REVISION

A *Campylobacter* integrative and conjugative element with a CRISPR-Cas9 system targeting competing plasmids: a history of plasmid warfare?

Arnoud H.M. van Vliet [1,*], Oliver Charity [2], Mark Reuter [2]

1.   Department of Pathology and Infectious Diseases, School of Veterinary Medicine, University of Surrey, Guildford, United Kingdom.

2.   Quadram Institute Bioscience, Microbes in the Food Chain programme, Norwich, United Kingdom.

* Corresponding author. Mailing address: School of Veterinary Medicine, University of Surrey, Daphne Jackson Road, Guildford GU2 7AL, United Kingdom. Phone +44-1483-684406, E-mail: a.vanvliet@surrey.ac.uk

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ABSTRACT

Microbial genomes are highly adaptable, with mobile genetic elements (MGEs) such as

integrative conjugative elements (ICE) mediating the dissemination of new genetic information

throughout bacterial populations. This is countered by defence mechanism such as CRISPR-Cas

systems, which limit invading MGEs by sequence-specific targeting. Here we report the distribution

of the pVir, pTet and PCC42 plasmids and a new 70-129 kb ICE (CampyICE1) in the foodborne

bacterial pathogens *Campylobacter jejuni* and *Campylobacter coli*. CampyICE1 contains a

degenerated Type II-C CRISPR system consisting of a sole Cas9 protein, which is distinct from the

previously described Cas9 proteins from *C. jejuni* and *C. coli*. CampyICE1 is conserved in structure

and gene order, containing blocks of genes predicted to be involved in recombination, regulation,

and conjugation. CampyICE1 was detected in 134/5,829 (2.3%) *C. jejuni* genomes and 92/1,347

(6.8%) *C. coli* genomes. Similar ICE were detected in a number of non-jejuni/coli *Campylobacter*

species, although these lacked a CRISPR-Cas system. CampyICE1 carries 3 separate short CRISPR

spacer arrays containing a combination of 108 unique spacers and 16 spacer variant families. A total

of 69 spacers and 10 spacer variant families (63.7%) were predicted to target *Campylobacter*

plasmids. The presence of a functional CampyICE1 Cas9 protein and matching anti-plasmid spacers

was associated with the absence of the pVir, pTet and pCC42 plasmids (188/214 genomes, 87.9%),

implicating that the CampyICE1-encoded CRISPR-Cas has contributed to the exclusion of

competing plasmids. In conclusion, the characteristics of the CRISPR-Cas9 system on CampyICE1

suggests a history of plasmid warfare in *Campylobacter*.

IMPACT STATEMENT

Understanding pathogen evolution is paramount for enhancing food safety and limiting

pathogenic disease in humans and animals. *Campylobacter* species comprise a group of human and

animal pathogens with a remarkable success rate, being the most frequent cause of bacterial food-

borne disease in high-income countries. A common theme among *Campylobacter* evolution is

genomic plasticity, and a significant proportion of this plasticity is driven by horizontal gene

transfer (HGT) that results in acquisition of complex traits in one evolutionary event. Understanding

the mechanisms of transfer of MGEs and how MGEs such as integrative conjugative elements

(ICE) exclude other MGEs is fundamental to understanding *Campylobacter* evolution. CRISPR-

Cas9 proteins play a role in bacterial immune systems, mediating the defence against bacteriophage,

plasmids, and integrative elements. The use of CRISPR-Cas by a mobile element to fight off

competing elements, possibly to the advantage or detriment to their host, also increases our

understanding of how important selfish genomic islands undergo co-evolution with bacterial

pathogens, and generates insight into the complex warfare between MGEs.

DATA STATEMENT

All genome sequences used in this study are available on the National Center for

Biotechnology Information (NCBI) Genome database or in the Campylobacter PubMLST website;

the assembly accession numbers (NCBI Genome) or genome ID numbers (Campylobacter

PubMLST) are listed in Table S1 (available in the online version of this article). Genome

assemblies were quality checked based on N_{50}, L_{50}, genome size and number of contigs. CRISPR

Spacer sequences and predicted targets, Cas9 alignments, presence of mobile elements and plasmids

are all included in the Supplementary Information.

INTRODUCTION

The genus *Campylobacter* is a member of the Epsilonproteobacteria, and comprises gram-

negative bacteria that are commonly found in the intestines of warm-blooded animals. The best

studied members are *C. jejuni* and *C. coli*, which are closely related thermophilic species commonly

found in birds and animals involved in agriculture, i.e. poultry, cattle and pigs, while they are also

found in many wild birds [1, 2]. They jointly represent the most common bacterial human

diarrhoeal pathogens in the developed world, with transmission often foodborne via undercooked

meat and cross-contamination in kitchen environments [3, 4]. Other related *Campylobacter* species

include the recently described *C. hepaticus* found in poultry [5], *C. upsaliensis* which is a zoonotic

Campylobacter species from dogs and cats [6], and the *C. lari* group consisting of several species

isolated from birds and animals connected to coastal environments [7].

Horizontal gene transfer (HGT) plays a major role in the evolution of microbial genomes [8].

Phages and plasmids are contributors to HGT-driven genomic plasticity, with transfer conducted by

either transduction or conjugation, or alternatively by natural transformation [9]. One class of

mobile genetic elements (MGE) are the integrative and conjugative elements (ICE), which are self-

transferable elements that can mediate excision, form a circular intermediate and often encode the

genes for the Type IV conjugative pili used to transfer to a new recipient host cell [10, 11]. ICEs

often contain genes required for reversable site-specific recombination, conjugation and regulation,

but also carry "cargo" genes that may confer antimicrobial resistance, virulence properties or

metabolic capabilities to recipient cells [12], as well as addiction modules ensuring stable

maintenance within the host cell [13].

Although acquisition of new genetic traits via HGT may have significant benefits for the

recipient cell, the newly acquired sequences can also be detrimental to the host. Therefore cells

have developed a diverse set of mechanisms to control entry, integration and expression of foreign

DNA [14]. One such system is the Clustered Regularly Interspaced Short Palindromic Repeats

(CRISPR) and proteins encoded by CRISPR-associated (Cas) genes, which encode the components

of an RNA-guided, sequence-specific immune system against invading nucleic acids, often phages,

plasmids and other transferable elements [15]. Many CRISPR-Cas systems have the Cas1 and Cas2

proteins mediating spacer acquisition [16] and other Cas proteins involved in expression,

maturation/processing and targeting and interference of the foreign DNA or RNA sequences,

commonly phages and plasmids [17]. The RNA-guided endonuclease of the Type II CRISPR-Cas

system is the Cas9 (Csn1/Csx12) protein, which mediates processing of CRISPR RNAs and

subsequent interference with the targets, in combination with a guide RNA called trans-activating

CRISPR RNA (tracrRNA) [18].

Early studies using multilocus sequence typing (MLST) indicated a high level of genetic

variability in *Campylobacter* species such as *C. jejuni* and *C. coli* [19], and subsequent comparative

genomic analyses have shown that this level of genetic variability is achieved by differences in

genetic content and high levels of allelic variability [20-22], likely supported by the natural

competence of many *Campylobacter* species. Along with a variety of small plasmids (<10 kb),

there are three major classes of 30-60 kb plasmids in *C. jejuni* and *C. coli* (pVir, pTet and pCC42)

[23-25], although these are of variable size and gene content [26]. There are also four

chromosomally located MGEs first identified in *C. jejuni* RM1221 [27], of which CJIE1 is a Mu-

like prophage, CJIE2 and CJIE4 are related temperate prophages [28-31], and CJIE3 is a putative

ICE which can contain the *Campylobacter* Type VI secretion system (T6SS) [32, 33].

In a previous study, we showed that 98% of *C. jejuni* genomes investigated contained a Type II-

C CRISPR-Cas system consisting of *cas9-cas1-cas2* genes and a relatively short spacer array (4.9 ±

2.7 spacers, N=1,942 genomes) [34]. In contrast, only 10% of *C. coli* genomes contained a copy of

the *C. jejuni* CRISPR-Cas system, while genomes from non-agricultural (environmental) *C. coli*

isolates contained a closely related, but separate Type II-C CRISPR-Cas system with the full

complement of *cas9-cas1-cas2* genes, or an orphan *cas9* gene without *cas1* or *cas2* genes [34]. We

have expanded this survey of CRISPR-Cas systems in *C. jejuni* and *C. coli*, and show that there is a

third, clearly distinct CRISPR-Cas system in both *C. jejuni* and *C. coli*, which is located on a

relatively conserved chromosomally located ICE (CampyICE1), and have investigated a possible

role of this CRISPR-Cas system in contributing to plasmid competition in *Campylobacter*.

MATERIALS AND METHODS

Identification of CRISPR-Cas systems

A collection of complete and draft genome sequences of *C. jejuni* (N=5,829) and *C. coli*

(N=1,347) (Table S1) were obtained from the NCBI Genomes database

(http://www.ncbi.nlm.nih.gov/genome/browse/) and the *Campylobacter* pubMLST website

(http://pubmlst.org/campylobacter/) [35]. Genome assemblies were quality checked based on N_{50},

L_{50}, genome size and number of contigs, and have been used previously for studying gene

distribution in *Campylobacter* [36, 37]. Genome sequences for non-jejuni/coli *Campylobacter*

species such as *C. hepaticus*, *C. lari* group and *C. upsaliensis* were obtained from the NCBI genome

database using ncbi-genome-download version 0.2.11 (https://github.com/kblin/ncbi-genome-

download/). Genome sequences were annotated with Prokka version 1.13 [38], and the annotation

searched for Cas9 orthologs using the *C. jejuni* Cj1523c (Cas9) amino acid sequence using

BLASTP, while genome sequences were searched using TBLASTN to identify inactivated copies

of *cas9* genes. CRISPR arrays were identified as described previously [34], using the CRISPRfinder

software (http://crispr.u-psud.fr/Server/) [39] and the CRISPR Recognition Tool CRT [40], further

supported by BLAST searches and manual curation. Conservation of sequences was represented

using Weblogo [41].

Prediction of putative targets of CRISPR spacers

A total of 108 unique and 16 variant families of the CampyICE1 CRISPR spacer sequences

were used as query on the CRISPRTarget website

(http://brownlabtools.otago.ac.nz/CRISPRTarget/crispr_analysis.html) [42], and used to search the

Genbank-Phage, Refseq-Plasmid, and Refseq-Viral databases. Only *Campylobacter* targets were

included for further analysis. Hits with plasmids from the pVir, pTet and pCC42 families were

recorded. Individual genomes with plasmid-specific spacers and positive for either pVir, pTet or

pCC42 were searched for the target sequences of that genome using BLAST.

Analysis of MGE and plasmid distribution

Genome sequences were screened using Abricate (https://github.com/tseemann/abricate)

version 0.9.8, with each mobile element/plasmid subdivided into 600 nt fragments used as

individual queries, and each 600 nt query sequence was only scored positive with a minimum

coverage of 70% and minimum sequence identity of 80%. The CJIE1, CJIE2, CJIE3 and CJIE4

elements were obtained from *C. jejuni* reference strain RM1221 [27]. Nucleotide positions in the

RM1221 genome (accession number CP000025) were 207,005-244,247 (CJIE1), 498,503-538,770

(CJIE2), 1,021,082-1,071,873 (CJIE3), and 1,335,703:1,371,932 (CJIE4). The T6SS genes were

taken from *C. jejuni* 108 (accession number JX436460). For the CampyICE1 element, genome

sequences were screened with the CampyICE1 element from *C. jejuni* strain CCN26 (accession

number NZ_FBML01, nucleotide positions contig 11: 109,469-134,196 and reverse strand contig

17: 19,482-78,836), the Clade 1a *C. coli* strain RM1875 (accession number CP007183, nucleotide

positions 1,235,330-1,320,414) and the *C. coli* Clade 2 strain C8C3 (accession number FBQX01,

nucleotide positions 905,906-996,822). The pCC42 plasmid sequence was obtained from *C. coli* 15-

537360 (accession number CP006703), whereas the pTet (accession number CP000549) and pVir

(accession number CP000550) plasmid sequences were obtained from *C. jejuni* 81-176. Other

plasmids used were pRM3194 (accession number CP014345), pHELV-1 (accession number

CP020479) and pSCJK2-1 (accession number CP038863). Genomes were scored as positive for a

mobile element or plasmid if >50% positive for 600 nt queries. Samples scoring between 30-50%

were manually inspected for distribution of matches and given a final score. Clinker version 0.0.20

[43] was used to generate comparative gene maps of MGE and plasmids, using the default settings.

Table S1 includes the presence/absence information of the pCC42, pTet and pVir plasmids, and the

CJIE1, CJIE2, CJIE3 and CJIE4 MGE.

Phylogenetic trees

Core genome MLST allelic profiles were generated for the 5,829 *C. jejuni* and 1,347 *C. coli*

genomes using a 678 gene set described previously [44]. Allele calling was performed using

chewBBACA version 2.6 [45] using the default settings. The phylogenetic trees were generated

using GrapeTree version 1.5.0 [46] with the RapidNJ implementation of Neighbor-Joining, and

annotated using the standard 7-gene MLST clonal complexes as determined using the MLST

program version 2.19 (https://github.com/tseemann/mlst).

Cas9 protein sequences were aligned with MEGA7 using the MUSCLE algorithm with the

default settings [47], and phylogenetic trees constructed using the MEGA7 Neighbor-joining

option, pairwise deletion and the Jones-Taylor-Thornton (JTT) model, with 500 bootstraps. Trees

were visualised using MEGA7 [47] and Figtree version 1.4.2

(http://tree.bio.ed.ac.uk/software/figtree/).

RESULTS

***Campylobacter jejuni* and *C. coli* contain a third type II-C Cas9-encoding gene**

A collection of 5,829 *C. jejuni* and 1,347 *C. coli* genomes was searched for the presence of

Cas9 orthologs using the *C. jejuni* NCTC11168 Cj1523c and *C. coli* 76639 BN865_15240c amino

acid sequences, representative of the two type II-C Cas9 proteins previously detected in *C. jejuni*

and *C. coli* [34]. In addition to the *cas9* genes representative of the *C. jejuni*/agricultural *C. coli* and

the non-agricultural *C. coli* genomes, a third *cas9* gene was detected in 134 (2.3%) of *C. jejuni*

genomes and 92 (6.8%) of *C. coli* genomes, predicted to encode a 965 amino acid protein, with 4 *C.*

jejuni and 3 *C. coli* genomes containing an interrupted *cas9* gene. This new *cas9* gene did not have

adjacent *cas1* or *cas2* genes. Alignment of the predicted new Cas9 proteins from *C. jejuni* and the

C. coli clades with Cas9 proteins from members of the genera *Campylobacter* and *Helicobacter*

showed that the new Cas9 proteins form a separate cluster (Fig. 1), suggesting these have originated

from a more distant common ancestor. Alignment of the additional Cas9 proteins from *C. jejuni* and

the different *C. coli* genetic clades showed that the three RuvC motifs, the HNH motif and R-rich

region were all conserved (Fig. S1).

The novel CRISPR-Cas system is located on an integrative conjugative mobile element

We first looked for the genomic region containing the gene encoding the new Cas9 protein in

completed *C. jejuni* and *C. coli* genomes. Only two complete *C. coli* genomes contained the

additional *cas9* gene; an inactivated copy of the *cas9* gene was found on the *C. coli* RM1875

genome, while a complete copy of the gene was present in *C. coli* C8C3. The *cas9* gene was

flanked by a short CRISPR-repeat region with five to six repeats, similar to the *Campylobacter*

repeat lengths reported previously [34]. Investigation of the surrounding genes showed the

downstream presence of a putative Type IV conjugative transfer system, with *traG*, *traN*, *traL* and

traE genes, as well as a *parM* gene encoding the chromosome segregation protein ParM, while

upstream of *cas9*, genes annotated as DNA primase, thymidine kinase, XerC tyrosine recombinase,

and an integrase were detected, with the integrase flanked by a tRNA-Met gene as integration site

(Fig. 2A), thus matching the common components of an ICE [10]. We have named the *cas9-*

containing ICE element CampyICE1.

The *C. coli* RM1875 and *C. coli* C8C3 CampyICE1-containing genomic regions were used to

search the 134 *C. jejuni* and 92 *C. coli* genomes containing the CampyICE1-*cas9* gene for

additional contigs matching the additional CampyICE1 sequences, and ordered these contigs

accordingly. We were able to reconstruct the CampyICE1 genomic regions for 81 *C. coli* and 133

C. jejuni genomes, annotated these and each showed genetic synteny. The size of the ICE ranged

from 70.0-129.3 kb (average 87.7 kb, n=214), and each CampyICE1 region started with a gene

encoding a putative integrase (in Genbank often annotated as 30S ribosomal subunit protein),

followed by a XerC tyrosine recombinase. There were six relatively conserved blocks of genes

downstream, of which the third block ends with the *cas9* gene, and the fourth and the fifth blocks

contain genes encoding conjugation proteins (Fig. 2A). Finally, the mobile element also contained

up to three putative CRISPR arrays, each with at most a few repeats. The conservation of the

CampyICE1 gene synteny is shown in Figure 2B using three *C. coli* and three *C. jejuni* examples.

Searches of the Genbank sequence database for orthologs of CampyICE1 allowed the

identification of a similar element in *C. jejuni* subsp. *doylei*, where the element is split into two

parts, but lacks the gene block containing the *cas9* gene. There were also regions with sequence and

CampyICE1 gene structure similarity in *C. upsaliensis* plasmid pCU110 and *C. iguaniorum* plasmid

pCIG1485E, although both lack the *cas9* gene (Fig. S2). Subsequent searches in other

Campylobacter spp genomes in the Genbank database allowed the identification of other plasmids

and potential ICE elements with similar layouts from diverse *Campylobacter* species such as *C.*

helveticus, *C. insulaenigrae*, *C. lari* and *C. subantarcticus*, but none of those contained the *cas9*

gene (Fig. S2).

Distribution of CampyICE1 and other mobile elements and linkage to MLST-clonal

complexes

To assess whether the distribution of CampyICE1 and other MGEs was linked to specific

MLST-types or isolation source, we screened a collection of 5,829 *C. jejuni* and 1,347 *C. coli*

genomes [36] using BLAST+ for the presence of CampyICE1, CJIE1, CJIE2, CJIE3, CJIE4, the

plasmids pVir, pTet, pCC42, and the CJIE3-associated T6SS (Table 1). The CJIE1 element was the

most common in *C. jejuni*, while CJIE4 was the least common of the MGEs from *C. jejuni*

RM1221, although still more common than CampyICE1. In *C. coli*, the CJIE1, CJIE2 and CJIE3

elements were present in similar fractions, and again much more common than CJIE4 and

CampyICE1 (Table 1). There was clear variation within the CJIE1-CJIE4 genetic elements, mostly

in length but also in gene content (Fig. S3), with the CJIE3 element differing due to the presence or

absence of the T6SS. With regard to the three plasmids, pVir was rare in both *C. jejuni* and *C. coli*,

while pTet is present in approximately a quarter of the *C. jejuni* and *C. coli* genomes. The pCC42

plasmid was relatively rare in *C. jejuni*, but the most common plasmid in *C. coli* (Table 1). The

plasmids showed more conservation of gene structure and content (Fig. S4), although there were

combinations of plasmids and mobile elements that lead to megaplasmids with phage elements or

the T6SS [48] which were not separately included in this analysis.

The *C. jejuni* genomes were clustered in a phylogenetic tree based on a 678 gene core genome

(cg)MLST scheme [44], which grouped the genomes mostly according to clonal complexes of the

seven-gene MLST for *C. jejuni* (Fig. 3) and the different *C. coli* clades (Fig. 4). With the exception

of CJIE3 and the associated T6SS in *C. jejuni*, there was no clear association with specific MLST

clonal complexes in either *C. jejuni* or *C. coli*. In *C. jejuni*, CJIE3 without the T6SS was restricted

to clonal complexes ST-354 and ST-257, while the CJIE3 with T6SS was mostly found in clonal

complexes ST-464, ST-353, ST-573 and ST-403 (Fig. 3). There was no obvious link between

isolation source and any of the MGEs, although it should be noted that the dataset used is biased

towards human isolates. Similar to the mobile elements, the pVir, pTet and pCC42 plasmids did not

show an association with either MLST clonal complex in *C. jejuni* or *C. coli* clade, or isolation

source (Fig. 3, Fig. 4). The specific distribution per genome is provided in Table S1.

The majority of CampyICE1 CRISPR spacers are predicted to target *Campylobacter* plasmids

CRISPR arrays consist of the CRISPR repeats and the individual spacers, which are used to

generate the cRNAs used for interference, and the tracrRNA [18]. The layout of the CampyICE1

CRISPR arrays is distinct from most other Type II CRISPR-Cas systems, where the CRISPR array

and tracrRNA are often found directly next to the Cas genes. In contrast, the CampyICE1 system

does not contain the ubiquitous *cas1* and *cas2* genes, and has a total of three CRISPR arrays spaced

over the element (Fig. 2). We were able to identify spacers from 81 *C. coli* and 133 *C. jejuni*

CampyICE1 elements. The first array contained 3.0 ± 1.5 spacers (N=197, range 1-6), and also

contained a putative tracrRNA in the opposite transcriptional orientation (Fig. 5A), while the

second CRISPR array contained 3.1 ± 1.7 spacers (N=208, range 1-10) and lacked a potential

tracrRNA. The third CRISPR array is shorter and contained 1.0 ± 0.6 spacers (N=182, range 1-3).

The tracrRNA and repeat sequence are distinct from the previously described *C. jejuni* and *C. coli*

CRISPR systems [34], with the changes in the repeat sequence being mirrored in the tracrRNA

sequence, thus unlikely to affect functionality (Fig. 5A, 5B). The predicted Protospacer Adjacent

Motif (PAM) was 5'-A(C/T)A(C/T) (Fig. 5A), which matches well with the 5'-ACAc PAM-motif

described for the *C. jejuni* Cas9 protein [34, 49].

Comparison of the spacers from 214 CampyICE1 elements showed that these consisted of 108

unique spacer sequences, and an additional 40 spacers that were subdivided in 16 variant families,

where 2-6 spacers had one or two nucleotide differences to each other and were predicted to match

the same targets (Table S2). The spacers were used to search phage and plasmid databases for

putative targets, and a total of 62 unique spacers and eight variant families were predicted to target

the *Campylobacter* plasmids pCC42 (31 unique spacers, two variants), pTet (16 unique spacers, six

variants) and pVir (15 unique spacers, see Fig. 5C for an example). Furthermore there were spacers

predicted to target the *Campylobacter helveticus* plasmid pHELV-1 (one unique spacer) and

pSCJK2-1 from *C. jejuni* SCJK2 (six unique spacers, two variants). The pHELV-1 and pSCJK2-1

plasmids were not detected in the 5,829 *C. jejuni* and 1,347 *C. coli* genomes used in this study. The

predicted targets on the plasmids pCC42, pTet and pVir were plotted against the plasmid maps (Fig.

5D), and showed that targets for pCC42 and pVir were found in multiple genes on these two

plasmids, whereas the targets on pTet were limited to two genes, of which YSU_08860 is not

universally present on plasmids of the pTet family (Fig. 5D).

Plasmid-mapping CampyICE1 CRISPR spacers are associated with an absence of the

corresponding plasmids

To assess whether the CampyICE1 CRISPR-Cas9 system can function to exclude plasmid by

using plasmid-mapping spacers, the 226 *C. jejuni* and *C. coli* CampyICE1-positive genome

assemblies were searched for the presence of plasmid contigs and matches with spacer sequences

(Table S3 and Table S4). As one possible escape for CRISPR-Cas9 surveillance could be sequence

mutations/changes in the plasmids, we also checked whether the predicted plasmid-matching spacer

would recognise any sequence in the genome assemblies (which include plasmid contigs). Of the *C.*

coli assemblies, spacers were detected in 81/92 assemblies, and 56 had no plasmid/spacer matches.

Of the 25 assemblies where there were plasmid/spacer matches, three had an inactivated

CampyICE1 *cas9* gene, and 11 did not have sequences matching the spacer(s) or only partial

matches in their genome assembly, suggesting that mutations in the plasmid sequence have made

the spacer unusable. This left 11 *C. coli* assemblies with a functional *cas9* gene and spacer matching

the pCC42 plasmid. Similarly, for *C. jejuni*, spacers were detected in 133/134 genomes, and 109

had no plasmid/spacer matches. Of the 24 assemblies where there were plasmid/spacer matches,

two had an inactivated CampyICE1 *cas9* gene with frameshifts and stop codons, and seven did not

have sequences matching the spacer(s) or only partial matches in their genome assembly. This left

15 *C. jejuni* assemblies with a functional *cas9* gene and spacer matching the pCC42 (seven) and

pTet (eight) plasmids. The matching of spacers, CampyICE1 Cas9 status and plasmid

presence/absence is given in Figure 6, with more detailed data in Table S3 and Table S4.

DISCUSSION

In the last 25 years, CRISPR-Cas has gone from a relatively obscure repeat system in bacteria

to a Nobel Prize winning phenomenon [50]. CRISPR-Cas systems are widespread in prokaryotic

organisms, and while early reports predicted them to be a bacterial version of the adaptive immune

system against phages, it is now clear that they target a wide variety of MGEs, and can also have a

diverse set of alternative functions. Recent studies show that CRISPR-Cas systems are not just

located on genomes, but can also be found on MGEs. Type IV and Type I CRISPR-Cas systems

have been reported on enterobacterial plasmids [51, 52], and have been predicted to function in

competition between plasmids [53]. *Vibrionaceae* species contain a variety of CRISPR-Cas systems

associated with putative MGEs and genomic islands [54, 55], although data on their potential role in

MGE competition are still lacking. To our knowledge, our study is the first to feature an incomplete

Type II-C CRISPR-Cas9 system that is associated with an MGE, and where the majority of spacers

matched competing plasmids. We have shown that CampyICE1 is highly conserved in both *C.*

jejuni and *C. coli*, that it has up to three short spacer arrays on the ICE, and that the presence of a

functional CampyICE1 CRISPR-Cas system and anti-plasmid spacers is associated with the

absence of the three targeted plasmid types in *C. jejuni* and *C. coli*.

The Type II-C Cas9 protein encoded on CampyICE1 is closely related to the Cas9 proteins

found in other *Campylobacter* and *Helicobacter* species, but clusters separately, suggesting it may

have been co-opted from a genomic location in an ancestral *Campylobacteraceae* species.

Interestingly, CampyICE1 lacks the *cas1* and *cas2* genes [56], a feature which has also been noted

for the hypercompact Cas12j (CasΦ) system found on certain bacteriophages [57]. The Cas12j

system much resembles the CampyICE1 Cas9 system described here, as they share a limited

CRISPR spacer repertoire [58]. The lack of Cas1 and Cas2 components could mean that the

CampyICE1 system is incapable of acquiring new spacers, which is supported by the relative lack

of spacer diversity in the 214 genomes containing CampyICE1. However, we cannot exclude that

the CampyICE1 Cas9 may be able to co-opt the Cas1 and Cas2 proteins from the chromosomal

version of the CRISPR-Cas system in *C. jejuni* and *C. coli*, although this is speculative. We have

previously shown that ~98% of all *C. jejuni* genomes have a CRISPR-Cas system, while this is

more limited in *C. coli*, where only ~10% of *C. coli* genomes have a CRISPR-Cas system [34].

Since the diversity in CRISPR spacers is also low in the chromosomal version of CRISPR-Cas of *C.*

jejuni and *C. coli* and most spacers cannot (yet) be linked to mobile elements or phages [34, 59-61],

it may represent additional or alternative functions for Cas9 in *C. jejuni*, such as control or activity

in virulence [62-66]. However, this is not the case for the CampyICE1 CRISPR-Cas9 system, as a

majority of spacers can be linked to the three main families of plasmids in *C. jejuni* and *C. coli*:

pTet, pVir and pCC42.

In our collection of genomes, 41.7% of *C. coli* and 24.3% of *C. jejuni* genomes are predicted to

contain one or more of these three plasmids, in different combinations. The three plasmids do not

show signs of incompatibility, as 93 *C. jejuni* and 166 *C. coli* genomes had a combination of two

plasmids or all three plasmids together. The role of these plasmids in *C. jejuni* and *C. coli* is still

unclear, but they can carry virulence factors and contribute to the dissemination of antibiotic

resistance. However, plasmids are not absolutely required for this, and plasmid-free isolates are also

common. This is similar for the CJIE elements, where different combinations of the CJIE-elements

and CampyICE1 were detected. The different roles of the CJIE-elements in *C. jejuni* and *C. coli* is

still not clear, although the T6SS from CJIE3 has been linked with virulence [32, 33, 67, 68], and

the DNases of the CJIE1, CJIE2, and CJIE4 elements are associated with reduced biofilm formation

and reduced natural transformation [29, 30, 69].

The CRISPR-Cas9 system of the CampyICE1 element has some unique properties, as there are

up to three short CRISPR arrays on the mobile element, with the essential tracrRNA not located

with the *cas9* gene but located in another CRISPR spacer array on CampyICE1. Although the arrays

detected were small, there were still 108 unique spacers and 16 spacer families, with a spacer family

defined as spacers differing by one or two nucleotides only. The majority of CampyICE CRISPR

spacers and variants were predicted to target *Campylobacter* plasmids (69 spacers and 10 variants,

63.7%), with most spacers predicted to target pCC42, pTet and pVir, the three major plasmids in *C.*

jejuni and *C. coli*, which is a very high proportion compared to many other CRISPR-Cas studies.

For example, a study on type IV CRISPR-Cas systems could only match 12% of spacers with

targets, and this was reduced to only 7% for the non-type IV CRISPR-Cas systems [53]. In our

previous study [34] we were also unable to match most *Campylobacter* spacers with putative

targets, which is common. The presence of CampyICE1, functional CRISPR-Cas9 and anti-plasmid

spacers was associated with the absence of the competing plasmids targeted, suggesting that

CampyICE1 has used its CRISPR-Cas9 system for "plasmid warfare" as a form of incompatibility.

The match is not perfect, as there are several examples of a complete CampyICE1 CRISPR-Cas9

system with plasmid-targeting spacers, to which the spacers mapped were present with 100%

sequence identity between spacer and predicted plasmid contigs (Table S3, Table S4, Fig. 6). This

could potentially mean that the CRISPR-Cas system can prevent acquisition of new plasmids, but

for unknown reasons is unable to remove plasmids already present, although this is highly

speculative. It also suggests that the CampyICE1 plasmid restriction can be avoided by mutation of

the target site disrupting the sequence matching, making the system less functional, especially in a

bacterium known for its high levels of genetic variation. We also speculate that DNA modification

and transcriptional variation/regulation may play a role in spacer-target discrepancies.

In summary, we have identified a new putative mobile element in *C. jejuni* and *C. coli* that

contains a degenerated CRISPR-Cas9 system predicted to employ this CRISPR-Cas system to

compete with other families of *Campylobacter* plasmids. We also show that mobile elements and

plasmids are semi-randomly distributed within a large set of *C. jejuni* and *C. coli* genomes, and

display significant levels of genetic variation within the elements. This fits well with the previously

described genetic variability of the genus *Campylobacter*, and adds to the complexity of mobile

elements present within these successful foodborne human pathogens.

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AUTHOR CONTRIBUTIONS

A.H.M.v.V. conceived the study and study design, performed analysis and wrote the paper; O.C.

and M.R. contributed to study design, performed analysis and writing of the paper.

CONFLICTS OF INTEREST

The authors declare that there are no conflicts of interest.

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Table 1. Prevalence of chromosomal and extrachromosomal mobile elements in 5,829 *C. jejuni* and 1,347 *C. coli* genome assemblies.

Mobile element	*C. jejuni* (N=5,829)	*C. coli* (N=1,347)
Chromosomal elements		
CampyICE1	134 (2.3%)	92 (6.8%)
CJIE1	2,136 (36.6%)	254 (18.9%)
CJIE2	1,291 (22.1%)	225 (16.7%)
CJIE3 with T6SS [a]	1,137 (19.5%)	203 (15.1%)
CJIE3 without T6SS [b]	537 (9.2%)	2 (0.1%)
CJIE4	798 (13.7%)	79 (5.9%)
Plasmids		
pCC42	253 (4.3%)	383 (28.4%)
pTet	1,177 (20.2%)	337 (25.0%)
pVir	84 (1.4%)	15 (1.1%)

a. Combined presence of the CJIE3 element and the type VI secretion system

b. Presence of the CJIE3 element, absence of the Type VI secretion system

LEGENDS TO FIGURES

Figure 1. Phylogenetic tree comparing the CampyICE Cas9 proteins with other *Campylobacter* and

Helicobacter Cas9 proteins. The CampyICE1 Cas9 protein (blue) is distinct from the previously

described Cas9 proteins of *C. jejuni* & *C. coli* (red), other *Campylobacter* spp. (green), and selected

Helicobacter spp. (black). *C. jejuni* subsp. *doylei* is shown as *C. doylei*. The tree was drawn using

the Neighbor-Joining method based on an alignment with the MEGA7 Muscle plugin. Bootstrap

values are indicated at branches which scored >95%, based on 500 iterations using MEGA7, using

the JTT matrix and pairwise deletion. The scale bar represents the number of amino acid

substitutions per site. An alignment of a subset of Cas9 proteins with domain annotation is provided

in Figure S1.

Figure 2. Structure and genetic conservation of CampyICE1 from *C. jejuni* and *C. coli*. **(A)**

Schematic overview of the gene structure of CampyICE1 from *C. jejuni* and *C. coli*. The relative

positions of the three CRISPR arrays and their transcriptional orientation is shown above the blocks

of genes. In the CRISPR arrays, repeats are represented by arrowheads, spacers by diamonds, with

the ends of the flanking genes shown. The gene category colors are shown to highlight the large

proportion of hypothetical proteins with no known function. **B)** Graphical comparison of

CampyICE1 elements from *C. jejuni* and *C. coli* genomes, presented as output of a comparison of

Prokka-generated annotations [38] using Clinker [43]. The colours of the arrows in the figure are

used to identify homologous blocks of genes, and are not related to the colours used in part A of the

figure.

Figure 3. Distribution of mobile elements and plasmids in 5,829 *C. jejuni* genome sequences. The

phylogenetic tree was based on core genome MLST. Isolation source category and 7-gene MLST

information have been included for comparative purposes.

Figure 4. Distribution of mobile elements and plasmids in 1,347 *C. coli* genome sequences. The

phylogenetic tree was based on core genome MLST. Isolation source category and 7-gene MLST

information have been included for comparative purposes.

Figure 5. Characteristics of the CampyICE1 CRISPR spacers, protospacers and tracrRNA, and

predicted plasmid targeting by the CampyICE1 CRISPR-Cas9 system. (A) A section of the

CRISPR array is shown (center) with the corresponding protospacer (top) with 8 nt flanking

sequences which contain the PAM motif at the 3' end of the protospacer, represented using a

sequence logo. The tracrRNA sequence and structure are included below. (B) Comparison of the

CRISPR-repeats and predicted tracrRNA part of CampyICE1, *C. jejuni* and the three *C. coli* clades.

The tracrRNA and CRISPR-repeat show matching changes as indicated by red underlined residues.

Asterisks indicate conserved nucleotides, boxes indicate the complementary sequences in CRISPR

repeat and tracrRNA. (C) Example of a CampyICE1 CRISPR spacer perfectly matching a segment

of the *C. jejuni* 81-176 pVir plasmid. (D) Schematic representation of the pCC42, pTet and pVir

family of plasmids (based on the *C. coli* 15-537360 pCC42 plasmid and the *C. jejuni* 81-176 pTet

and pVir plasmids), with the locations of plasmid-targeting CampyICE1 spacers indicated. For

pTet, the approximate location of target gene YSU_08860 (absent from the *C. jejuni* 81-176

plasmid) is indicated by the dashed, green colored arrowhead. More information on specific spacers

and their targets is provided in Table S2.

Figure 6. Low prevalence of pVir, pTet and pCC42 plasmids in CampyICE1-positive *C. jejuni* and

C. coli is associated with CRISPR-spacers targeting these plasmids. The *C. jejuni* and *C. coli*

isolates have been combined in this graph; specific data per isolate and spacer are available in Table

S3, data for *C. jejuni* and *C. coli* separately are provided in Table S4.

van Vliet et al, Figure 1

A

tRNA-Met

CRISPR array 1 + tracrRNA

◁ repeat
◇ spacer

CRISPR array 2 with Cas9

short CRISPR array 3

▷ CRISPR-Cas   ▷ DNase   ▷ integration   ▷ mobilisation & replication   ▷ conjugation & transfer   ▶ hypothetical   ▷ other annotated

average size 87.7 kb (range 70.0-129.3 kb, n=214)

B

C. coli RM1875

C. jejuni OXC9957

C. jejuni OXC9371

C. jejuni OXC5373

C. coli EC4978

C. coli C8C3

cas9

5 kb

0 ▭ 100
Identity (%)

van Vliet et al, Figure 2

C. coli (n=1,347)

source

ruminant; animal; environmental; human (clinical); poultry

IE1

IE2

IE3/T6SS

IE3 with type VI secretion system (T6SS); IE3 without T6SS; T6SS without IE3

IE4

ICE1

pCC42

pTet

pVir

Clade

Clade 2; Clade 3; Clade1c (unintrogressed); Clade 1b (ST-1150); Clade 1a (ST-828)

van Vliet et al, Figure 4

no spacer to plasmid   target missing or defect Cas9   spacer matching plasmid

van Vliet et al, Figure 6