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Genomic Abelian Finite Groups

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15 Abstract

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16 Experimental studies reveal that genome architecture splits into DNA sequence domains suggesting a well-structured genomic architecture, where, for each species, genome populations are 17 18 integrated by individual mutational variants. Herein, we show that, consistent with the fundamental 19 theorem of Abelian finite groups, the architecture of population genomes from the same or closed 20 related species can be quantitatively represented in terms of the direct sum of homocyclic Abelian 21 groups of prime-power order defined on the genetic code and on the set of DNA bases, where 22 populations can be stratified into subpopulations with the same canonical decomposition into p-23 groups. Through concrete examples we show that the architectures of current annotated genomic 24 regions including (but not limited to) transcription factors binding-motif, promoter regulatory boxes, 25 exon and intron arrangement associated to gene splicing are subjects for feasible modeling as 26 decomposable Abelian *p*-groups. Moreover, we show that the epigenomic variations induced by 27 diseases or environmental changes also can be represented as an Abelian group decomposable into 28 homocyclic Abelian p-groups. The nexus between the direct sum of homocycle Abelian p-groups and 29 the endomorphism ring paved the ways to unveil unsuspected stochastic-deterministic logical 30 propositions ruling the ensemble of genomic regions. Our study aims to set the basis for concrete 31 applications of the theory in computational biology and bioinformatics. Consistently with this goal, a 32 computational tool designed for the analysis of fixed mutational events in gene/genome populations

33	represented as endomorphisms and automorphisms is provided. Results suggest that complex local
34	architectures and evolutionary features no evident through the direct experimentation can be unveiled
35	through the analysis of the endomorphism ring and the subsequent application of machine learning
36	approaches for the identification of stochastic-deterministic logical rules (reflecting the evolutionary
37	pressure on the region) constraining the set of possible mutational events (represented as
38	homomorphisms) and the evolutionary paths.
39	

- 40
- 41 Keywords: Genomics, Genetic code, Abelian groups, genome algebra, automorphism, mutational
- 42 event

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43 **1 Introduction**

The analysis of the *genome architecture* is one of biggest challenges for the current and future genomics. Herein, with the term *genome architecture* we are adopting the definition given by Koonin [1]: *Genome architecture can be defined as the totality of non-random arrangements of functional elements (genes, regulatory regions, etc.) in the genome.*

48 Current bioinformatic tools make possible faster genome annotation process (identification of 49 locations for genes, regulatory regions, intron-exon boundaries, repeats, etc.) than some years ago 50 [2]. Current experimental genomic studies suggest that genome architectures must obey specific 51 mathematical biophysics rules [3–6]. Experimental results points to an injective relationship: DNA 52 sequence \rightarrow 3D chromatin architecture [3,4,6], and failures of DNA repair mechanisms in preserving 53 the integrity of the DNA sequences lead to dysfunctional genomic rearrangements which frequently 54 are reported in several diseases [5]. Hence, some hierarchical logic is inherent to the genetic 55 information system that makes it feasible for mathematical studies. In particular, there exist 56 mathematical biology reasons to analyze the genetic information system as a communication system 57 [7–10].

We propose the study of genome architecture in the context of population genomics, where all the variability constrained by the evolutionary pressure is expressed. Although the random nature of the mutational process, only a small fraction of mutations is fixed in genomic populations. In particular, fixation events, ultimately guided by random genetic drift and positive selection are constrained by the genetic code, which permits a probabilistic estimation of the evolutionary mutational cost by simulating the evolutionary process as an optimization process with genetic algorithms [11].

65 **1.1 The genetic code**

66 Under the assumption that current forms of life evolved from simple primordial cells with very simple
 67 genomic structure and robust coding apparatus, the genetic code is a fundamental link to the primeval

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form of live, which played an essential role on the primordial architecture. The genetic code is the 68 69 cornerstone of live on earth, the fundamental communication code from the genetic information 70 system [8,9]. The code-words from the genetic code are given in the alphabet of four DNA bases $\mathfrak{B} = \{A, C, G, T\}$ and integrates a set of 64 DNA base-triplets $\{XYZ\}$ also named *codons*, where 71 72 $X, Y, Z \in \mathfrak{B}$. Each codon encodes the information for one aminoacids and each aminoacid is encoded by one or more codons. Hence, at biomolecular level, the genetic code constitute a set of 73 74 biochemical rules (mathematically expressed as an injective mapping: $codon \rightarrow aminoacid$) used by 75 living cells to translate information encoded within genetic material into proteins, which sets the basis 76 for our understanding of the mathematical logic inherent to the genetic information system [9,12].

77 The subjacent idea to impose a group structure on the set of codons resides on that the genetic 78 code is the code of a communication system, the genetic information system [8,13,14]. As suggested 79 by Andrews and Boss [15]: "In codes used for electrical transmission of engineering signals, group 80 structure is imposed to increase efficiency and reduce error. Similarly, the group characteristics of 81 codon redundancy could serve to transmit additional information superimposed on the messages 82 directing amino acid order in protein synthesis". As in the current human communication systems 83 [16], to impose a group structure (on biophysical basis) on the set of codons facilitate a better 84 understanding and evaluation of the error performance and efficiency of the genetic message carried 85 in the chromosomes across generations [15].

86 **1.2** The genetic code algebraic structures

The basis of the current study are algebraic structures (specifically groups structures) defined on the set of bases and on the codon sets. We assume that readers are familiar with algebraic structures like group, ring, and the classical mapping defined on them, homomorphisms, automorphism, and translations. For readers not familiar with this subject, a brief basic introduction to these definitions is given in the Appendix.

92 The meaning of group operations. Group operations are defined on the sets of DNA bases and 93 codons, are associated to physicochemical or/and biophysical relationships between DNA bases and

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between codons and aminoacids. In other words, a proper definition of a group operation on the set of bases or on the set of codons will encode the physicochemical or/and biophysical relationships between the set's elements. Thus, by group operations defined on the set of bases or on the set of codons, we understand an *encoding* applied to represent specified physicochemical or/and biophysical relationships as group operations between the elements of the set. Then, we shall say that such an encoding permits the *representation* of DNA bases, codons, genes, and genomic sequences as elements from algebraic structures.

101 Obviously, depending on which physicochemical or biophysical relationship is under scrutiny, 102 different encodings of the group operations can be defined on the sets of bases and codons, as shown 103 in reference [17]. The meaning of the group operations has been subjects of the references where the 104 corresponding groups have been reported [11,17–20]. For example, in the DNA double helix, 105 nucleotide bases are paired following specific physicochemical relationships: 1) the chemical type 106 sets the main rule for a paring: a purine base is paired with a pyrimidine, 2) paired bases must have 107 the same hydrogen-bonding capability. These physicochemical relationships rule the DNA base 108 pairing: G:::C (three hydrogen bonds) and A::T (two hydrogen bonds). In this scenario, the sum operation is defined in [20], over the ordered set of bases $\mathfrak{B} = \{D, A, C, G, T\}$, in such a way that the 109 110 DNA complementary bases are also complementary algebraic elements.

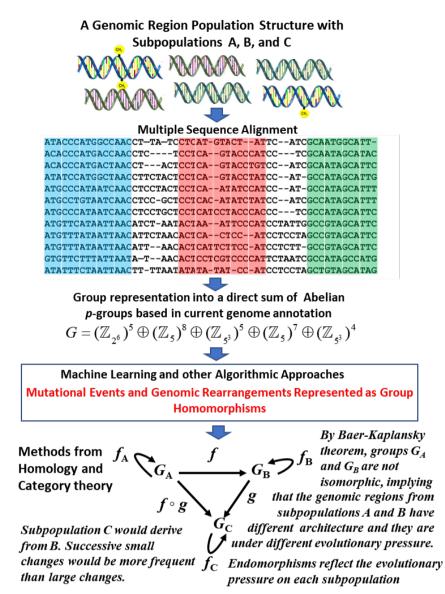
111 Pioneering works on the genetic code algebraic structure. Pioneering works were made in the 70s 112 [15,21–23], just few years after Nirenberg won the Nobel Prize in Physiology or Medicine (in 1968) 113 for his seminal work on the genetic code. Andrews and Boss proposed the cyclic groups of DNA bases, which is isomorphic to the Abelian group defined on the set of integers modulo 4, $\mathbb{Z}_4(\mathbb{Z}/4\mathbb{Z})$ 114 115) [15]. Their approach also considered the base representation with cyclic group of complex numbers. 116 Further studies were focused on operational groups applied to transform bases and base-doublet into 117 each other. Dankworth and Neubert (1975) proposed the Klein-4-group structure (K) of doublet-118 exchange operators and applied the direct product $K \times K$ to study the symmetries of genetic-code 119 doublets [22]. The four dimensional hypercube structure of the genetic-code doublets ($K \times K$ group) 120 was later studied by Bergman and Jungck (1979) [23].

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121 Efforts with the application of group representation theory to study the origin and evolution of 122 the genetic code were made by Honors and Hornos [24,25], and extended to Lie superalgebras by 123 Forger and Sachse [26]. However, these efforts on the application of group representation theory are 124 heavily relying on physical interpretations disconnected from concrete molecular biology context, 125 which made hard a further application on concrete molecular biology or computational biology 126 studies, and on bioinformatic applications. Here, it is important to recall that the *representation* of 127 DNA bases, codons, genes, and genomic sequences as elements from algebraic structures must not 128 be confused with the term group representation typically used in algebra referring to the theory of 129 representations of algebraic structures or, particularly, the group representation theory. Nevertheless, 130 once a group structure has been defined, for example, in the set of codons, a further application of the 131 group representation theory can be developed.

132 In the current study, we aim to show that all possible genomic regions and, consequently, whole 133 chromosomes can be described by way of finite Abelian groups which can be split into the direct sum 134 of homocyclic 2-groups and 5-groups defined on the genetic code. Concepts and basic applications are introduced step by step, sometimes with self-evident statements for a reader familiar with 135 136 molecular biology. However, it will be shown that the algebraic modeling is addressed to unveil more 137 complex relationships between molecular evolutionary process and the genomic architecture than 138 those eyes-visible relationships. This goal will be evidenced on section 3.2. Our algebraic model 139 approach is intended to set the theoretical basis for further studies addressed to unveil and to 140 understand the rules on how genomes are built. Concrete examples and an implementation in a R 141 package are provided to pave the way for future computational and bioinformatic applications. A 142 graphical summary of the modeling of DNA genomic regions proposed here is shown in Fig 1.

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Fig 1. Graphical of the summary showing the bioinformatic and analytical steps followed in the algebraic modeling proposed in current work.

146 **2 Materials and Methods**

147 **2.1 Preceding models applied in the current work**

148 Of particular interest are the Abelian *p*-groups defined on the set of DNA bases $\mathfrak{B} = \{A, C, G, T\}$

149 and on the set of 64 codons $C_g = \{XYZ | X, Y, Z \in \mathfrak{B}\}$, which are applied to modeling the

150 physicochemical relationships between DNA bases in the codons [11,18]. Herein, for application

151 purposes in computational biology and bioinformatics addressed to the study of the genome

152 architecture, we focused our study on Abelian *p*-groups defined on \mathfrak{B} and on C_g isomorphic to the

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153 groups
$$\mathbb{Z}_{p_i^{\alpha_i}}, p_i^{\alpha_i} \in \{2^2, 2^6\}$$
, and on $\mathfrak{B}_+ = \{A, C, G, T, D\}$ and $C_{g_+} = \{XYZ \mid X, Y, Z \in \mathfrak{B}_+\}$,

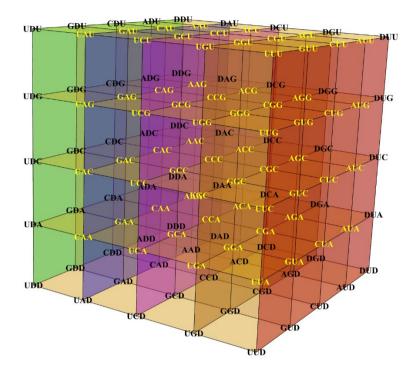
154 $p_i^{\alpha_i} \in \{5, 5^3\}$, as presented in references [11,17–20].

Setting different physicochemical restrictions on the definition of groups operations leads to the 24 possible algebraic representations of the genetic code [17]. In particular, the Abelian *p*-group representations on the set $C_G = \mathfrak{B} \times \mathfrak{B} \times \mathfrak{B}$ and $C_{G+} = \mathfrak{B}_+ \times \mathfrak{B}_+ \times \mathfrak{B}_+ (\mathfrak{B}_+ = \{A, C, G, T, D\},$ where *D* stands for an alternative base, see below) are isomorphic to Abelian groups defined on $\mathbb{Z}_{2^2}^3$ and \mathbb{Z}_5^3 , respectively. These group structures lead to 24 (isomorphic) geometrical representations of the genetic code as cubes inserted in three-dimensional space [11,17,19,20] (Fig 2 and SI Figs 1 and 3).

162 As shown in reference [11], a group structure isomorphic to the symmetric group of degree four S_4 (preserving the group operations previously defined on the codon set) can be defined in set 163 the 24 genetic-code algebraic representations or in the set 24 cubes. Since the definition of a sum 164 operation over the base set is equivalent to define an order on it, cubes are named according to the 165 166 base order on them. For example, the cube shown in Fig 2 is denoted as ACGT, which correspond to the group operation defined on the ordered set $\mathfrak{B} = \{A, C, G, T, D\}$ (the 'dual' cube TGCA is shown 167 168 in SI Fig 2 [11]). Simulation of the evolutionary mutational process with the application of genetic 169 algorithms indicates that fixed mutational events found in different protein populations are very 170 restrictive in the sense that the optimal evolutionary codon distances are reached for specific models of genetic-code cube or for specific combination of genetic-code cube models [11]. In the present 171 172 work, it will be shown that codon mutational events represented in terms of automorphisms can be 173 also restrictive for specific genetic-code cube models (section 3.1).

All the Abelian *p*-group included in the current work are oriented to the study of the mutational process [11,17–20]. That is, since we are interested in those structures that permit the analysis and quantitative description of the mutational process in organismal populations, where mutational event can be represented by means of endomorphisms, automorphisms, and translations on the defined

- 178 group, we do not include algebraic structures designed to study the origin and evolution of the genetic
- 179 code [11,18]. The genetic code is taken as currently is, without over-impose any evolutionary
- 180 hypothesis on it.



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Fig 2. Geometrical representation of the genetic code as a cube inserted in three-dimensional space. 182 2-group and 5-group representation defined 183 The on the sets $C_G = \mathfrak{B} \times \mathfrak{B} \times \mathfrak{B}$ and $C_{G_{\pm}} = \mathfrak{B}_{\pm} \times \mathfrak{B}_{\pm} \times \mathfrak{B}_{\pm}$ isomorphic to the groups defined on $\mathbb{Z}_{2^{2}}^{3}$ and \mathbb{Z}_{5}^{3} , respectively, lead to the 184 geometrical representations of the genetic code as a cube inserted in three-dimensional space. The 185 cube corresponding to the base-triplets with coordinates on $\mathbb{Z}_{2^2}^3$ (yellow codons) is inserted in the 186 187 cube with codon coordinates on \mathbb{Z}_5^3 . The extended base-triplets including the alternative base D (in 188 black) are located on the cartesian coordinate planes. Codons encoding for amino acids with similar physicochemical properties are located on the same vertical plane (for more details on the cube 189 190 description see also SI Fig 1 and reference [11,17,19,20]). 191 192 A general model also consider Abelian 5-groups that includes a dummy variable (denoted by

193 letter D), which extends the DNA alphabet to five letters. The usefulness of including a fifth base in

194 the evolutionary analysis was shown in reference [20], where two evolutionary models, an algebraic

- and a stationary Markov (process) models, were applied to phylogenetic analysis reaching (both
- 196 models) greater discriminatory power than the (now) classical Tamura-Neil evolutionary (Markov)
- 197 model based on four DNA alphabet [27]. Depending on the concrete application, letter "D" will take
- 198 a different value. The possible values in the context of the present modeling are: 1) the gap symbol

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"-", which stands for insertion deletion/mutations in the multiple sequence alignment (MSA) of DNA
sequences, 2) alternative wobble base pairing (e.g., bases such as: inosine (in eukaryotes), agmatine
(in archaea), and lysidine (in bacteria) [17,21,22]), and 3) 5-methylcytosine (C^m) and N-6methyladenine (A^m) when intended for epigenetic studies.

203 A concrete application of the extended genetic-code cubes over the Galois field GF(5) to the 204 simulation of the mutational process proposed in reference [11] would be particularly relevant to 205 predict immunoescape epitope variants originated in populations of pathogenic microorganisms and 206 viruses. In addition, examples provided (here) on the application of the algebraic model to DNA 207 methylation (on 5-methylcytosine and on N-6-methyladenine) suggest its importance for epigenetic 208 studies. The analysis of the fixed mutational events on genes populations revealed that the mutational 209 process can be described by automorphisms on different cubes or sets of cubes [11]. The best genetic-210 code cubes describing the mutational process on a given gene population are selected with the 211 application of an optimization algorithm (evolutionary (genetic) algorithms) using multiple sequence 212 alignment as raw data [11].

213 It is worthy to notice that, for all mentioned Abelian p-groups, the calculus can be 214 accomplished as symbolic computation on the set of DNA bases or on the set of codons (see e.g., 215 [18]). However, for practical purposes, we take advantage of the group isomorphisms. That is, after 216 define group structures on the sets of bases and codons, for the sake of straightforward computation 217 it is convenient to take advantage of the group isomorphisms with the Abelian p-groups like: \mathbb{Z}_{2^2} , $\mathbb{Z}_{2^2}^3$, \mathbb{Z}_{2^6} , \mathbb{Z}_5 , \mathbb{Z}_{5^3} and \mathbb{Z}_5^3 , which will be used in our study instead of the original groups defined 218 219 on the sets of bases and codons (base-triplets). An introductory summary on the mentioned algebraic 220 structure defined on the set of codons is provided as supporting information in S1.

In the context of genetic-code algebraic structures, by the term "*representation*" of DNA bases, codons, genes, and genomic sequences as elements from algebraic structures, we understand the symbolic representation of the mentioned biomolecules and the physicochemical relationships between them by means of group operations defined on the given set of biomolecules.

225 2.2 Aligned DNA sequences and data sets

226 All the DNA sequence alignments and data sets used in this work are available within the R package 227 GenomAutomorphism (version 1.0.0) [28]. In addition, the pairwise sequence alignments of SARS 228 coronaviruses used the analyses shown in Fig 8a and b are also available at GitHub in: 229 https://github.com/genomaths/seqalignments/tree/master/COVID-19. The multiple sequence 230 alignment (MSA) of primate somatic cytochrome c and data description are available on GitHub at: 231 https://github.com/genomaths/seqalignments/tree/master/CYCS. This MSA includes DNA protein-232 coding sequences from: human, gorilla, silvery gibbon, white cheeked gibbon, Francois langur, olive 233 baboon, golden monkey, rhesus monkeys, gelada baboon, and orangutan. The MSA of primate 234 BRCA1 (transcript variant 4) DNA repair gene used to compute the automorphism shown Fig 8d is 235 available on GitHub at https://github.com/genomaths/seqalignments/tree/master/BRCA1. The MSA, coordinates and R script to create the sequence-logo from Fig 4 are given in the Supporting 236 237 Information.

238 2.3 Software applied for the mathematical and statistical analyses

239 Results shown in Fig 8 and Fig 9 were obtained applying the GenomAutomorphism R package 240 [28] (version 1.0.0), which is available at Bioconductor (the open source software for Bioinformatics, 241 version: 3.16) and, also, in GitHub at: https://github.com/genomaths/GenomAutomorphism. The whole R script pipeline applied in the estimation of automorphisms (Fig 8) and decision tree (Fig 9) 242 243 available are as tutorials (vignettes) at the Geno Automorphism website: 244 https://github.com/genomaths/GenomAutomorphismm.

- The estimation of the best fitted probability distribution shown in Fig 8**f** was accomplished with R package *usefr* available at GitHub: <u>https://github.com/genomaths/usefr</u>, and the goodness-offit tests are reported in the mentioned tutorials.
- 248 The genetic-code cube shown in Fig 2 was obtained from the Wolfram Mathematica Notebook: 249 Introduction to \mathbb{Z}_5 -Genetic-Code vector space, free available at 250 https://github.com/genomaths/GenomeAlgebra SymmetricGroup.

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251 2.4 **Theoretical Model**

252 According to the fundamental theorem of Abelian finite groups (FTAG) [29,30], any finite Abelian 253 group can be decomposed into a direct sum of homocyclic p-groups [29], i.e., a group in which the 254 order of every element is a power of a primer number p. Herein, it will be showed that, in a general 255 scenario, genomic regions and, consequently, whole genome populations from any species or close 256 related species, can be algebraically represented as a direct sum of Abelian homocyclic groups or 257 more specifically Abelian p-groups of prime-power order. The multiple sequence alignments (MSA) 258 of a given genomic region of N base-pair (bp) length can be represented as the direct sum:

259
$$G = \left(\mathbb{Z}_{p_1^{\alpha_1}}\right)^{n_1} \oplus \left(\mathbb{Z}_{p_2^{\alpha_2}}\right)^{n_2} \oplus \cdots \oplus \left(\mathbb{Z}_{p_k^{\alpha_k}}\right)^{n_k}$$
(1)

Where $p_i^{\alpha_i} \in \{2, 5, 2^6, 5^3\}$, n_i stands for the number of cyclic groups $\mathbb{Z}_{p_i^{\alpha_i}}$ integrating the homocyclic 260

- group $\left(\mathbb{Z}_{p_i^{\alpha_i}}\right)^{n_i} = \mathbb{Z}_{p_i^{\alpha_i}} \bigoplus_{i=1}^{n_i \text{ times}} \mathbb{Z}_{p_i^{\alpha_i}}$. Here, we assume the usual definition of direct sum of groups 261 [30]. For $p_j^{\alpha_j} \in \{2^6, 5^3\}$ the cyclic group $\mathbb{Z}_{p_i^{\alpha_j}}$ will cover three bases, otherwise only one base (see 262 263 examples below). Considering such groups (not necessarily in the order given in Eq. 1) we have: $N = n_1 + \ldots + n_j + n_{j+1} + \ldots + n_{j+m} + \ldots + n_k$. Throughout the exposition of the theory and 264 265 examples given in the next sections, it will be obvious that the group representations can be extended, 266 starting from small genomic regions till cover whole chromosomes and, consequently, the whole 267 genome, i.e., the set of all chromosomes.
- 268

Let B_i $(i \in I = \{1, ..., n\})$ be a family of subgroups of G, subject to the following two 269 conditions:

- 1) $\sum B_i = G$. That is, B_i together generate G. 270
- 2) For every $i \in I$ and $i \neq j$: $B_i \cap \sum B_i = 0$. 271

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272 Then, it is said that G is the direct sum of its subgroups B_i , which formally is expressed by the

273 expression:
$$G = \bigoplus B_i$$
 or $G = B_1 \bigoplus \ldots \bigoplus B_n$

 $(\mathbb{Z}_{2^6})^5$ or $(\mathbb{Z}_5^3)^5$ or $(\mathbb{Z}_5)^{15}$ $(\mathbb{Z}_5)^8$

274 Genomic DNA sequences from superior organisms are integrated by intergenic regions and gene regions. The former are the larger regions, while the later includes the protein-coding regions as 275 276 subsets. The MSA of DNA and protein-coding sequences reveals allocations of the nucleotide bases 277 and aminoacids into stretched of strings. The alignment of these stretched would indicate the presence 278 of substitutions, insertions, and deletion (*indel*) mutations. As a result, the alignment of homolog 279 genomic regions or whole chromosome DNA sequences from several individuals from the same or 280 close-related species can be split into well-defined subregions or domains, and each one of them can 281 be represented as homocyclic Abelian groups, i.e., as the direct sum of cyclic group of the same 282 prime-power order (Fig 3). As a result, each DNA sequence is represented as a N-dimensional vector 283 with numerical coordinates representing bases and codons.

> > $\left(\mathbb{Z}_{5^3}\right)^5$ $\left(\mathbb{Z}_{5}\right)^7 \left(\mathbb{Z}_{5^3}\right)^4 \text{ or } \left(\mathbb{Z}_{5^3}\right)^4$

284

Fig 3. An illustration of a typical DNA multiple sequence alignment (MSA) including segments of 285 protein-coding regions. A MSA would include the presence of substitution, insertion, and deletion 286 mutations (indel mutations). The aligned sequences can be grouped into blocks, which can be 287 algebraically represented by Abelian groups. A homocyclic group covering a MSA block corresponds 288 289 to a sub-classification of the protein-coding region into subregions and, consequently, leading to a more accurate molecular taxonomy of species. In protein-coding regions cyclic groups \mathbb{Z}_{2^6} and \mathbb{Z}_5^3 290 291 are appropriated to study exon regions, while \mathbb{Z}_5 for non-coding intron regions. As shown in section 292 1.4, the group representation leads us the analysis of the more frequent mutational events (represented 293 as endomorphisms and translations) observable in genes from organismal populations. 294

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An intuitive mathematical representation of a MSA is implicit in Fig 3, with the following observations:

297	a)	Bases or codons can be represented as elements of an Abelian group defined on the set of
298		bases or on the set of codons. In the second block (including gap symbol '-') each base from
299		each sequence is represented as an element from the Abelian group defined on the set {A, C,
300		G, T, D } where $D = '-'$, which is isomorphic to the Abelian <i>p</i> -group defined on the set \mathbb{Z}_5
301		. The extended base triplets (including gaps symbol '-') from each sequence in the third
302		aligned block are represented as elements from the Abelian p -group defined on the set of
303		extended base-triplets (125 element, see SI Table 1) which is isomorphic to the Abelian group
304		defined on the set \mathbb{Z}_{5^3} , and so on.

305 b) Every DNA sequence from the MSA and every subsequence on it can be represented as a 306 numerical vector with element coordinates defined in an Abelian group. For practical 307 computational purposes we take advantage of the group isomorphism to work with numerical 308 representations of DNA bases and codons. For example, codons from the first aligned block (in blue) can be represented as elements from an Abelian group defined on the set of codons, 309 which can be isomorphic to \mathbb{Z}_{2^6} or to \mathbb{Z}_5^3 . That is, since $(C_g, +) \cong (\mathbb{Z}_{2^6}, +)$, the first five 310 codons {ATA, CCC, ATG, GCC, AAC} $\in C_g$ from the first DNA sequence from Fig 3, 311 312 can be represented by the vector of integers: $\{48, 21, 50, 25, 1\}$ where each coordinate is an element from group $(\mathbb{Z}_{2^6}, +)$ (see Table 1 from reference [18]). 313

c) Any MSA can be algebraically represented as a symbolic composition of Abelian groups
each one of them is isomorphic to an Abelian group of integers module *n*. Such a composition
can be algebraically represented as a direct sum of homocyclic Abelian *p*-groups. For
example, the MSA from Fig 3 can be represented by the direct sum of five homocyclic
Abelian *p*-groups:

319
$$G = (\mathbb{Z}_{2^6})^5 \oplus (\mathbb{Z}_5)^8 \oplus (\mathbb{Z}_{5^3})^5 \oplus (\mathbb{Z}_5)^7 \oplus (\mathbb{Z}_{5^3})^4$$
(2)

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320 Where the length of each region determines the number of cyclic *p*-groups in the 321 corresponding homocyclic Abelian *p*-group $\mathbb{Z}_{p^{a_1}}$ representing each region. For example, in

322 Eq. 2 we have the homocyclic group:
$$\left(\mathbb{Z}_{5^3}\right)^4 = \bigoplus_{i=1}^4 \mathbb{Z}_{5^3}$$
, which is a direct sum of 4 cyclic

323 5-groups
$$(\mathbb{Z}_{5^3}, +) \cong (C_{g^+}, +)$$
. Since group *G* is the direct sum of homocyclic Abelian *p*-

324 groups of different prime-order, we shall say that G is a heterocyclic group.

In more specific scenario, the MSA from Fig 3 can be represented by only one homocyclicAbelian 5-group:

$$G = (\mathbb{Z}_5)^{57} \tag{3}$$

But this representation ignores the local variability detected by the MSA algorithm. Hence, preserving
the highlighted features, the MSA can be represented as the direct sum of homocyclic Abelian 5groups:

331
$$G = (\mathbb{Z}_5^3)^5 \oplus (\mathbb{Z}_5)^8 \oplus (\mathbb{Z}_{5^3})^5 \oplus (\mathbb{Z}_5)^7 \oplus (\mathbb{Z}_5^3)^4 \tag{4}$$

332 Although the above *direct sums* of Abelian *p*-groups provides a useful compact representation of a MSA, for application purposes to genomics, we would also consider to use the concept of direct 333 334 product (cartesian sum or complete direct sums) [30]. Next, let S be a set of Abelian cyclic groups identified in a MSA M of length N (i.e., every DNA sequence from M has N bases). Let ℓ_i the number 335 of bases or triples of bases covered on M by group $S_i \in S$ where $\sum_i \ell_i = N$. Hence, each DNA 336 sequence on the *M* can be represented by a cartesian product (b_1, \ldots, b_n) where $b_i \in S_i$ $(i = 1, \ldots, n)$ 337 and n = |S|. Let G_i be a group defined on the set of all elements $(0, \dots, 0, b_i, 0, \dots, 0)$ where $b_i \in S_i$ 338 stands on the i^{th} place and 0 everywhere else. It is clear that $S_i \cong G_i$. In this context, the set of all 339 vectors (b_1, \dots, b_n) with equality and addition of vectors defined coordinate-wise becomes a group (340 341 G) named direct product (cartesian sum) of groups $S_i(G_i)$, i.e.:

16

$$G = \bigotimes_i S_i = \bigoplus_i G_i \tag{5}$$

343 An illustration of the cartesian sum application was given above in observation a).

344 **3 Results**

Results essentially comprise an application of the fundamental theorem of Abelian finite groups [29,30]. By this theorem, every finite Abelian group G is isomorphic to a direct sum of cyclic groups of prime-power order of the form:

348
$$G = \mathbb{Z}_{p_1^{\alpha_1}} \oplus \mathbb{Z}_{p_2^{\alpha_2}} \oplus \dots \oplus \mathbb{Z}_{p_n^{\alpha_n}}$$
(6)

Or (in short) $G = \bigoplus_{i=1}^{n} \mathbb{Z}_{p_{i}^{\alpha_{i}}}$, where the p_{i} 's are primes (not necessarily distinct), $\alpha_{i} \in \mathbb{N}$ and $\mathbb{Z}_{p_{i}^{\alpha_{i}}}$ is the group of integer module $p_{i}^{\alpha_{i}}$. The Abelian group representation of the MSA from Fig 3 given by Eq. 2 correspond to a heterocyclic group that split into a direct sum of homocyclic Abelian 2groups and 5-groups, each one of them split into the direct sum of cyclic *p*-groups with same order; while in Eqs. 3 and 4, the Abelian group *G* is decomposed into a direct sum of homocyclic Abelian 5-groups [29,30].

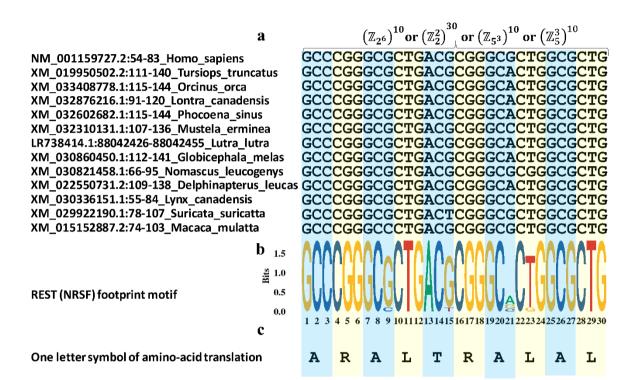
Notice that for a large enough genomic region of fixed length *N* we can build a *manifold of (a* set of various) heterocyclic groups S_i , where each one of them can have different decomposition into *p*-groups. The set *S* of all possible Abelian *p*-group representations S_i of a large genomic region of fixed length (having numerous different parts, elements, features, forms, etc.) that split into the direct sum of several heterocyclic groups G_k ($S_i = \bigoplus_{k=1}^n G_k$) shall be called a *heterocyclic-group manifold*. So, each genomic region can be characterized by means of their corresponding *heterocyclic-group manifold*.

362 **3.1 Examples of genomic regions group representations**

A group representation is particularly interesting for the analysis of DNA sequence motifs, which typically are highly conserved across the species. As suggested in Fig 3 and 4, there are subregions of DNA or protein sequences where there are few or not gaps introduced and mostly substitution

17

- 366 mutations are found. Such subregions conform blocks that can cover complete DNA sequence motifs
- 367 targeted by DNA biding proteins like transcription factors (TFs, Fig 4), which are identifiable
- applying bioinformatic algorithms like BLAST [31].
- 369



370

Fig 4. The DNA sequence motifs targeted by transcription factors usually integrate genomic building block across several mammal species. a, DNA sequence alignment of the protein-coding sequences from phospholipase B domain containing-2 (PLBD2) carrying the footprint sequence motif recognized (targeted) by the Silencing Transcription factor (REST), also known as Neuron-Restrictive Silencer Factor (NRSF) REST (NRSF). b, Sequence logo of the footprint motif recognized REST (NRSF) on the exons. c, Translation of the codon sequences using the one-letter symbol of the aminoacids.

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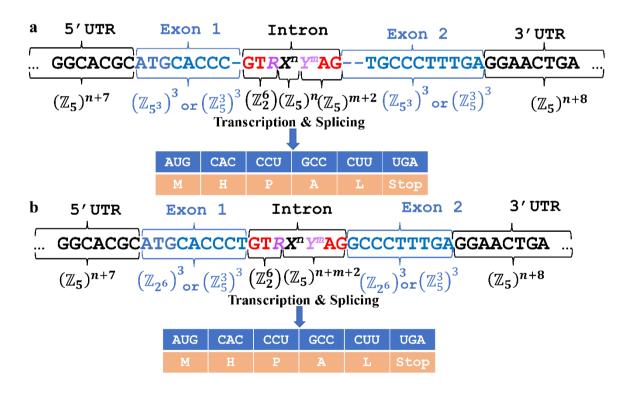
The case of group representation on a TF binding motif is exemplified in Fig 4, where an exon

- 380 region from the enzyme phospholipase B domain containing-2 (PLBD2) simultaneously encodes
- 381 information for several aminoacids and carries the footprint to be targeted by the transcription factor
- 382 REST. Herein, the case of double encoding called our attention, where the DNA sequence
- 383 simultaneously encodes the information for transcription enhancer target motif and for a codon
- 384 sequence (base-triplets) encoding for aminoacids. These types of double-coding regions are also
- 385 called *duons* [32–34].

18

386	Four group representations for this exon subregion are suggested in the top of the Fig 4 (panel
387	a). However, the MSA's sequence logo (panel b) suggests that this transcription factor binding-motif
388	is a highly conserved codon sequence in mammals (with no indel mutations on it) and, in this case,
389	the Abelian group $(C_g, +) \cong (\mathbb{Z}_{2^6}, +)$ defined on the standard genetic code is the appropriated model
390	to represent these motifs (Fig 4). The homocyclic group representation of conserved and biological
391	relevant DNA sequence motifs, illustrated in Figs. 3 and 4, stablish the basis for the study of the
392	molecular evolutionary process in the framework of group endomorphisms and automorphisms as
393	suggested in [18,20] (section 1.4).

In Fig 5, two different protein-coding (gene) models from two different genome populations can lead to the same direct sum of Abelian *p*-groups and to the same final aminoacids sequence (protein).



397

Fig 5. Two different protein-coding (gene) models can lead to the same Abelian group representation and the same protein sequence. A dummy intron was drawn carrying the typical sequence motif targeted by the spliceosome the donor (GUR) and acceptor (Y^mAG) sites, where $R \in \{A, G\}$ (purines) and $Y \in \{C, U\}$, X stands for any base, and n and m indicate the number of bases present in the corresponding sub-sequences (pyrimidines). **a**, A gene model based on a *dummy* consensus sequence where gaps representing base D from the extended genetic code were added to preserve the coding frame, which naturally is restored by splicing soon after transcription. **b**, A gene model where both

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405 exons, 1 and 2, carries a complete set of three codons (base-triplets). Both gene models, from panels
406 a and b, share a common group representation as direct sum of Abelian 5-groups.
407

The respective exon regions have different lengths and gaps ("-", representing base D in the 408 409 extended genetic code) were added to exons 1 and 2 (from panel a) to preserve the reading frame in 410 the group representation (after transcription and splicing gaps are removed). Both gene models, from 411 panel **a** and **b**, share a common direct sum of Abelian 2-groups and 5-groups: $(\mathbb{Z}_5)^{n+7} \oplus (\mathbb{Z}_5^3)^3 \oplus (\mathbb{Z}_2^6) \oplus (\mathbb{Z}_5)^{n+m+2} \oplus (\mathbb{Z}_5^3)^3 \oplus (\mathbb{Z}_5)^{n+8}$. The analysis of theses gene 412 413 models suggests that DNA sequences sharing a common group representation as direct sum of 414 Abelian p-groups would carry the same or similar, or close related biological information. However, 415 it does not imply that the architecture of these protein-coding regions is the same. The gene model in permits 416 panel b the direct sum representation: $(\mathbb{Z}_5)^{n+7} \oplus (\mathbb{Z}_{2^6})^3 \oplus (\mathbb{Z}_2^6) \oplus (\mathbb{Z}_5)^{n+m+2} \oplus (\mathbb{Z}_{2^6})^3 \oplus (\mathbb{Z}_5)^{n+8}$, which is no possible for the 417 418 gene model from panel **a**. That is, the *heterocyclic-group manifold* from the gene model in panel **a** is

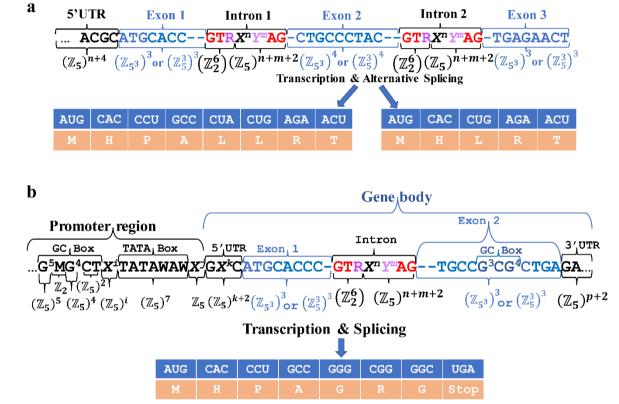
different from the one in panel **b**. The difference of group representation just captures the obvious
fact that these gene models are different and, consequently, their gene architectures are different.

At this point we shall introduce the concept of *equivalent class of genomic region*. We shall say that two genomic regions belong to same *equivalent class of genomic region* if they hold the same heterocyclic-group manifold (and, consequently, they hold same architecture). Under this definition, the region architecture of the protein-coding regions from Fig 5a and b are not equivalent. The concept of *equivalent class of genomic region* is relevant for further applications of the group representation on the taxonomy study of organismal populations.

Taxonomy is the study of the scientific classification of biological organisms into groups based on shared characteristics. Mathematically, this is a way to split biological organisms into classes of equivalences. Numerical taxonomy is a well-established application of multivariate statistics on the analysis of plant germplasm banks. The group representations of genomic regions will lead to a higher accuracy in the taxonomy study of organismal populations.

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432 No matter how complex a genomic region might be, it has an Abelian group representation. A
433 further application of group theory would unveil more specific decomposition of small genomic
434 regions into Abelian groups. For example, the set of base-triplets found in a typical sequence motif
435 targeted by the spliceosome donor, GTR (Figs. 5 and 6), is in the vertical line GTZ (GUZ) of the
436 vertical plane *XTZ* (*XUZ*) from the cube ACGU shown in Fig 2 (see also SI Fig 3).



437

Fig 6. The Abelian group representation of a given genome only depend on our current knowledge 438 439 on its annotation. a, the alternative splicing specified for an annotated gene model does not alter the 440 Abelian group representation and only would add information for the decomposition of the existing 441 cyclic groups into subgroups. **b**, a more complex gene model including detailed information on the 442 promoter regions. A GC box (G5MG4CU) motif is located upstream of a TATA box (TATAWAW) 443 motif in the promoter region. The GC box is commonly the binding site for Zinc finger proteins, 444 particularly, Sp1 transcription factors. A putative GC box was included in exon 2, which is an atypical 445 scenario, but it can be found, e.g., in the second exon from the gene encoding for sphingosine kinase 446 1 (SPHK1), transcript variant 2 (NM 182965, CCDS11744.1). In this group representation, the 447 spliceosome donor GTR can be represented by the elements from a quotient group (see main text). 448

```
449 Since purine bases (R: A and G) are the only accepted variants at the third codon position, it is
```

- 450 convenient to model these base-triples with the group defined on the cube AGCU [11] (SI Fig 3).
- 451 Next, following analogous reasoning as in [19], it turns out that the set of base-triplets GTR is a coset

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from the quotient group $(C_G, +)/G_{AAG}$, where here $(C_G, +) \cong (\mathbb{Z}_2^6, +)$ is the additive group from the genetic code Galois field GF(64) reported in reference [35] and $G_{AAG} = (\{AAA, AAG\}, +)$ is a subgroup from the Klein four group defined on the set $\{AAA, AAG, AAC, AAU\}$ (see operation table in the SI Table 2), i.e., $GTR = GTA + G_{AAG}$ (SI Fig 3).

There exists strong evolutionary pressure on splicing donor site to keep the base-triplet GTR in the vertical line GTZ (GUZ) vertical line (coset). As shown in the clinical report [36] mutational variants, located in different cube's vertical lines (different cosets, SI Fig 3) GCZ and CTZ (CUZ), within intron 3 have led to four aberrant RNAs transcripts that causes rare X-chromosome-linked congenital deafness. As will be shown below (in section 3.1) the strong connection between DNA sequences and non-disrupting mutational events is mathematically (and accurately) modeled by the strong relationship between a group representation and the endomorphism ring on it.

An example considering changes on the gene-body reading frames as those observed in 463 464 alternative splicing is shown in Fig 6. Gene-bodies with annotated alternative splicing can easily be represented by any of the groups $(\mathbb{Z}_5^3)^n$ or $(\mathbb{Z}_{5^3})^n$ (Fig 6a). The splicing can include enhancer 465 466 regions as well (Fig 6b) [37]. Enhancers are key regulator of differential gene expression programs. 467 As commented in the introduction, cytosine DNA methylation is implicitly included in extended base-triple group representation. Typically, the analysis of methylome data is addressed to 468 469 identify methylation changes induced by, for example, environmental changes, lifestyles, age, or diseases. So, in this case the letter D stands for methylated adenine and cytosine ($D = C^{m}$), since 470 471 only epigenetic changes are evaluated.

472 Concrete examples of adenine in bacteria linked to the regulation of pyelonephritis-associated 473 pilus (pap) expression by DNA methylation on the *Escherichia coli* operon (locus X14471) and 474 cytosine methylation in two (humans) genes from patients with pediatric acute lymphoblastic 475 leukemia (PALL) are presented in Fig 7. On protein-coding regions methylation change can be 476 analyzed on the homocyclic groups composed by the cyclic group \mathbb{Z}_5^3 or \mathbb{Z}_{5^3} (Fig 7c and **d**). Notice

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477 that adenine methylation is found in humans as well and, usually, it plays a very specific regulatory

478 role [38,39].

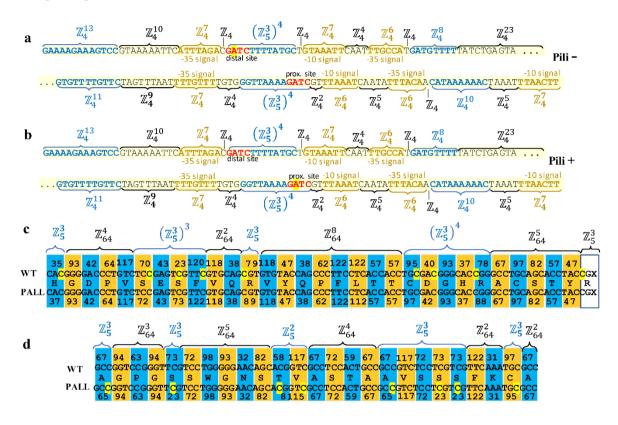


Fig 7. Vector representation of differentially methylated gene regions. a and b, regulation of 480 481 pyelonephritis-associated pilus (pap) expression by DNA methylation on the Escherichia coli operon 482 (locus X14471). c and d, exons regions from genes EGEL7 and P2RY1 from patients with pediatric acute lymphoblastic leukemia (PALL). In panel a, two 5'-GATC-3' DNA adenine methyltransferase 483 (Dam) methylation sites in the middle of each set of the leucine-responsive regulatory protein (Lrp) 484 binding sites (in blue). In the inactive state, panel **b**, a Lrp octamer is bound to the three proximal Lrp 485 3' sites, while the GATC^{dist} site in Lrp site 5 is fully methylated, and the system remains in phase 486 OFF (Pili -) with regard to pilus expression. In the active state, the adenine from the GATC^{prox} is 487 488 methylated permitting to bend the DNA to recruit CRP to activate transcription of papBA genes (Pili 489 +). Pap pili are multisubunit fibers essential for the attachment of uropathogenic Escherichia coli to 490 the kidney (see [40]). In panel c, a segment of exon-6 from gene EGFL7 located at chromosome 9: 491 139,563,008-139,563,124 is shown. On average, this gene is hypo-methylated in the control group 492 with respect to PALL group. d. Segment of exon-1 from gene P2RY1. Methylated cytosines are 493 highlighted in yellow background. In PALL patients, gene EGEL7 mostly hypomethylated and gene 494 P2RY1 mostly hypermethylated in respect to healthy individuals (WT). The encoded aminoacid 495 sequence is given using the one letter symbols. Both genes, EGEL7 and P2RY1, were identified in the top ranked list of differentially methylated genes integrating clusters of hubs in the protein-protein 496 497 interaction networks from PALL reported in reference [41]. The integer number at the top and bottom 498 of panel **c** and **d** stand for the codon coordinates in \mathbb{Z}_{s^3} (see SI Table 1).

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479

500 It is obvious that the MSA from a whole genome derives from the MSA of every genomic

501 region, from the same or closed related species. At this point, it is worthy to recall that there is not,

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502 for example, just one human genome or just one from any other species, but populations of human 503 genomes and genomes populations from other species. Since every genomic region can be represented 504 by the direct sum of Abelian homocyclic groups of prime-power order, then the whole genome 505 population from individuals from the same or closed related species can be represented as an Abelian 506 group, which will be, in turns, the direct sum of Abelian homocyclic groups of prime-power order. 507 Hence, results lead us to the representation of genomic regions from organismal populations from the 508 same species or close related species (as suggested in Fig 3 to 7) by means of direct sum of their 509 group representation into Abelian cyclic groups. A general illustration of this modelling would be, 510 for example:

511
$$G = (\mathbb{Z}_{5^3})^{n_1} \oplus (\mathbb{Z}_{2^6})^{m_1} \oplus (\mathbb{Z}_{5^3})^{n_2} \oplus \dots \oplus (\mathbb{Z}_{2^2})^{m_2} \oplus \dots \oplus (\mathbb{Z}_{5^3})^{n_p} \oplus (\mathbb{Z}_{2^6})^{m_p}$$
(7)

That is, Eq. 7 expresses that any large enough genomic region can be represented as direct sum of
homocyclic Abelian groups of prime-power order. In other words, the fundamental theorem of
Abelian finite groups (FTAG) has an equivalent in genomics.

515 **Theorem 1.** The genomic architecture from a genome population can be quantitatively represented 516 as an Abelian group isomorphic to a direct sum of homocyclic Abelian groups of prime-power order. 517 The proof of this theorem is self-evident across the discussion and examples presented here. 518 Basically, group representations of the genetic code lead to group representations of local genomic domains in terms of cyclic groups of prime-power order, for example, $(C_g, +) \cong (\mathbb{Z}_{2^6}, +)$, 519 $(C_{G_+},+) \cong (\mathbb{Z}_5^3,+)$ or $(C_{g_+},+) \cong (\mathbb{Z}_{5^3},+)$, till covering the whole genome. As for any finite Abelian 520 group, the Abelian group representation of genome populations can be expressed in terms of a direct 521 522 sum of Abelian homocyclic groups of prime-power order. Any new discovering on the annotation of 523 a given genome population will only split an Abelian group, already defined on some genomic 524 domain/region, into the direct sum of Abelian subgroups .

525 The application of the FTAG in terms of the group representation of genomic regions G, as 526 given in Eq. 7, establishes the basis to the study the molecular evolutionary process in terms of

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endomorphisms. That is, fixed mutational events in the organismal population can be modeled as homomorphism: endomorphisms and automorphisms, all elements of the endomorphism ring $\Re(G)$ on *G* (see next section). In the context of comparative evolutionary genomics, the analysis of the endomorphism ring $\Re(G)$ is an intermediate step for the further application of methods from Category theory, which has the potential to unveil unsuspected features of the genome architecture, hard to be inferred from the direct experimentation.

533 **3.2** The endomorphism ring

534 A biologically relevant application of the theory presented here relies on the fact that if a finite group 535 G is written as a direct sum of subgroups G_i , as given in Eq. 7, then endomorphism ring End(G) is isomorphic to the ring matrices (A_{ij}) , where $A_{ij} \in Homo(G_i, G_j)$ (homomorphism between G_i and 536 G_{j}), with the usual matrix operations [30]. In the case of genomic regions from the species or closed 537 related genomic regions from distinct species, the endomorphism that transform the DNA aligned 538 sequence α into $\beta(\alpha, \beta \in G)$ is represented by a matrix with only non-zero elements in the principal 539 diagonal. These diagonal elements are sub-matrices $A_{ii} \in End(G_i)$ or $A_{ii} \in Aut(G_i)$. In other 540 541 words, mutational events fixed in gene/genome populations can be quantitatively described as 542 endomorphisms and automorphisms.

In the Abelian *p*-group defined on $\mathbb{Z}_{p_i^{\alpha_i}}$, the endomorphisms $\eta_i \in End\left(\mathbb{Z}_{p_i^{\alpha_i}}\right)$ are described as functions $f(x) = k x \mod p_i^{\alpha_i}$, where *k* and *x* are elements from the set of integers modulo $p_i^{\alpha_i}$. For example, in the cube ACGT the sequence ATACCCATGGCCAAC (blue block in Fig. 3) represented by the vector $(48, 21, 50, 25, 1) \in (\mathbb{Z}_{2^6})^5$ is transformed into the sequence

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547 ACACCCATGACCAAC, represented by the vector $(16, 21, 50, 17, 1) \in \mathbb{Z}_{2^6}$, by the automorphism:

	3	0	0	0	0	[3	0	0	0	0		(16))
	0	1	0	0 0	0		0	1	0	0	0	mod 64 =	(16) 21	
548	0	0	1	0	0	, i.e.: (48,21,50,25,1)	0	0	1	0	0		50	
				57						57			17	
	0	0	0	0	1_		_0	0	0	0	1_		(1))

Now, it is not difficult to realize that the set of all endomorphisms $\eta_i \in End\left(\mathbb{Z}_{p_i^{\alpha_i}}\right)$ hold the ring

axioms mentioned in the Introduction. That is, the set of all endomorphisms $\eta_i \in End\left(\mathbb{Z}_{p_i^{\alpha_i}}\right)$ forms

551 a ring on
$$\mathbb{Z}_{p_i^{\alpha_i}}$$
 that we shall denote as $\Re\left(\mathbb{Z}_{p_i^{\alpha_i}}\right)$.

As shown in reference [30], if $G = G_1 \oplus G_2 \dots \oplus G_n$ is a direct decomposition with fully invariant summands, then :

$$End(G) = End(G_1) \oplus End(G_2) \dots \oplus End(G_n)$$
(8)

In this modeling, mutational events are represented as endomorphisms $\eta_i \in End\left(\mathbb{Z}_{p^{\alpha_i}}\right)$ on $\mathbb{Z}_{p^{\alpha_i}}$ 555 556 . This fact permits the study of the genome architecture through the study of the evolutionary (mutational) process in a genome population. Moreover, the decomposition of the endomorphism ring 557 558 into subgroups, quotient groups, and cosets can lead to a deterministic algebraic taxonomy of the 559 species based on their genome architecture, which is not limited by our current biological knowledge. 560 Particularly relevant for the evolutionary comparative genomics is Baer-Kaplansky theorem: If G and H are p-groups such that $\Re(G) \cong \Re(H)$, then $G \cong H$ ([29,42]). That is, two Abelian finite 561 562 groups are isomorphic if, and only if, their endomorphism rings are isomorphic [42]. In other words, 563 genomic regions experiencing mutational events representable by isomorphic rings are algebraically 564 represented by isomorphic Abelian groups and, consequently, have similar genome architecture. 565 Application of Baer-Kaplansky theorem implies that two gene-body regions encoding exactly for 566 the same polypeptide but with different region architecture (Fig 5) are under different evolutionary

567 pressure. That is, if the group representations of two gene-body regions are not isomorphic, then their

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568 endomorphism rings are not isomorphic either and, consequently, they will be under different 569 evolutionary pressure, experiencing different subsets of mutational events, which are represented as 570 endomorphisms from their corresponding endomorphism ring. This scenario is typically found in 571 some isoforms, which are proteins that are similar to each other and perform similar roles within cells 572 [43]. This is the case where two or more closely related genes are responsible for the same translated 573 protein, illustrated in Fig 5. They can be simply duplicated, or paralogous genes, where both paralogs 574 can remain similar (paralog isoforms) if an increased production of the protein is advantageous or if 575 a dosage balance occurs in conjunction with other gene products or where different transcripts can 576 lead to different subcellular localization [44].

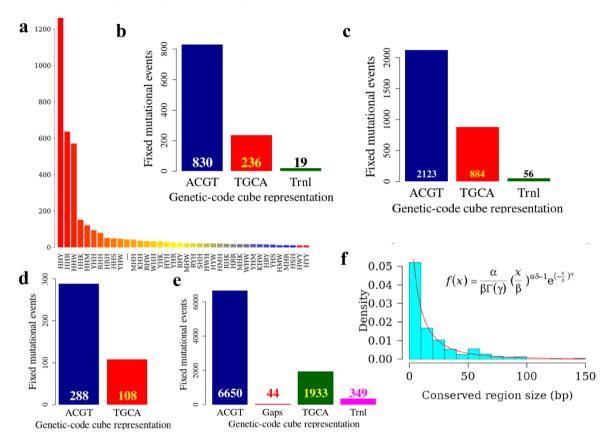
A screening of mutational events on subsets of aligned genes suggests that the decomposition of protein-coding regions is tractable, conforming Eq. 8. Results with the alignments of several protein-coding regions are shown in Fig 8. In this example, we searched for automorphisms on the *groups of dual cubes* [11]: ACGT – TGCA and CATG – GTAC on \mathbb{Z}_{2^6} , which comprise four of the 24 possible algebraic representations of the standard genetic code [17] isomorphic to \mathbb{Z}_{2^6} .

582 The analysis of the frequency of mutational events (automorphisms, COVID: human vs bat 583 strains) by mutation types is shown in Fig 8a. Results are consistent with the well-known observation 584 highlighted by Crick: the highest mutational rate is found in the third base of the codon, followed by 585 the first base, and the lowest rate is found in the second one [45]. However, estimations on different 586 gene sets suggest that the evolutionary pressure on each codon position depends on the 587 physicochemical properties (annotated according to IUPAC nomenclature [36]) of DNA bases. For 588 example, in Fig 8a pyrimidine (Y) transitions on the third codon position (HHY) are, by far, the most 589 frequent observed mutational events. While, in BRCA1 gene (SI Fig 2), the frequency of purine 590 (HHR) transitions is followed by pyrimidine (HHY) transitions.

591 The analysis on the pairwise alignment of protein-coding regions of SARS and Bat SARS-like 592 coronaviruses is presented in Fig 8**b** an **c**. Most of the mutational events distinguishing human SARS 593 from Bat SARS-like coronaviruses can be described by automorphism on cube ACGT. This 594 observation was confirmed in primate somatic cytochrome c (Fig 8**c**) and BRCA1 DNA repair gene

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(Fig 8d). Since automorphisms transform the null element (gap-triplet DDD/---) into itself, insertiondeletion mutational events cannot be described by automorphisms but as translations on the groups (denoted as *Trnl* in Fig 8). The representation of conserved genomic regions with homocyclic *p*-group is straightforward. However, their frequency in the genome architecture exponentially decreases with the size of the region (Fig 8f and SI Fig 4).



601 Fig 8. Analysis of mutational events in terms of automorphisms on DNA protein-coding regions

600

602 represented as homocyclic groups on \mathbb{Z}_{64} . In the Abelian group defined on \mathbb{Z}_{64} , automorphisms are 603 described as functions $f(x) = kx \mod 64$, where k and x are elements from the set of integers modulo 604 64. a, Frequency of mutational events (automorphisms) according to their mutation type. That is, 605 every single base mutational event across the MSA was classified according IUPAC nomenclature 606 [46]: 1) According to the number of hydrogen bonds (on DNA/RNA double helix): strong $S = \{C, G\}$ 607 (three hydrogen bonds) and weak $W = \{A, U\}$ (two hydrogen bonds). According to the chemical type: 608 purines $R = \{A, G\}$ and pyrimidines $Y = \{C, U\}$. 3). According to the presence of amino or keto groups on the base rings: amino $M = \{C, A\}$ and keto $K = \{G, T\}$. Constant (hold) base positions were labeled 609 with letter H. So, codon positions labeled as HKH means that the first and third bases remains constant 610 611 and mutational events between bases G and T were found in the MSA. b and c, Bar plots showing the frequency of automorphisms found on the group of dual cubes (see [11]): ACGT-TGCA and CATG 612 – GTAC on \mathbb{Z}_{64} between SARS coronavirus GZ02 and bat SARS-like coronaviruses: **a**, isolate 613 Rs7327 (GenBank: KY417151.1, protein-coding regions) and c, isolate bat-SL-CoVZC45 (GenBank: 614 615 MG772933.1:265-1345513455-21542, nonstructural polyprotein). d, frequency of automorphisms between human somatic cytochrome c and other nine primates (monkeys). e, frequency of 616 617 automorphisms between human BRCA1 DNA repair gene and other seven primates (see Material and

28

Method section). f, Distribution of the conserved COVID-19 genomic regions according to their size.
The graphics result from the analysis SARS coronavirus GZ02 versus the two mentioned bat strains.
The best fitted probability distribution turned out to be the generalized gamma distribution.

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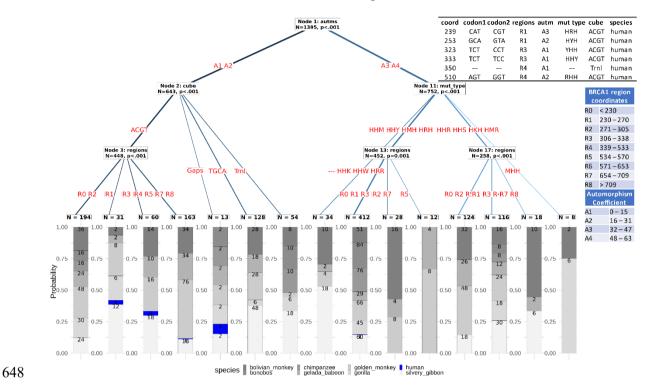
622 Next, under the assumption that Eq. 8 holds, different protein-coding regions must experience 623 "preference" for specific type of automorphisms. To illustrating the concept, an analysis based on the application of Theorem 1 and Eq. 8 on gene/genome population studies, an application of decision 624 625 tree algorithms was conducted on primate BRCA1 genes. Results for the analysis with Chi-squared 626 Automated Interaction Detection (CHAID) is presented in Fig 9. It is important to keep in mind that 627 this is only an illustrative example with small sample size, and that definite conclusions related to 628 BRCA1 genes can only be derived with larger sample size from humans and non-human primate 629 sequences. In this algorithmic approach, for each compound category consisting of three or more of 630 the original categories, the algorithm finds the most significant binary split for a node (split-variable) 631 based on a chi-squared test [47].

For a given MSA of protein-coding regions, the resulting decision tree leads to stochasticdeterministic logical rules (propositions) permitting a probabilistic estimation of the best model approach holding Eq. 8. For example, since only one mutational event human-to-human from class A3 is reported in the right side of the tree (Fig 9), with high probability the proposition: "(A4 \lor (A3 $\land \neg$ HRH) $\rightarrow \neg$ human" is true. That is, with high probability only non-humans hold the last rule. Due to graphic printing limitations not all tree details are shown in Fig 9 (calculations details are given in the tutorials links provided at SI).

Results shown in Fig 9 are only for the purpose to illustrate the application of the theory, since for the sake of visualization and simplicity, were limited to small sample data set and to the application of a relatively "modest" (unsupervised) machine-learning approach which, however, is sufficient to illustrate the concepts. Next, let us suppose that the decision tree from Fig 9 holds on a large enough sample-size (to minimize the classification error) of primate BRCA1-gene populations. Then, with high probability the logical rule: "A1 \land R3 \land (YHH \lor HHY) \rightarrow human" is true. That is, with high probability transitions mutations (T \leftrightarrow C) on region R3 from BRCA1 gene (specifically at

29

646 positions 323 and 333, Fig 9) in the first and third codon positions, represented by automorphisms



647 with coefficient between 0 and 15, are not observed in primates other than humans.

Fig 9. Decision tree based on automorphisms estimated on primate BRCA1 genes. Symbols R0 to 649 650 R8 denote the protein regions as given in UniProt plus inter regions segments (see https://www.uniprot.org/uniprot/P38398#family and domains). Only regions experiencing fixed 651 mutational events are included in the analysis. The range of automorphism coefficients k(f(x) = kx)652 mod 64) are denoted after the isomorphism between the genetic-code cyclic group defined in the set 653 654 of codons and the Abelian group defined on \mathbb{Z}_{64} . For the sake of graphic comprehension, the 655 coordinates of human-to-human mutations were added. Every branch (path) from the top to the leaf node is equivalent to a stochastic-determinist logical rule defining the automorphism preference for 656 657 each protein region in the subset of analyzed primate BRCA1 genes. For example, with high probability the rule: " $(A4 \lor (A3 \land \neg R1)) \rightarrow \neg$ human" is true (see Supporting Information). 658 659

660 Obviously, the predictive power of the stochastic rules depends on the size of the samples from the populations under scrutiny. A larger data set including 41 variants of the BRCA1 gene and a rough 661 estimation of the (encoded) mutational cost given in the term of a quasichemical energy of aminoacid 662 663 interactions in an average buried environment [11,48] (data included in the GenomAutomorphism R 664 package [28]) allow reach more robust rules after the application of decision tree algorithms. 665 Likewise, an estimation of *mutational cost* can be given in terms of distances between aminoacids 666 based on codon distances defined on a specific genetic-code cube model or on a combination of two 667 models [11,49]. Examples of stochastic some mutational rules are given in Table 1.

30

668

669 Table 1. Examples of stochastic mutational rules found in aligned DNA sequences from primate670 BRCA1 genes.

Mutational cost (MC)	Stochastic Rule ³
	$MC(0.03) \rightarrow \neg$ human
Aminoacid contact potential ¹	$MC(-0.47) \wedge R4 \wedge A4 \rightarrow human$
Anninoacia contact potentiai	$MC(-0.47) \land (R0 \lor R0. \lor R3 \lor R5) \rightarrow bonobos$
	$MC(0.08) \rightarrow bolivian_monkey$
	$MC(1.34) \wedge R0 \rightarrow \neg human$
	$MC(1.36) \rightarrow gorilla$
Aminoacid distance based on	$MC(0.28) \land R4 \land A4 \rightarrow human$
genetic-code codon distances ²	$(MC(0.12) \lor MC(0.12)) \land (R1 \lor R5) \rightarrow silvery gibbon$
distances	$MC(0.26) \land (A1 \lor A2) \land \neg R4 \land \neg HHW \rightarrow human$
	$MC(0.99) \land HHS \land (R7 \lor R4) \rightarrow golden monkey$

¹Aminoacid contact potentials are given in reference [48]. ²Aminoacid distance based on the codon distance are given in reference [49] and applied (together with the concept of encoded mutational cost) in reference [11]. 3 The decision trees using CHAID algorithm are given in the Supporting Information (also available in the tutorials at https://genomaths.github.io/genomautomorphism).

Our results provides supporting evidence to the previous finding reported in [11] about that the selection of the genetic-code cube model cannot be arbitrary, since the automorphisms and the estimation of mutational costs (as defined in [11]) on different local DNA protein-coding regions shows clear "preference" for specific models. Obviously, the mathematical model is only a tool (a representation of the physicochemical relationships given between molecules) applied to uncovering the existence of specific evolutionary constraints.

682 **3.3 Future theoretical developments**

In this section we want to highlight a direction of future theoretical development. A full coverage of this topic is out of the limits of the current work. Nevertheless, a sketch on a future direction is presented here. Our goal will be the description of mutational process on protein-coding regions in terms of homomorphisms of different algebraic structures.

687 Genomic regions represented as an Abelian group decomposable into homocyclic Abelian *p*-688 groups, e.g. $\mathbb{Z}_{2^6} \bigoplus \ldots \bigoplus \mathbb{Z}_{2^6}$, can be studied as *R*-algebras [18], which in particular is a *R*-module 689 and after considering only the sum operation of the ring \mathbb{Z}_{2^6} , it is also a *G*-module. Recall that our 690 modeling just takes advantage of the group isomorphism: $(\mathbb{Z}_{64}, +) \cong (C_q, +)$ (for the sake of

31

691 simplicity we are using the same sum operation symbol in both groups, \mathbb{Z}_{64} and C_g). Thus, the \mathbb{Z}_{64} -

692 algebra of the group
$$S = \left(\left(C_g \right)^n, + \right) = \left(C_g, + \right) \bigoplus \dots \bigoplus \left(C_g, + \right)$$
 over the ring \mathbb{Z}_{64} can be defined

693 [18].

694 In our current case (considering the codon coordinate level), we are interested on heterocycle groups $S = \bigoplus G_i$ of C_g and $C_{g+}(G_i \in \{C_g, C_{g+}\})$, as suggested in Fig. 1, which permits the analysis 695 696 of multiple sequence alignments including insertion-deletion (indel) mutations. It is not hard to notice that the collection of all the *R*-Module of groups *S* over the ring $R = \bigotimes R_i$, $(R_i \in \{\mathbb{Z}_{64}, \mathbb{Z}_{125}\})$ together 697 698 with *R*-Module homomorphisms conform to a category of *R*-Modules, also denoted as *R*-Mod. Let C_N be the category Ab with the Abelian groups of the DNA sequences of length $\leq N$ as objects and 699 700 group homomorphisms as morphisms (see Appendix A). Fredy's theorem states that every Abelian 701 category is a subcategory of some category of modules over a ring [50]. Mitchell has reinforced 702 Fredy's result, proving that every Abelian category is a full subcategory of a category of modules 703 over a ring [51].

At codon coordinate level, the group defined on the set of codon is a subgroup of the group defined on the set of extended base-triplets ($C_g \subset C_{g+}$) and the \mathbb{Z}_{125} -Module of group C_g is a submodule of the \mathbb{Z}_{125} -Module of group C_{g+} over the ring \mathbb{Z}_{125} . The triplet of gaps '----' corresponds to the identity element of group C_{g+} , which is mapped into $0 \in \mathbb{Z}_{5^3}$ by $Hom(C_{g+}, \mathbb{Z}_{5^3})$. A homomorphism always maps the identity element from the domain of group, say $\mathbf{0}_{C_g}$, into the identity element from the codomain $\mathbf{0}_{C_{g+}}$, which in C_{g+} is $0_{C_{g+}} = '---'$.

The following example illustrates a possible sequence of attainable analytical steps with concrete computational biology application. Let A = GACAGAGCAGTATTAGCTTCACAC and B= GAAAACGTATTATCAAAG DNA sequence segments represented as elements from the groups: $G_A = C_g^{ACGT} \oplus C_g^{TGCA} \oplus (C_g^{ACGT})^6$ and $G_B = C_g^{ACGT} \oplus C_g^{TGCA} \oplus (C_g^{ACGT})^4$, respectively, where C_g^X is the Abelian *p*-group defined on the set of 64 codons and base orders (cubes): $X = \{ACGT, TGCA\}$. 715 Groups G_A and G_B are elements of the Ab category \mathcal{C}_N defined on the collection of heterocyclic

716 group $\left(C_g^X\right)^N$ defined on the set of DNA sequences (of codons) with length $N \le 8$.

717 Since the triplet of gaps cannot be arbitrary allocated in the sequence, the alignment of DNA 718 sequence is an essential step required for the application of this modeling preserving the biological 719 meaning. The pairwise alignment of the corresponding aminoacid sequences from A and B yields: DRAVLASQ, FN-VL-SN, 720 which corresponds to the DNA sequence alignment: aln = $\left(\begin{array}{c} GACAGAGCAGTATTAGCTTCACAC \\ GAAAAC---GTATTA---TCAAAG \end{array} \right)$. That is, to preserve the reading frame, a robust alignment is 721 722 accomplished translating the codon sequence into aminoacid sequence alignment.

723 Sequences *A* and B' = GAAAAC---GTATTA---TCAAAG can also be represented as elements724 from group:

725
$$G_{A'} = C_g^{\text{ACGT}} \oplus C_g^{\text{TGCA}} \oplus C_{g^+}^{\text{ACGT}} \oplus (C_g^{\text{ACGT}})^2 \oplus C_{g^+}^{\text{ACGT}} \oplus (C_g^{\text{ACGT}})^2$$

This group is an element of the **Ab** category $C_{A'}$, which is a subcategory of the $R_{A'}$ -Mod category over the ring $R_{A'} = (\mathbb{Z}_{2^6})^2 \otimes \mathbb{Z}_{5^3} \otimes (\mathbb{Z}_{2^6})^2 \otimes \mathbb{Z}_{5^3} \otimes (\mathbb{Z}_{2^6})^2$. The group isomorphism $F_B : G_B \to G_{B'}$ is the functor that maps DNA sequences from group $G_B \in C_N$ into an element from group $G_{B'} \in C_R$ (see Appendix B). That is, for all element $b = (X_1, X_2, X_3, X_4, X_5, X_6)$ ($b \in G_B$) there is a unique element $b' = (X_1', X_2', 0, X_3', X_4', 0, X_5', X_6')$ ($X_i' = X_i$ and $b' \in G_{B'}$).

Also, there is an injective morphism $F_A: G_A \to G_{A'}$ that transforms each element $a = (X_1, X_2, X_3, X_4, X_5, X_6, X_7, X_8)$ $(a \in G_A)$ into a unique element $a' = (X_1', X_2', X_3', X_4', X_5', X_6', X_7', X_8')$ $(a' \in G_{A'})$, which is evident since $C_g \subset C_{g+}$ and, consequently, codons are preserved, i.e., $X_i' = X_i$ and G_A is isomorphic to the image $F_A(G_A)$. The homomorphism $F_{A'}: G_A \to G_{A'}$ is also a functor which maps elements from the R_A -Mod category over the ring $R_A = \bigotimes_8 \mathbb{Z}_{2^6}$ into the $R_{A'}$ -Mod category. Notice that $F_B(B)$ is a subgroup of $F_A(A)$.

33

In practice, for the sake of computational genomics implementations, the aligned DNA 737 sequences A and B can be represented by the numerical vectors a a = (9,32,24,56,60,27,28,5) and 738 b = (8,1,56,60,28,1), respectively, with coordinates on \mathbb{Z}_{2^6} . The application of the morphisms F_A 739 and F_B permits the new representations: $a' = ((9,32) \in \mathbb{Z}_{2^6}, 66 \in \mathbb{Z}_{5^3}, (56,60) \in \mathbb{Z}_{2^6}, 69 \in \mathbb{Z}_{5^8})$ 740 $b' = \left((8,1) \in \mathbb{Z}_{2^6}, 0 \in \mathbb{Z}_{5^3}, (56,60) \in \mathbb{Z}_{2^6}, 0 \in \mathbb{Z}_{5^3}, (28,1) \in \mathbb{Z}_{2^6}\right),$ $\mathbb{Z}_{5^{3}},(28,5) \in \mathbb{Z}_{2^{6}}$ and 741 respectively. The group homomorphism φ with matrix representation with diagonal elements 742 $((8,2) \in \mathbb{Z}_{2^6}, 0 \in \mathbb{Z}_{5^3}, (1,1) \in \mathbb{Z}_{2^6}, 0 \in \mathbb{Z}_{5^3}, (1,1) \in \mathbb{Z}_{2^6})$ maps sequence a' into b', *i.e.*, $\varphi(a') = b'$ 743 744 :

Where the third and sixth rows are computed modulo 125 and the rest modulo 64. The group homomorphism $h: G_{B'} \to G_A$ that accomplish the mapping h(b') = a' is computed as:

749 Or by means of the affine transformation:

34

751 In summary, a future theoretical development in the framework of category theory opens new 752 horizons for the analysis of the mutational process in a wider computational genomic scenario not 753 previously studies in molecular evolutionary biology.

754 **4 Discussions**

The encoding of the physicochemical relationships between nucleotides (nitrogenous bases) in the DNA double helix in terms of group operations permits a mathematical representation of genome architecture interpretable in a molecular evolutionary context. The group representations of the genetic code are logically extended from protein-coding DNA regions to the entire genome. As shown in Fig 1, the Abelian group representation of genomic regions into the direct sum of Abelian *p*-group is only one of several steps addressed to get better understanding on how genomes are built.

761 The advantage on using group representations is that there exists a well-established 762 mathematical development that leads to an objective study of the genome architecture in a molecular 763 evolutionary context, through the analysis of mutational events in terms of group homomorphisms: endomorphisms, automorphisms, and translations. On this scenario the analysis of group 764 765 homomorphisms permits us the uncovering of stochastic rules constraining the local architecture on genes and genomic regions. The goal is unveiling hidden genomic architecture and rules hard to be 766 767 detected by current experimental approaches. All the information required can be retrieved from the 768 MSA of DNA sequences, which is particularly relevant for poorly annotated genomes.

Examples shown in Figs 3 to 4 indicates that whatever would be the genomic architecture for given species, the observed variations in the individual populations and in populations from closed related species, it can be quantitatively described as the direct sum of Abelian cyclic groups. The discovering/annotation of new genomic features will only lead to the decomposition of previous known Abelian homocyclic or cyclic groups representing a genomic subregion into direct sums of subgroups. In such algebraic representation DNA sequence motifs for which only substitution mutations happened are specifically represented by the Abelian group $(C_g, +) \cong (\mathbb{Z}_{64}, +)$, in protein

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coding regions, and by any combination of groups
$$(\mathfrak{B},+)\cong(\mathbb{Z}_2^2,+), (\mathfrak{B}^2,+)\cong(\mathbb{Z}_2^4,+)$$
 or some
quotient group like $C_G/G_{GGA}\cong(\mathbb{Z}_2,+)$ in non-protein coding regions.

Notably, the genetic code Abelian group $(C_{G^+}, +) \cong (\mathbb{Z}_5^3, +)$ is enough for an algebraic representation of the genome population from the same species or close related species. However, such a decomposition leads to a poor description of local architecture that, as suggested in Figs. 3 to 6, can mask relevant biological features. Figure 3 to 6 illustrate the basic Abelian group representations for further analysis of genome architecture through the study of the mutational events, as essential transformations inherent to the molecular evolutionary process, in terms of endomorphisms and automorphisms, elements of the endomorphism ring.

For the sake of reader's comprehension, the examples on the group representation of genomic regions presented here are simple. However, the analysis demands for the development of novel computational algebraic approaches to study the genomic architecture. Unlike to traditional computational algebra, we can take advantage of the group isomorphisms, which permits decreasing the computational complexity by avoiding symbolic computation. Nevertheless, results presented here show that the architecture of genome region in an entire population can be quantitively studied in the framework of Abelian group theory.

792 From several examples provided here, it is clear that there exists a language for the genome 793 architecture unveiled when represented it in terms of sums of finite Abelian groups, which can be 794 further studied with the application of methods from category theory, the potential success of which 795 has been proven in programming languages and in linguistic [52]. The future developments of 796 genome annotation from several species can certainly lead to the discovery of logical rules from such a language, finding the viable variations in the populations. The identification of quotient groups (at 797 larger scale) can permit the stratification of large genome population into equivalence classes 798 799 (quotient subgroups) corresponding to individual subpopulations, each one of them carrying 800 particular viable variations of species genome architecture.

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As indicated in reference [18], natural genomic rearrangement like DNA recombination and translocation at structural and functional domain can be represented as group automorphisms and endomorphisms. Biologically, such description corresponds to the fact that the new genetic information is recreated, simply, by way of reorganization of the genetic material in the chromosomes of living organisms [5,53]. The analysis and discussion on the application of the endomorphism ring theory to describe the dynamics of genome population is a promising subject for further studies.

807 Particularly promising is the application of the genomic Abelian groups on epigenomic studies, 808 which results from the model where base D stands for the methylated cytosine and adenine. As 809 suggested in Fig 7a and b, a precise decomposition of methylation motif into the direct sum of Abelian 810 finite groups can lead to their classification into unambiguous equivalence classes. The group 811 structure of the methylation regulatory regions: GATCTTTTATGC and GGTTAAAAGATC, both represented by the homocyclic group on $\left(\mathbb{Z}_5^3\right)^4$, breaks from the monotone homocyclic group 812 representation of the region in terms of cyclic groups on \mathbb{Z}_4 (Fig 7a and b). The group representation 813 of protein-coding regions (or base-triplet sequences) as numerical vectors with coordinates on \mathbb{Z}_{5^3} 814 815 (Fig 7c and d) facilitates the analysis of methylation changes represented as group endomorphism/automorphisms of the cyclic group on \mathbb{Z}_{5^3} . 816

817 Results indicate that, as a consequence of the genetic code constraints and the evolutionary 818 pressure on protein-coding regions, stochastic-deterministic logical rules can be inferred on a large 819 enough sample-size from a gene/genomic-region population. Such a stochastic-deterministic rules lead to specific applications of Theorem 1 and Eq.8, consequently, the analysis of mutational process 820 821 on each group, subgroup, and coset. For example, mutational events on a MSA column (identified) 822 from class YHH (with discriminatory classification power as shown in Fig 8) where the second and 823 third DNA bases remain invariant (H) and the first base are pyrimidines (Y) experiencing transition 824 mutations (across individuals sequences) are represented by automorphisms on a subgroup (from the genetic code Abelian subgroup $(C_G, +)$ defined on the set {THH, CHH} isomorphic to $(\mathbb{Z}_2, +)$ [20]. 825

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Figure 9 provides illustrative example that motivates further applications of based machine-826 827 learning bioinformatic approaches to unveil the subjacent logic to the genome architecture and its 828 association with the DNA cytosine/adenine methylation patterning found on individual populations 829 and the changes (repatterning) induced by, e.g., environmental changes, aging process and diseases, 830 which is of particular interest in genomic medicine [54]. Machine learning applications on MSA 831 involving large sample size of genomic regions from populations of different species can unveil 832 further decompositions into the direct sum of Abelian groups, which do not depend on our current 833 knowledge of the annotated genomes. As suggested in Fig 9, we can expect that most of the hiding 834 genomic DNA sequence motif can be unveiled by studying the molecular evolutionary (mutational) 835 process in a genome population through the lens of the endomorphism ring. In other words, as a 836 consequence of the injective relationship: DNA sequence \rightarrow 3D chromatin architecture [3,4,6], fixed 837 mutational events (in organismal populations) on DNA sequence motifs involved in the 3D chromatin 838 architecture are under evolutionary pressure, biophysically and biochemically constrained to preserve 839 the chromatin biological functions.

840 Results shown in Figs. 8 and 9 also suggest deep implications of Baer-Kaplansky theorem on the genome architecture unknown by the current knowledge and understanding of genome annotation, 841 842 which currently relies on the DNA sequence itself. Concretely, on an evolutionary context, the fact that two genomic regions from two different species are almost identical and, event would encode for 843 844 the same functional protein, does not necessarily imply that they hold to the same genome 845 architecture. The evolutionary pressure in both such hypothetical regions must be same, which implies that the regions experience the same type of mutational events in terms of 846 847 automorphism/endomorphism representations.

For example, let's suppose that the results shown in Fig 9 were derived from a large sample size (large enough to derive statistically significant rules), then the rule "A1 \land R3 \land (YHH \lor HHY) \rightarrow human" (Fig 9) implies that the gene regions of BRCA1 from human and non-human primates do not belong to the same equivalent class of genomic region. In particular, since the endomorphism rings $\Re(G_{human}^{BRCA1})$ and $\Re(G_{non-human}^{BRCA1})$ on the Abelian groups G_{human}^{BRCA1} and $G_{non-human}^{BRCA1}$ defined on the

38

853 human and non-human primates BRCA1 genes, respectively, are not isomorphic, then according to the Baer-Kaplansky theorem groups G_{human}^{BRCA1} and $G_{non-human}^{BRCA1}$ are also not isomorphic. Hence, region 854 855 architectures of BRCA1 gene in human and non-human primates are (in this hypothetical scenario) 856 implicitly different, which is not obvious to human eves from their MSA (see supporting information). 857 Results presented here would have considerable positive impact on current molecular 858 evolutionary biology, which heavily relies on subjective evolutionary null hypotheses about the past. 859 As suggested in reference [11], the genomic Abelian groups open new horizons for the study of the 860 molecular evolutionary stochastic processes (at genomic scale) with relevant biomedical applications, 861 founded on a deterministic ground, which only depends on the physicochemical properties of DNA 862 bases and aminoacids. In this scenario, the only molecular evolutionary hypothesis needed about the 863 past is a fact, the existence of the genetic code.

Remarkably, further studies applying the theory presented here do not require for special experimental datasets but for the DNA sequences of the genomic regions under scrutiny. Although the accuracy of the predictions depends on the sample size, the number of sequenced genomes stored in the databases grows year-after-year. Large samples of DNA sequences (from homolog genomic regions) from at least two or more species facilitate application of Baer-Kaplansky theorem and further studies applying methods of Categorical theory to unveil the grammar embedded in the DNA sequences.

871 The theory and concretes examples provided here make explicit the basic foundation for a 872 further unprecedented application of the last advances in Abelian group theory incorporating methods 873 from Category theory, where groups and group homomorphisms (in our context: mutational events) 874 are the main players, which have the potential to discover unsuspected features of the genome 875 architecture, opening new horizon to the genomic taxonomy of species in accordance with the state-876 of-the-art in mathematics, logic, and computational sciences. In other words, these applications have 877 the potential to elevate the genomic studies from the current descriptive level to the vanguard level 878 marked in the frontier of science by mathematics, physics, and computational sciences.

879 **5** Conclusions

Results to date indicate that the genetic code and the physicochemical properties of DNA bases on which the genetic code algebraic structure are defined, has a deterministic effect, or at least partially rules on the current genome architectures, in such a way that the Abelian group representations of the genetic code are logically extended to the whole genome. In consequence, the fundamental theorem of Abelian finite groups can be applied starting from genomic regions till cover whole chromosomes. This result opens new horizons for further genomics studies with the application of the Abelian group theory, which currently is well developed and well documented [30,55].

Results suggest that the architecture of current population genomes is quite far from randomness and obeys stochastic-deterministic rules. The nexus between the Abelian finite group decomposition into homocycle Abelian *p*-groups and the endomorphism ring paved the ways to unveil unsuspected stochastic-deterministic logical propositions ruling the ensemble of genomic regions and sets the basis for a novel algebraic taxonomy of the species, which is not limited by our current biological knowledge.

In the context of evolutionary comparative genomics, the theory presented here open new horizons for the application of Group theory including methods of Category theory, which have the potential to unveil hidden features and rules inherent to the genome architecture, leading to an unprecedented understanding on how genomes are built.

We believe that the mathematical formalism proposed here sets the theoretical ground for a further development in genomics, transitioning the field from a fully empirical science to a predictive science, where the theoretical and empirical research coexist in a tight positive feedback loop, a development stage only reached so far in the field of physics.

All the above claims are feasible, only limited by our computational power and the availabilityof samples of sequenced genomes from the same species and from multiple species.

At this point we emphasize that an accurate understanding of the genome architecture and
population's structure, on a formal mathematical framework, is as essential for the future of genetic

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905 engineering and genome editing as the physics of architecture is to the design of sturdy and stable
906 energy-efficient building.

907 6 Appendix A. Genetic code algebraic structures defined on the base and 908 codon sets

An Abelian group structure (B, +) is a set **B** together with a binary operation '+' that combines any

910 two elements $a \in B$ and $b \in B$ to form another element of $c \in B$, denoted a + b = c, which satisfy

- 911 the following axioms:
- 912 1) Associativity. For all $a, b, c \in B$, the equality (a+b)+c = a+(b+c) holds.
- 913 2) Identity. There exists an element $e \in B$ named identity element of *B*, such that for any 914 $a \in B$, the equality a + e = a holds.
- 915 3) Commutativity. For all $a, b \in B$, the equality a + b = b + a

916 The Abelian groups considered here are finite cyclic groups (G, +) isomorphic to the Abelian

group defined on the set of integers modulo *n*, denoted as $\mathbb{Z}_n(\mathbb{Z}/n\mathbb{Z})$. That is, the integers 1,2,3,...,*n*-1 form a cyclic group of order *n* under addition (modulo *n*) and 0 as the identity element. This group will be denoted as $(\mathbb{Z}_n, +)$. However, for the sake of simplicity in the figures it will be denoted simply as \mathbb{Z}_n , i.e., without making distinction between the set \mathbb{Z}_n and group structure defined on it. The particular interest for the current work is the Abelian *p*-group derived when $n = p^{\alpha}$ where *p* is a prime number and α an integer. The group operations defined on the set of bases or on the codon set are associated to physicochemical properties of DNA bases (see the next sections).

924

925 Homomorphisms and isomorphisms

926 In modern algebra, a group homomorphism is a map $f: A \to B$ between two group structures (A, \bullet) 927 and (B, \circ) such that for all $a, b \in A$ holds: $f(\alpha_1 \cdot \alpha_2) = f(\alpha_1) \circ f(\alpha_2) = \beta_1 \circ \beta_2$, where

41

928 $\beta_1, \beta_2 \in B$. A group isomorphism is a one-to-one correspondence (mapping) between two sets that 929 preserves binary relationships between elements of the sets. That is, an isomorphism is a 930 homomorphism holding the inverse mapping: $f^{-1}(\beta_1 \circ \beta_2) = f^{-1}(\beta_1) \cdot f^{-1}(\beta_2) = \alpha_1 \cdot \alpha_2$. For 931 example, since there exists only one cyclic group with four elements up to isomorphism, for each one 932 of the 24 cyclic group $(\mathfrak{B}, +_b)$ defined on the set of bases $\mathfrak{B} = \{A, C, G, T\}$ ([17,18]) there exists a 933 one-to-one mapping f such that for each base $\beta \in \mathfrak{B}$ there is an integer $t \in \mathbb{Z}_4$ such that $f(\beta) = t$ 934 and:

935 1.
$$f(\beta_1 + \beta_2) = f(\beta_1) + f(\beta_2) = \iota_1 + \iota_2, \ \beta_1, \beta_2 \in \mathfrak{B} \text{ and } \iota_1, \iota_2 \in \mathbb{Z}_4.$$

936 2. The inverse mapping
$$f^{-1}(\iota_1 + \iota_2) = f^{-1}(\iota_1) + f^{-1}(\iota_2) = \beta_1 + \beta_2$$

To highlight the fact that the sum operations are defined on different ways on the sets \mathfrak{B} and \mathbb{Z}_4 , we 937 have used the symbols $+_{h}$ and +, respectively. However, for sake of brevity of the symbolic 938 notation, such knowledge will be considered implicit, writing simply '+'. Then, we said that groups 939 $(\mathfrak{B},+_b)$ and $(\mathbb{Z}_4,+)$ are isomorphic; in symbols $(\mathfrak{B},+_b) \cong (\mathbb{Z}_4,+)$. f and its inverse f^{-1} are 940 called isomorphisms. If f (and its inverse f^{-1}) is a mapping from a group into itself, then f is called 941 942 an automorphism. A mapping g, not necessarily one-to-one, of the elements from a group into itself is called a group endomorphism. An endomorphism that is also an isomorphism is an automorphism. 943 A ring algebraic structure is obtained when together with the sum operation "+" (as defined 944 above) a new operation "." is defined on the set B holding the properties: 945

 β_2

946 1. Associativity:
$$(a \cdot b) \cdot c = a \cdot (b \cdot c)$$
 for all $a, b, c \in B$

948 a.
$$(a+b) \cdot c = (a \cdot c) + (b \cdot c)$$
 for all $a, b, c \in B$ (right distributivity).

949 b.
$$c \cdot (a+b) = c \cdot a + c \cdot b$$
 for all $a, b, c \in B$ (left distributivity).

42

950	As it is shown in the next section, these algebraic structures have been defined on the genetic code.
951	In particular, the ring $(\mathbb{Z}_{2^6}, +, \cdot)$ and endomorphism ring (section 3.1) has been defined and studied
952	on the genetic code [18].
953	Appendix B. Category
954	Category theory is a general mathematical theory of structures and of systems of structures that
955	occupy a central position in contemporary mathematics, theoretical computer science, and linguistics
956	[56].
957	Definition : A category C can be described as a collection of objects O satisfying the
958	following three conditions:
959	1) <i>Morphism</i> : For every pair X, Y of objects, there is a set $Hom(X, Y)$ called the
960	<i>morphisms</i> from X to Y in C. If f is a morphism from, we write $f: X \to Y$.
961	2) <i>Identity</i> : For every object X, there exists a morphism id_X in $Hom(X, Y)$, called the
962	<i>identity</i> on X (also denoted as 1_X).
963	3) Composition: For every triple X , Y , and Z of objects, there exists a partial binary
964	operation from $Hom(X, Y) \times Hom(Y, Z)$ to $Hom(X, Z)$, called the composition of
965	morphisms in C. If $f: X \to Y$ and $g: Y \to Z$, this composition is written as the
966	mapping $(g \circ f): X \to Z$.
967	Identity, morphisms, and composition satisfy two axioms:
968	<i>Associativity</i> : If $f: X \to Y, g: Y \to Z$, and $h: Z \to W$, then $h \circ (g \circ f) = (h \circ g) \circ f$.
969	<i>Identity</i> : If $f: X \to Y$, then $f_X \circ f = f$ and $f \circ f_X = f$.
970	
971	Definition : A functor F is a function between two categories C and D which maps objects to
972	objects and morphisms to morphisms. That is:
973	• For each $X \in \mathcal{C}$ there is an object $F(Y) \in D$

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974	•	For each morphism $f: X \to Y$ in C there is morphism $F(f): F(X) \to F(Y)$ in D such					
975		that the	e following conditions hold:				
976		i.	$F(g \circ f) = F(g) \circ F(f)$ for all morphisms $f: X \to Y$ and $g: X \to Y$ in \mathcal{C}				
977		ii.	$F(id_X) = id_{F(X)}$ for all $X \in \mathcal{C}$.				

Supporting Information 978 7

- 979 A summary with the reported genetic code Abelian groups relevant for the current study is provided 980 as supporting information in a file named: Supporting Information.docx.
- 981 All the data, computational and statistical analyses can be reproduced following the R scripts 982 GenomAutomorphism R package provided in tutorials available at the website https://genomaths.github.io/genomautomorphism/. In particular, data and R scripts used in the 983 984 computation of automorphisms and the decision tree from Fig 9 are available within 985 GenomAutomorphism R package and in a tutorial at:
- 986 https://genomaths.github.io/genomautomorphism/articles/automorphism and decision tree.html.

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