiv [csCV].
1283	Simonyan K, Zisserman A. 2015. Very Deep Convolutional Networks for Large-Scale Image
1284	RecognitionInternational Conference on Learning Representations.
1285	Wehbe L, Murphy B, Talukdar P, Fyshe A, Ramdas A, Mitchell T. 2014. Simultaneously
1286	uncovering the patterns of brain regions involved in different story reading subprocesses.
1287	<i>PLoS One</i> <b>9</b> :e112575.
1288	Westfall J, Nichols TE, Yarkoni T. 2016. Fixing the stimulus-as-fixed-effect fallacy in task fMRI.
1289	Wellcome open research 1.
1290	Yamins DLK, Hong H, Cadieu CF, Solomon EA, Seibert D, DiCarlo JJ. 2014. Performance-
1291	optimized hierarchical models predict neural responses in higher visual cortex. Proc Natl
1292	Acad Sci U S A <b>111</b> :8619–8624.
1293	Yuan J, Liberman M. 2008. Speaker identification on the SCOTUS corpus. J Acoust Soc Am
1294	<b>123</b> :3878.
1295	Zeiler MD, Fergus R. 2014. Visualizing and Understanding Convolutional NetworksComputer
1296	Vision – ECCV 2014. Springer International Publishing. pp. 818–833.

Tang et al. Visually grounded models of language processing



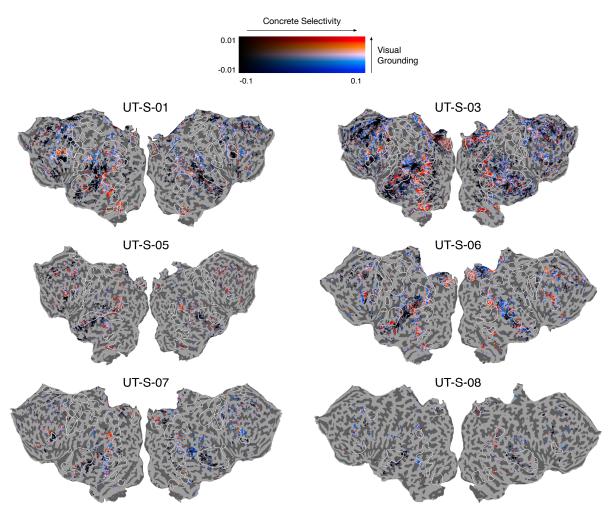
1298



1299

Figure S1 (related to Figure 3). Encoding model performance across semantic embedding spaces. Encoding models were fit 1300 1301 using each space in a semantic embedding spectrum ranging from fully linguistic to fully visual. Prediction performance for each voxel is measured by mean linear correlation r across 25 evaluation stories. (A) Cortical flatmaps show the prediction performance 1302 of the fully linguistic embedding space (b = -10) for each voxel in each subject. Well-predicted voxels appear yellow or white, and 1303 poorly predicted voxels appear black. (B) Cortical flatmaps show the difference in prediction performance between each visually 1304 grounded embedding space and the fully linguistic embedding space. Voxels that are better predicted by each visually grounded 1305 1306 space are colored red, and voxels that are better predicted by the fully linguistic space are colored blue. The brightness of each voxel is given by the performance of the fully linguistic space. 1307

Tang et al. Visually grounded models of language processing



1308

Figure S2 (related to Figure 5). Representational format of concrete concepts across cortex. Similar to Figure 5 in the main 1309 text, a concrete selectivity score was computed for each voxel as the projection of its encoding weights onto the vector of 1310 concreteness scores for each word, and a visual grounding score was computed for each voxel as the difference in model 1311 performance between a visually grounded encoding model (b = -1) and a fully linguistic encoding model. Cortical flatmaps show the 1312 concrete selectivity score and visual grounding score for each voxel in subjects UT-S-01, UT-S-03, UT-S-05, UT-S-06, UT-S-07, 1313 and UT-S-08. These maps show that across subjects, concrete selective voxels near the visual system are better modeled by the 1314 visually grounded space, while concrete selective voxels near somatosensory and motor systems are better modeled by the 1315 linguistic space. 1316