# 1 Simple models highlight differences in the walking biomechanics of

# 2 young children and adults

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## 17 Abstract (250 max)

Adults conserve metabolic energy during walking by minimizing the step-to-step 18 19 transition work performed by the legs during double support and by utilizing spring-like mechanisms in their legs, but little is known as to whether children utilize these same 20 mechanisms. To gain a better understanding, we studied how children (5-6 years) and adults 21 22 modulate the mechanical and metabolic demands of walking at their preferred speed, across slow (75%), preferred (100%), and fast (125%) step frequencies. We quantified the 1) positive mass-23 24 specific work done by the trailing leg during step-to-step transitions and 2) the leg's spring-like 25 behavior during single support. On average, children walked with a 36% greater net cost of transport (COT; J/kg/m) than adults (p=0.03), yet both groups increased their net COT at varying 26 27 step frequencies. After scaling for speed, children generated ~2-fold less trailing limb positive scaled mechanical work during the step-to-step transition (p=0.02). Unlike adults, children did 28 29 not modulate their trailing limb positive work to meet the demands of walking at 75% and 125% 30 of their preferred step frequency. In single support, young children operated their stance limb with much greater compliance than adults ( $\hat{k}$ = 6.23 vs. 11.35; p=.023). Our observations suggest 31 that the mechanics of walking in children 5-6 years are fundamentally distinct from the 32 mechanics of walking in adults and may help to explain a child's higher net COT. These insights 33 have implications for the design of assistive devices for children and suggest that children cannot 34 35 be simply treated as scaled down versions of adults.

#### 36 Introduction

Historically, simple models have been instrumental for understanding how humans 37 control the lifting and forward motion of the body's center of mass during walking. Simple 38 models reduce the body to a point mass supported by two stiff or, alternatively, spring-like struts 39 40 that characterize an "optimal" transfer or redirection of mechanical energy of the center of mass (Antoniak et al., 2019; Cavagna, GA and Margaria, R, 1966; Donelan et al., 2002; Geyer et al., 41 2006). With more efficient transfer or redirection, less mechanical work is required of the 42 43 muscles and tendons to propel the center of mass. Lowering the mechanical work required for walking is associated with lowering the demand for consuming metabolic energy. This is now 44 recognized as a fundamental principle of locomotion biomechanics, and one might reason that 45 these simple models, for which these principles were derived from experimental data on adults, 46 47 could be applied to young children.

However, recent insights suggest that minimizing the work performed by the legs is a 48 dynamic process that must be learned, and which may depend on the biological and 49 50 morphological constraints of the body. Bril et al. (2015) use a simple model to show how young, typically developing children (1 to 5 years) gradually learn to modulate the distance between 51 their center of mass and center of pressure, which dynamically changes during the gait cycle. 52 53 Modulating the distance between the center of mass and center of pressure is governed by the 54 forces that the legs generate in the vertical and anterior-posterior directions. But in particular, it 55 appears that generating forces in the anterior-poster direction (i.e. propulsive forces) is a skill 56 learned much later in childhood, which requires tuning and control to achieve balance and elicit the desired motion in the forward direction (Brenière and Bril, 1998; Bril et al., 2015). Several 57 studies have also highlighted differences between the typical ground reaction profiles generated 58 59 by young children and adults (Dewolf et al., 2020; Takegami, et al., 1992), and have used simple models to suggest mechanical work minimization may not be the optimal strategy for young 60 61 children (Usherwood et al., 2018b). This raises the question as to how the walking pattern of young children should be modeled, recognizing that their walking mechanics may not 62 63 simply be scaled down versions of adults.

For the same simple models to apply and scale to children, several assumptions must be made about their walking behavior. First, we must assume that the inertial and gravitational

66 forces characteristic of walking will scale in proportion so that comparisons can be made across "scaled speed." Dimensionless speed, e.g. the Froude number, is a widely used method to scale 67 68 when comparing walking dynamics between children and adults; however, the Froude equation is based on the idea of dynamic similarity (R.M. Alexander and A.S. Jayes, 1983). Dynamic 69 70 similarity means that multiplying all linear dimensions, time intervals, and forces by constant 71 factors would result in identical walking patterns. Deviations from dynamic similarity are 72 observed in young children (Kramer and Sarton-Miller, 2008; Usherwood et al., 2018b), and the 73 Froude number makes no allowance for differences in shape. Differences in shape, or 74 anthropomorphic proportions, may be an important consideration when making metabolic comparisons within a species, or in animals relatively close in size (Kramer and Sylvester, 2013). 75 76 Alternative scaled speeds, like the Strouhal and Groucho (Alexander, 1989; Blickhan, 1989; McMahon et al., 1985) may be used when elastic forces are considered, such as in running or 77 when a spring-like element is added to a walking template (Gever et al., 2006). 78

79 Second, we must assume that young children have the muscular capacity to generate and control the force and mechanical work required to move the center of mass in the most 80 economical way possible. During walking, the muscular capacity of muscles surrounding the hip 81 82 and the ankle joints are particularly important for propulsion and stability (Brenière and Bril, 83 1998; Ishikawa et al., 2005). Compared to adults, young children display many differences in muscle and tendon properties. They have a proportionally smaller cross-sectional area of plantar 84 85 flexor muscles, differences in Achilles tendon compliance (Waugh et al., 2012), slower rates of muscle force development, and lower magnitudes of relative maximum force production during 86 87 isolated contractions (Radnor et al., 2018). Further experiments would help to determine how these properties influence functional or metabolic differences during walking. However, given 88 89 the importance of muscles and tendons to supporting body weight and redirecting the center of mass – both critical for economic walking in adults (Donelan et al., 2002; Grabowski et al., 90 91 2005) – it is conceivable that immature muscle-tendon capacities influence a young child's "optimal" walking solution. Of the few studies that specifically examine center of mass motion 92 93 in children, normalized center of mass amplitudes in the sagittal plane are proportionally greater than in adults until around the age of 7 to 9 years (Dierick et al., 2004), which may reflect a 94 crucial period of dynamic changes in the maturation of both muscle and tendon (Malloggi et al., 95 2019; Waugh et al., 2013). 96

97 Finally, we must assume that children minimize their metabolic cost during walking, and that this cost is proportional to their size. Overall, when examining walking at a range of speeds, 98 99 children over 6 years exhibit an "optimal" speed that minimizes cost (DeJaeger et al., 2001). Yet, 100 surprisingly, at "optimal" speed, the net mass-specific cost of transport (COT, J/kg/m) is up to 101 33% higher in children less than 9 years old (Bolster et al., 2017; DeJaeger et al., 2001; Morgan 102 et al., 2002). With walking speeds normalized to the Froude number, DeJaeger at al. found that 103 differences in net COT may largely be reduced, thus body size alone may account for the higher net COT observed in young children (DeJaeger et al., 2001). However, Schepens et al. found that 104 105 efficiency, defined as the ratio of the total mechanical power to the net energy consumption rate, is much lower in younger children (Schepens, 2004). If mechanical power incurs a relatively 106 107 greater cost in younger children, this again raises the question as to the extent to which muscular capacity and control plays a role in explaining higher net COT. Taken together, these insights 108 suggest a functional, mechanistic explanation for why young children are less economical at 109 walking than adults (Schepens, 2004). 110

To gain greater insight into a potential explanation, our objective was to use the 111 112 methodology of simple biomechanical templates to investigate how the generation of limb forces 113 and the resulting motion of the center of mass in children may differ from adults, and thus may 114 relate to their metabolic differences. We took a systematic approach by breaking down the 115 walking gait cycle into distinct phases of double and single support. In mature walking patterns, 116 the legs must redirect the center of mass from a downward and forward velocity to an upward and forward velocity during double support, when both the leading and trailing limb are in 117 118 contact with the ground (Kuo et al., 2005). This redirection, also called the step-to-step 119 transition, is considered a major determinant of the metabolic cost of walking in adults (Donelan 120 et al., 2002), and has also been studied in 12-18 month old toddlers (Hallemans et al., 2004). For our first aim, we sought to compare the positive external mechanical work  $(W_{ext})$  performed by 121 122 the trailing leg in young children (age 5-6 years) and adults (age 18-30), with adults representing 123 the "ideal" behaviour. We hypothesized that 1) after accounting for differences in mass and 124 dimensionless walking speed, the trailing limb in young children would produce less W<sup>+</sup><sub>ext</sub> during double support. This hypothesis was based on literature that supports the idea that during 125 126 development, children gradually tune and increase their anterior-posterior propulsive forces (Bril et al., 2015), indicating that when normalized to body mass, children aged 3-8 years generate less 127

power at the ankle than adults (Chester et al., 2006). Young children also typically produce
asymmetric vertical ground reaction forces while walking (Preis et al., 1997; Takegami, M.D.,
1992; Usherwood et al., 2018a), suggesting that children do not transition from one step to the
next like adults.

132 Inspired by the bipedal walking spring mass model of Geyer et al (2006), we also set out to compare how young children and adults modulate the distance between their center of mass 133 134 and center of pressure during single support, which reflects the spring-like behavior of the leg. 135 From the perspective of a spring-mass model (Geyer et al. 2006), the redirection of the center of 136 mass can be achieved by the release of elastic energy that was stored during single support. At the beginning of single support, when the leg extends and center of mass rises, elastic energy that 137 was absorbed during the double support may be released, and subsequently, as the center of mass 138 139 descends during the second half of single support, elastic energy can be stored in preparation for 140 double support (Donelan et al., 2002). This appears to be the ideal behavior in adults, whereby 141 step-to-step transitions are facilitated by the ability of the leg to store and release elastic energy and thus, operate much like a spring. In contrast to adults, a child's leg consists of immature 142 muscles and tendons and may not operate like an ideal spring. In addition, single support is also 143 144 the phase that requires postural adjustment to stabilize the body in an upright position. The center 145 of mass is accelerated forward and sideways at the same time toward the swing leg (Bril et al., 2015), having a destabilizing effect. Modulating the distance between the center of mass and 146 147 center of pressure, i.e. the spring length, during single support may be less precise in children and require more muscle cocontraction and activation (Grosset et al., 2008; Lambertz et al., 148 149 2003). These biomechanical constraints would ultimately raise the net COT during walking and 150 therefore, we hypothesized that 2) after considering dimensionless speed and scaling for size, the 151 stiffness of the leg spring (k) in children would differ from adults.

For both of our main hypotheses, we also explored whether young children would modulate their trailing limb work and spring stiffness in the same way as adults when meeting the demands of walking at a fixed speed, but at step frequencies slower and faster than preferred. Therefore, we tested these hypotheses under conditions in which children and adults walked at their preferred speed and across a range of slow to fast step frequencies set at 75%, 100%, and 125% of their preferred step frequency. Faster step frequencies involve shorter step lengths,

158 while slower step frequencies involve longer step lengths. At slower step frequencies, the angle 159 between the legs at the instance of double support increases and vice versa at faster step 160 frequencies. According to the individual limbs model, positive mechanical work at push-off depends on both the center of mass velocity and on the angle between the legs (Donelan et al., 161 162 2002). Following that model, we expected more propulsive trailing limb work at the slower step frequency condition for both adults and children. In regards to the the bipedal spring mass 163 164 model, we expected that a slower step frequency would yield a decrease in the touchdown angle of the leading limb; however, both touchdown angle and k vary within a large range (Geyer et 165 al., 2006), so we could not formulate a predictive hypothesis as to how k might change with step 166 167 frequency.

168 Overall, we reasoned that changes in the mechanical demands of modulating step frequency would result in greater metabolic demands for young children. Specifically, when 169 170 challenged to walk at the slowest step frequency, which requires the largest step lengths, young 171 children would likely consume greater rates of metabolic energy, and hence a greater net COT when compared with the other step frequency conditions. Given known differences in a child's 172 173 muscle and tendon morphology, ankle power generation, and lower walking efficiencies, we 174 hypothesized that when compared to adults, 3) young children would incur a greater net COT to 175 modulate their step frequency than adults. In particular, we expected that the legs would be required to generate the greatest amount of mechanical work at the slowest step frequency 176 177 condition, and that the extra mechanical demand would be more costly for children than for 178 adults.

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#### FIGURE 1 ABOUT HERE

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## 182 Materials and Methods

**183** Experimental Procedures

Healthy young adults (aged 18-32 years, n=8) and typically developing, healthy children (aged 5-6 years, n=8) were recruited locally. Adult subjects gave their written informed consent to participate. Parents of child subjects gave permission and written informed consent, and

187 children gave verbal assent to participate, in accordance with ethical guidelines and approved by 188 University of Houston Institutional Review Board. To ensure that we captured the most natural 189 walking patterns and comfort with the testing environment, children visited the lab for a 190 preliminary 1.5-hour practice session the previous day. For the child cohort, a parent was present 191 during all parts of the experiment and gave encouragement when necessary.

During the day of the experiment, subjects arrived having fasted and refrained from 192 193 caffeine or exercise for at least 3 hours. Upon arrival to the lab, subjects rested for 10 min before we measured their standing metabolic rate for 5 minutes using an open circuit TrueOne 2400 194 195 metabolic system (ParvoMedics, Inc. Sandy, UT USA). The metabolic system was calibrated immediately before each session using standard gases and a 3L syringe. Following the standing 196 197 trial, reflective markers (15.9 mm) were placed according to manufacturer guidelines (Lower Body Plug-in Gait, 100 Hz; Vicon 12-camera system, Nexus 1.8.5, Vicon, Oxford, UK) and the 198 199 standard scaling and calibration protocol of the Nexus 1.8.5 software was followed. An 200 additional marker was placed at the subject-specific location of the center of mass as determined by the reaction board method (Enoka, 2015). All subjects (Table 1) wore their own shirt, bike 201 202 shorts, and tennis shoes with a heel-sole difference no greater than 6.4 mm.

Each subject then walked on a level dual belt instrumented treadmill (1000Hz; Bertec Co. 203 204 Columbus, OH USA) at their preferred speed. Preferred speed was obtained through feedback by having subjects walk at increasing increments of speed (starting from 0.3 m/s in the child group 205 and 0.5 m/s in the adult group). Once they reached a preferred walking speed, speed was 206 increased again and lowered if necessary, to confirm their preferred walking speed (Arellano et 207 al., 2009). Following a 5 min rest, subjects then walked for 5 minutes at preferred walking speed 208 and were instructed to match their step frequency to the sound of a metronome at 75, 100, and 209 210 125% of preferred step frequency (order randomized). Subjects sat for a 5 min rest period between trials. All subjects achieved a steady rate of metabolic energy consumption with 211 212 respiratory exchange ratios (RER) remaining within the normal physiological range below 1.0.

213 Data analysis

Walking metabolic power (W/kg) was calculated from average  $\dot{V}O_2$  and  $\dot{V}CO_2$  during the last 3 minutes of each trial (Brockway, 1987). The average quiet standing value was subtracted from the average walking value to yield net metabolic power (W/kg). Net metabolic power was 217 divided by speed (m/s) to obtain net COT expressed in units of J/kg/m. Data were filtered using a 4<sup>th</sup> order, zero-lag low-pass Butterworth filter with a cut-off frequency of 15Hz for force and 218 219 6Hz for kinematics. All data were processed in Matlab (R2018b, The MathWorks, 220 Massachusetts, USA) and custom code was written to calculate values for limb work and power 221 (Donelan et al., 2002) and for values of leg stiffness, k, following a spring-mass model (Geyer et 222 al., 2006). To identify gait cycle events and periods of double and single support, we defined 223 touchdown and toe-off as the instant when the vertical ground reaction force (GRF) crossed a threshold of 5% body weight. Double support was then defined as the portion of the gait cycle 224 225 after touchdown of the leading limb, and before toe-off of the trailing limb. Single support was defined as the portion of the gait cycle between toe-off and touchdown when only one foot was 226 227 in contact with the ground.

*Individual Limbs Method.* Starting from the 3 min mark of each 5 min walking trial, filtered force data from periods of double support were aggregated to determine lead leg and trail leg power and work. Following the method of Donelan et al., the velocity of the center of mass was determined by single integration. Then the external mechanical power generated by the trailing and leading limb (as shown in Fig. 2) was determined by summing together the dot product of the force and velocity of the center of mass acting in each direction (Donelan et al., 2002).

234 (1a) 
$$P_{lead} = Force_{x,lead} \cdot velocity_{x,com} + Force_{y,lead} \cdot velocity_{y,com} + Force_{z,lead} \cdot$$

235 velocity<sub>z,com</sub>

236 (1b) 
$$P_{trail} = Force_{x,trail} \cdot velocity_{x,com} + Force_{y,trial} \cdot velocity_{y,com} + Force_{z,trail}$$
  
237  $velocity_{z,com}$ 

The magnitude of positive mechanical work of the trailing limb ( $W^+_{trail}$ ), expressed in Joules, was then calculated as the time integral of the positive portions of trailing limb power from equation (1b). For comparisons of young children and adults at their preferred speed (m/s), the total  $W^+_{trail}$ was normalized to mass (Schepens, 2004) and averaged across the first 100 double supports starting from the 3 min mark.

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244 245

#### **FIGURE 2 ABOUT HERE**

246 Single Support Spring Mass Method. Again, starting from the 3 min mark of each 5 min walking trial, filtered force data from periods of single support were aggregated. We first found 247 248 the position of the center of mass using double integration and then defined a vector from the center of mass to the foot's centre of pressure to represent a virtual 2D spring in the sagittal 249 250 plane. The markers at the center of mass and pelvis were used as an offset to reflect the absolute 251 position of the center of mass after double integration, such that the center of mass was assumed 252 to be within the body and half the distance between the anterior superior iliac spine and posterior superior iliac spine markers. Following the work of Gard et al. (2004), we assume that this 253 254 method is a close approximation to the exact location of the center of mass and more importantly, should reflect the trajectory and amplitudes that the center of mass undergoes during 255 256 each step. Since we were primarily interested in the lift and propulsion of the center of mass, we 257 reasoned that a sagittal spring was an adequate starting point for comparisons. Plotting resultant 258 2D GRF (N) values as a function of spring length (m) allowed us to calculate the slope of the 259 best fit line via a least-squares regression analysis (Fig. 3). The mean of the absolute slopes estimated from the first 30 steps was reported as the value of k. This decision was based on our 260 finding that adults exhibited consistent spring-like behavior that revealed best fit line R<sup>2</sup> values 261 ranging from 0.5 to 0.9. On the other hand, children exhibited spring-like behavior that was 262 much less consistent, so for fair comparisons, we limited the  $R^2$  values to 0.5 which allowed us 263 to aggregate a minimum of 30 steps. 264

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**FIGURE 3 ABOUT HERE** 

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Scaled speeds and Touchdown Angle. The Froude number, as shown in Eq. 2, normalizes speed based on pendular dynamics (R.M. Alexander and A.S. Jayes, 1983). We defined velocity, v, as the speed of the treadmill, and measured leg length as the distance between the anterior superior iliac spine to the distal tip of the medial malleolus. The dynamic walking model equations only apply when the body conforms to inverted pendulum dynamics (Kuo et al., 2005), so we chose to consider Froude speed in our comparison of individual limb work.

 $(2) Fr = \frac{v^2}{g_{Lleg}}$ 

To normalize k, we used the Groucho speed, which was originally developed as a vertical speed
parameter, combining interactions between effective vertical spring stiffness, gravity, impact

velocity, and body mass (McMahon et al., 1985). It has been mathematically adapted and used in 277 278 2 dimensions by also considering leg length (Blickhan, 1989). Essentially, for both the fore-aft 279 and vertical directions, the Groucho speed can be calculated using equation (3a) and normalized to leg length, where v is the resultant 2D velocity at the instant of touchdown, g is gravity, and  $\omega$ 280 281 is the natural frequency of the system as determined by spring stiffness, k, and body mass, m, as 282 in equation (3b).

283 (3a) 
$$Gr = \frac{v\omega}{g}$$
  
284 (3b)  $\omega = \sqrt{k/m}$ 

285 Because the Groucho number is a dimensionless speed based on a spring-mass model, we planned to use Groucho speed as a covariate in our comparison of k, but as explained in the 286 Statistical analysis below, we instead used  $\hat{k}$ , which represents its non-dimensional form. 287 Touchdown angle was determined in the sagittal plane as the angle formed at touchdown 288 between a line created by the center of mass and lateral malleolus marker with respect to the 289 290 horizontal. We aggregated the touchdown angles from the entire 3 minutes and report the means 291 in Table 2.

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#### 293 Statistical analysis

294 We used separate mixed R-ANCOVA's with a priori planned comparisons to test for differences in  $W^{+}_{trail}$ , non-dimensional spring stiffness ( $\hat{k}$ ), touchdown angle, and net COT. After 295 checking for normality, we compared differences between groups at the 3 step frequencies by 296 297 defining age and step frequency as a between and within subjects fixed factor, respectively. To 298 account for differences in size and speed between children and young adults, we included in our 299 analysis of  $W^+_{trail}$ , the dimensionless speed Froude as a covariate.

300 Upon initial inspection of our Groucho speeds, we removed an outlier in the adult group so 301 statistical comparisons for k were based on n=7 in the adult group. Based on independent t-test at each step frequency (Table 2), we did not detect significant differences in Groucho speed 302 303 between groups and therefore did not require Groucho as a covariate. We did not use Froude as a covariate for this analysis, since we were only concerned with single support and were using a 304 305 theoretical model that is based on spring-mass dynamics, not pendular dynamics. To account for

differences due to body size in our comparison of k, we transformed to non-dimensional  $\hat{k}$  using equation 4, where l is the max spring length, m is body mass, and g is gravity.

 $308 \qquad (4) \ \hat{k} = \frac{kl}{mg}$ 

For comparisons of touchdown angle and net COT (J/kg/m), we included Froude as a 309 covariate because these variables strongly depend on walking speed. During post-processing, we 310 discovered that a hardware malfunction caused the force data to be erased for one child subject 311 walking at 125% of their preferred step frequency. Therefore, statistical comparisons for mass-312 normalized  $W^{+}_{trail}$  and  $\hat{k}$  were based on a sample size of n=7 in the child group. For our planned 313 comparisons, we used independent *t*-tests when normality was met or Mann-Whitney U tests 314 when normality was not met. Finally, we plotted mass-normalized  $W^+_{trail} \hat{k}$ , and net COT across 315 conditions for both groups. All data was analysed in SPSS with statistical significance set at 0.05 316 (version 26, IBM, Armonk, NY). 317

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### 319 **Results**

320 Touchdown angle. We used Froude as a covariate to compare touchdown angle between groups and confirmed that touchdown angle, an indirect gauge for detecting differences in step 321 322 length, was changing with step frequency as expected. A significant main effect for step frequency ( $F_{2.24} = 3.324$ , p = .027,  $\eta^2 = .217$ ) revealed that touchdown angle increased on average 323 from ~72 to 78 degrees when walking from the relatively slow to fast step frequencies (Table 2). 324 We did not detect a main effect for group or an interaction effect between group and step 325 326 frequency, indicating that in response to the changing step frequencies, children and adults altered their touchdown angle in the same manner. 327

*Double Support Mechanics.* Prior to conducting the statistical analysis, data were inspected to ensure assumptions were met for a repeated measures-ANCOVA, with Froude speed as an appropriate covariate. We detected a significant within-subjects difference of W<sup>+</sup><sub>trail</sub>/kg across step frequencies ( $F_{2,24} = 3.736$ , p=.039,  $\eta^2=.237$ ). As expected in adults, overall positive propulsive work was greater at longer step lengths (75% preferred step frequency) and less at shorter step lengths (125% preferred step frequency; Figure 4A). This was not so in the child group, as confirmed by our detection of an interaction effect ( $F_{2,24} = 5.216$ , p=.013,  $\eta^2=.303$ ), indicating that children did not modulate the amount of  $W^+_{trail}/kg$  when walking at longer and shorter steps associated with the 75% and 125% step frequency condition, respectively (Figure 4A). Between group comparisons across step frequency conditions confirmed that after accounting for differences in Froude speed, children and adults generated 0.06 J/kg and 0.12 J/kg of trailing limb mass-specific positive work, respectively ( $F_{1,12} = 14.106$ , p=.002,  $\eta^2=.54$ ). Preplanned ANCOVA comparisons between groups confirmed that children generated less  $W^+_{trail}/kg$ than adults at each step frequency condition (Table 2, all p < .05).

342 Single Support Spring Mass Mechanics. After checking that the statistical assumptions were met for a repeated measures ANOVA, we detected a main effect for group ( $F_{1,12}$ =6.831, p<.001, 343  $\eta^2$ =.363) and step frequency (F<sub>2,24</sub>=46.43, p<.001,  $\eta^2$ =.795), but no interaction effect (p=0.41). 344 At the slow step frequency associated with longer steps,  $\hat{k}$  decreased, and at the fast step 345 frequency associated with shorter steps,  $\hat{k}$  increased (Fig. 4B). Overall, children walked with an 346 average  $\hat{k}$  equal to 6.82, almost 2-fold more compliant than adults, who walked with an average 347  $\hat{k}$  equal to 11.35. The much more compliant  $\hat{k}$  observed in children was consistent at each step 348 frequency condition (all *p*'s<.05 for all *a priori* independent *t*-tests; Table 2). 349

350 Net Metabolic Cost of Transport. Prior to conducting the analysis, data were inspected to ensure assumptions were met for a mixed design and that the Froude number was an appropriate 351 covariate. A main effect for step frequency ( $F_{2,28} = 19.656$ , p < .001,  $\eta^2 = .584$ ) revealed a higher 352 net COT when walking at step frequencies above and below preferred. We also detected a main 353 effect for group ( $F_{1,14}$ =31.84, p<.001,  $\eta^2$ =.695), revealing that the net COT was on average, 36% 354 higher in children (Fig. 4C). However, we did not detect an interaction effect (p < 0.05), 355 indicating that across slow and fast step frequencies, the net COT changed in a similar way for 356 adults and children. And finally, when adjusted for group differences in the Froude number, the 357 358 mean values show that in both children and adults, the net COT increased when walking at the 359 relatively slow and fast step frequencies.

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#### **FIGURE 4 ABOUT HERE**

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363 Discussion

We analyzed experimental data using simple models of walking to compare center of 364 365 mass mechanics and net COT in a group of young children to those of adults, who represent the 366 ideal behavior these simple models are based upon. One key finding was that trailing limb positive work, W<sup>+</sup><sub>trail</sub>/kg, was significantly lower across conditions in children. This supported 367 368 our first hypothesis. However, in contrast to the other variables tested, the magnitude of this difference changed depending on the step frequency condition. The largest deficit was evident at 369 370 the 75% step frequency—the condition that required subjects to take the longest steps. From 371 Donelan et al., (2002) and Kuo et al. (2005), it is predicted that longer steps will increase the 372 collision of the lead leg with the ground, effectively increasing negative work generated by the leading limb. To account for this greater negative work, the trailing limb must generate more 373 374 positive work. Yet children did not generate the positive work that would be expected to account 375 for the increased collision forces that are associated with taking long steps at a fixed speed.

376 When walking at the preferred step frequency condition, we found that on average, the 377 trailing limb in children is used to both absorb and generate work, while in adults, the trailing limb only generates positive work, providing almost 100% of all their propulsive positive work 378 (e.g., see Fig. 2, middle column). In adults, there seems to be a clear role for the trailing limb to 379 380 generate positive work and the leading limb to generate negative work, whereas young children 381 used both limbs to generate both positive and negative work. Halleman's et al. (2004) reports 382 that in toddlers (12-18 months), an inefficient inverted pendular mechanism of energy exchange 383 contributes to differences in external mechanical work. Toddlers are described as utilizing a 384 "tossing gait" where work that is performed to lift the center of mass against gravity is much 385 greater than work that is performed to propel the center of mass. In our age group of 5-6 years, gait patterns are considered more mature than in toddlers. Yet, when partitioning the positive and 386 387 negative work generated by each limb double support, we also found (as in Hallemans et al.) that our child subjects tended to generate more mass-specific work to lift the center of mass than in 388 389 adults. However, one key difference was that the mass-specific work to lift versus to propel the 390 center of mass was approximately equal for our child subjects (Fig. 5), suggesting a possible 391 shift with age toward more mature patterns when work to propel the center of mass dominates.

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#### **FIGURE 5 ABOUT HERE**

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In single support, we found that after scaling for size and speed,  $\hat{k}$  is approximately 40% 395 lower in our child group, which supported our second hypothesis. While  $\hat{k}$  was substantially 396 lower in our child group, they did modulate  $\hat{k}$  in the same way as adults. As shown in Fig. 4B, 397 both young children and adults increased  $\hat{k}$  when meeting the mechanical demands of walking at 398 fixed speed, but at relatively slower and faster step frequencies. The tendency of children to 399 modulate  $\hat{k}$  was independent of changes in the amount of positive work generated by their 400 trailing limb during double support. This behavior deviated from that observed in adults, where 401 the positive work generated by the trailing limb during double support decreased and  $\hat{k}$  increased 402 across slow to fast step frequencies. Unlike trailing limb work, which has been shown to rely 403 primarily on plantar flexor muscles and tendons (Fukunaga et al., 2001; Ishikawa et al., 2005; 404 Sawicki and Khan, 2016),  $\hat{k}$  can be influenced by multiple muscles and joints, such as the ankle, 405 knee, and hip. Thus, it is possible that both the more compliant  $\hat{k}$  and inability to generate as 406 407 much scaled work and power by the trailing limb may be related to a young child's developing 408 muscular capacity. Over time, as children learn to coordinate their leg and hip muscles to 409 generate propulsive work, the motion of the center of mass during walking may become smoother, reflecting patterns that are observed in adults. Given evidence of structural differences 410 411 of immature plantar flexor muscle and tendon (Radnor et al., 2018; Waugh et al., 2012) and functional differences in muscle and tendon interaction in children measured directly by 412 ultrasound while hopping (Waugh et al., 2017), it seems likely that the coordination of the 413 414 plantar flexor muscle-tendon mechanics responsible for efficient propulsion and redirection of the center of mass in adults is not fully formed and learned at 5-6 years of age. 415

The unequal contribution of work by the trailing limb in young children could also help 416 explain their decreased efficiency (Schepens et al 2004), since positive work is necessary to 417 418 restore the energy that is lost from the unavoidable collision phase of double support (Donelan et 419 al., 2002). Lost energy must then be replaced with more costly compensation strategies, possibly 420 at other joints such as the hip (Lye et al., 2016; Sawicki et al., 2009), and with coactivation of 421 other muscles (Lambertz et al., 2003). This would ultimately raise the net COT, which is what 422 we observed in our 5–6-year-old child group. Consistent with previous studies (DeJaeger et al., 2001; Morgan et al., 2002), our children had a higher net COT at each step frequency condition, 423

even after accounting for differences in Froude speed. When compared to adults, however, the
net COT in children did not fluctuate much in response to changes in step frequency (Figure 4C).
Thus, we reject our third hypothesis that when compared to adults, walking at the lowest step
frequency condition would be relatively more costly for children.

428 During the first 7-13 years of life, walking patterns vary in their maturity, so interpretation of our results is limited to children aged 5-6 years. At this age, we observed a high 429 430 amount of variability in the ground reaction force patterns, consistent with previous studies 431 (Kraan et al., 2017). This variability ultimately meant that we were only able to reliably take 30 432 steps from each trial that met our condition of a reasonable linear fit between force and spring length changes during single support. It is possible that the variability in ground reaction force 433 434 patterns might have another purpose, such as for motor learning, where the goal is to learn how to efficiently redirect the center of mass. Indeed, the complex relationships between age, size, 435 436 mechanics, and the spatiotemporal components of walking remain to be understood, and we lack 437 a complete understanding of their contributions to the higher net COT observed in children. Our results should be interpreted with caution because the sample size was small, though it is worth 438 439 noting that our effect sizes (Cohen's d) are between 1.07-10 (Table 2), which may be helpful for future reference. 440

441 In summary, when compared to adults, we found that when walking at a fixed speed, but at relatively slow and fast step frequencies, 5-6-year-old children generated significantly less 442 positive work by their trailing limb during double support. We also found that  $\hat{k}$ , the spring-like 443 444 behavior of the leg, was much more complaint in children than adults. These variables were 445 scaled to speed, mass, and leg length, yet the mechanics of walking in children departed substantially from adults, who have long been seen as the ideal behavior for economical walking. 446 Altogether, our findings suggest that the simple models used here cannot be scaled down and 447 used to adequately characterize a child's walking mechanics and energetics. The implications 448 449 from this work are worth further consideration because simple models form the basis for more 450 complex theoretical and computational models and aid in the design of assistive devices such as 451 lower limb prostheses and orthoses (Delp et al., 2007; Gard and Childress, 2001; Geyer and 452 Herr, 2010). As opposed to relying on models that are scaled down versions of adults, we 453 propose that a limb-level biomechanical analysis of walking in children may facilitate the

454 selection and tuning of assistive devices that are specifically designed for children. It is well 455 known that adults can successfully tune and utilize the Achilles tendon to store and release 456 energy to generate propulsive work (Fukunaga et al., 2001; Ishikawa et al., 2005; Lichtwark and 457 Wilson, 2008), directing the attention of engineers and clinicians to the ankle as a first line target 458 for assistive technology in gait rehabilitation. However, little is understood about plantar flexor muscle-tendon function in children, who also require this technology and, in our study, did not 459 460 generate comparable positive propulsive work by their trailing limb. Combining a limb-level biomechanical analyses with ultrasound measurements of plantar flexor muscle-tendon function 461 462 is of future interest, as this would help understand the unique strategies that children use to meet the mechanical power demands of walking across a range of speeds and uphill/downhill 463 464 conditions.

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# 472 **Competing interests**

473 No competing interests declared.

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# 475 Author Contributions

- 476 Conceptualization: V.L.R., C.J.A.; Methodology: V.L.R., C.J.A.; Software: V.L.R., C.J.A.;
- 477 Validation: V.L.R., C.J.A.; Formal analysis: V.L.R., C.J.A.; Investigation: V.L.R; Resources:
- 478 C.J.A.; Data curation: V.L.R., C.J.A.; Writing original draft: V.L.R; Writing review &
- 479 editing: V.L.R., C.J.A.; Visualization: V.L.R, C.J.A.; Supervision: C.J.A.; Project
- 480 administration: C.J.A.; Funding acquisition: C.J.A.

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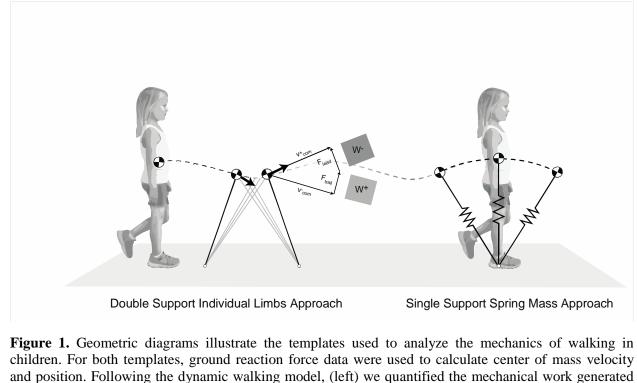
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by the individual limbs during double support (Donelan et al. 2002), a key determinant in transitioning the body's center of mass from the trailing leg to the lead leg. In recognizing the contributions of elastic energy storage and return to the work done on the center of mass, we quantified the spring stiffness, k, of the leg as proposed by the spring-mass model (Gever et al). As a simple approach, we quantified the spring-like behavior of the leg during single support, as this is the period when the leg "spring" would undergo energy release as the spring extends and the center of mass reaches it maximum height at midstance. Then, as the center of mass moves forward and its height decreases, the spring compresses and stores energy. The diagram depicting the step-to-step transition during double support is modified after Kuo et al. (2005). 



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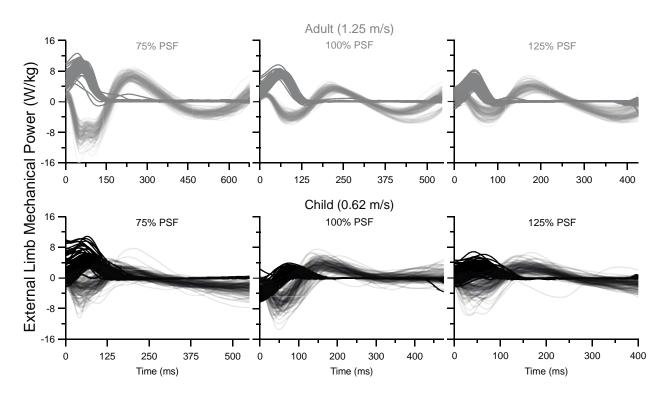
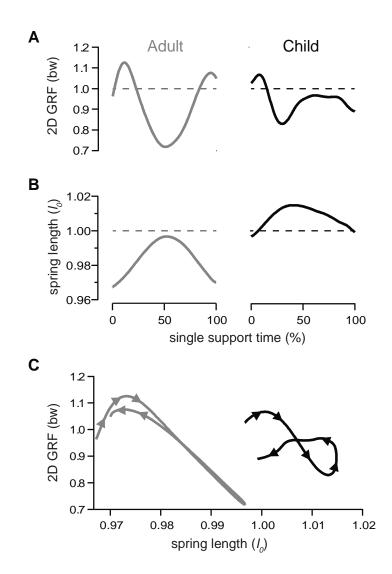


Figure 2. Examples of an adult (gray lines, top) and child (black lines, bottom) external mechanical 638 639 power generated by the trailing (dark) and leading (light) leg during consecutive steps, under conditions 640 of walking at their self-selected speed at 75%, 100%, and 125% of preferred step frequency (PSF). When walking at 1.25 m/s and at 100% PSF, the external mechanical power generated by the legs follows the 641 typical pattern observed in young, healthy adults (Donelan et al. 2002), with the trailing and leading leg 642 generating roughly equal positive and negative power during double support. In contrast, when walking at 643 644 their self-selected speed of 0.62 m/s at 100% PSF, the child's leading and trailing legs transitioned from 645 generating negative to positive external mechanical power during double support. In general, when walking at a fixed speed, but at variable step frequencies, the adult modulated the magnitude of the 646 positive external mechanical power generated by their trailing leg, with the slower step frequency 647 coinciding with higher positive power and the faster step frequency coinciding with lower negative 648 power. The child, however, exhibited a strategy whereby the trailing leg no longer generates negative and 649 650 positive power during double support (as observed at 100% PSF), but primarily generates positive power 651 when walking at the slower and faster step frequency. To highlight the greater amount of variability 652 observed in our child group, plots of external mechanical power as a function of time consist of 100 consecutive steps. 653



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Figure 3. Representative adult (left, gray) and child (right, black) force and spring length curves during 655 656 single support phase at preferred step frequency. (A) 2D resultant GRF scaled to bodyweight (bw) and 657 (B) 2D spring length as measured from the COM to centre of pressure, scaled to standing height of COM 658  $(l_0)$  (C) Force-length curves show greater asymmetry and a flatter slope for a child subject. Positive 659 mechanical work at rebound (early single support) reflects recoil of the leg spring just after collision, while negative mechanical work in preload (late single support) reflects compression of the leg spring in 660 preparation for propulsion. The convention here is that the absolute value of the slope equals the 661 normalized spring stiffness,  $\Box$ . 662

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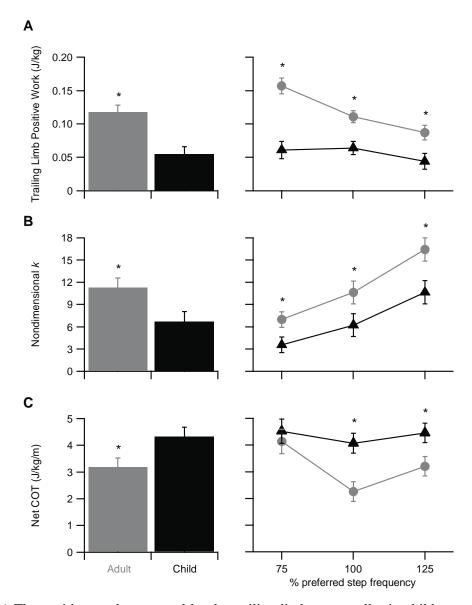


Figure 4. (A) The positive work generated by the trailing limb was smaller in children as compared to 668 669 adults (left; \*significant group effect p=0.039). In response to walking at relatively slow, preferred, and fast strep frequencies, adults (grey) altered the positive work generated by the trailing limb, however, 670 children (black) showed little to no change in trail limb positive work (right; \*significant interaction 671 672 effect p=0.013). (B) When compared to adults, children operated their leg stiffness with a lower  $\hat{k}$  (left; \*significant group effect p < 0.001; however, when walking across a range of relatively slow and fast 673 674 step frequencies, both children and adults modulated  $\hat{k}$  in the same way (right; no interaction effect p =0.41). (C) Differences in trailing limb positive work and  $\hat{k}$  were associated with differences in the net 675 COT required to walk. Overall, children walked with a 36% higher net COT (left; \*significant group 676 677 effect p=0.03), yet, both children and adults increased their net COT in response to walking at relatively 678 slow and fast step frequencies, exhibiting a U-shaped trend (right; no interaction effect p > .05). Note that for the repeated measures ANCOVAs, average values for trail limb positive work and net COT are 679 adjusted at a Froude number equal to 0.3. For all values, the bars represent the standard error of the mean. 680 681 Significant differences between children and adults at each step frequency (right) are marked by an \*, 682 denoting p < 0.05.

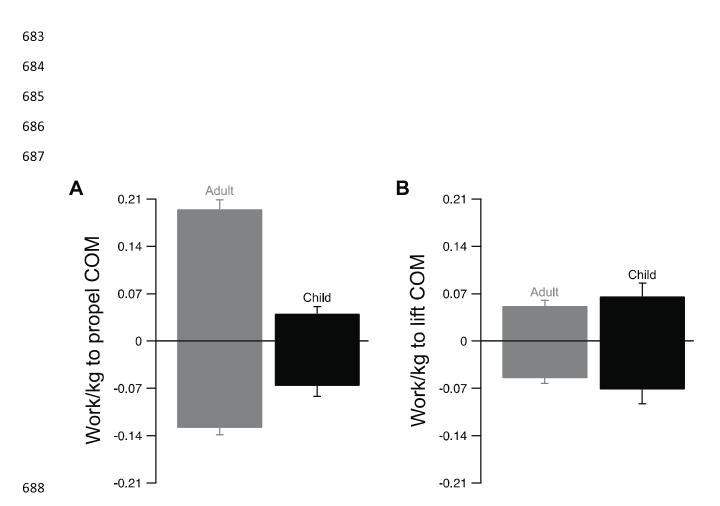


Figure 5. Partitioning the mean mass-specific work of both limbs reveal that children performed (A) much less work to propel the COM than adults, but (B) slightly higher work to lift the COM. As noted in the textNote that the trailing limb in the child group performed both positive and negative work in double support, while the trail limb in the adult group was only used to generate positive work.

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# Table 1: Subject Characteristics

	Children	Adults
Sex (M/F)	4/4	4/4
Age (y)	$5.43 \pm 0.53$	$26.38 \pm 4.40$
Height (m)	$1.22\pm0.04$	$1.72\pm0.08$
Mass (kg)	$24.77\pm5.60$	$75\pm17.40$
Spring length (m)	$0.70\pm0.06$	$1.03\pm0.06$
Leg length (m)	$0.62\pm0.03$	$0.92\pm0.05$
Preferred speed (m/s)	$0.52\pm0.13$	$1.13\pm0.18$
Froude number	$0.20\pm0.01$	$0.40\pm0.01$
Step frequency achieved vs. cued (% difference)	$2.47\pm2.73$	$0.66 \pm 1.30$

Values are mean  $\pm$  SD, Percent difference in step frequency is at the preferred frequency condition

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#### **Table 2**. Descriptive Statistics, Pairwise and Planned Comparisons

	Step Frequency Conditions								
	75%		_	100%			125%		
	Mean	SD	Ν	Mean	SD	Ν	Mean	SD	N
$W^{+}_{trail} (J/kg)^{a}$									
Child	.06	.01	8	.06	.01	8	.04	.01	7
Adult	.16	.01	8	.11	.01	8	.09	.01	8
Cohen's d	10.00			5.00			3.74		
	<i>p</i> <.001			<i>p</i> =.01			p = .03		
ƙ	-			-			•		
Child	3.57	3.74	7	6.23	5.32	7	10.66	4.24	,
Adult	6.99	1.29	7	10.64	2.33	7	16.43	4.09	,
Cohen's d	1.22			1.07			1.38		
	p = .035			<i>p</i> =.039			p = .024		
Touchdown angle (°) <sup>a</sup>	-			•			•		
Child	72.88	3.95	8	73.96	2.76	8	77.61	3.07	,
Adult	71.33	3.56	8	75.07	2.48	8	77.72	2.96	8
Groucho/Leg length <sup>b</sup>									
Child	.04	.03	8	.03	.01	8	.05	.02	,
Adult	.04	.01	7	.04	.01	7	.05	.01	,
	p=.911			p = .120			p = .585		

**a**. Values are evaluated at Froude 0.3 with ANCOVAs when main effect for group is significant; comparisons with  $\Box$  were followed up with *a priori t*-test when significant. **b**. Independent *t*-test

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# Table 3 Metabolic R-ANCOVA results, and ANCOVA pairwise comparisons

	<i>Effects<sup>a</sup></i>	F	р
Net Cost of Transport			
(J/kg/m)	Step frequency	F=3.805, df=2,26	0.036*
-	Group	F=4.003, df=1,13	0.034*
	Group x Step frequency	F=2.112, df=2,26	0.071
	Conditions		
	Children and Adults at 75%	F=0.232, df=1,16	0.319
	Children and Adults at 100% <sup>b</sup>	F=7.653, df=1,16	0.008**
		U = 49	0.001**
	Children and Adults at 125%	F=3.752, df =1,16	0.038*

**a**. Mean differences evaluated at Froude 0.3; **b**. Comparison violated assumption of homogeneity of variances, so differences analyzed with a Mann-Whitney U test; \*p < .05, \*\*p < .01