Title: Crossing design shapes patterns of genetic variation in synthetic recombinant populations

of *Saccharomyces cerevisiae*

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Abstract

"Synthetic recombinant" populations have emerged as a useful tool for dissecting the genetics of

complex traits. They can be used to derive inbred lines for fine QTL mapping, or the

populations themselves can be sampled for experimental evolution. In latter application,

investigators generally value maximizing genetic variation in constructed populations. This is

because in evolution experiments initiated from such populations, adaptation is primarily fueled

by standing genetic variation. Despite this reality, little has been done to systematically evaluate

how different methods of constructing synthetic populations shape initial patterns of variation.

Here we seek to address this issue by comparing outcomes in synthetic recombinant

Saccharomyces cerevisiae populations created using one of two strategies: pairwise crossing of

isogenic strains or simple mixing of strains in equal proportion. We also explore the impact of

the varying the number of parental strains. We find that more genetic variation is initially present

and maintained when population construction includes a round of pairwise crossing. As perhaps

expected, we also observe that increasing the number of parental strains typically increases

genetic diversity. In summary, we suggest that when constructing populations for use in

evolution experiments, simply mixing founder strains in equal proportion may limit the adaptive

potential.

Introduction

Despite major advances in DNA sequencing technologies, deciphering the genetic basis of complex traits remains a major challenge in modern biology. Efforts to fully characterize the genetic variants underlying traits like human height have had limited success[1], and there is no clear consensus regarding what models best describe the genetic architecture of complex traits as a whole[2]. Alongside traditional QTL mapping and genome-wide association studies, the "evolve-and-resequence" (E&R) approach has emerged as powerful technique that can generate insight into the genetic basis of complex phenotypes, at least in model organisms[3-5]. This approach involves subjecting experimental populations to conditions that target a specific trait, and simply monitoring the genotypic and phenotypic changes that occur over generations. As these experiments typically feature replicate populations evolving in parallel, researchers can use the data they generate to make powerful statistical associations between genomic variants and traits of interest.

In order to most appropriately model the evolution of complex traits in humans, E&R studies should employ sexually-reproducing eukaryotic organisms[4,6]. However, despite the promise of the E&R approach for dissecting complex traits, there are still unanswered questions regarding what constitutes optimal experimental design. To that end, simulations have assessed the effects of particular experimental parameters on the outcomes of E&R experiments designed to model the evolution of complex traits[7-9]. These simulations support intuitive practices such as maximizing replication and experimental duration, and they recommend maximizing levels of starting genetic variation in experimental populations (i.e. by including more founder haplotypes). Our work here aims to determine how to best achieve this recommendation in experiments with outcrossing *Saccharomyces cerevisiae*.

Most E&R experiments with sexually-reproducing model organisms use *Drosophila*[10-19]. However, outcrossing *S. cerevisiae* populations have emerged as an attractive alternative due to shorter generation times, ease of replication, the ability to freeze and "resurrect" samples taken from different timepoints, and comparable genetic resources[20-21]. As such, we have chosen to focus our efforts on determining how to best construct populations of outcrossing *S. cerevisiae* for use in E&R studies with respect to maximizing standing genetic variation and haplotype diversity.

As *S. cerevisiae* populations isolated from natural or industrial settings typically lack

genetic heterogeneity, generating laboratory populations with standing genetic variation requires

crossing distinct isogenic strains. These "synthetic recombinant" or multiparent populations have

traditionally been used for QTL mapping[22-23], but they can also serve as the ancestral population

in evolution experiments[20-21]. Notably, synthetic recombinant populations have also been used

for E&R in other model organisms such as *D. melanogaster* (e.g the *Drosophila* Synthetic

Population Resource *cf.* King et al.[24]) and *Caenorhabditis elegans* (e.g. the *C. elegans*

Multiparent Experimental Evolution panel, *cf.* Teotónio et al.[25]). In this context, having clearly

defined founder genotypes enables the estimation of haplotype frequencies in evolved

populations from "Pool-SEQ" data[20,23,26]. And this ability to detect haplotypes responding to

selection, as opposed to individual markers, allows for better characterization of linkage and

genetic hitchhiking in evolved populations[27] than is possible in studies where founder genotypes

are unknown (e.g. Graves et al.[17]). All of this said, to our knowledge little has been done to

explicitly assess how to best generate a recombinant population for downstream use in an

evolution experiment. While simulations predict that maximizing the number of founding

haplotypes and levels of genetic variation will improve experimental outcomes, there are

practical and biological limits to the number of haplotypes that can be combined into a single

recombinant background and effectively maintained. One of our goals here is to evaluate the

costs and benefits of increasing the number of founding haplotypes in a synthetic recombinant

population for E&R.

Another of our goals is to evaluate how different methods of crossing founder strains

impact levels of genetic variation in synthetic recombinant populations. There are two general

approaches to this task across model organisms. In the more common approach, individuals

sampled from various lines are simply mixed in equal proportions and allowed to mate freely

prior to the start of the experiment (e.g. Bhargi et al.[19]). With this strategy, the genetic makeup of

the resulting population will depend on mating efficiency between individuals from different

founding strains, reproductive output, and chance. However, work with *Drosophila* has shown

there is no significant allele frequency differentiation between independently constructed

synthetic populations using the same sets of isofemale lines[28]. Such a high level of

reproducibility could indicate that mating efficiency and differences in reproductive output

among founding lines are negligible, or it could indicate that these differences exist and shape

the genetic makeup of recombinant populations in a parallel manner. So, while this approach is

relatively simple and practical to implement, it may be difficult to maximize the amount of

genetic variation and founding haplotype representation in synthetic populations when there are

drastic differences in reproductive output and/or mating efficiencies among founding strains. In

other words, if there is substantial variation in mating efficiencies among founding strains, this

could lead to the over-representation of certain haplotypes belonging to strains that mate most

efficiently. By contrast, the second approach for constructing synthetic populations is more

complex and involves some level of pairwise crossing between founders[22-25,29]. While this

approach is significantly more labor-intensive and time-consuming, it perhaps has advantages in

terms of producing populations that have more equal founder haplotype representation and,

consequently, higher levels of genetic variation.

Here we assess which of these methods produces populations most well-suited for E&R

studies in outcrossing *S. cerevisiae*. We have constructed sets of synthetic populations using both

approaches and the same founder populations. In addition to crossing approach, we also evaluate

how the number of founder populations used impacts the genetic makeup of the resulting

population. Specifically, we created sets of populations using 4, 8 and 12 isogenic strains and

both construction methods. Our objective is to characterize patterns of genetic variation and

haplotype diversity across these populations to provide recommendations on how to best

construct synthetic recombinant populations in outcrossing yeast for the specific application of

using them in an E&R study.

Materials and Methods

Population creation

All yeast strains used in this study originated from heterothallic, haploid, barcoded derivatives of

the SGRP yeast strain collection[30]. A subset of 12 of these haploid strains, originally isolated

from distinct geographic locations worldwide, were used to create the synthetic populations we

describe here (See Supplementary Fig. S1 for phylogeny). These 12 strains were all genetically

modified as described in detail by Linder et al.[23] to enable easy crossing and diploid recovery;

these modified strains were kindly provided by Anthony D. Long (UC Irvine) in 2017. Briefly,

these strains were modified so that *MAT*a and *MAT*α strains both contain *ho* deletions to prevent

mating-type switching, but each contain a different drug-resistance marker in a pseudogene

(YCR043C) tightly linked to the mating type locus (*MAT*a, *ho*Δ, ura3::KANMX-barcode,

ycr043C::NatMX and *MAT*α, *ho*Δ, ura3::KANMX-barcode, *ycr043C*::HygMX). These

genotypes enable haploids of each mating type to be recovered using media supplemented with

either hygromycin B or nourseothricin sulfate, and they enable newly mated a/α diploids to be

recovered in media supplemented with both drugs.

Two different crossing strategies were used to create genetically diverse populations

using 4, 8, and 12 strains as founders (Table 1). "K-type" populations (named for what we call

the "kitchen sink method", or the practice of pooling isogenic strains together without careful

focus on representation) were created by simply pooling equal volumes of saturated overnight

cultures of the respective haploid founders and allowing those cells to mate. To accomplish this,

single colonies of each haploid founder strain were sampled and grown overnight (at 30ºC / 200

rpm) in 1 mL of rich media consisting of 1% yeast extract, 2% peptone, and 2% dextrose (YPD).

After ~24 hours, cultures were washed in fresh YPD media, pooled with the relevant other

overnight cultures in a 50 mL conical tube, vortexed, and now-mixed cultures were allowed to

settle and mate for 90 minutes at room temperature. These cultures were then transferred in

200uL aliquots to agar plates containing 100mg/mL nourseothricin sulfate ("NTC"), 300mg/mL

hygromycin B ("hyg") as well as 200mg/mL G418; this strategy ensured that that only newly

mated diploids would grow. The resulting lawns of mated diploid cells were collected by

scraping with a sterile glass slide into a fresh YPD media. This "cell bank" was archived as a

frozen stock at -80ºC for each of the K-type populations made with 4, 8, and 12 haploid founders

(4K, 8K, and 12K respectively).

"S-type" populations (named S due to the manipulation of spores to achieve better

representation of founder genotypes) were built to mimic more careful crossing designs in which

founding lines are crossed in pairs and/or a round-robin. To accomplish this, each haploid strain

was paired with a different strain of the opposite mating type and mated as described above.

Successful diploid colonies were isolated, grown overnight in 1 mL of YPD, washed and

resuspended in sporulation media (1mL 1% potassium acetate), then cultured for 72 hours at

30ºC / 200 rpm. Tetrads from these diploid cells were dissected using the Spore Play dissecting

microscope (Singer). The four meiotic products (spores) were then collected, allowed to grow for

2 days, and replica plated to plates containing either NTC or hyg to verify the proper segregation

of drug resistance markers and thus mating types. Once validated, the meiotic products were

grown overnight in 1 mL of YPD. Overnight cultures were standardized to the same optical

density (OD_{600}) before being pooled in equal volumes in a 50 mL conical tube. Populations were

given 90 minutes to mate at room temperature, then were plated on agar plates supplemented

with both NTC/hyg/G418 so that only newly mated diploid cells could grow. The resulting lawns

of mated diploid cells were collected by scraping with a sterile glass slide into fresh YPD media.

This "cell bank" was archived as a frozen stock at -80ºC for each of the S-type populations made

with 4, 8 and 12 founders (S4, S8, and S12 respectively; see Supplementary Fig. S2 for crossing

schematics).

Population maintenance and 12 cycles of outcrossing

After the creation of the 3 "K-type" and 3 "S-type" synthetic recombinant populations described

above, all populations were taken through 12 consecutive cycles of intentional outcrossing; in

other words, the populations were subjected in parallel to a series of steps that induced regular

sporulation, spore isolation, and mating. Detailed methods are described by Burke et al.[31].

Briefly, newly mated diploid cells from the last step of the population creation protocol (i.e. the

"cell banks") were grown overnight in 10 mL YPD media. These cultures were washed and

resuspended in sporulation media, and incubated with shaking for 72 hours (30°C/200 rpm).

Cells then underwent a number of methods to disrupt asci and isolate/randomize spores,

including incubation with Y-PER yeast protein extraction reagent (Thermo) to kill vegetative

diploids, digestion with 1% zymolyase (Zymo Research) to weaken ascus walls, and well as

high-speed shaking with 0.5 mm silica beads (BioSpec) to mechanically agitate the asci. After

these steps spores were resuspended in 10 mL YPD and allowed to settle and mate for 90

minutes at room temperature. Diploids were recovered as described above; cultures were

transferred to 10 individual YPD agar plates supplemented with NTC/hyg/G418 in 200 μL

aliquots and incubated at 30°C for 48 hours. The resulting lawns of mated diploid cells were

collected by scraping with a sterile glass slide into fresh YPD media. This "cell bank" was again

sampled for archiving at −80°C, and used to initiate an overnight culture for the next outcrossing

cycle. We estimate that 15–20 asexual generations occurred between every outcrossing cycle of

the experiment. Based on counting colonies from dilutions of cultures plated at various

benchmarks during the protocol, we expect that 7.5–11 generations elapse during the overnight

culture in YPD media, and another 7.5-11 generations elapse during the period of diploid

recovery on agar plates. Thus, a minimum of 15*12 = 180 cell doublings likely took place over

the 12 cycles of outcrossing in each synthetic recombinant population.

Genome sequencing and SNP identification

Each of the founding SGRP strains were plated as haploids on plates containing either

NTC or hygromycin to verify the presence of the appropriate drug resistance markers. Individual

colonies were isolated from each strain for verification of identifying barcodes at the URA3

locus using Sanger sequencing (Cubillos et al.[30] provide barcode and primer sequences). Once

validated, single colonies were again isolated for whole-genome sequencing. One milliliter of

YPD media was inoculated with single colonies, grown overnight, and the resulting culture was

harvested for gDNA extracted using the Qiagen Puregene Yeast/Bact. Kit. Purified gDNA from

each haploid founder was then prepared for sequencing using the Nextera DNA Sample

Preparation Kit (Illumina). Some minor modifications to the manufacturer's protocol were

implemented to optimize throughput (*cf.* Baym et al.[32]). Genomic DNA libraries were prepared

for experimental recombinant populations in the same way and all samples were pooled to

generate a single multiplexed library. Because the recombinant (i.e. genetically variable)

populations require significantly higher coverage to accurately estimate allele frequencies at

variable sites, these populations were added to the library at 10X the molarity of each haploid

founder sample.   The multiplexed library was run on two SE150 lanes on the HiSeq3000 at the

OSU Center for Genomic Research and Biocomputing (CGRB). Data for the 4S populations

were previously published in Burke et al.[31] and raw fastq files are available through NCBI SRA

(BioProject ID: PRJNA678990). Raw fastqs for all other populations are also available through

NCBI SRA (BioPorject ID: PRJNA732717).

We have developed a processing pipeline for estimating allele frequencies in each

population directly from our pooled sequence data. We used GATK v4.0[33-34] to align raw data

to the *S. cerevisiae* S288C reference genome (R64-2-1) and create a single VCF file for all

variants identified across all replicate populations, using standard best practices workflows and

default filtering options. We also downloaded and indexed a reference VCF file with SNP

information for a number of distinct natural isolates of S. cerevisiae[35]; this is a recommended

best practice for calibrating base quality with GATK v4.0. This VCF file was converted into a

SNP frequency table by extracting the AD (allele depth) and DP (unfiltered depth) fields for all

SNPs exceeding; the former field was used as the minor allele count and the latter was used as

the total coverage. The python scripts used to generate and convert VCF files to tables suitable

for downstream analyses in R (www.R-project.org) are available through GitHub (see Data

Availability statement for details on where to find all major scripts used to process and analyze

data).

Our general SNP analysis strategy involved portioning the data to create three separate

SNP tables with each table corresponding to a set of founders and populations derived from them

(e.g. a table containing with the S4 and K4 populations and their founders). In each table, we

chose to only include sites with a minimum coverage >20X in the in synthetic populations as a

quality control measure. Next, sites were filtered based on data from the founder populations.

We excluded all sites that appeared to be polymorphic within a given founder, and sites where a

single nucleotide was fixed across all founders. This was done as such occurrences could

indicate sequencing error given that our founder strains are haploid and isogenic, and a site is

unlikely to be polymorphic in our synthetic populations if it is fixed across all of the founders.

After these filters were applied, we retained a collection of high-quality SNPs in each population

to subject to further analysis. The total number of SNPs identified in each population is given in

Table 1, and the average genome-wide coverage (i.e. depth of sequence coverage) of each

population is given in Supplementary Table S1. All populations had mean coverages >50X with

all but one population (S4_0) having greater than 70X mean coverage (Supplementary Table S1).

SNP Variation

Our main objective was to evaluate how crossing strategy and the number of founder

strains impacts patterns of SNP variation in synthetic recombinant populations. To that end, we

assessed SNP-level variation in our recombinant populations using several metrics. First, we

simply determined the number of polymorphic sites segregating in each population immediately

following their creation (cycle 0), and monitored how that number changed over time (i.e. at

subsequent cycles 6 and 12). This approach of tracking the total number of SNPs should reveal

whether particular crossing strategies – i.e. using a certain number of founders, and/or one of the

two crossing strategies – consistently produced populations with more SNPs, and whether these

SNPs were maintained or lost over 12 outcrossing cycles. We also generated UpSet plots using

the UpsetR package[36] in R to visualize patterns of overlap between the total number of SNPs

possible for a given combination of founder strains, and the SNPs we observed in our actual

populations. We define the total number of possible SNPs as all loci for which at least one of the

founding strains used has an allele different from the others; this number will therefore differ

among the 4-way, 8-way, and 12-way crosses.

In addition to SNP number, we also characterized the distribution of SNP frequencies in

each population, which allows more direct comparisons between populations with different

numbers of founders but the same crossing strategy, or the same number of founders but

different crossing strategy. To do this, we focused on two metrics: the site frequency spectrum

(SFS), and genome-wide heterozygosity. Here heterozygosity refers to *2pq*, the product of the

reference (i.e. the S288C allele) and alternate allele frequency at a given site multiplied by 2. In

addition to looking at differences in mean genome-wide heterozygosity between populations, we

also generated sliding window plots showing patterns of variation across each chromosome. To

define windows, we used the GenWin package[37] in R with the following parameters:

"smoothness = 6000, method = 3." GenWin itself uses a smoothing spline technique to define

windows based on breakpoints in the data. While we ultimately used "smoothness = 6000", we

did initially try a range of values. Our final selection was made based on what most clearly

represented trends in the data. For interested parties, plots with more or less smoothness can be

easily generated using data and scripts we have made available through Dryad and Github (See

"Data availability" statement for details).

It is worth noting that our ability to assess levels of genetic variation across our synthetic

populations is limited by the fact we have only collected Pool-SEQ data. Given the complex life-

history of the yeast populations in this experiment, which involves periods of 7-15 generations of

asexual growth punctuated by discrete outcrossing events, it is not possible for the genotypes of

all individuals in the population to be shuffled by recombination every generation. Therefore,

asexual lineages will evolve by clonal interference for relatively short periods of time, until the

next outcrossing event decouples individual adaptive alleles from a particular genetic

background. It is possible that during these periods of clonal interference, particular diploid

lineages will dominate, and if these lineages are heterozygous at a given locus, that will lead to

an artificially elevated heterozygosity value at that SNP. But we do not believe this is a major

complication in the understanding of nucleotide diversity in our experiment for several reasons;

namely, that our outcrossing protocol includes several measures that maximize outcrossing

efficiency (i.e. any asexual diploids that fail to sporulate are killed), the generally high rate of

recombination in yeast, and that the periods of asexual growth are short and unlikely to exceed

~20 cell doublings.

SNP frequency changes over 12 cycles of outcrossing

Although statistical power in this power is limited due to a lack of replication, we

attempted to identify regions of the genome showing obvious responses to selection in each

synthetic population. Specifically, we used Pearson's χ^2 test as implemented in the poolSeq[38]

package in R to compare SNP frequencies between cycle 0 and cycle 12 in each synthetic

population. We chose this particular test based on a benchmarking effort that suggests it is well-

suited to detecting selection in E&R experiments lacking replication[9]. After results were

generated for each synthetic population, log transformed p-values were plotted for each

chromosome across sliding windows. The GenWin package in R (parameters: "smoothness =

2000, method = 3") was once again used to define windows based on breakpoints in the data.

Plots were then examined to see if there were any genomic regions showing signs of selection

based on significance levels relative to the background.

Haplotype representation

In addition to describing SNP diversity, we also describe the diversity of founder

haplotypes represented in our synthetic populations. We were particularly interested in

evaluating whether the S-type strategy might produce populations in which founder haplotypes

are more evenly represented (at intermediate frequency) compared to the K-strategy. Given the

stochasticity inherent in the K-type strategy, we thought it probable that founder genotypes with

especially high sporulation and/or mating efficiencies (i.e. those with the highest reproductive

outputs in the outcrossing context) might come to dominate. To this end, we estimated haplotype

frequencies in all experimental populations after 12 cycles of outcrossing to determine how

evenly haplotypes were represented. We used the sliding-window haplotype caller described in

Linder et al. (2020) and software the authors have made available as a community resource:

https://github.com/tdlong/yeast_SNP-HAP. Our results were generated by using the

haplotyper.limSolve.code.R script and estimates were made across 30KB windows with a 1KB

stepsize. This particular haplotype caller was developed specifically to estimate haplotype

frequencies in multiparent populations when founder haplotypes are known. A full description of

the algorithm being used, and results of empirical validation can be found in Linder et al. (2020).

To quantify haplotype variation in each population, we calculated haplotype diversity (H) using

the following formula: (1) $H = 1 - \sum_{i=1}^{n} x_i^2$ where x_i is the frequency of the ith haplotype of the

n founders used to create given population[39]. Though it is worth noting that maximum expected

H will vary depending on the number of founders used to create a given population as (2)

$H_{max} = 1 - \left(\frac{1}{n}^2 * n\right).$

Results

SNP Variation

To assess how crossing strategy and number of founder strains impacts SNP variation, we

began by simply counting the number of SNPs present in each of our synthetic populations upon

their creation and how that changes over several cycles of recombination (Table 2). As expected,

the total number of possible SNPs that can possibly contribute to segregating genetic variation

increases with the number of founders used. Looking at our actual populations at cycle 0 and

focusing on those created using the same crossing strategy, we also generally find the observed

number of SNPs in each population to increase with the number of founders used. The only

exception to this pattern is the K12 population where we see dramatic losses in polymorphic sites

relative to all other populations. We also typically observe reductions in the number of SNPs in

all experimental populations over time. However, we do note higher "stability" (i.e. smaller

losses) in the 8-founder populations, and in population S8, we actually observe higher SNP

counts in cycle 12 than in cycle 6. This discrepancy is most likely due to a relatively small

number of sites at very low frequency in cycle 6 (i.e. too low for our SNP calling to pick up),

increasing to detectable levels by cycle 12. Nevertheless, the overall trend still appears to be

reductions in the number of polymorphic sites over time. Our data also suggest these reductions

are typically more pronounced in populations created using the K-type strategy, and that

populations created using the S-type strategy have more polymorphic sites than those created

with the K-type strategy.

We also examined patterns of overlap between our synthetic populations and what is

possible given their respective founders using UpSet plots (Supplementary Fig. S3). UpSet plots

are useful because they allow visualization of observations unique to groups; in other words,

they highlight observations that are included in a specific group and excluded from all others.

As an illustrative example, the fourth vertical bar in Supplementary Fig. S3A-C represents all of

the SNPs that could possibly segregate in a population but do not, and this reveals that a greater

proportion of possible SNPs are lost in synthetic populations with 12 founders compared to those

with 4 or 8 (this result is also noted in Table 2). We were interested in using this visualization

approach to evaluate whether there might be particular SNP groups that are hallmarks of the S-

type or K-type strategy. While many more of the possible SNPs appear in S-type populations

relative to the K-type populations for a given set of founders, the UpSet plots indicate that very

few SNPS are unique to a particular crossing strategy. We interpret this result as evidence that

neither crossing strategy favors specific alleles, and this is true regardless of the number of

founder haplotypes used.

Next, we looked at how the SFS varied across different populations and how they

changed over time. As shown in Fig. 1, the S-type populations tend to exhibit less skewed

frequency distributions compared to the K-type population, and they are also more stable over

time. This is particularly evident in the 12-founder populations. In these we see that by the final

cycle of recombination in the K12 populations, there is an extreme skew in the SFS with most

sites exhibiting very high or low SNP frequencies. This is also consistent with the results shown

in Table 2 where we see much higher levels of fixation over time in K12 than in any other

population. This contrast is present but far less extreme in populations created with 4 or 8

founder strains. In general, at cycle 0 all populations deviate substantially from the SFS that we

would expect if the respective founders combined in perfectly equal proportions (Supplementary

Fig. S4). These deviations suggest that drift and/or selection are impacting the genetic makeup of

synthetic populations from the moment they are established.

Finally, we assessed the effects of crossing strategy and number of founders on genome-

wide heterozygosity. The clearest pattern we observed is that after 12 cycles of recombination, S-

type populations exhibited greater overall levels of heterozygosity across the genome compared

to their K-type counterparts (Table 3). After 12 cycles of outcrossing, we also see large stretches

along chromosomes where heterozygosity is near zero in K4 and K12 which is not the case in

their S-type counterparts (Fig. 2). Other patterns in the data are less clear, however; for instance,

we do not find stretches of the genome where variation has been expunged in the K8 population,

compared to the S8 counterpart (Fig 2C-D). Looking at the S-type populations alone, we find

that by cycle 12 the S4 populations have experienced a greater loss of heterozygosity than the S8

and S12 populations (Table 3). However, differences between S8 and S12 populations are far

less severe with the former having slightly higher mean heterozygosity. As such, there is no clear

positive relationship between heterozygosity and number of founders. This pattern largely breaks

down in the K populations. The K4 population experiences a greater loss of heterozygosity than

K8 by cycle 12, but then K12 experiences the most severe declines in heterozygosity by cycle 12

as expected given the other measures of SNP variation we have looked at thus far.

SNP frequency changes over 12 cycles of outcrossing

We used Pearson's χ^2 test to compare SNP frequencies between cycle 0 and cycle 12 of

each individual population to see if there were any regions of the genome showing obvious

responses to selection imposed by our outcrossing maintenance protocol. Looking across results

for each population, we do not find any genomic regions that show consistent responses to

selection (Fig. 3). However, we do find instances in individual populations where there are clear

peaks in significance relative to the rest of the genome (e.g. Fig. 3A and C-E). Widespread

fixation in K4 and K12 make it difficult to identify such peaks (Fig. 3B and F).

We also compared our results to prior work which identified regions of the genome and

genes associated with selection for frequent outcrossing, Cubillos et al.[22] and Burke et al.[20]. Our

rationale for doing this is that as our current study features no within-treatment replication, it is

weak to implicate signatures of adaptation by itself; but, obvious overlap with other studies

would provide indirect evidence for adaptation. Similarly, if a particular population (or

population type) implicated more candidate regions from the literature than the others, this could

provide evidence that a particular crossing strategy might lead to the best outcomes in an E&R

study, with respect to identifying regions of interest. We do find instances where peaks in

significance align with previously identified candidate regions (e.g. Fig. 3A, C-D), but there is

no clear pattern where peaks consistently line up with a given candidate region across multiple

populations. There are also many more instances where we do not find peaks that align with the

previously identified candidate regions. So generally speaking, while this comparative approach

provides opportunities for describing particular regions, perhaps those of *a priori* interest, we

cannot conclude that observed changes in SNP frequencies in any population are likely

signatures of selection for forced outcrossing. On the other hand, we also observe that the 8-

founder populations generally implicate more candidate genes from the literature, compared to

any other population (Fig. 3C-D). This suggests that perhaps using 8 founding haplotypes in a

population for an E&R project leads to better outcomes, in terms of identifying candidate

regions, than using 4 or 12. Notably, the 8K population and 8S population implicated similar

numbers of peaks, so it is not clear that one crossing strategy is better than the other in this

respect.

Haplotype Representation

Using sequence data from cycle 12 and our founder strains, we estimated haplotype

frequencies across the genome in all populations to assess how crossing strategy and number of

founders impact haplotype representation (Note: estimates for K12 are made using far fewer

SNPs due to the extreme levels of fixation seen in this population). Due to recombination, we

find that individual haplotype representation fluctuates across the genome as haplotype blocks

are broken up (Supplementary Figs. S5-S10). However, when looking at mean genome-wide

haplotype frequency estimates for the 4- and 12- founder populations, we find that the S-type

populations have haplotype frequencies that are more evenly-distributed compared to the K-type

populations (Table 4). This is also reflected in levels of haplotype diversity for these populations,

where we find that the S-type populations typically have greater levels of diversity across the

genome compared to the K-type populations (Fig. 4). Mean haplotype diversity is typically

greater in the S-type populations, closer to maximum excepted values, and has smaller variance

across the genome (Supplementary Table S2). These differences can largely be attributed to the

almost complete loss of particular haplotypes in the K-type populations versus their S-type

counterparts. For instance, in 4S we observe nearly equal representation of the founding

haplotypes (Table 4; Supplementary Fig. S5), but in K4 we observe that two of the founding

haplotypes dominate and the other two are almost entirely lost (Table 4; Supplementary Fig. S6).

We observe similar patterns in the comparison between populations S12 (Supplementary Fig.

S9) and K12 (Supplementary Fig. S10), though founder haplotypes in S12 are less evenly

represented than they are in S4 (Table 4). Notably, the S8 and K8 populations appear more

similar in measures of haplotype diversity (Fig. 4; Supplementary Table S2). But as in K4 and

K12, we do find that two haplotypes in in K8, DBVPG6044 and YPS128, are almost entirely lost

(Supplementary Fig. S8; Table 4). This is not so for S8 (Supplementary Fig. S7; Table 4); as

such, across our study as a whole, haplotype representation does appear to benefit from an S-type

crossing strategy.

Next, we evaluated whether any of our observed patterns of haplotype representation

could be explained by selection. To do this we compared our findings to the results of Burke et

al.[20]. In this study, twelve replicate populations were created using the same four founding

strains as the S4 and K4 populations, and were subjected to a similar outcrossing regime for 18

cycles (Note: the synthetic population the replicates were derived from was created using a S-

type strategy and underwent 12 cycles of recombination before replicates were generated).

Based on their analysis of genomic data taken from each population across three timepoints,

Burke et al. (2014) identified five major candidate regions associated with adaptation to forced

outcrossing. Four of these regions (regions A, B, C, and E in the paper) were also found to be

clearly defined by a given haplotype at the end of the experiment (See Supplementary Figure S4

in Burke et al.[20]). As the founders used to create the synthetic populations of Burke et al. (2014)

are present in all of the populations featured in this study, we can reasonably interpret changes in

haplotype frequency in these four candidate regions in our populations as evidence of selection.

In other words, as our populations here are unreplicated, and we cannot use strong-inference

approaches with them directly, we sought parallels between haplotype frequency differences

observed here and those described as adaptive in a very similar experiment.

As shown in Table 5, we find some evidence that the haplotypes driving adaptation in the

Burke et al.[20] study also show substantial increases in frequency in our populations. In candidate

regions A (C9: 950,000-975,000) and E (C13:445,000-460,000), we find clear evidence of the

Y12 haplotype frequency increasing across all of our populations as it did in the Burke et al.

(2014) study. Given how dramatic some of these changes are from cycle 0 to cycle 12, we think

it reasonable to speculate that these haplotypes might also be driving adaptation here. However,

this pattern in not corroborated for candidate regions B (C9: 65,000-80,000) and C

(C11:615,000-620,000). Here the haplotypes driving adaptation in the Burke et al.[20] study

actually decrease in frequency in most instances.

Discussion

Careful crossing of founder strains increases SNP-level variation

Here we primarily sought to assess how crossing strategy - pairwise crossing of founder

strains versus mixing populations in equal proportion - impacted levels of SNP and haplotype

variation. We considered both the total number of polymorphic sites and levels of heterozygosity

at those sites in synthetic populations created using these two approaches. We consistently found

that populations generated by with a round of careful crossing that account for differences in

mating efficiencies have more polymorphic sites (Table 2) and less variation is lost at those sites

over time when compared to populations created by simple mixing founders in equal proportion

(Fig. 2 and Table 3). We also found that increasing the number of founder strains used to create a

given synthetic population also generally resulted in higher levels of SNP variation. However,

the total percentage of potential polymorphic sites lost was typically higher when more

populations were used (Table 2). And combining the K-type crossing strategy with a large

number of founders resulted in dramatic skews in the site frequency spectrum and losses in SNP

variation (Fig. 1). Lastly, we find no evidence that specific sets of variants are favored in one

crossing strategy versus another when the same founders are used (Supplementary Fig S3).

Instead, we suggest that differences in outcomes between crossing strategies are most likely due

to strain-specific differences in mating efficiencies and reproductive output (i.e. when

populations are simply mixed together, the most compatible strains dominate).

511   Overall, these findings have led us to two general recommendations. First, if one

512   endeavors to produce populations with the highest possible levels of total SNP variation, many

513   founders and a crossing strategy that involves at least one round of pairwise crossing should be

514   considered. However, if one's goal is to preserve as much of the variation in founding genotypes

515   as possible, a crossing strategy with fewer founders might be more desirable.

517   *Careful crossing of founder strains results in more balanced haplotype representation*

518   Haplotype frequency estimates for our synthetic populations suggest that a strategy

involving pairwise crosses results in better representation of founder strains (Fig. 4,

Supplementary Figs. S5-S10, and Table 4). It is clear than when haploid strains are simply

pooled, there is potential for subsets of the founder strains to dominate and skew haplotype

representation in the resulting populations. As such, we recommend a careful (i.e. pairwise)

crossing strategy when seeking to maximize founder haplotype representation. In addition, using

fewer founder haplotypes also generally results in a more even representation among them,

though we would not necessarily recommend against using more founders unless it is crucial to

achieve an even haplotype representation. In fact, we would argue that there are empirical

benefits to a more varied distribution of haplotype frequencies segregating within a synthetic

population. Specifically, in an E&R context, such a population creates opportunities to observe

selection on both rare and common haplotypes, and the relative contributions each to the

evolution of quantitative traits remains an unresolved question (e.g. Bloom et al.[40]).

Evidence for adaptation to outcrossing

While we interpret the differences between the different crossing strategies (i.e. between

all S-type and K-type populations) as being primarily driven by initial differences in sporulating

and mating efficiencies between strains and subsequent genetic drift, selection may also play a

role. For instance, we do find evidence that two specific regions of the genome exhibit similar

dynamics in haplotype frequencies, compared to similar prior work; Burke et al.[20] previously

implicated these regions as potentially driving adaptation for frequent outcrossing. We also

compared our results to those of Linder et al.[23] which features synthetic populations constructed

using the same founder strains present in this study. However, here we did not find it was the

case that haplotypes most common in their populations on average are also the most common in

our populations. For instance, Y12 is a common haplotype across all of our populations (Table 4)

with mean genome-wide frequencies ranging from 0.2 to ~0.5, but it does not exceed a frequency

of 0.06 in either of their synthetic populations (*cf.* Table 3 of Linder et al.20). Similarly, YPS128

which has a mean frequency of 0.41 in one of the their populations appears at frequencies below

0.11 in all of our populations except S4. That being said, it is worth noting that while there is

overlap in the strains used between these studies, maintenance protocols are different, and their

populations include several strains absent in our study. So, we can only say that no common

founders appear to universally favored when selection for frequent outcrossing is imposed.

Our analysis comparing initial SNP frequencies to those observed after 12 rounds of

outcrossing in each population also yields possible evidence for adaptation, though our

interpretation here is cautious. We do observe regions that produce peaks in significance in some

populations that overlap regions described by other studies to underlie traits related to

outcrossing (e.g. Fig. 3D; peak in C16 in K8). However, peaks are rarely recapitulated across

two or more populations (Fig. 3) and in some populations we observe no clearly defined peaks at

all (e.g. Fig. 3B; population K4). As such, our results are at best suggestive. But, we find it

notable that a crossing strategy using 8 founding haplotypes leads to the most overlap with other

candidate regions identified in the literature. While this experiment has limited ability to

associate particular genomic regions and phenotypes related to outcrossing, this observation

leads us to speculate that using an intermediate number of founding haplotypes (e.g. 8) may lead

to an increased ability to localize candidate regions in an E&R experiment.

Given that any adaptation we did observe can only be ascribed to the outcrossing process

and associated handling protocols, we conclude that there is likely a great deal of value in

allowing newly-established synthetic populations to experience several cycles of outcrossing –

this can also be thought of as laboratory domestication – before any sort of new selective

pressure is imposed. To be explicit, if some other selection pressure was intentionally imposed

on our populations immediately following cycle 0, it would be very difficult to dissect the

specific genetic changes that might occur due to that pressure, other laboratory handling steps, or

general selection for reproduction via outcrossing.

Conclusions

The results of simulated E&R studies in which populations are sexually reproducing and

adaptation is driven by standing genetic variation have led to general experimental design

recommendations that maximize genetic variation in the ancestral population, specifically by

increasing the number of starting haplotypes[7-8]. Here, we provide empirical results that also these

recommendations. Across the metrics we examined, we consistently find that a crossing strategy

involving careful pairwise crosses leads to populations with more standing genetic variation than

those produced by simply mixing founder genotypes in equal proportion. As such, using this sort

of strategy would be our primary recommendation for researchers aiming to establish

recombinant populations from clonal strains or isogenic lines for use in E&R studies. Using

more founders also results in greater total levels of genetic variation but comes at the cost of

maximizing representation of all possible alleles from a given set of founders. So, the number of

founders to used should be chosen based upon the specific goals of an E&R study, and the

questions it hopes to test. Finally, our results should be placed in the context of yeast biology.

Meaning, given the high degree of genetic differentiation between our yeast strains and the

variation among them for mating and sporulation efficiencies, the patterns we observe are likely

more extreme than what might be expected when creating a *Drosophila* synthetic population

using either the K-type or S-type approach. However, it is certainly conceivable that mating

preferences and genetic incompatibilities among isogenic *Drosophila* lines could impact levels of genetic variation and haplotype representation when they are crossed. Our findings therefore perhaps warrant consideration even when creating synthetic populations in non-yeast systems.

Data Availability

The raw sequence files generated over the course of this project are available through NCBI SRA (BioProject ID: PRJNA732717). Core data files (tables with SNP and haplotype frequencies, results of statistical analysis, etc.) are available through Dryad (XXXXXX). Script used to process raw data and perform SNP calling are available through Github (https://github.com/mollyburke/Burke-Lab-SNP-calling-pipeline), as are the core scripts necessary to reproduce our results (https://github.com/mphillips67/Build-Paper).

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Author Contributions

M.K.B. and I.C.K. conceived of the project. I.C.K. did lab work necessary to generate the data sets featured in this study. M.A.P. and M.K.B. formulated analytic strategy, and M.A.P. performed all major analyses. M.A.P. and M.K.B. wrote the manuscript.

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Figures

Figure 1. Site frequency spectra (SFS) for all populations at each timepoint samples were taken

for DNA sequencing; immediately after construction, or "cycle 0" (left panels), after 6 cycles of

outcrossing (middle panels), and after 12 cycles of outcrossing (right panels).

Figure 2. Sliding window heterozygosity for all populations featured in this study after 12 cycles

of outcrossing.

Figure 3. Results from Pearson's χ^2 test comparing SNP frequencies initially (cycle 0), and after

12 cycles of outcrossing for all populations featured in this study. In each panel, red and green

boxes indicate regions of genome and genes associated with selection for frequent outcrossing

from Cubillos et al. (2013) and Burke et al. (2014), respectively

755 Figure 4. Haplotype diversity for all populations featured in this study after 12 cycles of

756 outcrossing. The dotted line in each panel indicates the maximum expected haplotype diversity

757 for each population.

771   Tables

772   Table 1. Strains used to create the synthetic populations featured in this study. Strains are

773   arranged in the rows in the table to indicate which specific pairs were crossed in the S-type

774   populations.

Populations	Founder Strains	
	Mat a	**Mat *alpha***
S4 & K4	DBVPG6765	YPS128
	DBVPG6044	Y12
S8 & K8	DBVPG6765	YJM981
	DBVPG6044	L_1528
	BC187	Y12
	L_1374	YPS128
S12 & K12	DBVPG6765	YJM981
	DBVPG6044	Y12
	BC187	L_1528
	SK1	273614N
	L_1374	YPS128
	YJM975	UWOPS05_217_3

Table 2. Number of possible SNPs and those actually observed in all synthetic populations at
each cycle where samples were taken for sequencing. Percentages are relative to total possible
number of SNPs for a given set of founders (n=4, 8, or 12). We estimate that approximately 15-
20 asexual generations occur between each cycle of outcrossing.

	S4	K4	S8	K8	S12	K12
Possible SNPs	112,738		122,663		155,516	
Cycle 0	91,658 (81%)	71,771 (64%)	98,864 (81%)	94,965 (77%)	101,134 (65%)	50,352 (32%)
Cycle 6	75,712 (67%)	69,954 (62%)	78,078 (64%)	62,537 (51%)	79,070 (51%)	38,853 (25%)
Cycle 12	57,405 (51%)	39,085 (35%)	80,941 (66%)	61,636 (50%)	73,894 (48%)	5,171 (3%)

812 Table 3. Mean genome-wide heterozygosity for all synthetic populations at each cycle where

813 samples were taken for sequencing. Mean heterozygosity is often, but not always, higher in the

814 S-type populations (shaded gray) relative to their K-type counterparts at a given timepoint.

	S4	K4	S8	K8	S12	K12
Cycle 0	0.38	0.47	0.36	0.28	0.36	0.31
Cycle 6	0.32	0.41	0.33	0.25	0.32	0.25
Cycle 12	0.20	0.17	0.31	0.25	0.28	0.04

Table 4. Mean genome-wide haplotype frequencies for all founder populations in each synthetic population based on SNP frequencies observed after 12 cycles of outcrossing (*note*: shaded cells indicate that a founder not used for that particular population).

Founder strain	K4	S4	K8	S8	K12	S12
DBVPG6765	0.486	0.237	0.019	0.027	0.001	0.127
DBVPG6044	0.004	0.291	0.004	0.144	0.169	0.186
BC187			0.178	0.016	0.001	0.01
SK1					0.353	0.12
L_1374			0.23	0.23	0.001	0.011
YJM975					0.001	0.105
YPS128	0.012	0.249	0.009	0.111	0.002	0.005
Y12	0.498	0.222	0.331	0.325	0.468	0.21
273614N					0.001	0.065
L_1528			0.203	0.023	0.001	0.012
UWOPS05_217_3					0.002	0.018
YJM981			0.027	0.197	0.001	0.131

Table 5. Comparison of putatively adaptive haplotypes from Burke et al. (2014) with observed haplotype frequency changes in synthetic populations used in this study. While both studies employed similar protocols Burke et al. (2014) implemented 18 outcrossing cycles while we only implemented 12. The 2014 study is used as a point of reference here because it features 12-fold replication, while in the present study features no within-treatment replication. We specifically targeted the window containing the most significant marker in each region based on the Burke et al. (2014) results. Notable (> 10%) increases in focal haplotype frequency are shown in green.

Candidate region	Haplotype change in Burke et al. (2014)		Haplotype change in populations of the present study					
	Driving haplotype	Mean increase	S4	K4	S8	K8	S12	K12
A- C07:950000-975000	Y12	+0.23	+0.49	+0.29	+0.36	+0.09	+0.16	+0.66
B- C09:65000-80000	DBVPG6044	+0.24	+0.70	-0.01	-0.20	-0.16	+0.15	+0.49
C- C11:615000-620000	DBVPG6044	+0.29	-0.16	+0.01	-0.06	-0.15	-0.11	+0.49
E- C16:445000-460000	Y12	+0.59	+0.29	+0.51	+0.11	+0.93	+0.50	+0.98

Supplementary Figure S1. Unrooted phylogeny showing the general evolutionary relationships

among the strains used in this work, as well as their geographical (colored boxes) and contextual

(colored circles) origins. This tree was constructed using 180,276 bi-allelic SNPs that we

observed to vary across the 12 haploid founder strains sequenced in this study. These SNPs were

used to construct a Newick file which was plotted with the R package *ggtree*.

Supplementary Figure S2. Crossing schematics used to create S4 (A), S8 (B), and S12 (C).

Supplementary Figure S3. UpSet plot for the two synthetic populations made using 4 (A), 8 (B),

and 12 (C) founders. The horizontal bars indicate the size of the SNP sets for each population at

cycles 0, 6 and 12, and the total possible number of SNPs given the founder strains used to make

them. The vertical bars represent distinct intersections between the different highlighted sets (as

indicated by the black circles). For a given plot, the first vertical bar represents SNPs found in all

experimental populations, the second bar represents SNPs found only in the S-type populations,

the third bar represents SNPs found only in the K-type populations, and the fourth bar represents

possible SNPs, given relevant founder genotypes, that are not observed in any experimental

population.

Supplementary Figure S4. Expected cycle 0 SFS for populations created using four (A), eight (B)

and twelve founder strains (C). For specific founders used in each scenario, see Table 1.

912   .

914 Supplementary Figure S5. Haplotype frequency estimates for population S4 based on SNP

915 frequencies after 12 cycles of outcrossing. Frequencies were estimated using sliding windows

916 with a length of 30KB and a 1KB step size. Panels are ordered to match pairings in the first

917 round of crossing (YPS128 was crossed with DBVPG6765, Y12 was crossed with DBVPG6044)

Supplementary Figure S6. Haplotype frequency estimates for population K4 based on SNP frequencies after 12 cycles of outcrossing. Frequencies were estimated using sliding windows with a length of 30KB and a 1KB step size. Panels are ordered to match pairings in the first round of crossing (YPS128 was crossed with DBVPG6765, Y12 was crossed with DBVPG6044).

932 Supplementary Figure S7. Haplotype frequency estimates for population S8 based on SNP

933 frequencies in cycle 12. Haplotype frequencies were estimated using sliding windows with a

934 length of 30KB and a 1KB step size. Panels are ordered to match pairings in the first round of

935 crossing (e.g. YJM981 was crossed with DBVPG6765, etc.).

Supplementary Figure S8. Haplotype frequency estimates for population K8 based on SNP

frequencies in cycle 12. Haplotype frequencies were estimated using sliding windows with a

length of 30KB and a 1KB step size. Panels are ordered to match pairings in the first round of

crossing (e.g. YJM981 was crossed with DBVPG6765, etc.).

Supplementary Figure S9. Haplotype frequency estimates for population S12 based on SNP

frequencies in cycle 12. Haplotype frequencies were estimated using sliding windows with a

length of 30KB and a 1KB step size. Panels are ordered to match pairings in the first round of

crossing (e.g. YPS128 was crossed with DBVPG6765, etc.).

Supplementary Figure S10. Haplotype frequency estimates for population K12 based on SNP

frequencies in cycle 12. Haplotype frequencies were estimated using sliding windows with a

length of 30KB and a 1KB step size. Panels are ordered to match pairings in the first round of

crossing (e.g. YPS128 was crossed with DBVPG6765, etc.).

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979   Supplementary Tables

981   Supplementary Table S1. Average genome-wide coverage at SNPs identified in each synthetic

982   recombinant population.   The total number of SNPs identified in each population (i.e. the

983   number of positions at which read depth was averaged over) is shown in Table 1.

K-type populations			S-type populations		
Population	*Outcrossing cycle*	*Mean Coverage*	*Population*	*Outcrossing cycle*	*Mean Coverage*
K4	0	85	S4	0	55
K4	6	95	S4	6	104
K4	12	88	S4	12	88
K8	0	99	S8	0	105
K8	6	96	S8	6	99
K8	12	121	S8	12	124
K12	0	71	S12	0	74
K12	6	93	S12	6	99
K12	12	89	S12	12	92

997 Supplementary Table S2. Mean genome-wide haplotype diversity for each population featured in

998 this study after 12 cycles of outcrossing along. Variance in haplotype diversity and maximum

999 expected values are also shown.

1000

Population	H_{mean}	H_{var}	H_{max}
S4	0.34	0.02	0.75
K4	0.21	0.05	
S8	0.63	0.02	0.86
K8	0.65	0.01	
S12	0.65	0.02	0.92
K12	0.31	0.07	

1001