1	Quantitative and population genomics suggest a broad role of staygreen loci in the drought
2	adaptation of sorghum
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#### 19 SUMMARY

- Drought is a major constraint on plant productivity globally. Sorghum (*Sorghum bicolor*)
   landraces have evolved in drought-prone regions, but the genetics of their adaptation is
   not yet understood. Loci underlying stay-green post-flowering drought tolerance (*Stg*),
   have been identified in a temperate breeding line, but their role in drought adaptation of
   tropical sorghum is to be elucidated.
- We phenotyped 590 diverse sorghum accessions from West Africa under field-based
   managed drought stress, pre-flowering (WS1) and post-flowering (WS2) over several
   years and conducted genome-wide association studies (GWAS).
- 28 Broad-sense heritability for grain and biomass yield components was high (33-92%) 29 across environments. There was a significant correlation between stress tolerance index 30 (STI) for grain weight across WS1 and WS2. GWAS revealed that *SbZfl1* and *SbCN12*, 31 orthologs of maize flowering genes, likely underlie flowering time variation under these 32 conditions. GWAS further identified associations (n = 134) for STI and drought effects 33 on yield components, including 16 putative pleiotropic associations. Thirty of the 34 associations colocalized with Stg I-4 loci and had large effects. Seven lead associations, 35 including some within *Stg1*, overlapped with positive selection outliers.
- Our findings reveal natural genetic variation for drought tolerance-related traits, and
   suggest a broad role of *Stg* loci in drought adaptation of sorghum.

38

39

### 41 **INTRODUCTION**

42 Unpredictable rainfall and drought are major limitations to plant productivity worldwide.

43 Improving crop adaptation to water limitation is critical for establishing food security in 44 developing countries where smallholder farmers are vulnerable to climate change (Mundia et al., 45 2019). From an agronomic perspective, drought adaptation is the ability to maintain yield under 46 agronomic water limitation (Blum, 2010). An understanding of the genetic architecture of grain 47 vield and its components across various drought scenarios can facilitate crop breeding to increase 48 production. However, collecting good phenotypic data under well-managed water stress 49 environments and integrating phenotypes with genotypes remain major constraints. The genetic 50 dissection of yield components under various drought scenarios would provide favorable natural 51 variants for drought tolerance.

52 Sorghum (Sorghum bicolor) is a staple cereal crop in drought-prone regions worldwide, 53 including many developing countries of the semi-arid tropics as well as industrialized countries 54 in the temperate latitudes. Sorghum is among the most drought-resilient crops, but the 55 physiological and genetic basis of its drought tolerance is not yet understood (Mullet *et al.*, 56 2014). Several quantitative trait loci (QTL) associated with drought tolerance variation in 57 sorghum have been identified, but no genes have been cloned. The best studied of these QTL are 58 stay-green loci (Stg1-Stg4) linked to post-flowering drought tolerance in biparental families and 59 near-isogenic lines (Tuinstra et al., 1997; Xu et al., 2000; Harris et al., 2007; Borrell et al., 60 2014b; Hayes et al., 2016). The Stg loci influence several aspects of sorghum development, 61 including canopy architecture, water use, and grain yield (Borrell et al., 2014b). Stg alleles were 62 identified in a temperate-adapted breeding line BTx642 (formerly B35) that is derived from a 63 tropically-adapted Ethiopian durra landrace (IS12555). However, the prevalence of the Stg 64 alleles in sub-Saharan Africa or their role in drought adaptation (if any) is not known. 65 Understanding the genetic basis of drought adaptation in sorghum could elucidate the process of 66 environmental adaptation and facilitate breeding of drought-tolerant varieties. 67 Local varieties have been under natural and farmers selection for adaptation to

environmental conditions and farming systems. Local varieties of sorghum have adapted to
various environmental conditions since their domestication (Harlan & De Wet, 1972; Wendorf *et al.*, 1992). Consequently, positive pleiotropic loci for combined pre- and post-flowering drought
tolerance might exist in locally-adapted varieties. West African sorghum is extremely diverse

and there have been few cycles of selection in breeding programs (Mauboussin *et al.*, 1977;
Leiser *et al.*, 2014). The West African sorghum association panel (WASAP), including landraces
and breeding lines that consist of working collections of breeding programs, was assembled and
genotyped using genotyping-by-sequencing technology. However, the genetic architecture
underlying grain yield and its components under various drought scenarios remains largely
unknown in the germplasm. We hypothesized that positively pleiotropic QTLs confer combined
pre- and post-flowering drought tolerance in the West African sorghum.

79 Genome-wide association studies (GWAS) contribute to the identification of natural 80 variants, taking advantage of historical recombinations within diversity panels (Yu & Buckler, 81 2006; McCouch et al., 2016; Yano et al., 2016; Zhao et al., 2019). A grass species such as 82 sorghum is suitable to identify natural variants underlying complex agronomic traits partly due to 83 its small genome size and moderate LD (Paterson et al., 2009; Mace et al., 2013; McCormick et 84 al., 2018). Disentangling positive pleiotropic effects of drought-yield QTLs through GWAS can 85 contribute to detect and characterize the natural allelic variation existing within locally-adapted 86 populations. In this study, we performed GWAS on 756 sorghum accessions of the WASAP 87 under ten different environments using the previous GBS SNP dataset. We (i) characterize the 88 genetic variation of yield components under various water stress environments; (ii) identify 89 genetic variants at known and novel drought tolerance loci with high productivity under pre- and 90 post-flowering water stress; (*iii*) investigate the pleiotropic effect of drought tolerance OTLs 91 associated with STI and reduction of yield components under various drought scenarios; and (*iv*) 92 determine signatures of selection overlapping with identified drought tolerance QTLs. The 93 present study provides knowledge of the genetic architecture of yield components under various 94 drought scenarios.

#### 95 MATERIALS AND METHODS

#### 96 Plant materials

97 The West African Sorghum Association Panel (WASAP) consists of N = 756 genotyped

- 98 accessions from the four West African countries of Senegal (118 accessions), Mali (123), Togo
- 99 (156), and Niger (359) (Faye *et al.*, 2021) (Fig. 1a). The panel includes predominantly landraces
- 100 along with some local breeding lines and local improved varieties. Five local breeding lines were
- 101 used as checks for use in augmented design: T1 (IRAT 204/CE151-262), T2 (CE145-266), T3

- 102 (ISRA-621B/Faourou), T4 (CE180-33), and T5 (53-49). Two international drought-response
- 103 reference lines, Tx7000 (pre-flowering drought tolerant, post-flowering drought susceptible) and
- 104 BTx642 (pre-flowering drought susceptible, post-flowering drought tolerant), were used as
- 105 controls (Burke *et al.*, 2013; Borrell *et al.*, 2014a).

#### 106 Field trials

- 107 Field experiments were performed over four years (2014–2017) in Senegal at the Bambey
- 108 Research Station, CNRA–Centre National de Recherche Agronomique (14.42°N, 16.28°W) in
- 109 the Soudano-Sahelian zone (Fig. 1a). The average annual precipitation is ~600 m, which occurs
- 110 strictly in the rainy season ("hivernage") of July to October, with maximum monthly
- 111 precipitation typically occurring in August (Fig. 1b). In total, ten experiments were performed in
- an incomplete randomized block design (augmented block design) across the four years (Table 1;
- 113 Fig. S1a-f). The experimental set-up followed a column-row field layout with 30 blocks for 2014
- experiments or 25 blocks for 2015-2017 experiments, with 19 genotypes and the 5 local check
- 115 varieties (present in each block for spatial variation analysis) within each block. Each entry was
- sown in a 3 m row with 0.6 m space between rows and 0.2 m space between plants (or hills)
- 117 within a row. Each entry was surrounded by one row of fill material (IRAT 2014). Ten days after
- planting, plants were thinned to keep only one plant per hill, for a density of about 84,000 plants
- 119 ha<sup>-1</sup>. Two experiments were carried out under rainfed conditions (RF) during the rainy season in
- 120 2014 with one-month planting date interval: RF1 (planted in August) and RF2 (planted in
- 121 September). Managed drought stress experiments were conducted in the off-season to take
- advantage of the complete lack of precipitation during the Sahelian dry season (Fig. 1b).

### 123 Managed drought stress

124 Well-watered (WW) and pre-flowering water stress (WS1) experiments were planted during the

hot off-season in 2015 (March to August). Three experiments, under WW, WS1, and post-

- 126 flowering water stress (WS2), were planted during the cool off-season in 2015–2016 (October
- 127 2015 to March 2016; note as "2016" experiments) and 2016-2017 (October 2016 to March 2017;
- 128 noted as "2017" experiments). During the rainy season of 2014, the cumulative rainfall recorded
- 129 was 395 mm. The average daily temperature ranges between 22.4 and 35 °C and average relative
- 130 humidity between 42 and 89%. For WW, irrigation was applied twice a week (30 mm each time)
- 131 until physiological maturity. For WS1, water limitation was applied 30 DAP, to mimic a one-

132 month pre-flowering drought, and irrigation was restarted 60 DAP until physiological maturity.

133 For the WS2, water limitation was applied when 75% of plants in a maturity group flowered and

134 maintained until physiological maturity. Three maturity groups were defined based on accession

- 135 phenology characterized during 2014 experiments for water deficit application in WS2. The
- 136 fraction of transpirable soil water (FTSW) in different managed drought stress experiments was
- 137 determined using a DIVINER 2000 (Sentek Pty Ltd, Adelaide, SA).

#### 138 **Phenotypic measurements**

139 In each environment, phenological, physiological, and yield component traits were measured.

- 140 Days to 50% flowering (DFLo) of plants in a plot (one row), above-ground dry biomass (DBM),
- 141 plant height (PH), and yield components including grain weight per panicle (GrW), panicle

142 weight (PW), grain number per plant (GrN), and thousand-grain weight (TGrW) were measured

and used for association mapping studies. For each trait except for DFLo and TGrW, three plants

144 from the middle row of each plot were used for measurements. The drought stress tolerance

index (STI) (Li *et al.*, 2018a; Yuan *et al.*, 2019) for grain weight was calculated from the GrW

146 under WW and WS1 or WS2 as follows:

147 
$$STI = \frac{(Y_{ww})(Y_{ws})}{Y_{m.ww}^2}$$

148 Where  $Y_{ww}$  and  $Y_{ws}$  is the grain weight of a given genotype in WW and WS environments,

149 respectively, and  $Y_{m,WW}$  is the mean value of GrW in the WW environment. For the STI, the

150 higher the value, the more tolerant the genotype to the stress. The drought reduction of each yield

151 component relative to the control environment was calculated as follow:

152 
$$Ri(\%) = \frac{Y_{ww} - Y_{ws}}{Y_{ww}} \times 100$$

153 Where Ri is the drought response of a genotype for trait *i*,  $Y_{ww}$  and  $Y_{ws}$  are the performance of the 154 genotype in the control environment and water-stressed environment, respectively.

### 155 Statistical analysis of phenotypes

156 Each year-treatment combination is considered an environment. Statistical analysis was

157 performed using the R program (R Core Team, 2016). Spatial variation within each environment

158 was analyzed based on the check varieties in each block using the SpATS package (Rodríguez-

159 Alvarez et al., 2018) to obtain genotype-adjusted means. The variance components were

160 estimated by fitting the mixed linear model with random effects for all genotypes (G), water

161 regimes (WR), years (Y), and GxY interaction effects using the *lme4* package (Bates et 2010).

- 162 Broad sense heritability  $(H^2)$  was calculated based on variance components derived from the
- 163 mixed effect model.  $H^2$  was estimated for each trait across environments based on the genotypic
- 164 variance and the total phenotypic variance. Phenotypic correlations among traits were calculated
- using the Pearson correlation coefficient of the PerformanceAnalytics package (Peterson et al.,
- 166 2014). Tukey's Honestly Significant Difference (TukeyHSD) test in the Agricolae package
- 167 (Mendiburu, 2009) was used to test the difference of genotype performance between
- 168 environments or botanical types. The BLUP values of the phenotypes were calculated by
- 169 combining data for a given water regime across years or across all environments. The phenotypic
- 170 BLUPs and genotype-adjusted means were used for the genome-wide association analysis across
- 171 environments.

#### 172 Genome-wide association studies

- 173 To identify drought-yield QTLs, GWAS was performed using the general linear model (GLM)
- 174 with principal component (PC) eigenvalues and mixed linear model (MLM) in the GAPIT
- 175 package (Lipka et al., 2012). These two GWAS models were used as complementary because the
- 176 GLM may identify false-positive associations while MLM may lead to false-negative
- 177 associations when controlling for false-positive significant associations. The SNP dataset was
- 178 filtered for MAF > 0.02, which corresponds to >15 observations of the minor allele within the
- panel of N = 756 genotyped accessions. The first five PCs and the kinship matrix were used to
- account for population structure and genetic relatedness effects, respectively for the MLM. The
- 181 significance level of GWAS associations were defined based on Bonferroni-corrected *p*-value
- 182 0.05 for the GLM with PC (referred to as GLM+Q hereafter) or at least top five SNPs above p < -100
- 183 10<sup>-5</sup> cutoff for the MLM. The most highly-associated SNP ("lead SNP") within a 150 kb genomic
- region defined based on average linkage disequilibrium (LD) decay in global sorghum
- 185 germplasm (Morris et al., 2013) was chosen to represent the association. A list of a priori
- 186 candidate genes of cloned cereal flowering times from a previous study (Faye et al., 2019) was
- 187 used for colocalization analysis between lead SNP and candidate genes.

#### 188 Locus-specific analyses

189 LD heatmaps were constructed using the R package LD heatmap 0.99-4 (Shin et al., 2006).

- 190 BLUP values of phenotypes across water stress environments were used for the estimation of the
- 191 proportion of phenotypic variance explained (PVE) by lead SNPs from the GWAS. The PVE
- 192 was estimated using linear models with fractions of ancestry inferred by ADMIXTURE
- 193 (Alexander et al., 2009) used as fixed covariates. Statistical enrichment analysis for
- 194 colocalization between GWAS lead SNPs and all Stg QTLs from the sorghum QTL Atlas (Mace
- 195 *et al.*, 2019) was performed based on 1000 permutation tests. Statistical significance was
- 196 assessed with a two-sample *t*-test with  $\alpha = 0.05$ . Geographic distribution of the associated lead
- 197 SNP alleles with DFLo or putative drought tolerance was determined using an existing set of
- 198 georeferenced global sorghum landraces (Lasky et al., 2015). Lead associations within Stg1-3
- 199 QTLs were selected based on their association with drought tolerance variables, LD with other
- 200 lead associations within a locus, contribution to the phenotypic variation, and availability in the
- 201 GBS data for global sorghum landraces.

#### 202 Genome-wide selection scans

203 For selection scans, we included 550 worldwide sorghum accessions including wild relative 204 sorghum accessions with available sequencing data (Morris et al., 2013). Genome-wide selection 205 scans were performed based on 100 kb sliding windows using the vcftools program (Danecek et 206 al., 2011). Decreased genome-wide nucleotide diversity ( $\pi$ ) in durra-caudatum, durra, and guinea 207 cultivars relative to wild relatives was performed to assess domestication and diversification 208 selections for drought responses to dry (in durra-caudatum and durra genome) versus humid (in 209 guinea genome) regions. Statistical enrichment analysis for colocalization between  $\pi$  outlier 210 regions and Stg1-4 loci was performed based on 1000 permutation tests. Statistical significance 211 of mean differences were based on two-sided two-sample *t*-tests with  $\alpha = 0.05$ .

#### 212 **RESULTS**

#### 213 Phenotypic variation for drought tolerance related traits

- 214 A total of 590 WASAP accessions were evaluated for phenological, physiological, and yield
- 215 component traits under ten environments across four years in Senegal (Fig. 1a,b; Fig. S1). To
- assess the level of drought stress applied, we estimated the fraction of transpirable soil water
- 217 (FTSW) in the WW, WS1, and WS2 (Fig. 1c-f). FTSW was estimated to be 0.6 in both WW and

stressed treatment before water deficit treatment, then dropped to ~0.2 and 0.3 in WS1 and WS2

- 219 environments, respectively. To assess the effect of each water condition, we characterized the
- 220 grain yield components and days to flowering of genotypes. A non-significant cross-over
- 221 genotype-environment interaction (p < 0.08) was observed between the two drought tolerance
- reference lines, BTx642 and Tx7000 in WS1 and WS2 (Fig. 1g). As expected, the average grain
- 223 weight and number of genotypes was significantly reduced in WS1 and WS2 relative to WW
- treatment (Fig. S1a,b). Overall, DFLo was significantly delayed in 2015 hot off-season
- environments, whereas it was reduced in cool off-seasons of 2016 and 2017 relative to rainfed
- 226 conditions (Fig. S1c). DFLo was delayed in WS1, whereas it was not different in WS2 relative to
- the WW controls. DBM was significantly reduced in all stressed environments, except in WS1 of
- 228 2015 relative to RF (Fig. S1d). Average grain weight was not significantly different between RF
- and WS2 (Fig. S1e). The average GrN was significantly lower in WS1 than in WS2 (Fig. S1f).

### 230 Genetic variation in drought response

- 231 Broad-sense heritability  $(H^2)$  estimates varied from moderate to high with values ranging from
- 232 33% for GrN to 92% for PH in the whole WASAP (Table S1). The average grain weight was not
- 233 significantly different between caudatum accessions and durra and guinea accessions within each
- 234 water regime in terms of production under drought stress (Fig. 2a). The durra-caudatum
- intermediates had significantly higher average grain weight than caudatum (13%, p < 0.05) and
- guinea (16%, p < 0.05) accessions, but not with durra (7%, p < 0.1) accessions. The average GrN
- 237 was not significantly different between botanical types (Fig. 2b). Significant correlations were
- 238 observed among yield components, including GrW, DBM, and STI for grain weight, across WS1
- and WS2 regimes (Fig. S2a). High positive correlation was observed between BLUP of GrW,
- 240 PW, DBM, and GrN, while TGrW was negatively correlated with grain number (Fig. S2b).
- 241 Significant correlations were observed between DBM in WS1 and WS2 and other yield
- 242 components, GrW, GrN, DFLo, and PH across RF conditions (Fig. S2c,d). Overall, genetic
- 243 differences contributed to the phenotypic variation in managed water stress conditions.

### 244 Genome-wide association studies of flowering time

- 245 To identify loci potentially underlying quantitative trait variation in West African sorghum, we
- 246 carried out GWAS using 130,709 SNP markers. First, we considered DFLo under WW off-
- season environments of 2015, 2016, and 2017 and BLUPs across all off-season environments to

248 map known flowering time candidate genes using GLM+Q. No significant peak above the

- 249 Bonferroni-corrected *p*-value of 0.05 was identified for DFLo of the 2015 data, but significant
- associations were identified for DFLo of the 2016 and 2017 data (Fig. S3). Two SNPs,
- 251 S6\_55280640 and S3\_62811196, were significantly associated with DFLo in both years, and co-
- localized with *a priori* candidate flowering time genes *SbZfl1* (Sobic.006G201600; 9 kb away)
- and *SbCN12* (Sobic.003G295300; 61 kb away), respectively. In both 2016 and 2017,
- 254 S6\_55280640 was the lead SNP ( $p < 10^{-10}$  in 2016;  $p < 10^{-10}$  in 2017) of the associated region on
- chromosome 6. A third SNP, S2\_67812515, was significantly associated with DFLo in 2017 data
- and colocalized with the *a priori* candidate gene *Maturity2* (Sobic.002G302700; 70 kb away).
- 257 Significant associations were not identified above the Bonferroni threshold ( $p > 10^{-5}$ ) when the
- 258 MLM with PCA and kinship matrix were used to account for both population structure and
- 259 genetic relatedness effects (Fig. S3).
- 260The same associated SNPs near SbZfl1 and SbCN12, noted above, were observed for261flowering time BLUPs across all off-season environments (Fig. 3a; File S1). Lead SNP
- 262 S6\_55280640 was located one gene away from *SbZfl1* (Fig. 3c). The T allele of S6\_55280640,
- associated with shorter flowering times under RF conditions (Fig. 3d), had a wide geographic
- 264 distribution and was found at high frequency in accessions of the Sahel, Ethiopia, and west India
- 265 (Fig. 3e). Lead SNP S3 62811196 was the top association near *SbCN12* (Fig. 3f). The T allele of
- 266 S3 62811196, associated with short flowering times under RF conditions (Fig. 3g), is globally-
- 267 rare, found mostly in accessions from Niger and northern Nigeria (Fig. 3h).
- 268 Genome-wide association studies for drought tolerance
- 269 To generate hypotheses on the loci that underlie drought tolerance variation in sorghum, we
- 270 performed GWAS for grain weight STI and the reduction of PW (RPW), DBM (RDBM), GrN
- 271 (RGrN), PH (RPH), TGrW (RTGrW) in water-stressed environments. We considered water-
- stress scenarios separately (WW vs. WS1, WW vs. WS2) and together (WW vs. WS1 and WS2).
- 273 In total, 222 and 214 associations were identified by the GLM+Q and MLM, respectively for
- drought response variables and STI in all drought stress environments (File S2; Fig. S4). Among
- the associations, 134 were commonly identified by both GWAS methods.
- To determine QTLs that have positive pleiotropic effect on pre- and post-flowering
  drought tolerance among the associations above, we looked for common associations across
  different water-stressed environments. We defined a pleiotropic QTL as one lead SNP or locus

being mapped in both pre- and post-flowering drought scenarios, or associated with several

- 280 drought response variables. Among the associations, 16 putative pleiotropic associations for
- drought responses were observed across water stress environments (Table S2). For example, the
- SNP S4\_67777846 was associated with STI under WS1 of 2016 and 2017 and WS2 of 2017
- using both GLM+Q and MLM. SNPs S3\_13763609 and S1\_74186408 were associated with
- 284 RPW in WS1 and WS2 of 2017 using both GLM+Q and MLM. The identified pleiotropic lead
- 285 SNPs showed significant allelic effect and significantly ( $p < 10^{-8}$ ) explained 11–25% of STI for
- grain weight across water deficit treatments (Table S2). Of the 16 putative pleiotropic
- 287 associations, 6 associations (S4\_67777846, S2\_18195896, S9\_57781496, S10\_1402513,
- 288 S6\_55048997) overlapped with associations identified for the STI BLUPs across water-stressed
- 289 environments (Fig. 4; File S3).

#### 290 Drought response associations colocalizing with stay-green loci

- 291 To test the hypothesis that Stg loci identified from Ethiopia, we characterized the colocalization
- of GWAS peak SNPs with previously defined *Stg* QTL intervals as summarized in the Sorghum
- 293 QTL Atlas. The interval of *Stg3* (*Stg3a* and *Stg3b*) was defined based on the introgressed region
- by the ICRISAT breeding program (Vadez et al., 2013). Of the total lead SNPs associated with
- 295 STI for grain weight and drought response variables, 78 overlapped with 54 QTLs of the
- published *Stg* QTLs (File S4, Fig.4a,b), which represents a significant enrichment ( $p < 10^{-16}$ ).
- 297 Thirty lead SNPs colocalized with known *Stg1*–4 loci (Table S3). The lead SNPs colocalizing
- 298 with each locus could explain up to 16% ( $p < 10^{-10}$ , Stg1), 20% ( $p < 10^{-13}$ , Stg2), 19% ( $p < 10^{-13}$ ,
- 299 Stg3a), 27% ( $p < 10^{-16}$ , Stg3b) and 21% ( $p < 10^{-15}$ , Stg4) of the phenotypic variation across WS1
- 300 and WS2 over years based on STI BLUPs. At Stg2, SNP S3 56094063 was the top association
- 301 (*p*-GLM  $< 10^{-19}$ , *p*-MLM  $< 10^{-13}$ ) for STI in WS2 and WS1. At *Stg3b*, S2 62095163 was the top
- 302 association (*p*-GLM  $< 10^{-18}$ , *p*-MLM  $< 10^{-13}$ ) with high effect for STI in WS2. The remaining lead
- 303 SNP associations did not colocalize with *Stg* loci.

### 304 Putative pleiotropic drought response associations colocalizing with stay-green loci

- 305 At each of the Stg 1-4 loci there were several associations observed across two or more drought
- 306 scenarios or drought response variables (Table S3). The *Stg3a* and *Stg3b* (which are next to each
- 307 other) region covered associations for STI in WS1 of 2015 and 2016, STI in WS2 of 2016 and
- 308 2017, RPW in WS1 of 2017, and RDBM in WS1 of 2016. There was a strong LD among the

lead SNPs within *Stg3b* but no LD among lead SNPs within *Stg3a* (Fig. 4c). The SNP

- 310 S2 62095163 was in strong LD with other lead SNPs in *Stg3b* but not in LD with lead SNPs in
- 311 Stg3a. Stg2 colocalized with putative pleiotropic associations for STI in WS1 of 2015 and 2017,
- 312 WS2 of 2017, RGrN in WS1 of 2017, and RDBM in WS1 of 2016. The *Stg1* locus covered
- associations for RPW in WS1 and WS2 of 2017 and associations for STI in WS1 of 2017. At
- both *Stg1* and *Stg2* there was strong LD among several lead SNPs (Fig. 4d). At the *Stg4* locus
- there were associations for RPW in WS1 of 2017 and for STI in WS1 of 2015 and in WS2 of
- 316 2017, and moderate LD among lead SNPs (Fig. S4f).

## 317 Evolutionary signals around drought tolerance loci

- 318 To investigate the possibility of positive selection for drought tolerance at *Stg* loci, we conducted
- 319 a genome scan of pairwise nucleotide diversity ( $\pi$ ) ratios for West African sorghums relative to
- 320 wild relatives (i.e. outliers with high  $\pi_{sorghum}/\pi_{wild}$  ratio), considering 95th and 99th percentile
- 321 outliers (Fig. 4e,f; Fig. S5). Twelve of the lead SNPs associated with RPW and grain weight STI
- 322 overlapped with  $\pi$  ratio outliers in durra-caudatums and durras, but not in guineas (Table 2).
- 323 Colocalizations of  $\pi$  ratio outliers with *Stg1*-4 loci were significantly enriched ( $p < 10^{-16}$ ). In
- durra-caudatums and durras, but not in guineas, some 99th percentile  $\pi$  ratio outliers were
- 325 localized within *Stg1* (Fig. 4e,f; Fig. S5). We characterized the geographic distribution of two
- 326 selected lead associations within each *Stg* locus to determine whether the *Stg* alleles are rare and
- 327 only involved in local adaptation or are broadly involved in adaptation across sorghum landraces,
- 328 beyond known sources in Ethiopia sorghums (Fig. 5a,b). The rare alleles associated with
- 329 increased STI at a few selected lead SNPs within *Stg1-3* were broadly geographically distributed
- in sorghum landraces (Fig. 5c-h). (*Stg4* was excluded due to its large interval). However, the
- 331 increased STI-associated allele at lead SNPs that overlapped with strong selection outliers were
- found mostly in WA sorghums (Fig. 5h), except for S3\_66366589 (Fig. 5g).

### 333 **DISCUSSION**

### 334 How well do managed stress trials reveal the genetics of drought tolerance in sorghum?

335 In this study we sought to better understand genetics of drought adaptation in sorghum, a crop

- that is well known for drought tolerance, but whose mechanisms of drought adaptation are not
- 337 yet understood (Choudhary *et al.*, 2020). We characterized a diverse panel of West African
- 338 sorghum germplasm in common-garden managed drought stress field trials with the aim of

balancing experimental repeatability (via the use of irrigation in off-season) with biological and
breeding relevance (via the use of a field environment) (Cooper *et al.*, 2014). The usefulness of
managed stress experiments to understand crop evolution and improve crop resilience depends
on several criteria we consider in turn:

343 (i) Was the intended stress applied? Two lines of evidence support the contention that the 344 intended drought stress was successfully imposed via irrigation management in the off-season. 345 First, the measured soil moisture was consistently high in well-watered control treatment (FTSW 346  $\approx 0.6$ ), but dropped to  $\sim 0.2$  at the intended times in pre- or post-flowering drought stress 347 treatments (Fig. 1f). The FTSW values achieved in WS1 and WS2 were similar to the critical 348 values (~0.2–0.5) for decreases in transpiration and leaf expansion in diverse sorghum lines 349 (Choudhary et al., 2020), suggesting that a physiologically-relevant stress was experienced by 350 the plants. Second, we observed a substantial (but not complete) reduction of yield components 351 (~50%; Fig. 2) under managed drought stress (WS1 and WS2 relative to WW), suggesting the 352 stress was also agronomically relevant (Blum, 2010).

353 (ii) Was the stress comparable to previous stress experiments? To be able to address this 354 question, we included two international drought tolerance check lines, which are the canonical 355 post-flowering (BTx642) and pre-flowering (Tx700) drought tolerant genotypes based on many 356 studies in the U.S. and Australia (Tuinstra et al., 1996; Burke et al., 2013; Borrell et al., 2014b). 357 Consistent with the idea that our managed drought stress was comparable to natural and managed 358 drought stress in the U.S. and Australia, a strong cross-over genotype-environment interaction 359 for grain yield of Tx7000 vs. BTx642 under pre- vs. post-flowering drought in the expected 360 direction (Fig. 1g).

361 (iii) Was the timing and severity of stress comparable to that in the TPE? Among the 362 three criteria, this is the most difficult to assess. A formal envirotyping study, which quantifies 363 the frequency of particular water deficits relative to crop phenology, would be necessary to 364 address this question (Chenu et al., 2011; Cooper et al., 2014). One particular concern for off-365 season managed stress would be that differences in photoperiod regime relative to the TPE (i.e. 366 the rainy season) could change in growth or developmental dynamics in a way that alters the 367 drought response (Blum, 2010; Gano et al., 2021). However, the overall similarity of grain yield 368 components in the rainy season (RF) and off-season experiments (Fig. S1a,e; Fig. S2c,d) suggest 369 that the managed drought stress is broadly comparable to drought in the TPE. Ultimately, to

- 370 rigorously test hypotheses on the similarity of off-season managed drought to the drought in the
- 371 TPE, a comparison of phenotypes under managed stress to multi-environment field trials under
- atural drought stress will be necessary (Cooper *et al.*, 1995).

#### 373 Evidence for a role of *SbZfl1* and *SbCN12* in flowering time variation and for *SbCN12* in

#### 374 drought adaptation

- 375 Flowering time is a critical component of geographic adaptation (Lasky et al., 2015; Castelletti et
- 376 *al.*, 2020) and a potential contributor to drought adaptation via early-flowering drought escape
- 377 (Blum, 2010). Among the six canonical sorghum photoperiodic flowering genes (Maturity1-
- 378 *Maturity6*) characterized in U.S. germplasm, (Murphy et al., 2011, 2014; Yang et al., 2014;
- 379 Casto et al., 2019) we identified colocalization of associations only at Ma2 (Fig. 3a). Instead, the
- top QTL mapped two *a priori* flowering time candidate loci, *SbZfl1* (chr6: 55.289–55.293 Mb)
- and *SbCN12* (chr3: 62.747–62.749 Mb) that are not known to underlie genetic variation in U.S.
- 382 germplasm (Fig. 3; Fig. S3).

SbZfl1 is the ortholog of maize ZFL1/2 and rice RLF, which induce early flowering by
activating vegetative-to-reproductive transition (Bomblies & Doebley, 2006; Rao *et al.*, 2008).
While SbZfl1 variation has not been previously identified via linkage mapping (Mace *et al.*,
2019), SbZfl1 was identified as a top candidate in a recent GWAS of photoperiodic flowering
rating in a Senegal regional panel (Faye *et al.*, 2019). The MAF of the SbZfl1 QTL was high

388 (>0.4) in both WASAP and global georeferenced landraces (Fig. 3e), suggesting a common,

389 moderate-effect variant exists at *SbZfl1*. Sorghum is a short day species, so under short days (e.g.

- 390 the cool off-season in West Africa: Fig. 1b) it is expected to flower early, regardless of
- 391 photoperiodism. Given *SbZfl1* was the top flowering time association under short days, *SbZfl1*
- 392 may be a regulator of basic vegetative phase (BVP), the thermal time component of flowering
- regulation that acts independently of photoperiodic flowering regulation (Guitton *et al.*, 2018).
- 394 This hypothesis could explain the lack of a flowering time QTL at *SbZfl1* in a previous GWAS
- under long days (rainy season) in the WASAP (Faye *et al.*, 2021)—subtle BVP variation at
- 396 *SbZfl1* could have been masked by large-effect photoperiodic variants at *Ma6*, *SbCN8*, or other
- 397 loci. However, this hypothesis would not explain the photoperiod flowering association at *SbZfl1*
- 398 previously observed in Senegalese germplasm (Faye *et al.*, 2019). Given inherent limitations of
- 399 association studies (Korte & Farlow, 2013) and the complexity of photothermal flowering (Li et
- 400 *al.*, 2018b), linkage mapping and ecophysiological modeling will be needed to test these

401 hypotheses on the role of *SbZfl1* in flowering time adaptation (Guitton *et al.*, 2018; Li *et al.*,
402 2018b).

403 SbCN12 (also known as SbFT8) is a co-ortholog of the canonical florigen Arabidopsis FT 404 gene and ortholog of maize ZCN12 (Yang et al., 2014; Castelletti et al., 2020), which was 405 identified as a likely sorghum florigen based on conserved sequence and expression dynamics 406 (Yang et al., 2014; Wolabu et al., 2016). The current GWAS findings, along with previous 407 finding that SbCN12 explained up to 12% of variation in global nested association mapping 408 population (Bouchet et al., 2017; Hu et al., 2019), provide strong support for the hypothesis that 409 functional allelic variation exists at SbCN12. Given the early-flowering associated allele near 410 SbCN12 is globally rare (Fig. 3h), it may be a useful new allele for sorghum breeding programs 411 targeting earlier flowering for stress escape. Molecular cloning of causative variants at SbCN12 412 and *SbZfl1* could shed light on their role in flowering time evolution (Bomblies & Doebley, 413 2006; Castelletti et al., 2020) and facilitate development of molecular marker to recover locally-414 adaptive flowering time.

415 The evidence for a role of these flowering time genes in drought adaptation (e.g. via 416 drought escape) is mixed. On one hand, SbCN12 colocalized with a drought response association 417 (RPW for WS1 vs. WW; S3 62836558; 64 kb away; Table S3), so could plausibly underlie 418 some variation for pre-flowering drought response of this yield component. Also the same SNP 419 near SbCN12 was in a window of reduced  $\pi$  in guinea genotypes (Table 2), suggesting selection 420 at this locus. (Note, this is not the same SNP as the rare flowering time associated variant 421 S3 62811196, but a common variant 25 kb away). On the other hand, SbZfl1 did not colocalize 422 with the drought response QTL (STI, RPW, etc.; the nearest association with STI, S6 55048997, 423 was at ~240 kb away) and there was no evidence of positive selection around SbZfl1 based on 424 the  $\pi$  ratios (Fig. 4; Fig. S5). Given that causative variants at SbCN12 and SbZfl1 are not yet 425 known, hypotheses on the role of these genes in drought adaptation remain speculative, but could 426 be tested using near-isogenic lines (NILs).

## 427 Insights on the genetics of drought adaptation in sorghum

428 The botanical types of sorghum vary strikingly in their morphology and geographic distribution,

- 429 and based on a phytogeographic adaptation model (Vavilov, 2009). It has long been
- 430 hypothesized that they vary in their drought adaptedness (Harlan & De Wet, 1972; Lasky et al.,
- 431 2015; Wang et al., 2020). For instance, durra sorghums, which predominate in arid regions, are

432 thought to be the most drought tolerant (Harlan & De Wet, 1972), while guinea sorghums, which 433 predominate in humid regions are thought to be adapted to high humidity (De Wet *et al.*, 1972). 434 However, previous studies of large sorghum diversity panels under managed drought stress have 435 not directly tested this hypothesis, for instance, by comparison of drought response for yield 436 among botanical types (Vadez et al., 2011; Lasky et al., 2015; Upadhyaya et al., 2017). 437 Surprisingly, in this study we find no evidence of overall differences in drought tolerance among 438 botanical types based on the drought response of yield components (Fig. 2). These findings could 439 be explained by one of two competing hypotheses. First, it is possible that the drought scenarios 440 we applied do not correspond to the drought scenarios in the TPE, such that true differences in 441 drought tolerance among botanical types were not reflected in the phenotypes. Alternatively, it 442 may be that the major botanical types in West Africa all harbor substantial drought tolerance, 443 presumably because droughts are common even in the higher precipitation portions of the 444 sorghum range (Traore et al., 2014). In either case, our findings suggest that long-held views on 445 differential drought adaptation among botanical types in sorghum require more formal testing.

446 Theoretical considerations on water use tradeoffs (Tardieu, 2012) and the apparent lack 447 of sorghum genotypes harboring both pre- and post-flowering drought tolerance (Burke et al., 448 2013) suggest that a tradeoff might exist between early versus late stage tolerance mechanisms. 449 However, the moderate positive correlation of the grain yield estimates under pre- and post-450 flowering drought (e.g. for GrW or STI; Fig. S2a) suggest no major physiological tradeoff for 451 tolerance to these contrasting drought scenarios, at least at this broad scale of diversity. 452 Colocalization of MTA for drought tolerance related traits in WS1 and WS2 would provide 453 further evidence for genetic factors that contribute pleiotropically to both pre- and post-flowering 454 drought tolerance. Consistent with this hypothesis, sixteen distinct MTAs (Table S2) were 455 detected for drought-related traits (mostly STI) under both the pre- and post-flowering drought 456 treatments, including one (S3 56094063) that colocalized with Stg1-4 loci (Table S3). 457 Among the positive pleiotropic associations, the STI MTA at S4 67777846 may be the 458 most interesting candidate for further study, given that it had the highest PVE estimate (25%) in 459 both pre- and post-flowering water stress over two years. This MTA did not colocalize with Stg QTLs or other a priori candidate genes, and there were no obvious post hoc candidate genes near 460 461 the SNP, so we have no hypothesis on the biological basis of this association at this point. If 462 confirmed, positive pleiotropic drought tolerance QTLs, which could contribute to yield stability

across drought scenarios, would be of great interest for breeding of broadly-adapted climateresilient varieties and help elucidate mechanisms that circumvent potential tradeoffs (Tardieu,
2012).

466 Another question that motivated our study was whether canonical Stg alleles, which were 467 originally discovered in Ethiopia-derived materials (BTx642) (Borrell et al., 2014a), are also 468 present in West African landraces (Fig. 5a). The hypothesis that canonical Stg alleles have a 469 broad role in drought adaptation across Africa is plausible since it is well established that durra 470 sorghums diffused from Ethiopia across the Sahel to West Africa (Harlan & Stemler, 1976; 471 Morris *et al.*, 2013). Indeed, we observe a statistically significant enrichment of drought 472 tolerance related MTA colocalized with canonical Stg QTL intervals, which provides preliminary 473 support for the shared Stg hypothesis (File S4; Table S3). Most notable among these are the 474 highly significant association for grain weight STI under post-flowering drought at Stg3 475 (S2 62095163) and Stg2 (S3 57614567). Further, the West Africa drought tolerance associated 476 alleles in the Stg intervals are found in Ethiopia, as would be expected if they were shared across 477 Africa. While these findings are suggestive, they are not sufficient to exclude the alternate 478 hypothesis (Fig. 5b) that West African drought tolerance loci are unrelated to Ethiopian-origin 479 Stg alleles. Testing this hypothesis conclusively would require positional cloning of the West 480 Africa drought tolerance QTL and Stg alleles.

481 The final hypothesis we considered was that drought tolerance alleles underlie drought 482 adaptation per se and were subject to positive selection. This finding was supported by 483 significant enrichment for colocalization of selection outliers with Stg QTLs and common allele 484 frequencies of lead SNPs overlapping with selection outliers in durra-caudatums and durras 485 relative to guineas (Fig. 4e,f; Fig. S5; Table 2). As with the other findings, the development of 486 NILs and the validation of major effect QTL in breeding populations (Borrell et al., 2014b,a) 487 will be crucial to rigorously test the proposed role of QTL in these genomic regions for drought 488 adaptation. Overall, our findings support the long-standing hypothesis that genetic variation for 489 drought tolerance exists in West African sorghum, and provide preliminary evidence for a broad 490 role of canonical Stg drought tolerance alleles across Africa.

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- 500 GPM, DF, NC, BS: design of the research; EAA, BS, CD: performance of the research;
- 501 JMF, EAA, BS, GPM: data analysis, collection, or interpretation; JMF, GPM: writing the
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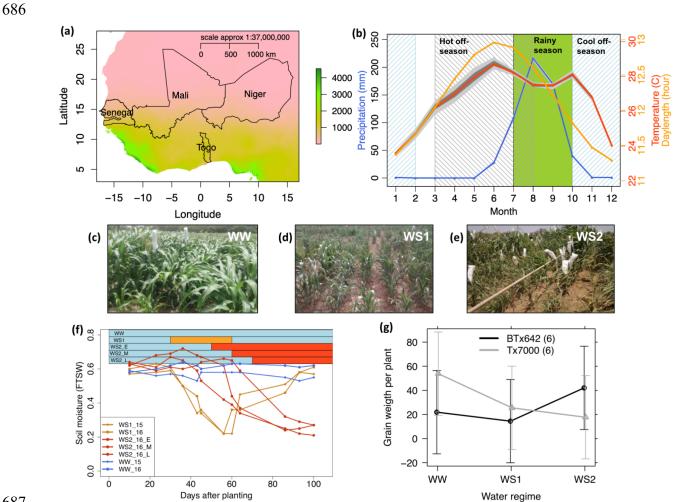
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- association study in rice (Oryza sativa). Scientific Reports 9: 2541.
- 685



687

688 Fig. 1. Experimental system to study drought stress response of diverse sorghum

689 germplasm. (a) The four countries of origin for sorghum accessions in the West Africa Sorghum

690 Association Panel (WASAP) with the West African precipitation gradient noted by the color

scale. (b) Average monthly precipitation, temperature, and daylength at the experimental station 691

692 in Bambey, Senegal. The green block represents the rainy season ("hivernage") when farmers

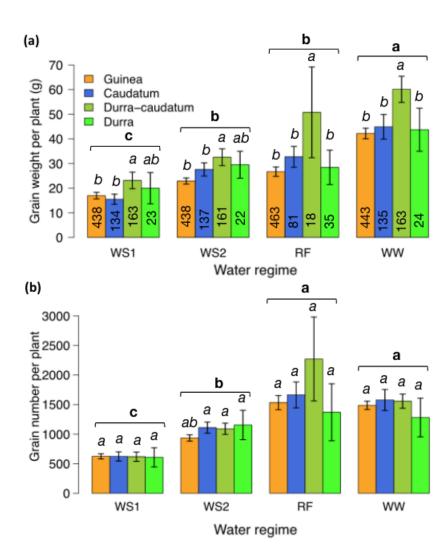
grow crops and when we conducted rainfed experiments. The gray-striped block indicates the hot 693 694

off-season and the blue-striped block indicates the cool off-season when we conducted managed drought stress. (c-e) Photos of plants under (c) well-watered (WW), (d) pre-flowering water 695

- 696 stress (WS1), and (e) post-flowering water stress (WS2) environments. (f) Fraction of
- 697 transpirable soil water in WW (blue lines), WS1 (orange lines), and WS2 (red lines) during 2015
- (line with diamond shape dots) and 2016 (line with close circle dots) off-seasons. Horizontal bars 698
- 699 indicate the water stress application periods for WS1 (orange) and WS2 (red) relative to WW
- 700 (light blue). The three red bars/lines for WS2 represent three maturity groups (E: early maturity,
- 701 M: medium maturity, L: late maturity) that the panel was divided into so that post-flowering
- 702 water stress could be applied consistently relative to flowering. (g) Cross genotype x
- 703 environment interaction of the pre-flowering (Tx7000) and post-flowering (BTx642) drought

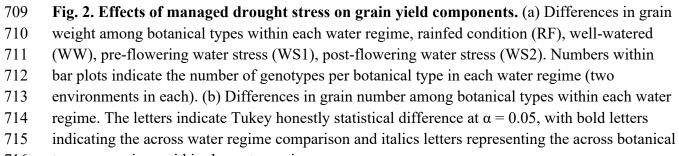
tolerance checks across WW, WS1, and WS2 environments. The error bars represent 95%

705 confidence intervals.

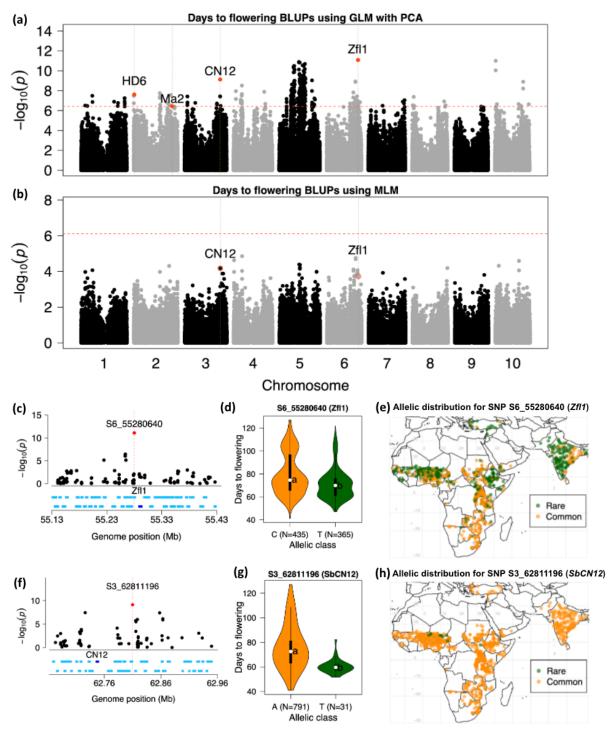


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716 type comparison within the water regime.



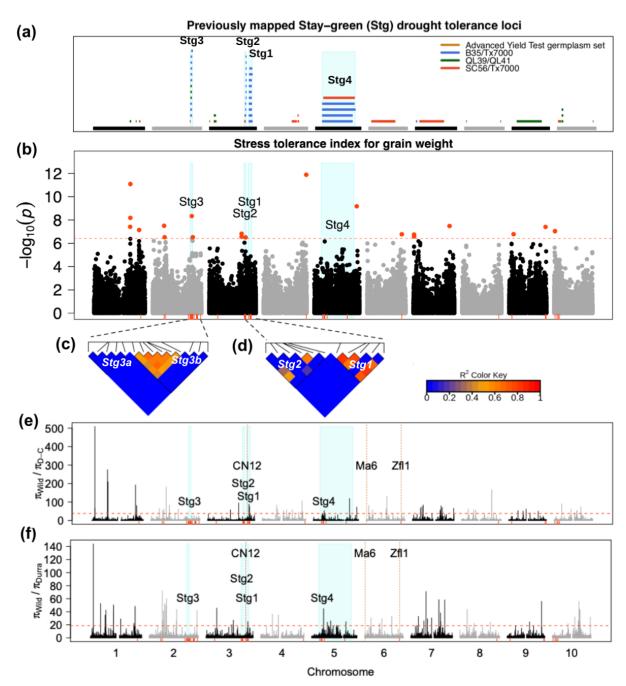
717

Fig. 3. Genome-wide associations for flowering time. (a, b) Manhattan plots for days to
flowering (DFLo) for best linear unbiased predictor (BLUPs) across all off-season environments
over three years using (a) general linear model with principal components (GLM+Q) and (b)
mixed-linear model (MLM). Horizontal dashed line indicates the Bonferroni correction at 0.05.
Red dots indicate peak SNPs colocalizing (based on 150 kb cutoff) with *a priori* candidate genes

for flowering time. (c) Zoomed-in Manhattan plot for the GLM+Q of a 150 kb region on

- chromosome 6 around the lead associated SNP, S6\_55280640 that colocalizes with *a priori*
- candidate gene Zfl1 (dark blue segment). (d) Days to flowering across rainfed environments by
- allelic classes of S6 55280640 in the WASAP. Letters within violin pots indicate Tukey's
- honestly significant difference at  $\alpha = 0.05$ . (e) Geographic distribution of early (T) and late (C)
- flowering-associated alleles of S6\_55280640 in global sorghum landraces. (f) Zoomed-in
- 729 Manhattan plot for the GLM+Q of a 150 kb region on chromosome 3 around the lead associated
- SNP, S3\_62811196 that colocalizes with *a priori* candidate gene *SbCN12* (dark blue segment).
- (g) Days to flowering across rainfed environments by allelic classes of S3\_62811196 in the
- 732 WASAP. (h) Geographic distribution of the early (T) and late (A) flowering-associated alleles of
- 733 S3\_62811196 in global sorghum landraces.





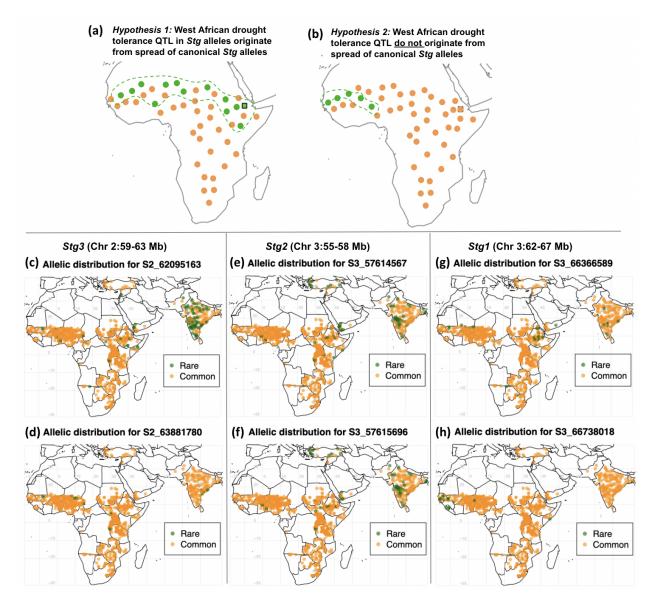


736 Fig. 4. Genome-wide associations for drought tolerance and genome scans for adaptation.

737 (a) Genomic location of the different stay-green quantitative trait loci, including Stg1-4, obtained

- from the Sorghum QTL Atlas. Light blue bars indicate the genomic position of Stg1-4 intervals.
- (b) Manhattan plots of BLUPs of stress tolerance index (STI) for grain weight across pre-
- flowering (WS1) and post-flowering (WS2) water-stressed treatments of 2015–2017 based on
- GLM+Q. The horizontal red dashed line represents the Bonferroni significance threshold at 0.05,
- and red dots indicate lead SNPs above the threshold. Some lead SNPs colocalize within Stgl,
- 543 Stg2, Stg3, and Stg4 loci that are represented by light blue barplots. Rug-plots indicate the

- genomic position of the putative pleiotropic lead SNPs and lead SNPs at *Stg1–4* loci,
- significantly associated with grain weight STI and drought response variables, reduction of PW
- 746 (RPW), DBM (RDBM), GrN (RGrN), PH (RPH), TGrW (RTGrW). (c) LD heatmap for lead
- 747 SNPs at *Stg3a* (left triangle) and *Stg3b* (right triangle). (d) Linkage disequilibrium (LD) heatmap
- for lead SNPs at *Stg2* (left triangle) and *Stg1* (right triangle). (e, f) Reduction of nucleotide
- 749 diversity, based on 100 kb sliding windows, (e) in durra-caudatum (D-C) and (f) in durra
- 750 landraces relative to wild sorghums. Red dashed horizontal lines indicate the 99 percentile
- threshold for signatures of selection outliers. Rug-plots in red indicate lead SNPs for putative
- 752 pleiotropic lead SNPs and lead SNPs within *Stg1–4* loci associated with drought response
- variables. Light blue bars indicate the genomic position of *Stg1–4* intervals.



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Fig. 5. Evidence for a broad role of canonical staygreen alleles in drought adaptation. (a, b)

757 Competing hypotheses on the origin of West African drought tolerance MTA and relationship

with the canonical *Stg* alleles (titles), and graphical predictions under each hypothesis

759 (diagrams). Under hypothesis 1 (panel a) some West African drought tolerance MTA represented

- 760 Stg alleles that have diffused from Eastern Africa, while under hypothesis 2 (panel b) these MTA
- are unrelated to *Stg* alleles. The location of the known *Stg* allele source, accession IS12555 from
- 762 Ethiopia, is noted by the black square. (c-h) Observed global allelic distributions at some West
- 763 African drought tolerance MTA that colocalize with *Stg1–3*. (c, d) Geographic distribution of the
- common allele (orange) and rare allele (green) associated with increased drought tolerance of
- 765 GWAS lead SNPs, S2\_62095163 (c) and S2\_63881780 (d) in the *Stg3* locus. (e, f) Geographic
- distribution of the common allele (orange) and rare allele (green) associated with increased
- drought tolerance of GWAS lead SNPs, S3\_57614567 (e) and S3\_57615696 (f) in the *Stg2* locus.

- 768 (g, h) Geographic distribution of the common allele (orange) and rare allele (green) associated
- with increased drought tolerance of GWAS lead SNPs, S3\_66366589 (g) and S3\_66738018 (h)
- in the *Stg1* locus, respectively. The different GWAS lead SNPs above were selected based on
- their association with drought tolerance, proportion of variance explained, colocalization within
- 772 Stg1-3 loci, linkage disequilibrium with other lead SNPs within each Stg1-3 locus, and
- availability in the GBS data for global sorghum landraces. Note, lead SNPs in Stg4 locus were
- not included because of the large interval for this locus.
- 775

Treatment <sup>a</sup>	Season	Year <sup>b</sup>	Period	<b>Trial code</b>
Rainfed	Rainy season	2014	July-October	RF1
Rainfed	Rainy season	2014	July-October	RF2
Well-watered	Hot off-season	2015	March–August	WW_15
Pre-flowering	Hot off-season	2015	March-August	WS1_15
Well-watered	Cool off-season	2016	October-February	WW_16
Pre-flowering	Cool off-season	2016	October–February	WS1_16
Post-flowering	Cool off-season	2016	October–February	WS2_16
Well-watered	Cool off-season	2017	October–February	WW_17
Pre-flowering	Cool off-season	2017	October–February	WS1_17
Post-flowering	Cool off-season	2017	October–February	WS2 17

# 776 **Table 1.** Details of field experiments

<sup>a</sup> Pre-flowering and post-flowering denote the pre- or post-flowering water stress environments;

<sup>b</sup> The year where the majority of the experiment took place; RF, rainfed condition; WW, well

779 water; WS1, pre-flowering water stress; WS2, post-flowering water stress.

			Nucleoti	de diversi	ity ratio	Commo	Common allele frequency				
Chr	Locus	Lead SNP	$\pi W/\pi DC$	$\pi W/\pi D$	$\pi W/\pi G$	DC	Durra	Guinea			
1	_	S1_74186408	18.2	3	_	0.5	0.5	0.46			
2	_	S2_18195896	2.4	9	_	0.49	0.5	0.47			
2	_	S2_20558788	61.3	72.2	54.6	0.49	0.5	0.45			
2	Stg3b	S2_71386056	2.1	7.8	_	0.5	0.5	0.44			
2	_	S2_76213690	13.4	3.3	_	0.45	0.26	_			
3	Stg1	S3_62836558	2.8	2.2	7.5	0.5	0.5	0.45			
3	Stg1	S3_65137990	15.1	1.7	_	0.5	0.5	0.46			
3	Stg1	S3_65430305	88.2	15.5	8.4	0.5	0.5	0.46			
3	Stg1	S3_66366589	74.6	25	_	0.5	0.46	0.46			
5	Stg4	S5_15215761	32	6.8	10.1	0.5	0.48	0.48			
5	Stg4	S5_16480120	27	13	_	0.45	0.41	0.48			
5	Stg4	\$5_20251208	1	1.5	8.7	0.45	0.46	0.5			

781	<b>Table 2.</b> Pairwise-wide nucleotide diversity $(\pi)$ ratio outliers overlapping with some lead SNP
782	associations and their allele frequency in each botanical type.

783 Bold numbers are among the 95 percentile of  $\pi$  ratios.

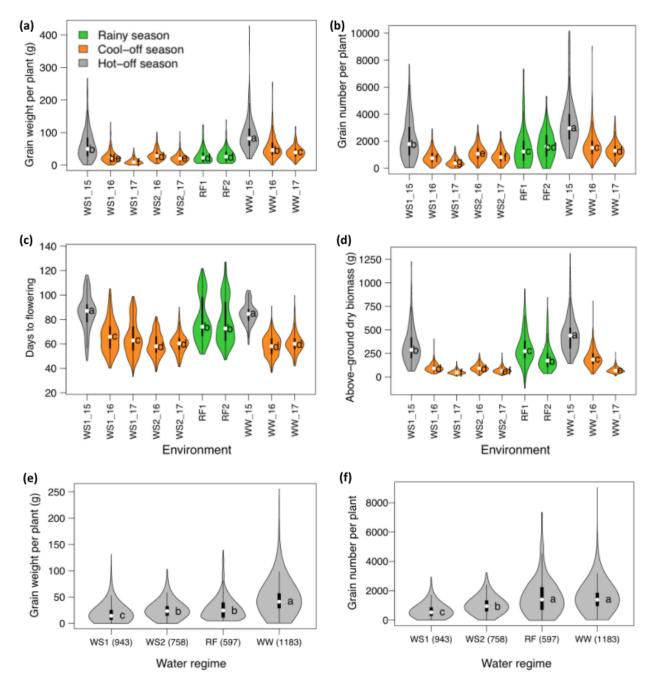
784 Chr, chromosome; DC, durra-caudatum landraces; D, durra landraces; G, guinea landraces; W,

785 wild sorghums.

#### 787

### 788 SUPPORTING INFORMATION

- 789 Fig. S1. Summary of phenotypic data across environments
- 790 Fig. S2. Relationship among phenotypes across environments
- 791 Fig. S3. Genome-wide associations for days to flowering (DFLo) under well-watered
- renvironments over three years
- 793 Fig. S4. Genome-wide associations for stress tolerance index (STI) for grain weight under
- 794 drought stress conditions over three years
- Fig. S5. Reduction of nucleotide diversity in guinea landraces relative to wild sorghums, basedon 100 kb sliding windows
- 797 **Table S1.** Descriptive statistics, variance components, and broad-sense heritability ( $H^2$ ) of yield 798 components across managed water stress environments
- **Table S2.** Putative pleiotropic lead SNP associations with reduction of yield components and
   stress tolerance index (STI) for grain weight and across water stress environments
- 801 **Table S3.** Lead SNP associations within *Stg1*–4 loci for reduction of yield components and
- 802 stress tolerance index for grain weight in separate and across water stress environments



804

805 Fig. S1. Summary of phenotypic data across environments. (a-d) Effect of water deficit on 806 grain weight per plant (a), grain number per plant (b), days to flowering (c), and above-ground dry biomass (d) of accessions in each environment across the different water regimes (WS1, pre-807 808 flowering water stress; WS2, post-flowering water stress; RF, rainfed; WW, well-watered). The 809 environments under rainfed (RF1 and RF2), cool off-season, and hot off-season conditions are 810 colored in green, orange, and gray, respectively. (e, f) Average values for grain weight per plant (e) and grain number per plant (f) in each water regime, excluding the 2015 data. Letters within 811 violin plots indicate the TukeyHSD statistical difference at  $\alpha = 0.05$ . 812

)													(b)												_
	_WS1	0.20	0.62		0.46		0.22	0.16	0.41	0.23	0.46	*** 0.20 ***			LUP	<b>**</b> 0.64	<b>o</b> .:	*** 71	** 0.39		*** 0.21	0.3	*** 6	<b>**</b> 0.36	*
	D	BM_WS2	0.13 GrW_WS1	0.75	0.85	0.27	0.30	0.32	0.15	0.33	0.20	0.62			• •			***	**	**	***				+
				GrW_WS2		0.44	1.05	-0.07		8.05	0.08	0.80				GrW_BLUP	0.9	97		$\perp$	0.30			0.037	
					GrN_WS1			0.05	*** 0.17	-181	0.49			ب <del>ت</del> خر		. John Start	PW_I	BLUP	0.68		*** 0.29	0.064		0.078	
				15.1.1	÷.	GrN_WS2	-6.85		-0.17	** -8.14		*** 0.35			• .			•	GrN_BLU		<b>***</b>			-0.042	-
	-			-	-	۲	DFLo_WS1	0.68	0.18	0.46		0.08			م <u>ب</u>			<u>سين</u>	GrN_BLU		-0.17			-0.042	
<u>&gt;</u>			<u></u>	-				DFLo_WS2	0.31	0.50		0.893					<u> </u>	<u>k</u>		ΓGrV	W_BLUF	1	***	-62%	
/									PH_WS1	0.64	0.18				· ·							DFLo_E		** 0.49	
		ar.				A CONTRACT	1			PH_WS2	STI_WS1	•== *** 0.41								-0	<u></u>			0.49	_
	2			میں مغذ	52:		- <u></u>	in the second	and and a second	à	inie	STI_WS2		1		~~~~	$\sim$		<u>~</u>				F	PH_BLUP	,
	<u></u>												(d)	8 0 0 0	0		45		• 8°		14	289	•		
	_WS1	1.000	0.63	-0.25	*** 0.56	-0.21	125	-0.11	** 0.19	** 0.16	*** 0.30	0.13	()	DBM_WS2	* 0.18		-0.15	** 0.28	-8.13	*** 0.20	-0.13	*** 0.27	0.15	*** 0.28	
4		DBM_RF	0.000	0.11	- 000	1.007	** 0.19	0.12	0.095	** 0.18	0.14	*** 0.34			DBM_R	(F	0.11	8.015		*** 0.19	0.11	0.12	* * 0.18	0.12	
	-		GrW_WS1	-0.04	0.88		0.12	0.096	-0.14	0.035	0.031	-4.11				GrW_WS2	-	0.52	A	*** 0.33	-111	-0.16	-413	-013	
				GrW_RF	-417	0.84		*** 0.37	-0.41	-0.40	-0.18	-6.11			-	-	GrW_RF	1.001	0.84	0.000	*** 0.36	-0.44	-0.41	-0.35	
	_			-	GrN_WS1	4.0	-100	- *	-0.10 -***			-0.54			-			GrN_WS		0.046	- 18	-0.11	-0.23	-0.12	
		-		A		GrN_RF	-0.044	0.14	-0.38	-0.43	-0.12	*		<u>-</u>	ě.		P		GrN_RF	448	0.14	-0.43	-0.43	-0.30	
	-		-	-	-	*	TGrW_WS1			0.14	0.042	0.12			<b>.</b>			-	<b>.</b>	GrW_WS2		-0.18	0.13		
	-			and the second				HGrW_RF	-0.20	0.11	**	988.0 ***					and the second	and an		-	HGrW_RF	-0.17	0.11	-0.13	
			<u> </u>		-				DFLo_WS1		0.14	0.24			•				*			DFLo_WS2	0.50	0.38	
	-		<u> </u>			<b>\$</b>				DFLo_RF	0.45	0.25			<u></u>			-					DFLo_RF	0.61	
1	~		<u>~</u>		<u> </u>	-				-	PH_WS1	0.55					-							PH_WS2	
1	<u> </u>			and a state	$\wedge$			1				PH_RF			1						1	<u>(</u>			F

813

814 Fig. S2. Relationship among phenotypes across environments. (a) Correlations for yield

components based on BLUP values in pre-flowering (WS1) and BLUP values in post-flowering
(WS2) water stress environments. (b) Correlations for yield components based on BLUP values

(WS2) water stress environments. (b) Correlations for yield components based on BLUP values
 across all environments. (c, d) Correlations for yield components based on BLUP values in

rainfed (RF) environments relative to WS1 (c) and WS2 (d) water stress environments. DBM,

819 above-ground dry biomass; GrW, grain weight per plant; PW, panicle weight per plant; GrN,

grain number per plant; TGrW, thousand-grain weight; DFLo, days to flowering; and PH, plant

821 height; HGrW, hundred grain weight.

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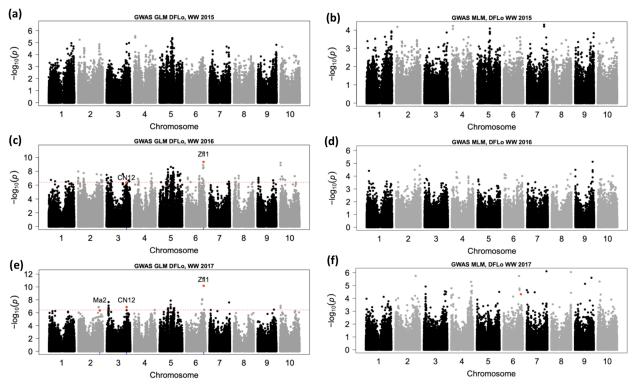


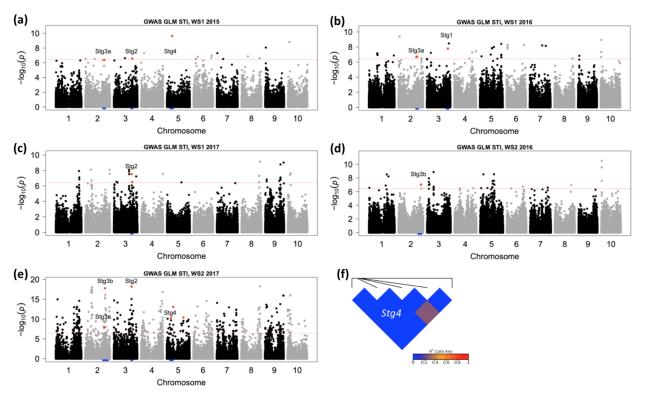


Fig. S3. Genome-wide associations for days to flowering (DFLo) under well-watered

826 **environments over three years**. (a, b) Manhattan plots for days to flowering in 2015 using (a)

general linear model with principal components (GLM+Q) and (b) mixed-linear model (MLM).

- 828 (c, d) Manhattan plots for days to flowering in 2016 using (c) GLM+Q and (d) MLM. (e, f)
- 829 Manhattan plots for days to flowering in 2017 using (e) GLM+Q and (f) MLM. Horizontal
- 830 dashed line indicates the Bonferroni correction at 0.05. Red dots indicate peak SNPs colocalizing
- 831 (based on 150 kb cutoff) with flowering time candidate genes.
- 832



833

834 Fig. S4. Genome-wide associations for stress tolerance index (STI) for grain weight under

835 drought stress conditions. (a-e) Manhattan plots of STI in pre-flowering drought (WS1) of (a) 836 2015, (b) 2016, and (c) 2017 and post-flowering drought (WS2) of (d) 2016 and (e) 2017, based

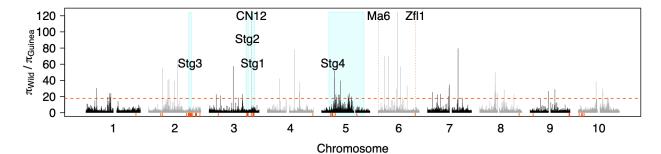
on the general linear model with principal components covariates (GLM+Q). The horizontal red 837

838 dashed line represents the Bonferroni significance threshold at 0.05. Red dots indicate lead SNPs

839 colocalizing within Stg1-4 loci, which are represented by blue rug plots. (f) Heatmap for lead

SNPs, S5 13190947, S5 15215761, S5 15916423, S5 16480120, S5 20251208, S5 52255304 840 at Stg4, associated with STI and drought response for panicle weight (RPW) and represented on

- 842 Table S3.
- 843



844

845 Fig. S5. Reduction of nucleotide diversity in guinea landraces relative to wild sorghums,

based on 100 kb sliding windows. Red dashed horizontal lines indicate the 99 percentile

847 threshold for signatures of selection outliers. Barplots in skyblue indicate the genomic position of

848 the stay-green Stg1-4 loci. Rug-plots in red indicate lead SNPs for putative pleiotropic QTL and

849 lead SNPs within *Stg1*–4 loci associated with drought response variables.

Trait	G (%)	E (%)	G x E (%)	$H^2$	Range	Mean ± SD	CV (%)
DBM (g)	3 <sup>ns</sup>	73***	8***	0.54	6–1311	$138\pm144$	105
PW (g)	4 <sup>ns</sup>	57***	28***	0.46	0–514	$52\pm 46$	88
GrW (g)	4 <sup>ns</sup>	51***	31***	0.44	0–428	$37\pm34$	94
GrN	3 <sup>ns</sup>	50***	24***	0.33	0–17780	$1315\pm1210$	92
TGrW (g)	35***	9***	28***	0.83	0–56	$28\pm8$	29
DFLo	24***	56***	9***	0.91	33–116	$64 \pm 14$	22
PH (cm)	40***	31***	13***	0.92	40–356	$182\pm54$	30

Table S1: Descriptive statistics, variance components, and broad-sense heritability  $(H^2)$  of yield components across all managed water stress environments.

853 \*\*\* Significant at .001 probability level.

ns, non-significant at .05 probability level.

855 DBM, dry biomass per plant; PW, panicle weight per plant; GrW, grain weight per plant; GrN,

grain number per plant; TGrW, thousand-grain weight; DFLo, days to flowering; PH, plant

height; SD, standard deviation; CV, coefficient of variation; G, genotype and E, environment

858 variances;  $H^2$ , broad-sense heritability.

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Lead SNP <sup>a</sup>	<i>P</i> value	MAF	Effect	Trait	Env.	Model	BLUP R <sup>2 b</sup>	BLUP <i>P</i> value <sup>c</sup>
S1_74186408	<10-7	0.03	-106	RPW	WS2_17	MLM	0.11	<10-8
	<10-11		-116	RPW	WS1_17	MLM		
S2_18195896	<10-9	0.02	0.32	STI	WS1_17	GLM, MLM	0.22	<10 <sup>-16</sup>
	<10 <sup>-17</sup>		1.07		WS2_17	GLM, MLM		
S2_20558788	<10-19	0.03	1.50	STI	WS2_17	GLM, MLM	0.18	<10-15
	<10-7		0.33		WS1 17	GLM, MLM		
S2_76213690	<10 <sup>-13</sup>	0.04	-0.73	STI	WS2 17	GLM, MLM	0.16	<10 <sup>-13</sup>
_	<10-9		-0.25		WS1 17	GLM, MLM		
S3_13763609	<10-7	0.02	-110	RPW	WS2_17	MLM	0.12	<10-9
—	<10 <sup>-11</sup>		-145			MLM		
S3 56094063	<10-19	0.02	1.34	STI	WS2_17	GLM, MLM	0.19	<10-16
_	<10-8		0.38		WS1 17	GLM, MLM		
S4_67777846	<10 <sup>-17</sup>	0.03	-1.20	STI	WS2 17	GLM, MLM	0.25	<10-6
	<10-8		-1.19		WS1_16	GLM, MLM		
	<10-8		-0.35		WS1 17	GLM, MLM		
S6 55048997	<10-7	0.17	-0.30	STI	WS2_16	GLM	0.16	<10-13
—	<10-9		-0.38		WS1 16	GLM		
S8 58355080	<10 <sup>-19</sup>	0.02	1.33	STI	WS2 17	GLM, MLM	0.18	<10-16
—	<10 <sup>-10</sup>		0.42		WS1 17	GLM, MLM		
S9 4530433	<10 <sup>-14</sup>	0.02	0.99	STI	WS2 17	GLM	0.17	<10-14
	<10-7		0.31		WS1_17	GLM		
S9 57781496	<10 <sup>-16</sup>	0.02	-0.98	STI	WS2 17	GLM, MLM	0.20	<10-16
	<10-10		-0.31		WS1 17	GLM, MLM		
S9 58763841	<10 <sup>-16</sup>	0.02	1.14	STI	WS2 17	GLM, MLM	0.18	<10-15
	<10-7		0.31		WS1 17	GLM		
S10_1402513	<10-8	0.14	0.59	STI	WS1_16	GLM, MLM	0.14	<10-15
—	<10-11		0.68	STI	WS2 16	GLM, MLM		
S10 4711152	<10-6	0.04	-67	RPW	WS1_15	MLM	0.11	<10-8
	<10-6	-	-69	RGrN	WS1_15	MLM		
S10 6619068	<10 <sup>-13</sup>	0.03	0.90	STI	WS2 17	GLM	0.16	<10 <sup>-13</sup>
_	<10-8		0.31		WS1_17	GLM, MLM	-	
S10 8716926	<10-7	0.02	-0.36	STI	WS1_17	GLM	0.18	<10 <sup>-15</sup>
	<10 <sup>-16</sup>		-1.47	_	WS2 17	GLM, MLM		-

862 **Table S2**: Putative pleiotropic lead SNP associations with reduction of yield components and

stress tolerance index (STI) for grain weight in separate and across water stress environments.

<sup>a</sup> Digit before and after underscore indicates chromosome number and SNP position on the

865 genome, respectively; <sup>b</sup> proportion of phenotypic variation explained based on BLUPs across

866 water stress environments and ADMIXTURE ancestry memberships at K = 8 were used as fixed

867 effect covariate; <sup>c</sup> significance of proportion of phenotypic variation explained; MAF, minor

868 allele frequency; GLM, general linear model with principal components; MLM, mixed-linear

869 model; Env, water stress environments.

871	<b>Table S3</b> : Lead SNP associations within <i>Stg1</i> –4 loci for reduction of yield components and
872	stress tolerance index for grain weight in separate and across water stress environments.

Locus	Lead SNP <sup>a</sup>	P value	MAF	Effect	Trait	Env.	Model	BLUP $R^{2b}$
Stg3a	S2_56682379	<10-7	0.02	-0.6	STI	WS1_16	GLM, MLM	0.16
	S2_59129283	<10-10	0.03	0.9	STI	WS2_17	GLM, MLM	0.13
	S2_59237127	<10-7	0.03	0.6	STI	WS1_16	GLM, MLM	0.13
	S2_60191986	<10-7	0.02	1.3	STI	WS1_15	GLM, MLM	0.12
	S2_60849014	<10-8	0.02	-95	RPW	WS1_17	MLM	0.12
Stg3b	S2_62095163	<10 <sup>-18</sup>	0.02	1.5	STI	WS2_17	GLM, MLM	0.21
	S2_62973945	<10-16	0.02	1.2	STI	WS2_17	GLM, MLM	0.18
	S2_63381610	<10-17	0.02	1.2	STI	WS2_17	GLM, MLM	0.20
	S2_63881780	<10-13	0.02	1.1	STI	WS2_17	GLM, MLM	0.22
	S2_65658140	<10-13	0.02	1	STI	WS2_17	GLM, MLM	0.15
	S2_69575903	<10-5	0.17	-38	RPW	WS1_17	MLM	0.11
	S2_70503173	<10-8	0.04	-0.6	STI	WS2_16	GLM, MLM	0.12
	S2_71386056	<10-5	0.04	12	RDBM	WS1_16	MLM	0.12
Stg2	S3_56094063	<10-19	0.02	1.3	STI	WS1_17, WS2_17	GLM, MLM	0.19
	S3_56515341	<10-7	0.04	17	RGrN	WS1_17	GLM, MLM	0.12
	S3_56946323	<10-5	0.07	9	RDBM	WS1_16	MLM	0.11
	S3_57614567	<10-12	0.02	0.8	STI	WS2_17	GLM	0.16
	S3_57615696	<10-7	0.02	-0.3	STI	WS1_17	GLM	0.16
	S3_58067325	<10-7	0.06	-1	STI	WS1_15	GLM, MLM	0.11
Stg1	S3_62836558	<10-8	0.02	-72	RPW	WS1_17	GLM	0.12
	S3_65137990	<10-10	0.02	-73	RPW	WS1_17	GLM, MLM	0.11
	S3_65430305	<10-7	0.02	96	RPW	WS2_17	MLM	0.11
	S3_66366589	<10-8	0.03	-0.7	STI	WS1_16	GLM, MLM	0.15
	S3_66738018	<10-11	0.03	72	RPW	WS1_17	GLM, MLM	0.11
Stg4	S5_13190947	<10-11	0.03	-0.7	STI	WS2_17	GLM, MLM	0.16
	S5_15215761	<10-8	0.03	-43	RPW	WS1_17	GLM, MLM	0.11
	S5_15916423	<10-8	0.03	-71	RPW	WS1_17	GLM, MLM	0.11
	S5_16480120	<10-10	0.03	-1.2	STI	WS1_15	GLM, MLM	0.16
		<10-14	0.02	-1	STI	WS2_17	GLM, MLM	0.19
	s5 52255304	<10-13	0.03	-1.5	STI	WS2 17	GLM, MLM	0.14

<sup>a</sup> Digit before and after underscore indicates chromosome number and SNP position on the

genome, respectively; <sup>b</sup> proportion of phenotypic variation explained based on BLUPs across

875 water stress environments and ADMIXTURE ancestry memberships at K = 8 that were used as

876 fixed effect covariate; MAF, minor allele frequency; GLM, general linear model; MLM, mixed-

- 877 linear model; Env, water stress environments. SNPs in bold are represented in the allele maps in
- 878 Figure 5.