Polygenic routes lead to parallel altitudinal adaptation in *Heliosperma pusillum*

(Caryophyllaceae)

Aglaia Szukala[1,2*], Jessica Lovegrove-Walsh[1], Hirzi Luqman[4], Simone Fior[4], Thomas Wolfe[5],

Božo Frajman[3], Peter Schönswetter[3] and Ovidiu Paun[1*]

[1] Department of Botany and Biodiversity Research, University of Vienna, Vienna, Austria.

[2] Vienna Graduate School of Population Genetics, Vienna, Austria.

[3] Department of Botany, University of Innsbruck, Innsbruck, Austria.

[4] Department of Environmental System Science, ETH Zürich, Zürich, Switzerland.

[5] Institute for Forest Entomology, Forest Pathology and Forest Protection, BOKU, Vienna,

Austria.

*authors for correspondence:

Aglaia Szukala, aglaia.szukala@univie.ac.at

Ovidiu Paun, ovidiu.paun@univie.ac.at

Abstract

Understanding how organisms adapt to the environment is a major goal of modern

biology. Parallel evolution - the independent evolution of similar phenotypes in different

populations - provides a powerful framework to explore this question. Here, we quantified the

degree of gene expression and functional parallelism across replicated ecotype formation in

Heliosperma pusillum (Caryophyllaceae) and gained insights into the architecture of adaptive

traits. Population structure analyses and demographic modelling confirm the previously

formulated hypothesis of parallel polytopic divergence of montane and alpine ecotypes. We

detect a large proportion of differentially expressed genes (DEGs) underlying adaptation of

each replicate ecotype pair, with a strikingly low amount of shared DEGs across pairs.

Functional enrichment of DEGs reveals that the traits affected by divergent gene expression

are the same across ecotype pairs, in strong contrast to the non-shared genetic basis. The

remarkable redundancy of differential gene expression indicates that diverged adaptive traits

are highly polygenic. We conclude that polygenic traits appear key to opening multiple routes

for adaptation, widening the adaptive potential of organisms.

Keywords: Polygenic adaptation, Parallel divergence, RNA-seq, Demography, Ecotypes;

Introduction

Independent instances of adaptation with similar phenotypic outcomes (i.e., parallel evolution) are powerful avenues for exploring the mechanisms and timescale of adaptation and divergence (Arendt and Reznick 2008; Turner et al. 2010; Agrawal 2017; Buckley et al. 2019; Knotek et al. 2020). Evolutionary replicates offer insight into the constraints on evolution and help disentangle the nonrandom or more "predictable" actions of natural selection from confounding stochastic effects such as drift and demography (Lee and Coop 2019). In particular, parallel formation of conspecific ecotypes (Nosil et al. 2009; Nosil et al. 2017), are pivotal to enhancing our understanding of the processes leading to adaptation in response to a changing environment.

A number of studies have shown that parallel evolution can be driven by either standing genetic variation, possibly shared across lineages through pre- or post-divergence gene flow (Colosimo et al. 2005; Jones et al. 2012; Soria-Carrasco et al. 2014; Van Belleghem et al. 2018; Alves et al. 2019; Thompson et al. 2019; Louis et al. 2020), or, more rarely, by recurrent *de novo* mutations with large phenotypic effects (Hoekstra et al. 2006; Chan et al. 2010; Zhen et al. 2012; Projecto-Garcia et al. 2013; Tan et al. 2020). These sources of adaptive variation produce phenotypic similarities via the same genetic locus, regardless if it was acquired independently or present in the ancestral gene pool (Stern 2013).

On the other hand, there is compelling evidence of phenotypic convergence resulting from non-parallel signatures of adaptation (Elmer et al. 2014; Yeaman et al. 2016; Rellstab et al. 2020), even among closely related populations (Wilkens and Strecker 2003; Steiner et al. 2009; Fischer et al. 2021), and replicated laboratory evolution (Cooper et al. 2003; Barghi et al. 2019). A typical example is the convergent evolution of a lighter coat pigmentation in beach mouse populations of the Gulf of Mexico and the Atlantic Coasts driven by different mutations (Steiner et al. 2009).

Such cases suggest that evolutionary replicates can follow diverse routes and relatively

few molecular constraints exist in the evolution of adaptive traits (Arendt and Reznick 2008;

Losos 2011). The degree of convergence during adaptation to similar selective pressures across

taxa reveals that genomic signatures of adaptation are often redundant (Wilkens and Strecker

2003; Mandic et al. 2018; Fischer et al. 2021). Parallel evolution can involve highly

heterogeneous routes depending on variation in gene flow, strength of selection, effective

population size, demographic history, and extent of habitat differentiation, leading to different

degrees of parallelism. This complex range of processes including non-parallel to parallel

trajectories have also been described using the more comprehensive term *continuum of*

(non)parallel evolution (Stuart et al. 2017; Bolnick et al. 2018).

Recently, a quantitative genetics view of the process of adaptation has gained attention

among evolutionary biologists (Barghi et al. 2020), complementing existing models on

adaptation via selective sweeps. Accordingly, selection can act on different combinations of

loci, each of small effect, leading to shifts in the trait mean through changes in multiple loci

within the same molecular pathway (Hermisson and Pennings 2017; Höllinger et al. 2019).

Thus, a key feature of polygenic adaptation is that different combinations of adaptive alleles

can contribute to the selected phenotype (Barghi et al. 2020). This heterogeneity among loci,

termed genetic redundancy (Nowak et al. 1997; Barghi et al. 2019), can lead to non-parallel

genomic changes in populations evolving under the same selective pressure. Footprints of

selection acting on polygenic traits have been detected in a wide range of study systems, such

as in fish (Therkildsen et al. 2019) and in cacao plants (Hämälä et al. 2020), which can also

foster convergent adaptive responses and phenotypes during independent divergence events

(Lim et al. 2019; Rougeux et al. 2019; Hämälä et al. 2020).

A current major challenge is predicting adaptive responses of populations and species

to environmental change. Despite several advances, it is still unclear which adaptive signatures

are expected to be consistent across evolutionary replicates, especially when selection acts on complex traits. An important aspect to investigate is the architecture of adaptive traits (simple/monogenic, oligogenic or polygenic). A polygenic architecture may facilitate alternative pathways leading to the same phenotypic innovation, enhancing the probability of parallel evolution (Boyle et al. 2017), and, as a consequence, the adaptive potential of populations.

To date, only a handful of cases of parallel evolution have been extensively studied in plants (Roda et al. 2013; Yeaman et al. 2016; Trucchi et al. 2017; Konečná et al. 2019; James et al. 2020; Rellstab et al. 2020; Tan et al. 2020). Altitudinal ecotypes of *Heliosperma pusillum* s.l. (Waldst. and Kit.) Rchb. (Caryophyllaceae) offer a system to study this process. In the Alps, this species includes an alpine ecotype (1,400–2,300 m above sea level) widely distributed across the mountain ranges of southern and central Europe, and a montane ecotype (500–1,300 m) endemic to the south-eastern Alps. The latter was previously described from scattered localities as *H. veselskyi* Janka, but the two ecotypes are highly interfertile (Bertel, Hülber, et al. 2016) and isolation-by-distance analyses confirmed their conspecificity (Trucchi et al. 2017). While the alpine ecotype has a relatively continuous distribution in moist screes above the timberline, the montane ecotype forms small populations (typically < 100 individuals) below overhanging rocks.

Previous work (Bertel, Buchner, et al. 2016; Bertel et al. 2018) reported substantial abiotic differences between the habitats preferred by the two ecotypes. For example, differences in average temperature (montane: warm vs. alpine: cold), temperature amplitude, the degree of humidity (montane: dry vs. alpine: humid) and light availability (montane: shade vs. alpine: full sunlight) were found between the two altitudinal sites. Moreover, metagenomics (Trucchi et al. 2017) showed evidence of distinct microbial communities in the respective phyllospheres. The two ecotypes also differ significantly in their physiological response to light

and humidity conditions in a common garden (Bertel, Buchner, et al. 2016). Finally, the

montane ecotype is covered by a dense glandular indumentum, which is absent in the alpine

populations (Frajman and Oxelman 2007; Bertel et al. 2017). Whether this phenotypic

difference in trichome density confers differential adaptation in their respective environment

is unclear.

Both ecotypes show higher fitness at their native sites in reciprocal transplantation

experiments (Bertel et al. 2018), confirming an adaptive component to their divergence.

Common garden experiments across multiple generations further rejected the hypothesis of a

solely plastic response shaping the phenotypic divergence observed (Bertel et al. 2017). Most

importantly, population structure analyses based on genome-wide SNPs derived from

restriction site-associated DNA (RAD-seq) markers (Trucchi et al. 2017) supported a scenario

of five parallel divergence events across the six investigated ecotype pairs. Hereafter, we use

the term "ecotype pairs" to indicate single instances of divergence between alpine and montane

ecotypes across their range of co-occurrence.

The combination of ecological, morphological, and demographic features outlined

above renders *H. pusillum* a well-suited system to investigate the mechanisms driving local

recurrent altitudinal adaptation in the Alps. Here, we quantify the magnitude of gene expression

and functional parallelism across ecotype pairs, by means of RNA-seq analyses of wild plants

grown in a common garden. We also investigate the independent evolution of ecotype pairs

more in depth than previously. More specifically, this study asks (1) how shared are gene

expression differences between ecotypes among evolutionary replicates or, in other words, is

the adaptation to elevation driven by expression changes in specific genes or in different genes

affecting similar traits, (2) how shared is the adaptive functional variation encoded by

differentially expressed genes (DEGs) among evolutionary replicates, and (3) do we find

consistent signatures of selection on coding sequence variation across evolutionary replicates?

Results

Our main aim was to test the repeatability of the molecular patterns and functions that distinguish the alpine from the montane ecotype in different ecotype pairs. To achieve this goal, we performed differential expression (DE) analyses of four ecotype pairs (4 pairs × 2 ecotypes × 3 replicates, i.e., 24 individuals in total; Fig. 1a and b, Table S1) grown in a common garden to remove any differential environmental effects that might confound gene expression in the samples. To identify genetic variants under selection we extended the sampling by including 41 additional transcriptomes of individuals from a transplantation experiment (Szukala A et al. unpublished data; Table S1). Two alpine individuals of pair 3 (A3b and A3c, Table S1) were found highly introgressed with genes from the alpine population of pair 4 (Fig. S1a), and have been discarded from subsequent genetic analyses, retaining a total of 63 individuals for further analyses based on SNPs. This dataset was also used to test the hypothesis of parallel ecotype divergence in *H. pusillum* suggested by Trucchi et al (2017).

Reference genome assembly and annotation. We first assembled *de novo* a draft genome for an individual of the alpine ecotype of *H. pusillum* (generated via artificial selfing over three generations) using 192.3 Gb (ca 148×) Illumina paired-end reads and 14.9 Gb (ca 11.5×) PacBio single-molecule long reads. A hybrid assembly recovered a total length of 1.21 Gb of scaffolds corresponding to 93% of the estimated genome size (1C = 1.3 pg, (Temsch et al. 2010)). The draft genome was split into 75,567 scaffolds with an N50 size of 41,610 bp. RepeatModeler v.1.0.11 (http://www.repeatmasker.org/RepeatModeler/) identified 1,021 repeat families making up roughly 71% of the recovered genome. This high proportion of repetitive elements aligns well with observations in other plant genomes.

Structural annotations (Stanke et al. 2006) identified 25,661 protein-coding genes with an average length of 4,570 bp (Fig. S2a and b). All protein-coding genes were found on 8,632 scaffolds that belong to the longest tail of the contig length distribution (Fig. S2d).

Nevertheless, we also observe in our assembly comparatively long contigs that do not contain

any gene models (Fig. S2d). Of this set of genes, 17,009 could be functionally annotated (Götz

et al. 2008; Haas et al. 2013). We evaluated the completeness of the genome assembly by

searching our gene models against the BUSCO v.3 embryophyta dataset (Simão et al. 2015).

A total of 83.2% of the set of single-copy conserved BUSCO genes were found within our

annotated genes (Fig. S2c).

Genetic diversity and structure. To explore population diversity and structure we

filtered a dataset of 7,107 putatively neutral SNPs at unlinked four-fold degenerate (FFD) sites

from 63 individuals representing the four ecotype pairs (Fig. 1b, Table S1). Within-population

allelic diversity (average pairwise nucleotide diversity, π, and Watterson's theta, θ_w), Tajima's

D, as well as between-population differentiation (F_{ST}), are reported in Table S2. Average π

showed similar values across alpine and montane populations, ranging from $\pi_{A5} = 0.140 \pm 0.12$

to $\pi_{A1} = 0.173 \pm 0.12$ in the alpine ecotype, and from $\pi_{M3} = 0.143 \pm 0.12$ to $\pi_{M5} = 0.171 \pm 0.13$

in the montane. Watterson's theta ranged from $\theta_{w-A5} = 0.130 \pm 0.11$ to $\theta_{w-A4} = 0.136 \pm 0.12$ and

from $\theta_{w-M3} = 0.116 \pm 0.08$ to $\theta_{w-M5} = 0.157 \pm 0.11$ in the alpine and montane ecotype,

respectively. We did not observe a clear alpine versus montane distinction of within-population

allelic diversity. Global Tajima's D estimates were always positive, but close to 0 (Table S2,

Fig. S3), suggesting that these populations are within neutral-equilibrium expectations, and that

both alpine and montane populations were not affected by major changes in population size in

the recent past.

Averaged pairwise F_{ST} tended to be slightly higher between montane than between

alpine populations (weighted $F_{ST} = 0.28$-0.56 in alpine, and weighted $F_{ST} = 0.39$-0.52 in

montane; Table S2). Between-ecotype F_{ST} was lower than F_{ST} between pairs, except in the case

of pair 4 (weighted $F_{ST} = 0.48$), consistent with overall high expression differentiation between

ecotypes in this pair as described below.

We further investigated the population structure with principal component analyses

(PCA) and an admixture plot, both based on genotype likelihoods computed in ANGSD

v.0.931 (Korneliussen et al. 2014). In the PCA (Fig. 1c) the analyzed populations cluster by

geography, in line with previous results (Trucchi et al. 2017). The first component (15.2% of

explained variance, Fig. 1c) shows a clear east-west separation of the ecotype pairs. The second

component (12.4% of explained variance, Fig. 1c) places ecotype pair 5 closer to pair 1 and

most distant from pair 3 showing a north-south separation.

We performed two rounds of population structure inference using NgsAdmix v.32

(Skotte et al. 2013), to test the effects of uneven sample size on the inferred clusters. We

compared the results inferred using the set of 63 accessions to those inferred when randomly

subsampling all populations to three individuals (i.e., the minimum number of individuals per

population in our dataset). With uneven sampling, we observed that the individuals from

populations with reduced sampling size (i.e., ecotype pair 4) tended to be assigned to

populations of higher sampling density (Fig. S1b), an otherwise known problem affecting

population structure analyses (Puechmaille 2016; Meirmans 2019). Consistent with the

clustering observed in the PCA, pair 5 was first separated from the rest pairs (K = 2, Fig. 1d).

The best three Ks, as evaluated using the Evanno method (Evanno et al. 2005), were 2, 3 and

7, in this order, confirming an enhanced separation of pair 5 from the rest, while the two

ecotypes in this pair are the least diverged (K = 7, Fig. 1d), consistent with a lower degree of

expression differentiation in this pair (Fig. 2).

Demographic model selection, parallelism and gene flow. We tested two contrasting

topologies for each combination of two ecotype pairs (Fig. 3): one model assuming a single

origin (1-origin) of each ecotype, and one assuming independent between-ecotype divergence

across geographic localities (2-origins). Additionally, for each topology two scenarios were

evaluated: one in absence of migration between populations (strict isolation, SI) and one with

continuous migration between demes (isolation with migration, IM). In line with the results

from the population structure analyses our expectation was to find higher migration rates

between ecotypes within each ecotype pair (solid lines in Fig. 3). To evaluate which model

better explains our data we used a composite-likelihood maximization method implemented in

fastSimcoal2 v.2.6.0.3 (Excoffier et al. 2013). Delta Akaike information criteria (ΔAIC) for

each model tested are summarized in Table S3a and c.

Our SI simulations showed that the 2-origins topology is always preferred. When pair

3 and 4 were analysed, the difference between the performance of the two models was small.

In all cases, models allowing gene flow achieved a higher likelihood than SI models (Table

S3a). The 2-origins scenario again achieved a better likelihood in 5 out of 6 ecotype pair

comparisons. The 1-origin model was preferred when pairs 3-4 were simulated. For each

parameter we took as a final estimate the 95% confidence intervals CI of the ten best model

estimates. The CI of the times of divergence and effective population size (N_e) from the best

model estimates are reported in Table S3b. We computed migration rate estimates for each

model including both directions of migration for all combinations of ecotype populations from

two pairs (Table S3d). We found migration rates to be generally higher between ecotypes in

each pair, and low between different ecotype pairs (upper limit of the CI always below 0.002)

across all comparisons and scenarios tested (Table S3d).

Patterns of differential gene expression between ecotypes. We analysed gene

expression in a common garden to identify genes with divergent expression between ecotypes,

as these are hypothesized to underlie phenotypic differentiation and adaptation to different

altitudinal niches. After trimming genes with low mean expression counts per million (cpm)

across samples we retained a dataset of 16,389 genes on which we performed DE analyses.

A major proportion of DEGs were found to be unique to each pair (colored area of the

bars in Fig. 2a and b). This pattern was particularly enhanced in pair 5, in which ca 85% of

DEGs were not shared with other pairs, while ca 65%, 70% and 80% of DEGs were unique to

pairs 3, 1 and 4, respectively (Fig. 2, Fig. S4). Although the overlap of DEGs was significantly

higher than chance expectations ($p < 0.01$) in several comparisons, our analyses recovered an

overall low number of shared DEGs. In contrast to expectations, we found across all ecotype

pairs that only two and zero genes were consistently over- and under-expressed in the montane

compared to the alpine ecotype, respectively.

The number of DEGs varied across ecotype pairs. DEGs were almost four times higher

in pair 4 (highest degree of expression differentiation) compared to pair 5 (lowest degree of

expression differentiation), while the difference in DEGs was less pronounced between pairs 1

and 3. This result is consistent with the PCA of normalized read counts (Fig. S5a) and the

multidimensional scaling plot of gene expression (Fig. S5b). Overall, the relative degree of

expression differentiation between ecotypes at different geographic localities is consistent with

their degree of genetic differentiation (F_{ST}, Table S2). The second component of the PCA of

gene expression (13.8% of variance explained, Fig. S5a), as well as the second dimension of

logFC of the multidimensional scaling analysis (Fig. S5b), tends to separate the two ecotypes.

Interestingly, gene expression appears more uniform across the montane accessions compared

to the alpine ones, even if the overall expression divergence between different populations was

not significantly different between ecotypes (Wilcoxon signed rank test $p = 0.56$; Fig. S6 and

Table S4).

Parallel multilocus gene expression variation. We performed a conditioned (partial)

redundancy analysis (cRDA) of gene expression to elucidate if a different analytical framework

would have more power to detect common genes with opposite expression patterns between

ecotypes across all evolutionary replicates. Redundancy analysis is thought to be a good

approach to detect changes between conditions (in our case, ecotypes), even when such

differences are subtle and possibly masked by other factors (Forester et al. 2018).

We found that 1.8% of total expression variation was explained by divergence between

montane and alpine ecotypes across all ecotype pairs (Fig. 4), consistent with low overlap of

DEGs across evolutionary replicates in DE analyses. Also consistent with the low number of

shared DEGs, the ANOVA test of the full model was not significant (F = 1.39, p = 0.18),

confirming that most expression differences between ecotypes in our dataset do not follow

consistent routes across ecotype pairs. We further searched for cRDA outliers to identify genes

with consistent, albeit subtle, changes in expression across ecotypes. The transcript score was

transformed into a z-score with a distribution ranging from -3.55 to 3.43 (Fig. S7). We

identified 115 (p < 0.01) and 739 (p < 0.05) outlier genes with consistently opposite expression

direction between ecotypes across all ecotype pairs.

Ecological and biological significance of DEGs. In stark contrast to the low overlap

at the level of individual genes affected by DE, we observed evidence of convergence in the

enriched biological functions across DEG lists of each ecotype pair. Gene ontologies (GO)

terms enriched (adjusted p < 0.05) in genes that tended to be underexpressed in the montane

ecotype across ecotype pairs included photosynthesis, oxidation-reduction processes -

potentially related to acclimation responses related to photosynthesis, protein phosphorylation

and responses to biotic stress (Fig. 5, Table S5). By contrast, GO terms enriched in genes

overexpressed in the montane ecotype comprise transmembrane transport activity and

regulation of water content, probably involved in response to water deficit and drought (Fig. 5,

Table S5). The degree of over- and underexpression of convergent GO terms across pairs

varied, depending on the specific function of the genes affecting the same molecular pathway.

We also analyzed enriched biological processes in cRDA gene outliers, since these genes

possibly underlie biologically and ecologically relevant adaptive traits. Consistent with the DE

results, cRDA outlier genes were significantly enriched for photosynthesis-related functions

and response to water deprivation.

In the GO enrichment analysis of the DEG lists we did not find significantly enriched

GO terms related to trichome development, despite the obvious morphological difference

between the two ecotypes, given that multicellular glandular trichomes are present in montane

plants but not in alpine. We observed that some genes known to be involved in trichome

formation and found to be expressed in our transcriptomes were significantly differentially

expressed in some of the ecotype pairs but not in others, or showed consistent changes in

expression between ecotypes even if not significant after FDR correction (examples shown in

Fig. 6). As opposed to the DE analysis, in the cRDA analysis we found a significant enrichment

for the term root hair elongation (GO:0048767), a GO term that shares functionality with the

multicellular trichome development pathway (Benítez et al. 2007). We subsequently identified

gene candidates underlying this trait, as they show subtle gene expression differences between

ecotypes that were consistent across all four comparisons analyzed. IBR3, a Indole-3-butyric

acid response gene, known to promote hair elongation (Strader et al. 2010; Velasquez et al.

2016) was always overexpressed in the montane ecotype (Fig. 6). This same gene was also

significantly differentially expressed in three out of four ecotype pairs in previous DEG

analyses before correction of p-values for multiple testing (Fig. 6). Another candidate, the PID

(PIDox) gene, a positive regulator of auxin efflux known to suppress root hair growth when

overexpressed (Lee and Cho 2006), was found to be underexpressed in the montane ecotype in

pairs 1, 4 and 5. Overall, our analyses found low overlap of expression changes in genes

controlling trichome formation across ecotype pairs of *H. pusillum*.

(Non-)Shared adaptive outlier loci. To identify possible candidate genes under

divergent selection in independent divergence events, we searched for coding genomic regions

with pronounced allelic divergence between ecotypes in pairs 1 and 3. We excluded ecotype

pairs 4 and 5 from this analysis because of the low number of individuals available from each

population. To detect SNPs with genotype divergence between ecotypes we used the method

implemented in pcadapt (Luu et al. 2017) which is based on principal component analysis. We

then searched for genes containing outlier SNPs that are shared by ecotype pairs 1 and 3.

After variant calling and filtering we retained a dataset with 116,075 and 86,900

variable biallelic sites in pair 1 and 3, respectively, identified across 8,051 genes. Principal

component analysis of each pair shows that genetic variation well separates the two ecotypes

(PC1 = 5.8% and 14.3% in pairs 1 and 3, respectively, Fig. S8). In both ecotype pairs, the alpine

ecotype shows a higher genotypic variance (Fig. S8), which is consistent with higher variance

of gene expression in this ecotype compared to the montane (Fig. S5). In pair 1 we found 445

outlier SNPs distributed across a total of 141 genes. In pair 3 we found 444 outlier SNPs

distributed across a total of 123 genes. Eighty-seven SNPs and 19 genes containing outlier

SNPs were shared by both pairs, a number significantly higher than expected by chance ($p <$

0.0001 for both genes and SNPs). Our results also show that the majority of outlier genes is not

shared by different ecotype pairs, consistent with a mostly independent divergence history of

each ecotype pair and very low overlap of DEGs. Functional annotations of the 19 shared genes

containing outlier SNPs are reported in Table S6. Among those candidate genes, we found

genes involved in response to drought and salt stress (APUM5, AHA4, PYL8), oxidation-

reduction processes (POA2, NADPH-cytochrome P450 reductase, CCR1), light signal

transduction (PIA2 and a putative LOV domain containing protein) and flavonoid biosynthesis

(HIDH). Genes containing outliers were not differentially expressed.

Discussion

Parallel evolution has long been recognised as a powerful process to study adaptation,

overcoming intrinsic limitations of studies on natural populations that often miss replication

(Elmer and Meyer 2011). In this work, we aimed to investigate the genetic basis of adaptation

to different elevations in the plant *Heliosperma pusillum*. We asked in particular to what extent

different ecotype pairs show signatures of parallel evolution in this system.

Our genetic structure analyses and coalescence-based demographic modelling were in

line with a scenario of parallel, polytopic ecotype divergence, as suggested previously by a

marked dissimilarity of the genomic landscape of differentiation between ecotype pairs

revealed by RAD-seq data (Trucchi et al. 2017). In our demographic investigations, parallel

divergence always obtained greater support under a strict isolation model. Still, models

including low amounts of gene flow were shown to be more likely. Additionally, in one

comparison (i.e., including ecotype pairs 3 and 4) the single origin IM scenario aligned more

closely with the data than the two origins IM. This result is consistent with greater co-ancestry

observed for these two pairs with respect to other comparisons (Fig. 1c and d). Nevertheless,

the estimates of migration rates between different ecotype pairs were overall extremely low

(i.e., always lower than 1.2e-03), indicating that each ecotype pair diverged in isolation from

other pairs, even when it is not straightforward to distinguish between the different models (i.e.

1-origin vs 2-origins).

Our results from selection scans showed that only few diverged genes, likely under

selection during adaptation to different elevations, were shared between the two ecotype pairs

analyzed (i.e., pair 1 and 3), while ca 85% of putatively adaptive loci were unique to each pair.

This high degree of unique outliers, consistent with a previous investigation (Trucchi et al.

2017), supports a scenario of mainly independent evolutionary history of different ecotype

pairs. However, we cannot exclude the possibility that a few shared loci, likely from standing

genetic variation, might have played a role in shaping the ecotype divergence of different

evolutionary replicates in our system.

Global Tajima's D estimates were close to 0, suggesting that the recent past of all these

populations was not affected by major bottlenecks or population expansions. Consistently,

within-population diversity was similar across montane and alpine ecotypes, likely reflecting ancestral variation before altitudinal divergence. Due to the low number of individuals available for ecotype pairs 4 (three individuals per ecotype) and 5 (four individuals per ecotype), these estimates should be considered with caution. However, previous work using an RNA-seq-derived dataset of synonymous variants similar to ours (Fraïsse et al. 2018) showed that model selection based on the joint site frequency spectrum is robust to the numbers of individuals and loci. Nevertheless, future analyses should aim for enlarged sampling sizes.

We further asked how consistent across divergence events are the molecular processes underlying ecotype formation. We screened the expression profiles of four ecotype pairs grown in a common garden to shed light on the genetic architecture of the adaptive traits involved in parallel adaptation to divergent elevations as well as to warmer/dry vs. colder/humid conditions. Our analyses showed that gene expression changes between ecotypes is genetically determined and not a plastic response due to environmental differences. We found strikingly few DEGs shared across all four ecotype pairs, with most DEGs unique to one ecotype pair, suggesting that convergent phenotypes do not consistently rely on changes in expression of specific genes. This pattern was most pronounced in ecotype pair 5, which we also showed to bear a lower degree of shared ancestry with the other pairs in genetic structure analyses (Fig. 1c-d). Given that ecotype pair 5 is the most eastern in terms of geographic distribution, it can be hypothesized that this pair represents a more distinct lineage, as break zones in the distribution of genetic diversity and distribution of biota have been identified to the West of this area of the Alps (Thiel-Egenter et al. 2011). Our sampling was not appropriate to further test hypotheses of biogeographic nature. Even so, our results suggest that parallel evolution is analyzed at different levels of coancestry in our dataset. This implies that parallel signatures of ecotype evolution can decrease significantly, even within a relatively small geographic range. This view is in line with previous findings of unexpectedly heterogeneous differentiation

between freshwater and marine sticklebacks across the globe, including more distant lineages

(Fang et al. 2020).

Despite the low parallelism in gene activity, we identified across the ecotype pairs a

high reproducibility of the biological processes related to ecological divergence (i.e., different

water and light availability, and biotic stress) at the two elevations. Functional enrichment of

responses to biotic stress are consistent with the biotic divergence between the two habitat

types, featuring distinct potentially pathogenic microbiomes (Trucchi et al. 2017). The

dichotomy of convergence in enriched GO terms, but a low amount of shared DEGs, indicates

that different redundant genes likely concur to shape similar phenotypic differentiation, as

expected under polygenic adaptation (Barghi et al. 2020).

The presence (montane ecotype) or absence (alpine ecotype) of multicellular glandular

hairs on the plants represents a striking morphological difference in our system. Trichome

formation has been studied extensively in Brassicaceae, especially in *Arabidopsis*, where this

trait is controlled by a relatively simple regulatory pathway shared across the family (Hülskamp

et al. 1994; Hülskamp 2004; Hilscher et al. 2009; Pesch and Hülskamp 2009; Tominaga-Wada

et al. 2011; Chopra et al. 2019). Still, a certain degree of genetic redundancy has been shown

to underlie trichome formation in *Arabidopsis* (Khosla et al. 2014). Studies on other plant

lineages, such as cotton (Machado et al. 2009), snapdragons (Tan et al. 2020), *Artemisia* (Shi

et al. 2018) and tomato (Chang et al. 2018), highlighted that the genetic basis of multicellular

glandular trichomes formation does not always involve the same loci as in *Arabidopsis.*

Trichome formation outside of the Brassicaceae family likely involves convergent changes in

different genetic components (Serna and Martin 2006; Tan et al. 2020), and has been reported

to be initiated even as an epigenetic response to herbivory in *Mimulus guttatus* (Scoville et al.

2011).

We expected to find evidence of specific genes controlling trichome development in

our transcriptome dataset. However, we did not observe a consistent change in regulation of

particular genes underlying trichome initiation and elongation across ecotype pairs. This can

be caused by sampling only one ontogenetic stage during leaf development, which might not

be the most informative for a specific trait. Nonetheless, it is interesting to see that some genes

known to underlie hair initiation, elongation and malformation in other plant species were

differentially expressed in some ecotype pairs, but not in all of them.

Analyses of replicated evolution in laboratory experiments on bacteria (Cooper et al.

2003; Fong et al. 2005), yeast (Nguyen Ba et al. 2019) and *Drosophila* (Barghi et al. 2019)

have provided insights about adaptation, showing that redundant trajectories can lead to the

same phenotypic optimum, when selection acts on polygenic traits. In line with other studies

on diverse organisms including whitefish (Rougeux et al. 2019), hummingbirds (Lim et al.

2019), snails (Ravinet et al. 2016) and frogs (Sun et al. 2018), our results suggest that

convergent phenotypes can be achieved via changes in different genes affecting the same

molecular pathway and, ultimately, adaptive trait, and that this polygenic basis might facilitate

repeated adaptation to different elevations via alternative routes.

In conclusion, this study adds evidence to recent findings showing that polygenic traits

and genetic redundancy open multiple threads for adaptation, providing the substrate for

reproducible outcomes in convergent divergence events. Future studies using transcriptomics

as well as genomic approaches should focus on genotype-by-environment interactions, e.g., in

reciprocal transplantation experiments, to further deepen our understanding of the process of

adaptation in *H. pusillum*.

Materials and methods

Sampling, library preparation and sequencing. We performed DE analyses on 24 plants grown in common garden settings at the Botanical Garden of the University of Innsbruck, Austria. Wild seeds were collected from four alpine/montane ecotype pairs in the south-eastern Alps (Fig. 1b, Table S1). The numbering of localities is consistent with that used in Bertel et al. (2018) and the acronyms corresponding to Trucchi et al. (2017) are added in Table S1. All seeds were germinated on the same day and the seedlings were grown in uniform conditions. One week before RNA fixation, the plants were brought to a climate chamber (Percival PGC6L set to 16 h 25 °C three lamps/8 h 15 °C no lamps). Then, 400 mg of green fresh stalk-leaf material, sampled at a similar developmental stage for all individuals, was fixed in RNAlater in the same morning and kept at -80 °C until extraction. Total RNA was extracted from ca 90 mg leaves using the mirVana miRNA Isolation Kit (Ambion) following the manufacturer's instructions. Residual DNA has been digested with the RNase-Free DNase Set (Qiagen); the abundant ribosomal RNA was depleted by using the Ribo-Zero rRNA Removal Kit (Illumina). RNA was then quantified with a NanoDrop2000 spectrophotometer (Thermo Scientific), and quality assessed using a 2100 Bioanalyzer (Agilent). Strand-specific libraries were prepared with the NEBNext Ultra Directional RNA Library Prep Kit for Illumina (New England Biolabs). Two individual RNA-seq libraries were pooled and sequenced per Illumina HiSeq 2500 lane with single-end reads (100 bp) at the NGS Facility at the Vienna BioCenter Core Facilities (VBCF; https://www.viennabiocenter.org/). Two samples (A1a and A4b) were sequenced with paired-end reads (150 bp) with the initial aim of assembling reference transcriptomes.

The population genetic analyses (i.e., SNP-based) were performed on a larger dataset including in total 63 individuals from the four population pairs (Supporting information Table S1). For this we added RNA-seq samples from ecotype pairs 1 and 3 (Fig. 1b), which were

sampled within a different experiment. The procedure used to prepare the RNA-seq libraries

was the same as described above, except that 21 individual samples have been pooled and

sequenced together with single-end reads (100 bp) on Illumina NovaSeq S1 at the Vienna

BioCenter Core Facilities.

Genomic DNA extraction and sequencing. A reference genome was assembled using

short and long read technologies from an alpine individual that descended from population 1,

from a selfed line over three generations. DNA was extracted from leaves using a CTAB

protocol adapted from Cota-Sánchez et al. (2006). DNA for long reads was extracted from

etiolated tissue after keeping the plant for one week under no light conditions. Illumina libraries

were prepared with IlluminaTruSeq DNA PCR-free kits (Illumina) and sequenced as 150 bp

paired-end reads on Illumina HiSeq X Ten by Macrogen Inc. (Korea). PacBio library

preparation and sequencing of four SMRT cells on a Sequel I instrument was done at the

sequencing facility of the Vienna BioCenter Core Facilities.

Reference genome assembly and annotation. We performed a hybrid *de novo*

genome assembly using ca 120x paired-end Illumina and ca 15x PacBio reads with MaSuRCA

v.3.2.5 (Zimin et al. 2013). The assembled genome was structurally annotated *ab initio* using

Augustus (Stanke et al. 2006) and GeneMark-ET (Lomsadze et al. 2014), as implemented in

BRAKER1 v.2.1.0 (Hoff et al. 2016) with the options *--softmasking=1 --filterOutShort*.

Mapped RNA-seq data from three different samples was used to improve *de novo* gene finding.

A transcriptome was assembled using Trinity v.2.4.0 (Haas et al. 2013) to be used in

MAKER-P annotation as expressed sequence tag (EST). We used as additional evidence the

transcriptome of the closely related *Silene vulgaris* (Sloan et al. 2011). The annotation was

further improved using MAKER-P v.2.31.10 (Campbell et al. 2014) supplying gene models

identified using BRAKER1 and after generating a custom repeat library for masking using

RepeatModeler v.1.0.11 (http://www.repeatmasker.org/RepeatModeler/) as implemented in

MAKER-P. Gene models identified by both BRAKER1 and MAKER-P were functionally

annotated using Blast2GO (Götz et al. 2008; Haas et al. 2013). BUSCO v.2.0 (Simão et al.

2015) was used for quality assessment of the assembled genome and annotated gene models.

Genetic diversity and structure. RNA-seq data was demultiplexed using

BamIndexDecoder v.1.03 (http://wtsi-npg.github.io/illumina2bam/#BamIndexDecoder) and

raw sequencing reads were cleaned to remove adaptors and quality filtered using trimmomatic

v.0.36 (Bolger et al. 2014). Individual reads were aligned to the reference genome using STAR

v.2.6.0c (Dobin et al. 2013). Mapped files were sorted according to the mapping position and

duplicates   were   marked   and   removed   using   Picard   v.2.9.2

(https://broadinstitute.github.io/picard/). Subsequently, we used a pipeline implemented in

ANGSD v.0.931 (Korneliussen et al. 2014) to estimate genotype likelihoods. The latter might

be more reliable than genotype calling for low coverage segments (Korneliussen et al. 2014),

in particular when handling data with strongly varying sequencing depth among regions and

individuals such as RNA-seq. Briefly, after mapping and removing duplicates, individual bam

files were processed using GATK v.3.7.0 function IndelRealigner to locally improve read

alignments around indels. Subsequently, ANGSD was run to compute posterior probabilities

for the three possible genotypes at each variant locus (considering only bi-allelic SNPs), taking

into account the observed allelic state in each read, the sequencing depth and the Phred-scaled

quality scores. ANGSD was run with the options *-GL 2 -doMajorMinor 1 -doMaf 1 -SNP_pval*

2e-6 -minMapQ 20 -minQ 20 -minInd 12 -minMaf 0.045 -doGlf 2. A significant portion of

RNA-seq data includes protein coding regions expected to be under selection. To investigate

genetic structure and demography the SNPs dataset was further filtered to keep FFD sites using

the Bioconductor package VariantAnnotation in R (Obenchain et al. 2014).

A covariance matrix computed from the genotype likelihoods of FFD SNPs at unlinked

positions (i.e., one per 10 Kb windows) was used for principal component analysis using

PCAngsd (Meisner and Albrechtsen 2018). To test for admixture, we run NgsAdmix (Skotte

et al. 2013) on genotype likelihoods at FFD unlinked sites. The number of clusters tested for

the admixture analysis ranged from K = 1 to K = 9. The seed for initializing the EM algorithm

was set to values ranging from 10 to 50 to test for convergence. Finally, the K best explaining

the variance observed in the data was evaluated using the Evanno method (Evanno et al. 2005)

in CLUMPAK (http://clumpak.tau.ac.il/bestK.html). Result plotting was performed using R.

For each population we estimated the average global Watterson's theta (θ_w) and average

pairwise nucleotide diversity (π), whereas to test for departures from mutation/drift equilibrium

we computed Tajima's D (Tajima 1989). Global average estimates of these statistics were

computed using ANGSD and custom bash scripts, implementing a sliding window approach

with windows of 50 Kb and a step of size 10 Kb.

We estimated between-population differentiation as F_{ST} for all pairs of populations at

high and low elevation respectively, as well as for pairs of ecotypes across localities. F_{ST}

statistics were carried out in ANGSD using the folded joint site frequency spectra (jSFS) for

all population pairs as summary statistics. Given that no suitable outgroup sequence was

available, the ancestral state was unknown. As a consequence, we observed a deviation from

the expected SFS for some populations (i.e. a high frequency of sites with fixed derived alleles)

when polarizing toward the major allele throughout the alpine populations. Therefore, we

produced site allele frequency likelihoods using ANGSD settings *-dosaf 1 -GL 2 -minQ 20 -P*

8 -skipTriallelic 1 -doMajorMinor 1 - anc reference.genome.fasta, limiting the analysis to the

set of FFD sites using the *-sites* option. Finally, we used the *-fold* option to fold the spectra

when using realSFS (for further analyses in ANGSD) or using a custom R script to fold the

spectra into fastsimcoal2 format (for coalescent simulations in fastsimcoal2).

Testing alternative demographic scenarios. We evaluated which demographic

scenario (1-origin vs 2-origins) explains our data using fastSimcoal2 v.2.6.0.3 (Excoffier et al.

2013). We tested four populations at a time, i.e. with two ecotype pairs in each simulation,

using for each analysis the jSFS for all six combinations of populations as summary statistics.

For all models we let the algorithm estimate the effective population size (N), the mutation rate

(μ) and the time of each split (T1, T2 and T3, Fig. 3). Although N, μ and the time of split

between ecotypes in each pair have been previously estimated by Trucchi et al (2017), we

started with broad search ranges for the parameters to not constrain a priori the model. The

final priors of the simulations were set for a mutation rate between 1e-8 and 1e-10, the effective

population size between 50 and 50,000 (alpine populations) and 50 and 5,000 (montane

populations), and for the time of each split between 1,000 and 100,000 generations ago. We

forced T1 to predate T2 and T3, and performed separate simulations setting T2 > T3 and T3 >

T2, respectively. For the models including gene flow, migration rate (m) between any pair of

demes was initially set to a range between 10e-10 and two.

The generation time in *H. pusillum* was reported to be 1 year (Flatscher et al. 2012;

Trucchi et al. 2017). While most populations in the montane zone flower during the first year

after germination, this is not the case in the alpine environment, where plants usually start to

flower in the second year after germination. Therefore, 1 year is most likely an underestimation

of the intergeneration interval, which is more realistically around 3 years. While this parameter

does not affect the overall results in terms of topology, it should be considered carefully in

terms of divergence times between ecotypes that were previously hypothesized to be post-

glacial (Flatscher et al. 2012; Trucchi et al. 2017).

FastSimcoal2 was run excluding monomorphic sites (-*0* option). We performed

200,000 simulations and ran up to 50 optimizations (ECM) cycles to estimate the parameters.

To find the global optimum of the best combination of parameter estimates, we performed 60

replicates of each simulation run. The MaxEstLhood is the maximum estimated likelihood

across all replicate runs, while the MaxObsLhood is the maximum possible value for the

likelihood if there was a perfect fit of the expected to the observed site frequency spectrum.

We report the difference between these two estimates (ΔL) for each model and ΔAIC scores

(i.e., the difference between the AIC for the best possible model and the tested model) to

compare models with different numbers of parameters. Finally, the parameter estimations of

the best run were used to simulate the expected jSFS and test the goodness of fit of the topology

plus parameter estimates to the observed data.

Differential gene expression analysis. Only unique read alignments were considered

to produce a table of counts using FeatureCounts v.1.6.3 (Liao et al. 2014) with the option *-t*

gene to count only reads mapping to genes. DE analyses were performed using the

Bioconductor package EdgeR v.3.24.3 (Robinson et al. 2010). The count matrix was filtered,

keeping only genes with mean counts per million (cpm) higher than 1. Data normalization to

account for library depth and RNA composition was performed using the weighted trimmed

mean of M-values (TMM) method. The estimateDisp() function of edgeR was used to estimate

the trended dispersion coefficients across all expressed tags by supplying a design matrix with

ecotype pair and ecotype information for each sample. We implemented a generalized linear

model (glm) to find gene expression differences between low and high elevation ecotypes by

taking into account the effects of the covariates ecotype and ecotype pair on gene expression.

A likelihood ratio test (lrt) was used to test for DE genes between ecotypes in each pair. The

level of significance was adjusted using Benjamini-Hochberg correction of p-values to account

for multiple testing (threshold of FDR < 0.05). The statistical significance of the overlaps

between lists of DEGs was tested using a hypergeometric test implemented in the Bioconductor

package SuperExactTest (Wang et al. 2015).

Functional interpretation of DEG. We performed separate GO terms enrichment

analysis for each ecotype pair, and gave special attention to functions that were shared among

lists of DEG. Fisher test statistics implemented in the Bioconductor package topGO v.2.34.0

"weight01" to test for over-representation of specific functions conditioned on neighbouring
terms. Multiple testing correction of p-values (FDR correction) was applied and significance
was assessed below a threshold of 0.05. DEG were also explicitly searched for protein coding
genes and transcription factors underlying the formation of trichomes and visually checked
using R.

Detection of multilocus gene expression variation. To detect gene expression
changes underlying adaptive traits with a strongly polygenic basis we performed a cRDA of
the gene expression data using the R Package vegan v.2.5-6 (Oksanen et al. 2019). The cRDA
approach is well suited to identify groups of genes showing expression changes that covary
with the "ecotype" variable while controlling for population structure (Bourret et al. 2014;
Forester et al. 2018). As a table of response variables in the cRDA we used the matrix of read
counts after filtering using mean cpm higher than 1 as in the DE analysis. First, the cRDA
includes a multiple regression step of gene expression on the explanatory variable "ecotype".
In our case, the RDA was conditioned to remove the effects of the geographic ecotype pair
using the formula "~ ecotype + Condition(pair)". In the second step, a principal component
analysis (PCA) of the fitted values from the multiple regression is performed to produce
canonical axes, based on which an ordination in the space of the explanatory variable is
performed. The first axis of the cRDA therefore shows the variance explained by the
constrained variable "ecotype", while the second axis is the first component of the PCA nested
into the RDA, representing the main axis of unconstrained variance. The significance of the
cRDA was tested with ANOVA and 1,000 permutations. Each gene was assigned a cRDA
score that is a measure of the degree of association between the expression level of a gene and
the variable "ecotype". Outliers were defined as genes with scores above the significance

thresholds of ± 2 and, respectively, ± 2.6 standard deviations from the mean score of the

constrained axis, corresponding to p-value thresholds of 0.05 and 0.01, respectively.

SNPs calling and detection of selection outliers. In order to perform selection scans,

we performed variants calling following best standard practices implemented in GATK

v.4.1.8.1 (Van der Auwera and O'Connor 2020). Briefly, after sorting mapped files and

duplicates removal (see above), we used the split'N'trim function to split reads with Ns in the

CIGAR string and trim overhangs. We called variants using HaplotypeCaller with the option -

ERC GVCF and merged multiple samples in gvcf format using the GenomicsDBImport utility

with the *-L* option to operate in parallel on multiple genomic intervals. Finally, we used

GenotypeGVCFs to perform joint genotyping. The resulting vcf file was processed using the

vcfallelicprimitives modality implemented in vcflib v.1.0.2 (https://github.com/vcflib/vcflib)

with the options *--keep-info --keep-geno* to split indels into multiple SNPs. Filtering was

performed using vcftools v.0.1.16 (Danecek et al. 2011) with the options *--max-alleles 2 --min-*

alleles 2 --minDP 4 --minGQ 20 --minQ 30 --remove-indels --max-missing 0.5.

Selection outliers analyses were carried out on ecotype pairs 1 and 3, for which we had

a minimum of 10 individuals in each population analyzed. To detect outlier SNPs potentially

under divergent selection during ecotype adaptation to different elevations we followed the

method implemented in the R package pcadapt based on Principal Component Analysis (Luu

et al. 2017). After filtering the vcf file, we used vcftools to obtain two separate vcf files for pair

1 and 3 and retained loci with a minimum minor allele frequency 0.1. Plink (Purcell et al. 2007)

was used to convert vcf files into the plink binary bed format. We used the pcadapt() function

of pcadapt with the LD.clumping option to perform thinning using a window size of 200 SNPs

and a $r^2 = 0.1$ (default parameters). Outlier SNPs for ecotype divergence were defined as loci

showing a strong correlation with the first principal component, which in both pairs separates

the ecotypes (Fig. S8). P-values were corrected using the Bonferroni method and a threshold

of adjusted $p < 0.05$ was chosen to assess significance.

Data accessibility. Scripts used to perform the analyses can be found under this gitHub

repository https://github.com/aglaszuk.

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Figures

Figure 1. Study system, sampling setup, and genetic variation among four montane (M) - alpine (A) ecotype pairs of *Heliosperma pusillum*. Color coding of populations is consistent across panels. The numbering of the ecotype pairs is consistent with a previous publication on the same system (Bertel et al. 2018). **(a)** Graphic description of the main ecological and morphological differences between the ecotypes. **(b)** Geographic map showing the location of the analyzed populations in the southeastern Alps. **(c)** Clustering of individuals along the first two vectors of a principal component analysis. **(d)** Bar plot showing the assignment of individuals to the clusters identified by NgsAdmix for K = 2 through 8.

Figure 2. Differentially expressed genes (DEGs) at each ecotype pair show low overlap across different pairs. Colors represent the ecotype pair as in Fig. 1. Histograms show the number of DEGs (FDR < 0.05) underexpressed **(a)** and overexpressed **(b)** in the montane ecotype compared to the alpine in each pair. Colored and black areas of the bars show the amount of DEGs unique to each ecotype pair and, respectively, shared with at least one other pair. Numbers reported on top of the bars show the total amount of DEGs between ecotypes per pair and category. Numbers on the black areas show the amount of DEGs shared with at least one other pair. Linked dots below bars show the amount of shared DEGs between at least three pairs. Stars indicate that the overlap is significantly higher than chance expectations (hypergeometric test, p < 0.01).

Figure 3. Alternative topologies tested using Fastsimcoal2 for all combinations of two ecotype pairs. Strict isolation (SI, upper panels) and isolation with migration (IM, lower panels) were modeled. Solid arrows in the IM models indicate higher migration rates expected between ecotypes at each locality according to population structure results. Divergence times T2 and T3 were allowed to vary (i.e., T2 > T3 but also T3 > T2 were modeled), whereas T1 was always the oldest event. Triangles and circles represent populations of the alpine (A) and the montane (M) ecotype, respectively. Filled and empty symbols represent different ecotype pairs.

Figure 4. Expression divergence between accessions of the alpine and the montane ecotypes captured with conditioned redundancy analysis (cRDA). Colors represent the populations as in Fig. 1. Grey and black clusters correspond to montane and alpine ecotypes, respectively. The ANOVA test of the full model was not significant (p = 0.18), confirming that most expression differences between ecotypes in our dataset do not follow consistent routes across ecotype pairs.

Figure 5. Functional enrichment of differentially expressed genes (DEGs) showing that across ecotype pairs similar biological processes are linked to adaptation to the different elevations. Height of the bars shows the significance of the enriched GO terms (Fisher's test). Numbers left of the bars show the number of DEGs underlying the corresponding GO term. Descriptions of GO terms were shortened for visual clarity. The ecotype pair in which a certain term is found to be enriched is specified on the left side of the plot. The z-score (color scale of the bars) was computed based on the log fold-change of gene expression, whereas positive and negative values show over- and underexpression in the montane ecotype respectively.

Figure 6. Examples of expression of genes known to be related to trichome formation and elongation in plants. Colors represent the populations as in Fig. 1. Stars indicate significant differential expression (p < 0.05) before false discovery rate (FDR) correction. Non-significant differences are marked with ns.