Pathways of savannization in a mesic African savanna-forest mosaic following an extreme

fire

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Abstract

Fires in savannas limit tree cover, thereby promoting flammable grass accumulation and

fuelling further frequent fires. Meanwhile, forests and thickets form dense canopies that

reducing C4-grass fuel loads and creating a humid microclimate, thereby excluding fires

under typical climatic conditions. However, extreme fires occasionally burn into these

closed-canopy systems. Although these rare fires cause substantial tree mortality and can

make repeat fires more likely, the long-term consequences of an extreme fire for closed

canopy vegetation structure and potential savannization remain largely unknown. Here, we

analysed whether an extreme fire could, alone, alter species composition, vegetation

structure, and fire regimes of closed-canopy ecosystems in an intact savanna-forest-thicket

mosaic, or whether successive fires after an initial extreme fire were necessary to trigger a

biome transition between from forest to savanna. We found that forests that only burned

once recovered, whereas those that burned again following an initial extreme fire

transitioned from closed-canopy forests towards open, grassy savannas. While thickets had

less tree mortality in fires than forests, repeat fires nonetheless precipitated a transition

towards savannas. Colonization of the savanna tree community lagged behind the grass

community, but also began to transition. Our results suggest that rare extreme fires,

followed by repeated burning can indeed result in savannization in places where savanna

and forest represent alternative stable states.

Introduction

Fires are becoming more frequent and severe in forested ecosystems globally (Andela *et al.*,

2019, Jolly *et al.*, 2015). In tropical forests, extreme fires associated with droughts and

agriculture are expanding into forests that historically burnt only once every few hundred

years (Chen *et al.*, 2014, Morton *et al.*, 2013, Sanford *et al*, 1985). Some authors have

hypothesized that these tropical forest understory fires may result in runaway feedbacks,

leading to more frequent fires and to savannization of forests, resulting in eventual forest

collapse (Cochrane, 1999, Barlow & Peres, 2004, Barlow & Peres, 2008, Silverio et al, 2013,

Flores *et al*, 2016, van Nes et al, 2018). However, although we know that the occurrence of

one forest understory fire can increase the likelihood of subsequent fires, direct evidence of

forest savannization by runaway feedbacks is sparse. Invasive exotic grasses have been

shown to invade the forest understory only locally (Silverio *et al.*, 2013), limited by dispersal

(although accelerated by human activity (see Veldman and Putz, 2010)) and possible

biogeochemical feedbacks. As a result, the question of whether fires alone can convert an

intact tropical forest to a savanna remains open.

Historically and today, fires burn frequently in most savannas, at a rate of several per

decade, but rarely penetrate beyond intact forest margins (Kellman & Meave, 1997,

Biddulph & Kellman, 1998, Hennenberg *et al.*, 2008). In some places, this can allow the

persistence of a forest-savanna mosaic, despite the potentially destructive impact of fire on

forest trees (Cochrane & Laurance, 2002, Brando *et al*, 2011, Brando *et al*, 2014), by

feedbacks that are spatially localized (Favier *et al.*, 2004, Beckett & Bond, 2019).

Mechanistically, C4-grass-fuelled fires maintain an open canopy by preventing fire-sensitive

forest saplings from establishing, but fires stop readily at savanna-forest boundaries,

because shade in the forest understory prevents shade-intolerant C4 grasses from

accumulating within meters of the boundary (Charles-Dominique *et al.*, 2018, Hennenberg

et al., 2008, Hoffmann *et al.*, 2012a). Forest microclimates also reduce wind speeds and

increase fuel moisture (Biddulph & Kellman, 1998, Hoffmann *et al.*, 2012b, Little *et al.*,

2012), further hampering fire spread. These feedbacks provide support for the idea that

savanna and forest are alternate stable states (Staver *et al.*, 2011a, but see Veenendaal et

al, 2015, Hanan et al, 2014). However, the impact of extreme events (especially extreme

fires, see Keeley & Pausas, 2019) on the dynamics of these mosaics is largely unknown.

One possibility is that mosaics are mostly stable, with distinct distributions and stable edges,

not just on short to medium time scales (ten to several hundred years), but also on longer

time scales (Killeen *et al,* 2006, Breman et al, 2012, Rull *et* al, 2013, Cardoso *et al,* 2020).

This might be the case if savanna-fire feedbacks are very strong (Beckage et al, 2009, Dantas

et al, 2016), or if some other process besides fire – e.g., oligotrophy, seasonal flooding – play

a strong role in stabilizing savanna-forest distributions within a mosaic (Veenendaal et al,

2015, Murphy and Bowman, 2012). If this is the case, extreme fires in forests may have

minor medium-term effects on forest structure, acting as a stand-replacing disturbance

from which forests recover via succession (Fearnside, 1990, Kennard, 2002, Brando et al,

2020) rather than as a trigger of a catastrophic transition.

An alternative possibility is that savanna-forest boundaries may be highly dynamic over

longer time-scales (Schwartz *et al.*, 1986, West *et* al, 2000, Staver *et* al, 2017, Aleman et al

2019) and in response to changing climate or climate events (Hirota *el al,* 2010, Breman et

al, 2012, Beckett & Bond, 2019, Wright et al, 2021, Sato *et al,* 2021). Spatially explicit

modelling work suggests that fires at the savanna-forest boundary can influence the

biogeographic distribution of biomes (Staver *et al,* 2011b, van de Leemput *et al,* 2015, Goel

et al, 2020a, Goel *et al,* 2020b, Wuyts *et al,* 2019). Meanwhile, local observations confirm

that boundaries can be dynamic through time (Brook & Bowman, 2006, Silva *et* al, 2008,

Ibanez *et al,* 2013, Beckett & Bond, 2019), from Africa (Baccini et al., 2017; Aleman et al.,

2018), to South America, to Australia (Marimon et al., 2014; Ondei et al., 2017; Stevens et

al., 2017; Rosan et al., 2019).

However, modern evaluations of invasions of forests by savannas have predominantly

focused on the Neotropics (Cavelier et al, 1998, Veldman and Putz, 2011, Silverio *et al.,*

2013, Flores et al 2016), typically in the context of invasive exotic grasses, El Niño-fuelled

droughts, and deforestation (Nepstad *et* al. 1996, Barlow and Peres, 2004, Barlow and

Peres, 2008, Veldman and Putz, 2011, Silverio *et al.,* 2013). This work provides invaluable

insights into the potential processes of savannization, which includes the susceptibility of

forest trees to fire induced mortality (Barlow and Peres, 2008, Staver *et al,* 2020), the

invasion of grassy fuels following repeat fires (Veldman and Putz, 2011, Silverio *et al,* 2013),

and finally the formation of no-analogue grassy systems that differ from old-growth

savannas (Veldman and Putz, 2011). However, these transitions involve exotic grass species,

which lead to novel fire regimes, and deforestation-derived boundaries (Alencar *et al.* 2015),

with ambiguous historical and palaeoecological precedents (but see Flores et al 2016).

From its conception in the 1940's (Aubreville, 1949, Budowski, 1956), the term

savannization has been used to refer to fire-induced degradation of tropical forests via

anthropogenic deforestation and agriculture (Nyerges, 1990, Uhl *et al,* 1982, Cochrane,

1999, Nepstad *et al,* 1999). The term re-emerged following predictions of large-scale

Amazonian Forest collapse under elevated CO_2 (Cox *et al,* 2004) and is widely used in the

contemporary literature to refer to a reduction of forest tree biomass and species. In doing

so, forest ecologists – unintentionally but incorrectly – have maligned savannas as

degraded, species-poor forests or as an early successional stage of forest vegetation

(Veldman, 2016, Bond, 2016, Schmidt *et al*, 2019). The term savannization should be used to

refer to the conversion of one vegetation state (typically forests) into a savanna, a system

subject to the ecological processes that determine savanna vegetation dynamics. This

replacement of forest with savanna (true 'savannization') could, in theory, be possible in

regions where savannas and forests represent alternative stable states (Staver & Levin,

2012, Archibald *et al*, 2019, Aleman *et al*, 2020). However, the question of whether extreme

fires can cause a biome switch from intact forests to old-growth savannas has not yet been

settled.

This question has both theoretical and practical importance. From the perspective of

understanding ecological processes, we have no clear understanding of the possible role of

extreme fires in biogeographic transitions between savanna and forest (*e.g.*, Aleman et al

2019) and in shaping transitions in response to changing climate (Beckett & Bond 2019).

From a practical perspective, recent decades have seen significant increases in deforestation

and fragmentation of intact tropical forests (Watson et al, 2018; Taubert et al, 2018). The

most commonly proposed solution to this is to plant trees in order to restore fragmented

landscapes (e.g., the Bonn Challenge, REDD+; Veldman et al, 2015b), but this can be harmful

to the natural, biodiverse, open systems found in savanna-forest mosaics (Bond and Parr,

2010, Veldman et al, 2015b, Ratnam et al, 2011). Understanding the stability and dynamics

of mosaics would help to justify and inform their management as mosaics, with a focus on

the preservation of savannas as well as forests (Bond & Parr, 2010).

Here, we have examined vegetation trajectories after extreme fires empirically, focusing on

a mesic savanna-forest-thicket mosaic in Hluhluwe iMfolozi Park, South Africa. Extreme fires

burned into forests and thickets in 2004 and 2008, some of which have burned again

subsequently and others of which have not. These events offer a natural experiment in

which to observe, *in situ*, the ecological trajectories of closed-canopy vegetation following

extreme fires. On the one hand, a forest or thicket experiencing fire might recover its

original state. If so, we expect 1) a reduction in tree cover and biomass that is only

temporary, 2) the inhibition of a regular fire regime (e.g. by suppression of grassy fuels), and

3) a transient shift to early-successional forest trees species (light-loving but not as fire

tolerant as savanna species) that eventually transitions back to the original forest state. On

the other hand, a forest or thicket might transition to savanna. If so, we instead expect 1) a

reduction in tree cover and biomass that persists through time, 2) the establishment of a

regular fire regime (or at least of sufficient grassy biomass to support one), and 3) a shift in

tree species composition, from shade-tolerant but fire-sensitive species that compete for

light, to shade-intolerant species that invest in fire protection. Differentiating between

these possibilities provides us the rare opportunity to test the forest savannization

hypothesis developed for the Amazon (Cochrane et al 1999), allowing us to investigate what

happens in the aftermath of extreme disturbances in forests and thickets.

Materials and Methods

The northern section of Hluhluwe-iMfolozi Park (HiP) receives sufficient annual rainfall

(approx. 1000mm per annum) (Balfour & Howison, 2002) to support a closed-canopy forest,

with vegetation dynamics that are driven by fire compared to the more arid (approx.

600mm per annum), herbivore-driven system in the iMfolozi section (Staver *et al.*, 2012).

Closed-canopy vegetation types in HiP are differentiated into scarp forests (which we refer

to as forests here onwards) and thicket (*sensu* Charles-Dominique et al 2015a). Forest,

thicket, and savanna definitions are based on the classification scheme used in Charles-

Dominique et al (2015a), using vegetation structure and associated growth forms

(Woodward et al, 2004; Ratnam et al, 2011). In HiP, forests are classified as tall (>10m)

woody vegetation with a shade-tolerant intermediate tree layer, lacking a C4 grass layer but

occasionally containing patches of C3 grasses and herbaceous plants among the litter layer

(Charles-Dominique *et al*, 2015). Thickets are instead classified as having dense shrub and

treelet vegetation, a canopy that is generally 4 to 6m tall, and a variable understory with

dense patches of herbaceous sub-shrubs, shrubs and occasional patches of shade-tolerant

C4 grasses (Charles-Dominique *et al*, 2015); thicket in the HiP context is entirely distinct

from and not to be confused with subtropical Albany thicket, whose distribution is centered

in the Eastern Cape of South Africa. Finally, savannas are classified as having discontinuous

tree cover with a continuous layer of C4 grasses. Forest and thicket patches coexist with

savanna patches in a mosaicked landscape, which is home to a full complement of savanna

herbivores, including elephant and black rhino.

As in most of southern Africa, fires are usually lit by people, pre-empting lightning fires

which generally occur near the end of the dry season (Archibald *et al.*, 2017). Humans have

been burning fires in the region for at least 150 ka with Iron Age farmers arriving in the area

~ 2 ka (Hall, 1980, Staver *et al.*, 2017). The Hluhluwe section of HiP experiences relatively

frequent fires, with mean and median fire return intervals of 2.9 and 1.3 years, respectively

(Balfour and Midgley, 2008).

On the 14th and 15th of September 2008, an extreme fire occurred in the Hluhluwe section

of HiP that, unlike typical savanna fires, burned into large tracts of thicket and forest. These

extreme fires resulted from a combination of air temperatures above 30°C, relative humidity

below 30%, and wind speeds averaging more than 25km/hr with gusts to 30km/h or more

(Bradstock et al 2009; Browne & Bond, 2011). In this region, such conditions are

exceedingly rare: over the period 2001-2008, a total of only 69.6 hours (~ 3 days) of extreme

fire weather conditions occurred, with the longest consecutive period (11 hours) occurring

on the day of the extreme fire in 2008 (Browne & Bond, 2011). Aerial photography of the

region from 1937 to 2013 shows that, from 1937 to 1992, closed canopy patch sizes

gradually increased in extent (Beckett & Bond, 2019). However, between 1992 to 2013,

when this extreme fire occurred, forest and thicket patches were lost from the landscape.

Some areas that experienced this extreme fire burned subsequently, whereas others did not

(see below for further detail, Appendix S1).

Vegetation sampling

We used a set of plots in which the structure and species composition of woody plants were

recorded in forest, thicket and savanna vegetation types. A total of 131 plots were sampled

(Fig. 1), 51 of which were first sampled in 2007 by Staver *et al (2012)* and resampled in 2014

by Case and Staver (2017), 31 of which were sampled once in 2014 by Charles-Dominique *et*

al (2015a), and an additional 49 which were established specifically for this study between

2009 and 2015. Staver et al (2012) sampled 253 plots across HiP, 51 of which were chosen

as the savanna baselines as they burnt in the 2008 fire and were near forest and thicket

patches. Intact forest and thicket plots were established and sampled in 2014 and 2015 in

mature unburnt vegetation patches (Fig. 1), as mapped by Whateley and Porter (1983) and

by aerial-photograph-derived vegetation maps (Beckett & Bond, 2019). These represent our

pre-fire baselines for forest and thicket communities and, along with the 2007 savanna

plots, are referred to from here on as our 'before' dataset, while burnt plots represent the

'after' dataset.

To determine vegetation change trajectories after the extreme fires, in 2013, we identified

regions within the 2008 fire scar that were known to have burnt subsequently, and

established plots in these areas. These plots were sampled repeatedly, supplemented with

some additional, once-sampled plots allowing a space-for-time chronosequence to evaluate

vegetation change. Three additional plots were laid out in a forest that burned in a separate

fire in 2004 and sampled nine and 11 years after the fire. These three plots (with 20m

buffers on all sides) covered approximately 80% of the burnt forest area. One of these plots

was burnt again in 2012 and was excluded from the 11-year resampling as it had no

analogues. The data from nine years after the fire are included in the analyses, while the

repeat sample of 11 years are only included in figures in the Supplementary Materials for

interest. Plot sampling dates, repeat sample dates, and vegetation history are summarized

in Appendix S1.

Each plot measured 40m x 10m, within which area we identified every woody plant

(including lianas) above 50cm in height and recorded its height as one of four size classes

(0.5-2m, 2-5m, 5-10m, and >10m). In 103 of the 134 sites, the grass layer was evaluated at

20 points along the plot midline; grass biomass was measured via disk pasture meter (DPM)

and the dominant grass species beneath the disk was recorded. A DPM is a weighted metal

disk of a known diameter, which is dropped on a grass sward from a standard height. The

resting height is a measure of the grass biomass under the disk (Bransby and Tainton, 1977),

which can be calibrated locally (grass biomass = 1.26 + 26.1*[DPM], R2 = 0.73, N = 1745;

Waldram et al, 2007).

Fire occurrence

Fire frequency of each plot from 2001 to 2016 was derived from a combination of the

Ezemvelo KwaZulu Natal Wildlife fire records for HiP and personal observations. The EKZNW

fire records are hand drawn maps from rangers responsible for sections of the park. These

indicate the general location of the fire, but do not include information on fine-scale fire

refugia and unburnt vegetation patches within the burned area (see Fig. 1). Where EKZNW

fire records indicated fires burned into large forest patches, we verified these records

against MODIS Active Fire Detections (MCD14DL, Collection 6). MODIS Active Fires maps

thermal anomalies within 1km pixels using the MODIS MOD14/MYD14 Fire and Thermal

Anomalies algorithm (Giglio *et al.*, 2003). MODIS Active Fires increases the probability that

fires within forests are detected, since forest canopies can interfere with detection of burn

scars.

Data analysis and statistics

Aerial photography and vegetation maps were analysed using Quantum GIS 3.0.0 (Quantum

GIS Development Team, 2018). Statistical analyses were performed in R (version 3.4.0, R.

Core Team, 2017), using the packages *vegan* (Oksanen *et al.*, 2013) and *tidyverse* (Wickham,

2017). Fire frequency before and after extreme fire was compared using a Wilcoxon signed-

rank test for non-parametric paired data. Grass biomass was compared between vegetation

types and time periods using a one-way analysis of variance with Tukey's HSD contrasts to

identify significant differences in mean values. We used a multivariate analysis of variance

(MANOVA) to test for differences in the total mean cumulative length of the vegetation

types at different time periods and followed this with a series of ANOVA models to test for

significant differences between vegetation types within the size classes. Cumulative length

is a composite measure of species importance, similar to basal area, which combines stem

density (reported in Appendix S2) and tree height into a single value (Fei *et al.*, 2005). This is

done by summing the heights of all individuals of a species, thereby allowing large trees to

contribute more to the overall score than small trees. We performed an NMDS Ordination

on a Bray-Curtis species dissimilarity matrix of the cumulative length of each tree species for

the 'before' plots, representing vegetation communities that had not experienced the

extreme fire (the intact forest, intact thicket, and 2007 savanna plots). Data were

transformed using a Hellinger transformation (Legendre & Legendre, 2012). Species were

assigned to a biome (forest, thicket, or savanna) based on their association with sites in the

NMDS ordination; species not found before the extreme fire were classified as pioneers. We

then performed a Principal Coordinates Analysis (PCoA) and a pairwise permutational

MANOVA on a Bray-Curtis dissimilarity matrix of the total cumulative length in each plot, as

well as within the size classes to identify changes in the composition of species types.

Results

Establishment of a savanna fire regime

Forests and thickets rarely burned, with intact forest and thicket sites experiencing no fires

between 2000 and 2016 (Fig. 2). Savanna sites, in contrast, burned several times in a

decade. In fact, fire frequency (FF) increased from approximately one fire every four years

(FF = $0.24yr^{-1}$ (SD = 0.11)) before the 2008 extreme fire to one fire every three years (FF =

$0.36yr^{-1}$ (SD = 0.13); t = -11.8, df = 43, p < 0.05) after 2008. Thicket patches that burned in

the 2008 extreme fire quickly established a savanna fire regime, with sites burning on

average once every three years (FF = 0.34yr^{-1} (SD = 0.07)) , up from no fires between 2000

and 2007.

Some forests that burned in extreme fires burned again, whereas others did not. To

investigate how contrasting fire returns affected their post-extreme-fire trajectories, we

split plots into two groups, those that burned only once (hereafter "once-burnt forests")

versus those that burned in an extreme fire and at least once subsequently (hereafter

"frequently-burnt forests"). Frequently-burnt forests burned on average once every four

years after the extreme fire (FF = 0.26yr^{-1} (SD = 0.04) , Fig. 2).

Colonisation by savanna grasses

Intact thicket and forest plots differed from savanna plots in having closed canopies and

little or no grass in the understory (Fig. 3). Savanna plots had on average 233gm^{-2} (SD = 87)

of grass biomass whereas intact thicket and forest patches had 148gm^{-2} (SD = 84) and 10gm^{-}

^{2} (SD = 2.8) respectively (Fig. 3). Frequently-burnt thicket and frequently-burnt forest plots

showed an increase in grass biomass following successive fires, with grass biomass four and

22 times higher than intact thicket and forest plots respectively (Fig. 3). Once-burnt forest

plots had relatively high, but variable, grass biomass (130gm^{-2} (SD = 114)) in the first year

after the extreme fires. By the end of the study, 9 years later, grass biomass was less

variable (33gm^{-2} (SD = 11)), approaching the levels of intact forest grass biomass. In terms of

grass species, *Panicum maximum* colonised forests and thickets immediately after fire,

whereas *Themeda triandra* increased in abundance only where fires occurred repeatedly

(Fig. 3).

Trajectories in woody structure

Forest, thicket, and savanna plots displayed distinct woody size-class distributions (See

Appendix S3), with most savanna trees in the smallest size class, most forest trees in the

largest size class, and an even spread between the two intermediate size classes in thicket

(Fig. 4, Appendix S3). Savanna plots showed little change in structure between 2007 and

2014, despite an increase in fire frequency (Pillai's Trace = 0.039, approx $F_{(3,91)}$ = 1.24, p =

0.301, Appendix S3).

Thicket plots that burned frequently were structurally distinct from intact thicket plots

(Pillai's Trace = 0.76, approx $F_{(4,30)}$ = 23.41, p < 0.001, Appendix S3), converging to savanna

woody size class structure 7 years after the extreme fire (Pillai's Trace = 0.15, approx $F_{(4,51)}$

= 2.24, p = 0.077, Appendix S3), with most trees in the smallest size class. Similarly, forests

that burned multiple times were different to intact forests (Pillai's Trace = 0.89, approx

$F_{(4,28)}$ = 58.73, p < 0.001) and also converged to a savanna-like vegetation structure (Pillai's

Trace = 0.20, approx $F_{(4,53)}$ = 3.37, p = 0.016) 7 years after the extreme fire, with

comparable numbers of trees in the smaller two size classes but differences in the larger

two size classes (Appendix S3).

Six years after the 2008 extreme fire, once-burnt forests were structurally distinct from

both intact forests (Pillai's Trace = 0.902, approx $F_{(4,17)}$ = 38.90, p < 0.001) and savannas

(Pillai's Trace = 0.77, approx $F_{(3,43)}$ = 46.72, p < 0.001). The six year once-burnt and the

frequently-burnt forests were also different (Pillai's Trace = 0.61, approx $F_{(4,12)}$ = 4.72, p =

0.016), with the second largest size class contributing to the majority of the difference

between the two ($F_{(1,15)}$ = 21.96, p < 0.001). Nine years after an extreme fire, statistical

differences between once-burnt forests and intact forests (Pillai's Trace = 0.761, approx

$F_{(4,17)}$ = 13.50, p < 0.001), savannas (Pillai's Trace = 0.767, approx $F_{(3,43)}$ = 47.30, p <

0.001), and frequently-burnt forests (Pillai's Trace = 0.716, approx F(4,12) = 7.57, p < 0.05)

were qualitatively similar to those of the six-year once-burnt forests (Appendix S3, Appendix

Fig. S1), except that the cumulative length of the second largest size class of once-burnt

forests began to approach that of the intact forests (F(1,20) = 3.64, p = 0.071).

Trajectories in woody species composition

Forest, thicket, and savanna plots were characterized by distinct woody species assemblages

(Fig. 5, Appendix Table S4 & Table S5). The overall (Appendix Table S4) and size class specific

(Appendix Table S5) abundance of species types within savannas did not differ between

2007 and 2014 (Fig. 6).

Compositionally, frequently-burnt thickets differed from savannas 7 years after the extreme

fire (Fig. 6, Appendix Table S4 & S5), despite both vegetation types experiencing similar

disturbance regimes. This was most evident in the smallest size class, in which frequently-

burnt thickets were dominated basally resprouting thicket species, *e.g., Euclea racemosa,*

Euclea divinorum and *Berchemia zeyheri*, mixed in with some savanna species, including

Acacia karroo (=Vachellia karoo), Acacia nilotica (=V. nilotica), Dichrostachys cinerea, Cordia

caffra, Combretum molle and *Rhus (= Searsia) pentheri* (Fig. 5), whereas savannas rarely

featured thicket species.

By contrast, while frequently-burnt forests also differed from savannas (Appendix Table S4

& S5) 7 years after the extreme fire, their composition had changed more dramatically.

Forests, when frequently burned, completely switched to being dominated by thicket

species, including *Euclea natalensis* and *Euclea racemosa,* and savanna species (see Fig. 5 &

6), and closely resembled the frequently-burnt thickets.

Once-burnt forests were dominated by pioneer forest species seldom found in intact forests

(including *Trema orientalis, Croton sylvaticus,* and *Dombeya burgessiae*). These trees

apparently grew from seed banks, which contrasts with trees in frequently-burnt thicket

plots, which may have resprouted basally. After 6 years, these once-burnt forests recovered

some species characteristic of mature forest, including *Englerophytum natalense* and

Chaetacme aristata, in smaller size classes, although the larger size classes remained

dominated by the unique pioneer forest assemblage (Fig. 6). The once-burnt forests (after

six years) were not different to the frequently-burnt forests in overall abundance of species

types (Appendix Table S4), but they were different when considering size-class-specific

species-type abundances (Appendix Table S5). Nine years after fire, once-burnt forests were

dominated by mature forest species in the two smaller size classes and by pioneer forest

species in the second largest size class (Appendix Fig. S2). These plots differed from

frequently-burnt forests in overall and size-class-specific species-type abundances

(Appendix Table S4 & S5, Fig. S3.).

Discussion

Extreme fires differ from typical savanna fires in penetrating beyond the biome boundaries,

often opening up the canopy of closed forest or thicket. Here, we found that forests that

burned only once recovered via secondary successional pathways, whereas forests and

thickets that burned again following the initial extreme fire underwent a persistent

transition from closed-canopy vegetation states to open-canopy grassy systems. Among

grasses, *Panicum maximum* invaded first but was gradually replaced by *Themeda triandra*, a

more flammable species (Simpson et al, 2016). Woody species composition was the slowest

to respond to woody structural change, and we observed only minimal colonization of

woody savanna species into the new grass layer of repeatedly burned thicket and forests

over a decade of post-burn observations.

Together, these results suggest that extreme fires, when followed by subsequent fires, can

trigger a biome switch from closed, fire-suppressing vegetation state to open, fire-

dependent vegetation, structurally resembling savannas. This transition occurred on the

time scale of a decade, which suggests that savanna-forest-thicket mosaics are likely

dynamic even on the short-to-medium term (Rull *et al*, 2013, Beckett and Bond, 2019, but

see Killeen et al, 2006). Repeated fire was crucial for maintaining an open canopy and

allowing flammable grasses to colonise (see also Balch *et al.*, 2009, Silverio *et al.*, 2013),

ultimately determining whether a biome shift from forest or thicket to savanna occurred.

In the transition from closed canopy vegetation states to savanna, initial grass colonization

was dominated by *Panicum maximum*, which accumulated biomass rapidly. However, with 7

years of repeated fires, *Themeda triandra* gained in dominance in open-canopy systems.

This replacement is consistent with the ecology of these two species: *Panicum maximum*

(Paniceae) is often observed in relatively shaded savanna environments and is known to

retain moisture longer into the dry season (Simpson et al, 2016), whereas *Themeda triandra*

(Andropogoneae) prefers open, full-sun environments (Downing & Marshall, 1980,

Kinyamario *et al.*, 1995) and is highly flammable (Simpson et al., 2016) but slower to

colonise new environments (O'Connor & Everson, 1998).

On the time scale of a decade, however, novel savannas differed from old-growth savannas

in their woody-layer composition (see also Veldman, 2016), and colonization of open

habitats by savanna trees was slower than grass colonization. While forest canopies opened

up readily, allowing grasses to colonise, the woody community remained depauperate of

savanna trees (see also Veldman & Putz, 2011). Thickets differed from forests in that, even

when burned repeatedly, they retained a tree community dominated by thicket species

(probably resprouts). Meanwhile, forests that only burned once were colonised mostly by a

unique pioneer assemblage. However, in novel savannas, frequent fires gradually depleted

thicket and forest remnants, and we hypothesize that savanna woody species will eventually

colonise (see also Charles-Dominique et al, 2015b). Further observation of previously

closed-canopy areas that continue to experience frequent fires would allow this hypothesis

to be tested.

Notably, we also found that forests could recover readily from a single extreme fire after a

decade allowed the canopy to close and shade out grass fuels, thereby regaining its previous

state. Forests likely recovered primarily from a seed bank, with an early-successional forest

composed of rapidly growing pioneers (*i.e.*, *Trema orientalis, Croton sylvaticus, Celtis*

africana) and the clonally spreading liana *Dalbergia armata*. These species excluded light-

demanding grasses, likely by shading and microclimate effects that also make the

environment suitable for the establishment of climax forest species (see also Pammenter et

al. 1985). Further work exploring a range of fire severities on the capability of a forest to

recover would allow one to separate out the effects of an extreme fire with subsequent

burns and an extreme fire alone.

Thickets also recovered from a single fire readily but differed from forest in their post-fire

recovery trajectory. While forests recovered via pioneer species germination, resulting in

complete species turnover, thickets instead recovered via basal resprouting. Fire-driven tree

mortality in thickets was low, as in savannas, contrasting with much higher tree mortality in

forests (see also Ryan & Williams, 2011, Staver *et al.*, 2020). We predict that, on longer time

scales, thickets and, by extension, other dry forests may be more resilient or tolerant of fires

than wetter forests (see also Staal et al, 2020, Staver *et al*, 2020).

Our study documents a novel example of invasion of grass-fuelled fires into closed forest

and thickets communities in an intact, ancient African savanna-forest-thicket mosaic. Our

results offer a few key insights. Firstly, we show that extreme fires can allow savannas to

invaded forests even in the absence of invasive exotic grasses. Fires have been implicated in

the expansion of the savanna biome from the late Miocene (Bond *et al.*, 2003, Keeley &

Rundel, 2005, Scheiter *et al*, 2012, Behrensmeyer & Freeman, 2018), perhaps by gradually

opening up forests and allowing shade-intolerant savanna grasses to spread at the forest

edge (Schertzer & Staver,2018). Fires have also been implicated in constricting forest

distributions in response to millennial scale changes in climate (Aleman *et al.*, 2019, Sato *et*

al, 2021) – probably by increasing forest canopy openness, thereby facilitating grass invasion

and eventually colonization by savanna trees. However, on-the-ground observations of this

savannization process in action in intact landscapes are very few.

Secondly, we provide rare direct support for the longstanding hypothesis that runaway fire

feedbacks, following an initial extreme fire, can result in the savannization of tropical forests

(Cochrane, 1999) without additional anthropogenic drivers. In HiP, as in the Amazon, these

extreme fires present a real problem. Their rarity makes conditions like these difficult to

predict with any accuracy; however, we can be confident that extreme fire weather

conditions like these are increasing in frequency as temperatures rise and aridity increases,

making extreme fires an accelerating concern in savanna-forest mosaics, as they are

elsewhere (Jolly *et al.*, 2015, Flannigan et al, 2013). Transitions from forest to savanna are

indeed possible and likely to increase in potential extent in future.

The key difference between this study and work published under the savannization

umbrella in the Neotropics revolves around the level of anthropogenic influence in these

systems. Contemporary savannization in the Amazon is recorded in areas where exotic

pasture grasses have invaded degraded forests, thereby increasing fuel loads and promoting

subsequent fires (Silverio *et al*, 2013). However, paleoecological evidence suggests that

savannization did occur in the Neotropics predating European colonization, probably as a

results of fire in combination with low CO_2 (Rull *et al*, 2013, Sato *et al*, 2021). It is interesting

to note the potential impact the disappearance of Neotropical megafauna may have had on

the probability of savannization occurring under non-anthropogenic conditions (Owen-

Smith, 1987, Johnson, 2009). Recent work suggests that Neotropical grassy systems

experienced a large increase in fire activity following the late-Quaternary collapse of large

herbivore populations (Karp *et al*. 2021). Conversely, in African systems, the presence of

herbivores (especially elephants) may open up the forest and thicket canopies along biome

boundaries, allowing grass and therefore fire invasion and precipitating forest conversion to

savanna . The role of megafauna in savannization processes has not been sufficiently

investigated and offers an interesting avenue for future research.

Preventing or suppressing extreme fires is challenging in savannas, where fires are used as a

management tool under less extreme conditions. In savannas, woody encroachment has

long been a conservation concern, with widespread observations of increases in the woody

component of savannas (Stevens *et al.*, 2017), particularly where fire is suppressed instead

of being actively managed (Silva et al, 2008, Durigan, 2020). This trend is predicted to

intensify as increasing CO_2 favours C3 trees over C4 grasses (Higgins & Scheiter, 2012; Bond

& Midgley, 2000, Moncrieff et al, 2014) – suggesting that, while forests are at increased risk

of savannization, savannas are also at increased risk of forest expansion and woody

encroachment. Widespread fire suppression is clearly not the solution for preventing

extreme fires. Rather, we should aim instead for a rationalized fire management policy,

allowing and encouraging fires in fire-dependent savannas but minimizing fires under

extreme conditions, especially near fire-sensitive forests. Most crucially, we show that

forests can recover readily from the effects of extreme fires, especially when an extreme

event is not followed by subsequent fires, which may be easier to prevent.

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Author Contributions

HB, WJB, and ACS conceived of the idea. TCD and ACS contributed data. HB collected the

data, designed, and performed the analyses with input from TCD and ACS. HB wrote the

paper in consultation with WJB, ACS, and TCD.

Figure Legends

Figure 1. Fire Frequency map (left) of Hluhluwe iMfolozi Park from 1955 to 2013 based on

Hluhluwe iMfolozi Park records with the Hluhluwe section outlined in red, and (right) the

distribution of plots within the Hluhluwe portion of HiP and biomes based on a

reclassification of Whateley & Porter (1983) by Charles-Dominique *et al* (2015). Green

represents forest, blue represents thickets, and yellow represents savannas. Inset map

shows the location of Hluhluwe iMfolozi Park within South Africa.

Figure 2. Fire Frequency for plots within each vegetation type (indicated by grey text) before

and after extreme fires (not including the year of the extreme fire), calculated using the

MODIS Active Fires Product from 2000 to 2016. Grey lines link plot points before and after

extreme fires. Asterisks indicate significance level (*** <0.05, **** < 0.001, NS = no

significant difference).

Figure 3. Grass biomass in frequently-burnt forests, once-burnt forest, frequently-burnt

thicket and savanna, (a) in total, (B) of *Panicum maximum*, and (C) of *Themeda triandra*.

Green bars represent forest plots, blue represents thicket, and yellow represents savanna.

Error bars indicate standard errors. Points (plot grass biomass) are included to display

variation. Shared letters indicate lack of a significant difference within panels based on

Wilcoxon signed-rank test, p < 0.05.

Figure 4. Mean cumulative length of all tree species within the four size classes 'before' and

'after' the extreme fire in A) savanna, B) frequently-burnt thicket, C) frequently-burnt forest,

and D) once-burnt forest. Error bars indicate standard errors. Points represent individual

plots.

Figure 5. NMDS ordination of the cumulative length of all species in all height classes in

plots. Ellipses show the grouping of plots. Species associations used in the composition

analyses are based on species found in plots before the extreme fires only. Letters indicate

plots, and grey dots indicate species.

Figure 6. Initial and final composition and structure of savannas in 2007 (A) and 2014 (B),

intact thicket (C) and frequently-burnt thicket 7 years after extreme fire (D), intact forest (E)

and frequently-burnt forest 7 years after extreme fire (F) and intact forest (G) and once-

burnt forest 6 years after fire (H). Panels display the average cumulative length of tree

species within each size class for the different time periods 'before' and 'after' the extreme

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