# 1 Mutation rate of SARS-CoV-2 and emergence of mutators during

# 2 experimental evolution

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## 27 Abstract

Background and objectives. To understand how organisms evolve, it is fundamental
to study how mutations emerge and establish. Here, we estimated the rate of mutation
accumulation of SARS-CoV-2 *in vitro* and investigated the repeatability of its evolution
when facing a new cell type but no immune or drug pressures.

Methodology. We performed experimental evolution with two strains of SARS-CoV-2, one carrying the originally described spike protein (CoV-2-D) and another carrying the D614G mutation that has spread worldwide (CoV-2-G). After 15 passages in Vero cells and whole genome sequencing, we characterized the spectrum and rate of the emerging mutations and looked for evidences of selection across the genomes of both strains.

**Results.** From the mutations accumulated, and excluding the genes with signals of selection, we estimate a spontaneous mutation rate of 1.25x10<sup>-6</sup> nt<sup>-1</sup> per infection cycle for both lineages of SARS-CoV-2. We further show that mutation accumulation is heterogeneous along the genome, with the spike gene accumulating mutations at rate five-fold higher than the genomic average. We also observe the emergence of mutators in the CoV-2-G background, likely linked to mutations in the RNA-dependent RNA polymerase and/or in the error-correcting exonuclease protein.

44 Conclusions and implications. These results provide valuable information on how
45 spontaneous mutations emerge in SARS-CoV-2 and on how selection can shape its
46 genome towards adaptation to new environments.

## 47 Lay summary

Mutation is the ultimate source of variation. We estimated how the SARS-COV-2 virus—
cause of the COVID-19 pandemic—mutates. Upon infecting cells, its genome can change
at a rate of 0.04 per replication. We also find that this rate can change and that its spike
protein can adapt, even within few replications.

## 52 Background and objectives

53 Mutation is the principal process driving the origin of genetic diversity. The mutation rate 54 is a function of replication fidelity and represents the intrinsic rate at which genetic 55 changes emerge prior to selection. The substitution rate, instead, is a measure of

56 mutation accumulation in a given period of time and embeds the effects of selection[1].

57 These rates and the spectrum of the emerging mutations are fundamental parameters to

understand how an organism evolves and how new variants are purged from, or establish

59 in natural populations.

In DNA based microbes the genomic mutation rate per cell per generation, measured in 60 laboratory conditions, is close to a constant[2]. On the other hand, for RNA viruses there 61 is a remarkable variation in their replication fidelity[3,4]. The basic mutation rates, 62 expressed as nucleotide substitutions per site per cell infection (s/n/c), vary between 10<sup>-</sup> 63 64 <sup>6</sup> to 10<sup>-3</sup> for the several positive ssRNA viruses which have been studied[5]. Importantly, 65 our current knowledge of the mutation rate of the human beta-coronavirus SARS-CoV-2, which is the cause of the COVID-19 pandemic[6], is based on estimates from different 66 67 coronaviruses[5,7,8] and still lacks a direct quantification[9]. 68 Laboratory evolution experiments with microbial populations allow to determine how

69 fast mutations accumulate[10,11], and combining them with high-throughput 70 sequencing is one of the best methods to estimate mutation rates, determine how they 71 vary along the genome[12] and study the extent to which convergent evolution 72 occurs[13,14].

Here, via experimental evolution of two natural variants of SARS-CoV-2[15] and whole genome sequencing, we characterized the spectrum and rates of their emerging mutations, and identified specific targets of selection. Such information is important for better understanding the basic biology of this virus and to quantify how predicable the evolution of strains with different transmission capabilities can be. It may also help determining some potential genomic constraints of the virus, which are key to the design of evolution proof vaccines and antiviral drugs.

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## 81 Methodology

### 82 Virus growth and *in vitro* assay

Vero E6 (African green monkey, Cercopithecus aethiops kidney epithelial cells, ATCC® 83 CRL 1586<sup>™</sup>) cells were cultured at 37°C and 5% CO<sub>2</sub> in Minimum Essential Medium (MEM 84 1X, Gibco®) supplemented with 10% fetal bovine serum (FBS), penicillin (100 units/ml) 85 86 and streptomycin (100 µg/ml) + fungizone. The two clinical isolates 87 Portugal/PT0054/2020 and Portugal/PT1136/2020, isolated at the National Institute of

Health Doutor Ricardo Jorge (INSA), were used to produce the ancestors of the 88 experimental evolution, CoV-2-D and CoV-2-G, which seeded the two laboratory 89 90 evolution experiments. For this, the initial SARS-CoV-2 stock was produced by infecting 91 Vero E6 cells (freshly grown for 24 h) and incubating the cells for 72 h. The culture 92 supernatant was stored in aliquots at -80°C. The TCID<sub>50</sub> of viral stock was calculated according to the method of Reed and Muench[16]. All work with infectious SARS-CoV-2 93 strains was done inside a class III microbiological safety cabinet in a containment level 3 94 95 facility at the Centre for Vectors and Infectious Diseases Research (INSA). 96 From the stored stocks, two 96-well plates fully inoculated with 50 µl of Vero E6 cells 97  $(2.0 \times 10^4 \text{ cells})$  grown for 24 h were infected with 50 µl of the SARS-CoV-2 strains viral suspension (2.0x10<sup>3</sup> viruses) at a multiplicity of infection (MOI) of 0.1. MEM 98 99 supplemented with 10% FBS, penicillin (100 units/ml) and streptomycin (100 µg/ml) + 100 fungizone was added to each well (50  $\mu$ l) and the plates were incubated for 24 h. Each 101 well had a final volume of 150 µl. Every day, for 15 days, serial passages were done by 102 passaging 50 µl of the culture supernatant to 96-well plates (one for each SARS-CoV-2 strain under study) fully inoculated with 50 µl of Vero E6 cells (2.0x10<sup>4</sup> cells) using the 103 104 same procedure and incubated in the same conditions. At day 15, total nucleic acids were extracted from 100 µl of viral suspension of each well in each plate (96 samples of 105 day 15<sup>th</sup> for each strain) using the automated platform NUCLISENS easyMAG 106 107 (Biomérieux). Confirmation of nucleic acid integrity and rough concentration estimative 108 was made before sequencing experiment by RT-qPCR of 8 random chosen samples from each plate at day 15 (CoV-2-D and CoV-2-G) using Novel Coronavirus (2019-nCoV) RT-109 PCR Detection Kit (Fosun Diagnostics). Samples from inoculation suspension (day 1) 110 111 were also analyzed. All samples presented values of 7-10 Ct (Cycle threshold). When we infect the cells with 2x10<sup>3</sup> particle forming units (PFU), after 24 h the number of PFUs is 112 around 2x10<sup>6</sup>. So, assuming no major fluctuations in the viral load of the transferred 113 114 suspension throughout the 15 passages and assuming a yield of approximately 1000 PFU/cell[9], the estimated number of replication cycles per passage is around 1 115 (i.e.  $\ln(2x10^6/2x10^3)/\ln(10^3)$ ). 116

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### 118 SARS-CoV-2 genome sequencing and bioinformatics analysis

Genome sequencing was performed at INSA following an amplicon-based whole-genomeamplification strategy using tiled, multiplexed primers[17], according to the ARTIC

121 network protocol (https://artic.network/ncov-2019; https://www.protocols.io/view/ncov-2019-sequencing-protocol-bbmuik6w) 122 with slight modifications, as previously described[15]. Briefly, after cDNA synthesis, whole-123 124 genome amplification was performed using two separate pools of tiling primers [pools 1] 125 2; primers version V3 (218 primers) was used for all samples: and 126 https://github.com/artic-network/articncov2019/tree/master/primer schemes/nCoV-2019]. The two pools of multiplexed 127 128 amplicons were then pooled for each sample, followed by post PCR clean-up and Nextera 129 XT dual-indexed library preparation, according to the manufacturers' instructions. 130 Sequencing libraries were paired-end sequenced (2x150 bp) on an Illumina NextSeq 550 apparatus, as previously described [18]. Sequence read quality analysis and mapping was 131 132 conducted using the bioinformatics pipeline implemented in INSaFLU 133 (<u>https://insaflu.insa.pt/;</u> https://github.com/INSaFLU; https://insaflu.readthedocs.io/en/latest/; as of 10 March 2021), which is a web-based 134 135 (and also locally installable) platform for amplicon-based next-generation sequencing data analysis[18]. We performed raw reads quality analysis using FastQC v0.11.9 136 (https://www.bioinformatics.babraham.ac.uk/projects/fastqc), followed by 137 quality 138 improvement using Trimmomatic v.0.27 (http://www.usadellab.org/cms/index.php?page=trimmomatic; HEADCROP:30 CROP:90 139 SLIDINGWINDOW:5:20 LEADING:3 TRAILING:3 MINLEN:35 TOPHRED33), with reads being 140 141 conservatively cropped 30 bp at both ends for primer clipping. Reference-based mapping 142 performed against the Wuhan-Hu-1/2019 reference genome sequence was 143 (https://www.ncbi.nlm.nih.gov/nuccore/MN908947.3; NC\_045512.2) using the Burrow-144 Wheeler Aligner (BWA MEM) v.0.7.12 (r1039) (http://bio-bwa.sourceforge.net/)[19] integrated in multisoftware tool Snippy (https://github.com/tseemann/snippy) 145 available in INSaFLU. The obtained median depth of coverage throughout the genome for 146 CoV-2-D and CoV-2-G samples (except two samples excluded due to low coverage) was 147 4807 (IQR=3969-5242) and 5154 (IQR=4802-5439), respectively. Variant (SNP/indels) 148 149 calling was performed over BAM files using LoFreq v.2.1.5 (call mode, including --call-150 *indels*)[20], with indel qualities being assessed using Dindel[21]. Mutation frequency 151 analysis was dynamic and contingent on the depth of coverage of each processed site, e.g. 152 minor mutations at "allele" frequencies of 10%, 2% and 1% (minimum cut-off used) were validated for sites with depth of coverage of at least 100-fold, 500-fold and 1000-fold, 153

154 respectively. The median depth coverage per site for all validated mutations in CoV-2-D and CoV-2-G samples was 4219 (IQR=2508-6649) and 6424 (IQR=3076-10104), 155 respectively. In order to assess if proximal SNPs and/or indels belong to the same 156 157 mutational event (and thus, avoid overestimating the mutation rate), we identified all 158 consecutive mutations separated by  $\leq 12$  bp. The mutations more likely to represent a 159 single mutation event, i.e., those with similar frequencies (differing by  $\leq 2.5\%$ ), were further visually inspected using IGV (<u>http://software.broadinstitute.org/software/igv/</u>) 160 161 to confirm/exclude their co-localization in the same reads. In total, this curation led to the 162 identification 37 SNPs/indels that were collapsed into 13 complex or multi-nucleotide 163 polymorphisms (MNP). The effect of mutations on genes and predicted protein sequences was determined using Ensembl Variant Effect Predictor (VEP) version 103.1 164 165 (https://github.com/Ensembl/ensembl-vep; available as a self-contained Docker 166 image)[22]. To obtain a refined annotation including all ORF1ab sub-peptides, the GFF3 genome annotation file (relative to the reference Wuhan-Hu-1/2019 genome of SARS-167 168 CoV-2, acc. no. NC\_045512.2) available in the coronapp COVID-19 genome annotator (http://giorgilab.unibo.it/coronannotator/)[23]was adapted to generate an annotation 169 170 GTF file for input for the --*gtf* parameter. The parameter --*distance* was set to 0. **Supplementary Table 1** summarizes all mutations detected in this study and their 171 distribution across clinical, ancestral cultures and end-point cultured lines (15th 172 173 passage). SARS-CoV-2 consensus sequences obtained directly from clinical samples for CoV-2-D (Portugal/PT0054/2020) and CoV-2-G (Portugal/PT1136/2020) viruses are 174 175 available in GISAID under the accession numbers EPI\_ISL\_421457 and EPI\_ISL\_511683, respectively. Reads generated at the end of the experimental evolution study were 176 177 deposited the European Nucleotide Archive (ENA) in (https://www.ebi.ac.uk/ena/data/view/PRJEB43731). 178

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#### 180 Simulations of the neutral mutation accumulation

To obtain a non-equilibrium neutral expectation of the site frequency spectrum of mutations, we performed forward-simulations to model mutation accumulation using the mutation rate inferred from the experiment. We model an organism with a bi-allelic genome of size L=30000 (~SARS-CoV-2). An initially isogenic population undergoes 15 cycles of growth, mutation and bottleneck, according to the following life cycle: 1. A clonal population starts with an inoculum size of 2000.

187 2. Each genome replicates X times. We assume the burst size X to be Poisson188 distributed with mean 1000.

- 3. For each of the replicating genomes we introduce a Poisson number of mutations
  with mean 0.1 (corresponding to a rate of 3.3x10<sup>-6</sup> nt<sup>-1</sup> cycle<sup>-1</sup>). We assume
  mutations to emerge with uniform probability in the parental genome and we
  allow for back-mutation.
- 193

93 4. After replication and mutation, we sample 1/1000 of the individual genomes.

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 5. Repeat steps 2-4, 15 times.

We validated the simulation code by confirming expected outcomes: mutations
accumulate linearly over time and the posterior estimation of the mutation rate retrieves
the original value (bottom plot in Fig. S3b).

After 15 cycles we collect the artificial genomes from 100 independent simulations, and compute their site frequency spectrum as in the experiment. In order to test whether cross-well contamination could justify the observed site frequency distribution, we modified the previous algorithm by introducing migration. At each cycle t, after each bottleneck event, a fraction of viral genomes (m=0.1) is replaced by migrants sampled from a pool of genomes that have undergone *t* cycles of growth. The algorithm was written in R (version 3.6.1) and the results analyzed in RStudio[24].

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### 206 Mutation accumulation rates in all, synonymous and non-synonymous sites

To quantify the rate at which mutations accumulate during the experiment, we compute 207  $M_a(r) = \frac{\sum f_r}{P_* f_r}$ , where  $f_r$  is the frequency of all mutations observed in region r, P=15 the 208 209 number of passages and  $L_r$  the length of region r. For the genome-wise mutation 210 accumulation  $L_r = 29903$ , the entire genome of SARS-CoV-2. We also computed the mutation accumulation rates at synonymous and non-synonymous sites. In these cases, 211 the synonymous rate is given by  $M_a(r, syn) = \frac{\sum f_r}{P*L_r*p_{r,syn}}$ , where  $p_{r,syn}$  is the proportion 212 of mutations in region r, leading to synonymous changes. Equivalently the non-213 synonymous rate is  $M_a(r, n\_syn) = \frac{\sum f_r}{P*L_r*p_{rn,syn}}$ . In practice, assume the region of interest 214 has sequence r: ATGTTT. For each base we count the proportion of mutations that would 215 change (or not) the corresponding amino acid. In the example  $p_{r,n \ syn} = 3/3 + 3/3 + 3/3$ 216 217 3/3 + 3/3 + 3/3 + 2/3 = 17/18, 17 mutations out of the possible 18 are nonsynonymous and only one is synonymous (ATGTTc). Therefore, in this example, the total size is  $L_r = 6$ ,  $p_{r,n\_syn} = 17/18$  and  $p_{r,syn} = 1/18$ . Following this method, we calculated the genome-wise and gene-specific mutation accumulation rates in all, synonymous or non-synonymous sites (**Fig. 1-4** and **Fig. S4-5**). The genomic sequences of each region were retrieved from NCBI (entry: <u>NC 045512</u>).

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## 224 pN/pS calculation and confidence interval

Within a given region r, we computed pN(r) as the summed frequencies of all the 225 226 observed non-synonymous mutations over the number of all possible non-synonymous changes in that region:  $pN(r) = \frac{\sum f_r}{N_{r,n,syn}}$ , where  $N_{r,syn} = 3L_r p_{r,n_{syn}}$ . Equivalently, we 227 computed the synonymous counterpart:  $pS(r) = \frac{\sum f_r}{N_{r,syn}}$ . In the previous example, within 228 the region *r*: ATGTTT,  $N_{r,n\_syn} = 17$  while  $N_{r,syn} = 1$ . Finally, the *pN/pS* statistics is the 229 ratio of *pN* and *pS* and its expected value is 1 under neutrality. To test the deviation from 230 1, we report the pN/pS together with its confidence interval. Being the pN/pS a ratio of 231 proportions, we computed the 95% confidence intervals of risk ratios, specifically as 232

233 
$$\left(e^{\ln\left(\frac{pN}{pS}\right)+z\sqrt{\frac{1-pN}{N_{n_{syn}*pN}}+\frac{1-pS}{N_{syn}*pS}}}, e^{\ln\left(\frac{pN}{pS}\right)-z\sqrt{\frac{1-pN}{N_{n_{syn}*pN}}+\frac{1-pS}{N_{syn}*pS}}}\right)$$
, with critical  $z = 1.96$ .

#### 234 **Results**

#### 235 Experimental evolution design and ancestor backgrounds.

236 Two SARS-CoV-2 viral strains were isolated from two non-related patients for continuous 237 propagation in Vero cells (see Methodology, Fig. 1a). These were chosen according to their polymorphism at amino acid position 614 of the spike protein: CoV-2-D carries a D 238 and CoV-2-G carries a derived mutation which changes the D into a G. This D614G 239 mutation in spike emerged early in the pandemic, increased the infectivity of the virus 240 and became prevalent worldwide[25]. Here, we want to test for differences in their 241 242 mutation rates, spectrum and/or in the selective forces as the strains are propagated in cells. 243

244 In order to discriminate *de novo* mutations from standing genetic variation, we identified

the mutations (relative to the Wuhan-Hu-1/2019 reference genome sequence, Wu et al.,

246 2020) that were already present at the start of our evolution experiment (see the list and

their frequencies in **Fig. S1**).

If the mutation rate is similar to that of the mouse hepatitis virus (MHV) or that of the SARS-CoV (about 3.5x10<sup>-6</sup> and 2.5x10<sup>-6</sup> nt<sup>-1</sup> cycle<sup>-1</sup>, respectively)[5,7] hundreds of mutations should accumulate, many of which are expected to be neutral but some could reflect adaptation to the experimental conditions.

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### 253 Mutation accumulation and spectrum after 15 passages of SARS-CoV-2 evolution.

254 We considered *de novo* mutations those that reached a frequency of at least 1%, 255 supported by a minimum of 10 reads and that were not detected in either the ancestor or 256 the original clinical isolate from which the ancestor was derived (full list in 257 **Supplementary Table 1**). Propagation of the 96 CoV-2-D derived lines resulted in 1753 258 de novo mutations, while the 96 lines derived from CoV-2-G resulted in 6181 de novo 259 mutations (n=94 as in two lines the sequencing had poor coverage) (**Fig. 1b**). The much 260 higher number of mutations in the CoV-2-G background, compared to CoV-2-D, is 261 explained by 15 of these lines where many more mutations were observed (Fig. 1b). 262 These lines, hereafter referred to as mutators, are characterized by a larger proportion of SNPs compared to the non-mutator lines where, instead, deletions account for more 263 than 20% of all *de novo* mutations (Fig. 1c). 264

265 The frequency of mutator clones was estimated to be between 1 and 2% after 15 infection cycles, since these were the frequencies measured for the vast majority of mutations 266 267 observed in the mutator lines. The genetic cause of the mutator phenotype is difficult to 268 determine but it could likely be hidden within the mutations that occurred in the RNA-269 dependent RNA polymerase (Nsp12) and/or in the error-correcting exonuclease protein 270 (Nsp14)[8]. Indeed, looking at the mutations that are specific to the lineages with 271 mutators, we found 8 non-synonymous mutations in Nsp12 (one leading to a stop at 272 amino-acid 670) and 9 non-synonymous mutations in Nsp14 (one leading to a stop at 273 amino acid 78) (**Supplementary Tables 2-3**). Any of these mutations could potentially 274 lead to the observed change in mutation rate, but none of these have been associated with 275 an increased mutational load of the circulating viruses[27].

Next, we obtained the per-base per-passage rate at which mutations accumulated ( $M_a$ ), from the frequencies of the observed mutations. As a 24 h passage in our experiment corresponds to ~1 cell replication cycle (see *Methodology*), we hereafter report such rate of mutation accumulation per unit of replication cycle (nt<sup>-1</sup> cycle<sup>-1</sup>). Interestingly, the nonmutator lines of CoV-2-G show a significantly lower accumulation rate compared to the

- 281 CoV-2-D lines (P<10<sup>-6</sup>, Two-sample Kolmogorov-Smirnov test) (**Fig. 1d**). However, this
- difference between the two backgrounds is more likely due to differences in selectionrather than differences in mutation rates, as we will explain later on.
- 284 The SNPs accumulated over 15 passages show that both genomic backgrounds have a
- strong propensity to accumulate C->T mutations (Fig. 1e), a well-known bias of SARS-
- 286 CoV-2[28]. In the mutator lines, the main mutation bias changed from C->T to G->T (**Fig.**
- **1e**), also observed in SARS-CoV-2 samples collected during the recent COVID-19
- 288 pandemic[29,30].

It is important to notice that, both the accumulation of mutations and the biases we observe in the data (Fig. 1b-e) might have been shaped by selection and deviate from a neutral rate and spectrum of mutations. In fact, on one hand positive selection can increase the frequencies of beneficial mutations and on the other hand purifying selection can purge the deleterious alleles. Therefore, we next looked for evidences of selection in the mutation accumulation data.

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#### 296 Signs of selection: Site frequency spectrum and heterogeneity across genes.

In serial propagation experiments with SARS-CoV-2, it is extremely difficult to pick a single virus[31]. In our experiment the effective population size is considerably large (see *Methodology*), and thus could be insufficient to remove the effects of either positive or negative selection[10]. Indeed, several patterns in the data indicate that selection played a significant role in the experimentally evolved SARS-CoV-2 lines.

302 The distribution of allele frequencies in a sample, *i.e.* the site frequency spectrum, has a 303 well-known theoretical expectation under a simple equilibrium neutral model of 304 molecular evolution (Chap. 5 pg. 233 of B. Charlesworth & D. Charlesworth., 2010). But, this distribution is sensitive to the action of selection and also to complex demographic 305 306 events, such as population bottlenecks. Given the bottlenecks occurring in our 307 experiments and the slow evolutionary time elapsed during the 15 infection cycles, the 308 neutral theoretical expectation at equilibrium may not apply. To obtain a nonequilibrium expectation of the site frequency spectrum, we performed forward-309 simulations (see *Methodology*). We assumed that neutral mutations occur at a rate of 310 311 3.3x10<sup>-6</sup> nt<sup>-1</sup> cycle<sup>-1</sup>, similar to that estimated from the data, and simulated populations evolving under neutrality. The site frequency spectrum of the mutations accumulated in 312 313 both CoV-2-D or CoV-2-G lines deviates significantly from the neutral expectation 314 predicted by the simulations (Fig. 2a). High frequency mutations are not expected under neutrality (mutations with frequencies above 30% are reported in Fig. S2). To test 315 whether possible contamination among wells could explain the observed site frequency 316 317 spectrum, we performed additional simulations with migration (see *Methodology*). Even 318 when considering a migration rate of 10%, the neutral site frequency spectrum is still 319 incompatible with the experimental data (Fig. S3a). Furthermore, the 10% migration between wells should not significantly change the estimation of mutation rate (Fig. S3b). 320 321 Thus, the data strongly suggest that positive selection has increased the frequency of 322 beneficial mutations and skewed the spectrum of the mutations (Fig. 2a).

323 A second evidence of selection comes from the considerable variation in the rate of 324 mutation accumulation observed across the SARS-CoV-2 genome (Fig. 2b, Fig. S4). When 325 excluding the mutator lines, the S gene, which codes for the spike protein, has the highest 326 rate of mutation accumulation among the different genes (Fig. 2b). Remarkably, the spike accumulated  $13.5\pm0.4\times10^{-6}$  nt<sup>-1</sup>/cycle<sup>-1</sup> mutations in the CoV-2-G genotype 327 328 (excluding mutators), and 17.1±1.0x10<sup>-6</sup> in the CoV-2-D genotype, about five-fold the corresponding genomic averages, suggesting the strong action of positive selection. In the 329 mutator lines, the spike gene evolved  $\sim 2$  times faster than the non-mutators (Fig. S4). 330 331 This observation is in contrast with the expectation of a constant increase in mutation rate across the genome and suggests that more complex selective forces might be acting 332 333 on the mutator phenotype (see the heterogeneity of the mutation rate across the CoV-2-334 G mutator genome in **Fig. S4**). Overall, the data confirmed that selection has shaped the 335 way mutations accumulated. Therefore, in order to obtain a more accurate quantification 336 of the spontaneous rate of mutation, we performed a more systematic analysis of the sites 337 under selection.

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### 339 Identifying regions under selection

From the frequencies of all mutations observed in the CoV-2-D and CoV-2-G non-mutator
lines, we computed an accumulation rate of 3.7x10<sup>-6</sup> and 2.9x10<sup>-6</sup> nt<sup>-1</sup> cycle<sup>-1</sup>, respectively
(Fig. 1d). Given that during our experiment, selection affected the allele frequencies (Fig.
2), such rates may deviate from the spontaneous mutation rates of the virus. In order to
attempt to estimate the spontaneous mutation rate we first focused on synonymous
mutations, which, if neutral, should accumulate at the rate at which they occur[33].
Focusing on the synonymous changes, we estimated a basic mutation rate of 3.8x10<sup>-6</sup> nt<sup>-6</sup>

347 <sup>1</sup> cycle<sup>-1</sup> for the CoV-2-D background and 1.2x10<sup>-6</sup> nt<sup>-1</sup> cycle<sup>-1</sup> for the CoV-2-G (**Fig. S5a**). However, the rate of non-synonymous mutation in CoV-2-D is lower than the 348 349 synonymous one (Fig. S5a-b), suggesting the action of purifying selection on non-350 synonymous sites or positive selection on the synonymous sites, leading to their increase 351 in frequency[34,35]. To distinguish between the two cases, we compared the accumulation rate of synonymous mutations in the entire genome  $(M_a^{Syn})$ , with the 352 accumulation rate of synonymous mutations excluding one gene at the time  $(M_a^{Syn,\Delta g})$ . 353 354 This approach revealed that a remarkable accumulation of synonymous mutations in the Nsp6 gene led to the overestimation of the mutation rate in the CoV-2-D background (Fig. 355 356 **S5c**). In contrast, for the CoV-2-G background this approach indicates that the estimation of  $M_a^{Syn}$  = 1.2x10<sup>-6</sup> nt<sup>-1</sup> cycle<sup>-1</sup> is homogeneous across the genome and can provide a first 357 estimation of its mutation rate (Fig. S5d). 358

Since the synonymous mutations alone could not provide a correct estimation of 359 360 mutation rate, we followed a different approach: identify the regions under selection in 361 either the CoV-2-D or CoV-2-G lines and exclude them from the estimation of the 362 spontaneous mutation rate. First, we compared the relative accumulation of non-363 synonymous and synonymous mutations, via the pN/pS statistics (equivalent of dN/dSfor polymorphic samples, see *Methodology*). In the CoV-2-D background, the pN/pS of the 364 S and the Nsp6 genes significantly differ from 1 (Fig. 3a). The spike accumulated more 365 non-synonymous mutations consistent with the action of positive selection (pN/pS=4.4,366 95% confidence interval: [1.4,13.9]), while the Nsp6 accumulated more synonymous 367 mutations, consistent with our previous findings (*pN/pS=0.02*, 95% confidence interval: 368 [0.00,0.12], Fig. S5c). In particular, the synonymous change A11041G was found in 88 369 evolved populations (out of 96), but also at frequency below our 1% threshold in the 370 371 ancestral population, suggesting that such mutation was incorrectly considered as *de* 372 *novo* and that the estimated mutation accumulation in Nsp6 was the resulting artifact.

Due to the limited number of mutations within each gene and the fact that we are comparing evolving populations (rather than divergent species), the *pN/pS* may lack the power to identify additional regions under selection[36]. To overcome this issue and to identify additional genes affecting the estimation of the mutation rate, we computed the rate of mutation accumulation excluding one gene at the time and compared this with the entire genome (see *Methodology* and **Fig. 3c-d**). With this outlier-detecting method we confirmed that the S and the Nsp6 genes affected the estimation of mutation rate in the

380 CoV-2-D strain, we could observe that the S gene is likely under selection also in the CoV-

381 2-G strain, and we identified Nsp3 as an additional region with a different rate of

382 mutation accumulation (**Fig. 3c-d**). In particular, Nsp3 accumulated fewer mutations

than the genomic average in both CoV-2-D and CoV-2-G strains, suggesting the action of

384 purifying selection (**Fig. 3c-d**).

Overall, we conclude that during our experiment, the spike protein was under strong
selection in both backgrounds, but also other genes biased the estimation of mutation
rate.

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#### 389 Estimation of mutation rates and bias excluding genes with signs of selection

390 Non-neutral processes have shaped the allele dynamics in our experiment. To get a more 391 realistic estimate of the mutation rate prior to selection, we excluded from the analysis 392 the Nsp3, Nsp6 and S genes, which have shown signs of selection in at least one of the two backgrounds (Fig. 3). By doing this, we estimate a spontaneous mutation rate of 393 394 1.3±0.2x10<sup>-6</sup> nt<sup>-1</sup> cycle<sup>-1</sup> for the CoV-2-D background and 1.2±0.2x10<sup>-6</sup> nt<sup>-1</sup> cycle<sup>-1</sup> for the CoV-2-G (excluding mutators) (Fig. 4a). The estimated mutation rate is similar across 395 backgrounds, suggesting that the previously observed differences were due to selection 396 397 (Fig. 1d and S5). Importantly, the estimated mutation rate of CoV-2-G is consistent with that obtained using the synonymous mutations only (see Fig. S5a) and the estimated 398 399 mutation rate of CoV-2-D is consistent with that obtained using the synonymous 400 mutations only and excluding the Nsp6 (see **Fig. S5c**). We quantified again the relative 401 proportion of single nucleotide changes and confirmed that both backgrounds have a 402 spontaneous bias towards C>T mutations and the mutator changes this bias towards G>T 403 mutations (Fig. 4b).

Excluding the genes with signs of selection was our best attempt at quantifying the spontaneous mutation rate of SARS-CoV-2. However, it is important to note that this may still underestimate the real one due to the fact that we ignored mutations with a frequency below the 1% threshold.

408

#### 409 Convergent targets of selection on Spike

The spike protein showed clear signs of adaptation during our evolution experiment, so we next focused on the specific sites under selection and compared them with the new spike variants that spread in the human population. We first quantified the level of 413 convergence at the nucleotide and amino levels between CoV-2-D and CoV-2-G. We note that convergence between the two backgrounds reflects true independent origin of the 414 415 mutations, as they were propagated and processed for sequencing independently. In 416 contrast, convergence within replicates of the same background could also result from 417 some possible cross-contamination or from undetected standing variation. At the amino 418 level, 20 specific sites and 3 regions were hit independently in both backgrounds (Fig. 5a, Supplementary Table 4). We find high evolutionary convergence at the S1/S2 419 420 cleavage site: three distinct deletions (675-OTOTN-679 del; 679-NSPRRAR-685 del and 421 679-NSPRRARSVA-688) emerge multiple times in both backgrounds. Such changes have 422 been previously shown to emerge rapidly in Vero cells and to be important for the virus 423 cell tropism[37]. Apart from these deletions, mutations of the Arginine 682 were also 424 highly convergent, most likely because they trigger a similar functional effect, i.e., knock 425 out of the furin cleavage site[38]. Notably, another deletion in this region (678-426 TNSPRRARS-686 del) was frequently observed, still it was exclusive of CoV-2-D lines (*n*= 427 58), suggesting that the conformation changes mediated by D614G may influence the directionality of the evolution towards the knock out of the furin-cleavage site[39]. 428

Some level of evolutionary convergence could also be found for the structural genes N, E
and M suggesting that adaptation could also have occurred in these genes
(Supplementary Table 5).

The inferred mutators in the CoV-2-G background also carry many mutations in the spike
protein including in the receptor binding domain -RBD- (amino acid changes at positions
328, 339, 364, 416, 454, 465, 474, 479, 482, 522 and 524) and multi cleavage site regions
(positions 798 and 799) (Fig. 5b).

436 When scrutinizing the list of non-synonymous mutations in the spike that emerged 437 during our experiment in both backgrounds or in the mutator lines, we found 24 amino-438 acid changes that were also observed in the natural population of SARS-CoV-2 (until the 439 24th of October 2021; <u>https://nextstrain.org/ncov/gisaid/global</u>) (full list reported in 440 **Supplementary Table 6** and highlighted in bold in **Fig. 5**). Among these, we observed the mutations H655Y (present in the variant of concern Gamma, lineage P.1, originated 441 442 in Brazil), D215G (present in the variant of concern Beta, lineage B.1.351, firstly identified 443 in South Africa) and D253G (found in lineage B.1.426, mostly detected in the US) (Fig. 444 **5b**)[40].

## 445 **Conclusions and implications**

The SARS-CoV-2 beta-coronavirus, first observed in the Wuhan province of China[6], has 446 447 infected at least 246 million people causing more than a 5 million toll of deaths in the 448 human population (as of 2 November 2021; <u>https://covid19.who.int/</u>). Since it was first sequenced[26] the virus has been accumulating 0.44 substitutions per week at close to 449 linear rate. Here we estimate its rate of spontaneous mutation to be of the order of 10<sup>-6</sup> 450 per base per cell infection, consistent with previous estimations in other 451 coronaviruses[9]. New beneficial mutations did spread to high frequencies and 452 considerable convergent evolution was detected across different genomic backgrounds. 453 454 We also observe viral populations with an increased mutation rate emerging just within 15 days of propagation in cells. This suggests that the mutation rate of SARS-CoV-2 can 455 increase without significant loss of viability (at least in the short run) and that strategies 456 457 to reduce viral fitness using mutagens should be tested with precaution[41,42].

458 Overall the results show the remarkable ability of SARS-CoV-2 to adapt to new 459 environments, in particular via convergent evolution of its spike protein in cells, and is 460 fully consistent to its rapid adaptation to different hosts[43,44].

461

#### 462 **Data and materials availability**

SARS-CoV-2 consensus genome sequences obtained directly from clinical samples for 463 CoV-2-D (Portugal/PT0054/2020) and CoV-2-G (Portugal/PT1136/2020) viruses are 464 available in GISAID under the accession numbers EPI\_ISL\_421457 and EPI\_ISL\_511683, 465 466 respectively. Reads generated throughout the experimental evolution in this study were 467 deposited in the European Nucleotide Archive (ENA) (https://www.ebi.ac.uk/ena/data/view/PRJEB43731). The code for the neutral model 468 469 was deposited on https://github.com/AmiconeM/neutralviralpassage.

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| 477 |   |
| 478 | Author contributions: IG, MJA and JPG designed the project. MJA and LZZ performed the       |
| 479 | culture and RNA extraction experiments. VB and JI performed the pre-sequencing wet-         |
| 480 | lab procedures, bioinformatic analysis and data analysis. SD and LV performed and           |
| 481 | supervised the wet-lab sequencing procedures. IG, MA performed the data analysis and        |
| 482 | the simulations. MJA, LV and JPG provided materials and reagents. IG wrote the initial      |
| 483 | draft of manuscript. VB, MJA, MA and JI contributed equally to this work. All authors       |
| 484 | contributed in the final writing of the manuscript and gave final approval for publication. |

485

Ethical statement: The Portuguese NIH is authorized by the Portuguese Authorities'
(General-Directorate of Health and the Authority for Working Conditions) to handle and
propagate Risk group 2 and 3 microorganisms. All culture procedures were performed
inside a class III microbiological safety cabinet in a containment level 3 facility. This study
is covered by the ethical approval issued by the Ethical Committee ("Comissão de Ética
para a Saúde") of the Portuguese National Institute of Health.

492

493 **Competing interests:** The authors declare no competing interests.

494

495 Additional information: Supplementary information is available for this paper.
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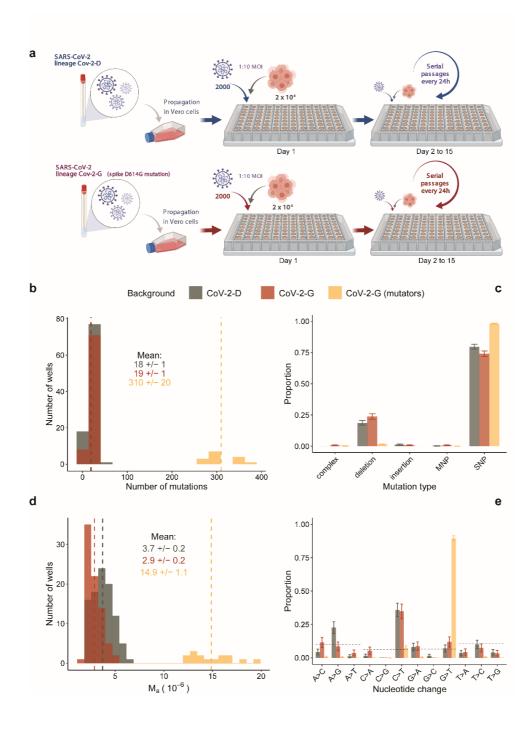
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- 603
- 604 Figures



#### 606 Fig. 1| Experimental design and mutation accumulation after 15 passages of SARS-

- 607 **CoV-2 evolution. a,** Schematic of the experimental design of the mutation accumulation
- 608 experiments where two viral backgrounds were propagated in Vero cells (figure created
- 609 with BioRender.com). **b**, Number of mutations observed in each well and group. 15 lines of
- 610 the CoV-2-G background accumulated a larger number of mutations and thus were defined611 as mutators (gold). The means of each group are presented by vertical dashed lines and
- as mutators (gold). The means of each group are presented by vertical dashed lines and
  reported in the figure (+/- 2SEM). *c*, Proportion of mutation types in each group. Complex
- 613 mutations and multi-nucleotide polymorphisms (MNP) are defined in the *Methodology*. **d**,
- 614 Mutation accumulation per base per infection cycle (*M<sub>a</sub>*) was calculated by summing the
- 615 observed mutation frequencies as:  $M_a = \frac{\sum f}{P * G'}$  where *P* is the number of passages (*P*=15)
- and G is the SARS-CoV-2 genome length (G=29903). The means of each group are
- 617 presented by vertical dashed lines and reported in the figure (+/- 2SEM). **e**, Proportion of
- 618 observed nucleotide changes. Dashed lines indicate the expectation given the genome
- 619 composition under equal mutation probability for each type of nucleotide change. Vertical
- bars in panels **c** and **e** represent the 95% confidence interval computed as  $p \pm \frac{1}{2}$

621 
$$z\sqrt{\frac{p(1-p)}{N}}, z = 1.96.$$

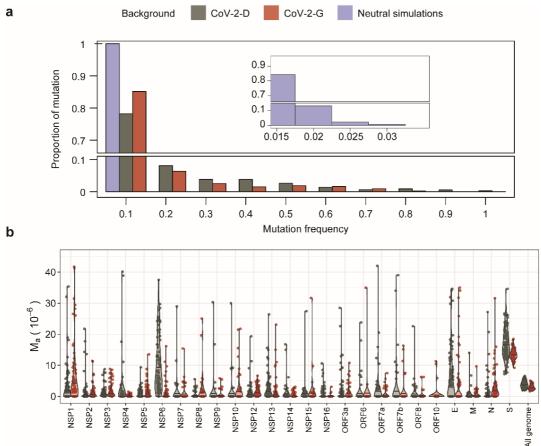
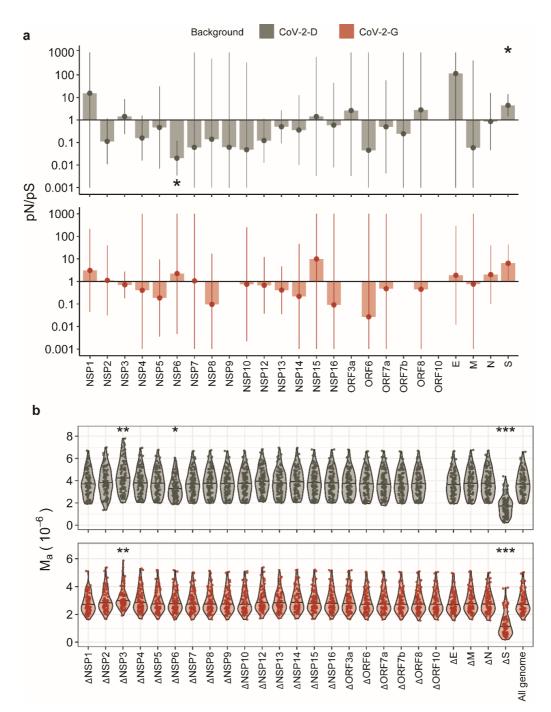




Fig. 2| Site frequency spectrum and heterogeneity across genes. a, Proportion of 624 625 mutations with a given frequency after 15 cycles of propagation in the CoV-2-D and CoV-2-G genetic background or under a simulated neutral model of mutation 626 accumulation. The bump observed at high frequencies in the data is not compatible with 627 628 the expectation of the neutral model. **b**, Per-base mutation accumulation ( $M_a$ ) computed 629 for each gene and for the entire genome shows heterogeneity. The spike gene has the largest accumulation rate in both backgrounds ( $M_a^{(S)} = 17.1 \pm 1.0, 13.5 \pm 0.4 \cdot 10^{-6}$ , 630 for the CoV-2-D and CoV-2-G respectively), which is more than 4 times their genomic 631 average. For resolution purposes, few outliers with  $M_a$  above 45 are not shown (see full 632 633 set in Fig. S4).



634

Fig. 3| Gene-wise signs of selection. a, The relative proportion of non-synonymous to 635 synonymous polymorphism, *pN/pS*, was computed for each gene and genetic 636 637 background (see *Methodology*). The horizontal line indicates the expectation under neutrality (pN/pS=1), values above suggest positive selection while values below 638 639 suggest purifying selection. Vertical bars show the 95% confidence intervals and the 640 stars indicate the genes where such interval does not include 1. For the sake of resolution, we show the confidence intervals within the  $[10^{-3}, 10^3]$  range. **b**, Identifying 641 642 the genes that affect the estimation of mutation rate. Per-base mutation accumulation

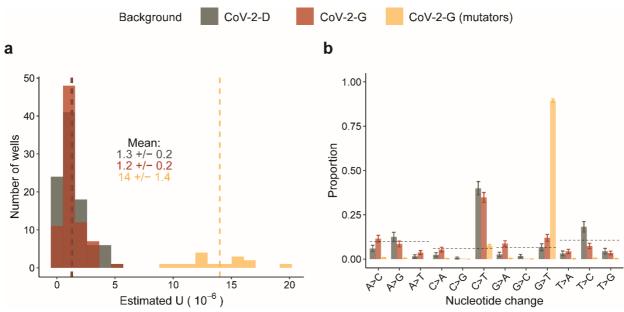
643  $(M_a)$  was computed for the entire genome or by excluding each gene on at the time (e.g.

 $\Delta$ S). The stars indicate the cases where removing the gene leads to an estimation of  $M_a$ 644

significantly different from the all genome (non-parametric Wilcox test, p-value < 0.05 645

(\*), 0.01 (\*\*) or 0.001 (\*\*\*)). 646

647



648

Fig. 4| Estimation of mutation rates and bias excluding outlier genes. a, The per-649 base per-infection cycle mutation rate was calculated by summing the observed 650 mutation frequencies as:  $U = \frac{\sum f}{P_{*}C}$ , where *P* is the number of passages (*P*=15) and G is the 651 length of SARS-CoV-2 genome excluding the Nsp3, Nsp6 and Spike genes (29903-5835-652 870-3822=19376). The means of each group are presented as vertical dashed lines and 653 reported in the figure (+/- 2SEM). b, Proportion of nucleotide changes observed 654 655 excluding the Nsp3, Nsp6 and Spike genes. Dotted lines indicate the expectation given the genome composition under equal mutation probability for each type of nucleotide 656 change. Vertical bars represent the 95% confidence interval computed as  $p \pm$ 657  $z \sqrt{\frac{p(1-p)}{N}}, z = 1.96.$ 

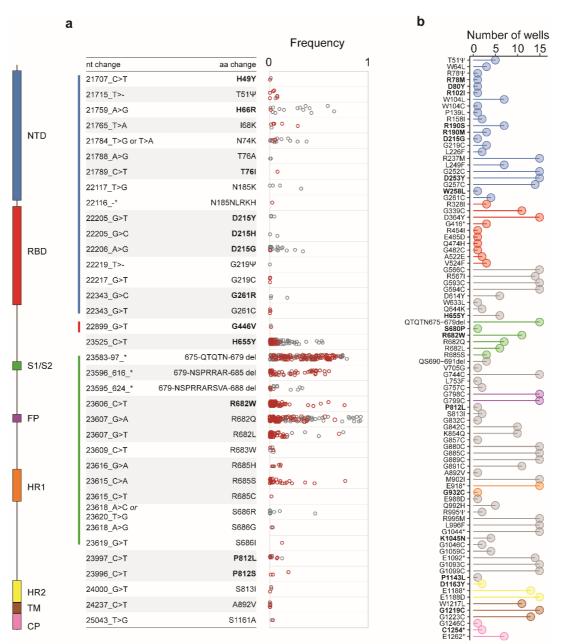


Fig. 5| Convergent evolution in the Spike gene. a, Mutations on S observed in both 661 CoV-2-D and CoV-2-G backgrounds and their frequencies in each well (open circles). **b**, 662 Non-synonymous mutations on the spike detected in the populations where the 663 mutators were observed (number of wells on the X-axis). The color annotation 664 represents the N-terminal domain (NTD, 14–305), the receptor-binding domain (RBD, 665 319-541), the cleavage site (S1/S2, 669-688), the fusion peptide (FP, 788-806), the 666 heptapeptide repeat sequences (HR1, 912-984 and HR2, 1163-1213), the TM domain 667 (1213–1237), and cytoplasm domain (CP, 1237–1273). Amino acids changes in bold 668 were also observed in the human population (as of 24 October 2021; 669 https://nextstrain.org/ncov/gisaid/global) (full list in Supplementary Table 6). 670