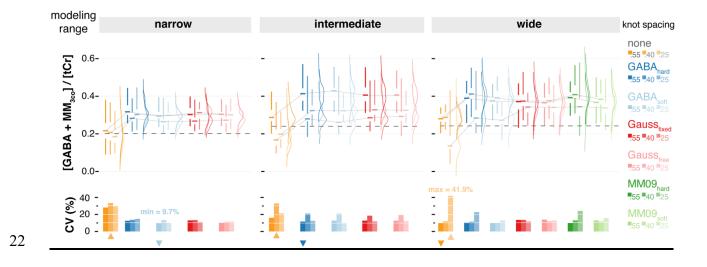
# <u>Comparison of linear combination modeling strategies for GABA-edited MRS</u> at 3T

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- 19 Running title: Linear combination modeling of GABA-edited MRS
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# 21 Graphical Abstract



- 23 102 strategies to model GABA-edited MRS with linear combination
- <sup>24</sup> modeling were evaluated to quantify GABA and GABA+ in Osprey.
- 25 Significantly different GABA and GABA+ estimates were found when a
- well-parameterized macro-molecule at 3 ppm was included. The
- 27 findings suggest that linear combination modeling needs to be adapted
- 28 for quantification of GABA-edited MRS.

## 30 Abbreviations

- 31 γ-aminobutyric-acid GABA; Linear combination modeling LCM; macromolecule MM;
- 32 GABA + MM GABA+; homocarnosine HCar; glutamate Glu; glutamine Gln; glutathione
- 33 GSH; N-acetylaspartylglutamate NAAG; N-acetylaspartate NAA; Hankel singular value
- 34 decomposition HSVD; full-width at half-maximum FWHM; creatine Cr; negative creatine
- 35 methylene -CrCH<sub>2</sub>; phosphocreatine PCr; SD standard deviation; Akaike Information Cri-
- 36 terion AIC; coefficients of variation CVs;
- 37

38

## 39 Keywords

- 40 Magnetic resonance spectroscopy
- 41 Linear combination modeling
- GABA-edited MEGA-PRESS

## 43 Abstract

#### 44 <u>Purpose</u>

- 45 J-difference-edited spectroscopy is a valuable approach for the in vivo detection of  $\gamma$ -aminobu-
- 46 tyric-acid (GABA) with MRS. A recent expert consensus article recommends linear combination
- 47 modeling (LCM) of edited MRS, but does not give specific details of implementation. This
- 48 study explores different modeling strategies to adapt LCM for GABA-edited MRS.

#### 49 <u>Methods</u>

- 50 61 medial parietal lobe GABA-edited MEGA-PRESS spectra from a recent 3T multi-site study
- 51 were modeled using 102 different strategies combining six different approaches to account for

52 co-edited macromolecules, three modeling ranges, three baseline knot spacings, and the use of

53 basis sets with or without homocarnosine. The resulting GABA and GABA+ estimates (quanti-

- 54 fied relative to total creatine), the residuals at different ranges, SDs and CVs, and Akaike infor-
- 55 mation criteria, were used to evaluate the models' performance.

#### 56 <u>Results</u>

- 57 Significantly different GABA+ and GABA estimates were found when a well-parameterized
- 58 MM<sub>3co</sub> basis function was included in the model. The mean GABA estimates were significantly
- 59 lower when modeling MM, while the CVs were similar. A sparser spline knot spacing led to
- 60 lower variation in the GABA and GABA+ estimates, and a narrower modeling range only in-
- 61 cluding the signals of interest did not substantially improve or degrade modeling performance.
- 62 Additionally, results suggest that LCM can separate GABA and the underlying co-edited MM<sub>3co</sub>.
- 63 Incorporating homocarnosine into the modeling did not significantly improve variance in
- 64 GABA+ estimates.
- 65

## 66 <u>Conclusion</u>

- 67 GABA-edited MRS is most appropriately quantified by LCM with a well-parameterized co-ed-
- 68 ited MM<sub>3co</sub> basis function with a constraint to the non-overlapped MM<sub>0.93</sub>, in combination with a
- 69 sparse spline knot spacing and a modeling range between 0.5 and 4 ppm.

## 70 Introduction

71 A recent expert consensus paper recommended that linear combination modeling (LCM) should be used for the quantification of edited MRS data<sup>1</sup>, stating that standard fitting approaches origi-72 73 nally optimized for short-TE MRS should be adapted for edited MRS. Further, it was recom-74 mended that quantum-mechanical simulations should be used to confirm the co-edited profile of 75 all metabolites in the edited spectrum, and contributions from macromolecule (MM) signals 76 should be specified. Despite these recommendations, little detail was given regarding several 77 unique features of edited spectra, and how they should be appropriately modeled. These features 78 include: 79 80 1) The MEGA-PRESS experiment is well-known to co-edit MM signals with coupled spins 81 at 1.7 and 3 ppm, causing substantial contamination of the edited GABA signal, and 82 forcing researchers to report the composite measure GABA+MM (GABA+)<sup>1</sup>. Because 83 the co-edited MM signal is poorly characterized, there is currently no consensus or 84 recommendation on how to appropriately account for it during spectral modeling. 85 Instead, the most widely used analysis algorithms implement entirely different strategies 86 to fit the composite 3-ppm signal. For example, the Gannet software uses a single 87 Gaussian model<sup>2</sup>, while a double-Gaussian is used in Tarquin<sup>3</sup>, and LCModel<sup>4</sup> defaults to 88 a basis set that only includes the GABA basis function. 89 2) Another co-edited compound contributing to the 3 ppm signal is homocarnosine (HCar), 90 a dipeptide of GABA and histidine. While the 3 ppm multiplets of GABA and 91 homocarnosine are separated by just 0.05 ppm (which are therefore unlikely to be

- 92 successfully separated), inclusion of a homocarnosine basis function may be warranted
  93 based on its reported concentration in vivo (~0.5 mmol/kg <sup>5</sup>, compared to ~1-2 mmol/kg
- 94 for GABA), but it has not been investigated whether doing so has a stabilizing or
  95 destabilizing effect on the modeling<sup>6</sup>.
- 96 3) Unedited spectra are typically modeled over a restricted frequency-domain range
   97 covering the visible upfield peaks, including macromolecular and lipid resonances
   98 between 0 and 1 ppm, but usually avoiding the water suppression window above ~4 ppm.
   99 The choice of frequency-domain modeling range for edited spectra is less obvious. Since

100 the main advantage of spectral editing is the isolation of a single target resonance, 101 modeling signals outside the immediate surrounding of the target may dilute the resolving 102 power of editing. On the other hand, increasing the modeling range may offer useful 103 constraints to stabilize the solution of the modeling problem. The difference is 104 highlighted by the different strategies encountered in common software tools - while the 105 Gannet software fits the GABA-edited difference spectrum over a narrow range (only 106 including the 3-ppm GABA+ and 3.75 ppm glutamate and glutamine peaks), the 107 LCModel recommendation is to include the strong co-edited signals from glutamate 108 (Glu), glutamine (Gln), glutathione (GSH), N-acetylaspartylglutamate (NAAG), and N-109 acetylaspartate (NAA), which heavily overlap with GABA around 2.25 ppm. The effects 110 of limiting the modeling range have not been assessed systematically to date. 111 4) Linear combination modeling methods commonly include terms to account for smooth 112 baseline curvature, usually parametrized from cubic B-spline or polynomial functions, or 113 by smoothing residuals. The flexibility of the baseline model substantially affects metabolite estimates from unedited spectra<sup>7</sup>; while baseline terms are necessary to 114 115 account for e.g. lipid contamination, poor water suppression etc., they are potential 116 sources of overfitting if awarded too many degrees of freedom. Baseline modeling may 117 have an even greater influence when modeling difference spectra, since only *co-edited* 118 lipid and MM signals contribute to the smooth background variation. Importantly, the co-119 edited MM background of the GABA-edited difference spectrum has not been 120 appropriately characterized (e.g., through metabolite-nulled acquisition), suggesting that 121 the choice of baseline flexibility can drastically influence modeling results through two 122 highly susceptible regions of the spectrum. First, in the absence of an appropriate model 123 for the co-edited broad MM signal at 3 ppm, this signal may be absorbed into the baseline 124 depending on its flexibility. Second, strong MM and lipid signals in the region between 125 0.5 and 2.5 ppm may be affected by the 1.9 ppm editing pulse (either directly through 126 saturation or indirectly through coupling), likely leading to an unknown, but substantial, 127 MM contribution in this spectral region<sup>8,9</sup>. This is especially important considering that 128 the co-edited signals from NAA, NAAG, Glu, Gln, and GSH overlap with GABA in this 129 region. Overly rigid baselines may provide insufficient flexibility to capture these signals, 130 in turn compromising the accuracy of the estimation of the co-edited metabolites.

- 131 The aim of this study was to evaluate different strategies for linear combination modeling of
- 132 GABA-edited MEGA-PRESS difference spectra, and to establish initial 'best practices' substan-
- 133 tiating the recommendations of the expert consensus on spectral editing. To this end, different
- 134 approaches to account for co-edited MM signals, various modeling ranges and baseline knot
- spacings, as well as the inclusion of homocarnosine were compared. In the absence of a 'gold
- 136 standard', the performance of each modeling strategy was assessed by comparing descriptive sta-
- 137 tistics of the metabolite estimates, calculating the Akaike information criteria, and assessing the
- 138 fit residuals.

## 139 Methods

#### 140 <u>Study participants & data acquisition</u>

In this study, 61 publicly available GABA-edited MEGA-PRESS datasets originating from 7 141 sites from a recent 3T multi-center study<sup>10</sup> were analyzed (see Supplementary Material 1 for 142 143 subject list). All datasets were acquired on Philips 3T scanners with the following acquisition pa-144 rameters: TR/TE = 2000/68 ms; 320 excitations (10m 40s scan time); 16-step phase-cycle; 2 kHz 145 spectral width; 2000 samples; 27-ml cubic voxel volume in the medial parietal lobe. For this heu-146 ristic approach of exploring the GABA modeling the data homogeneity (SNR, FWHM, tissue 147 composition, and absence of fat contamination) was increased while reducing the overall number of subjects by including only 61/298 subjects of the original dataset<sup>10</sup>. All sites except for P8 148 149 used a similar sequence implementation with interleaved water referencing for prospective fre-150 quency correction<sup>11</sup>. For the edit-ON transients, the editing pulses with 15 ms pulse duration and 151 82.5 Hz inversion bandwidth (FHWM) were applied at a frequency of 1.9 ppm to refocus the coupling evolution of the GABA spin system. For the edit-OFF transients, the editing pulses 152 153 were applied at a frequency of 7.5 ppm. Edit-ON and edit-OFF transients were acquired in alternating order. An additional water reference scan was acquired for each dataset using interleaved 154 155 water referencing <sup>11</sup>, i.e. one excitation with water suppression and editing pulses deactivated 156 every 40 water-suppressed excitations (total of 8 averages).

157

158 Data pre-processing

159 Data were analyzed in MATLAB using Osprey<sup>12,13</sup> (v.1.0.1.1), a recently published open-source

160 MRS analysis toolbox. Raw data were eddy-current-corrected <sup>14</sup> based on the water reference,

161 and individual transients were aligned separately within the edit-ON and edit-OFF conditions us-

162 ing the robust spectral registration algorithm<sup>15</sup>. Averaged edit-ON and edit-OFF spectra were

aligned by optimizing relative frequency and phase such that the water signal in the difference

164 spectrum was minimized. The final difference spectra for quantification were generated by sub-

165 tracting the edit-OFF from the edit-ON spectra. Finally, any residual water signal was removed

166 with a Hankel singular value decomposition (HSVD) filter<sup>16</sup> to improve data quality in the edit-

167 OFF spectra and to reduce residual baseline roll in the difference spectra.

#### 169 Basis set

170 The basis set used for modeling was generated from a fully localized 2D density-matrix simula-

- 171 tion of a 101 x 101 spatial grid (voxel size: 30 mm x 30 mm x 30 mm; field of view: 45 mm x 45
- 172 mm x 45 mm) implemented in a MATLAB based simulation toolbox FID-A <sup>17</sup>, using vendor-
- 173 specific refocusing pulse shape and duration, sequence timings, and phase cycling. It contains 17
- 174 metabolite basis functions (ascorbate, aspartate, creatine (Cr), negative creatine methylene (-
- 175 CrCH<sub>2</sub>), GABA, glycerophosphocholine, GSH, Gln, Glu, water, myo-inositol, lactate, NAA,
- 176 NAAG, phosphocholine, phosphocreatine (PCr), phosphoethanolamine, scyllo-inositol, and tau-
- 177 rine) and 8 Gaussian MM and lipid resonances (MM<sub>0.94</sub>, MM<sub>1.22</sub>, MM<sub>1.43</sub>, MM<sub>1.70</sub>, MM<sub>2.05</sub>,
- 178 Lip09, Lip13, Lip20, details in Supplementary Material 2 with similarly defined parametriza-
- 179 tion as described in the LCModel software manual<sup>18</sup>) for the edit-OFF spectrum.
- 180
- 181 For the difference spectrum, MM<sub>0.94</sub> and the co-edited macromolecular signal at 3 ppm (MM<sub>3co</sub>)
- 182 were parametrized as Gaussian basis functions ( $MM_{0.94}$ : 3-proton signal; chemical shift 0.915
- 183 ppm, full-width at half-maximum (FWHM) 11 Hz; MM<sub>3co</sub>: 2-proton signal; chemical shift 3
- 184 ppm; FWHM 14 Hz). The MM<sub>3co</sub> amplitude was defined under the assumptions of a pseudo-dou-
- 185 blet GABA signal at 3 ppm and the MM<sub>3co</sub> contribution to the 3-ppm GABA peak to be around
- 186  $50\%^{1,6,8,19}$ . The optimum FWHM used to parametrize the MM<sub>3co</sub> basis function was determined
- 187 to be 14 Hz by fitting the mean difference spectrum of all datasets with a composite GABA+ ba-
- 188 sis function (GABA +  $MM_{3co}$ ) with varying FHWM (between 1 and 20 Hz). The parameterized
- 189 Gaussian MM<sub>3co</sub> basis function was integrated into the modeling process using different assump-
- 190 tions and constraints described in the following paragraphs.
- 191

#### 192 Linear combination modeling of GABA-edited difference spectra

193

194 Osprey's frequency-domain linear combination model was used to determine the metabolite esti-

- 195 mates. Model parameters include metabolite basis function amplitudes, frequency shifts,
- 196 zero/first order phase correction, Gaussian and Lorentzian linebroadening, and cubic spline base-
- 197 line coefficients. All parameters are determined by Levenberg-Marquardt<sup>20,21</sup> non-linear least-
- 198 squares optimization, using a non-negative least-squares (NNLS) fit <sup>22–24</sup> to determine the metab-
- 199 olite amplitudes and baseline coefficients at each iteration of the non-linear optimization.

- 200 Amplitude ratio soft constraints are imposed on MM and lipid amplitudes, as well as selected
- 201 pairs of metabolite amplitudes, as defined in the LCModel manual<sup>4,18</sup>. The strength factor of the
- 202 amplitude ratio soft constraint  $\lambda$  is set to 0.05 by default.

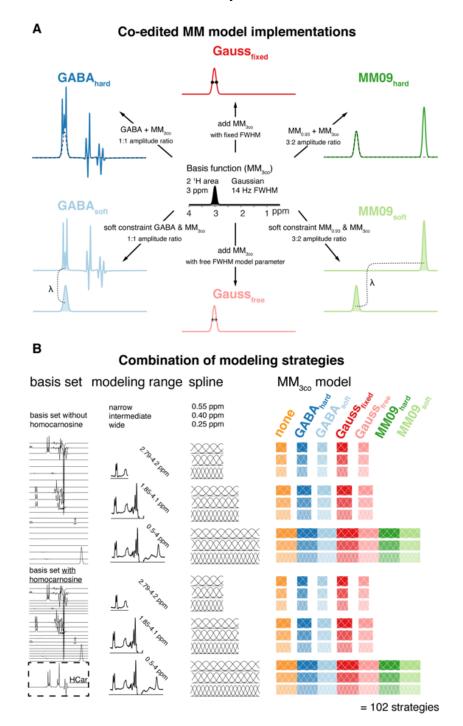


Figure 1 – Different linear combination modeling strategies for GABA-edited spectra. (A) Different co-edited  $MM_{3co}$  modeling approaches derived from a Gaussian function at 3.0 ppm (B)

All combinations of basis set composition, modeling range, spline knot spacing, and  $MM_{3co}$  modeling leading to 102 different modeling strategies.

- 203 A range of modeling strategies for the GABA-edited difference spectrum was included in this
- study, covering various aspects of the modeling process (Figure 1). The different parametriza-
- 205 tions and soft constraints to account for the co-edited MM<sub>3co</sub> signal are shown in Figure 1A. All
- 206 possible combinations for the modeling strategies: i) inclusion of homocarnosine in the basis set;
- ii) different parametrizations and soft constraints to account for the co-edited MM<sub>3co</sub> signal; iii)
- 208 different modeling ranges and iv) different baseline spline knot spacings are tabulated graph-
- 209 ically in Figure 1 B. Each modeling aspect is described in detail below:
- 210
- 211 Including homocarnosine in the basis set

212 To assess the effects of including homocarnosine in the linear combination model, we repeated

all analysis steps with two different basis sets: the default Osprey basis set with and without an

214 additional HCar basis function. Chemical shift and scalar coupling parameters describing the

- 215 HCar spin system were taken from literature<sup>6</sup>.
- 216

## 217 *Varying the modeling range and baseline knot spacing*

218 Two aspects of linear combination modeling are suggested to have a considerable influence on

219 metabolite estimates<sup>7,25</sup>. First, the choice of the modeling range, i.e., the frequency interval that

220 defines the part of the frequency-domain spectrum that is considered to calculate the least-

squares difference between model and data. Second, the baseline knot spacing, i.e., the frequency

difference between two adjacent knots of the cubic spline basis that is used to approximate the

smooth baseline.

224

225 Three different modeling range scenarios were considered, reflecting common choices in the lit-

erature and widely used software tools: a) a wide modeling range typically used to analyze uned-

227 ited spectra, including all signals in the GABA-edited difference spectrum (0.5 to 4 ppm -

228 "wide"); b) an intermediate modeling range excluding signals below 1.9 ppm (e.g. co-edited li-

229 pids and macromolecules), but including strong co-edited signals from NAA, NAAG, Glu, Gln,

and GSH (1.85 and 4.1 ppm, "intermediate"), similar to the range recommended in LCModel's

231 dedicated 'mega-press-3' option; and c) a narrow modeling range only including the co-edited

232 signals from GABA+ and Glx (2.79 – 4.2 ppm, "narrow"), the default modeling range in Gan-233 net<sup>2</sup>. 234 235 Three spline knot spacings were included in the analysis, with 0.4 ppm being the default Osprey 236 option, shown to create reproducible and comparable metabolite estimates for conventional MRS  $^{26}$ , as well as sparser (0.55 ppm) and denser (0.25 ppm) spline knot spacings. 237 238 239 240 *Co-edited macromolecule models* 241 Seven different strategies to model the GABA-edited difference spectrum were implemented 242 (Figure 1 A). The trivial approach – not accounting for the co-edited signal  $MM_{3co}$  at all – is labeled none. The other six modeling strategies all include a dedicated parametrized Gaussian 243 244  $MM_{3co}$  basis function. This basis function is given different degrees of freedom in the different 245 strategies, e.g. hard- or soft-constrained relative to the amplitude of the GABA or the  $MM_{0.94}$  ba-246 sis functions, and with a fixed or free width. Here, strategies with fewer degrees of freedom re-247 flect the frequently made assumption that the GABA-to-MM ratio (and the MM background it-248 self) is relatively stable across subjects and anatomical region, and assumed to be known, while 249 strategies with more degrees of freedom or soft constraints relax these assumptions: 250 The GABA<sub>hard</sub> model uses a single composite GABA+MM basis function by adding the 251 GABA and MM<sub>3co</sub> (initial FWHM of the basis function = 14 Hz) basis functions with a fixed 252 1:1 amplitude ratio. The 1:1 ratio reflects the widely used empirical assumption that 50% of 253 the 3-ppm signal in a conventional GABA-edited difference spectrum can be attributed to co-254 edited macromolecules<sup>6,19</sup>. 255 The  $GABA_{soft}$  model uses separate GABA and  $MM_{3co}$  (initial FWHM of the basis function = ٠ 256 14 Hz) basis functions and imposes a soft constraint on the ration of the amplitudes of both 257 basis functions during the optimization (1:1 ratio). 258 The Gaussfixed model uses separate GABA and MM<sub>3co</sub> (initial FWHM of the basis function = ٠ 259 14 Hz) basis functions. No further constraints are imposed. This means possible changes in 260 the contributions to the 3-ppm GABA peak are modeled. 261 The Gaussfree model uses separate GABA and MM<sub>3co</sub> basis functions. In contrast to the • 262 Gaussfixed model, the FWHM of the Gaussian MM<sub>3co</sub> signal is represented by an additional

263 model parameter. This means that the MM<sub>3co</sub> basis function itself is not static, but
 264 dynamically modified during optimization.

265 The **MM09**<sub>hard</sub> model uses separate GABA and MM basis functions. The  $MM_{3co}$  basis • 266 function is replaced by a composite  $MM_{0.94} + MM_{3co}$  basis function (i.e., the  $MM_{0.94}$  (initial 267 FWHM of the basis function = 11 Hz) and  $MM_{3co}$  (initial FWHM of the basis function = 14 268 Hz) basis functions are added in a 3:2 ratio). Resulting in a single composite basis function 269 for  $MM_{0.94}$  and  $MM_{3co}$ , adapted from the soft constraint model described in literature <sup>9</sup>. 270 The MM09<sub>soft</sub> model uses separate GABA, MM<sub>0.94</sub> and MM<sub>3co</sub> basis functions. In contrast to 271 the MM09<sub>hard</sub> model, soft constraints enforce a ~3:2 amplitude ratio for the MM<sub>0.94</sub> and 272  $MM_{3co}$  amplitudes during optimization. Resulting in two separate basis functions for  $MM_{0.94}$ 

and  $MM_{3co}$ , which is similar to previously described implementations <sup>9</sup>.

274 The models MM09<sub>hard</sub> and MM09<sub>soft</sub><sup>27</sup> as well as Gauss<sub>fixed</sub><sup>28</sup> correspond to models previously

275 investigated using the LCModel software and the amplitude assumptions were derived empiri-

276 cally. It is worth noting that each basis function receives a separate Lorentzian linebroadening,

277 frequency shift, and amplitude parameter during the optimization. For the Gauss<sub>free</sub> model, the

278 MM<sub>3co</sub> basis function is dynamically updated as an explicit modeling parameter during the opti-

279 mization, therefore the MM<sub>3co</sub> basis function has effectively two separate parameters to account

280 for its linewidth (the Lorentzian linebroadening term and the FWHM of the  $MM_{3co}$  basis func-

tion). Finally, the composite models (GABAhard), which do not have separate GABA and MM

282 functions, only have one linebroadening, one frequency, and one amplitude parameter compared

to twice the parameters for the soft constraint counterparts.

284

Combining the various  $MM_{3co}$  models (5 + 2 that were used for the wide modeling range only), modeling ranges (3), baseline spline knot spacings (3), and basis sets (2), a total of 102 different modeling strategies were investigated in this study. All models were implemented in Osprey<sup>12</sup> and are available on GitHub<sup>13</sup>.

289

#### 290 Quantification, visualization, and statistics

- 291 <u>Quantification</u>
- 292 For the basis set without homocarnosine, GABA refers to the model amplitude estimate for the
- 293 GABA basis function, which is of course only available for the modeling strategies with separate
- basis functions for GABA and MM<sub>3co</sub> (none, GABA<sub>soft</sub>, Gauss<sub>fixed</sub>, Gauss<sub>free</sub>, MM09<sub>soft</sub>). GABA+
- 295 refers to the sum of the amplitude estimates for GABA and MM<sub>3co</sub> (GABA<sub>soft</sub>, Gauss<sub>fixed</sub>, Gauss-
- $_{\rm free}$ , MM09<sub>hard</sub>, MM09<sub>soft</sub>) or the amplitude estimate for the composite basis function including
- both MM and GABA (GABA<sub>hard</sub>) and is therefore calculated for all strategies with an explicit
- 298 MM<sub>3co</sub> model. For comparison, the GABA amplitude for the `none` strategy is included in the
- 299 figures reporting  $GABA + MM_{3co}$ . However, it still refers to a GABA-only estimate.
- 300 For the basis set that included homocarnosine (HCar), the difference in GABA and MM<sub>3co</sub> esti-
- 301 mates between the modeling strategies with and without HCar ( $\Delta$ GABA and  $\Delta$ MM<sub>3co</sub>, respec-
- 302 tively) were investigated to evaluate whether the inclusion of HCar has a systematic effect on the
- 303 estimation of those signals with which it overlaps. All estimates were quantified relative to the
- total creatine (Cr + PCr) amplitude from the edit-OFF spectrum with the wide modeling range
- and a spline knot spacing of 0.4 ppm. Differences in GABA(+)/tCr between modeling strategies
- 306 are therefore only related to the modeling of the difference spectra, but not to the reference com-
- 307 pound modeling. No further tissue or relaxation corrections were applied.
- 308 Further, the relative contributions of MM<sub>3co</sub> to the GABA+ estimate and the relative contribu-
- 309 tions of HCar to the sum of GABA+ and HCar estimate were calculated.
- 310
- 311 <u>Visualization</u>
- 312 The modeling performance and systematic characteristics of each modeling strategy were visu-
- ally assessed through the mean spectra, mean fit, mean residual, and mean models of GABA+,
- 314 GABA, MM<sub>3co</sub>, HCar (if included) and the baseline, i.e., averaged across all datasets.
- 315
- 316 The metabolite estimate distributions were visualized as violin plots including boxplots with me-
- 317 dian, 25<sup>th</sup>/75<sup>th</sup> quartile ranges, and smoothed distributions to identify systematic differences be-
- 318 tween modeling strategies. In addition, the mean value of the 'none' model across the three
- 319 spline knot spacings was added for each modeling range as a dashed horizontal line. Bar plots

320	were created to	visualize quali	ty metrics	including the	standard deviation	on if appropriate. All
540	were created to	visualize quali	ty mounds	, moruung me	standard deviation	m in appropriate. All

321 plots were generated with R<sup>29</sup> (Version 3.6.1) in RStudio (Version 1.2.5019, RStudio Inc.) using

322 SpecVis<sup>26,30</sup>, an open-source package to visualize linear combination modeling results with the

- 323 ggplot2 package<sup>31</sup>. All scripts and results are publicly available<sup>32</sup>.
- 324
- 325 <u>Statistics</u>
- 326 Significant differences in the mean and the variance of the GABA, GABA+, and MM<sub>3co</sub> esti-
- 327 mates were assessed between all modeling strategies. The statistical tests were set up as paired
- 328 without any further inference. Differences of variances were tested with Fligner-Killeen's test,

329 with a post-hoc pair-wise Bonferroni-corrected Fligner-Killeen's test. The means were compared

330 with an ANOVA or a Welch's ANOVA, depending on whether variances were different or not.

- 331 Post-hoc analysis was performed with a paired t-test with equal or non-equal variances, respec-
- tively.
- 333

334 Additionally, Pearson's correlation was used to investigate the impact of including HCar in the

- basis set. The strength of the correlation was considered substantial for R > 0.25.
- 336 Model evaluation criteria

337 The performance of each modeling strategy was evaluated in different ways, including the im-

338 pact of the different modeling strategies on the GABA, GABA+, and MM<sub>3co</sub> estimates, as well

- as several quality measures:
- 340 1) Visual inspection: Mean model, residual, and baseline were assessed for characteristic341 features.
- 342 2) SD fit quality: The SD of the residual was determined, and then normalized by the noise
  343 level (calculated as the SD of the noise between -2 and 0 ppm). This is done over the
  344 entire modeling range of the difference spectrum and termed residual<sub>SD range</sub>.
- 345 3) Amplitude fit quality: the difference between the maximum and minimum of the residual
   346 was determined, and then normalized by the noise level <sup>25</sup> (similarly calculated as in the
   347 second criterion). This was done over the entire modeling range of the difference
   348 spectrum and termed residual<sub>ampl range</sub>.

349 4) Amplitude 3-ppm peak fit quality: Similar to the third criterion, the residual was

- 350 calculated over the range of  $3.027 \pm 0.15$  ppm to assess the fit quality of the 3-ppm
- 351 GABA peak and termed **residual**<sub>ampl 3ppm</sub>.
- 5) Consistency of metabolite estimates: The across-subject coefficients of variation (CV = 352
- 353 SD/mean) for all metabolite estimates (GABA/tCr, GABA+/tCr) were calculated for each 354 modeling strategy.
- 355 6) Akaike Information Criterion (AIC): The Akaike information criterion <sup>33</sup>, which takes the 356 number of model parameters into account, is defined as follows:

357 
$$logLikelihood_i = -0.5 * N_i * (log(2 * \pi) + 1 - log(N_i) + log(SSE_i))$$

$$\log \ln(\ln \log (1 - \log (2 + n) + 1 \log (n_l) + \log (3 L_l)))$$

 $2K_i$ 

359 
$$AIC_i = -2 * \frac{1}{N_i} * logLikelihood_i +$$

360 Here,  $N_i$  is the number of points in the modeling strategy *i*,  $SSE_i$  is the sum of squared 361 error (i.e., squared residual) of that strategy, and  $K_i$  is the number of free model parame-362 ters for that strategy. The logLikelidhood, was divided by the number of points  $N_i$  to 363 reduce the strong weighting of the datapoints and to make the  $AIC_i$  values comparable 364 for different modeling ranges. Soft constraint model parameters were included with a 365 value of 0.5. Lower AIC<sub>i</sub> values indicate a more appropriate model. Subsequently,  $\Delta AIC_i$ scores were calculated as the difference of  $AIC_i$  of modeling strategy *i* and the model 366 367 with the lowest *AIC<sub>min</sub>*: 368

$$\Delta AIC_i = AIC_i - AIC_{min}$$

## 370 **Results**

- 371 All 61 datasets were successfully processed and modeled with all 102 modeling strategies. No
- data were excluded from further analysis. The data quality assessment indicated consistently
- high spectral quality for all spectra (NAA<sub>SNR</sub> =  $272 \pm 70$ ; NAA<sub>FWHM</sub> =  $5.29 \pm 1.09$  Hz) without
- 374 lipid contamination (data overview in **Supplementary Material 4**). Other data quality measures
- 375 were extracted from the Gannet<sup>2</sup> analysis performed in recent multi-site studies<sup>10,34</sup>. For the
- 376 Philips-only subset of datasets in the present study, the tissue composition (fGM =  $0.60 \pm 0.04$ ;
- 377 fWM =  $0.27 \pm 0.03$ ; fCSF =  $0.13 \pm 0.04$ ) and across-subject CV (GABA+/Cr = 9.99%) indicate
- 378 consistency in the dataset and the modeling. across-subject CV was interpreted as a measure of
- 379 modeling performance, assuming that increased CVs are mainly introduced by variability in the
- 380 modeling and do not reflect biologically meaningful variance of GABA+ estimates.
- 381 <u>Summary and visual inspection of the modeling results</u>

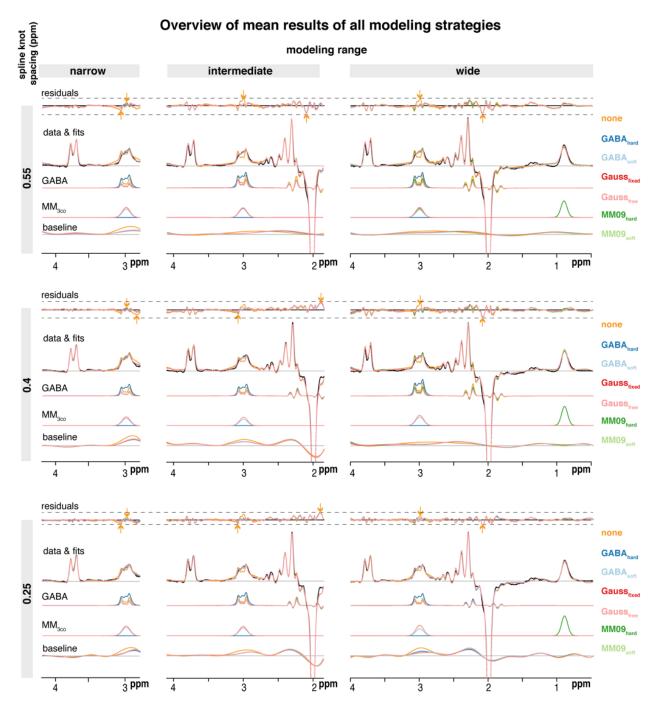
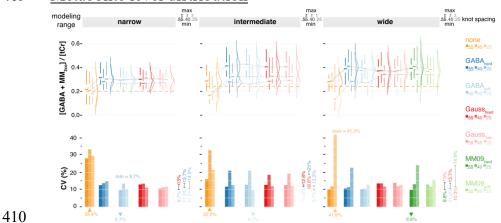




Figure 2 – Mean modeling results for all modeling strategies without homocarnosine. A substantial structured residual is apparent at 3 ppm if no MM modeling strategy is included. All three modeling ranges (columns), three spline knot spacings (rows), and  $MM_{3co}$  model (color-coded) are presented with mean residuals and fits, as well as the GABA+, GABA,  $MM_{3co}$ , and spline baseline models. The mean data is included in black. The dashed lines indicate the range of the residual across one row. The arrows indicate the range of values for a specific modeling range and spline knot spacing with the color corresponding to the  $MM_{3co}$  model with minimum/maximum value.

- Figure 2 shows the mean modeling results for all modeling strategies without homocarnosine.
- 386 Not including MM<sub>3co</sub> leads to a substantial structured residual around 3 ppm for all knot spacings
- 387 and modeling ranges. In contrast, all modeling strategies with MM<sub>3co</sub> appear to reflect the line-
- 388 shape of the 3-ppm signal more accurately, with very similar results for the complete fit (metabo-
- 389 lites, MMs, and baseline) and the individual components. Modeling strategies with the interme-
- 390 diate and wide modeling range further show strong residuals around 2 ppm, suggesting slightly
- 391 inaccurate lineshape modeling of the methyl singlets from NAA and NAAG, or inaccurate mod-
- 392 eling of co-edited MM signals in this region. Structured residuals appear also in the region of the
- 393 3.75 ppm Glx signals, although they are much less pronounced in strategies with the narrow
- 394 modeling range, suggesting that including the 2.25 ppm multiplets (and underlying baseline fluc-
- tuation) has a considerable impact on phase estimation.
- 396 In general, the residuals are consistent between different MM<sub>3co</sub> models for any given knot spac-
- ing and modeling range. Notably, residuals tend to be smaller on an absolute scale for denser
- 398 knot spacing and narrower modeling range.
- 399 Mean GABA models agree well between all strategies with a separate MM<sub>3co</sub> model. The
- 400 GABA<sub>hard</sub> strategy appears to produce a larger signal as its GABA basis function includes the
- 401 MM<sub>3co</sub> signal, but does not model it separately, while the strategies that do so produce compara-
- 402 ble mean  $MM_{3co}$  models.
- 403 The mean baseline is consistently flatter around 3 ppm for modeling strategies with an explicit
- 404 MM<sub>3co</sub> model, while absorbing substantially more signal for the 'none' approach without an MM
- 405 model. This behavior is particularly obvious for the dense knot spacing (0.25 ppm) over the wide
- 406 modeling range. Baseline curvature generally increases for denser knot spacings around 2.2 ppm
- 407 for the intermediate and wide range.
- 408



#### 409 Metabolite level distribution

Figure 3 – Distribution and across subject coefficients of variation (CVs) of GABA+ estimates for all modeling strategies. Including a  $MM_{3co}$  model significantly increases the mean estimates for all modeling strategies, while giving similar or reduced CVs. The mean estimates across the three spline knot spacings of the 'none' approach are indicated as a dashed line for each modeling range. All three modeling ranges (column) and three spline knot spacings (within each column), and co-edited MM models (color-coded) are presented. Distributions are shown as half-violins (smoothed distribution), box plots with median, interquartile range, and  $25^{th}/75^{th}$ quartile. The median lines of the box plots are connected to visualize trends within a specific baseline knot spacing. CVs are summarized as bar plots. Minimum/maximum CVs for each modeling range are indicated as downwards/upwards triangle in the color corresponding to the  $MM_{3co}$  model. Minimum/maximum CVs for each baseline knot spacing within a specific modeling range are reported on the right side of each column. Global minimum and maximum CVs across all models are added as text.

- 411
- 412 Figure 3 shows distributions and coefficients of variation (CVs) of the GABA+ estimates for all
- 413 modeling strategies. Table 1 summarizes the mean and SD GABA/GABA+ estimates as well as
- 414 the statistics. GABA+ estimates are significantly higher than GABA-only estimates of the 'none'
- 415 modeling strategy for all modeling ranges and knot spacings, supporting the notion from Figure
- 416 2 that not including an MM model leaves a considerable fraction of the edited 3-ppm signal un-
- 417 modeled, resulting in substantial residuals or increased baseline amplitude flexion.
- 418 Notably, all modeling strategies with MM<sub>3co</sub> return comparable mean estimates and CVs within
- 419 the same knot spacing (see Minimum/Maximum column of Figure 3). In addition, sparser knot
- 420 spacing leads to lower CVs. The intermediate modeling range does not appear to perform more
- 421 consistently than both other modeling ranges.
- 422 Table 1 GABA + mean and SDs for all modeling strategies (ratios to tCr). Significant differences (p <
- 423 .05) between the corresponding model and the 'none' are shaded in gray.
- 424

not <b>Centried Byspeer Sv</b> iew) is t		he author/	f <b>Untie</b> f, Wh	o has grar	ted bio	<b>ernational</b>	display	the prepri	nt Wiperpe	etuity. It is r	
knot spacing (ppm)		0.55	0.4	0.25	0.55	0.4	0.25	0.55	0.4	0.25	
none	ne	[GABA]	0.22	0.213	0.193	0.297	0.186	0.204	0.284	0.288	0.158
	10U		.062	.071	.057	.048	.061	.044	.029	.034	.066
$\mathbf{A}_{ ext{hard}}$		0.328	0.291	0.312	0.414	0.296	0.329	0.389	0.414	0.3	
	$\mathbf{GABA}_{\mathrm{hard}}$	[GABA+]	.041	.04	.046	.048	.062	.041	.041	.047	.068
	<b>GABA</b> soft	[GABA+]	0.298	0.267	0.295	0.417	0.279	0.323	0.375	0.397	0.271
	GAF		.029	.036	.03	.053	.058	.031	.039	.042	.035
	Gaussfixed	[GABA+]	0.306	0.272	0.304	0.412	0.298	0.332	0.369	0.382	0.343
	Gau		.04	.037	.034	.052	.055	.04	.05	.052	.04
Gaussfiree		0.305	0.277	0.3	0.409	0.3	0.331	0.364	0.382	0.341	
	Gaus	[GABA+]	.032	.031	.035	.052	.058	.04	.05	.045	.043
	9 <sub>hard</sub>								0.396	0.422	0.345
	MM09 <sub>hard</sub>	[GABA+]	-	-	-	-	-	-	.039	.055	.084
	9 <sub>soft</sub>								0.367	0.386	0.337
	MM09 <sub>soft</sub>	[GABA+]	-	-	-	-	-	-	.047	.045	.053

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## 444 Model evaluation

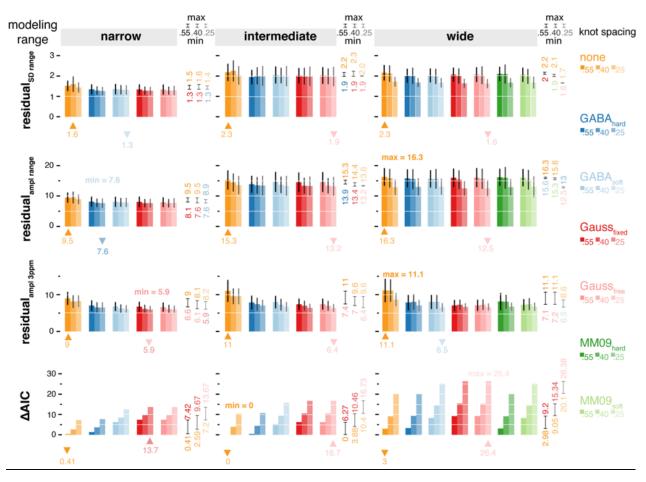
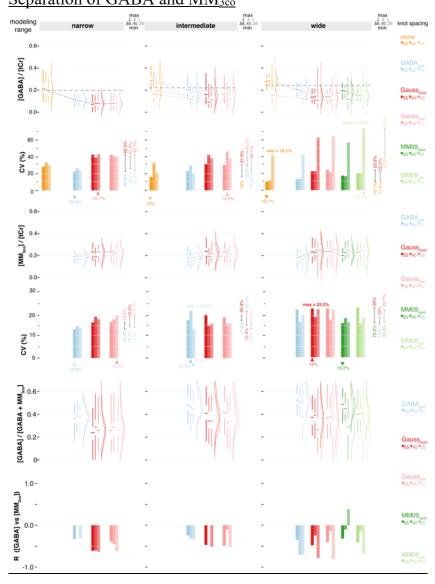


Figure 4 – Evaluation of all modeling strategies. Comparably low residual<sub>ampl ange</sub> are related to the high data quality without artifacts between 0.5 and 2 ppm. Including an  $MM_{3co}$  model reduces the 3-ppm residual by ~30% without significant impact on the  $\Delta AIC$ . All three modeling ranges (column) and three spline knot spacings (within each column), and co-edited MM models (colorcoded) are presented. Bar plots represent mean values; SD is indicated by whiskers where appropriate. Minimum/maximum values for each modeling range are indicated as downwards/upwards triangle in the color corresponding to the  $MM_{3co}$  model. Minimum/maximum values for each baseline knot spacing within a specific modeling range are reported on the right side of each column. Global minimum and maximum values across all models are added as text.

- 445 Figure 4 summarizes the metrics used for model evaluation. The residual over the modeled fre-
- 446 quency range (residual<sub>SD range</sub> and residual<sub>ampl range</sub>) is lowest for the narrow modeling range. For
- the intermediate and wide modeling ranges, residual<sub>ampl range</sub> is substantially higher, largely driven
- 448 by the 2-ppm region (see also **Figure 2**). Consequentially, residual<sub>ampl range</sub> is comparable be-
- 449 tween MM modeling strategies for a given knot spacing (see Minimum/Maximum column of
- 450 **Figure 4**).
- 451 The residual around the GABA+ peak (residual<sub>ampl 3ppm</sub>) is consistently reduced by up to 30% if a
- 452 MM<sub>3co</sub> model is included, in line with the reduction of structured residual in Figure 2. This ef-
- 453 fect is less pronounced for the dense knot spacing (0.25 ppm), indicating that a flexible baseline
- 454 is to some degree capable of accounting for otherwise unmodeled MM signal. Together, these
- 455 findings again support the notion that omitting an explicit MM<sub>3co</sub> model does not capture the
- 456 whole edited 3-ppm signal, which remains unmodeled (in the residual) or gets partially absorbed
- 457 by the baseline or interpreted incorrectly as GABA signal.
- The strategy with the lowest AIC is the 'none' model with the intermediate modeling range and
- 459 sparse knot spacing, reflecting the low number of model parameters: there is no separate basis
- 460 function for MM, and the low number of splines. The  $\Delta AIC$  (the difference between the lowest
- 461 AIC and the individual model's AIC) consequently increases for larger modeling ranges, as more
- 462 splines are included. Similarly, ΔAIC increases for denser knot spacings, and in fact, this in-
- 463 crease is much stronger compared to the resulting reduction in both residual measures, suggest-
- 464 ing that the increased flexibility and reduction of the residual does not justify the greater number
- 465 of model parameters.
- 466 For any given knot spacing and modeling range,  $\Delta AIC$  values are comparable between MM<sub>3co</sub>
- 467 models, with moderate increases when more parameters are estimated. Together with its low CV
- 468 (9.8% compared to the minimum CV value 9.7% for the GABA<sub>soft</sub> value with a narrow fit range)
- 469 for GABA+, the  $\Delta$ AIC for the MM09<sub>hard</sub> model over the wide modeling range with sparse knot
- 470 spacing ( $\Delta AIC = 3.1$ ) indicates a good performance of this particular model without introducing

- 471 overfitting. Despite the slightly higher  $\Delta AIC$ , it is beneficial to opt for the MM09<sub>hard</sub> model,
- 472 since the MM<sub>0.94</sub> peak provides an 'external', non-overlapped reference anchor point for the am-
- 473 plitude of the expected MM<sub>30</sub> peak the MM landscape is thought to be relatively stable across
- 474 healthy subjects in a narrow age range, at least in the absence of pathology <sup>8</sup>. Furthermore, the
- 475 MM09<sub>hard</sub> model does not impose any amplitude assumptions or constraints on the target metab-
- dite GABA.



477 <u>Separation of GABA and MM<sub>3co</sub></u>

Figure 5 - Distribution of GABA and MM<sub>3co</sub> estimates, relative contribution of GABA to GABA+ and Pearson's R between GABA and MM<sub>3co</sub> for all modeling strategies. All three modeling ranges (column) and three spline knot spacings (within each column), and MM<sub>3co</sub> models (colorcoded) are presented. Distributions are shown as half-violins (smoothed distribution), box plots with median, interquartile range, and 25<sup>th</sup>/75<sup>th</sup> quartile. The median lines of the box plots are connected to visualize trends within a specific baseline knot spacing. The mean estimates across the three spline knot spacings of the 'none' approach are indicated as a dashed line for each modeling range. Across subject CVs are summarized as bar plots. Minimum/maximum CVs for each modeling range are indicated as downwards/upwards triangle in the color corresponding to the MM<sub>3co</sub> model. Minimum/maximum CVs for each baseline knot spacing within a specific modeling range are reported on the right side of each column. Global minimum and maximum CVs across all models are added as text.

**Figure 5** shows the distributions and CVs of the separate GABA and MM<sub>3co</sub> estimates of all modeling strategies. Including a separate MM<sub>3co</sub> basis function significantly decreases GABA estimates, suggesting that not doing so may lead to GABA overestimation, as MM signal is mistakenly modeled as GABA. As was seen for the composite GABA+ estimates in **Figure 3**, sparser knot spacing appears to stabilize modeling, leading to lower CVs of GABA. This becomes especially obvious for the wide modeling range, where GABA CVs exceed 50% for dense knot spacing.

 $MM_{3co}$  estimates are stable across the different knot spacings, suggesting that the different parametrizations accurately account for most of the co-edited MM signal at 3 ppm.

- 479 The GABA model, in combination with a wide modeling range and 0.55 ppm knot spacing, ex-
- 480 hibits the lowest CV for GABA (10.4%). However, the MM09<sub>hard</sub> model in combination with the
- 481 same knot spacing and modeling range has only slightly higher GABA CVs (17.3%) with the
- 482 corresponding MM<sub>3co</sub> CVs being 16.2% (MM09<sub>hard</sub>). Again, despite slightly higher CV values it
- 483 is beneficial to use a modeling strategy with a constraint to an 'external' reference peak
- 484 (MM09<sub>hard</sub>) instead of the highly overlapped  $MM_{3co}$  peak of GABA<sub>soft</sub> (CV = 12.8%), or entirely
- 485 omitting MM<sub>3co</sub>. Additionally, the correlation between the GABA and the MM<sub>3co</sub> estimates is
- 486 lower for the MM09<sub>hard</sub> model, potentially implying a better separation of GABA and MM<sub>3co</sub>.
- 487 However, a separation of GABA and co-edited macromolecules remains difficult with a low-to-
- 488 moderate correlation between GABA and MM<sub>3co</sub> estimates for all but one modeling strategy
- 489 (MM09<sub>hard</sub> for the wide fit range and 0.4 ppm baseline knot spacing). Supplementary Material **5**
- 490 reports the mean and SDs of the GABA and MM<sub>3co</sub> estimates as well as the statistics. Significant
- 491 differences between the mean or the SD compared to the corresponding model omitting co-ed-
- 492 ited MMs are indicated as shaded box.
- 493

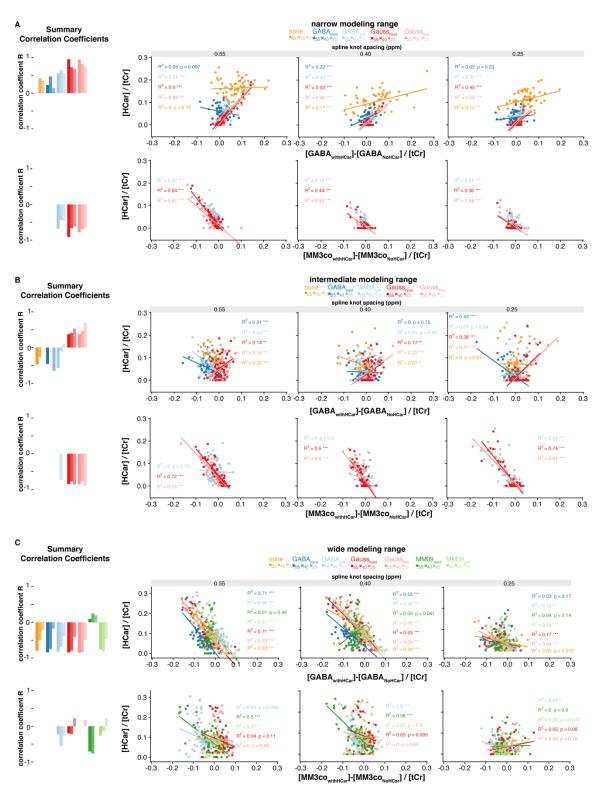


Figure 6 – Impact of including homocarnosine in the basis set. The directionality of the correlation indicates that HCar absorbs GABA signal specifically for the intermediate and wide modeling range and absorbs  $MM_{3co}$  signal for all modeling ranges. Correlation analysis between the differences between GABA/ $MM_{3co}$  estimates with and without HCar in the basis set and the

HCar estimates. All three modeling ranges (A-C) and three spline knot spacings (within each subplot) were investigated. A summary bar plot with the correlation coefficient R is shown in the beginning of each row. Pearson's correlation was calculated for each  $MM_{3co}$  model (color-coded). Asterisks indicate significant correlations with p < 0.05 = \*, p < 0.01 = \*\*, and p < 0.001 = \*\*\*.

495 Finally, Figure 6 shows the impact of including HCar into the basis set with the difference in

496 GABA and  $MM_{3co}$  estimates between the modeling strategies with and without HCar ( $\Delta GABA$ 

497 and  $\Delta MM_{3co}$ , respectively). Interestingly, clear differences in the systematic effects of HCar are

- 498 evident between the modeling ranges:
- 499 For the narrow modeling range (Figure 6 A), HCar estimates correlate positively with  $\Delta$ GABA,
- but the correlation is *only* substantial (R > 0.25) for strategies with a *separate* MM basis

501 function. For precisely these strategies, HCar estimates correlate negatively with  $\Delta MM_{3co}$ . These

- 502 observations suggest that HCar is likely to account for MM<sub>3co</sub> in the narrow modeling range. In
- 503 contrast, HCar and  $\Delta$ GABA correlate negatively for most strategies in the intermediate and wide

504 modeling ranges (Figure 6 B and C). The negative correlations between HCar and  $\Delta MM_{3co}$  are

505 notably weaker for these modeling ranges, indicating that HCar is more likely to substitute for

506 GABA signal instead of MM.

507 This behavior can possibly be explained by the HCar signal shape for each modeling range
508 (Supplementary Material 6). For the narrow modeling range, the HCar basis function offers the

509 model an additional degree of freedom to account for deviations of the actual edited 3-ppm

510 signal from pure GABA and the symmetric Gaussian MM3co component, as no resonances

511 below 2.78 ppm are considered. As a result, HCar shows a high correlation with the difference in

512 MM<sub>3co</sub>. For the intermediate and wide range, the HCar difference spectrum basis function more

513 nearly resembles its GABA counterpart since other resonances are included, thereby more

614 effectively coupling GABA and HCar estimates to each other. Perhaps unsurprisingly, HCar

515 estimates are significantly higher for 'none' modeling strategy, and are substantially lower for

516 more flexible baselines, supporting the notion that HCar rather serves as a substitute for an

517 explicit MM signal, in particular if the baseline cannot absorb the latter (Supplementary

518 Material 6). Within a given knot spacing and modeling range, HCar estimates are comparable

519 between different MM<sub>3co</sub> models, a behavior observed for GABA estimates as well.

520 The GABA+ plus homocarnosine estimates show a slight increase compared to the GABA+

521 estimates without HCar (Supplementary Material 7). For the 'none' model, stronger changes

- 522 occur as HCar accounts for MM signal (see also Figure 6). There was no improvement in the
- 523 CVs observed when including HCar in the model. The relative contribution of HCar to GABA+
- 524 ranged between 2.2% and 19.1% for modeling strategies with an MM<sub>3co</sub> basis function and
- 525 between 18% and 36% for the 'none' model.

## 527 **Discussion**

528 The application of linear combination modeling to edited difference spectra is neither straightfor-529 ward nor intuitive. The conceptual advantage of spectral editing arises from isolating a resolved 530 target resonance, i.e. reducing the overlap of the target metabolite with other signals, as well as 531 the number of signals in the spectrum in general<sup>1</sup>. LCM, on the other hand, benefits from maxim-532 izing the use of prior knowledge to solve the spectral modeling problem, i.e. using all available 533 information for meaningful constraint, including from overlapping signals. The specific case of 534 GABA-edited MRS at 3T poses unique and unresolved challenges. Firstly, a compromise must 535 be drawn between maximizing the prior knowledge by increasing the modeling range and reduc-536 ing the impact of co-edited and unwanted signals. Secondly, an appropriate parametrization of 537 poorly characterized co-edited signals must be found, and possible interactions with the target 538 metabolite GABA must be evaluated. Thirdly, effects of baseline modeling must be studied, 539 again a consequence of the macromolecular background signal in the GABA-edited difference 540 spectrum not being determined to this date. In this study, a total of 102 linear combination mod-541 eling strategies were compared for GABA-edited difference spectra, each with different model-542 ing ranges, parametrizations of co-edited signals, and baseline model flexibility. The key find-543 ings are: 544 Including a dedicated basis function for co-edited MM improves fit residuals, • 545 reduces CVs of GABA and GABA+ estimates, and avoids overestimation of 546 GABA. 547 Reducing the modeling range does not substantially stabilize or destabilize • 548 modeling, while removing potentially valuable information ( $MM_{0.93}$  and 2-ppm 549 NAA peak) from the optimization. 550 Sparser baseline spline knot spacing leads, on average, to the lowest CV across all • 551 modeling ranges. 552 There is surprisingly little systematic investigation into linear combination modeling of GABA-553 edited difference spectra. To the best of our knowledge, there is only one conference abstract studying MM parametrization in GABA-edited MRS with the LCModel software<sup>27</sup>. The results 554 555 from this preliminary investigation indicate that including a specific MM basis function

significantly reduces GABA estimates and were confirmed in the subsequent study <sup>28</sup>, which is
substantiated by our findings.

558

559 Although the substantial contribution of broad MM signals to the 3-ppm peak in the GABA-ed-560 ited spectrum is widely known<sup>1,35</sup>, it is rarely explicitly addressed in linear combination modeling. Instead, it is assumed that either an incomplete model (without explicit MM term) will still 561 562 provide an accurate GABA estimate, or that baseline modeling will account for the MM signal. 563 The current results provide evidence that including an appropriately parametrized MM model is 564 a preferrable and easily implemented strategy, reducing the residual over the 3-ppm signal range 565 by up to 30%, with similar or lower CVs for GABA+. In contrast, not including an MM model 566 likely causes systematic overestimation of GABA, as the least-squares optimization attempts to 567 minimize the model-data difference with an inadequate set of basis functions (only GABA), par-568 ticularly when a rigid baseline is chosen. Including  $MM_{3co}$  is a justified and reasonable measure 569 without overfitting (reflected by AIC), and stable mean estimates and CVs of MM<sub>3co</sub> suggest an 570 adequately parametrized model.

571

572 The different MM models in this study were based on certain assumptions, including the relative 573 contribution of  $MM_{3co}$  to the 3-ppm GABA peak to be around  $50\%^{1,6,8,19}$ . Levels of  $MM_{0.93}$  have 574 been found to be stable across the whole brain<sup>36</sup> and are thought to be stable across healthy sub-575 jects. Under these assumptions, the  $MM09_{hard}$  model with a rigid amplitude coupling between 576  $MM_{3co}$  and the non-overlapped  $MM_{0.93}$  peak is a suitable strategy, supported by favorable CVs 577 and  $\Delta$ AIC. Further studies need to be performed to investigate the distribution and correlation 578 between  $MM_{0.93}$  and  $MM_{3co}$  in the brain.

579

580 Unedited MRSI data measured at 7T indicates significant differences between white and gray 581 matter for several macromolecules in the healthy brain<sup>36</sup>. Changes in the MM concentrations dur-582 ing disease may also affect the relative contribution to the 3-ppm peak, and therefore render 583 models with prior amplitude assumptions inaccurate. If there is reason to expect strong fluctua-584 tions of MM<sub>3co</sub>, a modeling strategy with fewer assumptions about amplitude ratios between the 585 metabolite of interest GABA or the MM<sub>0.93</sub> signal and the MM<sub>3co</sub> signal is preferable to the 586 MM09<sub>hard</sub> strategy. Here, the Gauss<sub>fixed</sub> and Gauss<sub>fixed</sub> strategies could be used to account for

587 changes in the MM<sub>3co</sub> contribution more freely, as their mean estimates of GABA and GABA+ 588 were in good agreement with the more constrained approaches, although they led to increased 589 CVs and  $\Delta AICs$ . In addition, the less-constrained models might be more appropriate for investi-590 gating changes in MM<sub>3co</sub> due to age<sup>37</sup> or disease, or for exploring frequency-drift-related effects 591 on the co-edited MM signal<sup>1,8,38</sup>. Another potential way to model the co-edited MM signal is to 592 include lysine in the simulated basis set, as it has been identified as the potential source of the 593 signal<sup>6</sup>, although this approach would require appropriate broadening and incorporation of chem-594 ical shift and coupling values from protein databases<sup>39</sup>.

595

596 Overall, results did not differ drastically between modeling ranges, although it is noteworthy that 597 the effects of baseline flexibility were less pronounced for the narrow modeling range, likely be-598 cause the complex interaction of the overlapping 2.25 ppm GABA and Glx signals with the un-599 derlying baselines is omitted. Furthermore, there was no evidence that the intermediate modeling 600 range, which is proposed in the LCModel manual<sup>18</sup> to avoid frequently occurring co-edited lipid 601 signals, improved quantification substantially compared to both other modeling ranges, although 602 it should be mentioned that this particular dataset did not suffer from severe lipid contamination. 603 Taken together, the choice of modeling range does not impact quantitative results as substantially 604 as the inclusion of an MM model.

605

606 Baseline models are included in most LCM algorithms to account for signals not otherwise mod-607 eled, e.g. residual water tails or unparametrized macromolecules and lipids. Compared to con-608 ventional short-TE spectra, water and non-co-edited MMs are removed upon subtraction in the GABA-edited spectrum, which is therefore frequently modeled with a stiffer baseline<sup>4,18</sup>. Our re-609 610 sults show that sparser knot spacing (0.55 ppm) leads to lower CVs in metabolite estimates. A 611 more flexible baseline (0.25 ppm) improves local and global residuals, but not enough to justify 612 the additional model parameters (as per the AICs). More importantly, an overly flexible baseline 613 may absorb edited signal, although it appeared that it did not do so excessively even for the 0.25-614 ppm strategies. The exception was the 'none' model, where the baseline was the only available 615 part of the model to take up signal, underlining the inadequacy of the default LCM odel approach. 616 Taken together, a relatively rigid baseline with a parametrized MM basis function is preferable 617 for LCM of GABA-edited spectra. A caveat to this recommendation is the observation of

618 structural baseline fluctuations underneath the 2.25 ppm signals from GABA, Glx, GSH, NAA 619 and NAAG, particularly for the 0.25 ppm knot spacing and a relatively broad increase in the 620 baseline between 2.7 and 3 ppm. These were observed previously<sup>27</sup>, and are likely signals from 621 un-parametrized MMs directly and indirectly affected by the editing pulse. Rigid baselines may 622 force a wrong metabolite model in that region and interfere with accurate estimation of GABA 623 and Glx. In fact, the structural Glx residual at 3.75 ppm suggests a systematic misestimation of 624 the Glx phase, likely driven by the 2.25 ppm signals. While beyond the scope of this investiga-625 tion, it is conceivable that more informed parametrization (or, ideally, direct measurement) of 626 this unexplored MM background may benefit the modeling of the entire difference spectrum. Al-627 ternatively, hitherto unexplored approaches with variable baseline knot spacing may be worth 628 investigating.

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629

630 The HCar molecule has a GABA moiety with similar chemical shifts and is therefore co-edited. 631 Evidence regarding in-vivo HCar levels in the human brain is inconclusive - early work deter-632 mined HCar levels to be 0.5 mM<sup>5</sup> (compared to ~1 mM for GABA), while a recent hybrid upfield/downfield inversion-recovery method determined the HCar/GABA ratio as 17%<sup>40</sup>. There-633 634 fore, we tested the impact of adding HCar to the basis set without additional constraints. Includ-635 ing HCar systematically affected GABA and MM<sub>3co</sub> estimates, in a way that strongly depended 636 on the choice of modeling range. HCar estimates themselves ranged from 2.2% to 19.1% of the 637 GABA+ signal, depending strongly on the degree of baseline flexibility. The results suggest that 638 the overlap between the three model terms (HCar, GABA, MM<sub>3co</sub>) is too substantial for reliable 639 three-way separation, particularly in the presence of a highly flexible baseline. A minor increase 640 in "GABA+ plus HCar" estimates compared to GABA+ estimates was observed and the inclu-641 sion of HCar did not substantially improve the CVs. Additionally, the disagreement between the 642 model and the data at 2.9 ppm indicates that a simple unconstrained addition of HCar to the mod-643 eling is not justified.

644

645 Symmetric GABA-editing (edit-ON frequency at 1.9 ppm and edit-OFF frequency at 1.7 ppm) is

646 commonly used eliminate the MM<sub>3co</sub> contamination of the 3-ppm GABA+ signal. In practice, B<sub>0</sub>

647 instabilities lead to residual MM<sub>3co</sub> components with variable polarity <sup>11</sup>. The Gauss<sub>free</sub> and

648 Gauss<sub>fixed</sub> MM<sub>3co</sub> models could potentially be used to account for those variable MM<sub>3co</sub>

649 contributions in those spectra. Modeling of those spectra with the current strategies that do have

a non-negative model component as constraint would be challenging. Those modeling strategies

651 could potentially be adapted by using the  $B_0$  history during the experiment <sup>38</sup> to predict the polar-

652 ity and relative amplitude of the MM<sub>3co</sub> signal, and include those as a soft constraint relative to

653 the MM<sub>09</sub> signal (MM09<sub>hard</sub> or MM09<sub>soft</sub>) or the GABA signal (GABA<sub>hard</sub> or GABA<sub>soft</sub>).

#### 654 Limitations

655 A limitation of this study is the high spectral quality (SNR, linewidth, no apparent subtraction 656 artefacts, or lipid contaminations) of the dataset analyzed. We did not investigate model para-657 metrizations of movement or drift, which may introduce systematic changes to the co-edited MM 658 signal. While our results suggest that using the wide modeling range with a rigid baseline is ben-659 eficial, strong co-edited lipid signals are likely to not be modeled appropriately, and the intermediate modeling range may be more suitable. Further studies of the possible impact of changes in 660 661 spectral quality need to be performed to validate the modeling strategies under suboptimal condi-662 tions.

663

664 Another limitation is that there is no 'gold standard' of metabolite level estimation in GABA-ed-665 ited MRS to validate the results against. The performance of different algorithms or in this study 666 modeling strategy is often judged by the level of variance <sup>26</sup>. A lower variance does, of course, 667 not necessarily reflect greater modeling accuracy, but under the assumption that the homogene-668 ous study population and data acquisition contribute comparably little biological and instrumen-669 tal variance, CVs will predominantly reflect variance introduced by the modeling approach. Re-670 cently, the field is witnessing increasing efforts to generate simulated spectra with known ground 671 truth as a gold standard, although these approaches can only be successful to the extent that those 672 spectra are truly representative of in-vivo data. Further, such gold standard studies with a known 673 ground truth could be used to validate whether a correct separation of GABA and MM<sub>3co</sub> is 674 achievable by advanced LCM. This study indicates a low-to-moderate correlation between the 675 GABA and MM<sub>3co</sub> estimates, suggesting that the two components are not reliably separated. 676 However, some of the modeling strategies appeared to have a lower association between both es-677 timates and could possibly be validated further on a synthetic dataset with known GABA and 678 MM<sub>3co</sub> concentrations.

680	AIC as a measure of the goodness of fit can be used for linear and non-linear approaches if the
681	log-likelihood is obtained similarly. However, there are two potential limitations for the for the
682	application of AIC in this study. First, for linear-combination modeling of MRS data, as imple-
683	mented in Osprey, a non-linear optimization is followed by a linear optimization during each it-
684	eration. Parameters are treated equally in the calculation of the AIC regardless of whether they
685	are non-linear (e.g., a phase parameter) or linear (an amplitude parameter). Second, the AIC pe-
686	nalizes complex models, but does not measure effects of soft constraints and is likely to prefer
687	models without a soft constraint as those should have a reduced likelihood <sup>41</sup> . Here, we intro-
688	duced a rather arbitrary correction term of 0.5 per soft constraint for those models to reduce this
689	effect. Therefore, the resulting $\Delta AIC$ values in this study should be interpreted with care and
690	considered as only one among several metrics to evaluate model performance.

### 691 **Conclusion**

- 692 This study proposed and compared different modeling strategies for LCM of GABA+-edited dif-
- 693 ference spectra from a multi-site MEGA-PRESS dataset. Introducing a parametrized model for
- 694 co-edited macromolecules reduces fit residuals, while maintaining low coefficients of variation
- 695 of GABA+ estimates. A rigid baseline was found to be beneficial, while using a narrower model-
- 696 ing range did not significantly improve the modeling. The overall modeling results suggest that
- 697 GABA-edited data are reliably modeled with an adequately parametrized MM<sub>3co</sub> model, con-
- 698 strained by the non-overlapped 0.93-ppm MM resonance, in combination with a full modeling
- 699 range and sparse knot spacing. Incorporating homocarnosine into the modeling did not signifi-
- cantly improve the GABA+ estimates and did not allow for a stable separation of GABA and
- 701 HCar.

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816

## **Supplementary Material**

#### **Table of Contents**

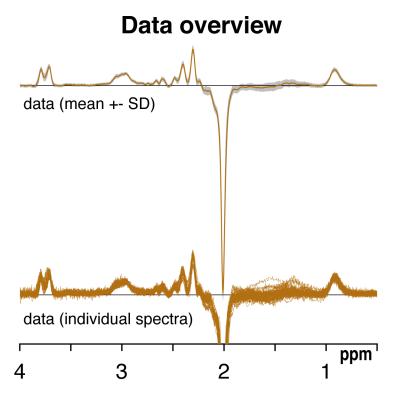
- 1. List of included subjects
- 2. Macro-molecule function definitions
- 3. Data overview
- 4. Statistics GABA and MM<sub>3co</sub> estimates
- 5. Model overview basis set with homocarnosine
- 6. Distribution of GABA+ and homocarnosine

site	subjects	Σ
P01	S01,S03,S04,S05,S08	5
P03	S02,S03,S04,S07,S08,S09,S10,S11,S12	9
P05	S01,S02,S03,S05,S06,S07,S08	7
P06	\$01,\$02,\$03,\$04,\$05,\$06,\$07,\$08,\$09	9
P07	S02,S03,S04,S09,S10,S11,S12	7
P08	S01,S02,S03,S04,S05,S06,S07,S08,S09,S10,S11,S12	12
P09	S01,S02,S03,S04,S05,S06,S07,S08,S09,S10,S11,S12	12
$\Sigma = 7$		$\Sigma = 61$

Supplementary Material 1 – List of included subjects. All datasets are available at https://www.ni-trc.org/projects/biggaba/

Name	Frequencies [ppm]	FWHM [ppm]	Amplitude		
edit-OFF spec	trum basis set	1			
MM <sub>0.94</sub>	0.915	0.14	3.00		
MM <sub>1.22</sub>	1.22	0.15	2.00		
MM <sub>1.43</sub>	1.43	0.17	2.00		
MM <sub>1.70</sub>	1.67	0.15	0.20		
MM <sub>2.05</sub>	2.08	0.15	1.33		
	2.25	0.20	0.33		
	1.95	0.15	0.33		
	3.00	0.20	0.40		
Lip09	0.89	0.14	3.00		
Lip13	1.28	0.15	2.00		
	1.28	0.089	2.00		
Lip20	2.04	0.15	1.33		
	2.25	0.15	0.67		
	2.80	0.20	0.87		
Difference spe	ctrum basis set				
MM <sub>0.94</sub>	0.915	0.14	3		
MM <sub>3co</sub>	3	14 Hz	2		

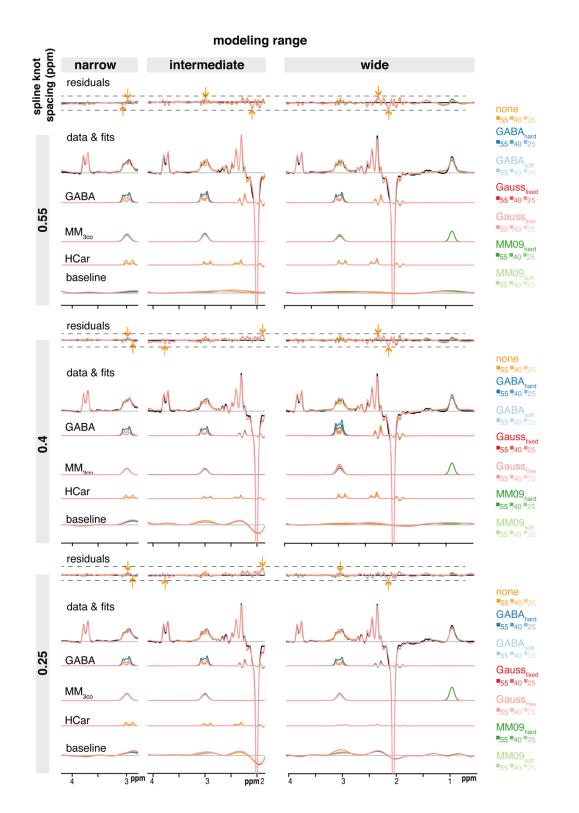
*Supplementary Material 2.* Properties of the Gaussian functions of the broad macromolecule and lipid resonances included in the basis sets, taken from section 11.7 of the LCModel manual. The amplitude values are scaled relative to the  $CH_3$  singlet of creatine with amplitude 3.



Supplementary Material 4 – Overview of the processed data including the mean  $\pm$  SD and individual data.

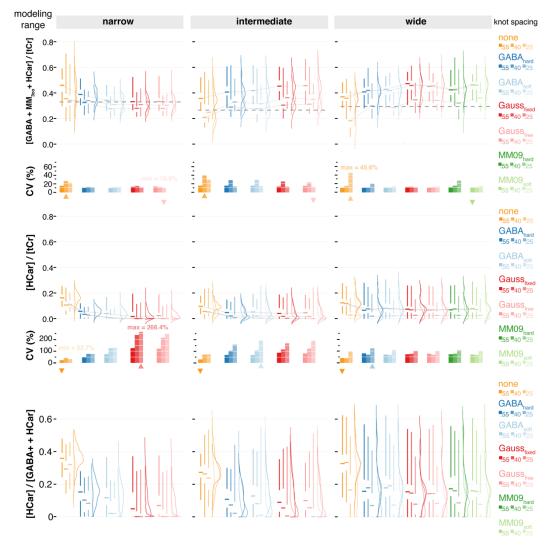
Supplementary Material 5 – GABA and  $MM_{3co}$  mean and SDs for all modeling strategies (ratios to tCr). Significant differences (p < .05) between the corresponding model and the 'none' are shaded in gray. Differences in  $MM_{3co}$  are compared between the corresponding model and the GABA<sub>soft</sub> model and significant differences are also shaded in gray.

Rx v <b>predie</b> l	ing rangeloi.org/10	1101/2021	.02323.048	817; this ve	rsion po <b>in</b> e	termedia	<b>102</b> 1. The α	opyright ho	old <b>eviole</b> his	s preprint (v
was not cer knot s	pacing (ppm)	s the autho	r/funder, wi ble under a	no has grar CC-B¥-NC	ND 4.0 Inter	r a license i ernational li	o display ti cense:	e preprint 0.35	n perpetuit	0.25
none	[GABA]	0.22	0.213	0.193	0.297	0.186	0.204	0.284	0.288	0.158
		.062	.071	.057	.048	.061	.044	.029	.034	.066
ard	[GABA]	0.164	0.146	0.156	0.207	0.148	0.165	0.194	0.207	0.15
3A <sub>h</sub>		.021	.02	.023	.024	.031	.021	.021	.023	.034
GABA <sub>hard</sub>	[MM <sub>3co</sub> ]	-	-	-	-	-	-	-	-	-
oft	[GABA]	0.108	0.102	0.108	0.207	0.13	0.148	0.203	0.204	0.115
3As		.024	.027	.025	.048	.039	.03	.026	.027	.049
GABAsoft	[MM <sub>3co</sub> ]	0.19	0.165	0.186	0.21	0.148	0.175	0.172	0.194	0.156
		.026	.025	.027	.038	.033	.024	.039	.032	.032
ed	[GABA]	0.074	0.08	0.081	0.174	0.106	0.118	0.136	0.145	0.108
SSfix		.031	.031	.035	.055	.045	.045	.031	.032	.068
Gauss <sub>fixed</sub>	[MM <sub>3co</sub> ]	0.233	0.192	0.223	0.237	0.192	0.215	0.233	0.237	0.235
0		.039	.038	.041	.048	.03	.035	.054	.045	.053
e	[GABA]	0.079	0.078	0.081	0.18	0.107	0.119	0.137	0.145	0.107
SSfre		.034	.032	.033	.054	.05	.045	.033	.031	.069
Gaussfree	[MM <sub>3co</sub> ]	0.226	0.198	0.22	0.229	0.193	0.213	0.227	0.237	0.234
0		.038	.036	.045	.044	.031	.035	.057	.042	.053
rd	[GABA]						0.195	0.189	0.106	
)9 <sub>ha</sub>		-	-	-	-		-	.034	.032	.06
MM09 <sub>hard</sub>	[MM <sub>3co</sub> ]					-		0.201	0.232	0.24
Z		-	-	-	-		-	.032	.043	.04
off	[GABA]	Δ]		_	_		-	0.152	0.154	0.098
[09.		-	-	_	-			.03	.031	.072
MM09 <sub>soft</sub>	[MM <sub>3co</sub> ]	-	-	-	-	-	-	0.216	0.232	0.24
F.								.051	.037	.045



Supplementary Material 6 – Mean modeling results and homocarnosine estimates for all modeling strategies with homocarnosine. A substantial structured residual is visible at 3 ppm if for all modeling strategies and for the narrow and intermediate modeling range the homocarnosine concentrations are significantly lower compared to omitting the co-edited MM, especially for knot spacings  $\leq 0.4$  ppm . All

three modeling ranges (columns), three spline knot spacings (rows), and  $MM_{3co}$  model (color-coded) are presented with mean residuals and fits, as well as the GABA,  $MM_{3co}$ , homocarnosine (HCar) and spline baseline models. The mean data is included in black. The arrows indicate the range of values for a specific modeling range and spline knot spacing with the color corresponding to the  $MM_{3co}$  model with minimum/maximum value.



Supplementary Material 7 - Distribution of GABA+ plus HCar and HCar estimates and the relative contribution of HCar to GABA+ plus HCar for all modeling strategies. The mean estimates of GABA+ plus HCar across the three spline knot spacings of the 'none' approach are indicated as an dashed line for each modeling range. All three modeling ranges (column) and three spline knot spacings (within each column), and  $MM_{3co}$  models (color-coded) are presented. Distributions are shown as half-violins

(smoothed distribution), box plots with median, interquartile range, and 25<sup>th</sup>/75<sup>th</sup> quartile. The median lines of the box plots are connected to visualize trends within a specific baseline knot spacing. CVs are summarized as bar plots. Minimum/maximum CVs for each spline knot spacing are indicated as downwards/upwards triangle in the color corresponding to the MM<sub>3co</sub> model. Global minimum and maximum CVs across all models are added as text.

# **Declaration of competing interests**

The authors have nothing to declare.

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## **CRediT authorship contribution statement**

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