# Enviromic assembly increases accuracy and reduces costs of the genomic prediction for yield plasticity

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# 15 **ABSTRACT**

Quantitative genetics states that phenotypic variation is a consequence of genetic and environmental 16 factors and their subsequent interaction. Here, we present an enviromic assembly approach, which 17 includes the use of ecophysiology knowledge in shaping environmental relatedness into whole-genome 18 19 predictions (GP) for plant breeding (referred to as E-GP). We propose that the quality of an 20 environment is defined by the core of environmental typologies (envirotype) and their frequencies, which describe different zones of plant adaptation. From that, we derive markers of environmental 21 similarity cost-effectively. Combined with the traditional genomic sources (e.g., additive and 22 23 dominance effects), this approach may better represent the putative phenotypic variation across diverse growing conditions (i.e., phenotypic plasticity). Additionally, we couple a genetic algorithm scheme 24 25 to design optimized multi-environment field trials (MET), combining environic assembly and genomic kinships to provide in-silico realizations of the future genotype-environment combinations 26 27 that must be phenotyped in the field. As a proof-of-concept, we highlight E-GP applications: (1) managing the lack of phenotypic information in training accurate GP models across diverse 28 29 environments and (2) guiding an early screening for yield plasticity using optimized phenotyping 30 efforts. Our approach was tested using two non-conventional cross-validation schemes to better visualize the benefits of enviromic assembly in sparse experimental networks. Results on tropical 31 maize show that E-GP outperforms benchmark GP in all scenarios and cases tested. We show that for 32 33 training accurate GP models, the genotype-environment combinations' representativeness is more 34 critical than the MET size. Furthermore, we discuss theoretical backgrounds underlying how the 35 intrinsic envirotype-phenotype covariances within the phenotypic records of (MET) can impact the 36 accuracy of GP and limits the potentialities of predictive breeding approaches. The E-GP is an efficient 37 approach to better use environmental databases to deliver climate-smart solutions, reduce field costs, 38 and anticipate future scenarios.

#### 40 1 INTRODUCTION

41 Environmental changing scenarios challenge agricultural research to deliver climate-smart 42 solutions in a time-reduced and cost-effective manner (Tigchelaar et al., 2018; Ramírez-Villegas et al 43 2020; Cortés et al., 2020). Characterizing crop growth conditions is crucial for this purpose (Xu, 2016), 44 allowing a deeper understanding of how the environment shapes past, present, and future phenotypic 45 variations (e.g., Ramírez-Villegas et al. 2018; Heinemann et al., 2019; Cooper et al., 2014; de los 46 Campos et al., 2020; Costa-Neto et al., 2021b; Antolin et al., 2021). For plant breeding research, mostly 47 based on selecting the best-evaluated genotypes for a target population of environments (TPE), this 48 approach is useful to discriminate genomic and non-genomic sources of crop adaptation. Thus, the 49 concept of 'envirotyping' (environmental + typing, Cooper et al., 2014; Xu, 2016) emerges to establish 50 the quality of a given environment in the delivery of quality phenotypic records, mostly to train accurate 51 predictive breeding approaches capable of guiding the selection of most productive and adapted 52 genotypes (Resende et al., 2020; Costa Neto et al., 2021a; Crossa et al., 2021).

53 From envirotyping, it is possible to check the quality of a certain environment, which is directly 54 related to how the observed growing conditions in a particular field trial could be related to the most 55 frequent environment-types (envirotypes) that occur in the breeding program TPE or target region (e.g., 56 Heinemann et al., 2019; Cooper et al., 2021; Antolin et al., 2021). In agricultural research, the quality 57 of a certain environment is directly related to how it can limit the expression of the genetic potential of 58 the certain crop for a certain trait, such as suggested by the movement called 'School of de Wit' since 59 1965 (see Bouman *et al.*, 1996). Thus, for the plant breeding research, this is also direct factors such 60 as genotype × environment interaction (e.g., Allard, 1964; Finlay and Wilkinson, 1963) and its 61 implications of how the target germplasm under selection (or testing) can perform across the target 62 growing conditions in which the candidate cultivars will be cropped.

63 Prediction-based tools have leveraged modern plant breeding research to an extent in which 64 phenotyping is still required (Crossa *et al.*, 2017), although prediction-based tools and simulations can 65 support more comprehensive and faster selection decisions (Galli et al., 2020; Cooper et al., 2021; 66 Crossa et al., 2021). One of the most widely used predictive tools is the whole-genome prediction (GP, 67 Meuwissen *et al.*, 2001), developed and validated for several crop species and application scenarios 68 (Crossa et al., 2017; Voss-Fels et al., 2019), such as the selection among populations and the prediction 69 of the performance of single-crosses across multiple environments. For the latter, the most important 70 use of GP mostly relies on the better use of the available phenotyping records and large-scale easy-71 managed genomic information to expand the spectrum of evaluated single-crosses in silico (Messina 72 et al., 2018; Rogers et al., 2021). Those phenotypic records (e.g., grain yield and plant height) are 73 collected from existing field trials that experience a diverse set of growing conditions, carrying within 74 them an intrinsic environment-phenotype covariance. Consequently, the GP has a limited accuracy 75 under multiple-environment testing (MET) due to genotype  $\times$  environment interaction (G $\times$ E) (Crossa 76 et al., 2017), meaning that each genotype has a differential response for each environmental factor that 77 assembles what we call 'environment' (time interval across crop lifetime involving a specific 78 geographic location and agronomic practice for a particular crop). Therefore, novel ways to include 79 environmental data (Heslot et al., 2014; Jarquín et al., 2014; Ly et al., 2018; Millet et al., 2019; Gillberg 80 et al., 2019; Costa-Neto et al., 2021a) and process-based crop growth models (CGM) (Messina et al., 81 2018; Toda et al. 2020; Robert et al., 2020; Cooper et al., 2021) in GP are considered the best pathways 82 to fix it. Most of the success achieved by such approaches lies in a better understanding of the visible 83 ecophysiology interplay between genomics and environment variation (Gage et al., 2017; Li et al., 84 2018; Guo et al., 2020; Costa-Neto et al., 2021b).

The explicit integration of enviromic and genomic sources is an easy way to lead GP to a wide range of novel applications (Crossa *et al.*, 2021), such as improving the predictive ability for untested

87 growing conditions (Guo et al., 2020; de los Campos et al., 2020; Jarquín et al., 2020; Costa-Neto et 88 al., 2021a), to optimize MET networks and to screen genotype-specific reaction-norms (Ly et al., 2018; 89 Millet *et al.*, 2019). This is excellent progress for predictive breeding (i.e., the range of prediction-90 based selection tools for crop improvement) and accelerating research pipelines to deliver higher yields 91 and adapted genotypes for target scenarios. However, most of the current studies on this topic vary in 92 accuracy and applicability, mostly due to (1) the processing protocols used to translate the raw-data 93 into explicit environmental covariables (ECs) with biological meaning in explaining  $G \times E$  over 94 complex traits, (2) the lack of a widely-used envirotyping pipeline that, not only supports the design of 95 field trials, but also increases the accuracy of the trained GP models and, in addition, (3) for CGM, a 96 possible limitation is the increased demand for the phenotyping of additional intermediate phenotypes 97 (i.e., biomass accumulation and partitioning, specific leaf area), which can involve managed iso-98 environments and expert knowledge in crop modeling (Cooper et al., 2016; Toda et al., 2020; Robert 99 et al., 2020). The latter can be expensive or difficult for plant research programs in developing 100 countries, which generally have low budgets to increase the phenotyping network and install 101 environmental sensors. In addition, most developing countries are located in regions where 102 environments are subject to a broader range of stress factors (e.g., heat stress).

103 Therefore, here we revisit Shelford's Law (Shelford, 1931) and other ecophysiology concepts 104 that can provide the foundations for translating raw-environmental information into an enviromic 105 source for predictive breeding, hereafter denominated as *enviromic assembly*. The benefits of using the 106 so-called 'enviromics-aided GBLUP' (E-GP) under existing experimental networks are presented, 107 followed by the E-GP application to optimize field-based phenotyping. Finally, we benchmark E-GP 108 with the traditional genomic-best unbiased prediction (GBLUP) to discuss the benefits of enviromic 109 data to reproduce G×E patterns and provide a virtual screening for yield plasticity.

#### 111 2 MATERIAL AND METHODS

The material methods are organized in the following manner: First, we briefly address the concepts underlying the novel approach of *enviromic assembly* inspired by Shelford's Law. The data sets are then presented, along with the statistical models and prediction scenarios used to show the benefits of large-scale environmental information in GP across multi-environment trials (MET). Finally, we present a scheme to optimize phenotyping efforts in training GP over MET and support the screening for maize single-crosses' yield plasticity.

# 118 **2.1** Theory: adapting the Shelford Law of Minimum

119 Consider two experimental networks (MET) of the same target population of environments (TPE, 120 e.g., the different locations, years, and crop management) under different environmental gradients due 121 to year or location variations (Fig.1). For two genotypes evaluated under both conditions (G1, G2), the 122 potential genetic-specific phenotypic plasticity (Allard and Bradshaw, 1964) (curves) is expressed as 123 different reaction-norms (dotted lines), resulting in distinct observable G×E patterns (Fig.1a-b). In the 124 former MET (Fig.1a), both genotypes experience a wider range of possible growing conditions (large 125 interval between the two vertical solid lines), which result in an intricate G×E pattern (crossover). 126 Conversely, in the latter MET (Fig.1b), the same genotypes experience a reduced range of growing 127 conditions yet lead to a simple  $G \times E$  pattern (non-crossover). It is feasible to conclude that, although 128 the genetic variation is essential for modeling potential phenotypic plasticity of genotypes (curves, 129 Fig.1a-c), the diversity of environmental growing conditions dictates the observable G×E patterns 130 (Bradshaw, 1965). Thus, the GP platforms for MET may be unbiased with no diversity, and the quality 131 of environments is not considered.

Approaches such as CGM try to reproduce the phenotypic plasticity curves, while benchmark
reaction-norm models try to reproduce the observable reaction-norm. Both approaches can achieve

134 adequate results, although we have observed that (1) CGM demands greater phenotyping efforts to 135 train computational approaches capable of reproducing the *achievable* phenotypic plasticity from a 136 reduced core of phenotypic records from field trials at near-iso environments (e.g., well-watered 137 conditions versus water-limited conditions for the same planting date and management), (2) CGM 138 demands additional programing efforts, which, for some regions or crops, can be expensive and limit 139 the applicability of the method, (3) adequate reaction-norm models over well-designed phenotyping 140 platforms are not a reality for certain regions of the world with limited resources to invest in precision 141 phenotyping efforts.

142 We understand that Shelford's Law of Tolerance (Shelford, 1931) is suitable to explain how the 143 environment drives plant plasticity and can be incorporated into the traditional GP platforms in a cost-144 effective way (Fig.1c). It states that a target population's adaptation is modulated as a certain range of 145 minimum, maximum and optimum threshold limits achieved over an environment gradient (vertical 146 solid green lines). The genotypes' potential phenotypic plasticity (curves) is not regarded as a linearized 147 reaction-norm variation across an environmental gradient (Arnold et al., 2019). Instead, it is the 148 distribution of possible phenotypic expressions dictated by the cardinal thresholds for each biophysical 149 factor with ecophysiological relevance. Therefore, crops may experience stressful conditions due to 150 the excess or lack of a target environmental factor, depending on the cardinal thresholds (vertical solid 151 green lines in Fig.1c), which also rely on some key development stages germplasm-specific 152 characteristics (e.g., tropical maize versus temperate maize). Consequently, the expected variation of 153 environmental conditions across different field trials results from a series of environment-types 154 (envirotypes) acting consistently yet varying in impact depending on the genetic-specific sensibility. 155 The quality of a certain growing condition depends on the balance between crop necessity and resource 156 availability, which involves *constant effects*, such as the type of treatments in a trial (e.g., fertilizer 157 inputs) and *transitory effects* variables, such as weather events (e.g., heat-stress).

158 From these concepts, we observe that with the use of envirotyping (e.g., typing the profiles of a 159 particular environment), the environment part of the G×E pattern can be visualized based on the shared 160 frequency of envirotypes among different field trials. Thus, the enviromic of a certain experimental 161 network or TPE (the core of possible growing conditions) can be mathematically assembled by (1) 162 collecting large-scale environmental data, (2) processing this raw data in envirotyping entries for each 163 real or virtual environment, and (3) processing these envirotyping-derived entries to achieve theoretical 164 relatedness between the buildup of different environments from the shared frequency of envirotypes. 165 Thus, the expected envirotypes can be designed relying on the adaptation zones inspired by the model 166 proposed here, based on Shelford's Law, in which we can envisage the process of deriving 167 environmental covariables for GP into an ecophysiological-smart way.

# 168 2.2 Proof-of-concept data sets

169 This study used maize as a proof-of-concept crop due to its importance for food security in 170 developed and developing regions. Two data sets of maize hybrids (single-crosses of inbreed lines) 171 from different germplasm sources developed under tropical conditions in Brazil (hereafter referred to 172 as Multi-Regional and N-level) were used. Both data sets involve phenotypic records of grain yield 173 (Mg per ha) collected across multiple environments. Details on the experimental design, cultivation 174 practices, and fundamental statistical analysis are given in Bandeira e Souza et al. (2017) and Alves et 175 al. (2019). Below we provide a short description of the number of genotypes and environments tested 176 and the nature of this study's genotyping data.

177 2.2.1 Multi-Regional Set

The so-called "Multi-Regional set" is based on the germplasm developed by the Helix Seeds Company (HEL) in South America. It includes 247 maize lines evaluated in 2015 in five locations in three regions of Brazil (Supplementary Table 1). Genotypes were obtained using the Affymetrix Axiom

181	Maize Genotyping Array containing 616 K SNPs (single-nucleotide polymorphisms) (Unterseer et al.,
182	2014). Only SNPs with a minor allele frequency $> 0.05$ were considered. Finally, a total of 52,811
183	high-quality SNPs that achieved the quality control level were used in further analysis.

184 2.2.2 N-level set

185 The so-called "N-level set" is based on the germplasm developed by the Luiz de Queiroz College 186 of Agriculture of the University of São Paulo (USP), Brazil. A total of 570 tropical maize hybrids were 187 evaluated across eight environments, involving an arrangement of two locations, two years, and two 188 nitrogen levels (Supplementary Table 2). This study's sites involved two distinct edaphoclimatic 189 patterns, i.e., Piracicaba (Atlantic forest, clay soil) and Anhumas (savannah, silt-sandy soil). In each 190 site, two contrasting nitrogen (N) fertilization levels were managed. One experiment was conducted 191 under ideal N conditions and received  $30 \text{ kg ha}^{-1}$  at sowing, along with  $70 \text{ kg ha}^{-1}$  in a coverage 192 application at the V8 plant stage. That is the main recommendation for fertilization in tropical maize 193 growing environments in Brazil. In contrast, the second experiment under low N conditions received 194 only 30 kg ha<sup>-1</sup> of N at sowing, resulting in an N-limited growing condition. This set's genotypes were 195 also obtained using the Affymetrix Axiom Maize Genotyping Array containing 616 K SNPs (Unterseer 196 et al., 2014) and minor allele frequency > 0.05. At the end of this process, a total of 54,113 SNPs were 197 considered in the GP modeling step.

198 **2.3 Envirotyping Pipeline** 

Below, we present the methods used for data collection, data processing, and implementing what we call 'enviromic assembly'. This envirotyping pipeline was developed using the functions of the R package *EnvRtype* (Costa-Neto *et al.*, 2021) and is available at no cost. All codes for running the next steps are given in https://github.com/gcostaneto/EGP.

#### 203 2.3.1 Environmental sensing (data collection)

204 In this study, environmental information was used for the main abiotic plant-environment 205 interactions related to daily weather, soil type, and crop management (available only for N-level set). 206 Daily weather information was collected from NASA POWER (Sparks, 2018) and consisted of eight 207 variables: rainfall (P, mm day<sup>-1</sup>), maximum air temperature (TMAX, °C day<sup>-1</sup>), minimum air temperature (TMIN, °C day<sup>-1</sup>), average air temperature (TAVG, °C day<sup>-1</sup>), dew point temperature 208 (TDEW, °C day<sup>-1</sup>), global solar radiation (SRAD, MJ per m<sup>2</sup>), wind speed at 2 meters (WS, m s<sup>-1</sup> day<sup>-1</sup>) 209 210 <sup>1</sup>) and relative air humidity (RH, % day<sup>-1</sup>). Elevation above sea level was obtained from NASA's Shuttle 211 Radar Topography Mission (SRTM). Both sources were imported into R statistical-computational 212 environments using the functions and libraries organized within the EnvRtype package (Costa-Neto et 213 al., 2021b). A third GIS database was used to import soil types from Brazilian soil classification 214 provided by EMBRAPA and available at https://github.com/gcostaneto/EGP.

## 215 2.3.2 Data Processing

216 Quality control was adopted by removing variables outside the mean  $\pm$  three standard deviation 217 and repeated columns. After checking for outliers, the daily weather variables were used to model 218 ecophysiological interactions related to soil-plant-atmosphere dynamics. The thermal-radiation 219 interactions computed potential atmospheric evapotranspiration (ET0) following the Priestley-Taylor 220 method. The slope of the saturation vapor pressure curve (SPV) and vapor pressure deficit (VPD) was 221 computed as given in the FAO manual (Allen et al., 1998). An FAO-based generic function was used 222 to estimate crop development as a function of days after emergence (DAE). We assume a 3-segment 223 leaf growing function to estimate the crop canopy coefficient (Kc) of evapotranspiration using the 224 following Kc values: Kc<sub>1</sub> (0.3), Kc<sub>2</sub> (1.2), Kc<sub>3</sub> (0.35), equivalent to the water demand of tropical maize 225 for initial phases, reproduction phases, and end-season stages, respectively. Using the same 3-segment 226 function, we estimate the crop canopy using a leaf area index (LAI) of LAI = 0.7 (initial vegetative phases), LAI = 3.0 (maximum LAI for tropical maize growing conditions observed in our fields), and
LAI = 2.0 (LAI tasseling stage). We computed the daily crop evapotranspiration (ETc) estimated by
the product between ET0 and the Kc from those two estimations. Then, we computed the difference
between daily precipitation and crop evapotranspiration as P-ETc.

231 The apparent photosynthetic radiation intercepted by the canopy (aPAR) was computed 232 following  $aPAR=SRAD\times(1-exp(-k\times LAI))$ , where k is the coefficient of canopy, considered as 0.5. 233 Water deficiency was computed using the atmospheric water balance between input (precipitation) and 234 output of atmospheric demands (crop evapotranspiration). The effect of temperature on the radiation 235 use efficiency (F<sub>RUE</sub>) was described by a three-segment function based on cardinal temperatures for 236 maize, using the cardinal temperatures 8°C (Tb<sub>1</sub>, base lower), 30°C (To<sub>1</sub>, base optimum), 37°C (To<sub>2</sub>, 237 upper optimum) and  $45^{\circ}$ C (Tb<sub>2</sub>, base upper). This function assumes values from 0 to 1, depending on: 238  $F_{RUE} = 0$  if  $T_{AVG} \le Tb_1$ ;  $F_{RUE} = (T_{AVG} - Tb_1)/(To_1 - Tb_1)$  if  $Tb_1 < T_{AVG} < To_1$ ;  $F_{RUE} = 1$  if  $To_1 < T_{AVG} < To_2$ 239 To<sub>2</sub>;  $F_{RUE} = (Tb_2 - T_{AVG})/(Tb_2 - To_2)$  if  $To_2 < T_{AVG} < Tb_2$ ; and  $F_{RUE} = 0$  if  $T_{AVG} > Tb_2$ .

Finally, we sampled each piece of weather and ecophysiological information across five-time intervals in the crop lifetime: from emergence to the appearance of the first leaf (V1, 14 DAE), from V1 to the fourth leaf (V4, 35 DAE), from V4 to the tasseling stage (VT, 65 DAE), from VT to the kernel milk stage (R3, 90 DAE) and from R3 to physiological maturity (120 DAE), in which DAE stands for days after emergence.

### 245 2.3.3 Enviromic assembly using typologies (T matrix)

The raw envirotyping data were used to assemble markers for environmental similarity, depending on the group of the ECs. The first group of ECs involves the transitory effect variables, which vary in the frequency of occurrence, depending on the crop development cycle. Thus, we design the expected envirotypes using the number of inputs required to lead crops in at least three levels of 250 adaptation: (1) stress by deficit, (2) optimum growing conditions, and (3) stress by excess. These levels 251 were defined using cardinal thresholds or frequency tables concerning the growing conditions archived 252 in the experimental network range. Then, from having reviewed the literature, we consider the intervals 253 for thermal-related variables: 0°C to 9°C (death), 9.1°C to 23°C (stress by deficit), 23.1°C to 32°C 254 (optimum growing conditions), 32.1°C to 45°C (stress by excess) and 45°C to  $\infty$ °C (death). We 255 computed the classes for accumulated prediction according to our agronomic expertise on rainfall 256 requirements for tropical maize growing environments: 0mm to 10mm, 10.1mm to 20mm, and 20.1mm 257 to  $\infty$  mm. For crop evapotranspiration (ETc), we assume the envirotypes 0-6 mm.day-1, 7-10 mm.day-258 1,10-15 mm.day-1 and 16 to  $\infty$  mm.day-1. Finally, for FRUE, we assume the envirotypes based on the 259 following adaptation zones: impact from 0% to 25% (0-0.25), from 26% to 50% (0.26-0.50), 51% to 260 75% (0.51-0.75) and 76% to 100% (0.76-1.0). We preferred to adopt a simple discretization for the 261 remaining variables using a histogram of percentiles (0-25%, 26-50%, 51-75%, 75-100%) of 262 occurrence for a target envirotype.

263 The second group involves constant effect variables. In this group, we consider the elevation, 264 crop management, and soil classification in each environment. Soil information was entered as an 265 incidence matrix (0 or 1) based on each environment's occurrence. In addition, for the N-level set, 266 nitrogen input levels were computed as two discrete classes: ideal N = 10 and low N = 30; we entered 267 this same incidence matrix for soil information. Because both sets have a gradient for elevation, we 268 used a histogram of percentiles (0-25%, 26-50%, 51-75%, 75-100%) as in the transitory group of 269 variables. Finally, each designed envirotype × time interval frequency was used as a qualitative marker 270 of environmental relatedness (the hereafter T matrix, from typologies).

#### 271 2.3.4 Assembly of W matrix using quantile covariables (benchmark EC matrix)

272 The quantitative descriptors of environmental relatedness are the most common method to 273 include environmental information in GP studies considering reaction-norms. Jarquín et al. (2014) 274 proposed the creation of the so-called environmental relatedness kinship ( $K_E$ ) carried out with a matrix 275 of quantitative environmental covariables (W matrix, thus we refer to this environment kinship as 276  $K_{\rm E,W}$ ). Here, this pattern of similarity in  $K_{\rm E,W}$  was captured using percentile values (25%; 50%, and 277 75%) at each of the five-time intervals of development, as suggested by Morais-Júnior et al. (2018) 278 and expanded by Costa-Neto et al., (2021a). We found 255 and 307 quantitative descriptors for the 279 Multi-Regional and N-level sets at the end of the process, respectively. In this study, we used this  $K_{E,W}$ as a benchmark method to test the effectiveness of the  $K_{E,T}$  matrix and the total absence of 280 281 environmental information (baseline genomic model without environmental information, see section 282 2.4.1).

283

## 284 2.4 Statistical Models

From a baseline additive-dominant multi-environment GBLUP (section 2.4.1), we tested four other models, created with the inclusion of two types of enviromic assembly (**T** or **W**) and structures for G×E effects. More details about each statistical model are provided in the next subsections. All kernel models were fitted using the BGGE R package (Granato *et al.*, 2018) using 15,000 iterations, with 2,000 used as burn-in and using a thinning of 10. This package was used due to the following aspects: (1) is an accurate open-source software and; (2) can accommodate many kernels in a computation-efficient way.

#### 292 2.4.1 Baseline additive-dominant GBLUP

The baseline model includes a fixed intercept for each environment and random genetic variations (additive and dominance). We will refer to this model as GBLUP, which was modeled as an overall main effect plus a genomic-by-environment deviation (the so-called G+GE model, Bandeira e Souza *et al.*, 2017), as follows:

297 
$$\mathbf{y} = \mathbf{1}\boldsymbol{\mu} + \mathbf{Z}_E \boldsymbol{\beta} + \mathbf{Z}_A \mathbf{u}_A + \mathbf{Z}_D \mathbf{u}_D + \mathbf{u}_{AE} + \mathbf{u}_{DE} + \boldsymbol{\varepsilon}$$
(1)

298

where  $\mathbf{y} = [\mathbf{y}_1, \dots, \mathbf{y}_n]'$  is the vector of observations collected in each of the q environments with 299 hybrids and  $\mathbf{1}\mu + \mathbf{Z}_{\rm E}\boldsymbol{\beta}$  is the general mean and the fixed effect of the environments with incidence 300 301 matrix  $\mathbf{Z}_E$ . Genetic variations are modeled using the main additive effects  $(\mathbf{u}_A)$ , with  $\mathbf{u}_A \sim$  $N(\mathbf{0}, \mathbf{J}_q \otimes \mathbf{K}_A \boldsymbol{\sigma}_A^2)$ , plus a random dominance variation  $(\mathbf{u}_D)$ , with  $\mathbf{u}_D \sim N(\mathbf{0}, \mathbf{J}_q \otimes \mathbf{K}_D \boldsymbol{\sigma}_D^2)$ , where  $\boldsymbol{\sigma}_A^2$ 302 and  $\sigma_{\rm D}^2$  are the variance component for additive and dominance deviation effects;  $Z_{\rm A}$  and  $Z_{\rm D}$  are the 303 incidence matrix for the same effects (absence=0, presence=1),  $J_q$  is a  $q \times q$  matrix of 1s and  $\otimes$  denotes 304 305 the Kronecker Product. G×E effects are modeled using a block diagonal (BD) matrix of the genomic effects, built using  $u_{AE} \sim N(0, I_q \otimes K_A \sigma_A^2)$  and  $u_{DE} \sim N(0, I_q \otimes K_D \sigma_D^2)$ , in which  $I_q$  is a diagonal 306 matrix of  $q \times q$  dimension. Residual deviations ( $\varepsilon$ ) were assumed as  $\varepsilon \sim N(0, I_n \sigma^2)$ , where n is the 307 308 number of genotype-environment observations. Furthermore, the genotyping data were processed in 309 two matrices of additive and dominance effects, modeled by:

310  $\mathbf{A} = \{0 = A^2 A^2; 1 = A^1 A^2; 2 = A^1 A^1\}$  and

311  $\mathbf{D} = \{-2f_l^2 = A^2A^2; 2f(1-f_l) = A^1A^2; -2f(1-f_l)^2 = A^1A^1\},\$ 

where  $f_l$  is the frequency of the favorable allele at locus *l*. Thus, the genomic-related kinships were estimated as follows:

314 
$$K = \frac{XX'}{\operatorname{trace}(XX')/nrow(X)}$$
(2)

where *K* is a generic representation of the genomic kinship ( $K_A$ ,  $K_D$ ), *X* is a generic representation of the molecular matrix (**A** or **D**), and *nrow*(*X*) denotes the number of rows in *X* matrix. Eq (2) was also used to shape the environmental relatedness kernels using T or W matrix. This linear kernel for  $K_E$  was described by Jarquín *et al.* (2014), which some other authors named it after " $\Omega$ ". Thus, here we only tested the difference between the environic source considered for building it and not the merit of the kernel method as was done in previous works (Costa-Neto *et al.*, 2021a).

321 2.4.2 GBLUP with enviromic main effects from T matrix (E-GP)

From baseline equation (1), we include a main environmental relatedness effect carried out with the **T** matrix ( $\boldsymbol{u}_{\text{E,T}}$ ), as follows (Costa-Neto *et al.*, 2021a):

324

325 
$$\mathbf{y} = \mathbf{1}\boldsymbol{\mu} + \mathbf{Z}_A \mathbf{u}_A + \mathbf{Z}_D \mathbf{u}_D + \mathbf{u}_{AE} + \mathbf{u}_{DE} + \mathbf{u}_{ET} + \boldsymbol{\varepsilon}$$
(3)

326

with  $\boldsymbol{u}_{\text{E,T}} \sim N(\boldsymbol{Z}_{E}\boldsymbol{\beta}, \boldsymbol{K}_{\text{E,T}} \otimes \boldsymbol{J}_{p}\boldsymbol{\sigma}_{\text{E,T}}^{2})$ , where  $\boldsymbol{J}_{q}$  is a  $p \times p$  matrix of 1s, is  $\boldsymbol{K}_{\text{E,T}}$  the environmental relatedness created and variance component from the **T** matrix. If non-enviromic sources are considered, the expected value for environments is given by  $\boldsymbol{Z}_{E}\boldsymbol{\beta}$  as the baseline model. In this model, the G×E effects are also modeled as the BD genomic matrix. Thus, we refer to this model as "E-GP (BD)". The kernel of enviromic assembly ( $\boldsymbol{K}_{E,T}$ ) was built using the panel of envirotype descriptors (**T**) in the same way as described in equation (2).

From model (3), we substitute the BD for a reaction-norm (RN, Jarquín et al., 2014) based on the Kronecker product between the environic and genomic kinships (Martini *et al.*, 2020) for additive ( $u_{AE,T}$ ) and dominance effects ( $u_{DE,T}$ ): 336

337 
$$\mathbf{y} = \mathbf{1}\boldsymbol{\mu} + \mathbf{Z}_A \mathbf{u}_A + \mathbf{Z}_D \mathbf{u}_D + \mathbf{u}_T + \mathbf{u}_{A,T} + \mathbf{u}_{D,T} + \boldsymbol{\varepsilon}$$
(4)

338

with 
$$u_{A,ET} \sim N(\mathbf{0}, K_{E,T} \otimes K_A \sigma_{AE,T}^2)$$
 and  $u_{D,ET} \sim N(\mathbf{0}, K_{E,T} \otimes K_D \sigma_{DE,T}^2)$  where  $\sigma_{AE,T}^2$  and  $\sigma_{DE,T}^2$  are  
the variance components for environic × additive and environic × dominance effects performed as  
reaction-norms (Costa-Neto *et al.*, 2021a; Rogers *et al.*, 2021), respectively. For short, this model will  
be named "E-GP (RN)".

# 343 2.4.3 GBLUP with enviromic main effects from W matrix (W-GP)

Finally, in models (4) and (5), we substitute the environic assembly derived from **T** by the same kernel size derived from **W**, that is, an environmental relatedness with  $u_{E,W} \sim N(Z_E \beta, K_{E,W} \otimes$  $J_p \sigma_{E,W}^2)$ , creating two more models:

347

348 
$$\mathbf{y} = \mathbf{1}\boldsymbol{\mu} + \mathbf{Z}_A \mathbf{u}_A + \mathbf{Z}_D \mathbf{u}_D + \mathbf{u}_{AE} + \mathbf{u}_{DE} + \mathbf{u}_{E,W} + \boldsymbol{\varepsilon}$$
(5)

349 and

350 
$$\mathbf{y} = \mathbf{1}\boldsymbol{\mu} + \mathbf{Z}_A \mathbf{u}_A + \mathbf{Z}_D \mathbf{u}_D + \mathbf{u}_{E,W} + \mathbf{u}_{AE,W} + \mathbf{u}_{DE,W} + \boldsymbol{\varepsilon}$$
(6)

351

352  $u_{AE,W} \sim N(\mathbf{0}, K_{E,W} \otimes K_A \sigma_{AE,W}^2)$  and  $u_{DE,W} \sim N(\mathbf{0}, K_{E,W} \otimes K_D \sigma_{ED,W}^2)$ , where  $K_{E,W}$  and  $\sigma_{E,W}^2$  are 353 the resulting kinship and the variance components estimated for environic assembly from the W 354 matrix, respectively. Thus, for short, models (5) and (6) will be referred to as "W-GP (BD)" and "W-355 GP (RN)", respectively.

#### 357 **2.5 Study cases for the E-GP platform**

In this study, we conceived two cases to highlight the benefits of E-GP to boost efficiency in prediction-based platforms for hybrid development in maize breeding (Figure 2). The first case (*Case I*) involves predicting the single-crosses from different theoretical existing experimental network setups, where we dissect the predictive ability over four prediction scenarios. In the second case (Case 2), we explore a theoretical conception of a super-optimized experimental network using the most representative combination of genotypes-environments selected using genomics, enviromic assembly, and genetic algorithms. Below we detail each case studied.

365 2.5.1 Case 1: expanding the existing field trials

375

In the first case (*Case 1*), we design a novel cross-validation scheme to split the global available phenotypic information (n), from p genotypes and q environments, into different training setups. Consequently, four prediction scenarios were created based on the simultaneous sampling of the phenotypic information for S genotypes and R environments.

• G, E: refers to the predictions of the tested genotypes within the experimental network (known genotypes in known environmental conditions). The size of this set is  $n_{[G,E]} = n \times \left(\frac{s}{n}\right) \times \left(\frac{R}{a}\right)$ ;

• nG,E: refers to predictions of untested (new) genotypes within the experimental network (known

373 environmental conditions). The size of this set is  $n_{[nG,E]} = n \times \left(1 - \frac{s}{p}\right) \times \left(\frac{R}{q}\right);$ 

•  $G_nE$ : in this scenario, predictions are made under environmental conditions external to those found

within the experimental network. However, there is phenotypic information available within the

- 376 experimental network. The size of this set is  $n_{[G,nE]} = n \times \left(\frac{S}{p}\right) \times \left(1 \frac{R}{q}\right);$

• *n*G,*n*E: refers to predicting untested (new) genotypes and untested (new) environmental conditions.

378 This set's size is 
$$n_{[nG,nE]} = n \times \left(1 - \frac{s}{p}\right) \times \left(1 - \frac{R}{q}\right)$$
.

379 Theoretically, if R/q = 1, then  $n_{[G,nE]} = n_{[nG,nE]} = 0$ , equal to the commonly used CV1 scheme (prediction of novel genotypes in known environments). Different intensities of R/q can be sampled, 380 381 which permits the testing of different sets of experimental networks. Here we simulated three different 382 experimental network setups for each tropical maize data set. For the *N*-level set, we made 3/8, 5/8, 383 and 7/8; for the Multi-local set 2/5, 3/5, and 4/5. We assumed the same level of genotype sampling as the training set for all experimental setups, equal to a fraction of  $\frac{s}{n} = 0.7$ . Each training setup was 384 385 randomly sampled 50 times in order to compute the prediction quality statistics. For this purpose, two 386 statistics were used to assess the statistical models' performance over these training setups. We 387 calculated Pearson's moment correlation (r) between observed (v) and predicted ( $\hat{v}$ ) values and used 388 the average value for each model and training setup as a predictive ability statistic. To check the GP's 389 ability to replace field trials, we then computed the coincidence (CS, in %) between the field-based 390 selection and the selection-based selection of the top 5% best-performing hybrids in each environment.

# 391 2.5.2 Case 2: designing super-optimized field trials

The second case (*Case 2*) was performed on the optimized training set described below. The first step was to compute a full-entry G×E kernel, based on the Kronecker product ( $\otimes$ ) between the enviromic assembly-based relatedness kernel ( $\mathbf{K}_{E,T}$ ,  $q \times q$  environments) and genomic kinship ( $\mathbf{K}_G$ , p× p genotypes), thus  $\mathbf{K}_{GE,T} = \mathbf{K}_{E,T} \otimes \mathbf{K}_G$ , with an  $n \times n$  dimension, in which n = pq. Here we adopted the kernel made up for additive effects ( $\mathbf{K}_G = \mathbf{K}_A$ ) as the genomic kinship, despite the benefits of dominance effects in modeling G×E. We chose to use only  $\mathbf{K}_A$  for simplicity and since additive effects seems to be a major genomic-related driver of G×E for grain yield in tropical maize (Dias *et al.*, 2018;

399 Alves et al., 2019; Costa-Neto et al., 2021a; Roger et al., 2021), a fact that was also observed for Case *l* (see section 3.1). Later, we applied a single-value decomposition in  $K_{GE,T}$ , following  $K_{GE,T} = UVU^T$ 400 401 where U is a total of eigenvalues and V the respective eigenvectors. The number of eigenvalues that 402 explains 98% of the variance present in  $K_{GE,T}$  indicate the number of effective SNPs by envirotypemarker interactions, which is also the minimum core of genotype-environment combinations  $(N_{GE})$ . 403 Thus, the reduced phenotypic information of some genotypes in some environments  $(N_{GE})$  was used 404 to predict a virtual experimental network  $(N_{test})$ , involving all remaining single-crosses in all available 405 406 environments, thus given by  $N_{test} = n - N_{GE}$ ,

Following this step, a genetic algorithm scheme using the design criteria PEV<sub>MEAN</sub> was used to identify the  $N_{GE}$  combinations of genotypes in environments within the  $K_{GE,T}$  entries that must be phenotyped (Misztal, 2016). This optimization was implemented using the *SPTGA* R package (Akdemir and Isidro-Sánchez, 2019) using 100 iterations: five solutions selected as elite parents were used to generating the next set of solutions and mutations of 80% for each solution generated.

# 412 **2.6** Virtual screening for yield plasticity

Finally, we tested each GP model's potentials to predict the genotypes' phenotypic plasticity and stability across environments using only the  $N_{GE}$  phenotypic information. First, the prediction ability was computed for genotypes by correlating the predicted and observed grain yield values across environments (Costa-Neto *et al.*, 2021a). The second measure was based on the Finlay-Wilkinson adaptability model's regression slope (Finlay and Wilkinson, 1963). The GP predicted values were regressed to the observed environmental deviations, as follows:

419 
$$M_{ij} = \bar{y}_{i.} + b_i l_j + \varepsilon_{ij}$$
(7)

420 where  $M_{ij}$  is the expected GP-based mean value of grain yield for *i*<sup>th</sup> genotype at *j*<sup>th</sup> environment,  $\bar{y}_{i}$  is 421 the mean genotypic value for *i*<sup>th</sup> genotype,  $b_i$  is the genotype plastic response across the mean-centered 422 standardized environmental score  $(I_j)$  and  $\varepsilon_{ij}$  is the variety of residual deviation sources not accounted 423 in the model. After this step, the Pearson's product-moment correlation between GP-based  $(\hat{b}_i)$  and 424 phenotypic-enabled estimates were computed as an indicator of the ability to reproduce plastic 425 responses *in silico* for the *p* genotypes. For this, the mean squared error is also calculated as:

426 
$$MSE = \sum_{i=1}^{p} \frac{(b_i - \hat{b}_i)^2}{p}$$

All statistics were computed using the entire data sets and only the top 5% of genotypes selected for
each environment. The latter aimed to check the efficiency of the E-GP method to produce high-quality
virtual screenings for plasticity.

## 430 **2.7 Data and Code availability**

431 All data sets and codes (in R) are freely available at <u>https://github.com/gcostaneto/EGP</u>.

# 432 **3 RESULTS**

# 433 **3.1 Case 1: Accuracy in predicting diverse GxE scenarios**

A cross-validation scheme was designed to assess the predictive ability of the enviromic-aided approaches in the face of traditional GBLUP. For that, sample genotypes (70%) and environments were used to compose a drastically sparse training set for MET (training environments/total of environments). This helped assess the efficiency of E-GP for *Case 1*, in which we were able to dissect the predictive ability (section 3.2.3) in different scenarios of a scarcity of phenotypic records: novel genotypes in tested environments (nG,E); tested genotypes in untested environments (G,nE), and novel

440	genotype and environment conditions (nG, nE). Tables 1 and 2 present the N-level and Multi-Regional
441	sets results, respectively. Then, these results were gathered for both data sets and four prediction
442	scenarios in order to check for the joint predictive ability analysis (Figure 3).

#### 443 3.1.1 Within experimental network (know growing conditions)

444 The predictions within known environmental conditions of a certain experimental network 445 involve scenarios G,E and nG,E. For the G,E scenario (classical 'training set'), all models 446 outperformed the GBLUP in any setups N-level set, and most of the setups of Multi-Regional set. The 447 highest values of predictive ability were observed for enviromic-aided GP models using the block-448 diagonal matrix for G×E effects (BD), that is, the E-GP (BD) and W-GP (BD), respectively. Two 449 general trends were observed: the size of the experimental setup has a small effect on GP models' 450 accuracy. Secondly, higher accuracy gains were observed for the N-level set (Table 1), with a higher 451 number of entries (more genotypes and more environments). The accuracy gains in this N level set 452 ranged from +8% (r = 0.83 for E-GP RN at 7/8 experimental setup), in relation to r = 0.77 (GBLUP), 453 to +24% (r = 0.92 for W-GP RN at 3/8 experimental setup), in relation to r = 0.74 (GBLUP). In 454 contrast, for the Multi-Regional set (Table 2), both RN-G×E models reduced the accuracy (on average, 455 -3%). For the BD-G×E models, small gains in accuracy (from +4% to +8%) were observed.

That is also a trend for the second prediction scenario (nG,E), in which the Multi-Regional set presented an average gain of 10% for all enviromic-aided GP models with BD-G×E, and a reduction of 10% for all RN-G×E models. Conversely to the previous scenario (G,E, within the experimental network, using known genotypes), the nG,E is one of the most important plant breeding scenarios. It represents the ability of predict new single-crosses, within the know environmental gradient, borrowing genomic and enviromic information from the phenotypes of the relatives. Thus, expand the spectrum of possible genotypes using know growing conditions from the past. For the N-level set, gains up to 463 100% were observed for all enviromic-aided models using any G×E structure. No differences were 464 observed between enviromic-aided models and experimental setups. On average, all enviromic-aided 465 models achieved a predictive ability of approximately r = 0.66 across all experimental setups (3/8, 5/8, 466 and 7/8, Table 1). In contrast, the GBLUP model has been impacted with reduced accuracy and a lack 467 of phenotypic records. The highest gains in predictive ability were observed for scenario 3/8, average 468 +118% for BD-G×E models, and +119% for RN-G×E models.

#### 469 3.1.2 Across experimental network (new growing conditions)

470 The predictions within new environmental conditions across the experimental network involve 471 G, nE and nG, nE. Both scenarios represent the ability of using the available phenotype information 472 collected from experimental network in order to predict novel growing conditions using genomic or 473 genomic+enviromic data sources. For the G, nE, the E-GP models outperformed W-GP and GBLUP 474 across most experimental setups, despite small differences between the enviromic-aided approaches. 475 For the E-GP BD at the N-level set (Table 1), the gains in predictive ability ranged from +24% (r = 476 0.49 at 7/8 setup, Table 1), in relation to r = 0.40 (GBLUP), to +35% (r = 0.57 at 5/8 setup), in relation 477 to r = 0.43 (GBLUP). However, for scenario 3/8, these gains were equal to +10% (r = 0.57) in relation 478 to the +13% archived by the benchmark W-GP RN (r = 0.58), both over the r = 0.53 from GBLUP. In 479 scenario 7/8, W-GP was outperformed by GBLUP, with a reduction in accuracy between -18% and -480 16%, where the E-GP made better use of the large phenotypic information available for training GP 481 models (gains from +20% to +24% over GBLUP). A similar pattern was observed for the Multi-482 Regional set (Table 2), in which the gains of E-GP ranged from +4% to +6% across all setups, and W-483 GP ranged from -3% to +6% under the same conditions.

484 The second scenario involving novel growing conditions also predicts novel genotypes (*nG*,*nE*)
485 into account. Thus, all predictions were based on the phenotypic records from reassembled genotypes

486	and considering the environmental similarity conceived from environics. With a large experimental
487	network and genomics, the E-GP models outperformed W-GP and GBLUP when predicting new G×E.
488	Observed accuracy gains ranged from 33% ( $r = 0.39$ for E-GP RN) to 40% ( $r = 0.42$ for E-GP BD), in
489	experimental setup 7/8 (Table 1), where GBLUP achieved $r = 0.30$ , and from 47% ( $r = 0.46$ for E-GP
490	BD) to 51% ( $r = 0.48$ for E-GP BD), at the experimental setup 5/8, where GBLUP achieved $r = 0.32$ .
491	Unlike observations in the other prediction scenarios, the models RN-G×E outperformed BD-G×E in
492	experimental setups 3/8 (N-Level set) and 2/5 (Multi-Regional set).

#### 493 **3.2** Accuracy trends across diverse experimental setups

494 This section highlights the main target of our *Case 1* study, in which the predictive ability was 495 achieved using the merged information of scarce genotypes at some environments. Joint accuracy 496 trends showed that E-GP was useful at increasing GP accuracy (Fig.3a) and explaining the phenotypic 497 variation sources in both maize data sets (Supplementary Table 3-4). For scenarios with reduced 498 phenotypic information (e.g., 3/5, 3/8, and 4/8), any model with some degree of environmental 499 information outperformed the GBLUP for all scenarios. The E-GP approach (purple colors in Figure 500 3a) better captured envirotype-phenotype relations and converted them into accuracy gains among 501 these models. This is also reflected in the E-GP efficiency as a predictive breeding tool capable of 502 reproducing field-based trials (Fig.3b).

Regarding the G×E structures, the contribution of RN-G×E is significant only for drastically lacking phenotypic records (training setup 3/8), leading to the conclusion that the use of a main-effect is substantial for most cases E-GP is enough to increase accuracy in GBLUP. For setup 2/5 (Multi-Regional Set), no differences were observed between all the GP models.

507 The coincidence between the GP-based selection and the in-field selection (CS, %) ranged from 508 ~35% to ~50%, in models with some environmental information, while it ranged between 30% and

509 40% for GBLUP (without environmental information). For the E-GP approach accounting for a wide 510 number of phenotypic records in the training set (7/8, 3/5, and 4/5), values of CS up to 55% were found. 511 Among these models, it seems that the RN-G×E reduces the CS estimates concerning the BD-G×E 512 based models. Considering both figures 3a and 3b, it is possible to suggest that predictive ability does 513 not imply an increase of CS, that is, in the power of selecting the best performing genotypes in certain 514 environments. However, the drastic increase in the E-GP accuracy in relation to the other models leads 515 us to infer that despite the lower rise in CS, the E-GP models are useful when predicting GE for a vast 516 number of single-crosses.

# 517 **3.3** Case 2: enviromic assembly with optimized training sets for genomic prediction

Those results lead us to investigate *Case 2* (Fig.2), where we checked the possibility of training efficient and biologically accurate GP scenarios from super-optimized training sets. Then, we checked the potential of using these optimized field trials for predicting novel G×E under the so-called "virtual experimental networks". This approach were implemented by combining two selective phenotyping approaches (Misztal, 2016; Akdemir and Isidro-Sánchez, 2019), aiming to identify combinations of genotypes and environments using in-silico representations of the enviromic assembly × genomic kinships.

### 525 3.3.1 Predicting G×E at virtual experimental networks

The process of designing virtual networks in maize hybrid breeding involved two steps (Supplementary Fig 1). First, we used a single-value decomposition (SVD)-based algorithm to select the effective number of individuals ( $N_{GE}$ ) (Misztal, 2016) representing at least 98% of the variation of  $K_{G,ET}$ . It was done in  $K_{G,ET}$  because this kernel represents an in-silico representation of envirotypes and genotypes (Akdemir and Isidro-Sánchez, 2019). Under sparse MET conditions, it led to a training size equal to  $N_{GE} = 67$  and  $N_{GE} = 49$  for the N-level set (n = 4,560) and Multi-Regional set (n = 1,235), respectively. It represents only 1.5% and 4% of the whole experimental network; Supplementary Fig. 2-3. For didactic purposes, from here onwards, we will represent the values of  $N_{GE}$  as the training set size/number of genotypes.

We also checked the use of all environments, although the accuracy differences were tiny in relation to this sparse MET scenario (Table 3). Furthermore, small differences were achieved by E-GP and W-GP models with BD-G×E, but both higher than RN-G×E and GBLUP (Fig 4). Major differences were highlighted as follows:

- For within-field trials, predictive ability ranged from r = 0.76 (W-GP) to r = 0.87 (E-GP);
- For virtual-networks, it ranged from  $r = 0.14 \pm 0.11$  (GBLUP) to  $r = 0.60 \pm 0.06$  (E-GP);

• In virtual-networks, the predictive ability of models trained with drastically reduced phenotypic 542 records ranged from r = 0.10 (GBLUP, N<sub>GE</sub> = 67/4560) to r = 0.58 (E-GP, N<sub>GE</sub> = 67/4560) and 543 r=0.18 (GBLUP, N<sub>GE</sub> = 49/1235) to r=0.81 (E-GP, N<sub>GE</sub> = 49/1235).

544 The predictive ability was computed considering only the top 5% of genotypes in each environment 545 and data set. The objective was to verify if the GP approaches could adequately predict the performance 546 of the best-evaluated genotypes in the field. For the Multi-Regional set, the predictive ability ranged 547 from r = 0.098 (GBLUP, N<sub>GE</sub> = 210/1235) to r = 0.579 (W-GP BD, N<sub>GE</sub> = 49/1235) and r = 0.578 (E-548 GP BD,  $N_{GE} = 49/1235$ ; For the N-level set, W-GP outperformed E-GP, leading to r = 0.554 (W-GP 549 BD,  $N_{GE} = 536/4560$ ) in front of r = 0.554 (E-GP RN,  $N_{GE} = 67/4560$ ) but with less phenotyping data. 550 In contrast, the best E-GP model at the higher number of genotypes and environments evaluated in the 551 field r = 0.484 (E-GP RN, N<sub>GE</sub> = 536/4560) were outperformed by the same model, yet with less 552 phenotyping data r = 0.554 (E-GP RN, N<sub>GE</sub> = 67/4560). For GBLUP, the effective size of the training 553 set was important, ranging in predictive ability from r = 0.070 (N<sub>GE</sub> = 67/4560) to r = 0.152 (N<sub>GE</sub> =

554 536/4560). The result of both sets suggests that when using enviromic-aided approaches, the use of
555 fewer amounts of, but more representative, phenotyping information is better than more amounts of,
556 yet less representative, phenotyping data.

Figure 4 was created using the average values of Table 3. This figure shows that the optimization was more effective for growing conditions contrasting across macro-regions (Fig. 4a) than for experimental networks involving fewer locations (Fig. 4b). Notably, it is possible to drastically reduce field costs for experimental networks conducted across diverse locations. However, for screening management conditions, greater precautions must be considered with the use of E-GP.

# 562 3.3.2 Predicting genotype-specific plasticity and environmental quality

In this step, we checked these models' ability to produce virtual screenings for yield plasticity (Fig.5). We used the Finlay-Wilkinson method (FW, Eq. 7) over the predicted GY means of each genotype *i* in environment *j* ( $M_{ij}$ ). Hence, we compared the ability of E-GP in the prediction of: (1) individual genotypic responses across environments, (2) the gradient of environmental quality ( $h_j$ ), and (3) the plasticity coefficient ( $b_1$ ) of the FW model describing the rate of responsiveness to *h*. The results in Fig 5 involves a joint analysis of both data sets.

All models that included some degree of enviromic assembly outperformed the GBLUP-based approach when predicting individual genotype responses across the MET (Fig 5a). The median values of *r* ranged from r = 0.17 (GBLUP), in which 45% of the genotypes were not well predicted (red colors), to r = 0.83 (E-GP), in which up to 60% of the genotypes were very well predicted (purple colors). The inclusion of any enviromic assembly and G×E structure led to drastic gains in accuracy for a particular genotype response across contrasting (and unknown) G×E conditions (gains up to ~378%). However, the BD structure outperformed RN in terms of resolution (many purple colors in Fig 5a). A major part

576	of the accurately pr	redicted performance	e of genotypes acro	oss environments	ranged from $r = 0.75$ to $r$
-----	----------------------	----------------------	---------------------	------------------	-------------------------------

577 =1.0. Due to this, for the next figures, we plotted only the E-GP considering the BD-G×E structure.

578 GBLUP was unable to correctly reproduce  $h_i$  for an in-silico study using the FW model (Fig.5b). 579 We observe that E-GP better describes the  $h_i$  gradient (mean-centered average values of GY for each 580 environment), with r near to 1 (correlation between observed and predicted environmental quality) also 581 suggesting a low bias (slope = 0.924 between observed and predicted values). Consequently, this was 582 reflected in the quality of yield plasticity predictions (Fig.5c-e), as yield plasticity was represented as 583 linear responsiveness over the environmental variation. The graphical representation of genotype-584 specific linear reaction norms dictated by the linear regression slope  $(b_1)$  was likely more similar to E-585 GP than GBLUP about those observed in field-based testing (Fig 5b). The accuracy for  $b_1$  ranged from 586 r = 0.08 (GBLUP) to r = 0.43 (E-GP), an increase of 437%.

#### 587 4 DISCUSSION

588 Large-scale envirotyping, or simply enviromics, is an emerging field of data science in 589 agricultural research and modern breeding program routines. We demostrated that environics is the 590 science capable of bringing together environment information and quantitative genomics into an 591 ecophysiology-smart manner. In this study, we presented the first report on (1) the use of Shelford's 592 Law to guide the assembly of the environics for predictive breeding purposes over experimental 593 networks; (2) the integration of enviromic assembly-based kernels with genomic kinship into 594 optimization algorithms capable of designing selective phenotyping strategies and (3) a break of the 595 paradigm relying on the fact that phenotyping a higher number of genotypes at higher number of 596 environments do not always contribute to increasing the accuracy of GP for contrasting  $G \times E$  scenarios, 597 but there are pieces of evidences suggesting that environics increases accuracy under sparse multi-598 environment networks; (4) report that the process of deriving markers of environmental relatedness,

here named 'enviromic assembly', is crucial for the implementation of low-cost GP platforms overmulti-environmental conditions.

601 In this study, we also envisage that the process of environic assembly is supported by a strong 602 theoretical background in ecophysiology, illustrating the potential uses of environmental information 603 to increase the accuracy of predictive breeding for yield and plasticity. Our results indicate that the E-604 GP platform (Figure 2) can fit two types of prediction scenarios in plant breeding programs: (1) better 605 use of the available phenotypic records to train more accurate GP models capable of aiding the selection 606 of genotypes across multi-environmental conditions and (2) a method that reduces costs for field-based 607 testing and enables an early screening for yield plasticity under crossover G×E conditions. 608 Furthermore, we show that any model with some degree of environic assembly (by typology or 609 quantitative descriptors) is always better to reproduce the genotypes' environmental quality of field 610 trials and phenotypic plasticity.

611 Below we discuss the aspects that support the use of E-GP for multi-environment predictions, 612 involving the importance of breaking the paradigm that states that environics are not necessary to 613 predict G×E accurately. We then discuss how the genomic and enviromic sources are linked in the 614 phenotypic records collected from the fields and how this type of knowledge can improve the quality 615 of the prediction-based pipelines for crop improvement. Finally, we envisage possible environmental-616 assembly applications supporting other predictive breeding fields, such as optimizing crop modeling calibration and how it can couple a novel level of climate-smart solutions for crop improvement as 617 618 anticipating the plasticity of a large number of genotypes using reduced phenotypic data.

# 619 **4.1** Why are environics important for multi-environment genomic prediction?

620 Genomic prediction (GP) platforms were first designed to model the *genotype-to-phenotype* 621 relations under single environment conditions, e.g., in a breeding program nursery (Lorenzana and 622 Bernardo, 2009; Windhausen et al., 2012; Zhao et al., 2012; Zhang et al., 2015). Under these 623 conditions, the micro-environmental variations within breeding trials (e.g., spatial gradients in soil 624 properties) are minimized in the phenotypic correction step by separating useful genetic patterns and 625 experimental noises (non-genetic patterns). However, those phenotypic records carry the indissoluble 626 effects of macro-environmental fluctuations of certain weather and soil factors that occurred during 627 crop growth and development (Li et al., 2018; Vidotti et al., 2019; Millet et al., 2019; Guo et al., 2020; 628 Jarquín et al., 2020). That seems to be of no concern when predicting novel genotypes under these 629 same growth conditions (the CV1 scheme for single-environment models) yet becomes noise for multi-630 environment prediction scenarios. It is a consequence of the macro-environment fluctuations in the 631 lifetime of the crops (Allard and Bradshaw, 1964; Bradshaw, 1965; Arnold et al., 2019), responsible 632 for modulating the rate of gene expression (e.g., Jończyk et al., 2017; Liu et al., 2020) and fine-tuning 633 epigenetic variations and related to transcriptional responses (e.g., Vendramin et al., 2020; Cimen et 634 al., 2021).

635 For each unit that we call "environment" (field trial at the specific year, location, planting date, 636 and crop management), there are various environmental factors such as water availability, canopy 637 temperature, global solar radiation, and nutrient content in the soil. The expression of some genotype 638 in some phenotype is then limited by the certain key environmental factors, acting in different levels 639 of crop development as preconized by School of de Wit' since 1965 (see Bouman et al., 1996). 640 However, we revisited the Shelford's theory, which suggests that a population's fitness is given by the 641 amount and distribution of resources available for its establishment and adaptation (Shelford, 1931). 642 Thus, we reinterpret this concept by assuming that the relation between input availability (deficit, 643 optimum amount, or excess) across different crop development stages drives the amount of the genetic 644 potential expressed in phenotypes produced by the same genotype for a given environment. Therefore, 645 it provides the foundations to elaborate the argument that there is also an indissoluble *envirotype*-

646 *phenotype covariance* in the phenotypic records that is interpreted as a G×E interaction for each 647 environment. Because of that, we envisage that any environmental relatedness kernel must account for 648 it in any way.

649 The pioneer approaches to measuring crop adaptability use the average value of a given trait in 650 a given environment as an environmental quality index (e.g., Finlay and Wilkinson, 1963). However, 651 the problem with this approach is that it explains the quality of the environment realized by the 652 genotypes evaluated in it, making it inefficient to explain the drivers of environmental quality and 653 incapable of predicting untested growing conditions, as observed in our results for Case 2 using 654 GBLUP without enviromic data. In addition, our results for *Case 1* highlight that it is a limit in accuracy 655 for traditional GBLUP across MET, in which the accuracy remains almost the same, regardless of the 656 number of phenotypic records available.

657 A second intrinsic covariance can interpret this last result within the phenotypic records, which 658 is the *genotype-envirotype covariance*. By adapting the Quantitative Genetics theory to the terminology 659 used here, we can infer that each genotype reacts differently to each envirotype, resulting in a given 660 phenotype. This phenotype is then used to provide small crop phenology differences (genetically 661 determined window sizes for each development stage). Recent but pioneer works have been carried 662 out to understand the genetic and environmental determinants of flowering time in sorghum (Li et al., 663 2018) and rice (Guo *et al.*, 2020). That can be indirectly interpreted as cardinal differential thresholds 664 for temperature response. Jarquín *et al* (2020) proved that it is possible to increase the ability of GP in 665 predictive novel G×E by coupling information of day-length in the benchmark GP models. For all these 666 examples reported above, we can infer that, when trying to predict a novel genotype, by borrowing 667 genotypic information from the relatives at different environments, it is impossible to reproduce the 668 genotype-envirotype covariance without adding any enviromic information into the model.

669 The presence of both *genotype-envirotype* and *envirotype-phenotype* covariances might explain 670 the gains in the predictive ability due to the use of multi-environment GP models in contrast to single-671 environment GP models (Bandeira e Souza et al., 2017; de Oliveira et al., 2020) and why deep learning 672 approaches have successfully captured intrinsic G×E patterns and translated them into gains in 673 accuracy (Montesinos-López et al., 2018; Crossa et al., 2019; Cuevas et al., 2019). Conversely, this 674 also might explain the need to incorporate secondary sources of information in the prediction of grain 675 yields across multiple environments (Westhues et al., 2017; Ly et al., 2018; Millet et al., 2019; Costa-676 Neto et al., 2021a; 2021b; Jarquín et al., 2020), as well as the possible limitations of CGM approaches 677 contrasting scenarios differing from those targeted near-iso conditions of CGM calibration (e.g., 678 Cooper et al., 2016; Messina et al., 2018). Thus, an alternative can be supervised approaches to 679 describe the environmental relatedness, such as in this paper, and perhaps unsupervised algorithms 680 capable of taking advantage of the covariances related to the genotype-phenotype, genotype-681 envirotype, and envirotype-phenotype dynamics.

#### 682 **4.2** Sometimes main-effect environics is better than reaction-norm models

683 Our results from Case 1 show that the inclusion of enviromic sources (for main-effects or 684 explicitly incorporated in the RN-G×E structure) led to a better description of the envirotype-phenotype 685 covariances, which was reflected in accuracy gains. At our data and Bayesian approach used, it is worth 686 highlighting that incorporating environic sources does not replace the incorporation of a design matrix 687 for environments (here used as fixed effects) as it is commonly associated in previous studies of GP 688 reaction-norm. Here we show that environic sources came up as tentative to capture the envirotype-689 phenotype covariances. The cross-validation scheme used in *Case 1* allowed us to observe that the joint 690 prediction of different genotype-environment conditions (Fig 3) might better highlight how environic 691 sources can contribute to increasing the predictive ability of GP, mostly due to its usefulness in 692 approaching the environmental correlation among field trials. It shows more transparency for the influence of the scenarios G,nE and nGnE, in which we had a considerable lack of phenotypic information in training GP. We can infer that schemes such as CV1 (only nG,E) are the least adequate option to show the benefits of coupling environics in GBLUP. However, looking at a drastically sparse MET condition (joint prediction scenarios) shows that environics improves the accuracy of GP as the size of the MET also increases. Predictions are made up of tiny experimental networks.

# 698 **4.3** Differences in using environmental covariables (W) and typologies (T)

699 Regarding the enviromic assembly approaches used in this study, there was evidence that using 700 typologies as envirotype descriptors (T matrix) is more biologically accurate in representing 701 environmental relatedness than quantitative descriptors (W matrix) based on quantile covariables. This 702 increase in biological accuracy was reflected in the statistical accuracy and then boosted plant breeders' 703 ability to carry out selections across multi-environment conditions. Further efforts in this sense must 704 be devoted to increasing the level of explanation of the genotype-envirotype covariances, which can 705 also take advantage of Shelford's Law to refine the limits of tolerance for particular genotypes. Thus, 706 different genotypes will be under the influence of a diverse set of envirotypes, which can be realized 707 for the same environmental factor (e.g., solar radiation, air temperature, soil moisture) according to its 708 occurrence across crop lifetime (e.g., vegetative stage) and the adaptation zone designed from 709 ecophysiology concepts (e.g., temperature cardinals defining which temperature level results in stress 710 and optimum growing condition).

A second difference may be explained by the fact that quantitative environmental covariates are not an additive effect to compose an environment variation. Despite this, we agree with Resende et al. (2020), and we adapted the idea of envirotypes as markers of environment relatedness in a different manner. For example, the common use of mean values of covariates such as rainfall, solar radiation, and air temperature, in reality, represents a non-additive between each other; yet, they are very well 716 correlated for a given site-planting date condition, even when using strategies to deal with collinearity, 717 such as partial least squares (e.g., Vargas et al., 2006; Porker et al., 2020;). We can use an example as 718 a given day of crop growing in which a large amount of rainfall has occurred. We can suppose that the 719 sky is cloudy, with less radiation and lower temperature. Thus, using such G-BLUP inspired approach 720 is not an ideal solution to estimate the environmental variance. Conversely, the environmental 721 typologies (**T**) are based on frequencies (ranging from 0 to 1), where the sum of all frequencies are 722 equal to 1 (100% of the variation). In addition, those typologies can be built for a given site using 723 historical weather data, adapting the approach of Gillberg et al. (2019) and de los Campos et al. (2020). 724 As presented in section 2.4.2, if no typologies are considered, the expected environment effect is given 725 for a fixed-environment intercept (with 0 variance within and between environments). Despite this fact, 726 another option is using nonlinear kernel methods to estimate only the environment-relatedness, as this 727 approach takes advantage of nonlinear relationships among covariates (Costa-Neto et al., 2021a,b).

#### 728 4.4 Does more phenotype data mean more accuracy in multi-environment prediction?

729 This study shows that environmental information can break the paradigm that claims that more 730 phenotype information leads to greater accuracy of GP models over MET. Our results highlight that 731 the traditional GBLUP models assume that the variation due to G×E is purely genomic-based across 732 field trials, leading to an implicit conclusion that the yield plasticity is constant (slope  $\sim 0$ ) for all 733 genotypes, which is unrealistic. It also reflects that G×E patterns are non-crossover (scale changes in 734 performance across different variations), that is, a well-performing genotype will always be good 735 across environments, and a poorly performing genotype has the same trend for all environments. 736 Despite the gains achieved in predicting the quality of a novel environment and the plasticity for tested 737 and untested genotypes, we noticed that the inclusion of enviromic sources also leads to the unrealistic 738 conclusion that all genotypes respond in the same way the gradient of climate and soil quality. Our 739 results show a reasonable accuracy in predicting yield plasticity, but further efforts must be made to

improve this approach's explanation of the yield plasticity as a nonlinear variation across the gradientof environmental factors.

742 The use of selective phenotyping strategies made up with enviromic assembly  $\times$  genomic 743 kinships showed a drastic reduction of in-field efforts. Combined with enviromic-aided GBLUP 744 models, it led to almost the same predictive ability achieved using a wide number of genotypes and 745 environments for a large experimental network. Thus, we can enumerate the benefits of the environic 746 approaches tested in this study as (1) the possibility of training prediction models for yield plasticity 747 with reduced phenotyping efforts, (2) a consequence of the assembly of environics with genomics 748 allowing the selection of the genotype-environment combinations that best represents the main inner 749 covariances among phenotypes produced by different environments (the genotype-phenotype, 750 envirotype-phenotype dynamics mentioned above).

751 Considering both environics approached, we conclude that the advantages of E-GP over W-GP 752 can be enumerated as (1) the flexibility to design a wide number of environment-types assuming 753 different frequencies of occurrence of key stressful factors in crop development; (2) it allows the use 754 of historical weather and in-field records to compute trends of certain envirotypes at certain 755 environments, which can be coupled into (3) the definition of TPE and characterization of mega-756 environments, as the main approach used for this relies on the study of the frequency of occurrence of 757 the main environment-types (e.g., Heinemann *et al.*, 2019). For the latter, for example, the **T** matrix 758 proposed here is just an arrangement of an environment  $\times$  typology matrix, in which each entry 759 represents its frequency of occurrence at a particular time interval of the crop lifetime. Conversely, the 760 advantages of W-GP over E-GP rely on plasticity in creating large-scale envirotype descriptors with 761 reasonable biological accuracy.

#### 763 **4.5** Can we envisage climate-smart solutions from enviromics with genomics?

764 Modern plant breeding programs must deliver climate-smart solutions cost-effectively and time-765 reduced (Crossa et al., 2021). By climate-smart solutions, we mean (1) adopting cost-effective 766 approaches capable of providing fast and cheap solutions to face climate change (2) a better resource 767 allocation for field trial efforts to collect representative phenotype information to feed prediction-based 768 platforms for crop improvement, such as training accurate GP models and CGM-based approaches 769 capable of guiding several breeding decisions, (3) a better understanding of which envirotypes most 770 limit the adaptation of crops across the breeding TPE, revising historical trends and expecting future 771 scenarios (e.g., Ramirez-Villegas et al., 2018; 2020; Heinemann et al., 2019) (4) understanding the 772 relationship between secondary traits and their importance in explaining the plant-environment 773 dynamics for given germplasm at given TPE (e.g., Cooper et al., 2021). However, most of those 774 objectives will be hampered if the MET-GP platforms do not consider models with a higher biological 775 meaning (Hammer et al., 2019) and reliable environmental information. A cost-effective solution for 776 that, if the breeder has no access to sensor network tools, relies on the use of remote sensing tools to 777 collect and process basic weather and soil data, such as those available in the EnvRtype R package 778 (Costa-Neto et al., 2021b).

779 If selective phenotyping is added in the environics-aided pipeline for GP (Supplementary Fig 780 1), additional traits and the possibility of screening genotypes across a wide number of managed 781 environments will increase. It can support field trials' training for CGM approaches, which demands 782 phenotyping of traits across crop life, such as biomass accumulation and partitioning among different 783 plant organs. Finally, using models considering an explicit environmental gradient of key-784 environmental factors is a second alternative for this approach. It can be done to discover the genetic 785 determinants of the interplay between plant plasticity and environment variation. As a wide range of 786 genes reacts to each gradient of environmental factors, the use of whole-genome regressions of 787 reaction-norm for each environmental factor must be useful to screen potential genotypes (in our case, 788 single-crosses) for a diverse set of scenarios (e.g., increased heat stress). Pioneer works used this 789 methodology in wheat breeding (Heslot *et al.*, 2014; Ly *et al.*, 2018) inspired other cereal crop 790 applications.

791 For example, Millet *et al.* (2019) fine-tuned the methodology by creating a two-stage analysis of 792 factorial regression (FR) involving environmental data, followed by a GP based on the genotypic-793 specific sensibility for key environmental factors found in the FR step. In general, studies involving 794 FR analysis found that the effect of high temperatures at grain-filling and maturation (Epinat-Le Signor 795 et al., 2001; Romay et al., 2010), water balance at flowering (Epinat-Le Signor et al., 2001; Millet et 796 al., 2019) and intercept radiation at the vegetative phase (Millet et al., 2019) are the main drivers of 797 G×E for yield components in maize. Thus, Millet et al. (2019) explores this opportunity offered by FR 798 to use genotypic-specific regressions, which coupled with genomic data, led to an increase of the 799 accuracy of MET-GP by 55% concerning the benchmark environmental similarity model made up of 800 mean values of environmental factors, as proposed by Jarquín et al. (2014).

801 From the aspects mentioned above, we envisage that the use of GP for multi-environment 802 predictions must account for some degree of ecophysiological reality while also considering the 803 balance and the relation between parsimony and accuracy (Hammer et al., 2019; Costa-Neto et al., 804 2021b; Cooper et al., 2021). Here we also highlight in our literature review that multi-environment GP 805 must account for the impact of (1) resource availability in the creation of biologically accurate 806 platforms in training CGM-based approaches and delivering reliable envirotyping information for 807 those purposes, (2) availability of the knowledge of experts in training CGM approaches. Thus, 808 ecophysiology concepts to provide solutions for raw environmental data processing in environic 809 assembly information for predictive purposes seem to be a cost-effective alternative to leverage 810 accuracy involving parsimony and biological reality.

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# 997 6 **TABLES**

**Table 1.** Predictive ability ( $\pm$  standard error) of the genome-based prediction models (GP) for the N-level set of999tropical maize hybrids (570 hybrids  $\times$  2 locations  $\times$  two years  $\times$  two nitrogen managements). Bold values denote900tropical maize hybrids (570 hybrids  $\times$  2 locations  $\times$  two years  $\times$  two nitrogen managements). Bold values denote

higher predictive ability values for each scenario: G,E (known genotypes at known growing conditions), G,nE (known genotypes at new growing conditions), nG, E (new genotypes at known growing conditions), and nG,

*n*E (new genotypes at new growing conditions).

True inter a Setara	Madal	Prediction Scenario				
<b>Training Setup</b>	Model	G, E	<b>G</b> , <i>n</i> <b>E</b>	nG, E	nG, nE	
	GBLUP	$0.771 \pm 0.064$	$0.397 \pm 0.046$	$0.310 \pm 0.054$	0.297±0.029	
7/8	E-GP (BD)	<b>0.903</b> ±0.115	<b>0.493</b> ±0.169	<b>0.615</b> ±0.022	<b>0.416</b> ±0.153	
Environments	E-GP (RN)	0.833±0.118	<b>0.477</b> ±0.199	<b>0.613</b> ±0.040	0.394±0.193	
Environments	W-GP (BD)	<b>0.915</b> ±0.115	$0.333 \pm 0.208$	<b>0.614</b> ±0.025	$0.242 \pm 0.189$	
	W-GP (RN)	0.885±0.117	0.327±0.210	0.613±0.031	0.23±0.196	
	GBLUP	$0.747 \pm 0.049$	$0.432 \pm 0.046$	$0.294 \pm 0.026$	0.323±0.04	
5/8	E-GP (BD)	$0.905 \pm 0.056$	<b>0.554</b> ±0.144	<b>0.659</b> ±0.015	<b>0.464</b> ±0.113	
Environments	E-GP (RN)	$0.833 \pm 0.056$	<b>0.570</b> ±0.132	<b>0.660</b> ±0.025	<b>0.475</b> ±0.104	
Environments	W-GP (BD)	<b>0.931</b> ±0.057	$0.449 \pm 0.286$	$0.659 \pm 0.019$	$0.347 \pm 0.253$	
	W-GP (RN)	$0.897 \pm 0.056$	$0.501 \pm 0.229$	<b>0.660</b> ±0.026	0.395±0.198	
	GBLUP	$0.739 \pm 0.040$	$0.527 \pm 0.080$	0.295±0.015	0.394±0.044	
3/8 Environments	E-GP (BD)	$0.899 \pm 0.026$	$0.534 \pm 0.081$	$0.660 \pm 0.012$	$0.388 \pm 0.038$	
	E-GP (RN)	$0.823 \pm 0.026$	<b>0.566</b> ±0.086	<b>0.663</b> ±0.015	<b>0.420</b> ±0.041	
	W-GP (BD)	<b>0.924</b> ±0.026	$0.532 \pm 0.08$	$0.660 \pm 0.015$	$0.384 \pm 0.038$	
	W-GP (RN)	$0.886 \pm 0.025$	<b>0.579</b> ±0.088	<b>0.663</b> ±0.020	<b>0.424</b> ±0.041	

**Table 2.** Predictive ability ( $\pm$  standard error) of the genome-based prediction models (GP) for the Multi-Local1006set of tropical maize hybrids (247 hybrids × 5 locations in different regions of Brazil). Bold values denote the1007higher predictive ability values for each scenario: G,E (known genotypes at known growing conditions), G,nE1008(known genotypes at new growing conditions), nG, E (new genotypes at known growing conditions), and nG,1009nE (new genotypes at new growing conditions).

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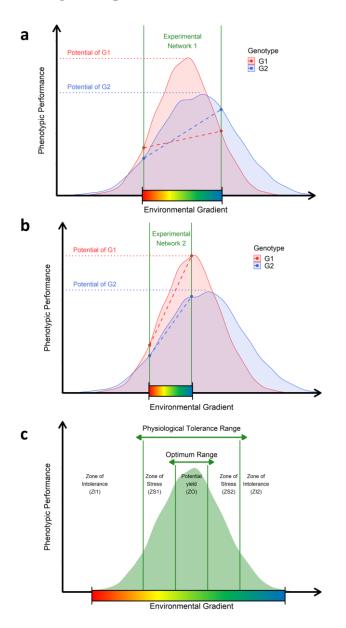
Tusining Cotun	Model	Prediction Scenario			
Training Setup	widdei	G, E	<b>G</b> , <i>n</i> <b>E</b>	nG, E	nG, nE
	GBLUP	$0.953 \pm 0.040$	$0.497 \pm 0.072$	0.552±0.171	0.340±0.138
4/5	E-GP (BD)	<b>0.987</b> ±0.006	<b>0.526</b> ±0.054	<b>0.599</b> ±0.097	<b>0.363</b> ±0.131
4/5 Environments	E-GP (RN)	$0.873 \pm 0.084$	$0.520 \pm 0.064$	$0.496 \pm 0.126$	<b>0.358</b> ±0.143
Environments	W-GP (BD)	<b>0.989</b> ±0.005	<b>0.527</b> ±0.056	<b>0.599</b> ±0.098	0.361±0.131
	W-GP (RN)	0.931±0.057	$0.492 \pm 0.078$	0.501±0.130	<b>0.366</b> ±0.125
	GBLUP	$0.927 \pm 0.045$	$0.528 \pm 0.066$	$0.543 \pm 0.208$	0.381±0.142
3/5	E-GP (BD)	<b>0.984</b> ±0.006	<b>0.556</b> ±0.052	<b>0.597</b> ±0.097	<b>0.400</b> ±0.131
	E-GP (RN)	0.845±0.073	$0.550 \pm 0.059$	0.477±0.120	0.385±0.135
Environments	W-GP (BD)	<b>0.987</b> ±0.005	<b>0.555</b> ±0.053	<b>0.598</b> ±0.095	<b>0.394</b> ±0.132
	W-GP (RN)	$0.915 \pm 0.049$	$0.514 \pm 0.072$	$0.483 \pm 0.124$	0.392±0.119
	GBLUP	0.913±0.050	$0.552 \pm 0.063$	$0.538 \pm 0.223$	$0.409 \pm 0.149$
2/5	E-GP (BD)	<b>0.982</b> ±0.006	<b>0.574</b> ±0.051	<b>0.593</b> ±0.095	<b>0.410</b> ±0.135
2/5	E-GP (RN)	0.831±0.069	$0.572 \pm 0.060$	$0.468 \pm 0.117$	0.394±0.134
Environments	W-GP (BD)	<b>0.986</b> ±.004	<b>0.575</b> ±0.051	<b>0.592</b> ±0.096	<b>0.411</b> ±0.139
	W-GP (RN)	$0.906 \pm 0.046$	$0.539 \pm 0.067$	0.476±0.119	$0.404 \pm 0.116$

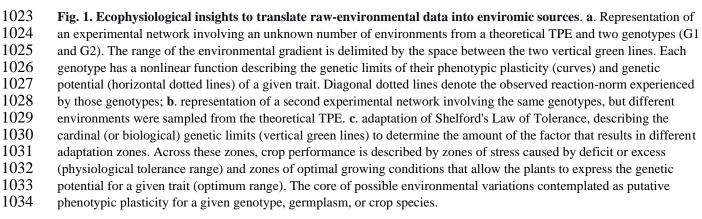
**Table 3.** Predictive ability of the genomic prediction models (GP) for two tropical maize data sets (Multi-1014Regional and N-level) produced using the effective number of phenotypic records ( $N_{GE}$ , genotypes-1015environments observations) and for the scenarios Field Trials (predicting  $N_{GE}$ ) and Virtual Network (predicting1016 $n - N_{GE}$ , where n is the number of genotypes by environments available in the full data set). The reference "full"1017and "5%" in parentheses represents the predictive ability produced with all genotypes and using only the top10185%, respectively

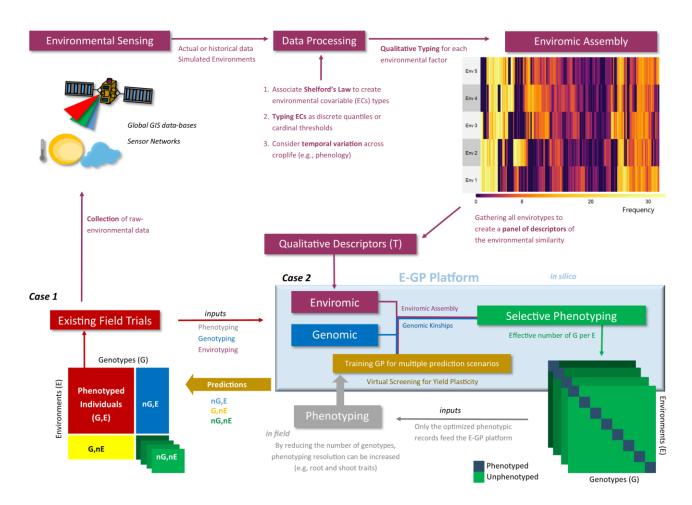
Scenario	Models					
Scenario _	GBLUP	W-GP (BD)	W-GP (RN)	E-GP (BD)	E-GP (RN)	
Multi-Regional set						
Field Trials						
$N_{GE} = 210 \text{ (full)}$	0.698	0.962	0.892	0.964	0.893	
$N_{GE} = 210(5\%)$	0.991	0.995	0.992	0.997	0.998	
$N_{GE} = 49$ (full)	0.738	0.941	0.840	0.942	0.840	
$N_{GE} = 49 (5\%)$	0.991	0.991	0.991	1.000	1.000	
Virtual Network						
$N_{GE} = 210 \text{ (full)}$	0.175	0.794	0.787	0.793	0.787	
$N_{GE} = 210(5\%)$	0.098	0.736	0.750	0.713	0.715	
$N_{GE} = 49$ (full)	0.190	0.810	0.788	0.810	0.789	
$N_{GE} = 49 (5\%)$	0.241	0.759	0.755	0.758	0.706	
N-level set						
<b>Field Trials</b>						
$N_{GE}=536~(full)$	0.982	0.984	0.775	0.991	0.775	
$N_{GE} = 536(5\%)$	0.964	0.861	0.861	0.998	0.999	
$N_{GE} = 67 \text{ (full)}$	0.983	0.981	0.718	0.989	0.719	
$N_{GE} = 67 (5\%)$	0.967	0.833	0.802	0.998	1.000	
Virtual Network						
$N_{GE} = 536 \text{ (full)}$	0.196	0.608	0.612	0.601	0.612	
$N_{GE} = 536(5\%)$	0.152	0.554	0.545	0.406	0.484	
$N_{GE} = 67 \text{ (full)}$	0.102	0.574	0.572	0.578	0.573	
$N_{GE} = 67 (5\%)$	0.070	0.545	0.539	0.379	0.510	

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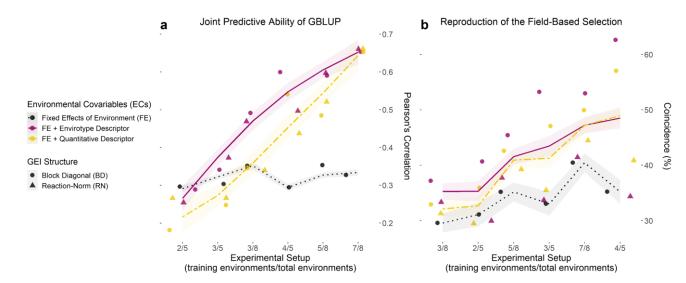
# **7 Figure Captions**







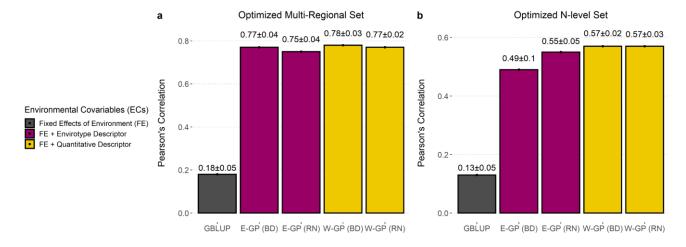
1037 Fig. 2. Workflow of the E-GP considering the two study cases (Case 1 and Case 2) of this study



#### 1045

1046 Fig. 3. Joint accuracy trends of GP models for each training setup of existing experimental networks. a. Predictive 1047 ability computed with the correlation (r) between observed (v) and predicted ( $\hat{v}$ ) values for the grain yield of each 1048 genotype in each environment, over three experimental setups (number of environments used/total of environments) for 1049 both maize sets (N-level and Multi-local), using 70% of the genotypes as a training set and the remaining 30% as a testing 1050 set. b. Coincidence index (CS) between the field-based and prediction-based selection of the best 5% genotypes in each 1051 environment for the same experimental setups and data sets. Dots and triangles represent the point estimates of predictive 1052 ability and CS for models involving a block diagonal genomic matrix for G×E effects (dotted) and an environic × 1053 genomic reaction-norm G×E effect (triangle). Trend lines were plotted from the partial values of each sample (from 1 to 1054 50) and three prediction scenarios (nG, E; G, nE and nG, nE) by using the gam() integrated with smoothness estimation in 1055 R. Black dotted lines represent the benchmark GBLUP method, considering the effect of the environment as a fixed 1056 intercept. Yellow two-dash lines represent the GBLUP involving the main effect from quantitative descriptors (W 1057 matrix). Finally, solid dark pink lines represent the GBLUP involving the main effect of envirotype descriptors (T 1058 matrix). Thus, the latter represents the E-GP based approach for Case 1 (predictions under existing experimental 1059 networks).

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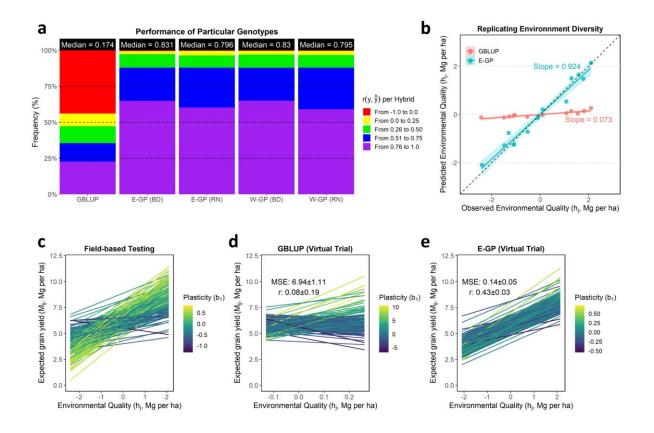


## 1065

**Fig. 4. Accuracy of GP models trained with super-optimized experimental networks**. Predictive ability (*r*) plus

1067 standard deviation measured by the correlation between observed and predicted values for each model in the optimized

- 1068 Multi-Regional Set (a); and for the N level Set (b). Barplots were colored according to the type of environmental
- 1069 covariable (ECs) used: none (black), envirotype descriptor (T matrix, wine), and quantitative descriptor (W matrix,
- 1070 yellow).
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- 1072



1073

1074 Fig. 5. Accuracy of GP models in reproducing the genotype-specific plasticity. a. The panel of predictive ability (r) 1075 explaining the plasticity of genotypes across environments. This statistic was estimated for each individual (hybrid) by 1076 correlating observed and predicted values across environments. Individuals with values below 0 were considered 1077 unpredictable and marked in red. b. ability of the prediction-based tools to reproduce an existing experimental network's 1078 environmental quality  $(h_i)$ . In the X-axis, we find the  $h_i$  computed using the phenotypic records of a current experimental 1079 network. In the Y-axis, the h<sub>i</sub> values are presented considering a virtual experimental network built up using GBLUP and 1080 E-GP (with BD) predictions. c-e. Yield plasticity panels denoting each genotype's G×E effects across the  $h_i$  values for 1081 observed field-testing screening (c) concerning prediction-based (d-e). Only the 5% best genotypes in each environment 1082 were used to create this plot. Each line was colored with the genotype-specific plasticity coefficient  $(b_1)$ . For the N-level 1083 set, the full-optimized set (536 hybrids over eight environments) was used.