1   A Unified Model for Entrainment by Circadian Clocks: Dynamic Circadian Integrated Response

2   Characteristic (dCiRC)

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Abstract

Circadian rhythm is a ubiquitous phenomenon, and it is observed in all biological kingdoms. In nature, their primary characteristic or phenotype is the phase of entrainment. There are two main hypotheses related to how circadian clocks entrain, parametric and non-parametric models. The parametric model focuses on the gradual changes of the clock parameters in response to the changing ambient condition, whereas the non-parametric model focuses on the instantaneous change of the phase of the clock in response to the zeitgeber. There are ample empirical data supporting both models. However, only recently has a unifying model been proposed, the circadian integrated response characteristic (CiRC). In the current study, we developed a system of ordinary differential equations, dynamic CiRC (dCiRC), that describes parameters of circadian rhythms and predicts the phase of entrainment in zeitgeber cycles. dCiRC mathematically extracts the underlying information of velocity changes of the internal clock that reflects the parametric model and the phase shift trajectory that reflects the non-parametric model from phase data under entraining conditions. As a proof of concept, we measured clock parameters of 26 *Neurospora crassa* ecotypes in both cycling and constant conditions using dCiRC. Our data showed that the subjective morning light shortens the period of the clock while the subjective afternoon light lengthens it. We also found that individual ecotypes have different strategies of integrating light effects to accomplish the optimal phase of entrainment, a model feature that is consistent with our knowledge of how circadian clocks are organized and encoded. The unified model dCiRC will provide new insights into how circadian clocks function under different zeitgeber conditions. We suggest that this type of model may be useful in the advent of chronotherapies.

45   Keywords: entrainment, parametric model, non-parametric model, mathematical modeling, CiRC,

46   *Neurospora crassa*

Introduction

Most living organisms on the earth orchestrate their behaviors and underlying physiology in

accordance with the 24-hr rotation of the earth. The cyclic environment is perceived by the

organism via different cues such as the light-dark cycle, food availability, temperature changes,

and social interactions. The basis for all of these daily changes is the light-dark cycle, which is

therefor the predominant environmental cues (zeitgeber) are integrated by circadian clocks [1-5].

Although the key molecular players in generating the circadian rhythm signals are different,

there are significant common design principles found in clocks in various model organisms [4, 6].

Their common characteristics include an endogenous, self-sustained *circa* 24 hr (hence circadian)

rhythm that persists in constant conditions, with this period length that is relative insensitive to

temperature changes within a physiological range (temperature compensation) [7, 8]. In

entraining conditions, the clock is adjusted to the local time through synchronization. A

characteristic relationship between the zeitgeber cycle and the endogenous circadian clock

defines the phase of entrainment [9-11]. The robust and sustainable 24 hr period of the circadian

clock, and its relative independence to temperature is intriguing, challenging the basic

assumptions of biological processes. The results of mutant screens have addressed these

mechanisms. We have a good appreciation of the molecular mechanisms on generating 24 hr

rhythms in constant condition. In comparison, we have much less understanding of entrainment

and its role in the ecology of the clock in a natural, cycling environment [2, 10].

There are two contrasting models concerning the mechanisms of entrainment, parametric

and non-parametric. The non-parametric approach assumes entrainment occurs due to an instant

shift of internal phase in response to the zeitgeber transition while the clock's parameters do not

reset [12]. The phase shift is described by the Phase Response Curve (PRC) [13, 14]. In contrast,

the parametric approach indicates that the velocity change of the internal clock caused the

entrainment, expressed in a form called Velocity Response Curve (VRC) [15]. Both PRC and

VRC are based on the recorded phase changes in stable conditions, usually one day after the

light-dark transition occurs. The traditional non-parametric and parametric approaches of

modeling entrainment are built on the phase-only models, meaning the only output is the phase

shift.

In an attempt to integrate these two views on how circadian clocks function in a cycling

environment, a model was proposed, the Circadian Integrated Response Characteristic (CiRC)

[10]. CiRC is based on an assumption of period matching between the endogenous clock and the

zeitgeber. The organism integrates light differentially according to internal time to adjust the

internal cycle length to that of the zeitgeber. Specifically, the light around subjective dawn

compresses the internal cycle, and light around subjective dusk expands it. In comparison to the

traditional approaches, CiRC has several key advantages. Firstly, CiRC makes the natural

assumption of period matching. The clock actively synchronizes to the zeitgeber signal, adjusting

the velocity of the internal clock. Secondly, CiRC proposes a robust way of light functioning in

the entrainment process. The light stimuli accelerate or decelerate the clock according to the

internal time. Thirdly, a variety of zeitgeber structures (e.g. photoperiod or thermoperiod) lend

themselves to CiRC analysis and modeling. It allows us to study how the zeitgeber properties

affect the entrainment. The CiRC should also reveal unique properties of various zeitgebers and

their distinct signaling mechanisms.

In the current study, we develop a mathematical model reflecting the premises of both

parametric and non-parametric natures of the circadian clock, dCiRC. The dCiRC faithfully

describes the overt rhythms and molecular rhythms in both constant and cycling conditions. The

dCiRC model describes the phase shift trajectory and the continuous velocity changes of the

internal clock in a cycling condition. For the proof of concept, we analyzed 25 Neurospora

ecotypes using dCiRC and found that these ecotypes have genotype specific CiRC shapes, light

receptor sensitivity, and elasticity.

Material and Methods

Neurospora strains and cultures

The *N. crassa* ecotypes were gifts from Dr. L. Glass at University of California, Berkeley; D116,

D117, D119, JW161, JW162, JW168, JW169, JW172, JW176, JW18, JW180, JW200, JW22,

JW220, JW224, JW228, JW238, JW24, JW260, JW261, JW59, JW60, P4463, P4469, P4483.

DBP338 (*frq^7, bd*) was a gift from Dr. S. Crosthwaite at NIAB EMR, UK. FGSC#2489 was

received from the Fungal Genetics Stock Center (Manhattan, KS). The translational FRQ:LUC

reporter bearing strain X716-6a (called L3 in this report) was a gift from Dr. L. Larrondo at

Pontificia Universidad Católica de Chile. The developmental rhythm was measured by Inverted

Race Tube Assay as previously reported [16]. In short, we performed the experiment in two

laboratory conditions, constant darkness (DD) and and 12 hr light: 12 hr dark cycling condition

(LD). We analyzed 25 *N. crassa* natural strains, the reference strain FGSC#2489, and DBP338

(*frq^7;ras^{bd}*) in DD and LD. For the chamber experiments, the inoculated race tubes are kept in a

growth chamber (E-41L2, Percival) under different light conditions (DD and LD) at constant

temperature 25 °C. The chambers are located in a temperature controlled (25 °C) and light-tight

room. In the room, there are two different lights, white light and red light. All race tube

manipulations are performed under red light, which does not interrupt circadian regulation.

Onset® HOBO® Data Loggers are used to record the temperature and light intensity in each

experiment as an independent validation of the light and temperature conditions. Digital images

of the race tubes were analyzed to calculate the clock properties, period and phase of entrainment,

using the software Chrono [17] and the web-based program BioDare2 [18].

Measuring molecular rhythms

Luminometry requires darkness to detect the photon emission from the cell. However, our goal is

measuring the molecular clock in a light:dark cycling condition. We optimized the assay

condition to measure the luciferase activity of a strain bearing a FRQ luciferase translational

fusion construct in a light:dark cycling condition (S1 Fig).

Circadian integrated response characteristic (CiRC)

CiRC describes the circadian system's phase-dependent capacity to compress or expand its

internal cycle length (denoted by τ_I) to adjust it to that of the zeitgeber (denoted by T) [10, 19].

In terms of the velocity of the internal clock (denoted by ω_I), CiRC represents the ability of

zeitgeber signals to accelerate ω_I (compress τ_I) or decelerate ω_I (expand τ_I).

CiRC is composed of a sine curve and its second harmonic, see Eq (1). The second

harmonic coefficient is defined as a shape factor (s). It determines the extent of the dead zone

around subjective noon. An asymmetry factor (a) determines the ratio between the amplitudes of

compression (red) and expansion (blue) areas, see S1 Fig. We let s and a vary in the range [0,2]

to generate CiRCs with significantly different shapes corresponding to the individuals' various

responses to the zeitgeber stimuli. The CiRC curves are normalized to an absolute maximum of 1.

S1 Fig shows examples of CiRC with four combinations of s and a.

$$CiRC = \begin{cases} \sin(\theta_I) + s \times \sin(2\theta_I) & \begin{array}{l} when\ 0 < \theta_I < \pi, \\ if\ CiRC > 0, then\ CiRC = 0, \\ if\ a < 1, then\ CiRC = CiRC \times a. \end{array} \\ -\sin(2\pi - \theta_I) - s \times \sin(2\pi - 2\theta_I) & \begin{array}{l} when\ \pi < \theta_I < 2\pi, \\ if\ CiRC < 0, then\ CiRC = 0, \\ if\ a > 1, then\ CiRC = CiRC/a. \end{array} \end{cases} \quad (1)$$

143   where s is called a shape factor, a is called an asymmetry factor, θ_I is the internal time.

Dynamic circadian integrated response characteristic (dCiRC) model

146   The dynamic CiRC model is composed of the CiRC equations and a system of ODEs to govern

147   the behaviors of the zeitgeber cycle and the internal clock:

$$\begin{cases} \dfrac{d\theta_Z}{dt} = \dfrac{2\pi}{T}, \\ \dfrac{d\theta_I}{dt} = \omega_I, \\ \dfrac{d\omega_I}{dt} = light(\theta_Z) \cdot CiRC(\theta_I) + k_e \cdot (\omega_{I0} - \omega_I), \end{cases} \quad (2)$$

148   with:

$$light(\theta_Z) = \begin{cases} c_z & \epsilon_1 < \theta_Z(mod\ 2\pi) < \epsilon_2, \\ 0 & otherwise. \end{cases}$$

Where θ_Z represents the phase angle of the zeitgeber cycle, θ_I represents the phase angle

of the internal clock, T represents the period of the zeitgeber cycle (the time duration for the

clock to complete a full cycle), ω_I represents the angular velocity of the internal clock, ω_{I0}

represents the angular velocity of the internal clock when the organism is under constant dark

(DD) condition (known as free-running), c_z is the zeitgeber strength which reflects the intensity

of the signal and sensitivity of photo-receptors, k_e is an elastic constant which characterizes a

frictional restoring force proportional to the velocity changes $(\omega_{I0} - \omega_I)$ of the internal clock, ϵ_1

and ϵ_2 indicate the time when the zeitgeber goes on and off (for example, if the organism

experiences constant light, we set $\epsilon_1 = 0, and\ \epsilon_2 = \pi$ which corresponds to dawn and dusk,

respectively). By definition, we have that $\tau_I = \frac{2\pi}{\omega_I}$ represents the period of the internal clock, and

$\tau_{I0} = \frac{2\pi}{\omega_{I0}}$ represents the free-running period (measured experimentally in DD). Although we can

infer the dynamic behaviors of ω_I and τ_I from each other because they follow a reciprocal

relation, we show both the changes of ω_I and τ_I because ω_I is a better representation for the

clock running status, while τ_I has values around 24, which gives us a more intuitive view.

The first equation in Eq (2) governs the rate of change of θ_Z with respect to time. The

second equation in Eq (2) describes ω_I is the rate of change of θ_I with respect to time. The third

equation in Eq (2) shows how the zeitgeber profile and CiRC regulate ω_I. When there is no

zeitgeber (under constant conditions), an elastic term k_e drives ω_I to converge to ω_{I0}. When the

zeitgeber presents around subjective dawn (positive CiRC values), it accelerates ω_I (compresses

τ_I); when the zeitgeber presents around subjective dusk (negative CiRC values), it decelerates ω_I

(expands τ_I).

Phase of entrainment

Entrainment occurs when the circadian rhythm overcomes the period mismatch to adjust

its period to that of the external environment [20]. Entrainment is characterized by a stable phase

relationship with the ambient environment. The period-phase relationship between the internal

clock and zeitgeber has been extensively studied in previous works [9, 20-23]. During the

synchronization process, the phase differences (denoted by ϕ) between the internal clock and the

zeitgeber keep changing and converge to a stable value called phase of entrainment (denoted by

Φ). We record the time t_Z when $\theta_Z = \frac{\pi}{2}$, and t_I when $\theta_I = \frac{\pi}{2}$ (subjective noon). Then we measure

ϕ as $t_Z - t_I$.

Analysis of entrainment data

Data processing. For developmental rhythm data: firstly, the beginning and the end of raw data

are removed to exclude the outlying points. Subsequently, the truncated data is detrended using

the MATLAB detrend function. We chose to subtract a quadratic trend from the data because the

linear detrended results still have a clear trend that affects the following amplitude normalization

process for some of the data entries. For those data entries that both the linear and quadratic

detrend methods apply, we generate the final normalized data with both methods and calculate

the L^2 norm of the differences. The comparison shows the two methods have very similar outputs.

Then, we smooth the detrended data using the MATLAB *csaps* function. In the final step, we fit

the peak heights of the smoothed data with polynomial equations and normalize the amplitude of

the data to 1. For molecular rhythm data, we follow the same process, with a slight modification.

We keep all data points and apply the cubic detrend method to all data entries.

Determination of dCiRC parameters. To determine the best-fitting dCiRC parameters for each

genotype, we fit the simulated dCiRC phase trajectory to the processed data and solve a convex

optimization problem. We apply the MATLAB PSO (Particle Swarm Optimization) algorithm

with the following convex cost function:

$$convex\ cost = L^2\ norm + [\alpha_1(c_z - \bar{c}_z)^2 + \alpha_2(k_e - \bar{k}_e)^2 + \alpha_3(s - \bar{s})^2 + \alpha_4(a - \bar{a})^2].$$

Where $c_z \in [0,3]$ is the zeitbeger strength, $k_e \in [0,3]$ is the elastic factor, $s \in [0,2]$ is the shape

factor, $a \in [0,2]$ is the asymmetry factor, the $L^2\ norm$ is the square root of the sum of the square

differences between the simulated and experimental data points, $\bar{c}_z, \bar{k}_e, \bar{s}$, and $\bar{a}$ are reasonable

targeting values (estimated from data) for c_z, k_e, s, and $a, \alpha_1, \alpha_2, \alpha_3, \alpha_4$ are coefficients of the

convex terms. We choose a reasonable range for the parameters based on the testing simulations.

For all 26 genotypes, we fit the data and take the average $\bar{c}_z, \bar{k}_e$ of all fitting results as the

targeting values. Then, we fit all three data entries of each genotype. We calculate the best-fitting

c_z, k_e values by averaging three pairs of c_z, k_e values. Then we explore the 3D space of "convex

cost – s – a" and find the pair of (s, a) values that minimizes the convex cost. The pair of (s, a)

that minimizes the total cost for all three data entries is the best-fitting s and a for this genotype.

Then we plot the best fitting CiRC and the change of ω_I over time for each genotype. We also

simulate Ψ and compare it to the one observed from experiments. Table 1 shows the key

parameters we used in the fitting process.

218   **Table 1: Biological meanings and the roles of the main parameters in the dCiRC model.**

Parameter	Description	Role
τ_{DD}	Free-running period	Measured experimentally
τ_0	Initial internal period	Initial data
ϕ_0	Initial internal phase	Initial data
c_Z	Zeitgeber strength	Key parameter to be fitted
k_e	Elastic factor	Key parameter to be fitted
s	Shape factor	Key parameter to be fitted
a	Asymmetry factor	Key parameter to be fitted

Results

221   [Figure 1 goes here]

The velocity of the clock (ω_I) and phase of entrainment (Φ) in dCiRC

To determine if dCiRC (Materials and Methods) reflects both the change of the circadian clock

velocity and the phase of entrainment, we simulated the dCiRC model under different light

conditions (Fig 1). The light profile was composed of two rounds of zeitgeber cycles which

were repeated twice. In each of the 35-day rounds, the first 20 days were a 24h zeitgeber cycle

(12h:12h, light on at ZT0, light off at ZT12) conditions, and the remaining 15 days were under

DD conditions. We choose the CIRC variant in Fig. 1A with $s = 0, a = 1$. The rest of the

parameters were: $\tau_{I0} = 23h, T = 24h, c_z = 0.025, k_e = 0.05$. There were four stages in each

round of zeitgeber cycles. In the beginning, the clock had an initial $\tau_I = \tau_{I0} = 23h$. From day 1

to day 11, the organism goes through the "synchronizing" process. During each day, the light

signal in the early subjective day (ZT0 – ZT6) compresses τ_I (accelerates ω_I), the light signal in

the late subjective day (ZT6 – ZT12) expands τ_I (decelerates ω_I). The phase difference

converges to Ψ. From day 12 to day 20, the organism is "Synchronized" under cycling

conditions. τ_I stays in a dynamic equilibrium around $T = 24h$, and the phase difference

stabilizes at $\Psi = 2.96h$. The organism is desynchronizing from the zeitgeber clock during day

21 through 27 because it is in DD. Here, the phase differences keep changing on each simulated

day only due to the period mismatch. From day 28 to day 35, the organism is in free-running

status where the phase differences stabilized and τ_I stays at the value of $\tau_{I0} = 23h$. The phase

difference continues changing due to the period mismatch. From day 36 to day 70, the same

procedure is repeated. The organism experiences the same stages while the trajectories of τ_I, ω_I,

and ϕ have shifted.

Genotype-specific dCiRC parameters

Next, we aimed to identify the clock parameters based on the experimental data in entraining

conditions. For this, we assayed the overt daily rhythm of asexual development in 26 *N. crassa*

natural ecotypes and a long-period mutant DBP338 (*frq^7^;ras^bd^*, $\tau_{I0} = 30.0h$) under DD and LD

conditions (Materials and methods, S1 Data). We used these experimental data to find the best

fitting parameters for the dCiRC model (Fig 2). Due to genetic variations, complex

environmental cues, limits of recording techniques, and artifacts, the recorded rhythmic data

contains many different shapes. On the other hand, the data diversity among all genotypes also

indicates the natural variations of initial phase at the beginning of the experiment, the non-

uniform light responses, and so on. We truncate the parts of data that do not follow the consistent

rhythmic behaviors which cause different time spans of fitting curves. We also fitted the initial

phase for each genotype which cause the shift of the fitting curves.

[Figure 2 goes here]

In conventional race tube analysis, the phase data is calculated by averaging the phase

from each day during the experiments (Materials and methods). The averaged phase value does

not reflect the status of the entrainment process. We observed that the peaks of the detrended

data of JW18 present roughly the same ZT hour at each day, whereas those of the detrended data

of DBP338 keep advancing (Fig 2C and D). This suggests both that ecotype JW18 reaches a

stable entrainment in four days of experimental data, whereas the long period strain DBP338

does not.

The light signal in the early subjective day (ZT0 - ZT6) is used by the internal clock to

overcome the period mismatch (between the θ_I and θ_Z) and the effect of k_e to increase ω_I (Fig

2E). The light signal presents in the late subjective day (ZT6 - ZT12) integrated with the effect of

k_e to decelerate ω_I. During the subjective night (ZT12 - ZT24), the elastic effect drives ω_I to

converge to the free-running velocity. The stationary point of the velocity is by definition at noon.

The periodic behaviors of the internal clock's velocity indicates that the strain is fully entrained

with $c_z = 0.2658$ and $k_e = 0.4019$. The internal clock's velocity of DBP338 changes with the

same mechanisms while no periodic behaviors are observed (Fig 2F). Instead, the internal clock

of DBP338 runs at different rates at the end of each day. This suggests that the clock is not yet

entrained with $c_z = 0.0394$ and $k_e = 0.0056$. The flat zone around the subjective noon (ZT12)

is cause by the extensive dead zone in the dCiRC (see Fig 2F). A small value of the elastic factor

allows the clock to run at nearly stable rates through the subjective night. We concluded that the

larger c_z and k_e values reflect the DBP338's sensitivity to the light. Thus, the internal clock's

velocity fluctuates in a larger range in comparison to the strain with a shorter τ_{I0}. As expected,

each genotype has a specific dCiRC curves (Fig.2G and 2H) with unique values of s and a (Fig

2I and J, best-fitting parameters of all 26 genotypes in S1 Data).

In addition, we show that the best-fitting parameters for genotypes with short τ_I and long

τ_I are significantly different. For genotypes with short τ_I, 14 out of 25 (56%) genotypes with

short τ_I have a combination of $c_z < 0.6, k_e > 0.6$ (S1 Data). The results indicate that there

exists a relatively strong restoring force to keep a self-sustained free-running oscillation, while a

relatively weak entrainment strength of c_z is shown. The best-fitting (s, a) combinations show

larger variations among all genotypes. The s values distribute across the range of $[0,1.4]$, while

23 out of 25 (92%) genotypes have a values less than 0.40.

We calculated the areas under the dCiRC curve with a sign (the area is positive from 0h

to 12h, and negative from 12h to 24h) and defined it as the *total area under curve (TAUC)* of

dCiRC. We define the portion of the above signed area covered by light signal as the *light-*

exposed area under curve (LAUC). Positive values of TAUC and LAUC reflect that the

compression area is larger than the expansion area, thus the overall light effect is compressing τ_I.

Negative values of TAUC and LAUC reflect that the expansion area is larger than the

compression area, thus the overall light effect is expanding τ_I. For JW18, the optimized

combination of (s, a) is $(0.20,0.10)$. The expansion area being larger than the compression area

(negative TAUC and LAUC) is consistent with the expectation for the genotype with shorter

period $(\tau_I = 21.7h < 24h)$. For DBP338, the optimized combination of (s, a) is $(1.40,1.85)$.

There is an extensive flat zone around noon. This implies that ω_I actively changes in response to

the light signal around subjective dawn or dusk while the zeitgeber around subjective noon is

ineffective. Its dCiRC has larger compression area than expansion area (positive TAUC and

LAUC) which is consistent with our expectation for the genotype with longer period ($\tau_I =$

$30.0h > 24h$). The a value represents the compression/expansion ratio. When $a < 0.20$, the

expansion effect is more than five times that of the compression effect (negative TAUC and

LAUC). For genotype DBP338 with $\tau_I > 24h$, a large a value of 1.85 indicates that the

compression effect is almost the double of the expansion effect (positive TAUC and LAUC).

However, it has relatively small $c_Z = 0.0394$ and $k_e = 0.0056$. This implies that the DBP338

strain shows less sensitivity and resistance when responding to the light signal. All fitting results

meet our expectation that ω_I decelerates in response to the zeitgeber when an organism has a

short τ_I, and ω_I accelerates when an organism has a long τ_I.

Analyzing molecular rhythm data

[Figure 3 goes here]

We optimized the luciferase reporter assay for N. *crassa* under a cycling environment (Materials

and methods, S1 Fig). Unlike the developmental rhythm, the luciferase reporter data reflecting

the molecular rhythm are regular and consistent (Fig 3). In the beginning of the entrainment

process, the internal clock shows a small trend of delayed phase; small absolute values of slope

of the tangent line (Fig 3A). This observation is in agreement with the prediction that the internal

clock starts with velocity $\omega_{I0} = \frac{2\pi}{\tau_{I0}}$ and encounters a gentle decrease (from ZT0 to ZT2) (Fig 2B).

In each successive day of the light exposure, the subjective morning light increases ω_I and the

subjective afternoon light decreases ω_I. This resembles in some sense an iterative process.

Starting from the fourth day of light exposure, we observed a significant change of ω_I from ZT0

to ZT2. This change suggests the light has shifted the trend of deceleration to acceleration. Stable

entrainment is indicated when ω_I is synchronized to reach ω_T for the first time. This implies that

the organism is not completely entrained but will be soon. The dCiRC of the molecular rhythm

shows a larger compression area under light exposure (Fig 3C). The larger compression area than

the expansion area (negative TAUC and LAUC) is consistent with the expectation for the

genotype with longer period ($\tau_{I0} = 24.7h$). There is no flat zone around subjective noon, which

tells us that the organism makes full use of the light signal in order to be entrained.

Results of predicting phase of entrainment by dCiRC

[Figure 4 goes here]

The non-parametric property of the dCiRC model allows are to predict the phase of entrainment.

For the purpose of measuring the accuracy of the prediction, we compared the simulated phase of

entrainment (Φ_{sim}) with the experimentally measured phase of entrainment (Φ_{exp}), then we

calculate the model's predictive power (Fig.4). In this experiment, we used the software Chrono

to calculate Φ_{exp} by taking the average ϕ_{exp} values (Materials and Methods). We calculate Φ_{sim}

in the same way by taking the average ϕ_{sim} values. We found that dCiRC provides a high

accuracy of prediction; the linear regression line of Φ_{sim} (Φ_{exp}) with a slope of 0.2467 (0.1984)

(Fig 4A). We define the predicting errors (ϵ) by subtracting Φ_{exp} from Φ_{sim}. The small values

of absolute mean of ϵ and C.I. indicate that the dCiRC predicted POEs fit the experimental data

well (Fig 4B). We also define the internal clock with large zeitgeber strength (beyond 75th

percentile) as the weak oscillator, the internal clock with small zeitgeber strength (below 25th

percentile) as the strong oscillator (S3 Fig). Our analysis show that the organisms with weak

oscillator results in a more extensive range of entrainment (Φ_{sim}) compare to the organisms with

strong oscillator ([24]).

Non-uniform response of ecotypes in cycling condition

We hypothesized that the parameters in dCiRC of the ecotypes that we have characterized in the

current study reflect an evolved adaptation of the circadian clock to its local habitat. Strains are

collected from geographically diverse locations. To test this hypothesis, we firstly analyze the

relationships between TAUC and LAUC. LAUC value reflects how the ecotype responds to the

current light exposure while TAUC reflects the ability of the ecotype responds to all potential

light exposures (Material and Methods). There exists a strong positive linear relationship

between TAUC and LAUC (r = 0.91) such that we can use LAUC to represent both the current

and potential light responses in the following analysis. This is also important when we predict

how one ecotype responds to various light conditions (Fig 5A).

We define the light response ability for each genotype according to its LAUC value. The

sign of LAUC indicates whether the overall light effects is compressing or expanding the internal

period (τ) (Material and Methods). The absolute value of LAUC reflects the strength of the light

response. In Fig.5A, we define that when $0 \leq |LAUC| \leq 1$, the ecotypes have weak response to

the light (8 of 25 ecotypes); When $1 < |LAUC| \leq 3$, the ecotypes have moderate response to the

light (9 of 25 ecotypes); When $3 < |LAUC| \leq 4$, the ecotypes have strong response to the light

(8 of 25 ecotypes). The results show that all ecotypes evenly distribute among the three light

response groups. To study the relationship of light response and period mismatch, we calculate

the value of $|\tau_{DD} - T|$ for each ecotype and define three groups. When $0 \leq |\tau_{DD} - T| \leq 1$, the

ecotypes have small period mismatch (SM) to the zeitgeber; When $1 \leq |\tau_{DD} - T| \leq 2$, the

ecotypes have medium period mismatch (MM) to the zeitgeber; When $2 \leq |\tau_{DD} - T| \leq 3$, the

ecotypes have large period mismatch (LM) to the zeitgeber. In fig.5B, we observe that for

ecotypes with strong and weak light responses, their $|\tau_{DD} - T|$ values are widely distributed in

the range of 0h to 3h. The ecotypes with medium light response have a narrow range of $|\tau_{DD} -$

$T|$. Interestingly, we also find that in each period mismatch group (SM, MM, and LM), the

ecotypes utilize all three different light response strategies. The results suggest that there is no

significant relationship between light response and period mismatch.

[Figure 5 goes here]

dCiRC model reproduces PRCs

PRC has been the main means of appreciating the phase shift by a certain zeitgeber of a circadian

clock under a constant condition. We predicted that the dCiRC model should be able to produce

PRC using the best-fitting parameters in dCiRC (S1 Data). In addition, we can use the dCiRC

model to study the phase response curves for various duration, timing, and intensity of the light.

More importantly, the reverse of the above process allows us to utilize the massive data of PRCs

to generate the dCiRC curves. This gives us potential to build a "circadian fingerprint" database

composed of genotype-specific or species-specific dCiRC parameters that reflect the entrainment

mechanisms for the organisms.

As an example, we used the dCiRC model to generate the phase response curve to one

hour of light exposure. Remarkably, that the dCiRC-generated PRC reflects the key features of

the traditional experimental-generated PRC: a small portion of the advanced zone in the

subjective dawn is followed with a dead zone around the subjective noon. In the subjective

afternoon, a delayed zone and a transition from the phase delays to advances is represented. A

subjective day ends in a zone of phase advances. For each genotype, the PRC shows similarities

to its specific dCiRC. For genotype JW59 with $\tau_I = 23.5$, a delayed phase of the internal clock

is required in order to entrain. As expected, the PRC shows that the amplitude of the delayed

zone is larger than that of the advanced zone as we expected. In contrast, for genotype DBP338

with $\tau_I = 30.0h$ the PRC shows a larger advanced zone relative to delays to reach an advanced

phase shift (Fig 6).

Discussion

There is are a large number of studies focused on modeling the circadian clock and predicting

entrainment, and they are categorized into parametric and non-parametric approaches. We have

generated an ODE-based model that reflects the characteristics of both parametric and non-

parametric understanding of the circadian clock. One of the dCiRC model's main features is that

the circadian system inherits a phase-dependent capacity to compress or expand its internal

period to match the period of the zeitgeber cycle. This feature reflects the parametric approach

and allows the dCIRC model to describe the underlying changes in clock parameters. The other

important feature of dCiRC is predicting phase shift trajectory during entrainment. This is the

non-parametric aspect of the dCiRC model which allows us to study the period-phase

relationship.

Velocity Response Curve (VRC) is designed to capture the phase shift features of a PRC

and the VRC has similar shape relative to a PRC. Taylor et al. proposed a phase-only model

based on the modified evolution of the internal phase depending on the light signal and the VRC

[15]. While the idea has some similarities with the dCiRC approach, there is a substantial

difference at the mechanistic scale: in the VRC model, the phase adjustment is described by a

single equation; thus, light instantaneously changes the internal clock's angular velocity. In

contrast, in the dCiRC model, light affects the internal clock's angular acceleration. The higher

complexity allows dCiRC to better capture transient dynamics for changes from LD to DD (as

shown in Fig 2). Furthermore, we compare the two models from the perspective of predicting

entrainment. In Taylor et al., the authors estimated a VRC from an existing PRC and subjected it

to the entrainment data under cycling conditions. As we know that PRC recorded the phase shifts

in DD after the light exposure, the VRC approach has an inherent deficiency of utilizing

information from DD condition (PRC) to study entrainment in LD conditions. In contrast, the

dCiRC approach estimated a dCiRC directly from the entrainment data in LD conditions and

simultaneously studied how the organism adjusts its internal clock's parameters based on the

dCiRC in response to the light signal. This suggests that our approach in dCiRC better captures

the parametric nature of the clock [15].

Compared to the existing mathematical modeling of the circadian clocks, a major

advantage of the dCiRC model is the application to natural cycling conditions. For instance,

Abraham 2010 et. al. proposed a Poincaré oscillator-based model to study how the phase of

entrainment is related to the mismatch between the internal and zeitgeber period [25]. A two-step

model in a previous study [9] and the dCiRC model in the current study (S1 Data) suggest that

there exists a complex relationship between period and phase rather than a positive linear

relationship by fitting phase data under LD conditions. Furthermore, due to the unique properties

of our unified theory, the dCiRC model can extract information from the non-parametric aspects

(such as phase of entrainment) and reveal the mechanisms of underlying parametric aspects

(such as velocity) of the circadian systems. Abraham *et al*. concluded that a weak oscillator (with

large zeitgeber strength) results in a more extensive range of entrainment compare to a strong

oscillator (with small zeitgeber strength) [24]. Our data support this conclusion (S1 Data and S3

Fig). In addition, the dCiRC model illustrates the evolution of the internal clock's velocity

changes. The results gave us insights into how the organisms with similar internal periods have

significantly different responses to the light, and as a consequence, result in various phases of

entrainment.

We observe that there is no significant relationship between the free-running period and

light response (Fig 5). One explanation of diverse light response behaviors is that the initial

status of the internal clock plays a vital role in the entrainment process. This suggests that

organisms have a complex system to determine their entrainment strategy. The dCiRC model

provides an approach to extract the non-parametric and parametric information of an internal

clock (such as the initial phase and velocity of the internal clock) which is determined by the

recent (environmental) history. We can further study how the after-effects of previous

environments influence the organism's response to the present environment. In future work, we

could study how organisms switch light response modes in different external environments.

In summary, dCiRC describes many characteristics of circadian entrainment consistent

with elements of both parametric and non-parametric forms. dCiRC determines the genotype

specific CiRC shapes by fitting real data. By characterizing dCiRC of 26 ecotypes, we found

non-uniform light responses of these clocks. One of the major advantages of dCiRC over PRC is

that dCiRC allows one to study the real-time dynamic behaviors of the clock in cycling natural

environments. dCiRC embodies the concept of PRC by generating the phase shift trajectory and

PRC. Conversely, we can find the genotype-specific or species-specific dCiRC curves with

recorded PRCs. The significance of this work is that we can further build a "circadian fingerprint"

database composed of genotype-specific or species-specific dCiRC parameters and reveal the

entrainment mechanisms for various organisms. As an essential improvement, dCiRC can study

the period-phase relationship in cycling conditions. In the case when the organism entrains to

environmental cues, the dCiRC model tracks the evolution of the phase relationship between the

circadian internal clock and zeitgeber cycle and predicts the stable phase of entrainment. In the

case that the organism is not able to entrain, dCiRC generates the phase shift trajectory to help us

to investigate possible reasons causing failure of entrainment. We believe that dCiRC could

allow us to study the ecology and the function of circadian clock in the natural world.

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Figure captions

Fig 1. Simulations of the dCiRC model showing the clock's velocity (ω_I) and the phase of

entrainment (Φ). An illustrative example of the dCiRC model reflecting both the parametric

and non-parametric aspects of the entrainment. In the experiment, the light profile was composed

of two rounds of zeitgeber cycles which were repeated twice. In each of the 35-day rounds, the

first 20 days were a 24h zeitgeber cycle (12h:12h, light on at ZT0, light off at ZT12) conditions,

and remaining 15 days were under DD conditions. There are four stages in each round of

zeitgeber cycles: synchronizing, synchronized, desynchronizing, and free running. (A) The

trajectory of the internal clock's period (τ_I) change. T and τ_{I0} represent the zeitgeber period and

the free-running period, respectively. (B) The trajectory of the internal clock's velocity (ω_I)

change. $\frac{2\pi}{T}$ and ω_{I0} represent the velocity of the zeitgeber clock and the free-running velocity of

the internal clock, respectively. (C) Double plot showing the trajectory of the phase differences

between the internal clock and the zeitgeber clock. We choose the CiRC type in Figure 1A with

$s = 0$, $a = 1$. The rest of the clock's parameters are: $\tau_{I0} = 23h, T = 24h, c_z = 0.025, k_e =$

0.05.

Fig 2. Using developmental rhythms data of *N. crassa* to find the genotype-specific dCiRC

parameters. The organisms are exposed to light from ZT0 to ZT12 on each day. (A) and (B) are

the race tube images showing the conidiation process of ecotypes *JW18* (with $\tau_{I0} = 21.7h <$

$24h$, short period), and *DBP338* (with $\tau_{I0} = 30.0h > 24h$, long period) under LD laboratory

condition, respectively. (C) and (D) are the results of using dCiRC model to fit the phase

trajectory for ecotypes JW18 and DBP338, respectively. The black curves represent the

processed rhythmic data of conidiation (detrending, smoothing, and normalization). The red

curves are best fitting curves find by the dCIRC model with convex optimization algorithms. The

data is shown in both the experimental time (bottom axis) and zeitgeber time (ZT, top axis). The

best-fitting clock's parameters for JW18 are: $c_z = 0.2658, k_e = 0.4019, s = 0.20, a = 0.10$.

The best-fitting clock's parameters for DBP338 are $c_z = 0.0394, k_e = 0.0056, s = 1.40, a =$

1.85. (E) and (F) show the changes of the clock's velocity during the entrainment process for

ecotypes JW18 and DBP338, respectively. $\frac{2\pi}{T}$ and ω_{I0} represent the velocity of the zeitgeber

clock and the free-running velocity of the internal clock, respectively. (G) and (H) are the

genotype-specific dCiRC curves for ecotypes JW18, and DBP338, respectively. (I) and (J) are

results of using the 3-D convex cost space generated by the dCiRC model to identify the best-

fitting combinations of (s, a) for ecotypes JW18, and DBP338, respectively. The blue color

corresponds to the smaller costs, and the green/yellow color corresponds to the larger costs. The

red dot represents the best-fitting (s, a) values that minimized the convex cost.

Fig 3. Examples of using the dCiRC model to fit the molecular rhythm data. The data

recorded the luciferase activity of FRQ in Neurospora *crass*. The organism ($\tau_{I0} = 24.7h$, long

period) is exposed to light from ZT3 to ZT15 on each day. (A) The results of using the dCiRC

model to fit the phase trajectory data. The black curve represents the processed rhythmic data.

The red curve is the best fitting curves find by the dCiRC model with convex optimization

algorithms. The data is shown in both the experimental time (bottom axis) and zeitgeber time

(ZT, top axis). The best-fitting clock's parameters are $c_z = 0.0287, k_e = 2.7933, s = 0.00, a =$

2.00. (B) The changes of the clock's velocity during the entrainment process. $\frac{2\pi}{T}$ and ω_{I0}

represent the velocity of the zeitgeber clock and the free-running velocity of the internal clock,

respectively. (C) The genotype-specific dCiRC curve. (D) The 3-D convex cost space generated

by the dCIRC model to identify the best-fitting combinations of (s, a). The blue color

corresponds to the smaller costs, and the green/yellow color corresponds to the larger costs. The

red dot represents the best-fitting (s, a) values that minimized the convex cost.

Fig 4. Predicting phase of entrainment by the dCiRC model. (A) The comparison of the

simulated POE (Φ_{sim}) and the experimental POE (Φ_{exp}) showing the high prediction power of

the dCiRC model. The round blue markers represent Φ_{sim} values predicted by the dCiRC model,

and the red diamond markers represent Φ_{exp} values obtained from the experiment. The blue (red)

dotted line is the linear regression line of Φ_{sim} (Φ_{exp}) with a slope of 0.2467 (0.1984). We

define the confidence level (C.I.) as the L-2 norm of $\epsilon = \Phi_{sim} - \Phi_{exp}$ divided by the number of

ecotypes, $C.I. = \frac{\|\Phi_{sim} - \Phi_{exp}\|_2}{25} = 0.0615$. (B) The left side is a scatter plot of ϵ and τ_{DD}, the right

side is a boxplot to visualize the summary statistics of ϵ for all 25 short τ_I ecotypes. The color

bar represents the absolute values of the ϵ. The absolute mean of ϵ is $0.031h \approx 1.86min$.

Fig 5. Non-uniform response of ecotypes in cycling condition. (A) Scatter plot of TAUC and

LAUC with the linear regression line (in red). The color bar (top) represents the strength of light

response according to the absolute LAUC values. The light response ability is categorized in the

following: weak response when $0 \leq |LAUC| \leq 1$, medium response when $1 < |LAUC| \leq 3$,

strong response when $3 < |LAUC| \leq 4$. (B) Scatter plot of LAUC and $|\tau_{DD} - T|$ showing how

the strength of light response is related to the period mismatch. The horizontal color bar (top)

represents the strength of light response according to the absolute LAUC values. The vertical

color bar (right) represents the period mismatch ($|\tau_{DD} - T|$). The period mismatch is categorized

in the following: small period mismatch when $0 \leq |\tau_{DD} - T| \leq 1$, medium period mismatch

when $1 < |\tau_{DD} - T| \leq 2$, strong period mismatch when $2 < |\tau_{DD} - T| \leq 3$.

Fig 6. Examples of using the dCiRC model to generate PRCs. (A) The phase response curve

of ecotype JW59 to one hour of light exposure. (B) The phase response curve of ecotype

DBP338 to one hour of light exposure. The horizontal axis is the time of the light exposure

measured in circadian hours (CT).

Supporting Information

S1 Fig. Optimizing luciferase reporter in DD and LD condition. (A) Media effect on circadian period in DD. HG1: high glucose media 1, HG2: high glucose media 2, LG: low glucose media, FGS: sorbose media. The mean value is the average of six different period algorithms in BioDare2. Error bars represent standard deviation. (B) FRQ:LUC reporter rhythm in LD. Shade areas represent the dark phase. (C) Variation Media effect in phase of entrainment in LD. (D) Media effects in amplitude. See detail description in the text.

S2 Fig. Examples of dCiRC curves with different combinations of (s, a). s is the shape factor determining the length of the flat zone around the subjective noon. a is the asymmetry factor determining the ratio between the positive and negative areas. (A), (B), (C), and (D) are four illustrative examples to show how the factors (s, a) determine the shape of the dCiRC curves. (E), (F), (G), and (H) are four examples of the best fitting dCiRC curves for *Neurospora crassa* ecotypes. The (s, a) combinations for (A), (B), (C), (D), (E), (F), (G), and (H) are $(0.00, 1.00), (2.00, 1.00), (0.50, 2.00), (0.50, 0.50), (0.60, 0.30), (0.40, 0.25), (0.00, 0.57),$ and $(0.85, 0.60)$, respectively. The area under the curve with positive (negative) CiRC values represents the circadian system's capacity to compress (expand) the internal period length (τ_I). The sum of the positive and negative areas reflects the overall effect of the zeitgeber signal. A positive (negative) sum reflects the overall effects is compressing τ_I (expanding τ_I).

S3 Fig. Relationship between the best fitting zeitgeber strength (c_z) and the simulated range of entrainment (Φ_{sim}). The boxplot on the right shows the summary statistics for c_z. The blue (red) area of the color bar corresponds to the small (large) values of c_z, respectively. The scatter plot on the left shows the results of best fitting c_z and Φ_{sim} for all 25 ecotypes. The red dotted line at $c_z = 0.296 (0.568)$ represents the 25th (75th) percentile for all 25 best fitting c_z

values, respectively. The internal clock with large c_z (beyond 75th percentile) is defined as the

weak oscillator, the internal clock with small c_z (below 25th percentile) is defined as the strong

oscillator.

S1 Data. Using the dCiRC model to find best-fitting parameters of each Neurospora strain.

We performed the entrainment experiment (in DD and LD) and recorded the developmental

rhythms data for 25 *N. crassa* ecotypes and DBP338. To determine the best-fitting dCiRC

parameters for each strain, we fitted the simulated dCiRC phase trajectory to the processed LD

phase trajectory data and solved a convex optimization problem. In the table, τ_{DD} is the free-

running period measured in DD conditions, $c_z \in [0,3]$ is the zeitbeger strength, $k_e \in [0,3]$ is the

elastic factor, $s \in [0,2]$ is the shape factor, $a \in [0,2]$ is the asymmetry factor.

Panel A: period τ_I/hours vs days of simulation. Labels: Synchronizing (LD), Synchronized (LD), Desynchronizing (DD), Free-running (DD), Synchronizing (LD), Synchronized (LD), Desynchronizing (DD), Free-running (DD). Horizontal dashed lines at T and τ_{I0}.

Panel B: angular velocity ω_I/hour^{-1} vs days of simulation. Horizontal dashed lines at ω_{I0} and $\frac{2\pi}{T}$.

Panel C: days of simulation vs External Time/hours. Labels: Synchronizing (LD), Synchronized (LD), Desynchronizing (DD), Free-running (DD), Synchronizing (LD), Synchronized (LD), Desynchronizing (DD), Free-running (DD). Ψ markers indicated.