Reference-free cell-type deconvolution of multi-cellular pixel-resolution spatially resolved

transcriptomics data

Brendan F. Miller[1,2], Feiyang Huang[1,2], Lyla Atta[1,2], Arpan Sahoo[1,3], Jean Fan[1,2,3,*]

[1]Center for Computational Biology, Whiting School of Engineering, Johns Hopkins University,

Baltimore, MD 21211, USA

[2]Department of Biomedical Engineering, Johns Hopkins University, Baltimore, MD 21218, USA

[3]Department of Computer Science, Johns Hopkins University, Baltimore MD 21218, USA

[*]To whom correspondence should be addressed

Correspondence should be addressed to:

Jean Fan (jeanfan@jhu.edu)

Key words:

Bioinformatics, Computational biology, Gene expression, Single Cell, Deconvolution, Spatial

Transcriptomics, Topic Modeling

<u>Abstract</u>

Recent technological advancements have enabled spatially resolved transcriptomic profiling but

at multi-cellular pixel resolution, thereby hindering the identification of cell-type-specific spatial

patterns and gene expression variation. To address this challenge, we developed STdeconvolve

as a reference-free approach to deconvolve underlying cell-types comprising such multi-cellular

pixel resolution spatial transcriptomics (ST) datasets. Using simulated as well as real ST datasets

from diverse spatial transcriptomics technologies comprising a variety of spatial resolutions such

as Spatial Transcriptomics, 10X Visium, DBiT-seq, and Slide-seq, we show that STdeconvolve

can effectively recover cell-type transcriptional profiles and their proportional representation

within pixels without reliance on external single-cell transcriptomics references. STdeconvolve

provides comparable performance to existing reference-based methods when suitable single-cell

references are available, as well as potentially superior performance when suitable single-cell

references are not available. STdeconvolve is available as an open-source R software package

with the source code available at https://github.com/JEFworks-Lab/STdeconvolve.

Introduction

Delineating the spatial organization of transcriptionally distinct cell-types within tissues is critical for understanding the cellular basis of tissue function[1]. Recent technologies have enabled spatial transcriptomic (ST) profiling within tissues at multi-cellular pixel-resolution[2]. As such, these ST measurements represent cell mixtures that may comprise multiple cell-types. This lack of single-cell resolution hinders the characterization of cell-type specific spatial organization and gene expression variation.

To address this challenge, several reference-based, supervised and semi-supervised deconvolution approaches have recently been developed to predict the proportion of cell-types within ST pixels. Of these, SPOTlight[3] uses cell-type marker genes derived from a single-cell RNA-sequencing (scRNA-seq) reference to seed a non-negative matrix factorization. RCTD[4] uses the cell-type specific mean expression of marker genes derived from a scRNA-seq reference to build a probabilistic model of the contribution of each cell-type to the observed gene counts in each pixel. SpatialDWLS[5] uses cell-type signature genes derived from a scRNA-seq reference to first enrich for cell-types likely to be in each pixel, then applies a dampened weighted least squares approach to infer the cell-type composition. DSTG[6] uses synthetic pseudo-mixtures of scRNA-seq references to train a semi-supervised graph-based convolutional network. As such, these approaches rely on the availability of a suitable single-cell reference, which may present limitations if such a reference does not exist due to budgetary, technical[7], or biological limitations[8]. While the rise of scRNA-seq references through atlasing efforts such as the BRAIN Initiative Cell Census Network (BICCN)[9], the Human BioMolecular Atlas Program (HuBMAP)[10], and Human Cell Atlas[11] may help alleviate such limitations particularly for healthy tissues, processing independent tissue samples or different sections of the same tissue

may still result in systematically different gene expression quantifications due to batch effects as

well as inter- and intra-sample heterogeneity. Additionally, difficulties dissociating and capturing

certain cell-types via single-cell sequencing may result in missing or inconsistent cell-types

between scRNA-seq references and ST datasets[12,13]. Further, scRNA-seq references and ST

datasets may be affected by different perturbations manifesting as distinct transcriptional

differences affecting reference-based deconvolution accuracy and subsequent biological

interpretations. As such, a reference-free deconvolution approach provides an alternative strategy

for deconvolving cell-types when an appropriate reference is not available.

Here, we developed STdeconvolve (available at https://github.com/JEFworks-

Lab/STdeconvolve and as Supplementary Software) as a reference-free, unsupervised approach

for deconvolving multi-cellular pixel resolution ST data (Figure 1). STdeconvolve builds on

latent Dirichlet allocation (LDA), a generative statistical model commonly used in natural

language processing for discovering latent topics in collections of documents. In the context of

natural language processing, given a count matrix of words in documents, LDA infers the

distribution of words for each topic and the distribution of topics in each document. In the

context of ST data, given a count matrix of gene expression in multi-cellular ST pixels,

STdeconvolve applies LDA to infer the putative transcriptional profile for each cell-type and the

proportional representation of each cell-type in each multi-cellular ST pixel (Methods). While

LDA has previously been applied in the context of deconvolving cell-types in bulk RNA-seq

data[14], STdeconvolve leverages several unique features of ST data that make this application of

LDA particularly amenable (Supplementary Note 1). Briefly, these include i) the limited number

of cells and cell-types represented in each ST pixel, ii) the limited impact of batch effects on the

measured gene expression across pixels, iii) the large number of pixels compared to cell-types,

and iv) the likely heterogeneity of cell-type proportional distribution across pixels in tissues. To

improve the application of LDA, where latent cell-types are characterized by co-expressed and

ideally non-overlapping groups of genes, STdeconvolve feature selects for such genes likely to

be informative of latent cell-types. Specifically, STdeconvolve selects for significantly

overdispersed genes, or genes with higher-than-expected expression variance across pixels[15]

(Methods). In addition, as the application of LDA requires the number of transcriptionally

distinct cell-types, K, to be set *a priori*, STdeconvolve provides several data-driven metrics to

guide the estimation of an appropriate K (Methods, Supplementary Note 2).

<u>Results</u>

STdeconvolve accurately recovers cell-type proportions and transcriptional profiles in

simulated ST data

93   As a proof of concept, we first evaluated the performance of STdeconvolve in recovering

the proportional representations of cell-types and their transcriptional profiles using simulated

ST data. We simulated ST data by aggregating the gene expression of cells from single-cell

resolution multiplex error-robust fluorescence *in situ* hybridization (MERFISH) data of the

mouse medial pre-optic area (MPOA)[16] within spatially contiguous pixels. Previously,

MERFISH was previously applied to map the spatial distribution of 135 select genes within

MPOA brain tissue. These select 135 genes were chosen to distinguish between major non-

neuronal cell-types as well as neuronal subtypes. Imaging-based cell segmentation was

performed and the counts of genes per cell were quantified to achieve single-cell resolution

spatially resolved transcriptomic profiling. Subsequent transcriptional clustering analysis on the

single-cell resolution gene expression measurements identified 9 major cell-types, including

excitatory and inhibitory neurons. Further clustering found that these excitatory and inhibitory

neurons could be subdivided into 69 finer neuronal sub-types.

To simulate multi-cellular pixel-resolution ST data, we aggregated the single-cell

resolution MERFISH data into 100 μm^2 pixels (Figure 2A, Supplementary Figure S1A-B,

Methods). Given the already limited 135 gene panel chosen to distinguish between cell-types,

additional feature selection for this dataset was not necessary (Supplementary Note 3). Applying

STdeconvolve, we identified K=9 cell-types and deconvolved their proportional representation

and transcriptional profiles in each simulated pixel (Figure 2B, Supplementary Figure S1C, S2A,

Supplementary Methods). To infer the identities of the deconvolved cell-types for benchmarking

purposes, we matched their deconvolved transcriptional profiles with the transcriptional profiles

of ground truth cell-types by testing for enrichment of ground truth cell-type specific marker

genes (Methods, Supplementary Figure S2B). We observed strong correlations between the

transcriptional profiles of each deconvolved cell-type and matched ground truth cell-type across

genes (Figure 2C). Likewise, we observed strong correlations between the proportions of each

deconvolved cell-type and matched ground truth cell-type across simulated pixels (Figure 2D).

We further quantified this performance using the root-mean-square-error (RMSE) of the

deconvolved cell-type proportions compared to ground truth across simulated pixels (Methods,

Figure 2E). In this manner, STdeconvolve can accurately recover the proportional representation

and transcriptional profiles of major cell-types.

STdeconvolve achieves competitive performance to reference-based, supervised

deconvolution approaches

We next sought to compare the performance of STdeconvolve to existing reference-

based, supervised and semi-supervised deconvolution approaches SPOTlight, RCTD,

spatialDWLS, and DTSG using our simulated 100 μm^2 resolution ST data of the MPOA. As

described previously, these approaches require a single-cell transcriptomics reference for

deconvolution. As an ideal single-cell transcriptomics reference, we used the original single-cell

MERFISH data that was used to construct the simulated ST data (Supplementary Figure S3A,

Supplementary Methods). We again quantified the performance of each approach using the

RMSE of the deconvolved cell-type proportions compared to ground truth across simulated

pixels. DSTG was unable to deconvolve distinct cell-types in the data and was omitted from

further comparison (Supplementary Figure S3A). In general, we find the performance of

STdeconvolve to be comparable to these reference-based deconvolution approaches when such

an ideal single-cell transcriptomics reference is used (Figure 2E-F).

One potential limitation of such existing reference-based deconvolution approaches is

their reliance on a suitable single-cell transcriptomics reference. We thus sought to evaluate the

performance of these reference-based deconvolution approaches when a suitable single-cell

reference is not available. To this end, we removed excitatory and inhibitory neuronal cell-types

to simulate a less suitable single-cell transcriptomics reference (Supplementary Methods). We

then deconvolved the simulated ST data of the MPOA using each reference-based deconvolution

approach with this new reference and computed the RMSE across pixels. Because STdeconvolve

does not use a reference, its performance does not change. However, the performance for all

reference-based deconvolution approaches resulted in a significantly higher RMSE (Diebold-

Mariano p-value $< 2.2 \times 10^{-16}$) than STdeconvolve (Figure 2G). Likewise, pixels previously

comprised of neurons were now erroneously predicted by reference-based deconvolution

approaches to be comprised primarily of immature oligodendrocytes (Supplementary Figure

S3B). In addition, we evaluated the performance of each reference-based deconvolution

approach after removing rarer ependymal cells from the single-cell transcriptomics reference.

Again, given this less suitable single-cell transcriptomics reference, pixels previously comprised

of ependymal cells were now erroneously predicted by reference-based deconvolution

approaches to be comprised primarily of astrocytes (Supplementary Figure S3C). Thus, the

performance of reference-based deconvolution approaches is sensitive to differences in cell-type

composition between the ST data and the single-cell transcriptomics reference used.

Likewise, such an ideal single-cell transcriptomics reference that optimally matches the

cell-type composition and measurement sensitivities of the ST data to be deconvolved may not

be available. Therefore, this ideal MERFISH MPOA single-cell transcriptomics reference likely

provides an upper bound on performance for reference-based deconvolution approaches. To

provide a more realistic evaluation of performance for reference-based deconvolution

approaches, we sought to deconvolve our simulated ST data of the MPOA using a scRNA-seq

reference from a mouse brain atlasing effort[17]. Again, as a reference-free deconvolution

approach, the performance of STdeconvolve does not change. However, again, the performance

for all reference-dependent methods resulted in a significantly higher RMSE (Diebold-Mariano

p-value $< 2.2 \times 10^{-16}$) than STdeconvolve (Figure 2H, Supplementary Methods). Thus,

STdeconvolve achieves comparable performance to reference-based, supervised deconvolution

approaches when an ideal single-cell transcriptomics reference is used, and potentially better

performance when an ideal single-cell transcriptomics reference is not used.

STdeconvolve recovers perturbation specific gene expression profiles

Though reference-based deconvolution approaches may accurately recover cell-type

proportions in ST data, they currently do not deconvolve cell-type specific gene expression

profiles. Nonetheless, perturbations may induce cell-type-specific transcriptional changes in ST

data that would not be identifiable by current reference-based deconvolution approaches unless

perturbation-matched single-cell transcriptomics references are used. While the availability of

scRNA-seq references grows due to single-cell atlasing initiatives, these datasets primarily

represent collections of healthy tissues[9-11,18,19]. As such, there is a particular scarcity of suitable

scRNA-seq references available for reference-based deconvolution of ST data in the context of

disease and other perturbations.

In contrast to current reference-based deconvolution approaches, STdeconvolve can

estimate cell-type transcriptional profiles in a manner that is not constrained by the expression

profiles of specific cell-types defined in single-cell transcriptomics references. We therefore

sought to explore the potential of STdeconvolve in detecting these perturbation-driven cell-type-

specific gene expression changes using simulated ST data from mixtures of single cells assayed

by scRNA-seq (Figure 3A, Supplementary Methods). Briefly, we took advantage of scRNA-seq

data previously collected from mammary tissues of aged and young mice[20]. Previous

transcriptional clustering analysis revealed a subpopulation of macrophages with age-associated

gene expression changes. Specifically, aged macrophages upregulated *Cd274* and *Clec4d*, and

downregulated *Coro1a* compared to young macrophages. Therefore, we simulated ST data of

aged tissue using mixtures of aged macrophages and other luminal cells and ST data of young

tissue using mixtures of young macrophages and other luminal cells (Figure 3B). We then sought

to evaluate the ability of STdeconvolve to recover these age-associated gene expression changes

in macrophages (Supplementary Methods). Applying STdeconvolve using *K*=2 cell-types to the

simulated ST data of both aged and young tissue, we found that the deconvolved transcriptional

profiles were highly correlated with the matched ground truth gene expression profiles from

scRNA-seq in all cases (Supplementary Figure S4A-B). Further, when we compared the

deconvolved transcriptional profiles of aged versus young macrophages, we were able to identify

upregulated genes included *Cd274* and *Clec4d*, and downregulated genes included *Coro1a*,

consistent with the original study (Figure 3C). Thus, STdeconvolve can potentially recover

perturbation-driven cell-type-specific gene expression changes in ST data.

Deconvolution provides distinct insights compared to clustering analysis

Generally, we note that deconvolution of multi-cellular pixel resolution ST data can

provide distinct insights from clustering analysis. To demonstrate this, we again simulated ST

data using mixtures of single cells assayed by scRNA-seq (Supplementary Methods).

Specifically, we simulated ST pixels comprised of mixtures of either luminal cells and pericytes

or pericytes and macrophages (Figure 3D). Applying clustering analysis to these ST pixels, we

identified 2 clusters corresponding to either mixtures of luminal cells and pericytes or mixtures

of pericytes and macrophages (Figure 3E). In contrast, applying STdeconvolve with K=3, we

were able to recover the proportional representations of luminal cells, pericytes, and

macrophages as well as their original cell-type specific transcriptional profiles (Figure 3F).

Such differences between deconvolution and clustering analysis extends to resolution

enhancing clustering approaches such as BayesSpace[21]. Briefly, BayesSpace utilizes a spatial

prior that encourages spatially neighboring pixels to cluster into the same transcriptional cluster.

Enhanced resolution clustering is obtained after subdividing each pixel and modeling the

expression profiles of the subpixels as additional latent parameters estimated in the Bayesian

model. Applying BayesSpace with 3 clusters to our simulated ST data, we obtained 3 spatially

discrete clusters corresponding to different mixtures of luminal cells and pericytes and mixtures

of pericytes and macrophages (Figure 3G, Supplementary Methods). Compared to

STdeconvolve, both regular transcriptional clustering and resolution enhanced clustering with

BayesSpace exhibited significantly higher RMSE (Diebold-Mariano p-value $< 2.2 \times 10^{-16}$)

(Figure 3H).

To further demonstrate the difference between deconvolution and clustering analysis for

ST data, we again simulated ST data using a single-cell resolution MERFISH dataset of a

coronal section of the mouse brain[22]. We analyzed the single-cell resolution transcriptional

profiles to identify 20 transcriptionally distinct cell-types and again simulated multi-cellular

pixel-resolution ST data by aggregating the single cells into 100 μm^2 pixels (Figure 3I,

Supplementary Figure S5A-B, Supplementary Methods). The organization of cell-types within

the mouse brain is highly complex with many regions including the thalamus at the central

region of this coronal section being composed of mixtures of multiple transcriptionally distinct

cell-types. We thus sought to evaluate whether STdeconvolve could better recover the

proportional representation of cell-types compared to resolution enhanced clustering with

BayesSpace. Applying both STdeconvolve and BayesSpace, we generally recover the cell-type

pixel proportions and visually recapitulate the spatial organization of cell-types within various

brain structures (Figure 3J-K, Supplementary Methods). However, focusing in on the central

region of the coronal section encompassing the thalamus, we indeed saw a visual difference

between the spatial organization of cell-types recovered by deconvolution via STdeconvolve

compared to resolution enhanced clustering via BayesSpace (Figure 3J-K inset). Quantifying

performance, BayesSpace exhibited significantly higher RMSE compared to STdeconvolve

(Diebold-Mariano p-value $< 2.2 \times 10^{-16}$) as a whole (Supplementary Fig S5C, though more

discernably in the thalamus region (Figure 3L). Taken together, deconvolution approaches such

as STdeconvolve can provide distinct results from clustering and resolution enhanced clustering

approaches when applied to multi-cellular pixel resolution data.

STdeconvolve characterizes the spatial organization of transcriptionally distinct cell-types

in real ST data

Having demonstrated the capacity of STdeconvolve to recover cell-type proportions and

transcriptional profiles in simulated ST data, we next sought to evaluate the performance of

STdeconvolve by analyzing real 100 μm^2 resolution ST data of the mouse main olfactory bulb

(MOB)[23]. The MOB consists of multiple bilaterally symmetric and transcriptionally distinct cell

layers due to topographically organized sensory inputs[24]. While previous clustering analysis of

ST data of the MOB revealed coarse spatial organization of coarse cell layers, finer structures

such as the rostral migratory stream (RMS) could not be readily observed (Supplementary Figure

S6A-B). We applied STdeconvolve to identify K=12 cell-types (Figure 4A, Supplementary

Figure S6C, Supplementary Methods) that either overlapped with or further split coarse cell

layers previously identified from clustering analysis (Supplementary Figure S6D). In particular,

deconvolved cell-type X7 overlapped with the granule cell layer previously identified from

clustering analysis and was spatially placed where the RMS is expected[25] (Figure 4B).

Upregulated genes in its deconvolved transcriptional profile, including *Nrep*, *Sox11,* and *Dcx*, are

known to be associated with neuronal differentiation and upregulated in neuronal precursor cells

within the RMS[26] (Figure 4C, Supplementary Figure S6E). Higher resolution ISH staining of

these genes further demarcates a region within the granule cell layer where the RMS is

expected[19] (Figure 4D). This suggests that deconvolved cell-type X7 may correspond to the

neuronal precursor cell-type within the RMS unidentified from clustering analysis.

To further evaluate the biological reproducibility of deconvolved cell-types, we applied

STdeconvolve independently to 3 additional biological replicates of ST data of the MOB

(Supplementary Methods). In each biological replicate, STdeconvolve consistently identified

approximately 12 cell-types (Supplementary Figure S7A). Transcriptional profiles between

deconvolved cell-types were also highly correlated across biological replicates (Supplementary

Figure S7B-D). This suggests that STdeconvolve can reliably deconvolve consistent cell-types,

even across biological replicates.

As noted previously using simulated ST data, the performance of reference-based

deconvolution approaches is sensitive to differences in cell-type composition between the single-

cell transcriptomics reference and the ST data to be deconvolved. To demonstrate this with real

ST data, we first compared STdeconvolve and reference-based deconvolution approaches using

an appropriate MOB scRNA-seq reference[27] (Supplementary Methods). We found strong

correlations between cell-type proportions estimated by STdeconvolve and other reference-based

deconvolution approaches with a high degree of correspondence among all evaluated methods

(Supplementary Figure S8A-B). Notably, the proportion and transcriptional profile of

deconvolved cell-type X8 identified by STdeconvolve to be enriched in the olfactory nerve layer

correlated strongly with the proportion of olfactory ensheathing cells (OECs) identified by the

reference-based deconvolution approaches.

Next, to simulate a less suitable scRNA-seq reference, we removed OECs from the MOB

scRNA-seq reference and again evaluated the performance of reference-based deconvolution

approaches given this new scRNA-seq reference without OECs (Supplementary Methods).

Again, as a reference-free deconvolution approach, the results of STdeconvolve do not change.

However, for some reference-based deconvolution approaches, given this new reference without

OECs, pixels in the olfactory nerve layer previously comprised of OECs were now predicted to

be comprised of N2 cells (Supplementary Figure S9A). Although we do not know the ground

truth cell-type composition of this olfactory nerve layer, we have reasons to believe that this

placement of N2 cells is erroneous. First, when a scRNA-seq reference with OECs was used,

reference-based deconvolution approaches generally estimated N2 cells to be relatively rare.

However, when a scRNA-seq reference without OECs was used, reference-based deconvolution

approaches substantially increased their estimated abundance of N2 cells (Supplementary Figure

S9B). Second, while the transcriptional profiles of OECs and N2 cells are highly correlated

(Supplementary Figure S9C), the two cell-types exhibit significant transcriptionally differences.

For example, top differentially upregulated genes in OECs are highly expressed in the olfactory

nerve layer (Supplementary Figure S8C) whereas top differentially upregulated genes in N2 cells

are not well detected in the olfactory nerve layer (Supplementary Figure S9D). This lack of

detection of N2 cell marker genes within the olfactory nerve layer coupled with the rarity of N2

cells in the original reference-based deconvolution with OECs suggests that the placement of N2

cells in the olfactory nerve layer by reference-based deconvolution approaches when using a

reference without OECs is erroneous.

Further, a single-cell transcriptomics reference may not always exist for the same tissue

from which ST data was generated, prompting the use of a reference from a related but

inherently different tissue source. To evaluate the potential effect of using a single-cell

transcriptomics reference from a different tissue source on reference-based deconvolution

approaches, we sought to deconvolve the MOB ST data using the scRNA-seq reference from the

mouse brain described previously. Given this mouse brain reference, pixels in the olfactory nerve

layer previously comprised of OECs were now predicted to be comprised of vascular

leptomeningeal cells (VLMC) (Supplementary Figure S10A). Again, although we do not know

the ground truth cell-type composition of this olfactory nerve layer, top differentially upregulated

genes in VLMCs are not well detected in the MOB (Supplementary Figure S10B) and are

therefore likely not truly present. Taken together, all this suggests that reference-based

deconvolution approaches are sensitive to the cell-types represented in the single-cell

transcriptomics reference that is used, which may lead to inaccurate results and spurious cell-

type assignments when a suitable reference is not available.

STdeconvolve is applicable across diverse ST dataset resolutions and technologies

We anticipate that continual technological improvements will enhance the resolution of

ST data. Already, ST technologies such as Visium (10X Genomics), Slide-seq[28], and DBiT-seq[29]

have achieved resolution that can range from 50 μm^2 to 10 μm^2. Therefore, we sought to

evaluate the performance of STdeconvolve on higher resolution ST data using both simulated as

well as real ST data from higher resolution ST technologies including Visium, Slide-seq, and

DBiT-seq.

First, to simulate higher resolution ST data, we again aggregated single-cell resolution

MERFISH data of the MPOA into 50, 20, and 10 μm^2 resolution pixels. Applying

STdeconvolve, we observed similarly strong correlations between the deconvolved cell-type

transcriptional profiles and proportions with the ground truth (Supplementary Figure S11A-D).

Although the number of cells in each multi-cellular pixel did decrease as the resolution of the

pixel increased as expected, we note that even higher resolution pixels may still contain multiple

cells representing multiple cell-types (Supplementary Figure S11E-F). Thus, deconvolution may

still be applicable to higher resolution ST data and STdeconvolve can still accurately deconvolve

cell-types within these higher resolution multi-cellular pixels.

Encouraged by STdeconvolve's ability to recover cell-types in simulated high-resolution

ST data, we then applied STdeconvolve to real high-resolution multi-cellular ST data from

several different technologies. First, we applied STdeconvolve to 50 μm^2 resolution ST data of a

coronal section of the mouse brain from 10X Visium[30]. Briefly, for 10XVisium, mRNAs from

tissue sections are captured onto an array of DNA barcoded spots, resulting in RNA-sequencing

measurements with gridded 2D spatial positional information. We applied STdeconvolve to

identify $K=20$ cell-types that exhibit spatially distinct patterns that demarcate known brain

structures such as the isocortex and fiber tracts (Figure 4E, Supplementary Figure S12,

Supplementary Methods).

We next applied STdeconvolve to 25 μm^2 resolution ST data of the lower body of the

E11 mouse embryo from DBiT-seq. Briefly, for DBiT-seq, parallel microfluidic channels are

used to deliver DNA barcodes to the surface of a tissue to enable direct barcoding of mRNAs *in*

situ, resulting in RNA-sequencing measurements in a 2D mosaic of spatial pixels. Previously, the

authors identified 13 transcriptionally and spatially distinct features in the E11 mouse embryo

including the atrium, ventricle, liver, and blood vessels containing erythrocyte coagulation.

Applying STdeconvolve with $K=13$, we identify deconvolved cell-types that corresponded with

similar spatially distinct features in agreement with the original findings (Figure 4F,

Supplementary Figure S13A, Supplementary Methods). Moreover, the top genes in the

deconvolved cell-type specific transcriptional profiles contained the expected marker genes of

the matching features, such as *Myh6* for the atrium, *Myh7* for the ventricle, *Apoa2* for the liver,

and *Hba.a2* for the blood vessels containing erythrocyte coagulation in agreement with the

original findings (Supplementary Figure S13B).

Finally, we applied STdeconvolve to 10 μm^2 resolution ST data of the mouse cerebellum

from Slide-seq. Briefly, for Slide-seq, mRNAs from tissue sections are captured onto densely

packed barcoded beads, resulting in RNA-sequencing measurements with 2D spatial positional

information. Previously, RCTD was also applied to this Slide-seq dataset with a matched Drop-

seq scRNA-seq reference of the mouse cerebellum[31] to identify beads representing a distinct

layers of Purkinje neurons and Bergmann glia. Applying STdeconvolve, we identified *K*=14 cell-

types (Figure 4G, Supplementary Methods) whose transcriptional profiles correlated strongly

with cell-types from the scRNA-seq dataset of the mouse cerebellum (Supplementary Figure

S14A). In particular, we found that the deconvolved transcriptional profiles of cell-type X4 and

cell-type X2 correlated strongly with the transcriptional profiles of Purkinje neurons and

Bergmann glia. Likewise, the deconvolved proportional representation of cell-type X4 and cell-

type X2 also agreed significantly (Fisher's Exact *p*-value $< 2.2 \times 10^{-16}$) with the predicted

proportions of Purkinje neuron and Bergmann glia from RCTD (Supplementary Figure S14B-C).

Taken together, these results indicate that STdeconvolve can be applicable to a range of multi-

cellular resolution ST technologies.

As the resolution of ST data improves, the number of spatially resolved pixels and cell-

types represented in the data will presumably also increase. We therefore sought to evaluate the

scalability of STdeconvolve in anticipation of these increasingly larger datasets. To this end, we

benchmarked the runtime and total memory usage by STdeconvolve when deconvolving varying

numbers of cell-types using varying numbers of genes across varying numbers of pixels

(Methods). We found that both the runtime and memory usage by STdeconvolve increased

linearly with the number of pixels and genes in the input dataset (Supplementary Figure S15A)

and is comparable to existing reference-based deconvolution methods when applied to current

ST datasets[5]. Likewise, runtime scales with the number of deconvolved cell-types K in the input

dataset though memory usage remains stable (Supplementary Figure S15B). To enhance runtime

efficiency, STdeconvolve has built in parallelization. In this manner, we anticipate that

STdeconvolve will be amenable to larger ST data.

STdeconvolve identifies immune infiltrates in breast cancer

Finally, to demonstrate the potential of an unsupervised, reference-free deconvolution

approach, we applied STdeconvolve to 100 μm^2 resolution ST data of 4 breast cancer sections[32].

Here, a matched scRNA-seq reference was not available and using a scRNA-seq reference from

another breast cancer sample may be inappropriate due to potential inter-tumoral heterogeneity[33].

Transcriptional clustering of the ST pixels previously identified 3 transcriptionally distinct

clusters that corresponded to 3 histological regions of the tissue: ductal carcinoma *in situ* (DCIS),

invasive ductal carcinoma (IDC), and non-malignant[32] (Figure 5A, Supplementary Figure 16A-

B). However, the tumor microenvironment is a complex milieu of many additional cell-types[34].

We thus applied STdeconvolve to identify potential additional cell-types and interrogate their

spatial organization, resulting in K=15 identified cell-types (Figure 5B, Supplementary Figure

S16C, Online Methods). Of these, deconvolved cell-types X3 and X13 pixel proportions

corresponded spatially with pixels annotated as the non-malignant and DCIS regions,

respectively (Supplementary Figure S16D). Likewise, the deconvolved expression profiles for

X3 and X13 included *KRT1*, a keratin gene specifically expressed in mammary myoepithelial

cells[35], and *PRSS23*, a serine protease associated with proliferation of breast cancer cells[36],

respectively, consistent with the non-malignant and DCIS annotations (Supplementary Figure

S17). Interestingly, the deconvolved expression profile for cell-type X15 included immune genes

such as *CD74* and *CXCL10* (Figure 5C-E, Supplementary Figure S18). Gene set enrichment

analysis also suggested that genes in the deconvolved expression profile for cell-type X15 was

significantly enriched in immune processes such as T cell activation (Supplementary Table S1,

Online Methods). This suggests that deconvolved cell-type X15 may correspond to immune

infiltrates. Further, we find a significant the number of pixels with a high proportion of

deconvolved cell-type X15 corresponding to IDC regions (Fisher's exact p-value = 0.001257)

based on previous clustering and pathology annotations. In contrast, we do not see a significant

number of pixels with a high proportion of deconvolved cell-type X15 corresponding to DCIS

regions (Fisher's exact p-value = 0.5625). This is consistent with previous observations that

when comparing pure DCIS and IDC, infiltration of immune cells was significantly higher in

IDC to pure DCIS[37,38].

The spatial organization of immune cells within tumors has been previously implicated to

be relevant in breast cancer prognosis[39]. In particular, whether immune cells are infiltrated or

excluded from the tumor is associated with tumor microenvironments that stratify patient

outcomes[40]. To evaluate whether STdeconvolve may be able to distinguish infiltrated versus

excluded spatial organization of immune cells in tumors, we simulated ST data representing

infiltrated and excluded spatial organizations using mixtures of single cells assayed by scRNA-

seq (Figure 5F, Online Methods). In both the simulated infiltrated and excluded cases, we find

that STdeconvolve can effectively recover the cell-type transcriptional profiles (Figure 5G) and

enable the quantification of immune infiltration to help distinguish between infiltrated versus

excluded spatial organization of immune cells (Figure 5H). Therefore, we anticipate that

STdeconvolve may be able to assist in deconvolving cell-types in heterogeneous cancer tissues

to recover potentially clinically interesting spatial organizational patterns.

Discussion

Multi-cellular pixel-resolution ST technologies have enabled high-throughput

transcriptomic profiling of small mixtures of cells within tissues but accurate identification of the

underlying cell-types within each pixel is critical for elucidating cell-type specific spatial

organizational patterns and gene expression variation. Although several deconvolution methods

have already been developed to address this challenge, they currently rely on suitable single-cell

transcriptomics references. As we have shown, this reliance on single-cell transcriptomics

references constrains the spatial mapping of cell-types to those in the reference, which may

present limitations if there are missing cell-types, mismatched cell-types, perturbations, and

batch effect differences between the single-cell transcriptomics reference and ST data to be

deconvolved. Here, we have presented STdeconvolve, a reference-free computational approach

to deconvolve cell-type proportions and their transcriptional profiles in multi-cellular pixel

resolution ST data. We have demonstrated that STdeconvolve can accurately recover underlying

cell-type proportions and their transcriptional profiles across a range of different ST technologies

and resolutions. STdeconvolve further provides competitive performance to reference-based

deconvolution approaches when an ideal single-cell transcriptomics reference is available and

potentially better performance in more realistic circumstances where such an ideal reference is

not available. Additionally, we showed the advantage of deconvolution over clustering-based

analysis methods to interrogate heterogeneous mixtures of cell-types. Likewise, using simulated

ST data of aged-perturbed tissues, we showed that STdeconvolve can recover perturbation-

driven cell-type-specific gene expression changes. Finally, we applied STdeconvolve to identify

putative immune infiltration in real and simulated breast cancer ST data.

Though we have shown that STdeconvolve can effectively recover cell-type proportions

and transcriptional profiles in simulated and real ST data, its use of LDA modeling relies on

several underlying assumptions, which may present limitations when these assumptions are not

satisfied. Notably, the performance of LDA in accurately deconvolving cell-types depends on the

size of the dataset with respect to the number of pixels and the number of genes[41]. As such,

deconvolution accuracy generally decreases for ST data containing fewer than 10 pixels

(Supplementary Figure S19). While we have generally found the number of pixels in most ST

datasets to be well beyond 10 pixels after quality control filtering, the application of ST to profile

tissue slivers or other thin structures covering only a few pixels may present challenges to

deconvolution by STdeconvolve. Further, LDA modeling attempts to identify tightly occurring,

and ideally non-overlapping groups of genes in the pixels as cell-types. In this manner, if genes

do not exhibit variability across pixels due to a homogeneous or uniform proportional

representation of cell-types across pixels (Supplementary Figure S20), STdeconvolve may fail to

deconvolve distinct cell-types. Likewise, if the gene expression in the ST data is too sparse with

high rates of stochastic drop-outs[42], then the LDA model may struggle to identify distinct groups

of co-expressed genes and as such STdeconvolve may also struggle to deconvolve distinct cell-

types as well. Still, when such failures happen, STdeconvolve will indicate to users when distinct

cell-types are not detected.

Although we have demonstrated the applicability of STdeconvolve to high resolution

multi-cellular pixel resolution ST data, as the resolution of ST data continues to increase, sub-

cellular pixel-resolution ST technologies will also become more accessible. Already, a number

sub-cellular pixel-resolution ST technologies have emerged[43-47]. As the capture efficiency at this

resolution and likewise the biological questions of interest may differ substantially from multi-

cellular ST data, we anticipate that new methods specifically suited for sub-cellular resolution ST

data will be needed. Thus, STdeconvolve may not be best suited to analysis of such sub-cellular

resolution ST data. Still, as we have noted previously, even as the resolution of ST data

increases, some pixels may still contain multiple cells representing multiple cell-types,

suggesting that deconvolution may still be necessary. Likewise, the number of cells present in a

pixel ultimately will depend on cell size, which can vary depending on the organism, tissue,

and/or disease state being profiled. Ultimately, we believe that there will be a need to balance

between resolution and throughput of ST technologies depending on the biological question of

interest. The potentially larger tissue regions able to be covered by multi-cellular pixel resolution

ST data may still be of interest and thus still require deconvolution. We anticipate that

STdeconvolve will be applicable to data from a variety of current and future ST technologies as

well as potentially inferred ST data[48] to reveal cell-type specific spatial organizational patterns

and transcriptional changes. In general, we foresee that reference-free deconvolution approaches

such as STdeconvolve will contribute to the interrogation of the spatial relationships between

transcriptionally distinct cell-types in heterogeneous tissues.

Methods

STdeconvolve Overview

STdeconvolve uses latent Dirichlet allocation (LDA)[49], a generative probabilistic model, to

deconvolve the latent cell-types contained within multi-cellular pixels of spatially resolved

transcriptome (ST) measurements. In this context, each pixel is defined as a mixture of K cell-

types represented as a multinomial distribution of cell-type probabilities (θ), and each cell-type

is defined as a probability distribution over the genes (β) present in the ST dataset.

LDA Modeling

The ST dataset is represented as a $D \times N$ matrix of discrete gene expression counts for each

pixel d and gene n. The total number of unique molecules, or total gene expression, in a given

pixel d is M_d.

As a generative probabilistic model, the LDA model generates a set of new pixels as follows:

For each pixel d:

a.   draw a cell-type distribution $\theta_d \sim Dir(\alpha)$, where θ_d is a multinomial distribution of

507   length K drawn from a uniform Dirichlet distribution with scaling parameter α.

508   b.   for each observed molecule m in M_d:

509   i.   draw cell-type assignment $z_{d,m} \sim mult(\theta_d)$

510   ii.   draw a gene $w_{d,m} \sim mult(\beta_{z_{d,m}})$

The central goal is to identify the posterior distribution of the latent parameters given the input

data, where for each pixel d:

$$p(\theta_d, \mathbf{z} \mid \mathbf{w}, \alpha, \beta) = \frac{p(\theta_d, \mathbf{z}, \mathbf{w} \mid \alpha, \beta)}{p(\mathbf{w} \mid \alpha, \beta)}$$

where $\mathbf{z}$ is a vector of M_d cell-types assigned to each unique molecule in pixel d, and $\mathbf{w}$ is the

vector of M_d genes assigned to each unique molecule for pixel d. A variational expectation-

maximization approach is used to estimate the values of the latent parameters[49,50]. By default, β

is initialized with 0 for all cell-types and genes, and α as 50/K.

The resulting estimated θ and β matrices represent the deconvolved proportions of cell-types in

each pixel and the gene expression profiles for each cell-type, scaled to a library size of 1. β

represents a $K \times N$ gene-probability (*i.e.*, expression) matrix for each cell-type k and each gene

n with each row summing to 1. The β matrix can be multiplied by a scaling factor of one million

to be more like conventional counts-per-million expression values for interpretability. θ

represents a $D \times K$ pixel-cell-type proportion matrix for each pixel d and each cell-type k. LDA

modeling in STdeconvolve is implemented through the `topicmodels` R package[50].

Of note, LDA assumes for each cell-type that there is a group of genes highly co-expressed with

high probability. Therefore, STdeconvolve uses feature selection for genes more likely to be

highly co-expressed within cell-types, which can improve cell-type deconvolution.

Selection of genes for LDA model

Latent cell-types are best discovered by LDA modeling if cell-type specific marker genes

are included in the input ST data while genes whose expression is shared across cell-types are

excluded. Therefore, to filter for genes that are more likely to be specifically expressed in

particular cell-types to improve cell-type deconvolution by LDA, STdeconvolve first removes

genes that are not detected in a sufficient number of pixels. By default, genes detected in less

than 5% of pixels are removed. Likewise, STdeconvolve also removes genes that are expressed

in all pixels. By default, genes detected in 100% of pixels are removed. STdeconvolve then

selects for significantly overdispersed genes, or genes with higher-than-expected expression

variance across pixels, as a means to detect transcriptionally distinct cell-types[15]. We assume that

the proportion of cell-types will vary across pixels and thus differences in their cell-type-specific

transcriptional profiles manifest as overdispersed genes across pixels in the dataset.

If there are too many genes included in the input ST data, LDA may also struggle to

identify non-overlapping clusters composed of distinct combinations of co-expressed genes. In

these circumstances, users may modulate the number of informative genes included in the input

matrix to ensure LDA convergence. By default, only the top 1000 most overdispersed genes are

retained in the input ST data.

Additional gene filtering or cell-type specific marker genes to include in the input ST

data may also be augmented by the user.

Selection of LDA model with optimal number of cell-types

The number of cell-types K in the LDA model must be chosen *a-priori*. To determine the

optimal number of cell-types K to choose for a given dataset, we fit a set of LDA models using

different values for K over a user defined range of positive integers greater than 1. We then

compute the perplexity of each fitted model:

557
$$Perplexity(D) = \exp\left\{-\frac{\log(p(D))}{\sum_{d=1}^{D}\sum_{n=1}^{N} c_{d,n}}\right\}$$

Where $p(D)$ is the likelihood of the dataset and $c_{d,n}$ is the gene count, or expression level, of

gene n in pixel d. We can interpret $p(D)$ as the posterior likelihood of the dataset conditional on

the cell-type assignments using the final estimated θ and β. The lower the perplexity, the better

the model represents the real dataset. Thus, the trend between choice of K and the respective

model perplexity can then serve as a guide. By default, the perplexity is computed by comparing

$p(D)$ to the entire input dataset used to estimate θ and β.

In addition, STdeconvolve also reports the trend between K and the number of

deconvolved cell-types with mean pixel proportions < 5% (as default). We chose this default

threshold based on the difficulty of STdeconvolve and reference-based deconvolution

approaches to deconvolve cell-types at low proportions, (i.e., "rare" cell-types) (Supplementary

Note 2). We note that as K is increased for fitted LDA models, the number of such "rare" cell-

types generally increases. Such rare deconvolved cell-types are often distinguished by fewer

distinct transcriptional patterns in the data and may represent non-relevant or spurious

subdivisions of primary cell-types. We can use this metric to help set an upper bound on K.

Generally, perplexity decreases and the number of "rare" deconvolved cell-types

increases as K increases. Given these model perplexities and number of "rare" deconvolved cell-

types for each tested K, the optimal K can then be determined by choosing the maximum K with

the lowest perplexity while minimizing number of "rare" deconvolved cell-types. To further

guide the choice of K, an inflection point ("knee") is derived from the maximum second

derivative of the plotted K versus perplexity plot and K versus number of "rare" deconvolved

cell-types.

Still, for a given K, the fitted LDA model may fail to identify distinct cell-types e.g., the

distribution of cell-type proportions in each pixel is uniform. In such a situation, the Dirichlet

distribution shape parameter α of the LDA model will be >= 1 and STdeconvolve will indicate to

the user that the fitted LDA model for a particular K has an α above this threshold by greying out

these *Ks* in the trend plot.

584   Ultimately, the choice of *K* is left up to the user and can be chosen taking into

consideration prior knowledge of the biological system.

Simulating ST data from single-cell resolution spatially resolved MERFISH data

MERFISH data of the mouse medial preoptic area (MPOA) was obtained from the original

publication[16]. Normalized gene expression values were converted back to counts by dividing by

1000 and multiplying by each cell's absolute volume. Datasets for an untreated female animal

(FN7, datasets 171021_FN7_2_M22_M26 and 171023_FN7_1_M22_M26) containing counts

for 135 genes assayed by MERFISH were used. Genes with non-count expression intensities

assayed by sequential FISH were omitted. Counts of blank control measurements were also

removed. Cells were previously annotated as being one of 9 major cell-types (astrocyte,

endothelial, microglia, immature or mature oligodendrocyte, ependymal, pericyte, inhibitory

neuron, excitatory neuron). Cells originally annotated as "ambiguous" were removed from the

dataset to ensure the ground truth was composed of cells with distinguishable cell-types. Because

certain cell-types may be enriched in specific regions of the MPOA, we combined 12 tissue

sections across the anterior and posterior regions to ensure that all expected cell-types would be

well represented in the final simulated ST dataset. After filtering, the final dataset contained

59651 cells representing 9 total cell-types and counts for the 135 genes.

602   To simulate a multi-cellular pixel resolution ST dataset from such single-cell resolution

spatially resolved MERFISH data, we generated a grid of squares, each square with an area of

100 μm². Each square was considered a simulated pixel and the gene counts of cells whose x-y

centroid was located within the coordinates of a square pixel were summed together. A grid of

square pixels was generated for each of the 12 tissue sections separately and the simulated pixels

for all 12 tissue sections were subsequently combined into a single ST dataset. For a given tissue

section, the bottom edge of the grid was the lowest y-coordinate of the cell centroids and the left

edge of the grid was the lowest x-coordinate. Square boundaries were then drawn from each of

these edges in 100 μm² increments until the position of the farthest increment from the origin

was greater than the highest respective cell centroid coordinate. After generating the grid, square

pixels whose edges formed one of the outside edges of the grid were discarded in order to

remove simulated pixels, which by virtue of their placement, encompassed space outside of the

actual tissue sample. The retained pixels covered 49142 out of the original 59651 cells in the 12

tissue sections. This resulted in a simulated ST dataset with 3072 pixels by 135 genes. We used

the original cell-type labels of each cell to compute the ground truth proportions in each

simulated pixel. Likewise, to generate the ground truth transcriptional profiles of each cell-type,

we averaged the gene counts for cells of the same cell-type from the original 59651 cells and

normalized the resulting gene count matrix to sum to 1 for each cell-type. To simulate pixels of

50, 20, and 10 μm², an identical approach was taken using the same cells except those square

boundaries were drawn from each edge in 50, 20, or 10 μm² increments.

Annotation and matching of deconvolved and ground truth cell-types

Each deconvolved cell-type was first matched with the ground truth cell-type that had the highest

Pearson's correlation between their transcriptional profiles. This was done by computing the

Pearson's correlation between every combination of deconvolved and ground truth cell-type

transcriptional profiles.

The assignment of deconvolved cell-types to ground truth cell-types was confirmed by

testing for enrichment of differentially upregulated genes of the ground truth cell-types in the

deconvolved cell-type transcriptional profiles. To determine the differentially upregulated genes

of the ground truth cell-types, ground truth transcriptional profiles were converted to counts per

thousand and low expressed genes, defined as those with average expression values less than 5,

were removed. For each ground truth cell-type, the $\log_2$ fold-change of each remaining gene with

respect to the average expression across the other ground-truth cell-types was computed.

Differentially upregulated genes were those with $\log_2$ fold-change > 1. We performed rank-based

gene set enrichment analysis of the ground truth upregulated gene sets in each deconvolved cell-

type transcriptional profile using the `liger` R package[51]. A match to a ground truth cell-type was

confirmed and assigned if the ground truth gene set had the lowest gene set enrichment adjusted

p-value that was at least < 0.05, followed by the highest positive edge score[52], and then highest

positive enrichment score to break ties.

Deconvolution of additional simulated and real ST data

Deconvolution of simulated and real ST data using STdeconvolve in addition to deconvolution

of simulated and real ST data using supervised and semi-supervised reference-based

deconvolution approaches with various single-cell transcriptomics references is further detailed

in Supplementary Methods.

Comparison of deconvolution approaches

How each supervised and semi-supervised deconvolution approach was run is further detailed in

the Supplementary Methods. To compare the performance between deconvolution methods, the

root mean squared error (RMSE) was computed for each pixel between the deconvolved and

matched ground truth cell-type proportions for each pixel in the ST dataset:

653
$$RMSE = \sqrt{\frac{\sum_{k=1}^{K}(\hat{y}_k - y_k)^2}{K}}$$

where K is the number of cell-types, $\hat{y}_k$ is the predicted cell-type proportion for the cell-type k,

and y_k is the ground truth cell-type proportion for the cell-type k. To assess whether the

distribution of pixel RMSEs was significantly lower for STdeconvolve compared to other

methods, a one-sided Diebold-Mariano Test[53] was used.

Runtime and memory evaluation

Using the Visium dataset described in Supplementary Methods `*Deconvolution of 10X Visium*

data with STdeconvolve`, we generated an input ST dataset of 2702 pixels and feature selected

for the top 1000 most significant overdispersed genes. Runtime of STdeconvolve was measured

on randomly drawn subsets of input data. Five subsets are drawn with 2702 pixels and 50, 100,

200, 400, and 1000 genes, respectively. Another five subsets are drawn with the 1000 top

overdispersed genes and 50, 100, 200, 400, and 1000 pixels, respectively. All subsets are

deconvolved with cell type number (K) between 4 and 20 as input parameters. Runtime was

measured using the R package `microbenchmark`[54] (v1.4-7). Memory usage of STdeconvolve

was measured using a similar sub-setting procedure and the R package `profmem`[55] (v0.6.0).

Total memory allocation was measured, which provides an upper bound for peak memory usage.

Runtime and memory analyses were run on a machine with i7-6600U 2.60GHz CPU with 8GM

of RAM.

Availability of Code

STdeconvolve is available as an open-source R software package[56] with the source code

available in the Supplemental Material and on GitHub at https://github.com/JEFworks-

Lab/STdeconvolve. Additional documentation and tutorials are available at

https://jef.works/STdeconvolve/

<u>**Acknowledgements**</u>

Research reported in this publication was supported by the National Institute of General Medical

Sciences of the National Institutes of Health under Award Number R35-GM142889.

References

685   1   Zhuang, X. Spatially resolved single-cell genomics and transcriptomics by imaging. *Nat*

686   *Methods* **18**, 18-22, doi:10.1038/s41592-020-01037-8 (2021).

687   2   Larsson, L., Frisen, J. & Lundeberg, J. Spatially resolved transcriptomics adds a new

688   dimension to genomics. *Nat Methods* **18**, 15-18, doi:10.1038/s41592-020-01038-7

689   (2021).

690   3   Elosua-Bayes, M., Nieto, P., Mereu, E., Gut, I. & Heyn, H. SPOTlight: seeded NMF

691   regression to deconvolute spatial transcriptomics spots with single-cell transcriptomes.

692   *Nucleic Acids Res*, doi:10.1093/nar/gkab043 (2021).

693   4   Cable, D. M. *et al.* Robust decomposition of cell type mixtures in spatial transcriptomics.

694   *Nat Biotechnol*, doi:10.1038/s41587-021-00830-w (2021).

695   5   Dong, R. & Yuan, G. C. SpatialDWLS: accurate deconvolution of spatial transcriptomic

696   data. *Genome Biol* **22**, 145, doi:10.1186/s13059-021-02362-7 (2021).

697   6   Song, Q. & Su, J. DSTG: deconvoluting spatial transcriptomics data through graph-based

698   artificial intelligence. *Brief Bioinform* **22**, doi:10.1093/bib/bbaa414 (2021).

699   7   Kiemen, A. *et al.* In situ characterization of the 3D microanatomy of the pancreas and

700   pancreatic cancer at single cell resolution. *bioRxiv*, 2020.2012.2008.416909,

701   doi:10.1101/2020.12.08.416909 (2020).

702   8   Nguyen, Q. H., Pervolarakis, N., Nee, K. & Kessenbrock, K. Experimental

703   Considerations for Single-Cell RNA Sequencing Approaches. *Front Cell Dev Biol* **6**, 108,

704   doi:10.3389/fcell.2018.00108 (2018).

9   Network, B. I. C. C. A multimodal cell census and atlas of the mammalian primary motor

cortex. *Nature* **598**, 86-102, doi:10.1038/s41586-021-03950-0 (2021).

10   Hu, B. C. The human body at cellular resolution: the NIH Human Biomolecular Atlas

Program. *Nature* **574**, 187-192, doi:10.1038/s41586-019-1629-x (2019).

11   Regev, A. *et al.* The Human Cell Atlas. *bioRxiv*, 121202, doi:10.1101/121202 (2017).

12   Deutsch, A., Feng, D., Pessin, J. E. & Shinoda, K. The Impact of Single-Cell Genomics

on Adipose Tissue Research. *Int J Mol Sci* **21**, doi:10.3390/ijms21134773 (2020).

13   Haque, A., Engel, J., Teichmann, S. A. & Lonnberg, T. A practical guide to single-cell

RNA-sequencing for biomedical research and clinical applications. *Genome Med* **9**, 75,

doi:10.1186/s13073-017-0467-4 (2017).

14   Dey, K. K., Hsiao, C. J. & Stephens, M. Visualizing the structure of RNA-seq expression

data using grade of membership models. *PLoS Genet* **13**, e1006599,

doi:10.1371/journal.pgen.1006599 (2017).

15   Fan, J. *et al.* Characterizing transcriptional heterogeneity through pathway and gene set

overdispersion analysis. *Nat Methods* **13**, 241-244, doi:10.1038/nmeth.3734 (2016).

16   Moffitt, J. R. *et al.* Molecular, spatial, and functional single-cell profiling of the

hypothalamic preoptic region. *Science* **362**, doi:10.1126/science.aau5324 (2018).

17   Tasic, B. *et al.* Adult mouse cortical cell taxonomy revealed by single cell

transcriptomics. *Nat Neurosci* **19**, 335-346, doi:10.1038/nn.4216 (2016).

18   Hawrylycz, M. J. *et al.* An anatomically comprehensive atlas of the adult human brain

transcriptome. *Nature* **489**, 391-399, doi:10.1038/nature11405 (2012).

19   Lein, E. S. *et al.* Genome-wide atlas of gene expression in the adult mouse brain. *Nature*

445, 168-176, doi:10.1038/nature05453 (2007).

20   Li, C. M. *et al.* Aging-Associated Alterations in Mammary Epithelia and Stroma

Revealed by Single-Cell RNA Sequencing. *Cell Rep* **33**, 108566,

doi:10.1016/j.celrep.2020.108566 (2020).

21   Zhao, E. *et al.* Spatial transcriptomics at subspot resolution with BayesSpace. *Nat*

Biotechnol, doi:10.1038/s41587-021-00935-2 (2021).

22   Vizgen Data Release V1.0. May 2021.

23   Stahl, P. L. *et al.* Visualization and analysis of gene expression in tissue sections by

spatial transcriptomics. *Science* **353**, 78-82, doi:10.1126/science.aaf2403 (2016).

24   Nagayama, S., Homma, R. & Imamura, F. Neuronal organization of olfactory bulb

circuits. *Front Neural Circuits* **8**, 98, doi:10.3389/fncir.2014.00098 (2014).

25   Hintiryan, H. *et al.* Comprehensive connectivity of the mouse main olfactory bulb:

analysis and online digital atlas. *Front Neuroanat* **6**, 30, doi:10.3389/fnana.2012.00030

(2012).

26   Wang, C. *et al.* Identification and characterization of neuroblasts in the subventricular

zone and rostral migratory stream of the adult human brain. *Cell Res* **21**, 1534-1550,

doi:10.1038/cr.2011.83 (2011).

27   Tepe, B. *et al.* Single-Cell RNA-Seq of Mouse Olfactory Bulb Reveals Cellular

Heterogeneity and Activity-Dependent Molecular Census of Adult-Born Neurons. *Cell*

Rep **25**, 2689-2703 e2683, doi:10.1016/j.celrep.2018.11.034 (2018).

28   Rodriques, S. G. *et al.* Slide-seq: A scalable technology for measuring genome-wide

expression at high spatial resolution. *Science* **363**, 1463-1467,

doi:10.1126/science.aaw1219 (2019).

29   Liu, Y. *et al.* High-Spatial-Resolution Multi-Omics Sequencing via Deterministic

Barcoding in Tissue. *Cell* **183**, 1665-1681 e1618, doi:10.1016/j.cell.2020.10.026 (2020).

30   https://www.10xgenomics.com/resources/datasets.

31   Saunders, A. *et al.* Molecular Diversity and Specializations among the Cells of the Adult

Mouse Brain. *Cell* **174**, 1015-1030 e1016, doi:10.1016/j.cell.2018.07.028 (2018).

32   Yoosuf, N., Navarro, J. F., Salmen, F., Stahl, P. L. & Daub, C. O. Identification and

transfer of spatial transcriptomics signatures for cancer diagnosis. *Breast Cancer Res* **22**,

6, doi:10.1186/s13058-019-1242-9 (2020).

33   Karaayvaz, M. *et al.* Unravelling subclonal heterogeneity and aggressive disease states in

TNBC through single-cell RNA-seq. *Nat Commun* **9**, 3588, doi:10.1038/s41467-018-

06052-0 (2018).

34   Wei, R., Liu, S., Zhang, S., Min, L. & Zhu, S. Cellular and Extracellular Components in

Tumor Microenvironment and Their Application in Early Diagnosis of Cancers. *Anal*

Cell Pathol (Amst) **2020**, 6283796, doi:10.1155/2020/6283796 (2020).

35   Karantza, V. Keratins in health and cancer: more than mere epithelial cell markers.

Oncogene **30**, 127-138, doi:10.1038/onc.2010.456 (2011).

36   Chan, H. S. *et al.* Serine protease PRSS23 is upregulated by estrogen receptor alpha and

associated with proliferation of breast cancer cells. *PLoS One* **7**, e30397,

doi:10.1371/journal.pone.0030397 (2012).

37   Kim, M. *et al.* Immune microenvironment in ductal carcinoma in situ: a comparison with

invasive carcinoma of the breast. *Breast Cancer Res* **22**, 32, doi:10.1186/s13058-020-

01267-w (2020).

38   Beguinot, M. *et al.* Analysis of tumour-infiltrating lymphocytes reveals two new

biologically different subgroups of breast ductal carcinoma in situ. *BMC Cancer* **18**, 129,

doi:10.1186/s12885-018-4013-6 (2018).

39   Keren, L. *et al.* A Structured Tumor-Immune Microenvironment in Triple Negative

Breast Cancer Revealed by Multiplexed Ion Beam Imaging. *Cell* **174**, 1373-1387 e1319,

doi:10.1016/j.cell.2018.08.039 (2018).

40   Gruosso, T. *et al.* Spatially distinct tumor immune microenvironments stratify triple-

negative breast cancers. *J Clin Invest* **129**, 1785-1800, doi:10.1172/JCI96313 (2019).

41   Jian, T., Zhaoshi, M., Xuanlong, N., Qiaozhu, M. & Ming, Z.   190-198 (PMLR, 2014).

42   Asp, M., Bergenstrahle, J. & Lundeberg, J. Spatially Resolved Transcriptomes-Next

Generation Tools for Tissue Exploration. *Bioessays* **42**, e1900221,

doi:10.1002/bies.201900221 (2020).

43   Eng, C. L. *et al.* Transcriptome-scale super-resolved imaging in tissues by RNA

seqFISH. *Nature* **568**, 235-239, doi:10.1038/s41586-019-1049-y (2019).

44   Xia, C., Fan, J., Emanuel, G., Hao, J. & Zhuang, X. Spatial transcriptome profiling by

MERFISH reveals subcellular RNA compartmentalization and cell cycle-dependent gene

expression. *Proc Natl Acad Sci U S A* **116**, 19490-19499, doi:10.1073/pnas.1912459116

(2019).

45   Wang, X. *et al.* Three-dimensional intact-tissue sequencing of single-cell transcriptional

states. *Science* **361**, doi:10.1126/science.aat5691 (2018).

46   Wang, F. *et al.* RNAscope: a novel in situ RNA analysis platform for formalin-fixed,

paraffin-embedded tissues. *J Mol Diagn* **14**, 22-29, doi:10.1016/j.jmoldx.2011.08.002

(2012).

47   Codeluppi, S. *et al.* Spatial organization of the somatosensory cortex revealed by

osmFISH. *Nat Methods* **15**, 932-935, doi:10.1038/s41592-018-0175-z (2018).

48   Levy-Jurgenson, A., Tekpli, X., Kristensen, V. N. & Yakhini, Z. Spatial transcriptomics

inferred from pathology whole-slide images links tumor heterogeneity to survival in

breast and lung cancer. *Sci Rep* **10**, 18802, doi:10.1038/s41598-020-75708-z (2020).

49   Blei, D. M. a. N., Andrew Y and Jordan, Michael I. Latent dirichlet allocation. *The*

Journal of Machine Learning Research **3**, 993-1022 (2003).

50   Grün, B. & Hornik, K. topicmodels: An R Package for Fitting Topic Models. *2011* **40**,

30, doi:10.18637/jss.v040.i13 (2011).

51   Fan, J. Differential Pathway Analysis. *Methods Mol Biol* **1935**, 97-114, doi:10.1007/978-

1-4939-9057-3_7 (2019).

52   Subramanian, A. *et al.* Gene set enrichment analysis: a knowledge-based approach for

interpreting genome-wide expression profiles. *Proc Natl Acad Sci U S A* **102**, 15545-

15550, doi:10.1073/pnas.0506580102 (2005).

53   Diebold, F. X. & Mariano, R. S. Comparing Predictive Accuracy. *Journal of Business &*

Economic Statistics **13**, 253-263, doi:10.1080/07350015.1995.10524599 (1995).

54   Accurate Timing Functions. https://github.com/joshuaulrich/microbenchmark/.

55   Simple Memory Profiling for R. https://github.com/HenrikBengtsson/profmem.

56   R Core Team. R: A language and environment for statistical computing. *R Foundation*

for Statistical Computing (2021).

Figure Legends

Figure 1. Overview of STdeconvolve. A) STdeconvolve takes as input a spatial transcriptomics

(ST) gene counts matrix of D pixels (rows) by N genes (columns). A matrix of spatial

coordinates for each of the D pixel can also be used for visualization. B) STdeconvolve first

feature selects genes for deconvolution, such as genes with counts in more than 5% and less than

95% of the pixels, and overdispersed across the pixels. STdeconvolve then guides the selection

of the optimal number of cell-types to be deconvolved, K. STdeconvolve finally applies LDA

modeling. A graph representation of LDA modeling and the parameters to be learned is shown.

Shaded circle indicates observed variables and clear circles indicate latent variables. C)

STdeconvolve outputs two matrices: (1) β, the deconvolved transcriptional profile matrix of K

cell-types over N' feature selected genes, and (2) θ, the proportions of K cell-types across the D

pixels. The proportion of deconvolved cell-types can then be visualized across the pixels.

Figure 2. Deconvolution of simulated ST data. A) Ground truth single-cell resolution

MERFISH data of one section of the MPOA partitioned into 100 μm² pixels (black dashed

squares). Each dot is a single cell colored by its ground truth cell-type label. B) Proportions of

deconvolved cell-types from STdeconvolve represented as pie charts for each simulated pixel. C)

The ranking of each gene based on its expression level in the deconvolved cell-type

transcriptional profiles compared to its gene rank in the matched ground truth cell-type

transcriptional profiles. C) Heatmap of Pearson's correlations between the deconvolved cell-type

proportions and ground truth cell-types proportions across simulated pixels. D) Ground truth

cell-types are ordered by their frequencies in the ground truth dataset. Matched deconvolved and

ground truth cell-types are boxed. E) Root-mean-square-error (RMSE) of the deconvolved cell-

842   type proportions compared to ground truth for STdeconvolve, F) for supervised deconvolution

843   approaches using the ideal single cell transcriptomics MERFISH MPOA reference, G) for

844   supervised deconvolution approaches using the single cell transcriptomics MERFISH MPOA

845   reference with missing neurons, and H) for supervised deconvolution approaches using a brain

846   single-cell RNA-seq reference.

Figure 3. Comparing clustering versus deconvolution analysis for ST data. A) Overview of
simulation approach. Starting from a single-cell RNA-seq clustering result visualized as a 2D
tSNE embedding with cells colored by cell-type (top), gene expression counts from cells are
combined to simulate cell-type mixtures, with the proportions of cell-types are represented as pie
charts for each arbitrary spatial pixel (bottom). B) Simulated ST datasets of aged and young
tissues using mixtures of aged macrophages with aged luminal cells and young macrophages
with young luminal cells respectively represented as pie charts for each simulated ST pixel. C)
Bar chart of $\log_2$ fold-change for deconvolved aged macrophage versus deconvolved young
macrophage gene expression. Select genes are highlighted in red. D) Simulated ST dataset with 3

cell-types represented as pie charts for each simulated ST pixel. E) Clustering analysis results of

simulated ST dataset with 3 cell-types. Pie chart proportional representation (left) and tSNE

representation (right). F) Deconvolution results for the simulated ST dataset with 3 cell-types by

STdeconvolve. The ranking of each gene based on its expression level in the deconvolved-cell-

type transcriptional profiles compared to its gene rank in the matched ground truth cell-type

transcriptional profiles (top). Heatmap of Pearson's correlations between the deconvolved cell-

types proportions and ground truth cell-types proportions across simulated pixels (bottom). G)

BayesSpace enhanced resolution clustering results for the simulated ST dataset with 3 cell-types

represented as pie charts. H) Root-mean-square-error (RMSE) of the deconvolved cell-type

proportions compared to ground truth for the simulated ST dataset with 3 cell-types. I) Ground

truth cell-type proportions derived from single-cell resolution MERFISH data of the mouse brain

partitioned into 100 μm^2 pixels. J) Deconvolved cell-type proportions for the mouse brain by

STdeconvolve. K) Enhanced resolution clustering for the mouse brain by BayesSpace. Inset

highlights an interior region corresponding approximately to the thalamus. L) Root-mean-square-

error (RMSE) of the deconvolved cell-type proportions compared to single-cell clustering for the

MERFISH mouse brain data for the inset interior region corresponding approximately to the

thalamus.

Figure 4. Deconvolution of ST data of varying resolution from multiple technologies by

STdeconvolve. A) Deconvolved cell-type proportions for ST data of the MOB, represented as

pie charts for each ST pixel. Pixels are outlined with colors based on the pixel transcriptional

cluster assignment corresponding to MOB coarse cell layers. B) Highlight of deconvolved cell-

type X7. Pixel proportion of deconvolved cell-type X7 are indicated as black slices in pie charts.

Pixels are outlined with colors as in A). C) Gene counts in each pixel of the MOB ST dataset for

deconvolved cell-type X7's select top marker genes *Sox11* and *Nrep*. D) Corresponding ISH

images for *Sox11* and *Nrep* from the Allen Brain Atlas[19]. E) Deconvolved cell-type proportions

for Visium data of the mouse brain. F) Deconvolved cell-type proportions for DBiT-seq data of

886   the lower body of an E11 mouse embryo. G) Deconvolved cell-type proportions for Slide-seq

887   data of the mouse cerebellum.

888
889

Figure 5. STdeconvolve characterizes the spatial organization of immune cells in real and

simulated breast cancer ST data. A) An H&E-stained image of the breast cancer tissue with

pathological annotations adapted from Yoosuf *et al.*[32]. B) Deconvolved cell-type pixel

proportions for ST data of a breast cancer tissue section, represented as pie charts. Pixels are

outlined with colors based on the pixel transcriptional cluster assignment corresponding to 3

pathological annotations. C) Highlight of deconvolved cell-type X15. Pixel proportion of

deconvolved cell-type X15 are indicated as black slices in pie charts. Pixels are outlined with

colors as in B). Select genes corresponding cell-type X15's select top marker genes are shown.

D) Barplot of the deconvolved transcriptional profile of cell-type X15 ordered by magnitude.

Inset represents the $\log_2$ fold-change of the deconvolved transcriptional profile of cell-type X15

with respect to the mean expression of the other 14 deconvolved cell-type transcriptional

profiles. Select highly expressed and high fold-change genes are labeled. E) Gene set enrichment

plot for significantly enriched GO term "T cell activation" for deconvolved cell-type X15. F)

Simulated ST datasets of an immune-excluded tumor sample (top) and immune-infiltrated tumor

sample (bottom) using mixtures of single cells represented as pie charts for each simulated ST

pixel. G) Deconvolution results for the simulated ST data by STdeconvolve. The ranking of each

gene based on its expression level in the deconvolved-cell-type transcriptional profiles compared

to its gene rank in the matched ground truth cell-type transcriptional profiles for the simulated

immune-excluded tumor sample (top) and immune-infiltrated tumor sample (bottom). H)

Histogram of the deconvolved proportion of immune cells in the tumor region defined in (F) for

the simulated immune-excluded tumor sample (top) and immune-infiltrated tumor sample

(bottom).