Title: Functional Redundancy in Ocean Microbiomes Controls Trait Stability

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Abstract:

Theory predicts that species extinctions within communities are less likely to cause the loss of

specific functions when functional redundancy is high. Few experiments have empirically tested

this prediction, however, especially in the context of microbial communities and at the landscape

scale. In part, the lack of metrics to quantify functional redundancy in microbial ecosystems has

prevented addressing this question. In a companion manuscript we proposed a quantitative

metric for functional redundancy called Contribution Evenness (CE) that is optimized to reflect

the sensitivity of microbially-catalyzed ecosystem functions to local species loss. Here, we use

CE to predict the stability of marine microbial functions to species and transcription loss. Using

transcriptomes deposited in the Ocean Microbial Reference Gene Catalog (OM-RGC.v2), a

catalog of genes and transcripts sequenced by the TARA Ocean expedition, we quantified the

functional redundancy for 4,314 KEGG Orthologs (KOs) across 124 marine sites. Functional

redundancy was highly correlated with a latent variable consisting of four main ocean

physiochemical parameters: oxygen and chlorophyll a concentrations, depth, and salinity.

Functional redundancy was systematically higher at the poles than in non-polar regions.

Simultaneously, regional β-diversity for individual functions was higher for functions with

higher functional redundancy These observations provide evidence that higher functional

redundancy indicates increased stability of microbial ecosystem functions on spatiotemporal

scales consistent with surface ocean mixing. We suggest that future changes in ocean

physiochemistry could likely influence this stability for functions with lower functional

redundancy.

Introduction:

The ability to resolve complete genomes in microbial communities via contig binning and
single-cell genome sequencing has revealed that metabolic functions, or traits, are often present
in multiple taxa within a microbiome (1–4). The practical consequences of functional
redundancy, in terms of community composition and function, are not well understood for
microbial communities. Theoretical predictions, developed in the context of macroecosystems,
suggest that functional redundancy can buffer a community against function loss due to species
extinction (5–9). Foundational work on grassland ecosystems validated these theoretical
predictions by showing that plant community biomass stability increased with higher functional
redundancy (10). Mesocosm experiments provide empirical evidence that functional redundancy
may also buffer microbial ecosystem functions from species loss. For instance, microbial density
and biomass was less variable for assemblages with redundant populations occupying different
trophic guilds in a food web (11), and reduction-oxidation conditions in sediment communities
were more stable with higher bacterial diversity and niche overlap (12). Although existing
theoretical and empirical evidence suggests functional redundancy may enhance ecosystem
function stability, small-scale and mesocosm experiments with microbial communities may not
reflect the most important processes on ecosystem scales (13). Establishing whether functional
redundancy stabilizes traits is important for understanding how environmental fluctuations, most
notably climate change, may influence microbially-mediated biogeochemistry stability.

In a companion paper, we developed a metric for functional redundancy called
contribution evenness (CE) (14). CE uses genes or transcripts as traits (15, 16) and measures
how evenly taxa contribute to the community-wide level of these traits (total gene abundance in
the case of genes, and total transcript abundance in the case of transcripts). CE is optimized to

reflect how stable microbially-catalyzed functions are to random species extinction or

transcription loss in communities. Here, we use CE to address three fundamental questions about

functional redundancy in marine microbial metacommunities:

1.) Does functional redundancy vary in ocean microbiomes,

2.) If so, does this variation relate to the physicochemical environment, and

3.) What ecological consequences are apparent from observed patterns in functional redundancy?

To answer these questions, we used KEGG orthology (KO) annotations of genes reported

from 180 sites sampled during the TARA Oceans expeditions, plus transcripts reported from a

subset of 124 of those sites (17). KEGG is an attractive ontology because the functions of KOs

are biochemically well-characterized and provide a reasonably large coverage of predicted genes

in marine microbiomes. The *TARA Oceans* dataset is ideal for this work because it encompasses

a diverse set of sites and marine ecosystems, which were sampled with generally standardized

methods (18). By applying CE to the *TARA Oceans* data set, we identified geographic variations

in functional redundancy, identified physicochemical factors relating to this variation, and

established that microbial functions were less stable in regions with lower functional

redundancy. These observations combined allowed us to identify some possible effects that

climate change may have on the resilience of microbial community function to local disturbance.

Methods

TARA Oceans Genes and Environmental Data

We downloaded the entire Ocean Microbial Reference Gene Catalog v2 (OM-GRC.v2)

on 1 Feb 2021 from EMBL-EBI (BioStudy: S-BSST297) and the environmental data from

https://doi.org/10.5281/zenodo.3473199 (17). This data set includes annotations and abundance

for metagenomes from 180 unique sites and transcriptomes from 124 sites. Gene and transcript

profiles correspond to biological sequences collected from 100L of seawater on filters with size

ranges 0.22-1.6 μm or 0.22-3.0 μm (18). Analysis was limited to sequences in OM-RGC.v2

annotated to KEGG orthologs (KO) (19). We interpreted length-normalized short-read mapping

frequencies as abundances. These values are synonymous to the quantity, or abundance, of any

sequence in a metagenome or transcriptome, given a fixed sequencing effort. Gene abundances

for KO single copy marker genes (list below) annotated to the same genus were averaged to

estimate the abundance of a given genus. Our selection of genus level analysis was to mitigate

noise associated with analyzing functional redundancy of ASVs, which is theoretically possible.

To allow for meaningful comparisons of functional redundancy at different sites, we rarified

sequencing effort at each site. Relative abundances were then used as weights during random

sampling, with replacement. Each site was sampled 3358334 and 472163 times for gene and

transcript profiles, respectively. These values corresponded to the lowest sampling effort among

all metagenomes and transcriptomes, respectively.

KO Functional Redundancy and Diversity

Functional redundancy was calculated for each KO at each site for both metagenomes

and metatranscriptomes. Functional redundancy was calculated with Contribution Evenness (CE)

as detailed in the companion paper (14). Here, we treat KOs as proxies for metabolic traits (16,

20). In brief, the abundance of sequences sharing the same KO annotation in a sample was

summed together, without regard to genus. Subsequently, the abundance of genes for individual

genera was normalized by the summed abundance to obtain a relative abundance of each gene,

for each genera. This vector corresponds to the relative contribution distribution (equation 3.2 in

the companion paper). Species richness (*S*) used for calculating CE in equation 8 in companion

paper was calculated with KO single copy marker genes. The presence of a genus was

determined by summing the abundance of single copy marker genes: K06942, K01889, K01887,

K01875, K01883, K01869, K01873, K01409, K03106, and K03110. If the summed abundance

was greater than 0, then the genus was considered present in the metagenome/metatranscriptome.

Global Modeling of Functional Redundancy

We sought to characterize spatial trends in the functional structure of microbial

communities. To do this, we performed redundancy analysis using the rda function from the R

package, vegan (21). In this analysis, the functional structure of microbial communities was

treated as a response variable and physio-chemical variables were treated as predictor variables.

For sites where a given KO was absent, the KO was assigned a value of zero. This situation

occurred ~13.6% and 18.5% of the time for gene and transcript profiles, respectively. Low

functional redundancy does not necessarily imply that a function is ecologically unimportant.

This is particularly true for traits with low functional redundancy that strongly correlate to

phylogeny. Such examples include *Thaumarchaeota* and diazotrophs which act as the primary

nitrifiers and nitrogen fixers in the ocean, respectively (22). On the contrary, small changes in

functional redundancy for traits with low functional redundancy might reflect key ecological

processes, and thus, comparisons of community functional structure should account for the

differences in functional redundancy magnitude. Thus, changes in KO functional redundancy

reflected changes with respect to the KO's observed variance while not reflecting the KO's order

of magnitude, which spanned five orders of magnitude. In order to prevent functions with large

CE from dominating the redundancy analysis, we normalized CE for each KO at each site by the

respective KO's variance from across all sites. For the redundancy analysis, we used salinity

(PSU), nitrate (mmol m^{-3}), phosphate (mmol m^{-3}), oxygen (mmol m^{-3}), chlorophyll A (mg m^{-3}),

depth (m), and silicate (mmol m^{-3}). We chose these variables as they were *in situ* measurements

(18) and could scale with models that predict global ocean chemistry. We imputed missing data,

as removing incomplete cases can bias datasets (23). Random forest imputation was performed

using the missForest function, from the R package, missForest (24). Next, each environmental

variable was converted into a normal distribution using a boxcox transformation via the boxcox

function in the R package, MASS (*34*). Variables were then centered to have a mean of zero.

Last, the significance of each variable as well as the first two canonical axes was verified using

the anova.cca function in the R Package, vegan (21).

The first canonical axis derived from the redundancy analysis was used to predict mean

transcript functional redundancy from the individual metatranscriptomes (sites) using OLS

regression. This model was compared to an OLS regression using salinity, nitrate, phosphate,

oxygen, chlorophyll A, depth, and silicate as predictors of mean transcript functional

redundancy. Similar to before, missing data were imputed using missForest (24), variables were

transformed with a boxcox transformation (25), and variables centered so the mean distribution

was zero prior to regression. The best predictor subset was determined using the regsubsets

function from the R package, leaps (26). The criteria defining the best model was minimizing

AIC.

The monthly-averaged data products spanning from Jan 2013 to Dec 2018 for

GLOBAL_REANALYSIS_BIO_001_029 and GLOBAL_REANALYSIS_PHY_001_031 were

downloaded from https://marine.copernicus.eu/ on 7 May 2021. Data products had a grid

resolution of 0.25°x0.25°. The OLS regression using the first canonical axis as a predictor

substantially outperformed the best subset OLS when predicting mean transcript functional

redundancy. We therefore converted the predicted data product chemistry for each grid cell into

a score for the first canonical axis. Then, the mean transcript functional redundancy was

predicted for each grid cell, for each month, utilizing the coefficient derived from the canonical

axis OLS regression. The median, 5th percentile, and 95th percentile of mean functional

redundancy was taken across the six-year window. Variance was measured as the difference

between the 95th and 5th percentile divided by the median.

Metatranscriptome β-diversity and Trait Stability

The *β*-diversity (27) was calculated for individual functions (KO) across different

regions. Regions were defined as a metatranscriptome and the nine closest metatransciptomes in

the epipelagic (depth < 200m). Site distances were measured using the published site coordinates

(17) and the *distm* (default parameters) function from the R package, geosphere (28). Redundant

combinations of sites were removed prior to reporting results. The average functional

redundancy for individual functions was taken for each region. The *β*-diversity was calculated as:

$$D\left(H_\beta\right) = \frac{D\left(H_\gamma\right)}{D\left(H_\alpha\right)} \qquad\qquad (2$$

Here, we used a diversity order q=0, or the richness to calculate diversity. As such, *γ*-diversity

was either 0 (regionally absent) or 1 (regionally present), and *α*-diversity was the proportion of

local communities with the trait. Since *β*-diversity is not defined for traits completely absent

from a region, the *β*-diversity calculation simplified to:

$$D\left(H_\beta\right) = \frac{1}{f} \qquad\qquad (3$$

which is simply the inverse proportion (*f*) of local communities in a region with a given function.

Results and Discussion

We used CE (14) to measure functional redundancy for KEGG ortholog (KO)-annotated

genes from 180 and 124 *TARA Oceans* metagenomes and metatranscriptomes, respectively (17).

CE uses genes (CE with respect to genes) or transcripts (CE respect to transcripts) as traits (15,

16) and measures how evenly species in a community contribute to these traits as a whole. After

calculating CE for metagenomes (Fig. 1A) and metatranscriptomes (Fig. 1B), we found that KOs

spanned over three orders of magnitude, demonstrating the high degree of variability in how

functionally redundant traits are in the ocean. We further isolated KOs based on KEGG energy

metabolism classification to illustrate how functional redundancy varied across the ocean for

sulfur, photosynthesis, oxidative phosphorylation, nitrogen, methane, and carbon fixation. The

distribution of transcript abundance within ocean microbiomes correlated well with the

distribution of gene abundance at the same site (Fig. 1C; R^2=0.45, p<0.001). This relationship

was not surprising considering previous reports that metagenome gene abundances strongly

influenced metatranscriptome transcript abundances across *TARA Oceans* microbiomes (17).

Although the nature of these observations is similar, the ecological implication is different.

Specifically, the observation of Salazar et al. shows correlation in absolute gene abundance and

transcription abundance, whereas our observation indicates that when genomic traits are more

evenly distributed across genus within a community, transcription is also more evenly distributed

across genus.

In order to better understand the relationship between environmental characteristics and

functional redundancy, we used redundancy analysis (RDA) to model the functional redundancy

of each KO at each site as a function of the seven environmental parameters: salinity, depth, and

concentrations of nitrate, phosphate, and silicate ions, oxygen, and chlorophyll a (Fig. 1). The

first canonical axis of this model explained 19.8% of the total functional redundancy variance in

4,314 transcripts annotated as KOs. An ANOVA demonstrated that the first canonical axis

explained significantly more variability in KO functional redundancy than a null model ($p<0.01$)

(29). Although the total explanatory power for individual KOs was low, we found that the

average functional redundancy of all KOs at each TARA Oceans site was accurately predicted by

the first canonical axis alone (93.2% of total variance explained; ordinary least squares

regression.

Factor loadings of this first canonical axis were dominated positively by oxygen and

chlorophyll a and negatively by sample depth and salinity (Fig. 2B). Thus, this canonical axis

appears to be a proxy for phototroph biomass, or the extent to which an environment is

dominated by copiotrophs versus oligotrophs. The strong predictive power of chlorophyll a was

not surprising given that carbon export mediated by primary productivity is known to be a good

predictor for community composition and genomic composition in the ocean (30). Higher

functional redundancy was positively correlated with this proxy for phototroph biomass. We

compared the performance of our factor-based model to a best subset (based on an AIC criteria)

OLS regression using the original seven physiochemical variables (NO_3^-, PO_4^{3-}, salinity, depth,

O_2, Si, and ChlA) that generated our factor (Fig. 2C). In contrast to the factor model, the best

subset model (O_2, Si, and depth) explained only 32.4% of the variance in mean functional

redundancy.

The high explanatory power by the environmental data justified extrapolating our factor

model onto a global scale. Using global predictions of ocean nutrient concentrations, we

calculated factor scores at a 0.25°x0.25° resolution and predicted functional redundancy across

Earth's oceans using the derived coefficient from our OLS regression of mean functional

redundancy versus the first canonical axis of the RDA. Our model predicts that functional

redundancy is highest in polar regions and near river outflows, and lowest in subtropical gyres

(Fig. 3A). Variance was highest in polar regions, coastal regions, and river outflows (Fig. 3B).

The transition from high to low functional redundancy between polar and non-polar latitudes was

consistent with previously reported ecological boundaries for ocean microbiomes, where the

transition from non-polar to polar latitudes corresponded to compositional changes in

metatranscriptomes and metagenomes (17).

Functional Redundancy as an Indicator of Trait Stability

In the companion paper, we presented a numerical simulation demonstrating a

relationship between CE and trait stability to random extinctions. Here, we sought to establish

whether there is empirical evidence that functional redundancy increases trait stability in ocean

microbiomes, as previously hypothesized (31). Specifically, we hypothesized that if higher levels

of functional redundancy do indeed increase trait stability, then the sets of functions present in

nearby communities should be more similar when functional redundancy is higher. To test this

hypothesis, we compared functional redundancy at each epipelagic site to the β-diversity (27) of

each function (coded as present or absent) in a local region consisting of the site in question plus

the nine nearest sites. In this context, lower β-diversity indicates that a larger portion of local

communities shared a given function (equation 3). Since microbial communities exhibit spatial

autocorrelation (32), these ad-hoc local regions serve as a reasonable alternative to the ideal data

set, which would consist of subsequent metagenomes taken from a parcel of water over time.

We observed a negative monotonic relationship between functional redundancy and beta

diversity for every KO for every unique region (Fig. 4). This qualitative evidence is consistent

with the idea that functional redundancy maintains trait stability on a regional level. Notably,

CE>0.01 corresponded to functions becoming almost entirely stable within their respective

regions (Fig. 4). This threshold suggests a large portion of functions should be stable across

Earth's ocean microbiome (Fig. 3). Nonetheless, select functions do appear vulnerable to

regional instability. Notably, nitrogen metabolism functions tend to have CE<0.01 (Fig. 1B).

Climate models and historical observations indicate that major ocean currents are slowing (33),

oxygen minimum zones are expanding (34), and there will be future changes in regional primary

productivity (35). With respect to oxygen and primary productivity, these variables are important

in our factor model and suggest that future changes in these parameters might disproportionately

influence the stability for lower functional redundancy functions such as nitrogen energy

metabolism.

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Competing Interests:

We have no competing interests.

Data and Materials Availability:

The Ocean Microbial Reference Gene Catalog v2 (OM-GRC.v2) is accessible from EMBL-EBI

(BioStudy: S-BSST297). *TARA Oceans* site metadata was downloaded from

https://doi.org/10.5281/zenodo.3473199. The hindcast data products,

GLOBAL_REANALYSIS_BIO_001_029 and GLOBAL_REANALYSIS_PHY_001_031, are

accessible from https://marine.copernicus.eu/. All code and processed data is available at

https://github.com/taylorroyalty/tara_ocean_fr.

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Figures:

Fig. 1: Variation in functional redundancy for KOs across 180 *TARA Oceans* metagenomes (**A**),

129 *TARA Oceans* transcriptomes (**B**), and a pairwise comparison of functional redundancy

($n \approx 415{,}000$) for KOs annotated the same at the same site (**C**). Density curves

(**A,B**) were generated with a kernel density estimate (gaussian kernel with a bandwidth 0.0803).

The vertical black lines correspond to 5th, 50th, and 95th quantiles. The metagenomes and

metatranscriptomes functional redundancy regression (**C**) followed a $\log_{10}$-$\log_{10}$ model (solid

black line). Prior to regression, all values were offset by 10^{-4} and high leverage data was

removed based on high Cook's distance ($> \frac{4}{n}$). The color gradient and black dashed line

correspond to data density and a one-to-one relationship, respectively.

Fig. 2: Metatranscriptome rank-functional redundancy curves across all *TARA Oceans* sites

(n=129) analyzed in this study (**A**). The black line corresponds to the median while the shaded

area corresponds to the range spanning between the 5th and 95th percentiles. Proposed models

were evaluated for accuracy in predicting mean (μ) and standard deviation (σ) of individual rank-

functional redundancy curves. The O_2-Si-Depth model was selected as a best subset (minimum

AIC) among the seven predictor variables. Canonical axis 1 loadings (scaling = 0) derived from

the redundancy analysis (**B**). Dashed red lines in panel (**B**) correspond to the "equilibrium line of

descriptors" ($\sqrt{d/p} = \sqrt{1/7}$), a threshold for defining significant variable contribution to factor

loadings (*25*). A comparison in residual error of mean functional redundancy predicted by the

O_2-Si-Depth OLS regression model and the canonical axis OLS regression model (**C**).

Fig. 3: Mean functional redundancy of all KOs at a 5m depth across Earth's oceans (0.25° x

0.25° resolution). Panels (**A**) and (**B**) correspond to the median and variance of predictions

spanning Jan 2013 to Dec 2018, respectively. Dark and light grey correspond to regions absent

of predictor data and land, respectively.

Fig. 4. The β-diversity for individual KOs as a function of the average functional redundancy

within local regions. The local region of each site was defined as the site plus the 9

geographically nearest sites. For all regions, the median distance from the region center to sites

within the region is 1740 km. The red points and solid red line correspond to the median

functional redundancy across all KOs for a given β-diversity. The upper and lower dashed red

lines correspond to the 97.5 and 2.5 quantiles, respectively.