COVID-eVax, an electroporated plasmid DNA vaccine candidate encoding the SARS-CoV-2 Receptor Binding Domain, elicits protective immune responses in animal models of COVID-19

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Abstract

The COVID-19 pandemic caused by the β-coronavirus SARS-CoV-2 has made the development of safe and effective vaccines a critical global priority. To date, four vaccines have already been approved by European and American authorities for preventing COVID-19 but the development of additional vaccine platforms with improved supply and logistics profiles remains a pressing need. Here we report the preclinical evaluation of a novel COVID-19 vaccine candidate based on the electroporation of engineered, synthetic cDNA encoding a viral antigen in the skeletal muscle, a technology previously utilized for cancer vaccines. We constructed a set of prototype DNA vaccines expressing various forms of the SARS-CoV-2 Spike (S) protein and assessed their immunogenicity in animal models. Among them, COVID-eVax – a DNA plasmid encoding a secreted monomeric form of SARS-CoV-2 S protein RBD – induced the most potent anti-SARS-CoV-2 neutralizing antibody responses (including against the current most common variants of concern) and a robust T cell response. Upon challenge with SARS-CoV-2, immunized K18-hACE2 transgenic mice showed reduced weight loss, improved pulmonary function and significantly lower viral replication in the lungs and brain. COVID-eVax conferred significant protection to ferrets upon SARS-CoV-2 challenge. In summary, this study identifies COVID-eVax as an ideal COVID-19 vaccine candidate suitable for clinical development. Accordingly, a combined phase I-II trial has recently started in Italy.

Keywords

Introduction

At the time of writing, SARS-CoV-2 has spread worldwide causing over 174,930,338 confirmed cases and more than 3,774,965 confirmed deaths.

Since its very beginning, the COVID-19 pandemic has challenged the biomedical research community to urgently find safe and effective vaccines *(1)* and – thanks to public and private support – several such products have been delivered at an unprecedented pace. To date, the regulatory agencies EMA (European Medicines Agency) and FDA (Food and Drug Administration) have authorized the conditional or emergency use of four vaccines against SARS-CoV-2, two based on mRNA (produced by Pfizer and Moderna) and two based on adenoviral vectors (produced by AstraZeneca and Johnson & Johnson). Additional vaccine candidates are under development and a continually updated list is available at https://www.who.int/publications/m/item/draft-landscape-of-covid-19-candidate-vaccines. Most COVID-19 vaccines and vaccine candidates target the SARS-CoV-2 full-length (FL) spike (S) glycoprotein, which mediates attachment and entry of the virus into host cells *(2)*, and employ both traditional and novel vaccine platforms such as inactivated virus, protein-based preparations and virus-vectored and nucleic acid-based formulations *(2, 3)*.

Among the latter, DNA-based platforms show the greatest potential in terms of safety and ease of production *(4)*. Prior work has demonstrated that a DNA-based vaccine approach for SARS- and MERS-CoV induces neutralizing antibody (nAb) responses and provides protection in challenge models *(5, 6)*. Moreover, subjects

immunized with a DNA vaccine encoding the MERS-CoV S protein showed durable

nAbs and T cell responses and a seroconversion rate of 96% *(6)*. The SARS-CoV-2 S

protein is most similar in sequence and structure to SARS-CoV S and shares a global

protein fold architecture with the MERS-CoV S protein *(7)*. Of note, the receptor-binding

site of the S protein is a vulnerable target for antibodies. In fact, anti-MERS antibodies

targeting the receptor-binding domain (RBD) of the S protein tend to have greater

neutralizing potency than those directed to other epitopes *(8)*. More recently, a study by

Piccoli *et al. (9)* showed that depletion of anti-RBD antibodies in convalescent patient

sera results in the loss of more than 90% neutralizing activity towards SARS-CoV-2,

suggesting that the SARS-CoV-2 RBD represents a key target for vaccine development.

Here we describe the development of a DNA-based SARS-CoV-2 vaccine.

Synthetic DNA is temperature-stable and cold-chain free, which are important

advantages over approved RNA and vector vaccines for delivery to resource-limited

settings. Furthermore, synthetic DNA vaccines are amenable to accelerated

developmental timelines due to the relative simplicity by which multiple candidates can

be designed, preclinically tested, manufactured in large quantities and progressed

through established regulatory pathways to the clinic. Injection of DNA plasmid into the

skeletal muscle followed by a short electrical stimulation – referred to as electro-gene-

transfer or electroporation (EP) – enhances DNA uptake and gene expression by

several hundred-fold *(10–12)*, leading to improved antigen expression and a local and

transient tissue damage favoring inflammatory cell recruitment and cytokine production

at the injection site *(13)*.

Exploiting our experience in the generation of vaccines based on the electroporation of plasmid DNA in the skeletal muscle *(12)*, we produced and screened several constructs expressing different portions of the SARS-CoV-2 S protein and identified COVID-*e*Vax – a DNA plasmid encoding a secreted monomeric form of SARS-CoV-2 S protein RBD – as a candidate for further clinical development. COVID-*e*Vax has a favorable safety profile, it induces potent anti-SARS-CoV-2 neutralizing antibody responses (including against the current most common variants of concern [VOCs]) as well as T cell responses, and it confers significant protection to hACE2 transgenic mice and ferrets upon SARS-CoV-2 challenge.

Results

DNA vaccine constructs and immunogenicity.

We designed five different DNA constructs (**Fig. 1A**) encoding the following versions of the SARS-CoV-2 (Wuhan Hu-1, GenBank: MN908947) S protein: 1) the full-length protein (FL); 2) the receptor binding domain (RBD); 3) the highly variable N-terminal domain (NTD) and the RBD domain (N/R); 4) the whole S1 subunit (S1); 5) the RBD fused to a human IgG-Fc (RBD-Fc). To promote protein secretion, we introduced a tissue plasminogen activator (tPA) leader sequence in the RBD, N/R and S1 constructs and an IgK leader sequence in the RBD-Fc construct. Western blot analyses confirmed expression of all constructs in the cell lysates and of S1, N/R and RBD in the culture supernatants (**Fig. 1B** and data not shown).

Electroporation of these DNA vaccines in the skeletal muscle of BALB/c mice was adopted to evaluate immunogenicity. The vaccination protocol consisted in the injection of 20 μg of DNA into both quadriceps (10 μg of DNA in each muscle) into 6-week-old mice (**Fig. 1C**). A DNA plasmid expressing luciferase was used to control gene expression whereas a group of mice injected with DNA but not electroporated served as additional controls (data not shown). Mice received a second vaccination (boost) at day 28 and were sacrificed at day 38 (**Fig. 1C**). The humoral response in the sera of vaccinated mice was evaluated by measuring anti-RBD IgG titers by ELISA at day 14 (prime) and at day 38 (boost) (**Fig. 1D**). At day 14 all mice showed detectable anti-RBD IgG antibodies, and their levels significantly increased at day 38 (**Fig. 1D**). Notably, the most significant increase in antibody response was induced by the secreted RBD

construct (**Fig. 1D**), with a calculated geometric mean of IgG endpoint titers *(14)* as high as 1:24,223 after prime and 1:617,648 after boost. Since these preliminary data showed the RBD construct to be the most immunogenic among the five DNA constructs, RBD was chosen as the main vaccine candidate for further development and was directly compared with the FL construct in subsequent experiments.

Detailed characterization of the humoral immune response elicited by the RBD vaccine candidate

We next sought to characterize the humoral response to the RBD vaccine in depth, focusing on the specificity, duration and neutralization capacity of the elicited antibodies. As per specificity, we carried out a B cell epitope mapping of the response elicited by the FL and RBD vaccines. To this end, a B cell ELISpot assay was performed by stimulating splenocytes collected from vaccinated BALB/c mice with 338 peptides covering the whole SARS-CoV-2 Spike protein. Sequences of positive hits (**Table S1**) were then mapped on the three-dimensional structure of the Spike protein, hence outlining the epitope domains (**Fig. 2A**). Mice immunized with the RBD vaccine showed responses mapping mainly on conserved regions of the RBD, and not on regions most commonly affected by mutations in the current circulating VOCs (e.g., N501K, K417N, S477, E484K and L452, **Fig. 2A**). Despite the caveat that the abovementioned analysis detects linear and not conformational epitopes, this suggests that antibodies elicited by the RBD vaccine might be functional against the current most commonly circulating SARS-CoV-2 variants.

SARS-CoV-2-specific antibodies elicited by both the FL and the RBD vaccine were present not only in the sera but also in the lungs of vaccinated mice, as shown by analysis of bronchoalveolar lavages (BALs) of mice 38 days after vaccination (**Fig. 2B**).

We next sought to analyze whether the RBD-specific antibodies induced by the RBD vaccine were able to neutralize SARS-CoV-2. To this end, we initially used a pseudovirus-based neutralization assay with a luminescence readout. Balb/C mice receiving two doses of vaccination (see **Fig. 1C** for experimental setup) were assessed for neutralizing antibody titers in their serum at day 38. The RBD vaccine was able to induce neutralizing antibodies with NT_{50} values of 148.3-302.1 (mean ± SD = 221.72 ± 59.9) (**Fig. 2C**). The neutralization capacity of antibodies induced by the RBD vaccine was confirmed in neutralization assays with infectious SARS-CoV-2 and Vero E6 cells, with NT_{50} at day 38 of 894 ± 249 (**Fig. 2D**). A dose-response experiment indicated that the neutralizing antibody titers plateaued at an RBD vaccine dose of 10 μg (**Fig. 2E**). Finally, total anti-RBD IgG antibodies persisted at high levels up to 6 months after vaccinations (**Fig. 2F**).

Analysis of T cell responses elicited by the RBD vaccine candidate.

Next we sought to evaluate the T cell response elicited by the RBD vaccine. To this end, we used peptide pools covering the S1 and S2 portions of the Spike protein (pools S1 and S2, respectively) to stimulate splenocytes collected from BALB/c mice at day 38 after vaccination (see **Fig. 1C** for experimental setup). IFN-γ released by T cells upon peptide re-stimulation was evaluated by ELISpot assay. As expected, in the group

vaccinated with the RBD vaccine, we measured only T cell responses against pool S1 (that spans the RBD), whereas in the group vaccinated with the FL construct, we measured T cell responses against both pools S1 and S2 (data not shown). In order to reveal immunodominant epitopes eliciting the T cell response, we performed epitope

5   mapping using thirty-seven matrix mapping pools, covering the entire sequence of the Spike protein (data not shown), or twenty-four matrix pools, covering the RBD alone (**Table S2** and **Fig. S1A**). Most of the H2-K^d-restricted immunodominant epitopes were clustering in the RBD (**Fig. S1B**). Cytokine production by antigen-specific T cells was also evaluated by intracellular staining of splenocytes collected from vaccinated BALB/C

10   mice and restimulated with pool S1 (**Fig. 2G**). Compared to FL, the RBD vaccine induced the highest frequency of CD8$^+$ and CD4+ T cells producing either IFN-γ or TNF-α (**Fig. 2G**).

To measure the potential recruitment of RBD-specific T-cells to the lungs, 20 μg of the RBD protein was injected intranasally in a group of vaccinated BALB/c mice two weeks

15   after the second immunization and IFN-γ production from lymphocytes recovered from bronchoalveolar lavages (BAL) was measured by ELISpot assay one day later (**Fig. 2H**). Mice vaccinated with RBD showed a higher recruitment of RBD-specific T cells than mice vaccinated with the FL vaccine (**Fig. 2H**). A dose-response experiment conducted in C57Bl/6 mice showed an even stronger specific T cell response than in

20   BALB/c mice and a clear dose-dependency (**Fig. S2A**). A nonlinear fitting analysis of the curve (after pool S1 stimulation) revealed an ED50 of 2.06 ± 0.86 μg (**Fig. S2B**). Cytokine analyses in vaccinated C57BL/6 mice revealed a Th1-skewed immune

response and virtually absent Th2 and Th17 responses, independently of the sex and age of the mice (**Fig. S2C** and data not shown).

Safety and immunogenicity of the RBD vaccine candidate in rats.

We next evaluated the safety and immunogenicity of the RBD vaccine candidate in rats, an animal model highly suited for toxicological studies. Seven-week-old female Sprague-Dawley rats were injected intramuscularly with PBS or 100, 200 or 400 μg of the RBD vaccine (divided equally in the two quadriceps) followed by EP at day 0 and day 14 (**Fig. 3A**). The immunizations were well tolerated, with only mild to moderate lesions *(15)* at the injection site that were almost fully recovered within four weeks (**Fig. S3**), and an increased cellularity in the draining lymph nodes (data not shown).

Quantification of the RBD-specific antibody titers showed a robust and dose-dependent antibody production, with ELISA endpoint titers up to 152,991 for the highest dose (**Fig. 3B, C**). Immunization with the RBD vaccine induced high neutralizing antibody titers (**Fig. 3D**) which correlated with the total IgG endpoint titers (**Fig. 3E**). Finally, sera from Sprague-Dawley rats that were immunized with 400 μg of the RBD vaccine at day 0 and 14, or that received a third dose at day 28 were assessed for neutralizing activity against three major SARS-CoV-2 variants utilizing a lentiviral pseudotype assay (**Fig. 3F, G**). Remarkably, all three SARS-CoV-2 variants (i.e., B.1.1.7, B.1.351 and P.1) were neutralized by the rat serum with ND_{50} titers comparable to that obtained for the original D614G strain (WT). Observed ND_{50} values for WT, B.1.1.7, B.1.351 and P.1 variants (mean $\pm$ S.E.M.) were 4087$\pm$1979, 2481$\pm$113,

3131±810 and 3868± 1047 (prime/boost; **Fig. 3F**) or 11780±2605, 9689±2026,

10055±2426 and 10824±2655 (prime/boost/boost; **Fig. 3G**).

The RBD vaccine candidate elicits protective immune responses in K18-hACE2 transgenic mice and in ferrets.

To explore the *in vivo* protection efficacy of RBD vaccine against SARS-CoV-2 challenge, K18-hACE2 transgenic mice *(16)* received two intramuscular immunizations (at day -39 and at day -18) of 10 µg of the RBD vaccine ($n = 7$) or PBS ($n = 6$) followed by EP (**Fig. 4A**). Pre-challenge sera collected 1 day prior to SARS-CoV-2 infection showed that the RBD vaccine induced robust RBD-specific IgG antibodies (average concentration of ~ 50 µg/ml, **Fig. 4B**). Eighteen days after the boost immunization, all mice were infected intranasally with 1×10^5 TCID$_{50}$ of SARS-CoV-2 (hCoV-19/Italy/LOM-UniSR-1/2020; GISAID Accession ID: EPI_ISL_413489) (**Fig. 4A**). As expected *(17)*, beginning 3-4 days post infection (p.i.) PBS-treated K18-hACE2 transgenic mice infected with SARS-CoV-2 exhibited a weight loss close to 20% of their body weight and a lethargic behavior (**Fig. 4C** and data not shown). By contrast, K18-hACE2 transgenic mice immunized with RBD vaccine maintained stable body weight upon SARS-CoV-2 challenge and appeared more active (**Fig. 4C** and data not shown). We used whole-body plethysmography to evaluate several complementary metrics of pulmonary function, obstruction and bronchoconstriction, including frequency, enhanced pause (PenH), and the fraction of expiration time at which the peak occurs (Rpef) *(18, 19)*. PBS-treated mice infected with SARS-CoV-2 exhibited a decreased respiratory rate

(**Fig. 4D**), an increased PenH (**Fig. 4E**) and a decreased Rpef (**Fig. 4F**), indicative of pronounced loss of pulmonary function. By contrast, K18-hACE2 transgenic mice immunized with the RBD vaccine prior to infection maintained a relatively stable respiratory rate (**Fig. 4D**), had a much lower PenH (**Fig. 4E**) and a higher Rpef (**Fig. 4F**), indicative of better pulmonary function. Much higher amounts of viral RNA, infectious SARS-CoV-2 and viral N protein were detected in the lungs and brain of PBS-treated mice compared to mice immunized with the RBD vaccine (**Fig. 4G-L**). The lower viral titers in the lungs of immunized mice were associated with the detection of RBD-specific CD4$^+$ T cells producing IFN-γ, TNF-α or both as well as RBD-specific IFN-γ-producing CD8$^+$ T cells (**Fig. 4M**).

Besides inducing potent adaptive immune responses, the protection induced by the RBD vaccine might lie in the competitive inhibition of SARS-CoV-2 binding to ACE2 by the secreted RBD. Indeed, RBD is detectable in the sera and in the BAL of immunized BALB/c mice as early as 2 days after immunization (**Fig. S4A-C**). To test whether this secreted RBD would compete with SARS-CoV-2 for ACE2 binding, we immunized K18-hACE2 transgenic mice with the RBD vaccine 2 days prior to intranasal inoculation with a luciferase-encoding lentiviral vector pseudotyped with the SARS-CoV-2 Spike protein. Two days later the lungs of treated mice were assessed for bioluminescence using an *in vivo* imaging system (IVIS) (**Fig. S4D**). As shown in **Fig. S4E**, compared to mice injected with PBS, mice immunized with the RBD vaccine exhibited a reduced bioluminescence, indicative of a significantly lower *in vivo*

transduction. Further experiments should determine the extent to which the

abovementioned mechanism confers protection by the RBD vaccine.

To confirm the immunogenicity and protective efficacy of the RBD vaccine

against SARS-CoV-2 infection in a different and larger animal model, sixteen female

5　　　　ferrets weighing over 750 g were either left untreated (control) or injected with 400 μg of

the RBD vaccine followed by electroporation 42 and 14 days prior to intranasal infection

with 5 x 10^6 pfu of SARS-CoV-2 isolate Victoria/1/2020 (**Fig. 5A**). Compared to control

animals, viral subgenomic RNA detected in nasal washes and throat swabs at day 7

post challenge in immunized ferrets were significantly reduced (**Fig. 5B, C**).

10　　　　Together, the results obtained in two distinct animal models of SARS-CoV-2

infection indicate that the RBD vaccine induces protective immune responses.

Discussion

DNA-based vaccines are engineered for maximal gene expression and immunogenicity, they can be quickly designed from new genetic viral sequences, and they allow for fast and scalable manufacturing as well as long-term stability at room temperature. Moreover, DNA vaccines do not require complex formulations such as those based on nanoparticles (necessary for peptide- or RNA-based vaccines). An efficient DNA uptake can be obtained with different methods *(20)*. Among others, electroporation (EP) increases the initial uptake of DNA plasmid by local cells by approximately 500-fold *(20–22)*. Here, we adopted an EP technology manufactured by IGEA, an Italian company leader in tissue EP, and extensively tested both in mice and other animal species *(12, 23–26)*. This platform technology has been referred to as X-*e*Vax, where X represents the antigen (or the disease).

Multiple studies have reported that DNA vaccines allow for the generation of cellular and humoral responses against pathogens, making these platforms ideal for rapid vaccine development against emerging infectious diseases *(27)*. Among these, three DNA vaccines targeting coronavirus S protein have already been tested in humans. The first candidate DNA vaccine expressing SARS-CoV S protein was VRC-SRSDNS015-00-VP and was tested in 10 healthy adults, aged 21 to 49 years, in 2004 and 2005 *(28)*. This vaccine was administered intramuscularly at a dose of 4 mg by a Biojector needle-free device and proved to be safe and immunogenic. Another candidate DNA vaccine expressing MERS S protein was GLS-5300, evaluated in 75 healthy subjects, aged 19 to 50 years, in 2016 *(6)*. GLS-5300 DNA vaccine was also

administered intramuscularly followed by EP, in a dose escalation trial at 0.67, 2 or 6 mg. Overall, the vaccine was safe and immunogenic, as assessed by seroconversion and vaccine-induced T cell responses in most vaccine recipients *(6)*. The third candidate DNA vaccine, INO-4800, expressing the SARS-CoV-2 S protein, has been

5   evaluated in 40 healthy subjects, aged 18 to 50 years, at doses of 1 or 2 mg. Administration by intradermal injection followed by EP using Inovio's Cellectra® device has proven generally safe and immunogenic as assessed by humoral and cellular immune responses *(29)*. INO-4800 is currently investigated in a Phase 2/3 clinical trial (NCT04642638).

10   The RBD vaccine candidate reported here, referred to hereafter as COVID-*e*Vax, was selected among 5 different candidates, encoding either the full-length S protein, or portions of it or engineered versions. Preclinical results have shown that all versions were capable of generating antibodies against the RBD region, known to be very conserved among emerging SARS-CoV-2 variants *(30)*. In particular, the construct

15   encoding for RBD domain induced the greatest antibody titer. Safety and immunogenicity of COVID-*e*Vax was demonstrated in mice and rats. Antibodies binding to the RBD domain of SARS-CoV-2 were detected not only in sera but also in the lungs of vaccinated mice and their functionality was assessed through neutralization of both wild-type virus and pseudovirus and by competitive inhibition of SARS-CoV-2 S protein

20   binding to the ACE2 receptor in the presence of sera bled from immunized mice (data not shown). Besides the humoral response, a consistent T cell response, thought to contribute to preventing severe forms of COVID-19 in humans *(31)*, was elicited by

prime-boost vaccination schedule of COVID-*e*Vax. Moreover, since COVID-*e*Vax targets a small region within the Spike protein, i.e. the RBD, the risk of inducing an antibody-dependent enhancement (ADE) should be minimal.

The protective role played by the anti-RBD humoral and T cell response was demonstrated in both K18-hACE2 transgenic mice as well as in ferrets. Besides inducing potent adaptive immune responses, a potential additional mechanism of action of COVID-*e*Vax might be due to the competitive inhibition of SARS-CoV-2 binding to ACE2 by the secreted RBD. This is an intriguing potential mechanism that awaits confirmation and further characterization.

COVID-*e*Vax is currently being evaluated in the COV-1/2-01 Phase I/II study (EudraCT 2020-003734-20) in four clinical sites in Italy. The trial is aimed at assessing the safety and immunogenicity of COVID-*e*Vax in healthy subjects of both genders, 18 to 65 years of age. In the Phase I Dose Escalation part, COVID-*e*Vax is administered at 3 escalating doses (20 subjects/cohort), in a prime-boost setting (4 weeks apart), from 0.5 mg/dose to 2 mg/dose. In addition, a cohort in a single 2 mg dose schedule will also be tested. The vaccine is administered by the intramuscular route followed by EP using the IGEA new ElectroPoration System (EPSGun), and the EGT technology for pulse generation (Cliniporator®) commercially available in EU. In both phases, subjects will be followed up for a total duration of 6 months after the first vaccination.

In summary, COVID-*e*Vax is a highly efficient vaccination platform capable of inducing robust, protective neutralizing antibody and T cell responses in a variety of animal models. COVID-*e*Vax can be administered multiple times, without the risk of

inducing antibody responses to the vaccine itself, which may happen in the case of virus-based vector vaccines. We believe that the DNA vaccination platform described here offers unique advantages over other candidate vaccines, such as rapid manufacturing in response to sequence mutations (compared to protein- or viral vector-

5　based vaccines), and greater stability at room temperature (compared to RNA-based platforms). With an increasing number of people having been immunized against SARS-CoV-2 with an RNA-, adenovirus-, or protein-based vaccine, COVID-*e*Vax might be also considered as an additional platform for booster immunizations to extend the duration of protective immunity.

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Author contributions

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Competing interests

A.C. and M.M. are Evvivax employees. E.M., F.P., G.R., A.M., L.L., L.L., E.S., M.C., F.F.F., E.D., V.C., and L.A. are Takis employees. G.C. and L.R. are Rottapharm Biotech employees. Takis and Rottapharm Biotech are jointly developing COVID-*e*Vax. M.I. participates in advisory boards/consultancies for or receives funding from Gilead Sciences, Roche, Third Rock Ventures, Amgen, Allovir, Asher Bio. L.G.G is a member of the board of directors at Genenta Science and Epsilon Bio and participates in advisory boards/consultancies for Gilead Sciences, Roche, and Arbutus Biopharma.

Data and materials availability

All data are available in the main text or the supplementary material.

Figure legends

Figure 1. ***DNA vaccine constructs and immunogenicity.*** **(A)** Schematic representation of SARS-Cov-2 DNA vaccine construct candidates, encoding 1) the full-length protein (FL); 2) the receptor binding domain (RBD); 3) the highly variable N-terminal domain (NTD) and the RBD domain (N/R); 4) the whole S1 subunit (S1); 5) the RBD fused to a human IgG-Fc (RBD-Fc). The RBD, N/R and S1 constructs include a tPA leader sequence at the N-terminus, whereas the RBD-Fc construct contains a IgK leader sequence. **(B)** Western blot analysis of SARS-CoV-2 DNA vaccine constructs after transfection in HEK293 cells. 48 hours after transfection, both cell lysates and supernatants were resolved on a gel and blotted with a polyclonal SARS-CoV Spike S1 Subunit antibody. Cells transfected with empty plasmid vector were used as negative control (control). **(C)** Schematic representation of the experimental setup. Each DNA construct was injected intramuscularly (20 μg total, 10 μg each quadriceps) into 30 BALB/c mice at day 0 (prime) and day 28 (boost). Intramuscular injection was followed by electroporation (EP). Mice were euthanized and analyzed at day 38. **(D)** Sera of vaccinated BALB/c mice were collected at day 14 (only prime) and day 38 (prime-boost) and anti-RBD IgG levels were measured through ELISA.

* p value < 0.05, *** p value < 0.001

Figure 2. ***Characterization of the immune response elicited by the RBD vaccine candidate.*** **(A)** Antibody linear epitopes mapped onto the structure of the FL Spike protein. Each domain of the FL protein (NTD, RBD, furin cleavage, FP-fusion peptide

and S2) is outlined with a different color (left panel) while the linear epitopes are shown as gold spheres within the Spike domains used for immunization (center and right panels). Colored residues on RBD domain represent the most common mutations present in currently circulating viral variants of concerns (VOCs) (N501Y, E484K/Q, S477N, L452R and K417N/T). **(B)** Anti-RBD IgG levels measured in bronchoalveolar lavage (BAL) of FL and RBD-vaccinated BALB/c mice at day 38. **(C)** Neutralizing activity in sera collected from FL- and RBD-vaccinated BALB/c mice at day 38 (prime-boost). Neutralization was assessed using a pseudotyped viral particle test based on vesicular stomatitis virus (CoV-2S-PPs) carrying SARS-CoV-2 spike, encoding eGFP + Fluc as a reporter and with a luminescence readout. **(D)** Neutralizing antibody titers in sera collected from RBD-vaccinated BALB/c mice at day 14 (prime) and day 38 (boost), measured through a neutralization assay with infectious SARS-CoV-2-and Vero cells. **(E)** Neutralizing antibody titers in sera collected at day 38 from C57BL/6 mice vaccinated with increasing doses of RBD vaccine (5-10-20 μg). **(F)** Serum anti-RBD IgG levels measured over time in sera of RBD-vaccinated C57BL/6 mice (prime-boost regimen) up to 6 months starting from prime. **(G)** T cell immune response (IFN-γ^+ and TNF-α^+) in CD8$^+$ and CD4$^+$ cells measured by intracellular staining of splenocytes collected from FL- and RBD-vaccinated BALB/c mice at day 38 and restimulated with pool S1 peptides. **(H)** IFN-γ-producing T cells measured by ELISpot assay performed on BALs collected from BALB/c mice vaccinated with FL and RBD, intranasally challenged with 20 μg RBD protein at day 42 and culled the day after.

* p value < 0.05

Figure 3. *Immunogenicity of the RBD vaccine in rats.* **(A)** Schematic representation of the experimental setup. Sixteen Sprague-Dawley rats received two doses of RBD vaccine (day 0 and day 14) via intramuscular injection followed by EP. **(B)** Total IgG endpoint titer measured by ELISA assay performed on sera collected at day 14 (prime) from rats vaccinated with increasing doses of RBD (100-200-400 μg). **(C)** Total IgG endpoint titer measured by ELISA assay performed on sera collected at day 21 (prime-boost) from rats vaccinated with increasing doses of RBD vaccine (100-200-400 μg). **(D)** Neutralizing antibody titer (IC_{50}) of sera collected from rats vaccinated with increasing doses of RBD vaccine (100-200-400 μg) against SARS-CoV-2 infection in VERO cells. **(E)** Correlation between total IgG endpoint titers and neutralizing antibody IC_{50} values. **(F)** Neutralizing antibody titers measured against three major SARS-CoV-2 variants (i.e. B.1.1.7, B.1.351 and P.1) in plasma collected at sacrifice from rats vaccinated with 400 μg of the RBD vaccine (two dose vaccination regimen, day 0 and day 14). **(G)** Neutralizing antibody titers measured against three major SARS-CoV-2 variants in plasma collected at sacrifice from rats vaccinated with 400 μg of the RBD vaccine (three dose vaccination regimen, day 0-14-28).

Figure 4. *In vivo protection efficacy of RBD vaccine against SARS-CoV-2 virus challenge in hACE2 transgenic mice.* **(A)** Schematic representation of the experimental setup. K18-hACE2 (C57BL/6) mice received two immunizations (day -39, day -18) of 10 μg RBD vaccine ($n = 7$) or PBS ($n = 6$) via intramuscular injection followed by EP before intranasal challenge with 1×10^5 $TCID_{50}$ of SARS-CoV-2. Lung

and brain were collected and analyzed five days after SARS-CoV-2 infection. **(B)** Serum

anti-RBD IgG levels of RBD vaccine or PBS challenged mice detected by ELISA assay;

sera were collected right before SARS-CoV-2 infection. **(C)** Mouse body weights were

monitored daily for up to 5 days. PBS-treated mice showed a rapid body weight

decrease from day 4, instead RBD vaccine-challenged mice demonstrated normal

statuses. Data are represented as mean ± SEM. **(D-F)** Whole-body plethysmography

assessing pulmonary function for Frequency **(D)**, Penh **(E)** and Rpef **(F)**. Calculated

respiratory values were averaged over the 15 min data collection period, data are mean

± SEM. **(G)** SARS-CoV-2 RNA in the lung was quantified by quantitative PCR with

reverse transcription (RT–qPCR) 5 days after infection. **(H)** Viral titers in the lung 5 days

after infection were determined by median tissue culture infectious dose (TCID$_{50}$). **(I)**

Representative confocal immunofluorescence micrographs of lung sections from PBS-

treated mice (left) or RBD-treated mice (right) 5 days after SARS-CoV-2 infection. N-

SARS-CoV-2 positive cells are depicted in red and nuclei in blue. Scale bars represent

30 µm. Right panel, quantification of N-SARS-CoV-2 signal, each dot represents a

different section. **(J)** SARS-CoV-2 RNA in the brain was quantified by quantitative PCR

with reverse transcription (RT–qPCR) 5 days after infection. **(K)** Viral titers in the brain 5

days after infection were determined by median tissue culture infectious dose (TCID$_{50}$).

(L) Representative immunohistochemical micrographs of brain sections from PBS-

treated mice (top) or RBD-treated mice (bottom) 5 days after SARS-CoV-2 infection. N-

SARS-CoV-2 expression is shown in brown. Scale bars, 300 µm. Right panel,

quantification of N-SARS-CoV-2 signal, each dot represents a mouse. **(M)** Absolute

numbers of CD4$^+$ T cells producing IFN-γ, TNF-α or both and of CD8$^+$ T cells producing

IFN-γ in the lung of the indicated mice five days after SARS-CoV-2 infection.

* p value < 0.05, ** p value < 0.01, **** p value < 0.0001

Figure 5. *Evaluation of RBD vaccine efficacy in a ferret infection model.* (A)

Schematic representation of the experimental setup. Female ferrets (8 animals / group)

were either left untreated (control) or received two immunizations (day -42, day -14) of

400 µg RBD vaccine via intramuscular injection followed by electroporation before

intranasal challenge with 5x10^6 PFU/ml of SARS-CoV-2. Four animals from each group

were euthanized at each timepoint (3 and 7 days post challenge). Nasal wash and

throat swab samples taken from animals throughout the challenge phase of the study

were analyzed for the presence of viral RNA, whereas nasal cavities, tonsils, trachea

and lungs were collected from animals post-mortem (at day 7). **(B)** Viral RNA detected

in nasal wash from the control group or the vaccinated group following challenge.

Results below the lower limit of detection (LLOD) have been assigned a value of 1157

copies/ml, and results between the LLOD and lower limit of quantification (LLOQ) have

been assigned a value of 6429 copies/ml. **(C)** Viral RNA detected in throat swabs from

the control group or the vaccinated group following challenge.

* p value < 0.05

Supplementary Figure Legends

Supplementary Figure 1. *T cell epitope mapping in RBD vaccinated mice.* **(A)**
IFNγ⁺ T cell response measured by ELISpot assay on splenocytes collected from RBD-vaccinated BALB/c mice, following stimulation with matrix mapping RBD peptide pools.
(B) Schematic representation of the SARS-CoV-2 Spike protein and identification of immunodominant peptides in BALB/c mice.

Supplementary Figure 2. *RBD-specific immune response in RBD vaccinated C57Bl/6 mouse model.* **(A)** IFN-γ⁺ T cell response measured by ELISpot assay on splenocytes collected at day 38 from C57BL/6 mice vaccinated with increasing doses of RBD vaccine (from 0.1 to 20 μg, administered in one or two sites) and restimulated with Spike peptide pools S1 and S2. **(B)** Non-linear fitting curve of the dose-response against RBD pool S1 peptides, measured by means of ELISpot assay performed on splenocytes from RBD-vaccinated C57BL/6 mice. **(C)** T cell characterization by intracellular staining on PBMCs collected from males and females vaccinated mice (administered dose: 5μg/1 leg).

Supplementary Figure 3. *Histopathological evaluation of electroporated tissues in rat model.* **(A)** Histological section of the left injection site in a 400 μg RBD-vaccinated rat performed two days after the third and last DNA injection (i.e. day 30). Arrows indicate the necrosis of muscle fibers, surrounded by inflammatory reaction (i.e., polymorphonuclear cells, mixed mononuclear cell infiltration, predominantly

macrophages). The cavities surrounded by the necrotic carbonized muscle fibers are suggested to be related to the electroporation procedure. The lesions were mostly scored as mild to moderate and were similar in all the groups. **(B)** Histological section of the left injection site in a 400 μg RBD vaccinated rat performed 4 weeks after third and last DNA injection (i.e. day 57). Arrows indicate brownish pigmented muscle fibers, probably related to a minimal chronic inflammation due to electroporation procedure. This image demonstrates a complete recovery of the injection site lesions at this stage, in comparison to (A).

Supplementary Figure 4. *Assessment of secreted RBD in RBD vaccinated mice.* **(A)** Schematic representation of the experimental setup. BALB/c mice were vaccinated with 20 µg of RBD vaccine, with or without electroporation, and 48 hours later the secretion of RBD protein was assessed in sera and BALs. **(B)** Measurement of secreted RBD protein in sera from control mice and RBD vaccinated mice, with or without EP. **(C)** Measurement of secreted RBD protein in BALs from same groups of mice as in (B). **(D)** Schematic representation of the experimental setup. K18-hACE2 mice were vaccinated with 20 µg of RBD vaccine and 2 days later a lentiviral vector pseudotyped with the SARS-CoV-2 spike protein and encoding for luciferase RBD protein was intranasally administered. Two days later the lungs of treated mice were assessed for bioluminescence using an in vivo imaging system. **(E)** Comparison of bioluminescence assessed by means of in vivo imaging system in control K18-hACE2 mice and K18-hACE2 RBD vaccinated mice. * p value < 0.05

Supplementary Table Legends

Table S1. *List of immunodominant B epitopes.*

5   **Table S2**. *Scheme of RBD peptide pool matrix.*

Materials and Methods

Synthetic genes and constructs

The synthesis and codon optimization analysis of a cDNA encoding the SARS-CoV-2 protein S has been performed at Genscript (China)**.** All constructs were

5   completely synthetic and optimized for codon usage. Codon-optimized variants took into account codon usage bias, GC content, CpG dinucleotides content, mRNA secondary structure, cryptic splicing sites, premature PolyA sites, internal chi sites and ribosomal binding sites, negative CpG islands, RNA instability motif (ARE), repeat sequences (direct repeat, reverse repeat, and Dyad repeat) and restriction sites that may interfere

10   with cloning. In addition, to improve translational initiation and performance, Kozak and Shine-Dalgarno Sequences were inserted into the synthetic genes. To increase the efficiency of translational termination, two consecutive stop codons were inserted at the end of cDNAs. The codon usage bias in Human was increased by upgrading the Codon Adaptation Index (CAI) to 0.94. GC content and unfavorable peaks have been optimized

15   to prolong the half-life of the mRNA. The Stem-Loop structures, which impact ribosomal binding and stability of mRNA, were broken. In addition, the optimization process screened and successfully modified those negative cis-acting sites. For the construction of RBD, N/R and S1 constructs, the cDNA corresponding to each region was amplified via PCR by using sequence-specific primers and directionally cloned into the linearized

20   pTK1A-TPA vector by enzymatic restriction PacI/NotI. FL expression vector was generated by In-fusion Cloning System (Takara), amplifying the cDNA by using specific

primers overlapping both the synthetic gene and the acceptor empty vector pTK1A. The

FL construct was then cloned into the BglII restriction site of pTK1A.

Transient expression of recombinant SARS-CoV-2 Spike proteins and Western Blotting

HEK293 cells were transiently transfected with SARS-CoV-2-S fragments

expression vectors using Lipofectamine 2000 Transfection reagent (Thermo Fisher

Scientific). Two days later, the supernatants were collected and concentrated by

Amicon Ultra Centrifugal filters (Sigma) and cells were pelleted and lysed in RIPA buffer

(Thermo Fisher Scientific). Cell lysates and supernatants were separated by SDS-

PAGE and transferred to nitrocellulose membranes. Immunoblotting was performed by

using SARS-CoV2 Spike S1 Subunit primary Antibody (Sino Biological) diluted 1:1000

in 5% milk - 0,05% PBS-Tween20. Chemiluminescence detection was performed by

using the ECL™ Prime Western Blotting System (Cytiva, Merck) and acquired by

ChemiDoc Imaging System (Bio-Rad).

Recombinant proteins and peptides

RBD-Fc and RBD-6xHis proteins were produced by transient transfection of Expi293F

high-density cells with ExpiFectamine 293 Lipid Cation Transfection Reagent (Thermo

Fisher) according to the manufacturer's instructions. The supernatant containing the

proteins was collected one week later and subjected to clarification by centrifugation

and filtration for the subsequent purification steps. The RBD-Fc protein was purified by

affinity chromatography with the AktaPure system with a protein A column

(TOYOSCREEN AF-RPROTEIN A HC-650F; Tosoh Bioscience). Briefly, the column was equilibrated with binding buffer (Buffer Phosphate 0.1M pH8) and loaded with the supernatant diluted 1:1 in the same buffer. After washing the column, the protein was recovered by acid elution in 0.1M pH3 citrate buffer, neutralized in Tris-HCl pH9 and subjected to dialysis in PBS1X with slide-A-lyzer (Thermo Fisher) as indicated in the product datasheet. The RBD-6xHIS protein was purified by affinity chromatography of His Tag residues for metals immobilized on the AktaPure system with HisPur ™ Ni-NTA Chromatography Cartridges (Thermo Fisher) column according to the manufacturer's instructions. Briefly, the column was equilibrated in 5mM PBS1X / Imidazole and loaded with the supernatant diluted 1:1 in the same buffer. After washing, the protein was eluted with PBS1X / Imidazole 0.3M, pH 7.4 and dialyzed in PBS1X with slide-A-lyzers (Thermo Fisher) as indicated in the product datasheet. Once recovered from dialysis, the RBD-Fc and RBD-6xHis proteins were quantified on the spectrophotometer by absorbance at 280nm. Protein purity was evaluated by SDS-PAGE and Western Blot analysis, carried out both in reduced and non-reduced conditions and by standard methods. Lyophilized S protein peptides were purchased from JPT (Berlin, Germany) and resuspended in DMSO at 40 mg/ml. Pools of peptides of 15 aa overlapping by 11 residues were assembled in two pools: pool S1 (residue 1 to 635) and pool S2 (residue 625 to 1273). Peptides and pools were stored at -80°C.

Production of SARS-CoV2S-pseudoparticles based on VSV

Production of viral pseudoparticles based on vesicular stomatitis virus (VSV) bearing SARS-CoV-2 Spike were produced as previously described *(32)*. In brief, HEK293T cells were seeded and the next day, transfected with 44 µg plasmid encoding SARS-CoV-2 Spike (pCG1-SARS-2-S, Wuhan Hu-1) using Transit LT-1 (Mirus). The next day, medium was removed, 15 ml fresh medium and then VSV(Fluc_eGFP)-VSV-G added to deliver the defective viral reporter genome (ΔVSV-G, kindly provided by Gert Zimmer, Institute of Virology and Immunology, Mittelhäusern, Switzerland) *(33)*. After two hours, inoculum was removed, cells washed and fresh medium added. Pseudoparticles were harvested after 16-24 hours: the supernatant was centrifuged at 1200 rpm 5 min to pellet debris, the supernatant of anti-VSV-G expressing hybridoma cells (Anti-VSV-G antibody (I1, produced from CRL-2700 mouse hybridoma cells, ATCC) added to virus stocks at 1:10 (v/v) to block residual VSV-G containing particles. Supernatants were then concentrated by Vivaspin 20 100 kDa Ultrafiltration devices, immediately aliquoted and frozen at -80°C until use.

Production of SARS-CoV2S-pseudoparticles based on lentiviral vectors

To generate SARS-CoV-2 lentiviral pseudotype particles, HEK-293TN (System Bioscience) cells were plated in 15-cm dish complete DMEM medium. The following day, 32 µg of reporter plasmid pLenti CMV-GFP-TAV2A-LUC Hygro, 12.5 µg of pMDLg/pRRE (Addgene #12251), 6.25 µg of pRSV-Rev (Addgene #12253), and 9 µg pcDNA3.1_ Spike_del19 were cotransfected following a calcium phosphate transfection.

pcDNA3.1_ Spike_del19 (Addgene #155297) was generated by deletion of last 19aa of Spike starting from pcDNA3.1-SARS2-Spike (a gift from Fang Li, Addgene plasmid # 145032). pLenti CMV-GFP-TAV2A-LUC Hygro was generated from pLenti CMV GFP Hygro (Addgene #17446) by addition of T2A-Luciferase by PCR cloning. 12h after transfection, the medium was replaced with complete ISCOVE. 30 h after transfection, the supernatant was collected, clarified by filtration (0.45-μm pore-size) and concentrated by centrifugation for 2h at 20000 rpm. Viral pseudoparticle suspensions were aliquoted and stored at –80°C.

Animals

BALB/c (H-2^d) and C57Bl/6 mice (H-2^b) were purchased from Envigo (Italy). B6.Cg-Tg(K18-ACE2)$^{2Prlmn/}$J mice were purchased from The Jackson Laboratory. Mice were housed under specific pathogen-free conditions and heterozygous mice were used at 6-10 weeks of age. All experimental animal procedures were approved by the Institutional Animal Committee of the San Raffaele Scientific Institute and all infectious work was performed in designed BSL-3 workspaces.

Sixteen, 7-week-old female Sprague-Dawley rats, with a body weight range of 140-155 grams, were purchased from Envigo (Italy).

Sixteen 7-month-old female ferrets (*Mustela putorius furo*) were obtained from a UK Home Office accredited supplier (Highgate Farm, UK). Animals were housed in pairs at Advisory Committee on Dangerous Pathogens (ACDP) containment level 3. Cages met with the UK Home Office *Code of Practice for the Housing and Care of Animals Bred, Supplied or Used for Scientific Procedures* (December 2014). All

experimental work was conducted under the authority of a UK Home Office approved

project license that had been subject to local ethical review at PHE Porton Down by the

Animal Welfare and Ethical Review Body (AWERB) as required by the *Home Office*

Animals (Scientific Procedures) Act 1986

Vaccination

Mice. DNA-EGT was performed in mice quadriceps injected with doses ranging

from 0.1 µg to 20 µg and electrically stimulated as previously described *(11)*. The DNA

was formulated in Phosphate Buffered Saline (PBS) at a concentration of 0.2 mg/ml.

10   DNA-EP was performed with an IGEA Cliniporator (Carpi, Italy), using a needle

electrode (electrode A-15-4B). At different time points, antibody and cell mediated

immune response were analyzed.

Rats. After a suitable quarantine period, animals were divided in three different

experimental groups (4 females/group) and immunized by intramuscular

15   electroporation, alternating quadriceps at each vaccine administration.

Ferrets. Eight ferrets were immunized twice at day -42 and -14 with 400µg RBD

intra-muscularly in the quadriceps muscle of the right leg using followed by

electroporation. An additional eight ferrets remained unvaccinated.

20   Viruses and *in vivo* treatments

The hCoV-19/Italy/LOM-UniSR-1/2020 (GISAID Accession ID: EPI_ISL_413489)

isolate of SARS-CoV-2 was obtained from the Laboratory of Microbiology and Virology

of San Raffaele Scientific Institute. Virus isolation studies were carried out in BSL-3

workspace and performed in Vero E6 cells, which were cultured at 37°C, 5% CO_2 in complete medium (DMEM supplemented with 10% FBS, 1% penicillin plus streptomycin, 1% L-glutamine). Virus stocks were titrated using both Plaque Reduction Assayurn (PRA, PFU/ml) and Endpoint Dilutions Assay (EDA, $TCID_{50}$/ml). In PRA,

5 confluent monolayers of Vero E6 cells were infected with eight 10-fold dilutions of virus stock. After 1 h of adsorption at 37°C, the cell-free virus was removed. Cells were then incubated for 48 h in DMEM containing 2% FBS and 0.5% agarose. Cells were fixed and stained, and viral plaques were counted. In EDA, Vero E6 cells were seeded into 96 wells plates and infected at 95% of confluency with base 10 dilutions of virus stock.

10 After 1 h of adsorption at 37°C, the cell-free virus was removed, cells were washed with PBS 1X, and complete medium was added to cells. After 48 h, cells were observed to evaluate the presence of a cytopathic effect (CPE). $TCID_{50}$/ml of viral stocks were then determined by applying the Reed–Muench formula.

K18-hACE2 mice were immunized with 10 ug of COVID-*e*Vax or saline solution twice 21

15 days apart intra-muscularly followed by electroporation as described above.

Virus infection was performed via intranasal administration of 1 x 10^5 $TCID_{50}$ per mouse under Isoflurane 2% (# IsoVet250) anesthesia. Mice were monitored to record body weight, clinical and respiratory parameters.

Eight ferrets were immunized twice at day -42 and -14 with 400μg RBD intra-muscularly

20 in the quadriceps muscle of the right leg using followed by electroporation as described above. An additional eight ferrets remained unvaccinated. All ferrets were challenged with SARS-CoV-2 Victoria/01/2020 *(34)* six weeks following first vaccination. Challenge virus ($5x10^6$ PFU/ml) was delivered by intranasal instillation (1.0 ml total, 0.5 ml per

nostril) diluted in phosphate buffered saline (PBS). Nasal washes and throat swabs were taken from all ferrets at 2, 4, 6- and 7-days post challenge. Nasal washes were obtained by flushing the nasal cavity with 2 ml PBS. For throat swabs, a flocked swab (MWE Medical Wire, Corsham, UK) was gently stroked across the back of the pharynx in the tonsillar area. Four animals from each group were euthanized at day 3 and the remaining animals from each group were euthanized at day 7.

ELISpot assays

For the B cell Elispot assay, pools of sera collected from mice vaccinated with RBD or FL constructs were tested against each of the 338 peptides covering the entire Spike protein, pre-coated on 96 well plate, in order to identify the linear epitopes. Sequences of positive hits were then mapped on three-dimensional structure of Spike protein, hence outlining the epitope domains. The T cell ELISPOT for mouse IFNγ was performed as previously described *(35)*. RBD peptides are 132 out of the 338 peptides covering the whole Spike protein (from peptide nr.4 to peptide nr.136). In order to identify immunodominant RBD epitopes (here highlighted in yellow), Elispot assay was performed by stimulating splenocytes from RBD vaccinated Balb/c mice for 20h with RBD peptide pools. Pools (from 1 to 24) were distributed as a matrix (the intersection of two pools identifies one RBD peptide), with each pool comprising up to 12 RBD peptides. Immunodominant RBD peptides were identified at the intersection of pools showing >50 SFCs.

<u>Antibody detection assays</u>

Antibody titration was performed both on sera, obtained by retro-orbital bleeding, and on bronchoalveolar lavages (BALs), obtained by flushing 1ml PBS in the lungs. The ELISA plates were functionalized by coating with the RBD-6xHis protein at a concentration of 1 μg/ml and incubated about 18 hours at 4°C. Subsequently the plates were blocked with 3% BSA / 0.05% Tween-20 / PBS for 1 hour at room temperature and then the excess solution was eliminated. The sera of the immunized mice were then added at a dilution of 1/300 and diluted 1:3 up to 1/218,700, in duplicate, and the plates incubated for 2 hours at room temperature. After a double wash with 0.05% Tween-20 / PBS, the secondary anti-murine IgG or anti-murine IgM conjugated with alkaline phosphatase was added and the plates were incubated for 1 hour at room temperature. After a double wash with 0.05% Tween-20 / PBS, the binding of the secondary was detected by adding the substrate for alkaline phosphatase and measuring the absorbance at 405nm by means of an ELISA reader after incubation for 2 hours. IgG antibody titers against the RBD portion of protein S were evaluated at several time points. As regards antibody titration on sera of vaccinated K18-hACE2 mice, the sera were added at a dilution of 1/300 to 1/218700, in duplicate, and the plates incubated O/N at 4°C. After three washes with 0.05% Tween-20 / PBS, the secondary anti-murine IgG HRP (1:2000) was added and the plates were incubated for 1 h at room temperature. After a wash with 0.05% Tween-20 / PBS, the binding of the secondary was detected by adding TMB substrate reagent (Biosciences). The reaction

was blocked with 0,5M H_2SO_4 and measuring the absorbance at 450 nm and reference 630 nm.

For neutralization experiments with SARS-CoV-2 VSV-based pseudoparticles, Caco-2 cells were seeded in 96-well plates in 180 µl medium at 10,000 cells/well. The next day, serially diluted heat-inactivated sera in PBS or diluted BALs were added to CoV2S-PPs at 1:1 dilution with max. 10% serum or BAL on PPs. Sera/BAL-PP mixtures were incubated 1h at 37°C, then added to Caco-2 cells in duplicates at 1:10 dilution (max. 1% serum on cells) and cells incubated at 37°C. 16h post-transduction, Firefly luciferase activity was measured using the Promega Luciferase Assay System (E1501), values normalized to PPs treated with PBS only. IC_{50} fit by Prism Inhibitor vs. Normalized response (variable slope); samples with < 50% inhibition at 10% serum excluded. These assays have been performed in a BSL-2 facility at Ulm University. For lentiviral pseudotype neutralization assay, HEK293TN-hACE2 were plated at 10^4 cells/well in white 96-well plates (100 µl / well of complete DMEM medium). The next day, cells were infected with 0.1 MOI of SARS-CoV-2-GFP/luciferase pseudoparticles that were subjected to preincubation with serially diluted sera. In detail, sera samples were serially diluted three-fold in PBS in order to obtain a 7-point dose-response curve (plus PBS alone as untreated control). Thereafter, aliquots of undiluted or three-fold serially-diluted sera were further diluted 1:10 in aliquots of SARS-CoV-2 pseudoparticles adjusted to contain 0.1 M.O.I. / 50 µl of complete culture medium. After incubation for 1h at 37°C, 50 µl of serum/SARS-CoV-2 pseudoparticles mixture was added to each well and plates were incubated for 24h at 37°C. Thus, the starting serum

dilutions was 1:30. Each dilution was tested in triplicate. After 24h of incubation cell

infection was measured by luciferase assay using Bright-Glo™ Luciferase System

(Promega) Infinite F200 plate reader (Tecan) was used to read luminescence. Obtained

RLUs were normalized to controls and dose response curve were generated by

nonlinear regression curve fitting with GraphPad Prism to calculate Neutralization Dose

50 (ND_{50}).

HEK293TN-hACE2 were generated by transduction of HEK293TN with a

lentiviral vector engineered to stably express hACE2 (described elsewhere, paper

submitted).

To test the ability of elicited antibodies to neutralize the virus *in vitro*, Vero E6

cells (20,000 cells / well) were seeded 24 hours prior to infection in a 96-well plates

(Costar). Serum samples from mice were incubated at 56°C for 30 minutes and then

serially two-fold diluted in cell culture medium (10- to 10240-fold). Serum dilutions were

then mixed to 100 $TCID_{50}$ of SARS-CoV-2 (virus isolated in January 2020 at the

National Institute for Infectious Diseases "L. Spallanzani" in Rome, 2019-nCoV/Italy-

INMI1, clade V strain; GISAID accession number: EPI_ISL_410545) on a 96-well plate

and incubated at 37°C for 30 minutes in 5% vol / vol CO2. The virus/serum mixture was

then added to the cells and incubated at 37°C with microscopic examination for the

cytopathic effect after 48 hours. Cell supernatants were then removed and cells were

fixed/stained for 30 minutes with a solution of 2% formaldehyde (AppliChem, Darmstadt,

Germany) in Crystal Violet (Diapath S.p.A., Martinengo, Bergamo, Italy). The fixing

solution was removed and cell viability measured by photometer at 595 nm (Synergy

HTX; BioTek Instruments, Winooski, VT, USA). The serum dilution inhibiting 50% of the

CPE (IC50) was calculated using Prism 7 (GraphPad Software, San Diego, CA, USA)

as described *(36)*. Tests were performed in duplicate with negative control samples

from unvaccinated mice and positive from a patient who resolved COVID-19 with known

neutralizing titer. The same assay was repeated with SARS-CoV-2 strain belonging to

the G clade (SARS-CoV-2/Human/ITA/PAVIA10734/2020, clade G, D614G (S), in

GISAID EPI_ISL_568579; isolated at Policlinico San Matteo in the Laboratory of Prof.

Fausto Baldanti). These assays have been performed in a BSL-3 facility at the

Spallanzani Institute in Rome.

Cell Isolation and Flow Cytometry

In experiments performed with vaccinated WT mice, the intracellular staining was

performed according to the procedure described in Giannetti et al *(37)*. Briefly, PBMC or

splenocytes were treated with ACK Lysing buffer (Life Technologies) for red blood cell

lysis and resuspended in 0.6ml RPMI, 10% FCS and incubated with the indicated pool

of peptides (5 μg/ml final concentration of each peptide) and brefeldin A (1 μg/ml; BD

Pharmingen) at 37°C for 12-16 hours. Cells were then washed and stained with surface

antibodies. After washing, cells were fixed, permeabilized and incubated with anti-

IFNγ (XMG1.2) and -TNFα (MP6-XT22; all from eBioscience, USA), fixed with 1%

formaldehyde in PBS and analyzed on a CytoFLEX flow cytometer. DMSO and

PMA/IONO (Sigma) at 10μg/ml were used as internal negative and positive control of

the assay, respectively.

In experiments performed with SARS-CoV-2-infected mice, lung was perfused through the right ventricle with PBS at the time of autopsy and after brain was removed from the skull. Lung tissue was digested in RPMI 1640 containing 3.2 mg/ml Collagenase IV (Sigma) and 25 U/ml DNAse I (Sigma) for 30 minutes at 37°C. Brain was digested in

5   RPMI 1640 containing 1 mg/ml Collagenase D (Sigma) and 6,3 µg/ml DNAse I (Sigma) for 30 minutes at 37°C. Homogenized lung and brain were passed through 70 µm nylon mesh to obtain a single cell suspension. Cells were resuspended in 36% percoll solution (Sigma) and centrifuged for 20 minutes at 2000 rpm (light acceleration and low brake). The remaining red blood cells were removed with ACK lysis.

10   For analysis of *ex-vivo* intracellular cytokine production, 1 mg/ml of brefeldin A (Sigma) was included in the digestion buffer. All flow cytometry stainings of surface-expressed and intracellular molecules were performed as described *(38)*. Briefly, cells were stimulated for 4 h at 37°C with 15-mer peptides overlapping by 11 aminoacids (5 µg/ml) covering the receptor-biding-domain (RBD) of SARS-CoV-2. Cell viability was assessed

15   by staining with Viobility™ 405/520 fixable dye (Miltenyi). Antibodies (Abs) used are indicated in the table below.

Name	Clone	Source and catalog number
CD8α	53-6.7	Biolegend 100723
CD3	145-2C11	Pharmingen 552774
CD44	IM7	Biolegend 103028
IFNγ	XMG1.2	Biolegend 505830

TNFα	MP6-XT22	Biolegend 506329
CD62L	MEL-14	Biolegend 104453
CD4	RM4-5	BD Biosciences 740208
IL-17A	TC11-18H10	BD Pharmingen 562542
IL-5	TRFK5	BD 554395
IL-4	11B11	BD Pharmingen 554436

Flow cytometry analysis was performed on CytoFLEX LX Beckton Coulter and analyzed with FlowJo software (Treestar).

5   <u>Tissue homogenates and viral titers</u>

Tissues homogenates were prepared by homogenizing perfused lung using gentleMACS Octo Dissociator (Miltenyi) in M tubes containing 1 ml of DMEM 0%. Samples were homogenized for three times with program m_Lung_01_02 (34 seconds, 164 rpm). The homogenates were centrifuged at 3500 rpm for 5 minutes at 4°C. The

10   supernatant was collected and stored at –80°C for viral isolation and viral load detection. Viral titer was calculated by 50% tissue culture infectious dose ($TCID_{50}$). Briefly, Vero E6 cells were seeded at a density of 1.5×10^4 cells per well in flat-bottom 96-well tissue culture plates. The following day, 2-fold dilutions of the homogenized tissue were applied to confluent cells and incubated 1 h at 37°C. Then, cells were

washed with phosphate-buffered saline (PBS) and incubated for 72 h at 37°C in DMEM

2% FBS. Cells were fixed with 4% paraformaldehyde for 20 min and stained with 0.05%

(wt/vol) crystal violet in 20% methanol.

RNA extraction and qPCR

Tissues homogenates were prepared by homogenizing perfused lung using

gentleMACS dissociator (Miltenyi) with program RNA_02 in M tubes in 1 ml of Trizol

(Invitrogen). The homogenates were centrifuged at 2000 g for 1 min at 4°C and the

supernatant was collected. RNA extraction was performed by combining

phenol/guanidine-based lysis with silica membrane-based purification. Briefly, 100 μl of

Chloroform were added to 500 μl of homogenized sample; after centrifugation, the

aqueous phase was added to 1 volume of 70% ethanol and loaded on ReliaPrep™

RNA Tissue Miniprep column (Promega, Cat #Z6111). Total RNA was isolated

according to the manufacturer's instructions. qPCR was performed using TaqMan Fast

virus 1 Step PCR Master Mix (Life Technologies), standard curve was drawn with

2019_nCOV_N Positive control (IDT), primer used are: 2019-nCoV_N1- Forward Primer

(5'-GAC CCC AAA ATC AGC GAA AT-3'), 2019-nCoV_N1- Reverse Primer (5'-TCT

GGT TAC TGC CAG TTG AAT CTG-3') 2019-nCoV_N1-Probe (5'-FAM-ACC CCG CAT

TAC GTT TGG TGG ACC-BHQ1-3') (Centers for Disease Control and Prevention

(CDC) Atlanta, GA 30333). All experiments were performed in duplicate.

Whole-body plethysmography

Whole-body plethysmography (WBP) was performed using WBP chamber (DSI Buxco respiratory solutions, DSI). First mice were allowed to acclimate inside the chamber for 10 minutes, then respiratory parameters were acquired for 15 minutes using FinePointe software.

Confocal immunofluorescence histology and histochemistry and N-SARS-CoV-2 signal quantification

Lungs of infected mice were collected and fixed in 4% paraformaldehyde (PFA). Samples were then dehydrated in 30% sucrose prior to embedding in OCT freezing media (Bio-Optica). Twenty micrometer sections were cut on a CM1520 cryostat (Leica) and adhered to Superfrost Plus slides (Thermo Scientific). Sections were then permeabilized and blocked in PBS containing 0.3% Triton X-100 (Sigma-Aldrich) and 5% FBS followed by staining in PBS containing 0.3% Triton X-100 and 1% FBS. Slides were stained for SARS-CoV-2 nucleocapsid (GeneTex) for 1h RT. Then, slides were stained with Alexa Fluor 568 Goat Anti-Rabbit antibody for 2h RT. All slides were analyzed by confocal fluorescence microscopy (Leica TCS SP5 Laser Scanning Confocal). For N-SARS-CoV-2 immunohistochemistry, mice were perfused with PBS and brains were collected in Zn-formalin and transferred into 70% ethanol 24 h later. Tissue was then processed, embedded in paraffin and automatically stained for SARS-CoV-2 (2019-nCoV) Nucleocapsid Antibody (SINO BIO, 40143-R019) through LEICA BOND RX 1h RT and developed with Bond Polymer Refine Detection (Leica, DS9800).

Brightfield images were acquired through an Aperio Scanscope System CS2

microscope and an ImageScope program (Leica Biosystem) following the

manufacturer's instructions. In both immunofluorescence and histochemistry, N-SARS-

CoV-2 percentage of positive area was determined by QuPath (Quantitative Pathology

& Bioimage Analysis) software.

Statistical analyses and software

Detailed information concerning the statistical methods used is provided in the

figure legends. Flow cytometry data were collected using FlowJo Version 10.5.3

(Treestar). Statistical analyses were performed with GraphPad Prism software version 8

(GraphPad). n represents individual mice analyzed per experiments. Error bars indicate

the standard error of the mean (SEM). We used Mann-Whitney U-tests to compare two

groups with non-normally distributed continuous variables. Significance is indicated as

follows: $*p<0.05$; $**p<0.01$. Comparisons are not statistically significant unless indicated.

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Figure 1. DNA vaccine constructs and immunogenicity. (A) Schematic representation of SARS-Cov-2 DNA vaccine construct candidates, encoding 1) the full-length protein (FL); 2) the receptor binding domain (RBD); 3) the highly variable N-terminal domain (NTD) and the RBD domain (N/R); 4) the whole S1 subunit (S1); 5) the RBD fused to a human IgG-Fc (RBD-Fc). The RBD, N/R and S1 constructs include a tPA leader sequence at the N-terminus, whereas the RBD-Fc construct contains a IgK leader sequence. **(B)** Western blot analysis of SARS-CoV-2 DNA vaccine constructs after transfection in HEK293 cells. 48 hours after transfection, both cell lysates and supernatants were resolved on a gel and blotted with a polyclonal SARS-CoV Spike S1 Subunit antibody. Non transfected cells were used as negative control (control). **(C)** Schematic representation of the experimental setup. Each DNA construct was injected intramuscularly (20 µg total, 10 µg each quadriceps) into 30 BALB/c mice at day 0 (prime) and day 28 (boost). Intramuscular injection was followed by electroporation (EP). Mice were euthanized and analyzed at day 38. **(D)** Sera of vaccinated BALB/c mice were collected at day 14 (only prime) and day 38 (prime-boost) and anti-RBD IgG levels were measured through ELISA. * p value < 0.05, *** p value < 0.001

Figure 2. Characterization of the immune response elicited by the RBD vaccine candidate. (**A**) Antibody linear epitopes mapped onto the structure of the FL Spike protein. Each domain of the FL protein (NTD, RBD, furin cleavage, FP-fusion peptide and S2) is outlined with a different color (left panel) while the linear epitopes are shown as gold spheres falling within the Spike domains used for immunization (center and right panels). Colored residues on RBD domain represent the most common mutations present in currently circulating viral variants of concerns (VOCs) (N501Y, E484K/Q, S477N, L452R and K417N/T). (**B**) Anti-RBD IgG levels measured in bronchoalveolar lavage (BAL) of FL and RBD-vaccinated BALB/c mice at day 38. (**C**) Neutralizing activity in sera collected from FL- and RBD-vaccinated BALB/c mice at day 38 (prime-boost). Neutralization was assessed using a pseudotyped viral particle test based on vesicular stomatitis virus (CoV-2S-PPs) carrying SARS-CoV-2 spike, encoding eGFP + Fluc as a reporter and with a luminescence readout. (**D**) Neutralizing antibody titers in sera collected from RBD-vaccinated BALB/c mice at day 14 (prime) and day 38 (boost), measured through a neutralization assay with infectious SARS-CoV-2-and Vero cells. (**E**) Neutralizing antibody titers in sera collected at day 38 from C57BL/6 mice vaccinated with increasing doses of RBD vaccine (5-10-20 μg). (**F**) Serum anti-RBD IgG levels measured over time in sera of RBD-vaccinated C57BL/6 mice (prime-boost regimen) up to 6 months starting from prime. (**G**) T cell immune response (IFN-γ+ and TNF-α+) in CD8+ and CD4+ cells measured by intracellular staining of splenocytes collected from FL- and RBD-vaccinated BALB/c mice at day 38 and restimulated with pool S1 peptides. (**H**) IFN-γ-producing T cells measured by ELISpot assay performed on BALs collected from BALB/c mice vaccinated with FL and RBD, intranasally challenged with 20 μg RBD protein at day 42 and culled the day after. * p value < 0.05

Figure 3. Immunogenicity of the RBD vaccine in rats. (**A**) Schematic representation of the experimental setup. Sixteen Sprague-Dawley rats received two doses of RBD vaccine (day 0 and day 14) via intramuscular injection followed by EP. (**B**) Total IgG endpoint titer measured by ELISA assay performed on sera collected at day 14 (prime) from rats vaccinated with increasing doses of RBD (100-200-400 μg). (**C**) Total IgG endpoint titer measured by ELISA assay performed on sera collected at day 21 (prime-boost) from rats vaccinated with increasing doses of RBD vaccine (100-200-400 μg). (**D**) Neutralizing antibody titer (IC50) of sera collected from rats vaccinated with increasing doses of RBD vaccine (100-200-400 μg) against SARS-CoV-2 infection in VERO cells. (**E**) Correlation between total IgG endpoint titers and neutralizing antibody IC_{50} values. (**F**) Neutralizing antibody titers measured against three major SARS-CoV-2 variants (i.e. B.1.1.7, B.1.351 and P.1) in plasma collected at sacrifice from rats vaccinated with 400 μg of the RBD vaccine (two dose vaccination regimen, day 0 and day 14). (**G**) Neutralizing antibody titers measured against three major SARS-CoV-2 variants in plasma collected at sacrifice from rats vaccinated with 400 μg of the RBD vaccine (three dose vaccination regimen, day 0-14-28).

Figure 4. In vivo protection efficacy of RBD vaccine against SARS-CoV-2 virus challenge in hACE2 transgenic mice. (**A**) Schematic representation of the experimental setup. K18-hACE2 (C57BL/6) mice received two immunizations (day -39, day -18) of 10 µg RBD vaccine (n = 7) or PBS (n = 6) via intramuscular injection followed by EP before intranasal challenge with 1×10^5 $TCID_{50}$ of SARS-CoV-2. Lung and brain were collected and analyzed five days after SARS-CoV-2 infection. (**B**) Serum anti-RBD IgG levels of RBD vaccine or PBS challenged mice detected by ELISA assay; sera were collected right before SARS-CoV-2 infection. (**C**) Mouse body weights were monitored daily for up to 5 days. PBS-treated mice showed a rapid body weight decrease from day 4, instead RBD vaccine-challenged mice demonstrated normal statuses. Data are represented as mean ± SEM. (**D-F**) Whole-body plethysmography assessing pulmonary function for Frequency (**D**), Penh (**E**) and Rpef (**F**). Calculated respiratory values were averaged over the 15 min data collection period, data are mean ± SEM. (**G**) SARS-CoV-2 RNA in the lung was quantified by quantitative PCR with reverse transcription (RT–qPCR) 5 days after infection. (**H**) Viral titers in the lung 5 days after infection were determined by median tissue culture infectious dose ($TCID_{50}$). (**I**) Representative confocal immunofluorescence micrographs of lung sections from PBS-treated mice (left) or RBD-treated mice (right) 5 days after SARS-CoV-2 infection. N-SARS-CoV-2 positive cells are depicted in red and nuclei in blue. Scale bars represent 30 µm. Right panel, quantification of N-SARS-CoV-2 signal, each dot represents a different section. (**J**) SARS-CoV-2 RNA in the brain was quantified by quantitative PCR with reverse transcription (RT–qPCR) 5 days after infection. (**K**) Viral titers in the brain 5 days after infection were determined by median tissue culture infectious dose ($TCID_{50}$). (**L**) Representative immunohistochemical micrographs of brain sections from PBS-treated mice (top) or RBD-treated mice (bottom) 5 days after SARS-CoV-2 infection. N-SARS-CoV-2 expression is shown in brown. Scale bars, 300 µm. Right panel, quantification of N-SARS-CoV-2 signal, each dot represents a mouse. (**M**) Absolute numbers of CD4+ T cells producing IFN-γ, TNF-α or both and of CD8+ T cells producing IFN-γ in the lung of the indicated mice five days after SARS-CoV-2 infection. * p value < 0.05, ** p value < 0.01, **** p value < 0.0001

Figure 5. Evaluation of RBD vaccine efficacy in a ferret infection model. (**A**) Schematic representation of the experimental setup. Female ferrets (8 animals / group) were either left untreated (control) or received two immunizations (day -42, day -14) of 400µg RBD vaccine via intramuscular injection followed by electroporation before intranasal challenge with 5x10⁶ PFU/ml of SARS-CoV-2. Four animals from each group were euthanized at each timepoint (3 and 7 days post challenge). Nasal wash and throat swab samples taken from animals throughout the challenge phase of the study were analyzed for the presence of viral RNA, whereas nasal cavities, tonsils, trachea and lungs were collected from animals post-mortem (at day 7). (**B**) Viral RNA detected in nasal wash from the control group or the vaccinated group following challenge. Results below the lower limit of detection (LLOD) have been assigned a value of 1157 copies/ml, and results between the LLOD and lower limit of quantification (LLOQ) have been assigned a value of 6429 copies/ml. (**C**) Viral RNA detected in throat swabs from the control group or the vaccinated group following challenge. * p value < 0.05

A

B

Supplementary Figure 1. T cell epitope mapping in RBD vaccinated mice. (**A**) IFNγ+ T cell response measured by ELISpot assay on splenocytes collected from RBD-vaccinated BALB/c mice, following stimulation with matrix mapping RBD peptide pools. (**B**) Schematic representation of the SARS-CoV-2 Spike protein and identification of immunodominant peptides in BALB/c mice.

Supplementary Figure 2. RBD-specific immune response in RBD vaccinated C57Bl/6 mouse model. (A) IFN-γ+ T cell response measured by ELISpot assay on splenocytes collected at day 38from C57BL/6 mice vaccinated with increasing doses of RBD vaccine (from 0.1 to 20 μg, administered in one or two sites) and restimulated with Spike peptide pools S1 and S2. (**B**) Non-linear fitting curve of the dose-response against RBD pool S1 peptides, measured by means of ELISpot assay performed on splenocytes from RBD-vaccinated C57BL/6 mice. (**C**) T cell characterization by intracellular staining on PBMCs collected from males and females vaccinated mice (administered dose: 5μg/1 leg).

A

injection site: 2 days from EP + IM injection

B

injection site: 4 weeks from EP + IM injection

Supplementary Figure 3. Histopathological evaluation of electroporated tissues in rat model. (A) Histological section of the left injection site in a 400 μg RBD-vaccinated rat performed two days after the third and last DNA injection (i.e. day 30). Arrows indicate the necrosis of muscle fibers, surrounded by inflammatory reaction (i.e., polymorphonuclear cells, mixed mononuclear cell infiltration, predominantly macrophages). The cavities surrounded by the necrotic carbonized muscle fibers are suggested to be related to the electroporation procedure. The lesions were mostly scored as mild to moderate, and were similar in all the groups. **(B)** Histological section of the left injection site in a 400 μg RBD vaccinated rat performed 4 weeks after third and last DNA injection (i.e. day 57). Arrows indicate brownish pigmented muscle fibers, probably related to a minimal chronic inflammation due to electroporation procedure. This image demonstrates a complete recovery of the injection site lesions at this stage, in comparison to **(A)**.

Supplementary Figure 4. Assessment of secreted RBD in RBD vaccinated mice. (**A**) Schematic representation of the experimental setup. BALB/c mice were vaccinated with 20 μg of RBD vaccine, with or without electroporation, and 48 hours later the secretion of RBD protein was assessed in sera and BALs. (**B**) Measurement of secreted RBD protein in sera from control mice and RBD vaccinated mice, with or without EP. (**C**) Measurement of secreted RBD protein in BALs from same groups of mice as in (**B**). (**D**) Schematic representation of the experimental setup. K18-hACE2 mice were vaccinated with 20 μg of RBD vaccine and 2 days later a lentiviral vector pseudotyped with the SARS-CoV-2 spike protein and encoding for luciferase RBD protein was intranasally administered. Two days later the lungs of treated mice were assessed for bioluminescence using an in vivo imaging system. (**E**) Comparison of bioluminescence assessed by means of in vivo imaging system in control K18-hACE2 mice and K18-hACE2 RBD vaccinated mice.