# **1** Informing shigellosis prevention and control through pathogen genomics

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# 25 Abstract

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27 Shigella spp. are the leading bacterial cause of severe childhood diarrhoea in low- and middle- income 28 countries (LMIC), are increasingly antimicrobial resistant and have no licensed vaccine. We performed 29 genomic analyses of 1246 systematically collected shigellae from seven LMIC to inform control and 30 identify factors that could limit the effectiveness of current approaches. We found that S. sonnei contributes 31  $\geq$ 20-fold more disease than other *Shigella* species relative to its genomic diversity and highlight existing 32 diversity and adaptative capacity among S. *flexneri* that may generate vaccine escape variants in <6 months. 33 Furthermore, we show convergent evolution of resistance against the current recommended antimicrobial 34 among shigellae. This demonstrates the urgent need to integrate existing genomic diversity into vaccine 35 and treatment plans for Shigella, and other pathogens.

# 36 Introduction

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38 Shigellosis is a diarrhoeal disease responsible for approximately 212,000 annual deaths and 39 accounting for 13.2% of all diarrhoeal deaths globally (1). The Global Enteric Multicenter Study (GEMS) 40 was a large case-control study conducted between 2007 and 2011, investigating the aetiology and burden 41 of moderate-to-severe diarrhoea (MSD) in children less than five years old in low- and middle-income 42 countries (LMICs) (2). GEMS revealed shigellosis as the leading bacterial cause of diarrhoeal illness in children, who represent a major target group for vaccination (3). The aetiological agents are Shigella, a 43 Gram-negative genus comprised of S. flexneri, S. sonnei, S. boydii and S. dysenteriae, with the former two 44 45 serotypes causing the majority (90%) of attributable shigellosis in children in LMICs (3). Currently, the 46 disease is primarily managed through supportive care and antimicrobial therapy. However, there has been an increase in antimicrobial resistance (AMR) among Shigella (4). Particularly concerning is the rise of 47 resistance against the fluoroquinolone antimicrobial ciprofloxacin, the current World Health Organisation 48 49 (WHO) recommended treatment, such that fluoroquinolone-resistant (FQR) Shigella is one of a dozen 50 pathogens for which WHO notes new antimicrobial therapies are urgently needed (5). The high disease 51 burden and increasing AMR of Shigella call for improvements in treatment and management options for 52 shigellosis, and significant momentum has built to rise to this challenge.

However, there is still no licenced vaccine available for *Shigella* and one of the main challenges in
its development is the considerable genomic and phenotypic diversity of the organisms (6). The distinct
lipopolysaccharide O-antigen structures of *Shigella* determine its serotype and is responsible for conferring

56 the short to medium term serotype-specific immunity following infection (7-10). Hence, considerable 57 efforts are focused on generating O-antigen specific vaccines. However, with the exception of the single serotype S. sonnei, each species encompasses multiple diverse serotypes: 14 serotypes/subserotypes for S. 58 59 flexneri, 19 for S. boydii and 15 for S. dysenteriae (11). Thus, for serotype-targeted vaccine approaches, 60 multivalent vaccines are proposed to provide broad protection against disease (6, 12). Furthermore, while 61 O-antigen conjugates are a leading strategy, challenge studies have recently demonstrated poor efficacy (13, 14). An attractive alternative and/or complement to serotype-targeted vaccine formulations are specific 62 63 subunit vaccines which target highly conserved proteins and may offer broad protection. There are several 64 candidates in development that have demonstrated protection in animal models (15, 16), but the degree of 65 antigenic variation for these targets among the global *Shigella* population remains unknown.

Whole-genome sequencing analysis (WGSA) provides sufficient discriminatory power to resolve 66 67 phylogenetic relationships and characterise diversity of bacterial pathogens, essential to informing vaccine 68 development and other aspects of disease control (17, 18). However, these critical analysis tools are yet to 69 be applied to a pathogen collection appropriate for broadly informing shigellosis control in the critical 70 demographic of children in LMICs. Here, we apply WGSA to Shigella isolates sampled during GEMS, 71 representing 1,246 systematically collected isolates from across seven nations in sub-Saharan Africa and 72 South Asia with some of the highest childhood mortality rates (2, 19). We found evidence of the potential 73 benefit of genomic subtype-based targeting, characterised pathogen features that will complicate current 74 vaccine approaches, and highlighted regional differences among *Shigella* diversity, as well as determinants of AMR, including convergent evolution toward resistance against currently recommended treatments. Our 75 76 analysis of this unparalleled pathogen collection informs the control and prevention of shigellosis in those 77 populations most vulnerable to disease.

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# 79 **Results and Discussion**

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#### 81 Regional diversity of Shigella spp. across LIMC

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To date, this is the largest representative dataset of *Shigella* genomes from LMICs (*n*=1246), collected across seven sites from Asia, West Africa and East Africa, comprised of 806 *S. flexneri*, 305 *S. sonnei*, 75 *S. boydii* and 60 *S. dysenteriae* (Fig. 1A). To compare the genomic diversity of *Shigella* species, we determined the distributions of pairwise single-nucleotide polymorphism (SNP) distances and scaled

87 the total detected SNPs against the length of the chromosome (in kbp) for each species (Fig. 1B). This 88 revealed that S. boydii contained the greatest diversity (24.2 SNPs/kbp), followed by S. flexneri (19.5 SNPs/kbp) and S. dysenteriae (11.8 SNPs/kbp), with S. sonnei being >9.8-fold less diverse (1.2 SNPs/kbp) 89 90 or >13.1-fold less diverse (0.9 SNPs/kbp) excluding two outliers (see below, Fig. 1B). This revealed that S. 91 sonnei caused between 20 and 25-fold more disease relative to genomic diversity than S. flexneri and either 92 S. dysenteriae or S. boydii (Fig. 1B), indicating the value of vaccination against S. sonnei as a comparatively conserved target relative to disease burden. Examination of the gene repertoire revealed that this relative 93 94 chromosomal diversity was consistent with the accessory genome variation among species (fig. S1).

95 Early global population structure studies revealed that each *Shigella* species is delineated into 96 multiple WGSA subtypes (20-23). Specifically, S. flexneri is comprised of seven phylogroups (PGs) (20) 97 and S. sonnei of five lineages (24). To describe the genomic epidemiology of the GEMS Shigella within 98 existing frameworks we constructed species phylogenetic trees and integrated these with epidemiological 99 metadata and publicly available genomes. The S. flexneri phylogeny revealed two distinct lineages 100 separated by ~34,000 SNPs; one comprising five previously described PGs (20) and a distant clade 101 comprised largely of S. flexneri serotype 6 isolates (herein termed Sf6), contributing distinctly to the disease 102 burden of each country (Fig. 2 and fig. S2). Phylogenetic analysis of S. sonnei revealed that all but two 103 isolates belonged to the globally dominant multidrug resistant (MDR) Lineage III (21) (fig. S3). For S. boydii and S. dysenteriae, a total of four and two previously described phylogenetic clades (23, 25) were 104 105 identified, respectively (fig. S4). Marked phylogenetic association of isolates with country of origin prompted an examination of species genomic diversity by region (East Africa, West Africa and Asia) and 106 107 revealed that while S. flexneri diversity was comparable across regions, diversity varied by region for the 108 remaining species (fig. S5). Specifically, S. sonnei was more genomically diverse in East Africa owing to 109 the presence of two Lineage II isolates from Mozambique. For S. boydii, Asia contained greater diversity than African regions, owing to isolates belonging to additional clades. S. dysenteriae diversity was lower 110 in West Africa relative to other regions by virtue of having only one circulating clade. These geographical 111 differences highlight the importance of considering regional variations during vaccine development and 112 113 that vaccine candidates should be evaluated across multiple regions.

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#### 115 Genomic subgroups as an alternative targeting method

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To explore the utility of vaccination targeting genomic subtype (relative to targeting serotype) for *S. flexneri*, we determined the relative effect size of the dominant subtype on the epidemiological outcome

119 of shigellosis (i.e., isolates derived from case patients rather than from controls, as defined in GEMS). The 120 dominant genomic subtype was PG3, which comprised the majority (47%, 378/806) of total isolates, as well as case (50%, 341/687) isolates, with some regional variation (Fig. 2). This resulted in an increased 121 122 odds of cases (OR = 2.3, 95% CI = 1.5-3.6, p = 0.0001) for PG3 compared with other genomic subtypes 123 (PGs and Sf6) (methods, table S3). The association of cases with the dominant serotype, S. flexneri serotype 2a (accounting for 29% (234/806) of total isolates and 31% (210/687) of case isolates) also resulted in an 124 increased odds of cases (OR = 1.9, 95% CI = 1.7-3.2, p = 0.0099) (table S3). But the higher prevalence and 125 126 larger effect size of PG3 relative to serotype 2a on case status offers compelling evidence that targeting 127 vaccination by phylogroup might offer broader coverage per licenced vaccine relative to, or in combination 128 with, a serotype-specific approach.

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#### 130 Diversity of S. flexneri relevant to serotype-targeted vaccines

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The development of serotype-targeted vaccines is complicated by the diversity and distribution of 132 133 serotypes, which are heterogenous over time and place (8, 19, 26, 27). Furthermore, genetic determinants 134 of O-antigen modification are often encoded on mobile genetic elements (28, 29) that can move horizontally among bacterial populations, causing the recognised, but poorly quantified phenomenon of serotype 135 switching (20, 27, 28), which may result in the rapid escape of infection induced immunity against 136 137 homologous serotypes. For our analyses of serotype switching, we focused on S. flexneri owing to high disease burden and serotypic diversity. Phenotypic serotyping data were overlaid onto the phylogeny and 138 revealed that while generally there was a strong association of genotype (i.e. PG/Sf6) with serotype 139 140 (Fisher's exact test; p < 2.20E-16), multiple serotypes were observed for each genotype (Fig. 3). The greatest serotype diversity was observed in PG3, comprised of seven distinct serotypes and two subserotypes. 141 142 Correlation of serotypic diversity (number of serotypes) and genomic diversity (maximum pairwise SNP 143 distance within genotype) revealed no evidence for an association, but a significant positive correlation of 144 serotypic diversity with the number of isolates in each genotype was found (fig. S6), indicating that serotype 145 diversity scales with prevalence.

To qualitatively and quantitatively determine serotype switching across *S. flexneri*, we examined the number of switches occurring within each genotype. A switching event was inferred when a serotype emerged (either as a singleton or monophyletic clade) that was distinct from the majority (>65%) serotype within a genotype (Fig. 3 and fig. S7). PG6 was excluded from the analysis, as only three isolates from GEMS belonged to this genotype and a dominant serotype could not be inferred. Quantitatively, this

151 revealed serotype switching was infrequent, with only 26 independent switches (3.3% of isolates) identified 152 across the five S. flexneri genotypes. Although the frequency of switching varied across the genotypes, statistical support for an association of serotype switching with genotype fell short of significance (Fisher's 153 154 exact test; p = 0.09). Qualitatively, the majority (22/26) of switching resulted in a change of serotype, with 155 few (4/26) resulting in a change of subservtype. Examination of O-antigen modification genes revealed that 156 serotype switching was facilitated by changes in the composition of phage-encoded gtr and oac genes in the genomes, as well as point mutations in these genes (table S4). Our data also revealed that few (4/26)157 158 switching events resulted in more than two descendant isolates (fig. S7). This indicates that while natural 159 immunity drives the fixation of relatively few serotype-switched variants in the short term, the potential 160 pool of variants that could be driven to fixation by vaccine-induced selective pressure following a serotype-161 targeted vaccination program is much larger.

162 In order to estimate the likely timeframe over which serotype switching events might be expected 163 to occur, we estimated the divergence time of the phylogenetic branch giving rise to each switching event. 164 To streamline the analysis, we focused on two subclades of PG3, the most prevalent phylogroup, in which seven independent serotype switching events were detected (fig. S8). Based on the timeframes observed 165 166 within our sample (spanning 4 years from 2007 to 2010), serotype switching was estimated to occur within 167 an average of 348 days, ranging from 159 days (95% highest posterior density [HPD]: 16 - 344) to 10206 168 days (28 years) (95% HPD: 5494 - 15408) (table S5). Taken together, our data shows that although 169 serotype-switching frequency is low, it can occur over relatively short timeframes and lead to serotype 170 replacement such that non-vaccine serotypes could replace vaccine serotypes following a vaccination 171 program, as has been observed for *Streptococcus pneumoniae* (30, 31). These elucidated serotype switching 172 dynamics (i.e. switching occurring over short timeframes and quantitatively proportional to disease burden) highlights the value of a multivalent vaccine and geographically coordinated implementation of Shigella 173 174 vaccination.

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#### 176 Heterogeneity among Shigella vaccine protein antigens

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Conserved antigen-targeted vaccines can overcome some hurdles of serotype-targeted vaccines. Hence, we performed detailed examination of six protein antigens that are currently in development and have demonstrated protection in animal models (Table 1). First, we assessed the distribution of the candidates among GEMS *Shigella* isolates which revealed that the proportional presence of antigens varied across species and with genetic context. Specifically, genes encoded on the virulence plasmid (*ipaB*, *ipaC*,

183 *ipaD*, *icsP*) were present in >85% of genomes for each species with the exception of S. sonnei (fig. S9). 184 The low proportion (<5%) of virulence plasmid encoded genes detected among S. sonnei was caused by a similarly low detection of the virulence plasmid among S. sonnei (6%), which likely arose due to loss during 185 186 sub-culture (32). In contrast, the chromosomally encoded ompA was present in >98% of all isolates, while 187 the sigA gene (carried on the chromosomally integrated SHI-1 pathogenicity island (17)) was present in 99% of S. sonnei genomes, but only 63% of S. flexneri genomes. Notably, among S. flexneri genomes, the 188 sigA gene was exclusively found in PG3 and Sf6, and present in >96% of isolates in each genotype) (fig. 189 190 S2), indicating an appropriate distribution for targeting the two genotypes. Second, we assessed the antigens 191 for amino acid variation and modelled the likely impact of detected variants, as antigen variation may also lead to vaccine escape, as demonstrated for the P1 variant of SARS-CoV2 (33, 34). We determined the 192 193 distribution of pairwise amino acid (aa) sequence identities per antigen against S. flexneri vaccine strains 194 for each species (methods). Overall, sequence identities were >90% but varied with antigen (fig. S9). For 195 example, OmpA was present in the highest proportion of genomes, but showed ~5% sequence divergence, 196 while SigA was present in fewer genomes, but exhibited little divergence (<0.5%) among species. The least 197 conserved sequence was IpaD, ranging from 3 to 7% divergence within species.

198 Not all antigenic variation will affect antibody binding, so we performed in silico analyses of the 199 detected variants to assess whether they may compromise the antigens as vaccine targets. Again, we focused 200 our analyses on S. *flexneri* owing to its high disease burden and the likely complication of serotype-based 201 vaccination strategies for this species. We detected 121 variants across the six antigens, the majority (79%) 202 of which correlated with genotype (i.e. belonging to either PGs 1-5 or Sf6, fig. S11). We then determined 203 if amino acid variants were located in immunogenic regions (i.e. epitope/peptide fragment) (fig. S10) and 204 assessed their potential destabilization of protein structure through *in silico* protein modelling. For IpaB, 205 IpaC and IpaD, the epitopes have been empirically determined (35, 36). The sequence and location of peptide fragments of SigA, IcsP and OmpA used in vaccine development are available (37, 38). Variants 206 207 located within the immunogenic regions were identified for all antigens relative to PG3 reference sequences 208 (methods, Fig. 4). Only 4 of 121 variants were predicted to be highly destabilising to protein structure, and 209 these occurred in: OmpA (residue 89) at a periplasmic turn, SigA (residues 1233 and 1271) in adjacent 210 extracellular turns in the translocator domain (fig. S12), and in IpaD (residue 247) within a beta-turn-beta motif flanking the intramolecular coiled-coil (Fig. 4). While it remains possible that these mutations could 211 212 affect antigenicity through the disruption of folding or global stability, it is less likely than if they occurred 213 in immunogenic regions. These results thus indicate that it is less likely that existing natural variation will 214 compromise antigen-based vaccine candidates for *Shigella* compared with serotype-based vaccines. 215 However, our approach is limited and the knowledge base incomplete. For example, there was no suitable

template available for IpaC, and some epitopes were predicted to be in membrane regions which should be inaccessible to antibodies, indicating the need for more accurate publicly available protein structures to be developed for many of the vaccine antigen candidates.

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# 220 Region-specific details of antimicrobials as a stop gap

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222 Until a licensed vaccine is available, we must continue to treat shigellosis with supportive care and antimicrobials, for which the current WHO recommendation is the fluoroquinolone, 223 224 ciprofloxacin (39). However, FQR *Shigella* is currently on the rise and spreading globally (40). To examine 225 AMR prevalence among GEMS isolates for evaluating treatment recommendations, we screened for known 226 genetic determinants (horizontally acquired genes and point mutations) conferring resistance or reduced 227 susceptibility to antimicrobials. Although we used only minimal phenotypic data, phenotypic resistance and genotypic prediction correlate well in S. flexneri and S. sonnei (41, 42). Our analysis revealed that 95% 228 229 (1189/1246) of isolates were multidrug resistant (MDR), carrying AMR determinants against three or more antimicrobial classes (Fig 5A). S. flexneri exhibited the greatest diversity of AMR determinants, with a total 230 231 of 45 identified determinants across the population, comprising of 38 AMR genes and 7 point mutations 232 (fig. S13 and table S1), and an extensive AMR genotype diversity of 72 unique resistance profiles (Fig. 5A and fig. S14). In contrast, S. sonnei exhibited the least diversity, with only 23 AMR determinants and 21 233 234 unique resistance profiles. An intermediate and comparable degree of AMR diversity was observed for both 235 S. dysenteriae and S. boydii.

Overall, a high frequency of AMR genes conferring resistance against aminoglycoside, tetracycline, trimethoprim, and sulphonamide antimicrobials was observed, while resistance against other antimicrobial classes varied with region and species (Fig. 5B). The extended spectrum beta-lactamase gene *blaCTX-M-15* was detected in a small (9/1246) percentage of isolates, and genes conferring resistance to macrolides and lincosamides were also infrequent (fig. S13), indicating that the recommended second-line treatments likely remain effective antimicrobials (43).

However, higher rates of resistance were found against the first-line treatment. FQR in *Shigella* can be conferred through the acquisition of FQR-genes or, more typically, by point mutations in the chromosomal Quinolone Resistance Determining Region (QRDR) within the DNA gyrase (*gryA*) and the topoisomerase IV (*parC*) genes. Single and double QRDR mutations are known to confer reduced susceptibility to ciprofloxacin and are evolutionary intermediates on the path to resistance, conferred by

247 triple mutations in this region (41, 44). Overall, FQR-genes were uncommon in S. flexneri (4%, 33/806), S. 248 sonnei (1%, 3/305) and S. dysenteriae (7%, 4/60), but were present in 32% (24/75) of S. boydii. ORDR 249 mutations were identified in all species (fig. S13), but were more common among S. sonnei (65%, 199/305) 250 and S. flexneri (54%, 435/806) than compared with S. boydii (15%, 11/75) and S. dysenteriae (30%, 18/60). 251 Among these, triple QRDR mutations were identified in 13% (106/806) of S. flexneri and 14% (44/305) of 252 S. sonnei. Analysis of the QRDR mutants across the phylogenies indicate marked convergent evolution toward resistance across the genus. Specifically, all triple QRDR mutant S. sonnei belonged to one 253 254 monophyletic subtype (previously described as globally emerging from Southeast Asia (45)), while three 255 distinct triple ORDR mutational profiles were found across three polyphyletic S. flexneri genotypes (Fig. 256 5C). Thus, the polyphyletic distribution of single, double, and triple QRDR mutants indicates continued convergent evolution of lineages with reduced susceptibility or resistant to FQR. 257

258 We then stratified the dataset by geographic region which revealed that FQR were largely 259 associated with isolates from Asia where fluoroquinolones are more frequently used compared to African 260 sites (Fig. 5B) (46), which is consistent with trends observed in atypical enteropathogenic Escherichia coli 261 isolated from GEMS (46). Our analyses thus suggest that for the period of GEMS trial (2007 - 2011), 17% 262 (150/881) of Shigella isolates from Asia were resistant and 58% (508/881) had reduced susceptibility to the 263 WHO recommended antimicrobial. The high level of reduced susceptibility together with marked 264 convergent evolution toward resistance suggests that management of shigellosis with fluroquinolones at 265 these sites may soon be ineffective and regional antimicrobial treatment guidelines may require updating. These results indicate the value of AMR and microbiological surveillance in LMICs and the control and 266 267 management of shigellosis will be improved by initiatives such as the Africa Pathogen Genomics Initiative 268 (47) and the WHO Global Antimicrobial Resistance Surveillance System (48).

# 269 **Conclusions**

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271 Pathogen genomics is a powerful tool that has a wide range of applications to help combat 272 infectious diseases. Here, we have applied this tool to an unparalleled systematically collected Shigella 273 dataset to characterise the relevant population diversity of this pathogen across LMICs in a pre-vaccine era. 274 Our results revealed that current antimicrobial treatment guidelines for shigellosis should be updated, and 275 that improved surveillance will be essential to guide antimicrobial stewardship. This study has also 276 highlighted the urgent need to continue the development of *Shigella* vaccines for children in endemic areas. 277 The genomic diversity in *Shigella* presents a major hurdle in controlling the disease and we have 278 demonstrated the anticipated pitfalls of current vaccination approaches, emphasising the importance of

279 considering the local and global diversity of the pathogens in vaccine design and implementation. Although 280 our results are focused on shigellosis, our approach is translatable to other bacterial pathogens which is

281 particularly relevant as we enter the era of vaccines for AMR.

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#### **Materials and Methods** 283

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#### 285 Dataset, bacterial isolates and sequencing

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A total of 1,264 *Shigella* isolates from GEMS were under investigation in this study (2, 3). All isolates were 287 288 derived from stool samples/rectal swabs: their identification, confirmation and isolation have been 289 described previously (19). A total of 1,344 isolates were sequenced at the Earlham institute, with genomic 290 DNA extraction, sequencing library construction and whole genome sequencing carried out according to 291 the Low Input Transposase Enabled (LITE) pipeline described by Perez-Sepulveda et al (49). Among these, 292 225 isolates failed OC with a mean sample depth of coverage <10x and an assembly size of <4MB and 293 were re-sequenced. For these isolates, genomic DNA was re-extracted at the University of Maryland School 294 of Medicine (Baltimore, Maryland) from cultures grown in Lysogeny Broth overnight. DNA was extracted in 96-well format from 100 µL of sample using the MagAttract PowerMicrobiome DNA/RNA Kit (Qiagen, 295 296 Hilden, Germany) automated on a Hamilton Microlab STAR robotic platform. Bead disruption was 297 conducted on a TissueLyser II (20 Hz for 20 min) instrument in a 96 deep well plate in the presence of 200 298 µL phenol/chloroform. Genomic DNA was eluted in 90 µl water after magnetic bead clean up and the 299 resulting genomic DNA was quantified by Pico Green. The genomic DNA was shipped to the Centre for Genomic Research (University of Liverpool) for whole genome sequencing. Sequencing library was 300 constructed using NEBNext® Ultra<sup>™</sup> II FS DNA Library Prep Kit for Illumina and sequenced on the 301 302 Illumina® NovaSeq 6000 platform, generating 150bp paired-end reads.

303 An additional 125 publicly available Shigella and E. coli reference genomes were included in the 304 analyses. Details of GEMS and reference genomes analysed in this study are listed in table S1 and table S2, 305 respectively.

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#### 307 Sequence mapping and variant calling

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309 Adaptors and low-quality bases were trimmed with Trimmomatic v0.38 (50), reads qualities were 310 assessed using FastOC v0.11.6 (https://www.bioinformatics.babraham.ac.uk/projects/fastqc/) and MultiOC v1.7 (51). Filtered reads were mapped against *Shigella* reference genomes with BWA mem v0.7.17 (52) 311 312 using default parameters. S. flexneri, S. sonnei, S. boydii and S. dysenteriae sequencing reads were mapped 313 against reference genomes from Sf2a strain 301 (accession NC 004337), Ss046 (accession NC 007384), Sb strain CDC 3083-94 (accession NC\_010658) and Sd197 (accession NC\_007606), respectively. 314 Mappings were filtered and sorted using the SAMtools suite v1.9-47 (53), and optical duplicate reads were 315 316 marked using Picard v2.21.1-SNAPSHOT MarkDuplicates (http://broadinstitute.github.io/picard/). 317 OualiMap v2.2.2 (54) was used to evaluate mapping qualities and estimate mean sample depth of coverage. Sequencing reads for isolates sequenced using the LITE pipeline and re-sequenced at CGR were combined 318 319 to increase overall sample depth of coverage. Sequence variants were identified against reference using 320 SAMtools v1.9-47 mpileup and bcftools v1.9-80 (53). Low quality SNPs were filtered if mapping quality 321 <60, Phred-scaled quality score <30 and read depth <4.

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# 323 Phylogenetic reconstruction and inference of genomic diversity

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Filtered SNP variants were used to generate a reference-based pseudogenome for each sample, 325 326 where regions with depth of coverage >4x were masked in the pseudogenome. Additionally, regions 327 containing phage (identified using PHASTER (55)) and insertion sequences were identified from the 328 reference genomes, and co-ordinates were used to mask these sites on the pseudogenomes using BEDTools 329 v2.28.0 maskfasta (56). For each species, chromosome sequences from the masked pseudogenomes were 330 extracted and concatenated. Gubbins v2.3.4 (57) was used to remove regions of recombination and invariant 331 sites from the concatenated pseudogenomes. This generated a chromosomal SNP alignment length of 332 78,251 bp for *S. flexneri* (*n*=806), 5,081 bp for *S. sonnei* (*n*=305), 98,842 bp for *S. boydii* (*n*=75) and 45,031 333 bp for S. dysenteriae (n=60). Maximum-likelihood phylogenetic reconstruction was performed 334 independently for each species and inferred with IQ-TREE v2.0-rc2 (58) using the FreeRate nucleotide 335 substitution, invariable site and ascertainment bias correction model, with 1000 bootstrap replicates. In 336 order to contextualise GEMS isolates within the established genomic subtypes and to infer the most 337 appropriate root for each species tree, phylogenetic trees were reconstructed including publicly available reference genomes of isolates from previously defined lineages/phylogroups/clades and E. coli isolates 338 339 (table S2). Phylogenetic tree for S. flexneri, S. boydii and S. dysenteriae was rooted using E. coli strain

IAI1-117 (accession SRR2169557) as an outgroup, respectively. Phylogenetic tree for *S. sonnei* was
 midpoint rooted. Visualizations were performed using interactive Tree of Life (iTOL) v6.1.1 (59).

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343 To measure the extent of shigella genomic diversity among GEMS population, pairwise SNP 344 distance was determined from the alignment of core genome SNPs identified outside regions of 345 recombination using snp-dists v0.7.0 (https://github.com/tseemann/snp-dists). For each species, the 346 genomic diversity, measured by SNPs per kbp, was determined by dividing the core genome SNP alignment length by the core genome size (S. flexneri 4,015,307 bp, S. sonnei 4,177,070 bp, S. boydii 4,088,693 bp 347 and S. dysenteriae 3,821,602 bp). Scaling the proportion of disease burden attributable by the genome 348 349 diversity of each species, the percentage of species contribution to GEMS shigellosis disease burden was 350 divided by the number of SNPs per kbp.

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# 352 Serotype switching time frame inference

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354 To estimate the likely time frame of serotype switching, we performed temporal phylogenetic 355 reconstruction in order to infer the time of divergence along branches exhibiting serotype switching. We 356 streamlined the analysis and focused on isolates belonging to two subclades of S. flexneri PG3. First, for 357 each of the two subclades (n=99 and n=45), a maximum-likelihood phylogeny was reconstructed based on 358 genome multiple sequence alignments (described above). Then, TempEst v1.5.3 (60) was used determine 359 if there is sufficient temporal signal in the data by inferring linear relationship between root-to-tip distances 360 of the phylogenetic branches with the year of sample isolation. Data from both subclades revealed positive 361 correlation between sampling time and phylogenetic root-to-tip divergence, with R<sup>2</sup> of 0.186 and 0.111 (fig. 362 S16). Once temporal signals within each of the two datasets were confirmed, core genome SNP alignments 363 of length 559 bp and 1,244 bp were analysed independently using BEAST2 v2.6.1 (61). The parameters were as follows: dates specified as days, bModelTest (62) implemented in BEAST2 was used to infer the 364 most appropriate substitution model, a relaxed log normal clock rate with a coalescent Bayesian skyline 365 model for population growth. A total of five independent chains were performed, each with chain length of 366 250,000,000, logging every 1,000 and accounting for invariant sites. Convergence of each run was visually 367 368 assessed with Tracer v1.7.1 (63), with all parameter effective sampling sizes  $\geq 200$ . Tree files were sampled 369 and combined using LogCombiner v2.6.1, the combined files were then summarised using TreeAnnotator 370 v2.6.0 with 10% burn-in to generate Maximum Clade Credibility tree (64). Divergence time was inferred

by reading the branch length from the most recent common ancestor to the first sampled isolate thatserotype-switched.

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### 374 Genome assembly and annotation

375

376 Draft genome sequences were assembled using Unicycler v0.4.7 (65) with -min fasta length set 377 to 200. OUAST v5.0.2 (66) was used to assess the qualities of the assemblies. Assemblies with total 378 assembly length outside the range of <4Mbp and >6.4Mbp were removed. Resulting in an average length 379 of 4,275,508 bp (range: 4 4,004,109 – 4,538,734 bp) for S. flexneri, 4,264,097 bp (range: 4,008,630 – 4,779,279 bp) for S. sonnei, 4,227,671 bp (range: 4,000,714 – 4,689,815 bp) for S. boydii and 4,297,921 bp 380 (range: 4,040,642 – 4,659,860 bp) for S. dysenteriae. An average N50 value of 29,804 bp (range: 6,810 – 381 382 34,658 bp) was generated for S. *flexneri*, 23,961 bp (range: 11,547 – 30,008 bp) for S. *sonnei*, 20,835 bp (range: 15,323 – 40,119 bp) for S. boydii and 22,137 bp (range: 14,090 – 31,358 bp) for S. dysenteriae. 383 384 Draft genomes were annotated using Prokka v1.13.3 (67).

385

#### 386 Pangenome analysis

387

The pangenome of each species was defined using Roary v3.12.0 (68) without splitting paralogues. The pangenome accumulation curves were generated separately for each species using the specaccum function from Vegan v2.5-7 (<u>https://github.com/vegandevs/vegan/</u>), with 100 permutations and random subsampling. Inspections of the variable gene content showed that all four species had open pangenomes, implying that the number of unique gene count increases with the addition of newly sequenced genomes.

393

## 394 Shigella flexneri molecular serotyping

395

Shigella serotype data was provided by collaborators at the University of Maryland School of
 Medicine (Baltimore, Maryland), serotyping was performed as previously describe (19). *In silico* serotyping
 of *S. flexneri* genomes was performed using ShigaTyper v1.0.6 (69) which detects the presence of serotype determining genetic elements from sequencing reads to predict serotype. ShigaTyper predictions were 84%

400 concordant to the serotype data provided. SRST2 v2 (70) was used to detect mutations within serotype-401 determining genetic elements, run against ShigaTyper sequence database with default parameters.

402

# 403 Protein antigen screening

404

405 To determine the presence of antigen vaccine candidates among GEMS Shigella isolates, genes of 406 the antigen vaccine candidates was screened against draft genome assemblies using screen assembly (17) 407 with a threshold of  $\geq$ 80% identity and  $\geq$ 70% coverage to the reference sequence. Reference sequences for 408 ipaB, ipaC, ipaD and icsP were derived from S. flexneri 5a strain M90T (accession GCA 004799585) and 409 ompA and sigA was derived from S. flexneri 2a strain 2457T (accession NC\_004741), both strains are commonly used in the laboratory for vaccine development. Antigen sequence variations were determined 410 411 by examining the BLASTp (71) percentage identity against relevant query reference sequence. Allelic variations of antigen vaccine candidates among S. flexneri population were identified manually by 412 413 visualising amino acid sequence alignments using AliView v1.26 (72).

414

#### 415 Protein antigen modelling

416

417 In order to assess the effect of point mutations on protein stability and vaccine escape, six antigen candidates from S. flexneri PG3 were modelled: OmpA, SigA, IcsP, IpaB, IpaC and IpaD (Table 1). PG3 418 419 was selected as it is the most prevalent phylogroup and is therefore the target of current vaccine 420 development. To model the antigen targets, we first searched for a suitable template using HHPred (73, 74). 421 Five of the six proteins (OmpA, SigA, IcsP, IpaB and IpaD) had suitable homologues available. To improve 422 the performance of the comparative modelling, the signal peptides for OmpA, SigA and IcsP were removed 423 and OmpA, SigA and IpaB were modelled in two parts to make use of optimal templates. RosettaCM (75) 424 was used to generate 200 models for each of the five proteins using the single best available template. For 425 IpaC, where no suitable templates were available, trRosetta (76) was used to create five de novo predicted 426 models. The best model for each antigen candidate was selected using QMEAN's average local score. OMEANbrane (77, 78) was used for suitable membrane proteins (IpaB, IpaC & IpaD), otherwise 427 QMEANDisCo (77) was used (table 6). Full details of the modelling and ranking are shown in table 7. The 428

429 effect of point mutations on the stability of the antigen candidates was assessed using PremPS, and the 430 default criterion of ( $\Delta\Delta G > 1$  kcal mol<sup>-1</sup>) used to defining highly destabilising mutations (79).

431

# 432 Detection of AMR genetic determinants and AMR testing

433

434 To detect the presence of known genetic determinants for AMR, AMRFinderPlus v3.9.3 (80) was 435 used to screen draft genome assemblies against the AMRFinderPlus database, which is derived from the 436 Pathogen Detection Reference Gene Catalog (https://www.ncbi.nlm.nih.gov/pathogens/). AMRFinderPlus 437 was performed with the organism-specific option for *Escherichia*, to screen for both point mutations and genes, and filter out uninformative genes that were nearly universal in a group. Output was then filtered to 438 439 remove genetic determinants identified with  $\leq 80\%$  coverage and  $\leq 90\%$  identity. The presence of S. sonnei 440 virulence plasmid was confirmed using short-read mapping using BWA mem (as described above) against 441 the reference virulence plasmid from Ss046 (GenBank accession CP000039.1). Presence of the plasmid 442 was defined by mapping of >60% breadth of coverage across the reference. Visualisations of AMR resistance profiles were performed with UpSetR v2.1.3 (81). Four S. flexneri isolates with triple QRDR 443 444 mutations were phenotypically tested for ciprofloxacin resistance using the Kirby-Bauer standardized disk 445 diffusion method (82).

446

#### 447 Statistical analyses

448

The strength of association between S. *flexneri* genomic subtype and serotype with the occurrence 449 of 450 case outcome was calculated using MedCalc's odds ratio calculator v20 (https://www.medcalc.org/calc/odds ratio.php) to report the odds ratio, 95% confidence interval and 451 452 statistical association. Association of genomic subtype with serotype and serotype switching was tested using Fisher's exact test. Linear regression analysis was used to determine the correlation between serotype 453 454 diversity to various properties of genomic subtype. Both analyses were performed using R v4.0.3.

455

456

# 457 Acknowledgements

458

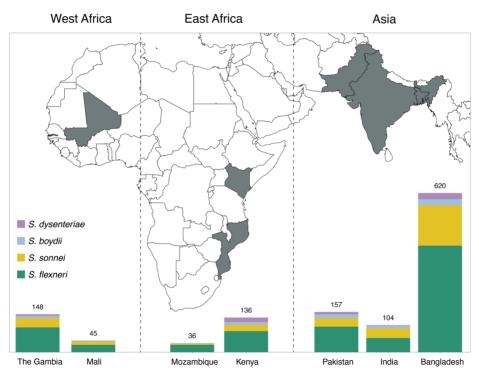
We acknowledge and thank members of Baker group and Lab H at the University of Liverpool, and Rodrigo 459 Bacigalupe at KU Leuven for invaluable discussions. We also thank Jay Hinton and Blanca Perez 460 461 Sepulveda for logistical support orchestrating the thermolysate shipping. The authors are grateful to Sam Haldenby, Matthew Gemmell and Richard Gregory and the Centre for Genomics Research, University of 462 463 Liverpool for technical support. The authors acknowledge Dr. Irene Kasumba, Ms. Jennifer Jones, Mr. 464 Sunil Sen and Ms. Jasnehta-Permala-Booth for preparing GEMS Shigella isolates for sequencing and 465 antimicrobial testing. Funding: This work was supported by a UKRI MRC NIRG award (MR/R020787/1), a technology directorate voucher from the University of Liverpool, by the National Institute of Allergy and 466 Infectious Diseases, National Institutes of Health, Department of Health and Human Services under grant 467 number U19AI110820, and by both a Global Challenges Research Fund (GCRF) data and resources grant 468 469 BBS/OS/GC/000009D and the BBSRC Core Capability Grant to the Earlham Institute BB/CCG1720/1. 470 Next-generation sequencing and library construction were delivered via the BBSRC National Capability in 471 Genomics and Single Cell (BB/CCG1720/1) at Earlham Institute, by members of the Genomics Pipelines 472 Group. RJB is funded by a Biotechnology and Biological Sciences Research Council Doctoral Training 473 Partnership studentship (BB/M011186/1). KSB is supported by a Wellcome Trust Clinical Research Career 474 Development Award (106690/A/14/Z) and an Academy of Medical Sciences Springboard award (SBF002/1114), and is affiliated to the National Institute for Health Research Health Protection Research 475 476 Unit (NIHR HPRU) in Gastrointestinal Infections at University of Liverpool in partnership with Public 477 Health England (PHE) and collaboration with University of Warwick. The views expressed are those of the 478 author(s) and not necessarily those of the NHS, the NIHR, the Department of Health and Social Care or 479 Public Health England. Competing interests: The authors declare no competing interests. Data and 480 materials availability:

# 481 Author contributions

482

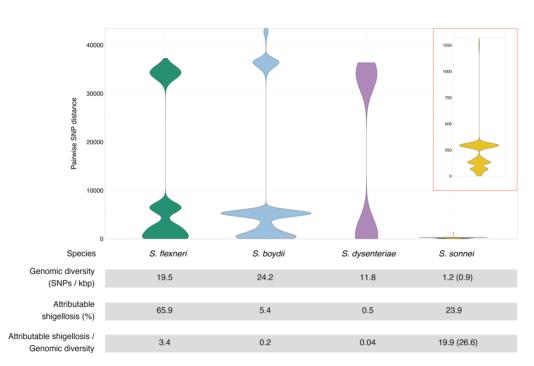
R.J.B performed majority of the data analysis and interpretation of the results under the scientific guidance
of K.S.B. A.J.S and D.J.R performed *in silico* protein antigens modelling and prediction of the impacts of
amino acid substitutions on protein stability. C.V.P supported Bayesian Evolutionary Analysis by Sampling
Trees. S.M.T. prepared and provided GEMS *Shigella* isolates and metadata. DR contributed to sample
preparation. R.J.B and K.S.B drafted the manuscript. All authors contributed to editing of the manuscript.

Α

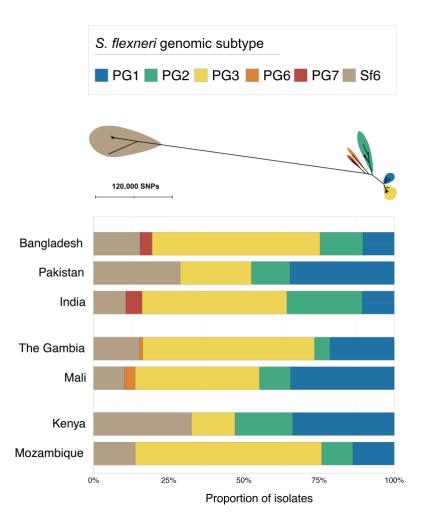


GEMS site

В



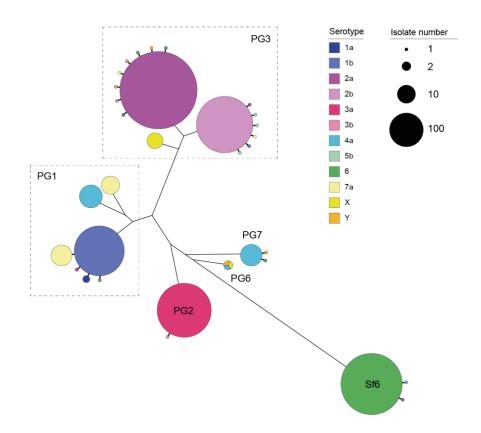
489 Fig. 1. The diversity of Shigella spp. across seven LMIC. (A) Stacked bar graphs illustrate the number 490 of isolates from each *Shigella spp.* sequenced from GEMS and used in the current study, grouped by study 491 sites. (B) Pairwise genomic distances (in SNPs) among Shigella isolates within subgroups are shown as 492 violin plots. A magnified plot for S. sonnei is displayed inside the red box. The table below the plots 493 demonstrates for each species the genomic diversity (as measure by total number of SNPs per kbp 494 [methods]), the contribution to GEMS shigellosis burden and the shigellosis burden relative to genomic diversity. For S. sonnei, the genomic diversity and shigellosis burden relative to genomic diversity that was 495 496 calculated excluding the two outliers are shown in bracket.



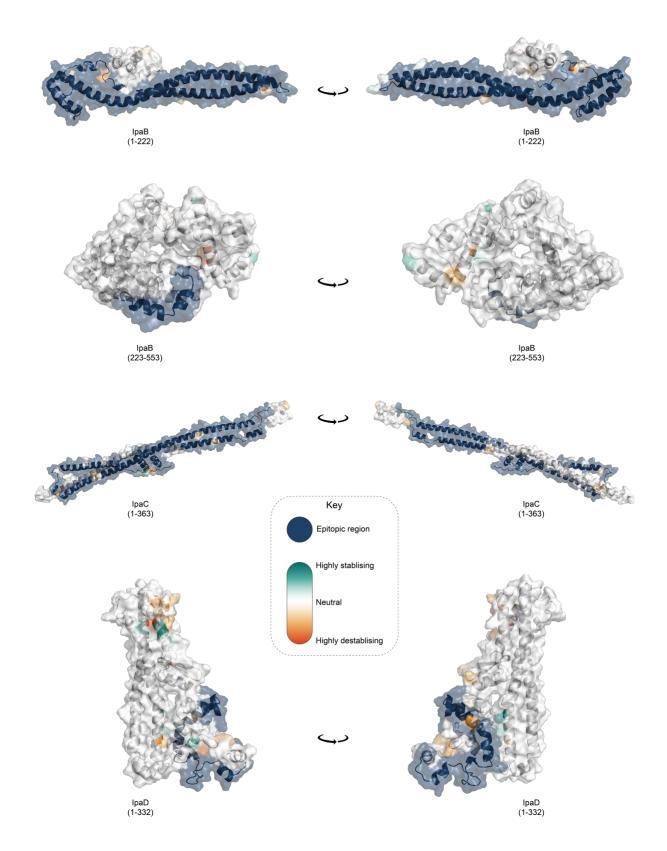
497

498 Fig. 2. The diversity of *S. flexneri* genomic subtypes across seven GEMS study sites. An unrooted ML

phylogenetic tree of *S. flexneri* genomes identified six distinct genomic subtypes, each highlighted in a
different colour according to the inlaid key displayed above the tree. The bar plot below the tree
demonstrates the relative frequencies of the subtypes at each study site.



**Fig. 3. Diversity of** *S. flexneri* **population with respect to serotype switching.** The unrooted *S. flexneri* phylogenetic tree is shown with the five phylogroups (PG1-PG7) and Sf6 labeled accordingly. For each genomic subtype, monophyletic clusters of the dominant serotype are shown collapsed into bubbles coloured according to the inlaid key. Single isolates or groups of isolates within a subtype of an alternative serotype are represented by further branches, indicating a single serotype switch. The number of isolates within a single cluster is represented through bubble size.

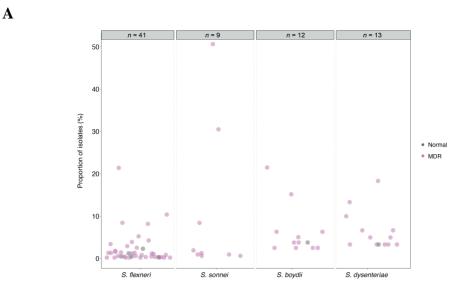


508

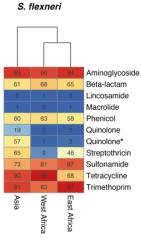
#### 509 Fig. 4. Visualization of mutations and its predicted effect on modeled IpaB, IpaC and IpaD protein

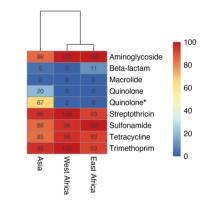
510 **antigens.** Visualisation of mutations on modelled proteins IpaB, IpaC and IpaD. The protein residue ranges

- 511 modelled are shown in brackets. Blue region represents empirically determined epitopes. Mutations
- 512 identified within the proteins are coloured using the scale shown in the inlaid key, where highly
- 513 destabilising mutations are dark orange and highly stabilising mutations are dark green.



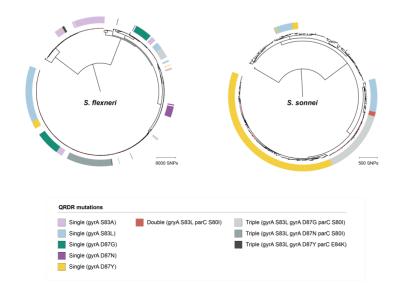
B





S. sonnei

С



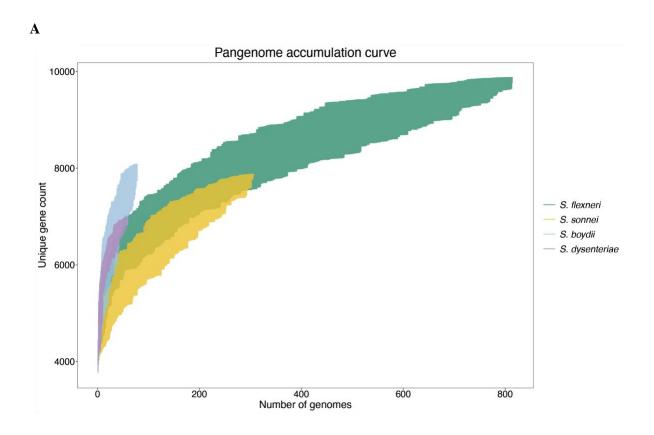
515 Fig. 5. AMR genotypic profile diversity and convergent evolution of ciprofloxacin resistance. (A)

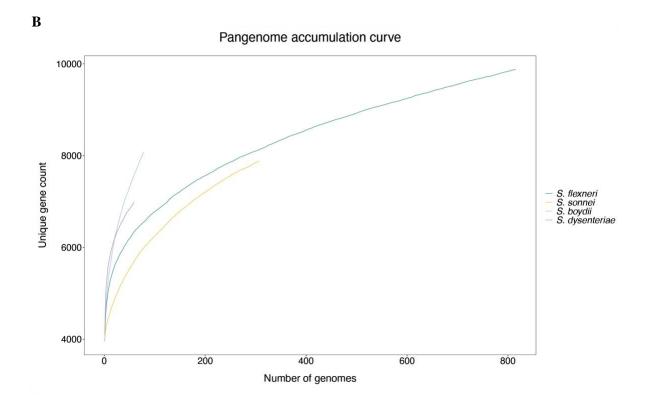
- 516 Frequencies of AMR genotypic profiles among *Shigella* spp. Each point in the scatterplot represents a
- 517 unique AMR genotype profile: the proportion of isolates with a particular profile is displayed along the y-
- 518 axis. Profiles identified in only a single isolate are not displayed. MDR genotypic profile conferring
- 519 resistance or reduced suppressibility to three or more drug classes are highlighted in pink, and normal AMR
- 520 genotype profile conferring resistance or reduced suppressibility in fewer than three drug classes are in
- 521 grey. Numbers displayed above the plot represents the number of AMR genotype profiles plotted for each
- 522 species. (**B**) Detection of known AMR genetic determinants associated with drug class grouped by country.
- Each cell in the heatmap represents the percentage of isolates from a region containing genetic determinants
- 524 associated with resistance to a drug class. Genetic determinant conferring reduced susceptibility to
- 525 quinolone is indicated with an asterisk. (C) The genetic convergent evolution of ciprofloxacin resistance in
- 526 *S. flexneri* and *S. sonnei*. The presence of multiple monophyletic clades of QRDR mutations (single, double,
- 527 or triple according to the inlaid key) conferring reduced susceptibility or resistance to ciprofloxacin is
- shown in the outer ring. B and C for S. boydii and S. dysenteriae are shown elsewhere (fig. S15).

Vaccine candidate	Development stage	Location	Reference
IcsP (OmpP)	Preclinical	Virulence plasmid	Czerkinsky and Kim (37)
SigA	Preclinical	Chromosome (pathogenicity island)	Czerkinsky and Kim (37)
IpaB IpaC IpaD	Phase I	Virulence plasmid	Martinez-Beccera (16); Riddle et al (83); Tribble et al (84)
ÓmpA	Preclinical	Chromosome	Pore et al (85)

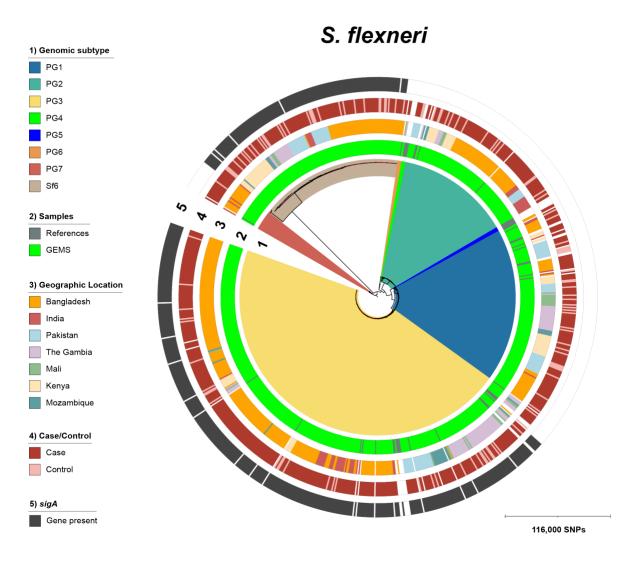
# 529 Table 1. *Shigella* antigen vaccine candidates examined in the current study.

530





- 532 Fig. S1.
- 533 Pangenome accumulation curve of S. flexneri, S. sonnei, S. boydii and S. boydi. Each curve demonstrates
- the number of unique protein coding genes in the pangenome as a new genome is randomly added, with the
- number of genomes plotted along the x-axis. Random permutation of the data were subsampled 100 times,
- 536 in which genomes are subsampled without replacement at each iteration. The y-axis shows the minimum
- and maximum range of unique gene count after each iteration in (A) and the mean value in (B).



#### 538

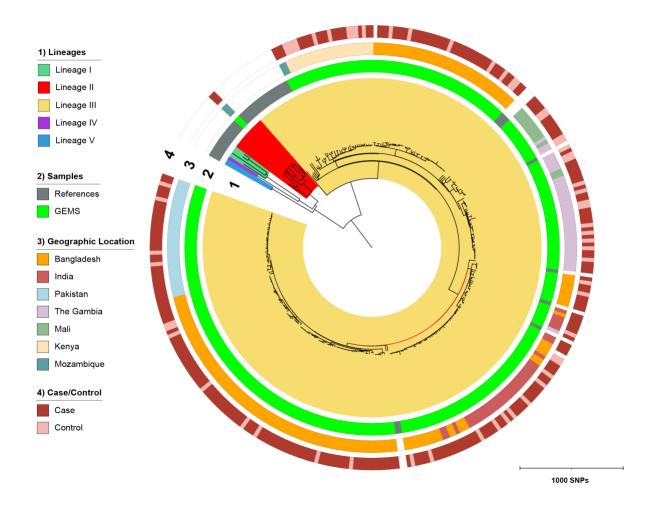
539 Fig. S2.

540 Phylogeny of *S. flexneri* population from GEMS. ML phylogenetic tree constructed using core genome
541 SNPs from alignments of 817 *S. flexneri* genomes from GEMS and publicly available genomes. Tree was
542 rooted using *E. coli* genome. The outer concentric rings illustrate different genotypic and epidemiological

543 data according to the numbered inlaid keys displayed next to the tree. Scale bars represents the number of

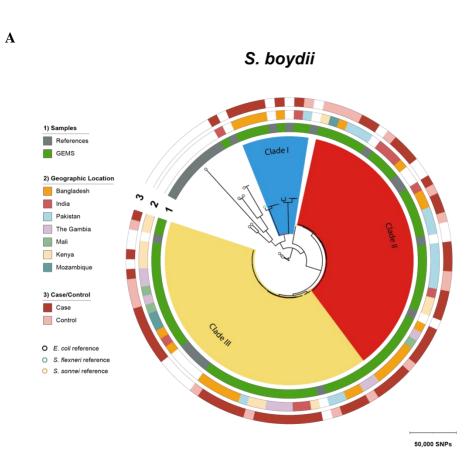
544 SNPs.

# S. sonnei

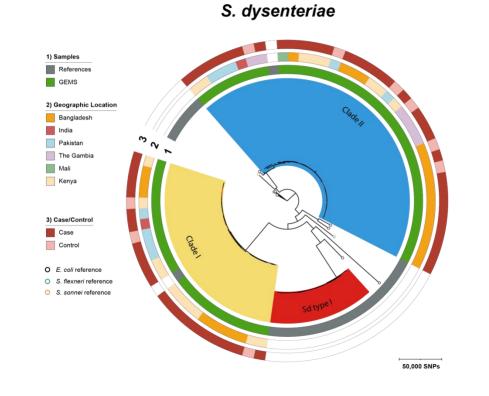


545

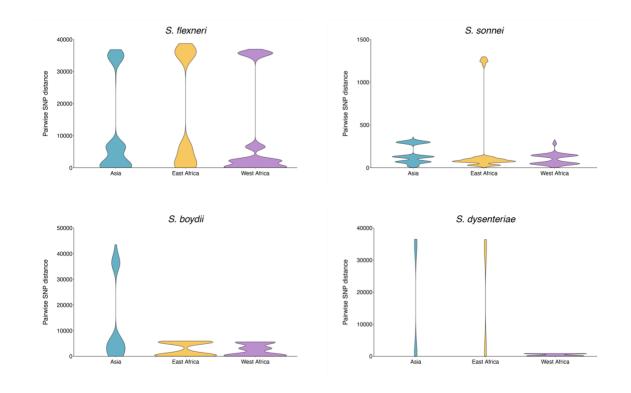
- 546 **Fig. S3.**
- 547 **Phylogeny of** *S. sonnei* **population from GEMS.** Midpoint rooted ML phylogenetic tree constructed using
- 548 core genome SNPs from alignments of 308 *S. sonnei* genomes from GEMS and publicly available genomes.



B



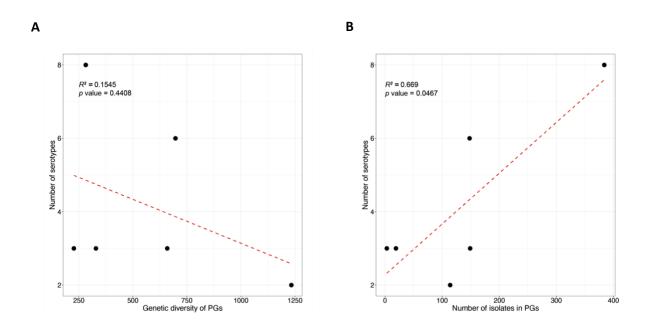
- 550 Fig. S4.
- 551 Phylogeny of S. boydii and S. dysenteriae population from GEMS. ML phylogenetic trees were constructed
- based on core genome SNPs outside region of recombination from alignments of (A) 79 S. boydii and (B)
- 553 60 *S. dysenteriae* genomes from GEMS and publicly available genomes. Both trees were rooted using *E*.
- 554 *coli* genome. Scale bar represent number of SNPs.



#### 555

### 556 **Fig. S5.**

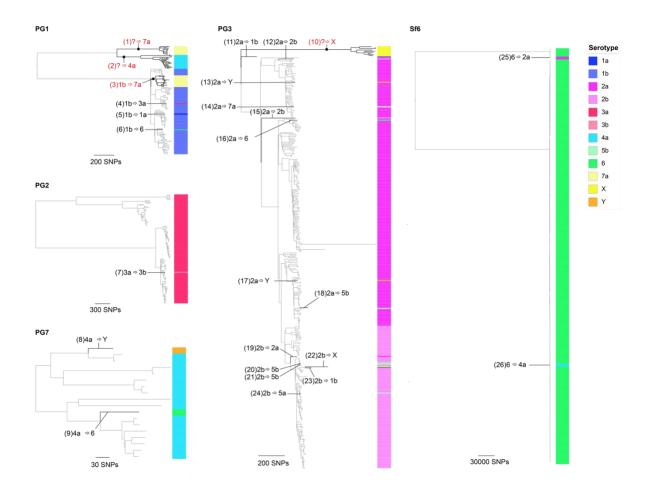
- 557 Regional diversity of Shigella spp. Comparison of genomic diversity, as measured by pairwise core SNP
- distance, across GEMS study sites (Asia: Bangladesh, India and Pakistan; East Africa: Kenya and
- 559 Mozambique; West Africa: The Gambia and Mali) for *S. flexneri*, *S. sonnei*, *S. boydii* and *S. dysenteriae*.



# 560

### 561 Fig. S6.

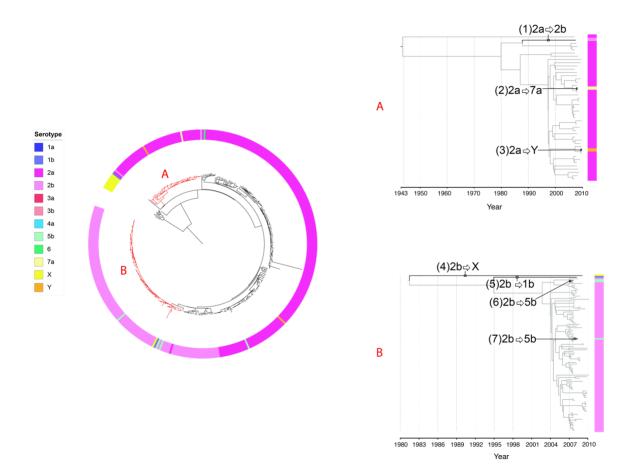
Association of *S. flexneri* serotype diversity with different properties of a genomic subtype. For each of the six subtypes identified among *S. flexneri* (PG1-PG7 and Sf6), the number of different serotypes is displayed along the y-axis and plotted against (A) the number of isolates within the subtype and (B) the genetic diversity of the subtype, as measured by pairwise core SNP distance and plotted along the x-axis. Linear regression analysis was performed to assess the association between serotype diversity and the different properties of subtypes. The regression coefficient of determination ( $\mathbb{R}^2$ ) and *p*-value are displayed on the top left of each plot.



569

#### 570 Fig. S7.

Serotype switching events across *S. flexneri* genomic subtypes. ML phylogenetic tree of each subtype was generated based on core genome SNPs. Serotypes determined through biochemical serotyping are displayed on the right-hand side of each tree, and coloured according to the inlaid key. The 26 inferred serotype switching events occurring along the phylogenetic branches are labelled accordingly. Numbers inside each backets represents switch IDs, with further details provided in table S3. Where the dominant serotype cannot be determined, a question mark is displayed, indicating switch from unknown ancestral type. Serotype switching events resulting in more than two descendant isolates are highlighted in red.

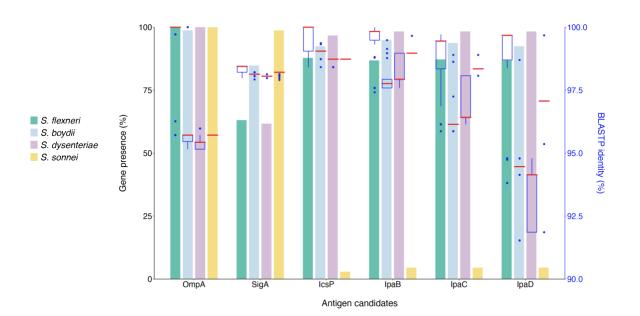


578

#### 579 Fig. S8.

Estimation of time frame for serotype switching among *S. flexneri* PG3 isolates. ML phylogenetic tree of *S. flexneri* PG3 (*n*=384) generated using core genome SNPs is displayed on the right. Isolate serotype is displayed on the outer ring, coloured according to the inlaid key displayed next to the tree. Two subclades with branches highlighted in red were selected for BEAST analysis. Maximum clade credibility trees based on two subclades within PG3 are displayed on the left. Independent switching events occurring along the various phylogenetic branches are highlighted in black, labelled and annotated. BEAST estimated time frame of divergence along the branches of the seven isolates that have undergone serotype switching are

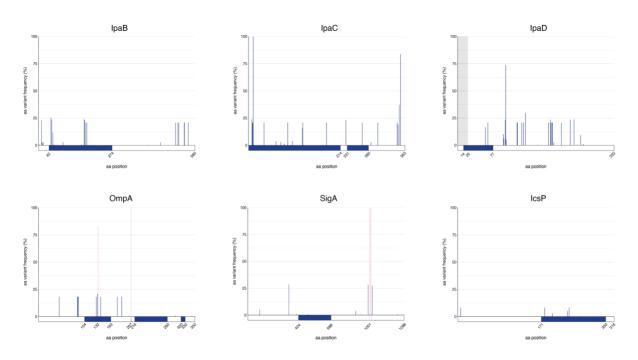
shown in table S5.



588

### 589 Fig. S9.

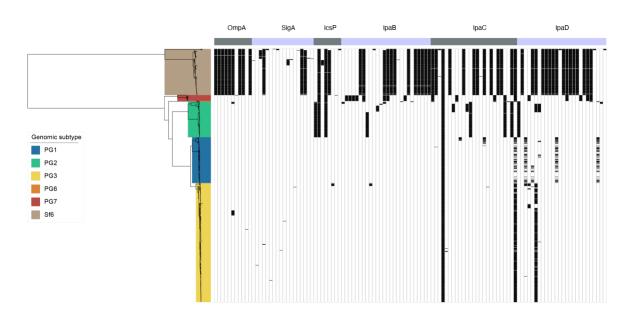
The distribution of vaccine antigen candidate and protein sequence identity among Shigella spp. (A) 590 Lefthand y-axis refers to the grouped bar plot displaying presence of vaccine candidate genes identified 591 among Shigella isolates from GEMS. Bars are grouped by genes and coloured according to species. 592 593 Righthand y-axis (blue) refers to the boxplot displaying the interquartile range, median (red) and 594 minimum/maximum pairwise percentage identity of the amino acid sequences of antigen vaccine candidates among GEMS, compared against the reference sequences. Presence of genes were identified 595 596 using BLASTn search against draft genome assemblies and amino acid sequence percentage identity were inferred using BLASTp. (B) Mapping coverage of Shigella spp. virulence plasmid. Low percentage of 597 598 virulence plasmid were detected among S. sonnei isolates, likely contributed by the fact that S. sonnei 599 virulence plasmid is comparatively unstable and often lost during subculturing.



600

# 601 Fig. S10.

Frequency of amino acid variation among *S. flexneri* population for antigen vaccine candidates. Frequency of amino acid variations within *S. flexneri* genomes for the six vaccine candidate protein sequences. For each protein sequence, the proportion of genomes with the variant is shown along the y-axis with the position of the variant plotted along the x-axis. Grey bars highlight regions where there is a deletion and red bars highlight insertions. Schematic of the known epitope positions (in blue) for the protein sequences are displayed below the x-axis.



608

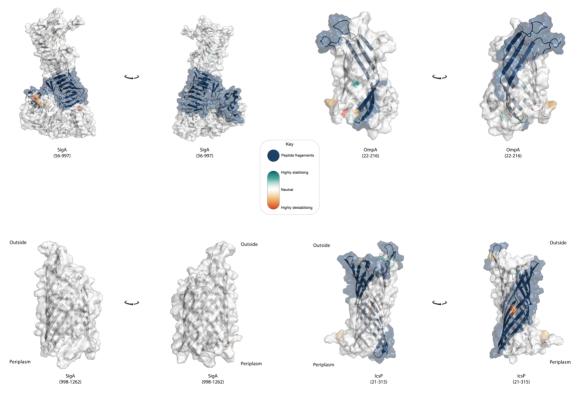
# 609 Fig. S11.

610 Vaccine antigen variation among *S. flexneri* subtypes. ML phylogenetic tree of 806 *S. flexneri* isolates based
611 on core genome SNPs is displayed on the left, the six subtypes identified among the population are
612 highlighted in different colours according to the inlaid key. The alternating grey and purple colour blocks

613 displayed above the top panel represents the six antigen vaccine candidates assessed in the current study.

614 The matrix in the centre demonstrates presence (in black) of aa variation for each antigen vaccine. Only

615 variable sites are displayed.



# 616617 Fig. S12.

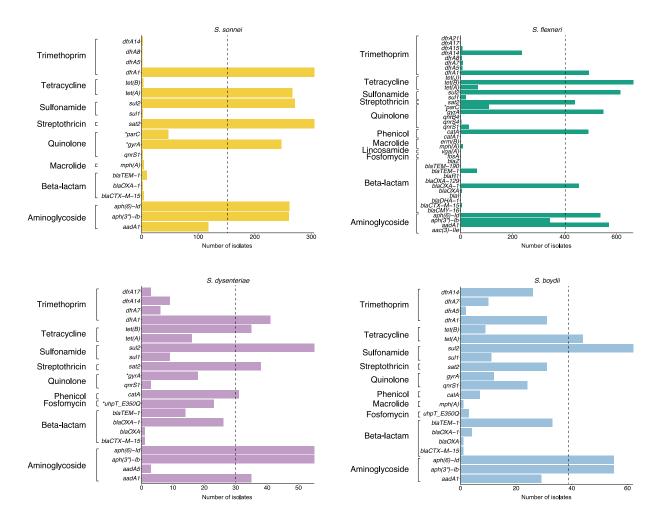
618 Visualization of mutations on modelled SigA, OmpA and IcsP protein antigens. Visualisation of mutations

on modelled proteins, with protein residues modelled shown in brackets. Peptide fragments for OmpA,

620 SigA and IcsP that are used for vaccine development are coloured in blue. Predicted effects of mutations

621 within the proteins are coloured using the scale shown in the key. OmpA, SigA and IcsP are orientated so

622 that the extracellular space is located at the top of the figure, and the periplasmic space is at the bottom.

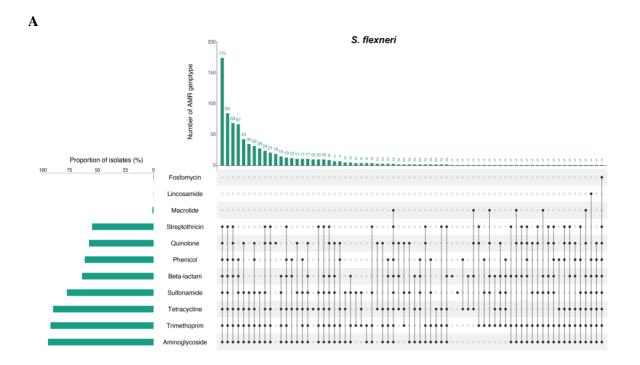


#### 623

#### 624 Fig. S13.

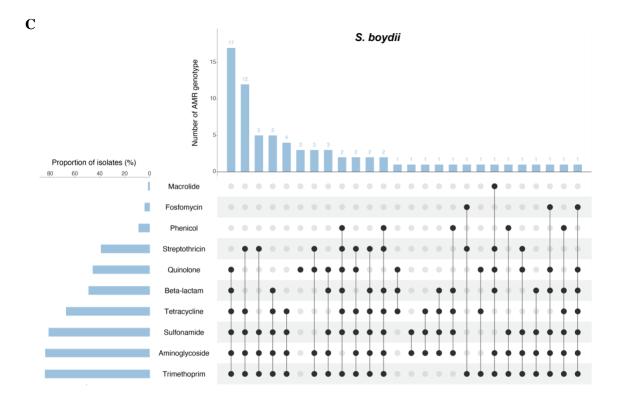
Prevalence of genetic determinants conferring AMR among *Shigella* spp. Bar plots shows the number of genetic determinants detected in *S. sonnei, S. flexneri, S. dysenteriae* and *S. boydii* isolates that confer resistance or reduced susceptibility to various antimicrobials. Genes and point mutations (indicated with an asterisk) are plotted along the y-axis and grouped by drug class (displayed on the left). The dashed lines

629 highlight genetic determinants identified in half or more of the isolates for each species.

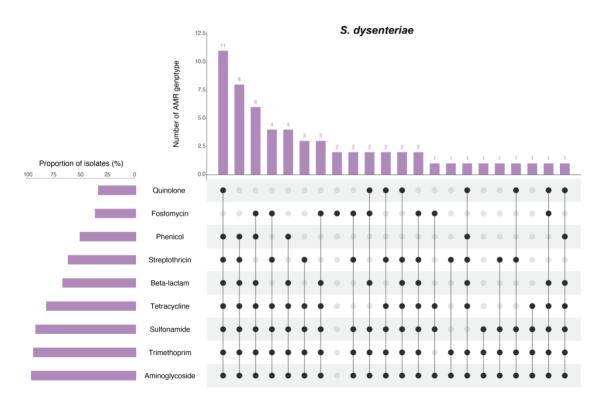


B S. sonnei Number of AMR genotype 100 Proportion of isolates (%) 100 75 50 25 0 Macrolide Beta-lactam Quinolone Tetracycline Sulfonamide Aminoglycoside Streptothricin Trimethoprim

630 631



D



#### 633 Fig. S14.

634 Diversity of AMR genotype resistance profiles. UpSet plots illustrate the AMR genotype resistance profiles

635 for (A) S. flexneri, (B) S. sonnei, (C) S. boydii and (D) S. dysenteriae. Genotypic AMR profiles are shown

in the combination matrix in the center panel. Each column represents a unique genotypic profile, where

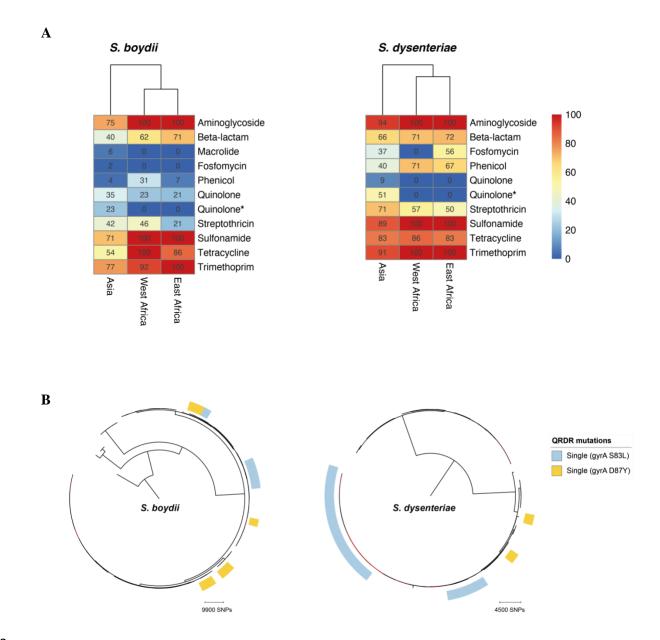
637 each black dot represents presence of a genetic determinant conferring resistance or reduced susceptibility

638 to a drug class (displayed on the left). The vertical the bar plot above the matrix displays the number of

639 isolates with a particular profile, with the exact number of isolates displayed above each bar. The horizontal

bar plot on the left of the matrix illustrates the proportion of isolates containing AMR genetic determinants

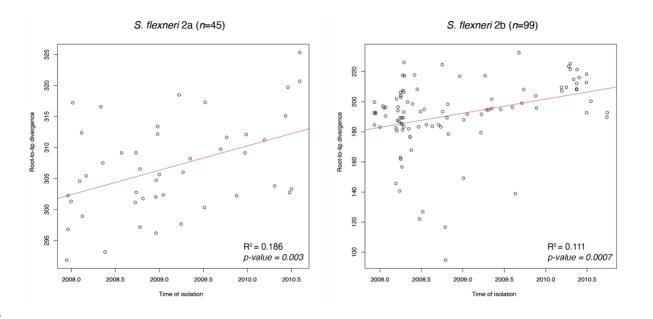
641 associated with a drug class.



642

#### 643 Fig. S15.

- 644 Detection of known AMR genetic determinants conferring resistance (reduced susceptibility marked with
- asterisk) to various drug class, grouped by region (A) and convergent evolution of ciprofloxacin resistance
- 646 (B) for *S. boydii* and *S. dysenteriae*.



647

#### 648 Fig. S16.

649 Temporal phylogenetic signal for *S. flexneri*. Correlation between isolate sampling time in months (x-axis)

and phylogenetic root-to-tip divergence (y-axis), as estimated by TempEst based on ML phylogeny of each

subclade. The two datasets correspond to *S. flexneri* 2a isolates belonging to node A (left) and *S. flexneri* 

2b isolates belonging to node B (right) from PG3 in fig. S8. The linear regression line is coloured in red,

with the coefficient of determination  $(\mathbf{R}^2)$  and *p*-value displayed for each plot.

654 **Table S1.** 

655 Details of *Shigella* isolates used in this study. Includes accession numbers of the sequencing reads used

656 in the study, *Shigella* serotype, assembly statistics, year and country of isolation, condition of the child

657 (case/control) from which the isolate was derived from as defined by GEMS, genomic subtype, AMR genes

and QRDR mutations.

659

660 See separate Excel file

### 661 **Table S2.**

#### 662 Details of publicly available *E.coli/Shigella* genomes used in this study.

Accession	strain	Species / serotype	Phylogroup/Lineage/subtype		
ERR028677	5417_1#4	S. sonnei	Central Asia III		
ERR028679	5417_1#6	S. sonnei	Central Asia III		
ERR024610	5008_7#5	S. sonnei	Central Asia III		
ERR028705	5417_3#8	S. sonnei	Central Asia III		
ERR024611	5008_7#6	S. sonnei	Central Asia III		
ERR200544	8403_8#89	S. sonnei	V		
ERR200550	8403_8#95	S. sonnei	V		
ERR025737	5236_6#2	S. sonnei	IV		
ERR025768	5236_8#9	S. sonnei	Global III		
ERR316396	9803_4#91	S. sonnei	Global III		
ERR200471	8403_8#16	S. sonnei	Global III		
ERR025765	5236_8#6	S. sonnei	Ι		
ERR025722	5236_5#10	S. sonnei	Ι		
ERR024606	5008_7#11	S. sonnei	Ι		
ERR025754	5236_7#7	S. sonnei	Ι		
ERR025735	5236_6#10	S. sonnei	II		
ERR025726	5236_5#3	S. sonnei	II		
ERR028675	5417_1#2	S. sonnei	II		
ERR028673	5417_1#11	S. sonnei	II		
ERR025751	5236_7#4	S. sonnei	II		
ERR025762	5236_8#3	S. sonnei	II		
ERR025689	5236_1#5	S. sonnei	II		
ERR025692	5236_1#8	S. sonnei	II		
ERR025724	5236_5#12	S. sonnei	II		
ERR028700	5417_3#3	S. sonnei	II		
ERR028688	5417_2#2	S. sonnei	III		
ERR025747	5236_7#10	S. sonnei	III		
ERR025749	5236_7#2	S. sonnei	III		
ERR025702	5236_2#5	S. sonnei	III		
ERR025700	5236_2#3	S. sonnei	III		
ERR025701	5236_2#4	S. sonnei	III		
ERR025748	5236_7#11	S. sonnei	III		
ERR028695	5417_2#9	S. sonnei	III		
ERR025698	5236_2#12	S. sonnei	III		
ERR316322	9803_4#17	S. sonnei	Latin America IIIa		
ERR212328	8489_1#60	S. sonnei	Latin America IIIa		
ERR316241	9789_6#32	S. sonnei	Latin America IIIa		
ERR025767	5236_8#8	S. sonnei	OJCA		
ERR190834	8290_4#28	S. sonnei	OJCA		
ERR319257	9870_7#10	S. sonnei	OJCA		

NC_007384	Ss046	S. sonnei	III	
LVIU01000110.1	ASM164910v1	S. flexneri 4s		
NZ_CM001474.1	M90T	S. flexneri 5a		
NC_004741.1	2457T	S. flexneri 2a		
ERR042803	ERR042803	S. flexneri 2a	Phylogroup 3	
ERR042850	ERR042850	S. flexneri 2a	Phylogroup 3	
ERR048281	ERR048281	S. flexneri	Phylogroup 2	
ERR048288	ERR048288	S. flexneri	Phylogroup 6	
ERR048302	ERR048302	S. flexneri 2a	Phylogroup 3	
ERR048305	ERR048305	S. flexneri	Phylogroup 1	
ERR048317	ERR048317	S. flexneri	Phylogroup 7	
ERR048339	ERR048339	S. flexneri 2a	Phylogroup 3	
ERR126987	ERR126987	S. flexneri 2a	Phylogroup 3	
ERR126993	ERR126993	S. flexneri	Phylogroup 2	
ERR127032	ERR127032	S. flexneri 1a	PHE type strain	
ERR127032	ERR127032	S. flexneri 1b	PHE type strain	
ERR127034	ERR127033	S. flexneri 1c	PHE type strain	
ERR127035	ERR127035	S. flexneri 2a	PHE type strain	
ERR127036	ERR127035	S. flexneri 2b	PHE type strain	
ERR127037	ERR127030	S. flexneri 3a	PHE type strain	
ERR127038	ERR127038	S. flexneri 3b	PHE type strain	
ERR127039	ERR127039	S. flexneri 3c	PHE type strain	
ERR127040	ERR127040	S. flexneri 4a	PHE type strain	
ERR127040	ERR127040	S. flexneri 4b	PHE type strain	
ERR127043	ERR127043	S. flexneri 5a	PHE type strain	
ERR127044	ERR127044	S. flexneri 5b	PHE type strain	
ERR127046	ERR127046	S. flexneri	Phylogroup 4	
ERR127047	ERR127047	S. flexneri Y	PHE type strain	
		S. flexneri		
ERR127048	ERR127048	E1037	PHE type strain	
ERR1363976	ERR1363976	S. flexneri 2a	Phylogroup 3 Central Asia	
ERR1364007	ERR1364007	S. flexneri 2a	Phylogroup 3 Central Asia	
		S. jterner 2a	Phylogroup 3 Minor MSM	
ERR1364014	ERR1364014	S. flexneri 2a	clade	
		S. jterner 2a	Phylogroup 3 Minor MSM	
ERR1364050	ERR1364050	S. flexneri 2a	clade	
ERR1364087	ERR1364087	S. flexneri 2a	Phylogroup 3 Central Asia	
ERR1364097	ERR1364097	S. flexneri 2a	Phylogroup 1 Central Asia	
_ ~ ~ ~ ~ /			Phylogroup 3 Major MSM	
ERR1364106	ERR1364106	S. flexneri 2a	clade	
			Phylogroup 3 Major MSM	
ERR1364137	ERR1364137	S. flexneri 2a	clade	
ERR200376	ERR200376	S. flexneri 2a	Phylogroup 3	
ERR217085	ERR217085	S. flexneri	Phylogroup 1	

ERR449043	ERR449043	S. flexneri 3a	MSM-outbreak associated
ERR449077	ERR449077	S. flexneri 3a	MSM-outbreak associated
ERR559526	ERR559526	S. flexneri 2a	NCTC1
ERR832464	ERR832464	S. flexneri	Phylogroup 5
ERR832481	ERR832481	S. flexneri	Phylogroup 3
SRR7886341	SRR7886341	S. flexneri	MSM associated
NC_017328.1	ASM2224v1	S. flexneri	
NC_017526.1	S. flexneri 2a str.	S. Jiexneri	
NC 004227	301 301		Dhylogroup 2
NC_004337		S. flexneri 2a	Phylogroup 3
NC_007606	<i>S. dysenteriae</i> Sd197	C ducantaniaa	
NC_007000	Su197	S. dysenteriae	
EDD1012602	EDD1012(02	S. dysenteriae	137
ERR1013692	ERR1013692	type 1	IV
EDD1012770	EDD1012770	S. dysenteriae	
ERR1013770	ERR1013770	type 1	IIIa
		S. dysenteriae	
ERR1014006	ERR1014006	type 1	IIId
		S. dysenteriae	
ERR1014139	ERR1014139	type 1	IIIc
		S. dysenteriae	
ERR1014187	ERR1014187	type 1	IV
		S. dysenteriae	
ERR1014220	ERR1014220	type 1	II
		S. dysenteriae	
ERR1014530	ERR1014530	type 1	IIIc
		S. dysenteriae	
ERR1014532	ERR1014532	type 1	IIId
		S. dysenteriae	
ERR1014536	ERR1014536	type 1	Ι
		S. dysenteriae	
ERR1014541	ERR1014541	type 1	II
		S. dysenteriae	
ERR1014551	ERR1014551	type 1	IIIb
		S. dysenteriae	
ERR279284	ERR279284	type 1	II
		S. dysenteriae	
GCA_000268105	SD_225-75	type 2	S1
		S. dysenteriae	
GCF_000815495	SD_S6205	type 2	S3
	~~_~~	S. boydii (ST	~~
ERR200454	SB_K-11124	1767)	
NZ_AMKG01000009	248-1B	S. boydii	3
NC_010658	3083-94	S. boydii	2
AMJZ00000000	SB_08_0009	S. boydii	3
AMKA0000000	SB_08_0280	S. boydii	2
	SD_00_0200	s. voyuu	

AMKB0000000	SB_08_2671	S. boydii	3
AMKC00000000	SB_08_2675	S. boydii	2
AMKD0000000	SB_08_6341	S. boydii	2
AMKE0000000	SB_09_0344	S. boydii	2
AFGC0000000	SB_3594-74	S. boydii	3
AKNB0000000	SB_4444-74	S. boydii	3
AFGE0000000	SB_5216-82	S. boydii	1
AKNA0000000	SB_965-58	S. boydii	1
AMJX0000000	SB_S7334	S. boydii	3
NC_010658	3083-94	S. boydii	
AAJT00000000	B7A	E. coli	
AM946981	BL21(DE3)	E. coli O7	
		E. coli	
NC_009801	E24377A	O139:H28	
SRR2169557	IAI1-117	E. coli O8	
SRR306102	K12-W3110	E. coli O16	
		E. coli	
NC_011751	UMN026	O17:K52:H18	
NC_013941	CB9615	<i>E. coli</i> O55:H7	

# 664 **Table S3.**

#### 665 Association of *S. flexneri* genomic subtype / serotype with case status.

Genomic subtype /	OR	95% CI	z statistic	p-value
serotype				
Sf6	0.5043	0.3198 - 0.7953	2.945	0.0032
PG1	0.5773	0.3619 - 0.9211	2.305	0.0212
PG2	0.8926	0.5102 - 1.5616	0.398	0.6906
PG3	2.3196	1.5051 - 3.5748	3.813	0.0001
PG6	1.1339	0.0582 - 22.1005	0.083	0.9339
PG7	2.9426	0.3889 - 22.2638	1.045	0.2959
1a	0.8088	0.0386 - 16.9574	0.137	0.8913
1b	0.6867	0.3942 - 1.1961	1.328	0.1843
2a	1.9329	1.1712 - 3.1900	2.578	0.0099
2b	2.2614	1.1117 - 4.5997	2.252	0.0243
3a	0.8926	0.5102 - 1.5616	0.398	0.6906
4a	0.7946	0.3230 - 1.9548	0.501	0.6167
5b	0.4798	0.0495 - 4.6540	0.633	0.5264
6	0.4829	0.3072 - 0.7590	3.155	0.0016
7a	0.6029	0.2399 - 1.5151	1.076	0.2818
Y	1.46	0.0781 - 27.3032	0.253	0.8001
Х	1.6157	0.2048 - 12.7458	0.455	0.6489

667 Table S4.

- 669 Details of serotype determining genes facilitating *S. flexneri* (*n*=72) serotype switching.
- 670
- 671
- 672 See separate Excel file

# 673 **Table S5.**

# 674 BEAST estimated timeframe for serotype switching among *S. flexneri* PG3 isolates.

675

Switch ID <sup>#</sup>	Subclade	Serotype change	Molecular serotype gene detected <sup>&amp;</sup>	Median branch length (days) <sup>\$</sup>	95% HPD branch length (days)
3	А	$2a \rightarrow Y$	-	159	16 - 344
2	А	$2a \rightarrow 7a$	gtrII	154	27 - 307
1	А	$2a \rightarrow 2b$	gtrII, gtrX	7203	4792 - 10009
7	В	$2b \rightarrow 5b$	gtrII, gtrX	348	244 - 479
6	В	$2b \rightarrow 5b$	gtrII, gtrX	254	134 - 491
5			gtrI, gtrII,		
	В	$2b \rightarrow 1b$	Oac1b	4888	2962 - 7114
4	В	$2b \rightarrow X$	gtrX, gtrll	10206	5494 - 15408

676

677 Footnotes:

<sup>#</sup>Serotype switching event labelled according to Fig S8

679 <sup>¶</sup>Phylogenetic subclade isolate belong to

<sup>&</sup> Presence of serotype determining genes, as detected by ShigaTyper, - indicates no genes were detected.

<sup>\$</sup> Phylogenetic branch length represents divergence time, predicted by BEAST and inferred from a time-

scaled tree.

# Table S6.

An overview of the protein modelling. Table includes information about the antigen candidates modelled, the range of residues the proteins were modelled over, homologues used in template modelling and the QMEAN method and score.

Species	Antigen candidates	Phylogroup	Serotype	Start	Finish	Homolog	Sequence Identity	QMEAN method	Average local QMEAN score
S. flexneri	OmpA	PG3	5A	22	216	1QJP	93%	QMEANDisCo	0.46
S. flexneri	OmpA	PG3	5A	349	490	1R1M	41%	QMEANDisCo	0.31
S. flexneri	SigA	PG3	2A	56	997	3SZE	44%	QMEANDisCo	0.71
S. flexneri	SigA	PG3	2A	998	1262	2QOM	85%	QMEANDisCo	0.83
S. flexneri	IcsP	PG3	5A	21	315	1178	60%	QMEANDisCo	0.81
S. flexneri	IpaB	PG3	5A	1	222	3U0C	76%	QMEANBrane	0.83
S. flexneri	IpaB	PG3	5A	223	553	3WXX	19%	QMEANBrane	0.79
S. flexneri	IpaC	PG3	5A	1	363	-	-	QMEANBrane	0.85
S. flexneri	IpaD	PG3	5A	1	332	3R9V	100%	QMEANBrane	0.80

#### 1 Table S7.

2 Details of amino acid variants identified for the six antigen candidates among S. flexneri isolates from

GEMS. Table includes variant type, variant location, reference and alternative variant, and energy score of
the variant as predicted by premPS.

5

6 See separate Excel file

# 7 **Reference**

8

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