Impact of genomic preselection on subsequent genetic evaluations with ssGBLUP - using real data from pigs

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Abstract

Background

Empirically assessing the impact of preselection on subsequent genetic evaluations of preselected animals requires comparison of scenarios taking into account different approaches, including scenarios without preselection. However, preselection almost always takes place in animal breeding programs, so it is difficult to have a dataset without preselection. Hence most studies on preselection used simulated datasets, concluding that genomic estimated breeding values (GEBV) from subsequent single-step genomic best linear unbiased prediction (ssGBLUP) evaluations are unbiased. The aim of this study was to investigate the impact of genomic preselection (GPS) on accuracy and bias in subsequent ssGBLUP evaluations, using data from a commercial pig breeding program.

Methods

We used data on four pig production traits from one sire line and one dam line. The traits are average daily gain during performance testing, average daily gain throughout life, backfat thickness, and loin depth. As these traits had different weights in the breeding goals of the two lines, we analyzed the two lines separately. Per line, we had a reference GPS scenario which kept all available data, against which the next two scenarios were compared. We then implemented two other scenarios with additional layers of GPS by removing all animals without progeny either i) only in the validation generation, or ii) in all generations. We conducted subsequent ssGBLUP evaluations per GPS scenario, utilizing all the data remaining after implementing the GPS scenario. In computing accuracy and bias, we compared GEBV against progeny yield deviations of validation animals.

Results

Results for all traits in both lines showed marginal loss in accuracy due to the additional layers of GPS. Average accuracy across all GPS scenarios in both lines was 0.39, 0.47, 0.56, and 0.60 respectively for the four traits considered in this study. Bias was largely absent, and when present did not differ greatly among corresponding GPS scenarios.

Conclusion

As preselection generally has the same effect in animal breeding programs, we concluded that impact of preselection is generally minimal on accuracy and bias in subsequent ssGBLUP evaluations of selection candidates in pigs and in other animal breeding programs.

Background

In animal breeding, parents of the next generation are often selected in multiple stages, and the initial stages of this selection are called preselection [1–3]. Selection candidates that survive preselection are called preselected animals [1–3], and those that do not are called preculled animals [3,4]. Preselection aims to reduce costs and efforts spent on animals that are not interesting for the breeding program, and achieves this by avoiding phenotyping or further testing of preculled animals. Due to introduction of genomic prediction [5], preselection is now mostly based on genomic estimated breeding values (GEBV) of young animals even before they have records of any traits. This type of preselection is called genomic preselection (GPS; e.g. [1,2]). The popularity of GPS is because genotyping is becoming cheaper by the day, and the reasonable reliabilities of GEBV (e.g. [6–8]). As genomically preculled animals have neither progeny nor records for some or all breeding goal traits, they are generally not included in subsequent genetic evaluations (i.e. genetic evaluations that come after preselection). GPS therefore decreases the amount of information available for subsequent genetic evaluations of preselected animals. Properly assessing the impact of preselection on subsequent genetic evaluation of preselected animals requires comparison of scenarios taking into account different approaches, including a scenario without preselection. Because in animal breeding programs preselection almost always takes place, it is difficult, if not impossible, to have a scenario without preselection. This is why most studies available on preselection used simulated datasets (e.g. [1,3,9,10]) . Those studies have shown that when a subsequent genetic evaluation of preselected animals is done using pedigree-based best linear unbiased prediction (PBLUP), preselection results in accuracy loss and bias in the estimated breeding values (EBV) of preselected animals [1,3,9–12]. Some of these studies [9–12] further showed that the accuracy loss and bias caused by GPS can be avoided if the information on preculled animals that was utilized at preselection is included in subsequent

PBLUP evaluations. On the other hand, our previous works [3,4] have shown that when the subsequent genetic evaluation is done with single-step genomic BLUP (ssGBLUP), genomic EBV (GEBV) of preselected animals are estimated without bias. We [4] further showed that to avoid GPS bias in subsequent ssGBLUP evaluation of preselected animals, genotypes of their preculled sibs are only needed if not all of their parents are genotyped.

In our previous works [3,4], being based on simulated datasets, preselection was the only possible source of bias in ssGBLUP evaluations. However, in real breeding programmes, other sources of bias in ssGBLUP evaluations may exist and are potentially difficult to control. Therefore, impact of preselection might be confounded by the impact of these other factors. These other possible sources of bias include, amongst others, inaccurate or incomplete pedigree [13], inaccurately estimated additive genetic (co)variances [13], and a reference population of selectively genotyped animals [14,15]. Although some ways to reduce the bias caused by these factors have been developed, the bias is usually not completely eliminated in evaluations using real data (e.g. [13–15]). This may explain the observation that in practice GEBV obtained from ssGBLUP evaluations are sometimes biased. The aim of this study was to investigate the impact of GPS on accuracy and bias in subsequent ssGBLUP evaluations, using data from a commercial pig breeding program in which preselection has taken place. To achieve this aim, we used the full dataset as control and retrospectively implemented additional layers of GPS. The additional layers of GPS were implemented by discarding animals that did not have progeny in the data. Since in the breeding program GEBV were used to select parents of next generations, discarding animals without progeny in the data can be considered as additional GPS. Then we compared results from subsequent ssGBLUP evaluations after these additional layers of GPS against results from ssGBLUP evaluation using the full available data. Our subsequent genetic evaluations only involved reevaluating preselected animals, either with or without preculled animals in the subsequent evaluations.

Methods

Data

We obtained pig production traits data on one sire line and one dam line from Topigs Norsvin. These data were collected between 1970 and 2020, and the traits were average daily gain during performance testing (ADGT), average daily gain throughout the lifetime (ADGL), backfat thickness, and loin depth. These traits are part of the breeding goals of each line. However, there was more emphasis on reproduction traits than on production traits in the dam line. Details on the amount of data utilized in this study are in Table 1. The data were recorded on originally preselected animals (i.e. the animals preselected by Topigs Norsvin), with the sire line being much more balanced than the dam line, in terms of proportions males and females with records per generation (ratio of males with records to females with records is about 50:50 in the sire line and about 20:80 in the dam line). We studied impact of genomic preselection (GPS) in the two lines separately, because the traits we studied had different weights in breeding goals of the two lines. Ancestors from the same line and year of birth with unknown parents were considered to be a separate base population in the pedigree. Each base population was fitted as a genetic group to account for genetic trend and differences in origin and selection history [16].

Training and validation generations

Per line, we split all animals into two groups, according to a cut-off birth date. Animals born before or on the cut-off birth date were used as training population, and animals born after the cut-off birth date were used as validation population. The cut-off date (to split the data into training and validation populations) was 31st January, 2017 for the sire line, and 31st December, 2015 for the dam line. Then from the validation population, animals that met the following requirements were selected as validation animals: 1) none of their parents were in

the validation population, and 2) the animals had phenotyped progeny. The first requirement

ensured that our validation animals were from only one generation, and the second

requirement enabled comparing GEBV of the validation animals against their progeny yield

deviations (PYD) [17]. Since records on validation animals were included in some of our

subsequent evaluation scenarios (as will be seen later), we chose to use PYD as proxy for true

breeding values (TBV) because PYD are estimated from phenotypes that were not included in

the subsequent genetic evaluations.

Genomic data and quality control

Our genomic data included genotypes of animals for about 21,000 SNP segregating in both

lines, and distributed across the 18 autosomes in the pig genome. The SNP were genotyped

using a custom SNP chip. We used Plink [18] for all quality control operations on our

genomic data. Per GPS scenario (as described later) and per line, animals and SNPs with call

rates less than 90% were removed, as well as SNPs that deviated from Hardy-Weinberg

equilibrium (Hardy-Weinberg equilibrium exact test p value = 10^{-15}), or had a minor allele

frequency below 0.005. Table 1 contains the summary of the pedigree, genomic and

phenotypic information utilized in the subsequent genetic evaluations following each GPS

scenario.

Computation of precorrected phenotypes

In our genetic evaluations, we used precorrected phenotypes (rather than raw phenotypes) as

records. Animals of different lines were sometimes raised together, so they shared some fixed

and non-genetic random effects. Because we studied impact of GPS within lines, it was

necessary to correct phenotypes for all non-genetic effects before the data was divided into

lines. Another motivation for using precorrected phenotypes is that after implementing our

additional GPS scenarios (as described in detail in the next section), some classes of these

non-genetic effects could be left with only one or a few animals. Then correcting for these

effects would be less accurate compared to correcting for them before implementing our

additional GPS scenarios. To compute precorrected phenotypes (y_c), we first ran the

following multi-trait pedigree-based animal model as follows:

$$\mathbf{y_j} = \mathbf{X_j b_j} + \mathbf{W_j p_j} + \mathbf{Z_j u_j} + \mathbf{e_j},\qquad\qquad \text{(eq. 1)}.$$

Where for every trait (j) $\mathbf{y_j}$ was the vector of phenotypes; $\mathbf{b_j}$ was the vector of fixed effects,

with incidence matrix $\mathbf{X_j}$; $\mathbf{p_j}$ was the vector of non-genetic random effects, with incidence

matrix $\mathbf{W_j}$; $\mathbf{u_j}$ was the vector of breeding values, with incidence matrix $\mathbf{Z_j}$; and $\mathbf{e_j}$ was the

vector of residuals. The model assumed $\mathbf{u_j}$ and $\mathbf{e_j}$ to be normally distributed, each with mean

of zero. For all traits (and across all animals), $\mathbf{u}$ and $\mathbf{e}$ had variance-covariance matrices $\mathbf{A} \otimes$

$\mathbf{G}$ and $\mathbf{I} \otimes \mathbf{R}$, respectively. Where $\mathbf{A}$ was the pedigree relationship matrix among animals, $\mathbf{I}$

was an identity matrix with dimensions equal to the number of animals with records, and $\mathbf{G}$

and $\mathbf{R}$ were respectively the trait by trait additive genetic and residual variance-covariance

matrices. Then for every animal (i) with phenotype for trait j, we computed its precorrected

phenotype (y_{cij}) as:

$$y_{cij} = \hat{u}_{ij} + \hat{e}_{ij}\qquad \text{(eq. 2).}$$

The (co)variance components used for this analysis were estimated, before separating the data

into lines, from a four-trait pedigree-based animal model in ASReml [19] using **eq. 1**. All

computations of (G)EBV were performed using MiXBLUP [20]. We decided to use a

pedigree-based model (instead of a single-step model) to estimate the variance components

because previous studies [21,22] showed that in populations undergoing genomic selection (as

in our data), pedigree-based models estimate variance components in the pedigree founders at

least as good as single-step models.

Preselection

Per line, we implemented a reference scenario and two scenarios that added layers of GPS. The reference scenario - against which other scenarios could be compared - only included the original GPS implemented by Topigs Norsvin. Thus, the subsequent ssGBLUP evaluations following the reference scenario utilized the entire available data until the validation generation. The second scenario is called validation generation preselection (the VGP scenario). In this scenario, we only implemented additional GPS in the validation generation, by discarding all animals in the validation generation that had no progeny in the data, but had genotypes and/or phenotypes. The third scenario is called multi-generation preselection (the MGP scenario), in which we discarded any animal in the validation and training generations without progeny in the data. Animals kept after each of the GPS scenarios are shown in Figure 1.

Subsequent genetic evaluations

Following every scenario of GPS, we implemented a subsequent ssGBLUP evaluation with all animals that survived the GPS. We call this evaluation subsequent because it came after the initial evaluation that provided the GEBV used in preselection. The ssGBLUP evaluations were conducted with and without records (i.e. own precorrected phenotypes) on the animals in the validation generation (see Table 1), to represent traits with records (e,g, production traits) and those without records (e,g. reproduction traits) available during subsequent evaluations. Progeny of validation animals were not included in the subsequent genetic evaluations. We estimated variance components for every preselection scenario, per line, using a pedigree-based multi-trait animal model in ASReml. We used these scenario-specific variance components in the subsequent genetic evaluations to ensure that the variance components

used were appropriate for the precorrected phenotypes. At the subsequent genetic evaluations,

the (multi-trait) model used for the estimations of both variance components and breeding

values for every trait (j) was:

$$\mathbf{y_j} = \mathbf{1_j}\mu_j + \mathbf{Z_j}\mathbf{u_j} + \mathbf{e_j}, \textbf{(eq. 3)},$$

where for every trait (j) $\mathbf{y_j}$ was the vector of precorrected phenotypes; $\mathbf{1_j}$ was an incidence

vector of 1's, and $\mathbf{Z_j}$ was incidence matrix, linking precorrected phenotypes to overall mean

and random animal effects, respectively; μ_j was the overall mean; $\mathbf{u_j}$ was the vector of

breeding values; and $\mathbf{e_j}$ was the vector of residuals. The model assumed $\mathbf{u_j}$ and $\mathbf{e_j}$ to be

normally distributed, each with mean of zero. For all traits (and across all animals), $\mathbf{u}$ and $\mathbf{e}$

had variance-covariance matrices $\mathbf{H} \otimes \mathbf{G}$ and $\mathbf{I} \otimes \mathbf{R}$, respectively. Where $\mathbf{H}$ was the

combined genomic and pedigree relationship matrix among animals as explained hereafter, $\mathbf{I}$

was an identity matrix with dimensions equal to the number of animals with records, and $\mathbf{G}$

and $\mathbf{R}$ were respectively the trait by trait additive genetic and residual variance-covariance

matrices. We also repeated all subsequent genetic evaluations using PBLUP, to verify the

impact of using genotypes on the observed results.

Figure 1 here

Table 1 Data utilized in subsequent ssGBLUP[a] evaluations following each preselection
scenario, after quality control

Data in the subsequent ssGBLUP evaluation/Preselection scenario	With records on animals in the validation generation			Without records on animals in the validation generation		
	Reference[b]	VGP[c]	MGP[d]	Reference[b]	VGP[c]	MGP[d]
The sire line (number of validation animals per trait is ± 1383)						
Number of animals in the pedigree	81,875	60,950	12,777	81,875	60,950	12,777
Number of animals with record for at least one trait[e]	75,129	54,217	6,065	52,846	52,846	4,694
Number of animals with genotypes	33,506	23,315	5,131	33,506	23,315	5,131
Number of SNP genotyped	20,550	20,963	20,926	20,550	20,963	20,926
The dam line (number of validation animals per trait is ± 2051)						
Number of animals in the pedigree	160,426	124,031	33,485	160,426	124,031	33,485
Number of animals with record for at least one trait	139,403	103,018	12,514	100.710	100,710	10,206
Number of animals with genotypes	50,895	36,369	9,072	50,895	36,369	9,072
Number of SNP genotyped	19,199	19,256	20,647	19,199	19,256	20,647

[a] single-step genomic best linear unbiased prediction
[b] In the reference scenario, the subsequent ssGBLUP evaluation utilized the entire available
data until the validation generation
[c] Validation generation preselection (VGP) scenario, in which all animals in the validation
generation without progeny in the data were discarded
[d] Multi-generation preselection (MGP) scenario, in which all animals in the validation and
training generations without progeny in the data were discarded
[e] About 87% and 70% of the animals in sire and dam lines respectively have records for all
the four traits utilized in this study, and even bigger numbers have records for any two and
three traits. We decided to keep any animal with record for at least one of the traits (92% and
87% of the animals in the sire and dam lines respectively) because every animal in the
analyses would benefit from records on relatives and records of correlated traits (see Table
S1), in addition to its own record on the primary trait.

Implementation of single-step GBLUP

The inverse of the combined pedigree-genomic relationship ($\mathbf{H^{-1}}$) was obtained as follows

[23,24]:

$$\mathbf{H}^{-1} = \mathbf{A}^{-1} + \begin{bmatrix} 0 & 0 \\ 0 & (0.95\mathbf{G_t} + 0.05\mathbf{A}_{22})^{-1} - \mathbf{A}_{22}^{-1} \end{bmatrix} \qquad \textbf{(eq. 4)},$$

where $\mathbf{A}^{-1}$ was the inverse of the pedigree relationship matrix, and $\mathbf{A}_{22}$ was part of the

pedigree relationship matrix referring to genotyped animals. We considered inbreeding in

setting up both $\mathbf{A}^{-1}$ and $\mathbf{A}_{22},$ as ignoring inbreeding in setting up $\mathbf{A}^{-1}$ and $\mathbf{A}_{22}$ has been

reported to cause bias in GEBV [13]. The adjusted genomic relationship matrix $\mathbf{G_t}$ was

computed as follows [14,25]:

$$\mathbf{G_t} = \left(1 - \bar{f}_p\right)\mathbf{G_r} + 2\bar{f}_p\mathbf{1}\mathbf{1}' \qquad \textbf{(eq. 5)},$$

where $\bar{f}_p$ was the average pedigree inbreeding coefficient across genotyped animals, $\mathbf{G_r}$ was

the raw genomic relationship matrix computed following the first method of VanRaden [26],

and $\mathbf{1}\mathbf{1}'$ was a matrix of 1s. As the animals with genotypes in this study were selectively

genotyped, this transformation made sure that the impact of selective genotyping was taken

care of and that $\mathbf{G}$ and $\mathbf{A_{22}}$ were on the same scale and therefore compatible [14,15]. To

compute $\mathbf{G_r}$, we computed (current) allele frequencies using all available genomic data after

quality control. We gave the weights of 0.95 to $\mathbf{G_t}$ and 0.05 to $\mathbf{A}_{22}$ to ensure that $\mathbf{G}$ was

invertible [23,24].

Measures of accuracy and bias in the subsequent genetic evaluations

We used progeny yield deviation (PYD) [17] as a proxy for true breeding value (TBV),

against which GEBV were compared when computing accuracy and bias. To compute PYD,

we ran a multi-trait pedigree-based animal model per line in MiXBLUP, with precorrected

phenotypes as records and an overall mean as the only fixed effect **(eq. 3)**. The (co)variance

components used in this model were also estimated per line in ASReml, from precorrected

phenotypes, using a multi-trait pedigree-based animal model that only included a mean fixed

effect **(eq. 3)**. From the output of this analysis, we computed PYD for all validation sires and

dams (i) for each trait (j) as:

$$PYD_{ij} = \frac{\sum_{p=1}^{n} y_{cpj} - \frac{1}{2} a_{mj}}{n} \qquad \textbf{(eq. 6)},$$

where PYD_{ij} was the progeny yield deviation of a sire or dam i for trait j, y_{cpj} was the

precorrected phenotype of a progeny p of the sire or dam i for trait j, a_{mj} was the breeding

value of the mate of sire or dam i (for trait j) in producing offspring p, and n was the number

of phenotyped progeny of sire or dam i. Estimation of PYD was done before removing

progeny of validation animals from the data. Since progeny of validation animals were not

included in subsequent genetic evaluations, comparing (G)EBV to PYD can be considered as

a forward-in-time validation. We computed approximate reliability of PYD for each

validation animal for each trait, and used this approximate reliability as the weighting factor

to compute accuracy and bias, to account for differences in number of progeny used to

estimate PYD for different validation animals. The reliability of PYD was approximated as:

$$\frac{\frac{1}{4} n h^2}{1 + \frac{1}{4}(n-1) h^2} \qquad \textbf{(eq. 7)},$$

where n was the validation animal's number of half-sib progeny with records, and h^2 was the

heritability of the trait [27]. For convenience, we assumed all progeny of a validation animal

were half-sibs, though some of them were full-sibs. We also computed unweighted accuracy

an bias, and accuracy and bias weighted by approximate PYD reliabilities obtained

considering all progeny as full sibs. We did not observe statistically significant differences

among the results, so we decided to only report the accuracy and bias weighted by

approximate reliability computed considering all progeny as half sibs (as in eq. 7).

Validation accuracy was computed as weighted Pearson's correlation coefficient between

PYD and GEBV of all validation animals, using the 'cor.test' function of the 'stats' package

in R [28]. We computed the standard errors (SE) of the estimates from the confidence

intervals (CI) produced by the 'cor.test' function. Validation accuracy is not numerically the

same as the accuracy of predicting TBV, since PYD has some non-genetic component, in

addition to TBV [17]. However, validation accuracy and accuracy of predicting TBV increase

and decrease together [29], and this property of validation accuracy enables us to use it to

make comparison among subsequent genetic evaluation scenarios.

We computed two types of bias. The first type is level bias, which is a measure of whether

estimated genetic gain is equal to true genetic gain. Level bias was computed as the weighted

mean difference between PYD and half of the (G)EBV across all validation animals,

expressed in additive genetic standard deviation (SD) units of the trait. We used the

'weighted.mean' function of the 'stats' package in R [28] to compute estimates of the

weighted mean differences, and used the 'weighted_se' function of the 'diagis' package in R

[30] to compute SE of the estimates. A negative difference means that GEBV are on average

overestimated, and therefore genetic gain is overestimated, and vice versa. Since PYD were

computed from a dataset that included information on progeny of validation animals and

(G)EBV were computed without information on progeny of validation animals, PYD and

(G)EBV were on different scales. Therefore before computing differences between PYD and

half of the (G)EBV of validation animals, we scaled PYD and (G)EBV to be expressed

against the same genetic base, consisting of the first three training generations. We did this in

the following steps: from the model used in computing PYD, we computed average EBV

across all animals in the first three training generations. We then subtracted half of this

average EBV from PYD of each validation animal. Then for each subsequent genetic

evaluation, we computed the average (G)EBV of all animals in the first three training

generations. We then subtracted this average (G)EBV from (G)EBV of each validation

animal.

The other type of bias we computed is dispersion bias, which was measured by the weighted

regression coefficient of PYD on (G)EBV of all validation animals. We used the 'lm' function

of the 'stats' package in R [28] to compute both the estimates and SE of the regression

coefficients. If the regression coefficient is equal to the expected value, then there is no

dispersion bias. Note that the expected value is 0.5, because PYD only includes half of the

breeding value of a parent. A regression coefficient less than the expected value means that

variance of (G)EBV is inflated, and vice versa.

Results

Table 2 shows normalized means and SD of precorrected phenotypes of the traits analyzed,

following the implememted genomic preselection (GPS) scenarios. The results show that our

additionally implemented GPS was effective, as the lines were selected (and preselected) for

increased feed efficiency (i.e. higher feed intake and higher average daily gain), slightly

decreased backfat thickness, and slightly increased loin depth. As the validation generation

for both validation generation preselection (VGP) and multi-generation preselection (MGP)

scenarios only contained the preselected animals, means and SD of precorrected phenotypes

of the traits are the same for these two GPS scenarios when only considering the animals in

the validation generation. When only the validation generation was considered (i.e. the middle

part of Table 2), means of precorrected phenotypes of average daily gain during performance

testing (ADGT) and average daily gain throughout life (ADGL) increased from reference

scenario to VGP and MGP scenarios. At the same time, SD of precorrected phenotypes of

these traits decreased from reference scenario to VGP and MGP scenarios. In other words,

means of precorrected phenotypes of these traits were higher, and SD of the precorrected

phenotypes were lower, among preselected animals in the validation generation than among

all animals in the validation generation. For backfat thickness, both mean and SD slightly

decreased from reference to VGP and MGP scenarios. The change was also only slight for

loin depth, with the mean slightly increasing, and the SD slightly decreasing, from reference

to VGP and MGP scenarios. Higher effectiveness of MGP over VGP can be seen from the

right part of Table 2 (i.e. when means and SD were computed across the entire data). For the

positively (pre)selected traits (i.e ADGT, ADGL, and loin depth) means were higher for MGP

than for VGP. For backfact, which was negatively (pre)selected, mean was lower for MGP

than for VGP. As would be expected, SD were in all cases lower for MGP than for VGP.

Table 2 Normalized[a] Means and SD (in brackets) of precorrected phenotypes of the traits
utilized in this study, following each GPS scenario

Trait /Preselection scenario	Only within the validation generation		Across the entire data	
	Reference[b]	VGP[c]/MGP[d]	VGP	MGP
Sire line				
ADGT[e] (g/day)	0.12 (1.00)	0.51 (0.80)	-0.08 (1.00)	0.38 (0.84)
ADGL[f] (g/day)	0.03 (1.00)	0.41 (0.85)	-0.11 (1.00)	0.31 (0.86)
Backfat thickness (mm)	-0.27 (1.00)	-0.29 (0.95)	-0.01 (1.00)	-0.10 (0.96)
Loin depth (mm)	0.26 (1.00)	0.26 (0.97)	0.17 (1.00)	0.20 (0.97)
Dam line				
ADGT (g/day)	0.22 (1.00)	0.62 (0.90)	-0.06 (1.00)	0.40 (0.91)
ADGL (g/day)	0.11 (1.00)	0.53 (0.87)	-0.11 (1.00)	0.32 (0.90)
Backfat thickness (mm)	-0.13 (1.00)	0.05 (0.97)	-0.02 (1.00)	-0.06 (0.99)
Loin depth (mm)	0.22 (1.00)	0.13 (0.98)	0.20 (1.00)	0.24 (0.99)

[a] The values were normalized by dividing them by the standard deviations of their
corresponding reference scenarios
[b] In the reference scenario, the subsequent ssGBLUP evaluation utilized the entire available
data until the validation generation
[c] Validation generation preselection (VGP) scenario, in which all animals in the validation
generation without progeny in the data were discarded
[d] Multi-generation preselection (MGP) scenario, in which all animals in the validation and
training generations without progeny in the data were discarded
[e] ADGT: Average daily gain during performance testing
[f] ADGL: ADG throughout life

Results of the subsequent genetic evaluations conducted with ssGBLUP are presented in

Tables 3 and 4, respectively for the sire line and the dam line. Results in Tables 5 and 6 are

from subsequent genetic evaluations done with PBLUP, respectively for the sire line and the

dam line. For every parameter in these tables (i.e. estimated heritability, validation accuracy,

level bias, and dispersion bias), we showed the estimate and SE of the estimate. We always

used a one-tailed two-sample t-test at 5% significance level to determine whether two

estimates were different. We included the estimated heritabilities in our results because they

help in explaining the results of accuracy and bias.

Estimated heritabilities for ADGT and ADGL did not differ between reference and VGP

scenarios, but increased in MGP scenarios. This was observed in both lines. For backfat

thickness, heritabilities did not differ across GPS scenarios, neither in the sire line nor in the

dam line. For loin depth, the heritabilities did not differ across GPS scenarios in the sire line.

In the dam line however, the heritability of loin depth was higher in MGP scenarios than in

reference and VGP scenarios. The above trends in heritabilities for all traits were observed

whether records on animals in the validation generation were included or excluded in

estimating the heritabilities. Without records on animals in the validation generation in the

subsequent ssGBLUP evaluations, all results (including estimated heritabilities) for reference

and VGP scenarios were the same. The increases in heritabilities observed with more

preselection were generally due to decreases in residual variances with more preselection,

while additive genetic variances generally did not differ across GPS scenarios (Tables S2 and

S3).

Table 3 Performance of ssGBLUP[a] in the subsequent evaluations in the sire line (SE in
brackets)

Measure/Preselection scenario	With records on animals in the validation generation			Without records on animals in the validation generation		
	Reference[b]	VGP[c]	MGP[d]	Reference	VGP	MGP
Average daily gain during performance testing, size of validation population = 1382						
Estimated heritability[e]	0.24 (0.01)	0.25 (0.01)	0.33 (0.02)	0.24 (0.01)	0.24 (0.01)	0.35 (0.03)
Validation accuracy[f]	0.51 (0.02)	0.51 (0.02)	0.50 (0.02)	0.47 (0.02)	0.47 (0.02)	0.44 (0.02)
Level bias[g]	-0.09 (0.02)	-0.15 (0.02)	-0.01 (0.02)	-0.11(0.02)	-0.11 (0.02)	-0.02 (0.02)
Dispersion bias[h]	0.48 (0.02)	0.49 (0.02)	0.48 (0.02)	0.48 (0.02)	0.48 (0.02)	0.46 (0.03)
Average daily gain throughout life, size of validation population = 1383						
Estimated heritability	0.26 (0.01)	0.28 (0.01)	0.33 (0.03)	0.27 (0.01)	0.27 (0.01)	0.35 (0.03)
Validation accuracy	0.57 (0.02)	0.56 (0.02)	0.55 (0.02)	0.52 (0.02)	0.52 (0.02)	0.48 (0.02)
Level bias	-0.10 (0.02)	-0.17 (0.02)	-0.06 (0.02)	-0.14 (0.02)	-0.14 (0.02)	-0.08 (0.02)
Dispersion bias	0.48 (0.02)	0.49 (0.02)	0.50 (0.02)	0.47 (0.02)	0.47 (0.02)	0.49 (0.02)
Backfat thickness, size of validation population = 1383						
Estimated heritability	0.58 (0.01)	0.58 (0.01)	0.58 (0.02)	0.58 (0.01)	0.58 (0.01)	0.60 (0.03)
Validation accuracy	0.69 (0.01)	0.68 (0.01)	0.67 (0.01)	0.63 (0.02)	0.63 (0.02)	0.56 (0.02)
Level bias	-0.02 (0.01)	-0.03 (0.01)	-0.03 (0.01)	-0.05 (0.01)	-0.05 (0.01)	-0.09 (0.01)
Dispersion bias	0.48 (0.01)	0.47 (0.01)	0.47 (0.01)	0.44 (0.01)	0.44 (0.01)	0.42 (0.02)
Loin depth, size of validation population = 1383						
Estimated heritability	0.55 (0.01)	0.55 (0.01)	0.55 (0.03)	0.55 (0.01)	0.55 (0.01)	0.57 (0.03)
Validation accuracy	0.68 (0.01)	0.67 (0.01)	0.65 (0.02)	0.62 (0.02)	0.62 (0.02)	0.54 (0.02)
Level bias	0.01 (0.01)	0.00 (0.01)	0.00 (0.01)	0.00 (0.01)	0.00 (0.01)	-0.01 (0.01)
Dispersion bias	0.50 (0.01)	0.50 (0.01)	0.48 (0.02)	0.48 (0.02)	0.48 (0.02)	0.45 (0.02)

[a] single-step genomic best linear unbiased prediction
[b] In the reference scenario, the subsequent ssGBLUP evaluation utilized the entire available
data until the validation generation
[c] Validation generation preselection (VGP) scenario, in which all animals in the validation
generation without progeny in the data were discarded
[d] Multi-generation preselection (MGP) scenario, in which all animals in the validation and
training generations without progeny in the data were discarded
[e] The heritability was estimated from an equivalent pedigree-based animal model in ASReml
[f] Validation accuracy was computed as weighted Pearson's correlation coefficient between
progeny yield deviation and genomic estimated breeding value of all validation animals
[g] Level bias was computed as the weighted mean difference between progeny yield deviation
and half of the genomic estimated breeding value across all validation animals, expressed in
additive genetic standard deviation units of the trait

 [h] Dispersion bias was measured by the weighted regression coefficient of progeny yield
deviation on genomic estimated breeding value of all validation animals

Table 4 Performance of ssGBLUP[a] in the subsequent evaluations in the dam line (SE in brackets)

Measure/Preselection scenario	With records on animals in the validation generation			Without records on animals in the validation generation		
	Reference[b]	VGP[c]	MGP[d]	Reference	VGP	MGP
Average daily gain during performance testing, size of validation population = 2323						
Estimated heritability[e]	0.31 (0.01)	0.32 (0.01)	0.40 (0.02)	0.30 (0.01)	0.30 (0.01)	0.38 (0.02)
Validation accuracy[f]	0.35 (0.02)	0.31 (0.02)	0.29 (0.02)	0.28 (0.02)	0.28 (0.02)	0.23 (0.02)
Level bias[g]	-0.05 (0.02)	-0.14 (0.02)	0.04 (0.02)	0.03 (0.02)	0.03 (0.02)	0.14 (0.02)
Dispersion bias[h]	0.46 (0.03)	0.43 (0.03)	0.41 (0.03)	0.44 (0.03)	0.44 (0.03)	0.43 (0.04)
Average daily gain throughout life, size of validation population = 2405						
Estimated heritability	0.31 (0.01)	0.33 (0.01)	0.43 (0.02)	0.31 (0.01)	0.31 (0.01)	0.44 (0.02)
Validation accuracy	0.46 (0.02)	0.42 (0.02)	0.42 (0.02)	0.38 (0.02)	0.38 (0.02)	0.35 (0.02)
Level bias	-0.06 (0.01)	-0.16 (0.01)	-0.01 (0.01)	0.00 (0.01)	0.00 (0.01)	0.08 (0.01)
Dispersion bias	0.45 (0.02)	0.42 (0.02)	0.42 (0.02)	0.43 (0.02)	0.43 (0.02)	0.43 (0.02)
Backfat thickness *thickness, size of validation population = 2312*						
Estimated heritability	0.51 (0.01)	0.51 (0.01)	0.51 (0.02)	0.51 (0.01)	0.51 (0.01)	0.53 (0.02)
Validation accuracy	0.52 (0.01)	0.50 (0.02)	0.50 (0.02)	0.45 (0.02)	0.45 (0.02)	0.42 (0.02)
Level bias	0.02 (0.01)	-0.01 (0.01)	-0.03 (0.01)	0.02 (0.01)	0.02 (0.01)	-0.01 (0.01)
Dispersion bias	0.43 (0.01)	0.41 (0.01)	0.41 (0.01)	0.42 (0.02)	0.42 (0.02)	0.41 (0.02)
Loin depth, size of validation population = 1164						
Estimated heritability	0.50 (0.01)	0.50 (0.01)	0.55 (0.02)	0.49 (0.01)	0.49 (0.01)	0.53 (0.02)
Validation accuracy	0.62 (0.02)	0.60 (0.02)	0.59 (0.02)	0.55 (0.02)	0.56 (0.02)	0.49 (0.02)
Level bias	-0.02 (0.02)	-0.03 (0.02)	0.02 (0.02)	-0.04 (0.02)	-0.04 (0.02)	0.03 (0.02)
Dispersion bias	0.54 (0.02)	0.54 (0.02)	0.52 (0.02)	0.53 (0.02)	0.53 (0.02)	0.51 (0.03)

[a] single-step genomic best linear unbiased prediction
[b] In the reference scenario, the subsequent ssGBLUP evaluation utilized the entire available data until the validation generation
[c] Validation generation preselection (VGP) scenario, in which all animals in the validation generation without progeny in the data were discarded
[d] Multi-generation preselection (MGP) scenario, in which all animals in the validation and training generations without progeny in the data were discarded
[e] The heritability was estimated from an equivalent pedigree-based animal model in ASReml
[f] Validation accuracy was computed as weighted Pearson's correlation coefficient between progeny yield deviation and genomic estimated breeding value of all validation animals
[g] Level bias was computed as the weighted mean difference between progeny yield deviation and half of the genomic estimated breeding value across all validation animals, expressed in

391   additive genetic standard deviation units of the trait

392   [h] Dispersion bias was measured by the weighted regression coefficient of progeny yield

393   deviation on genomic estimated breeding value of all validation animals

394   **Table 5** Performance of PBLUP[a] in the subsequent evaluations in the sire line (SE in

395   brackets)

Measure/Preselection scenario	With records on animals in the validation generation			Without records on animals in the validation generation		
	Reference[b]	VGP[c]	MGP[d]	Reference	VGP	MGP
Average daily gain during performance testing, size of validation population = 1382						
Estimated heritability	0.24 (0.01)	0.25 (0.01)	0.33 (0.02)	0.24 (0.01)	0.24 (0.01)	0.35 (0.03)
Validation accuracy[e]	0.51 (0.02)	0.50 (0.02)	0.49 (0.02)	0.41 (0.02)	0.41 (0.02)	0.40 (0.02)
Level bias[f]	-0.04 (0.02)	-0.11 (0.02)	0.01 (0.02)	-0.01 (0.02)	-0.01 (0.02)	0.01 (0.02)
Dispersion bias[g]	0.53 (0.02)	0.54 (0.03)	0.48 (0.02)	0.55 (0.03)	0.55 (0.03)	0.49 (0.03)
Average daily gain throughout life, size of validation population = 1383						
Estimated heritability	0.26 (0.01)	0.28 (0.01)	0.33 (0.03)	0.27 (0.01)	0.27 (0.01)	0.35 (0.03)
Validation accuracy	0.58 (0.02)	0.56 (0.02)	0.54 (0.02)	0.47 (0.02)	0.47 (0.02)	0.44 (0.02)
Level bias	-0.06 (0.02)	-0.14 (0.02)	-0.04 (0.02)	-0.05 (0.02)	-0.05 (0.02)	-0.05 (0.02)
Dispersion bias	0.55 (0.02)	0.55 (0.02)	0.51 (0.02)	0.56 (0.03)	0.56 (0.03)	0.54 (0.03)
Backfat thickness thickness, size of validation population = 1383						
Estimated heritability	0.58 (0.01)	0.58 (0.01)	0.58 (0.02)	0.58 (0.01)	0.58 (0.01)	0.60 (0.03)
Validation accuracy	0.67 (0.01)	0.66 (0.02)	0.66 (0.02)	0.48 (0.02)	0.48 (0.02)	0.46 (0.02)
Level bias	-0.03 (0.01)	-0.03 (0.01)	-0.03 (0.01)	-0.09 (0.01)	-0.09 (0.01)	-0.10 (0.01)
Dispersion bias	0.50 (0.01)	0.50 (0.02)	0.50 (0.02)	0.46 (0.02)	0.46 (0.02)	0.43 (0.02)
Loin depth, size of validation population = 1383						
Estimated heritability	0.55 (0.01)	0.55 (0.01)	0.55 (0.03)	0.55 (0.01)	0.55 (0.01)	0.57 (0.03)
Validation accuracy	0.66 (0.02)	0.65 (0.02)	0.64 (0.02)	0.49 (0.02)	0.49 (0.02)	0.46 (0.02)
Level bias	0.00 (0.01)	0.00 (0.01)	0.00 (0.01)	0.01 (0.01)	0.01 (0.01)	0.00 (0.01)
Dispersion bias	0.50 (0.02)	0.49 (0.02)	0.49 (0.02)	0.48 (0.02)	0.48 (0.02)	0.46 (0.02)

[a] Pedigree-based best linear unbiased prediction

[b] In the reference scenario, the subsequent PBLUP evaluation utilized the entire available data

until the validation generation

[c] Validation generation preselection (VGP) scenario, in which all animals in the validation

generation without progeny in the data were discarded

[d] Multi-generation preselection (MGP) scenario, in which all animals in the validation and

training generations without progeny in the data were discarded

[e] Validation accuracy was computed as weighted Pearson's correlation coefficient between

progeny yield deviation and genomic estimated breeding value of all validation animals

[f] Level bias was computed as the weighted mean difference between progeny yield deviation

and half of the genomic estimated breeding value across all validation animals, expressed in

additive genetic standard deviation units of the trait

 ^g Dispersion bias was measured by the weighted regression coefficient of progeny yield
409   deviation on genomic estimated breeding value of all validation animals

410   **Table 6** Performance of PBLUP[a] in the subsequent evaluations in the dam line (SE in
411   brackets)

Measure/Preselection scenario	With records on animals in the validation generation			Without records on animals in the validation generation		
	Reference[b]	VGP[c]	MGP[d]	Reference	VGP	MGP
Average daily gain during performance testing, size of validation population = 2323						
Estimated heritability	0.31 (0.01)	0.32 (0.01)	0.40 (0.02)	0.30 (0.01)	0.30 (0.01)	0.38 (0.02)
Validation accuracy[e]	0.35 (0.02)	0.30 (0.02)	0.30 (0.02)	0.24 (0.02)	0.24 (0.02)	0.21 (0.02)
Level bias[f]	-0.04 (0.02)	-0.16 (0.02)	0.01 (0.02)	0.08 (0.02)	0.08 (0.02)	0.13 (0.02)
Dispersion bias[g]	0.52 (0.03)	0.45 (0.03)	0.42 (0.03)	0.50 (0.04)	0.50 (0.04)	0.45 (0.04)
Average daily gain throughout life, size of validation population = 2405						
Estimated heritability	0.31 (0.01)	0.33 (0.01)	0.43 (0.02)	0.31 (0.01)	0.31 (0.01)	0.44 (0.02)
Validation accuracy	0.48 (0.01)	0.43 (0.02)	0.43 (0.02)	0.34 (0.02)	0.34 (0.02)	0.31 (0.02)
Level bias	-0.05 (0.01)	-0.18 (0.01)	-0.03 (0.01)	0.05 (0.02)	0.05 (0.02)	0.07 (0.01)
Dispersion bias	0.51 (0.02)	0.47 (0.02)	0.44 (0.02)	0.51 (0.03)	0.51 (0.03)	0.44 (0.03)
Backfat thickness *thickness, size of validation population = 2312*						
Estimated heritability	0.51 (0.01)	0.51 (0.01)	0.51 (0.02)	0.51 (0.01)	0.51 (0.01)	0.53 (0.02)
Validation accuracy	0.52 (0.02)	0.50 (0.02)	0.50 (0.02)	0.37 (0.02)	0.37 (0.02)	0.36 (0.02)
Level bias	0.02 (0.01)	0.00 (0.01)	-0.03 (0.01)	0.04 (0.01)	0.04 (0.01)	0.00 (0.01)
Dispersion bias	0.45 (0.02)	0.43 (0.02)	0.42 (0.02)	0.41 (0.02)	0.41 (0.02)	0.39 (0.02)
Loin depth, size of validation population = 1164						
Estimated heritability	0.50 (0.01)	0.50 (0.01)	0.55 (0.02)	0.49 (0.01)	0.49 (0.01)	0.53 (0.02)
Validation accuracy	0.58 (0.02)	0.56 (0.02)	0.56 (0.02)	0.43 (0.02)	0.43 (0.02)	0.41 (0.02)
Level bias	0.00 (0.02)	-0.01 (0.02)	0.04 (0.02)	-0.02 (0.02)	-0.02 (0.02)	0.04 (0.02)
Dispersion bias	0.55 (0.02)	0.54 (0.02)	0.51 (0.02)	0.57 (0.03)	0.57 (0.03)	0.52 (0.03)

^a Pedigree-based best linear unbiased prediction
^b In the reference scenario, the subsequent PBLUP evaluation utilized the entire available data
until the validation generation
^c Validation generation preselection (VGP) scenario, in which all animals in the validation
generation without progeny in the data were discarded
^d Multi-generation preselection (MGP) scenario, in which all animals in the validation and
training generations without progeny in the data were discarded
^e Validation accuracy was computed as weighted Pearson's correlation coefficient between
progeny yield deviation and genomic estimated breeding value of all validation animals
^f Level bias was computed as the weighted mean difference between progeny yield deviation
and half of the genomic estimated breeding value across all validation animals, expressed in

additive genetic standard deviation units of the trait

[g] Dispersion bias was measured by the weighted regression coefficient of progeny yield

deviation on genomic estimated breeding value of all validation animals

Subsequent ssGBLUP evaluations with records on animals in the validation generation

Validation accuracies did not differ across GPS scenarios for all traits in both lines, except for

ADGT in the dam line, where the accuracy was lower in MGP scenario than in reference

scenario (Table 4). Tendencies (i.e. indications that may not be statistically significant)

towards lower accuracies with more GPS were however observed for all traits in both lines

(Tables 3 and 4). In both lines, level bias was absent in all scenarios for loin depth, and only

in some scenarios for ADGT, ADGL and backfat thickness. Even when level bias was

present, it was still only marginal. The highest value of level bias recorded was -0.17 additive

genetic SD units, under the VGP scenario for ADGL in the sire line (Table 3). Dispersion bias

was absent (i.e. the regression coefficient of PYD on GEBV did not differ from its expected

value of 0.5) for all traits in the sire line (Table 3), except in VGP and MGP scenarios for

backfat thickness, where there was inflation (i.e. the regression coefficient was less than 0.5).

On the other hand, dispersion bias was present for all traits in the dam line (Table 4), except

in the reference scenario of ADGT and MGP scenario of loin depth. In the instances with

dispersion bias in the dam line, the regression coefficients were greater than 0.5 (i.e. they

were deflated) in reference and VGP scenarios of loin depth, and less than 0.5 in all other

instances. However, the estimates of the regression coefficients did not differ across GPS

scenarios within traits and lines (Tables 3 and 4), although they showed tendencies to

decrease from reference to VGP to MGP scenarios.

Subsequent ssGBLUP evaluations without records on animals in the validation generation

For ADGT, validation accuracy did not differ across GPS scenarios in the sire line (Table 3),

but in the dam line it was lower in MGP scenario than in reference/VGP scenarios (Table 4).

For ADGL, validation accuracies did not differ across GPS scenarios in both lines, but only

tended to decrease from reference/VGP to MGP scenarios (Tables 3 and 4). For backfat

thickness, validation accuracy in the sire line decreased from reference/VGP scenarios to

MGP scenario, but did not differ across GPS scenarios in the dam line. For loin depth,

validation accuracies in both lines decreased from reference/VGP to MGP scenarios. Level

bias was present in reference and VGP scenarios for ADGT in the sire line, but absent in

MGP scenario. The reverse is the case in the dam line, where level bias was absent in

reference and VGP scenarios for ADGT, and present in MGP scenario. For ADGL, level bias

was present in all GPS scenarios in the sire line, and in the dam only present in the MGP

scenario. For backfat thickness, level bias was present across all GPS scenarios in the sire

line, and absent across all GPS scenarios in the dam line. For loin depth, level bias was absent

across all GPS scenarios in both lines. Although level bias was present in many scenarios, it

was still only marginal, with ±0.14 additive genetic SD units being its highest estimate

(Tables 3 and 4). For ADGT and ADGL, dispersion bias was absent across GPS scenarios in

the sire line, and present across GPS scenarios in the dam line. For backfat thickness,

dispersion bias was present across all scenarios in both lines. For loin depth, dispersion bias

was absent across all scenarios in both lines, except in the MGP scenario in the sire line,

where there was inflation. Here too, just as when records on animals in the validation

generation were included in the subsequent evaluations, the estimates of the regression

coefficients did not differ across all GPS scenarios within traits, and this was observed for all

traits in both lines.

Subsequent genetic evaluations with PBLUP

When records on animals in the validation generation were included in the subsequent

evaluations, corresponding validation accuracies did not differ between PBLUP and

ssGBLUP. This was observed for all traits in both lines. However, when records on animals in

the validation generation were excluded from the subsequent evaluations in the sire line, validation accuracies of all traits were lower with PBLUP than with ssGBLUP. When records on animals in the validation generation were excluded from the subsequent evaluations in the dam line, validation accuracies did not differ between PBLUP and ssGBLUP for ADGT and ADGL, but were lower with PBLUP than with ssGBLUP for backfat thickness and loin depth. Just as validation accuracies from subsequent ssGBLUP evaluations, validation accuracies from subsequent PBLUP evaluations in most instances did not differ across corresponding GPS scenarios. Just like when the subsequent evaluations were done with ssGBLUP, here too, level bias with PBLUP in most cases did not differ from its corresponding value with ssGBLUP, and in many instances it was not different from zero. The highest value of level bias when the subsequent evaluations were done with PBLUP was -0.18 additive genetic SD units (i.e. in the VGP scenario for ADGL in the dam line when records on animals in the validation included were included in the subsequent evaluation; Table 6). Regression coefficients of PYD on (G)EBV in most instances did not differ between PBLUP and ssGBLUP, or from their expected value of 0.5. However, with PBLUP, the regression coefficients were sometimes bigger than 0.5 (e.g. in reference and VGP scenarios for ADGL in the sire line, and in reference and VGP scenarios for loin depth in the dam line). The regression coefficients were also in some instances bigger with PBLUP than with ssGBLUP (e.g. in reference and VGP scenarios for ADGT in the sire line, and in reference and VGP scenarios for ADGL in both lines). The regression coefficients with PBLUP were lower in MGP scenarios than in reference scenarios for ADGT and ADGL in the dam line when records on animals in the validation generation was included in the subsequent evaluations (Table 6). This is unlike with ssGBLUP, where the regression coefficients in all instances did not differ across corresponding GPS scenarios (Tables 3 and 4).

Discussion

In this study, we investigated the impact of genomic preselection (GPS) on accuracy and bias

in subsequent ssGBLUP evaluations of preselected animals. We used data from a commercial

pig breeding program in which preselection has taken place, and retrospectively implemented

additional layers of GPS. The data was on production traits of pigs from one sire line and one

dam line. Per line, we implemented three GPS scenarios. We used precorrected phenotypes as

records in the subsequent genetic evaluations, and progeny yield deviation (PYD) as the

proxy for TBV. We did the subsequent genetic evaluations either with or without records on

animals in the validation generation, and in all cases without progeny of validation animals.

Validation accuracy decreased, or at least tended to, with more GPS. Dispersion bias was

largely absent, and the regression coefficient of PYD on GEBV - the indicator of dispersion

bias – in all instances did not differ among corresponding GPS scenarios. Level bias was also

largely absent, and mean PYD minus Mean GEBV - the indicator of level bias - in most

instances did not differ across GPS scenarios also. The above results were observed in both

lines, for all traits, and whether records on animals in the validation generation were included

in or excluded from the subsequent ssGBLUP evaluations.

Empirically assessing the impact of preselection on subsequent genetic evaluations of

preselected animals requires comparison of scenarios taking into account different

approaches, including scenarios without preselection. Since some GPS had already taken

place in the dataset we used for this study, it was not possible to have a scenario without

preselection. We therefore needed to come up with another way of investigating whether

ssGBLUP is able to estimate GEBV in the subsequent evaluation of preselected animals

without preselection bias in our current dataset and by extension in real breeding programs.

We hypothesized that if ssGBLUP in subsequent evaluations yields unbiased GEBV for

preselected animals despite additional GPS in the current dataset (i.e. in our VGP and MGP

scenarios), then it also yields unbiased GEBV for preselected animals in the subsequent

evaluations with the current dataset (i.e. in our reference scenarios). This is why we

implemented the VGP and MGP scenarios, to implement additional layers of GPS over the

regular GPS already implemented by the commercial pig breeding program. While the

equivalent of VGP and MGP do not happen in real breeding programs, implementing these

GPS scenarios in this study enabled us to investigate the impact of GPS on subsequent genetic

evaluations of preselected animals using real data, by including different amounts of pedigree,

genomic and phenotypic information in the subsequent genetic evaluations. Our results have

shown that ssGBLUP in subsequent evaluations of pigs is indeed able to estimate GEBV of

preselected animals without preselection bias. We believe that the findings of the current

study can be extended to other animal breeding programs. This is because preselection,

irrespective of its type and intensity and in how many stages it is implemented, has similar

effects across breeding programs (i.e. preselection ensures that only better-than-average

animals are phenotyped for the traits measured at advanced stages of lives of animals). The

findings of the current study are in line with what we showed in our previous studies using

simulated datasets [3,4], that in subsequent evaluations ssGBLUP estimates unbiased GEBV

for preselected animals. In [3], ssGBLUP in subsequent evaluations estimated GEBV of

preselected animals with accuracy loss compared to scenarios without preselection. We

attributed the accuracy loss in scenarios with preselection to less numbers of sibs with records

compared to the scenarios without preselection. In this study too, accuracy decreased, or at

least tended to, with more preselection. This decrease can also be attributed to less numbers of

relatives with records compared to the in scenarios with less preselection, as can be seen in

Table 1.

The preselection we implemented in this study as well as in [3] and [4] are forms of non-

ignorable selection (e.g. [9,31–33]). In the absence of genomic information, all the

information utilized at these preselection stages need to be included in subsequent evaluations

in order to avoid preselection bias (e.g. [9,12,34,35]). In our previous studies [3,4], we

showed that ssGBLUP in subsequent evaluations of preselected animals estimates GEBV of

preselected animals without preselection bias even if genotypes of preculled animals are not

included. In [4], we also showed that genotypes of preculled animals are only needed in the

subsequent ssGBLUP evaluations of their preselected sibs if their parents are not genotyped.

Still in [4], we suggested that ssGBLUP uses genotypes of preselected animals and their

parents to estimate the on-average positive Mendelian sampling terms of the preselected

animals, and this enables ssGBLUP in subsequent evaluations to estimate GEBV of

preselected animals without preselection bias. In this study preselected animals and their

parents were genotyped, and indeed we did not observe preselection bias in our subsequent

ssGBLUP evaluations despite not including genotypes of preculled animals in the subsequent

evaluations.

Comparison of results across preselection scenarios and between ssGBLUP and PBLUP

We have shown that for all traits, and especially for ADGT and ADGL, estimated

heritabilities increased or at least tended to, with more GPS. These tendencies of the

heritabilities of these traits to increase with more preselection were because changes in

residual variances were bigger than their corresponding changes in additive genetic variances

moving from reference to VGP to MGP scenarios (Tables S2 and S3). The likely explanation

for this observation is that animals may have low phenotypes for non-genetic reasons such as

injury, social stress or illness. This 'dilutes' the heritability. Typically, such poor-performing

animals are not selected, and this results to selected groups of animals being more

homogeneous in expressing their genetic potentials than unselected groups. This suggests

heterogeneity of residual variances across herd-year classes for all traits, with the greatest

heterogeneity in the reference scenarios. In both lines, we repeated the subsequent evaluations

of the reference scenarios with records on animals in the validation generations included, with

a model that corrects for heterogenous residual variances (results not shown; [20]). Since we

found that estimates of accuracy and bias in these scenarios did not differ between our two

models, we decided to continue with the simpler model (i.e. the model in eq. 3).

We have also shown that with both ssGBLUP and PBLUP, validation accuracy decreased or

at least tended to, with more GPS. These tendencies can be explained by the fact that the

amount of phenotypic information also reduced in that order (Table 1). The observed

tendencies of heritabilities to increase with more preselection could have influenced, at least

partly, the magnitudes of decreases in accuracies with decreases in amounts of phenotypic

information due to preselection. This can contribute to explaining why decreases in validation

accuracies with more GPS were in most instances not statistically significant. Although there

were always tendencies that corresponding validation accuracies were higher with ssGBLUP

than with PBLUP, we observed that the differences were in most instances not statistically

significant. The fact that heritabilities were all relatively high (ranging from 0.24 to 0.58,

Tables 3 to 6) explains, at least partly, the absence of significant differences between

ssGBLUP and PBLUP evaluations when records on animals in the validation generation were

included in the subsequent genetic evaluations. It is a common knowledge that the higher the

heritability, the higher the importance of own performance information and the lesser the

importance of genomic information in genetic evaluations (e.g. [17]).

We have shown that in this study, level bias was absent in most instances, and even when it

was present it was only marginal. We have also shown that the measure of level bias (i.e. the

difference between mean PYD and mean (G)EBV among validation animals) in most

instances did not differ across corresponding GPS scenarios, regardless of whether ssGBLUP

or PBLUP were used in the subsequent evaluations. In our previous study [3], we observed no

level bias when ssGBLUP was used in subsequent genetic evaluations, irrespective of type or

intensity of preselection. However, in [3], we found level bias to be increasing with intensity

of preselection when we used PBLUP in subsequent genetic evaluations. Patry et al [1,10,11]

also reported significant level bias when subsequent genetic evaluations of genomically

preselected animals were done with PBLUP, except when some pseudo-phenotypic

information on preculled animals was included in the subsequent PBLUP evaluations. Just

like with level bias, we have shown that in this study dispersion bias was absent in most

instances, regardless of whether ssGBLUP or PBLUP was used in the subsequent evaluations.

In our previous study with a simulated dataset [3], we found that regression coefficients of

TBV on (G)EBV - the indicator of dispersion bias - were bigger and closer to the expected

value of 1 when ssGBLUP was used in the subsequent genetic evaluations compared to when

PBLUP was used. In [3], we also found that the regression coefficient became smaller as

preselection intensity increased when PBLUP was used, but did not differ when ssGBLUP

was used, irrespective of preselection intensity. Preselection and subsequent selection were

multi-trait in this study, and single trait in the previous studies [1,3,10,11]. This means that

the chance of having multiple litter mates left in the data after preselection and subsequent

selection is higher in the current study than in the previous studies. With multiple litter mates

with records in the data, MS terms can be estimated reasonably well even in the absence of

genomic information. This is likely the reason we did not observe level or dispersion bias in

subsequent PBLUP evaluations of preselected animals in this study.

In the absence of selection, the expectation of regression coefficient of PYD on (G)EBV is

0.5, because PYD only represents half of the breeding value of the parent. However, when

validation animals are on average better than the average of their age group, the expectation

of the regression coefficient decreases in single-trait subsequent evaluations, depending on

how much the validation animals deviate from the average of their age group (e.g. [36,37]). In

the data used in this study, ADGT and ADGL had heavier weights in the breeding goals of the

two lines than backfat thickness and loin depth, so we expected that our GPS would have

smaller impacts on the regression coefficients for backfat thickness and loin depth than for

ADGT and ADGL. We however did not observe smaller regression coefficients or regression

coefficients that were further away from 0.5 for ADGT and ADGL than for backfat thickness

and loin depth, neither with ssGBLUP nor with PBLUP. As explained in the previous

paragraph, the fact that we implemented multi-trait subsequent evaluations in this study, as

opposed to the single-trait subsequent evaluations in [36] and [37], could explain the

differences between these two groups of studies.

Comparison of results across the two lines

Even in the dam line where the original GPS was at least numerically more intense and ratio

of males with records to females with records in any generation was about 20:80, we

generally did not observe significant decrease in validation accuracy, or increase in level and

dispersion biases across our GPS scenarios. We however found that corresponding validation

accuracies for ADGT, ADGL and backfat thickness were always higher in the sire line than in

the dam line, despite the corresponding estimated heritabilities for ADGT and ADGL in many

instances being higher in the dam line than in the sire line. These higher accuracies in the sire

line than in the dam line may be explained by the relatively higher phenotyping and

genotyping rates in the sire line than in the dam line (Table 1), meaning that validation

animals in the sire line had more relatives with phenotypes and/or genotypes than validation

animals in the dam lines.

Genotypes of preculled animals did not affect the subsequent ssGBLUP evaluations

In the subsequent ssGBLUP evaluations without records on animals in the validation

generation, results from corresponding reference and VGP scenarios are exactly the same, at

least up to two decimal places (Tables 3 and 4). However, in terms of data content, reference

scenarios contained genotypes of the animals preculled in the corresponding VGP scenarios,

in addition to all the data contained in the corresponding VGP scenarios (Table 1). The fact

that results from these two scenarios are the same means that genotypes of the preculled

animals did not affect the reference scenarios. In this study, most (about 95%) of the

validation animals and their parents had genotypes. This is in line with the conclusion from

our previous study [4], that genotypes of preculled animals are only useful in subsequent

ssGBLUP evaluations of their preselected sibs when their parents are not genotyped.

Potential additional sources of bias in ssGBLUP from our data

In practical datasets as used in this study, it is difficult to completely rule out some mistakes

in pedigree recording and in genotyping. At our genomic data quality control stage, genotypes

of a few thousand animals were discarded because the animals did not meet the genomic data

quality standard (of being genotyped for at least 90% of the SNP). Genotyping mistakes could

still not be completely ruled out in the genomic data that passed quality control. In Tables 3 to

6, we saw that for some traits, heritabilities were different across the implemented GPS

scenarios, although the animals in the base generation were the same. This implies that

different subsets of the same data gave rise to different estimated (co)variance components in

the base generation, and that it is likely that after some of the GPS scenarios were

implemented, the estimated (co)variance components were different from their true values, at

least for some of the traits. While these are all potential additional sources of bias in

ssGBLUP evaluations, they are difficult to avoid in practice [13]. However, in general, we

have shown that these potential additional sources of bias did not cause significant bias in our

ssGBLUP evaluations, as both level and dispersion biases were in most cases absent, and

even when present they were only marginal and mostly not different across corresponding

GPS scenarios.

Conclusions

When subsequent genetic evaluations of preselected animals are done with ssGBLUP, genomic preselection in single or multiple generations only slightly decreases realized accuracy, and hardly causes level or dispersion bias. This conclusion is expected to hold regardless of whether records on animals in the validation generation are included or excluded in the subsequent evaluations, and regardless of the weight of the trait in the breeding goal. Although these conclusions were derived using data from a pig breeding program, we believe that they can be generalized to other animal breeding programs, because preselection presumably has the same effect in any animal breeding program.

Declarations

Ethical approval

The data used for this study were collected as part of routine data recording in a commercial breeding program. Samples collected for DNA extraction were used for routine diagnostic purposes of the breeding program. Data recording and sample collection were conducted in line with local laws on protection of animals.

Availability of data

The data used in the present study were provided by Topigs Norsvin, and are not publicly accessible.

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Competing interests

The authors declare that they have no competing interests.

Authors' contributions

All authors participated in the conception and the design of the study and of the analysis of the dataset. RB provided the dataset, IJ analysed the dataset and wrote the first draft of the manuscript, and participated in revising the manuscript. All authors read and approved the final manuscript.

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Figures

Figure 1 Overview of groups of animals used in subsequent ssGBLUP for each of the considered GPS scenarios

Figure 1a: Reference scenario

Figure 1b: Validation generation preselection (VGP) scenario

Figure 1c: Multi-generation preselection (MGP) scenario

Figure 1 Overview of groups of animals used in subsequent ssGBLUP evaluation of each of the considered GPS scenario