

Polygenic routes lead to parallel altitudinal adaptation in *Heliosperma pusillum*
(Caryophyllaceae)

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Abstract

Understanding how organisms adapt to the environment is a major goal of modern biology. Parallel evolution - the independent evolution of similar phenotypes in different populations - provides a powerful framework to explore this question. Here, we quantified the degree of gene expression and functional parallelism across replicated ecotype formation in *Heliosperma pusillum* (Caryophyllaceae) and gained insights into the architecture of adaptive traits. Population structure analyses and demographic modelling confirm the previously formulated hypothesis of parallel polytopic divergence of montane and alpine ecotypes. We detect a large proportion of differentially expressed genes (DEGs) underlying adaptation of each replicate ecotype pair, with a strikingly low amount of shared DEGs across pairs. Functional enrichment of DEGs reveals that the traits affected by divergent gene expression are the same across ecotype pairs, in strong contrast to the non-shared genetic basis. The remarkable redundancy of differential gene expression indicates that diverged adaptive traits are highly polygenic. We conclude that polygenic traits appear key to opening multiple routes for adaptation, widening the adaptive potential of organisms.

Keywords: Polygenic adaptation, Parallel divergence, RNA-seq, Demography, Ecotypes;

Introduction

Independent instances of adaptation with similar phenotypic outcomes (i.e., parallel evolution) are powerful avenues for exploring the mechanisms and timescale of adaptation and divergence (Arendt and Reznick 2008; Turner et al. 2010; Agrawal 2017; Buckley et al. 2019; Knotek et al. 2020). Evolutionary replicates offer insight into the constraints on evolution and help disentangle the nonrandom or more “predictable” actions of natural selection from confounding stochastic effects such as drift and demography (Lee and Coop 2019). In particular, parallel formation of conspecific ecotypes (Nosil et al. 2009; Nosil et al. 2017), are pivotal to enhancing our understanding of the processes leading to adaptation in response to a changing environment.

A number of studies have shown that parallel evolution can be driven by either standing genetic variation, possibly shared across lineages through pre- or post-divergence gene flow (Colosimo et al. 2005; Jones et al. 2012; Soria-Carrasco et al. 2014; Van Belleghem et al. 2018; Alves et al. 2019; Thompson et al. 2019; Louis et al. 2020), or, more rarely, by recurrent *de novo* mutations with large phenotypic effects (Hoekstra et al. 2006; Chan et al. 2010; Zhen et al. 2012; Projecto-Garcia et al. 2013; Tan et al. 2020). These sources of adaptive variation produce phenotypic similarities via the same genetic locus, regardless if it was acquired independently or present in the ancestral gene pool (Stern 2013).

On the other hand, there is compelling evidence of phenotypic convergence resulting from non-parallel signatures of adaptation (Elmer et al. 2014; Yeaman et al. 2016; Rellstab et al. 2020), even among closely related populations (Wilkens and Strecker 2003; Steiner et al. 2009; Fischer et al. 2021) and replicated laboratory evolution (Cooper et al. 2003; Barghi et al. 2019). A typical example is the convergent evolution of a lighter coat pigmentation in beach mouse populations of the Gulf of Mexico and the Atlantic Coasts driven by different mutations (Steiner et al. 2009).

Such cases suggest that evolutionary replicates can follow diverse routes and relatively few molecular constraints exist in the evolution of adaptive traits (Arendt and Reznick 2008; Losos 2011). The degree of convergence during adaptation to similar selective pressures across taxa reveals that genomic signatures of adaptation are often redundant (Wilkens and Strecker 2003; Mandic et al. 2018; Fischer et al. 2021). Parallel evolution can involve highly heterogeneous routes depending on variation in gene flow, strength of selection, effective population size, demographic history, and extent of habitat differentiation, leading to different degrees of parallelism. This complex range of processes including non-parallel to parallel trajectories have also been described using the more comprehensive term *continuum of (non)parallel evolution* (Stuart et al. 2017; Bolnick et al. 2018).

Recently, a quantitative genetics view of the process of adaptation has gained attention among evolutionary biologists (Barghi et al. 2020), complementing existing models on adaptation via selective sweeps. Accordingly, selection can act on different combinations of loci, each of small effect, leading to shifts in the trait mean through changes in multiple loci within the same molecular pathway (Hermisson and Pennings 2017; Höllinger et al. 2019). Thus, a key feature of polygenic adaptation is that different combinations of adaptive alleles can contribute to the selected phenotype (Barghi et al. 2020). This heterogeneity among loci, termed genetic redundancy (Nowak et al. 1997; Barghi et al. 2019), can lead to non-parallel genomic changes in populations evolving under the same selective pressure. Footprints of selection acting on polygenic traits have been detected in a wide range of study systems, such as in fish (Therkildsen et al. 2019) and in cacao plants (Hämälä et al. 2020), which can also foster convergent adaptive responses and phenotypes during independent divergence events (Lim et al. 2019; Rougeux et al. 2019; Hämälä et al. 2020).

A current major challenge is predicting adaptive responses of populations and species to environmental change. Despite several advances, it is still unclear which adaptive signatures

are expected to be consistent across evolutionary replicates, especially when selection acts on complex traits. An important aspect to investigate is the architecture of adaptive traits (simple/monogenic, oligogenic or polygenic). A polygenic architecture may facilitate alternative pathways leading to the same phenotypic innovation, enhancing the probability of parallel evolution (Boyle et al. 2017), and, as a consequence, the adaptive potential of populations.

To date, only a handful of cases of parallel evolution have been extensively studied in plants (Roda et al. 2013; Yeaman et al. 2016; Trucchi et al. 2017; Konečná et al. 2019; James et al. 2020; Rellstab et al. 2020; Tan et al. 2020). Altitudinal ecotypes of *Heliosperma pusillum* s.l. (Waldst. and Kit.) Rchb. (Caryophyllaceae) offer a system to study this process. In the Alps, this species includes an alpine ecotype (1,400–2,300 m above sea level) widely distributed across the mountain ranges of southern and central Europe, and a montane ecotype (500–1,300 m) endemic to the south-eastern Alps. The latter was previously described from scattered localities as *H. veselskyi* Janka, but the two ecotypes are highly interfertile (Bertel, Hülber, et al. 2016) and isolation-by-distance analyses confirmed their conspecificity (Trucchi et al. 2017). While the alpine ecotype has a relatively continuous distribution in moist screes above the timberline, the montane ecotype forms small populations (typically < 100 individuals) below overhanging rocks.

Previous work (Bertel, Buchner, et al. 2016; Bertel et al. 2018) reported substantial abiotic differences between the habitats preferred by the two ecotypes. For example, differences in average temperature (montane: warm vs. alpine: cold), temperature amplitude, the degree of humidity (montane: dry vs. alpine: humid) and light availability (montane: shade vs. alpine: full sunlight) were found between the two altitudinal sites. Moreover, metagenomics (Trucchi et al. 2017) showed evidence of distinct microbial communities in the respective phyllospheres. The two ecotypes also differ significantly in their physiological response to light

and humidity conditions in a common garden (Bertel, Buchner, et al. 2016). Finally, the montane ecotype is covered by a dense glandular indumentum, which is absent in the alpine populations (Frajman and Oxelman 2007; Bertel et al. 2017). Whether this phenotypic difference in trichome density confers differential adaptation in their respective environment is unclear.

Both ecotypes show higher fitness at their native sites in reciprocal transplantation experiments (Bertel et al. 2018), confirming an adaptive component to their divergence. Common garden experiments across multiple generations further rejected the hypothesis of a solely plastic response shaping the phenotypic divergence observed (Bertel et al. 2017). Most importantly, population structure analyses based on genome-wide SNPs derived from restriction site-associated DNA (RAD-seq) markers (Trucchi et al. 2017) supported a scenario of five parallel divergence events across the six investigated ecotype pairs. Hereafter, we use the term “ecotype pairs” to indicate single instances of divergence between alpine and montane ecotypes across their range of co-occurrence.

The combination of ecological, morphological, and demographic features outlined above renders *H. pusillum* a well-suited system to investigate the mechanisms driving local recurrent altitudinal adaptation in the Alps. Here, we quantify the magnitude of gene expression and functional parallelism across ecotype pairs, by means of RNA-seq analyses of wild plants grown in a common garden. We also investigate the independent evolution of ecotype pairs more in depth than previously. More specifically, this study asks (1) how shared are gene expression differences between ecotypes among evolutionary replicates or, in other words, is the adaptation to elevation driven by expression changes in specific genes or in different genes affecting similar traits, (2) how shared is the adaptive functional variation encoded by differentially expressed genes (DEGs) among evolutionary replicates, and (3) do we find consistent signatures of selection on coding sequence variation across evolutionary replicates?

Results

Our main aim was to test the repeatability of the molecular patterns and functions that distinguish the alpine from the montane ecotype in different ecotype pairs. To achieve this goal, we performed differential expression (DE) analyses of four ecotype pairs (4 pairs \times 2 ecotypes \times 3 replicates, i.e., 24 individuals in total; Fig. 1a and b, Table S1) grown in a common garden to remove any differential environmental effects that might confound gene expression in the samples. To identify genetic variants under selection we extended the sampling by including 41 additional transcriptomes of individuals from a transplantation experiment (Szukala A et al. unpublished data; Table S1). Two alpine individuals of pair 3 (A3b and A3c, Table S1) were found highly introgressed with genes from the alpine population of pair 4 (Fig. S1a), and have been discarded from subsequent genetic analyses, retaining a total of 63 individuals for further analyses based on SNPs. This dataset was also used to test the hypothesis of parallel ecotype divergence in *H. pusillum* suggested by Trucchi et al (2017).

Reference genome assembly and annotation. We first assembled *de novo* a draft genome for an individual of the alpine ecotype of *H. pusillum* (generated via artificial selfing over three generations) using 192.3 Gb (ca 148 \times) Illumina paired-end reads and 14.9 Gb (ca 11.5 \times) PacBio single-molecule long reads. A hybrid assembly recovered a total length of 1.21 Gb of scaffolds corresponding to 93% of the estimated genome size (1C = 1.3 pg, (Temsch et al. 2010)). The draft genome was split into 75,567 scaffolds with an N50 size of 41,610 bp. RepeatModeler v.1.0.11 (<http://www.repeatmasker.org/RepeatModeler/>) identified 1,021 repeat families making up roughly 71% of the recovered genome. This high proportion of repetitive elements aligns well with observations in other plant genomes.

Structural annotations (Stanke et al. 2006) identified 25,661 protein-coding genes with an average length of 4,570 bp (Fig. S2a and b). All protein-coding genes were found on 8,632 scaffolds that belong to the longest tail of the contig length distribution (Fig. S2d).

Nevertheless, we also observe in our assembly comparatively long contigs that do not contain any gene models (Fig. S2d). Of this set of genes, 17,009 could be functionally annotated (Götz et al. 2008; Haas et al. 2013). We evaluated the completeness of the genome assembly by searching our gene models against the BUSCO v.3 embryophyta dataset (Simão et al. 2015). A total of 83.2% of the set of single-copy conserved BUSCO genes were found within our annotated genes (Fig. S2c).

Genetic diversity and structure. To explore population diversity and structure we filtered a dataset of 7,107 putatively neutral SNPs at unlinked four-fold degenerate (FFD) sites from 63 individuals representing the four ecotype pairs (Fig. 1b, Table S1). Within-population allelic diversity (average pairwise nucleotide diversity, π , and Watterson's theta, θ_w), Tajima's D, as well as between-population differentiation (F_{ST}), are reported in Table S2. Average π showed similar values across alpine and montane populations, ranging from $\pi_{A5} = 0.140 \pm 0.12$ to $\pi_{A1} = 0.173 \pm 0.12$ in the alpine ecotype, and from $\pi_{M3} = 0.143 \pm 0.12$ to $\pi_{M5} = 0.171 \pm 0.13$ in the montane. Watterson's theta ranged from $\theta_{w-A5} = 0.130 \pm 0.11$ to $\theta_{w-A4} = 0.136 \pm 0.12$ and from $\theta_{w-M3} = 0.116 \pm 0.08$ to $\theta_{w-M5} = 0.157 \pm 0.11$ in the alpine and montane ecotype, respectively. We did not observe a clear alpine versus montane distinction of within-population allelic diversity. Global Tajima's D estimates were always positive, but close to 0 (Table S2, Fig. S3), suggesting that these populations are within neutral-equilibrium expectations, and that both alpine and montane populations were not affected by major changes in population size in the recent past.

Averaged pairwise F_{ST} tended to be slightly higher between montane than between alpine populations (weighted $F_{ST} = 0.28-0.56$ in alpine, and weighted $F_{ST} = 0.39-0.52$ in montane; Table S2). Between-ecotype F_{ST} was lower than F_{ST} between pairs, except in the case of pair 4 (weighted $F_{ST} = 0.48$), consistent with overall high expression differentiation between ecotypes in this pair as described below.

We further investigated the population structure with principal component analyses (PCA) and an admixture plot, both based on genotype likelihoods computed in ANGSD v.0.931 (Korneliussen et al. 2014). In the PCA (Fig. 1c) the analyzed populations cluster by geography, in line with previous results (Trucchi et al. 2017). The first component (15.2% of explained variance, Fig. 1c) shows a clear east-west separation of the ecotype pairs. The second component (12.4% of explained variance, Fig. 1c) places ecotype pair 5 closer to pair 1 and most distant from pair 3 showing a north-south separation.

We performed two rounds of population structure inference using NgsAdmix v.32 (Skotte et al. 2013), to test the effects of uneven sample size on the inferred clusters. We compared the results inferred using the set of 63 accessions to those inferred when randomly subsampling all populations to three individuals (i.e., the minimum number of individuals per population in our dataset). With uneven sampling, we observed that the individuals from populations with reduced sampling size (i.e., ecotype pair 4) tended to be assigned to populations of higher sampling density (Fig. S1b), an otherwise known problem affecting population structure analyses (Puechmaille 2016; Meirmans 2019). Consistent with the clustering observed in the PCA, pair 5 was first separated from the rest pairs ($K = 2$, Fig. 1d). The best three K s, as evaluated using the Evanno method (Evanno et al. 2005), were 2, 3 and 7, in this order, confirming an enhanced separation of pair 5 from the rest, while the two ecotypes in this pair are the least diverged ($K = 7$, Fig. 1d), consistent with a lower degree of expression differentiation in this pair (Fig. 2).

Demographic model selection, parallelism and gene flow. We tested two contrasting topologies for each combination of two ecotype pairs (Fig. 3): one model assuming a single origin (1-origin) of each ecotype, and one assuming independent between-ecotype divergence across geographic localities (2-origins). Additionally, for each topology two scenarios were evaluated: one in absence of migration between populations (strict isolation, SI) and one with

continuous migration between demes (isolation with migration, IM). In line with the results from the population structure analyses our expectation was to find higher migration rates between ecotypes within each ecotype pair (solid lines in Fig. 3). To evaluate which model better explains our data we used a composite-likelihood maximization method implemented in fastSimcoal2 v.2.6.0.3 (Excoffier et al. 2013). Delta Akaike information criteria (ΔAIC) for each model tested are summarized in Table S3a and c.

Our SI simulations showed that the 2-origins topology is always preferred. When pair 3 and 4 were analyzed, the difference between the performance of the two models was small. In all cases, models allowing gene flow achieved a higher likelihood than SI models (Table S3a). The 2-origins scenario again achieved a better likelihood in 5 out of 6 ecotype pair comparisons. The 1-origin model was preferred when pairs 3-4 were simulated. For each parameter we took as a final estimate the 95% confidence intervals CI of the ten best model estimates. The CI of the times of divergence and effective population size (N_e) from the best model estimates are reported in Table S3b. We computed migration rate estimates for each model including both directions of migration for all combinations of ecotype populations from two pairs (Table S3d). We found migration rates to be generally higher between ecotypes in each pair, and low between different ecotype pairs (upper limit of the CI always below 0.002) across all comparisons and scenarios tested (Table S3d).

Patterns of differential gene expression between ecotypes. We analyzed gene expression in a common garden to identify genes with divergent expression between ecotypes, as these are hypothesized to underlie phenotypic differentiation and adaptation to different altitudinal niches. After trimming genes with low mean expression counts per million (cpm) across samples we retained a dataset of 16,389 genes on which we performed DE analyses.

A major proportion of DEGs were found to be unique to each pair (colored area of the bars in Fig. 2a and b). This pattern was particularly enhanced in pair 5, in which ca 85% of

DEGs were not shared with other pairs, while ca 65%, 70% and 80% of DEGs were unique to pairs 3, 1 and 4, respectively (Fig. 2, Fig. S4). Although the overlap of DEGs was significantly higher than chance expectations ($p < 0.01$) in several comparisons, our analyses recovered an overall low number of shared DEGs. In contrast to expectations, we found across all ecotype pairs that only two and zero genes were consistently over- and under-expressed in the montane compared to the alpine ecotype, respectively.

The number of DEGs varied across ecotype pairs. DEGs were almost four times higher in pair 4 (highest degree of expression differentiation) compared to pair 5 (lowest degree of expression differentiation), while the difference in DEGs was less pronounced between pairs 1 and 3. This result is consistent with the PCA of normalized read counts (Fig. S5a) and the multidimensional scaling plot of gene expression (Fig. S5b). Overall, the relative degree of expression differentiation between ecotypes at different geographic localities is consistent with their degree of genetic differentiation (F_{ST} , Table S2). The second component of the PCA of gene expression (13.8% of variance explained, Fig. S5a), as well as the second dimension of logFC of the multidimensional scaling analysis (Fig. S5b), tends to separate the two ecotypes. Interestingly, gene expression appears more uniform across the montane accessions compared to the alpine ones, even if the overall expression divergence between different populations was not significantly different between ecotypes (Wilcoxon signed rank test $p = 0.56$; Fig. S6 and Table S4).

Parallel multilocus gene expression variation. We performed a conditioned (partial) redundancy analysis (cRDA) of gene expression to elucidate if a different analytical framework would have more power to detect common genes with opposite expression patterns between ecotypes across all evolutionary replicates. Redundancy analysis is thought to be a good approach to detect changes between conditions (in our case, ecotypes), even when such differences are subtle and possibly masked by other factors (Forester et al. 2018).

We found that 1.8% of total expression variation was explained by divergence between montane and alpine ecotypes across all ecotype pairs (Fig. 4), consistent with low overlap of DEGs across evolutionary replicates in DE analyses. Also consistent with the low number of shared DEGs, the ANOVA test of the full model was not significant ($F = 1.39$, $p = 0.18$), confirming that most expression differences between ecotypes in our dataset do not follow consistent routes across ecotype pairs. We further searched for cRDA outliers to identify genes with consistent, albeit subtle, changes in expression across ecotypes. The transcript score was transformed into a z-score with a distribution ranging from -3.55 to 3.43 (Fig. S7). We identified 115 ($p < 0.01$) and 739 ($p < 0.05$) outlier genes with consistently opposite expression direction between ecotypes across all ecotype pairs.

Ecological and biological significance of DEGs. In stark contrast to the low overlap at the level of individual genes affected by DE, we observed evidence of convergence in the enriched biological functions across DEG lists of each ecotype pair. Gene ontologies (GO) terms enriched (adjusted $p < 0.05$) in genes that tended to be underexpressed in the montane ecotype across ecotype pairs included photosynthesis, oxidation-reduction processes - potentially related to acclimation responses related to photosynthesis, protein phosphorylation and responses to biotic stress (Fig. 5, Table S5). By contrast, GO terms enriched in genes overexpressed in the montane ecotype comprise transmembrane transport activity and regulation of water content, probably involved in response to water deficit and drought (Fig. 5, Table S5). The overall degree of over- and underexpression of genes underlying convergent GO terms across pairs varied, depending on the specific function of the genes affecting the same molecular pathway. We also analyzed enriched biological processes in cRDA gene outliers, since these genes possibly underlie biologically and ecologically relevant adaptive traits. Consistent with the DE results, cRDA outlier genes were significantly enriched for photosynthesis-related functions and response to water deprivation.

In the GO enrichment analysis of the DEG lists we did not find significantly enriched GO terms related to trichome development, despite the obvious morphological difference between the two ecotypes, given that multicellular glandular trichomes are present in montane plants but not in alpine. We observed that some genes known to be involved in trichome formation and found to be expressed in our transcriptomes were significantly differentially expressed in some of the ecotype pairs but not in others or showed consistent changes in expression between ecotypes even if not significant after FDR correction (examples shown in Fig. 6). As opposed to the DE analysis, in the cRDA analysis we found a significant enrichment for the term root hair elongation (GO:0048767), a GO term that shares functionality with the multicellular trichome development pathway (Benítez et al. 2007). We subsequently identified gene candidates underlying this trait, as they show subtle gene expression differences between ecotypes that were consistent across all four comparisons analyzed. IBR3, a Indole-3-butyric acid response gene, known to promote hair elongation (Strader et al. 2010; Velasquez et al. 2016) was always overexpressed in the montane ecotype (Fig. 6). This same gene was also significantly differentially expressed in three out of four ecotype pairs in previous DEG analyses before correction of p-values for multiple testing (Fig. 6). Another candidate, the PID (PIDox) gene, a positive regulator of auxin efflux known to suppress root hair growth when overexpressed (Lee and Cho 2006), was found to be underexpressed in the montane ecotype in pairs 1, 4 and 5. Overall, our analyses found low overlap of expression changes in genes controlling trichome formation across ecotype pairs of *H. pusillum*.

(Non-)Shared adaptive outlier loci. To identify possible candidate genes under divergent selection in independent divergence events, we searched for coding genomic regions with pronounced allelic divergence between ecotypes in pairs 1 and 3. We excluded ecotype pairs 4 and 5 from this analysis because of the low number of individuals available from each population. To detect SNPs with genotype divergence between ecotypes we used the method

implemented in pcadapt (Luu et al. 2017) which is based on principal component analysis. We then searched for genes containing outlier SNPs that are shared by ecotype pairs 1 and 3.

After variant calling and filtering we retained a dataset with 116,075 and 86,900 variable biallelic sites in pair 1 and 3, respectively, identified across 8,051 genes. Principal component analysis of each pair shows that genetic variation well separates the two ecotypes (PC1 = 5.8% and 14.3% in pairs 1 and 3, respectively, Fig. S8). In both ecotype pairs, the alpine ecotype shows a higher genotypic variance (Fig. S8), which is consistent with higher variance of gene expression in this ecotype compared to the montane (Fig. S5). In pair 1 we found 445 outlier SNPs distributed across a total of 141 genes. In pair 3 we found 444 outlier SNPs distributed across a total of 123 genes. Eighty-seven SNPs and 19 genes containing outlier SNPs were shared by both pairs, a number significantly higher than expected by chance ($p < 0.0001$ for both genes and SNPs). Our results also show that the majority of outlier genes is not shared by different ecotype pairs, consistent with a mostly independent divergence history of each ecotype pair and very low overlap of DEGs. Functional annotations of the 19 shared genes containing outlier SNPs are reported in Table S6. Among those candidate genes, we found genes involved in response to drought and salt stress (APUM5, AHA4, PYL8), oxidation-reduction processes (POA2, NADPH-cytochrome P450 reductase, CCR1), light signal transduction (PIA2 and a putative LOV domain containing protein) and flavonoid biosynthesis (HIDH). Genes containing outliers were not differentially expressed.

Discussion

Parallel evolution has long been recognised as a powerful process to study adaptation, overcoming intrinsic limitations of studies on natural populations that often miss replication (Elmer and Meyer 2011). In this work, we aimed to investigate the genetic basis of adaptation

to different elevations in the plant *Heliosperma pusillum*. We asked in particular to what extent different ecotype pairs show signatures of parallel evolution in this system.

Our genetic structure analyses and coalescence-based demographic modelling were in line with a scenario of parallel, polytopic ecotype divergence, as suggested previously by a marked dissimilarity of the genomic landscape of differentiation between ecotype pairs revealed by RAD-seq data (Trucchi et al. 2017). In our demographic investigations, parallel divergence always obtained greater support under a strict isolation model. Still, models including low amounts of gene flow were shown to be more likely. Additionally, in one comparison (i.e., including ecotype pairs 3 and 4) the single origin IM scenario aligned more closely with the data than the two origins IM. This result is consistent with greater co-ancestry observed for these two pairs with respect to other comparisons (Fig. 1c and d). Nevertheless, the estimates of migration rates between different ecotype pairs were overall extremely low (i.e., always lower than 1.2×10^{-3}), indicating that each ecotype pair diverged in isolation from other pairs, even when it is not straightforward to distinguish between the different models (i.e. 1-origin vs 2-origins).

Our results from selection scans showed that only few diverged genes, likely under selection during adaptation to different elevations, were shared between the two ecotype pairs analyzed (i.e., pair 1 and 3), while ca 85% of putatively adaptive loci were unique to each pair. This high degree of unique outliers, consistent with a previous investigation (Trucchi et al. 2017), supports a scenario of mainly independent evolutionary history of different ecotype pairs. However, we cannot exclude the possibility that a few shared loci, likely from standing genetic variation, might have played a role in shaping the ecotype divergence of different evolutionary replicates in our system.

Global Tajima's D estimates were close to 0, suggesting that the recent past of all these populations was not affected by major bottlenecks or population expansions. Consistently,

within-population diversity was similar across montane and alpine ecotypes, likely reflecting ancestral variation before altitudinal divergence. Due to the low number of individuals available for ecotype pairs 4 (three individuals per ecotype) and 5 (four individuals per ecotype), these estimates should be considered with caution. However, previous work using an RNA-seq-derived dataset of synonymous variants similar to ours (Fraïsse et al. 2018) showed that model selection based on the joint site frequency spectrum is robust to the numbers of individuals and loci. Nevertheless, future analyses should aim for enlarged sampling sizes.

We further asked how consistent across divergence events are the molecular processes underlying ecotype formation. We screened the expression profiles of four ecotype pairs grown in a common garden to shed light on the genetic architecture of the adaptive traits involved in parallel adaptation to divergent elevations as well as to warmer/dry vs. colder/humid conditions. Our analyses showed that gene expression changes between ecotypes is genetically determined and not a plastic response due to environmental differences. We found strikingly few DEGs shared across all four ecotype pairs, with most DEGs unique to one ecotype pair, suggesting that convergent phenotypes do not consistently rely on changes in expression of specific genes. This pattern was most pronounced in ecotype pair 5, which we also showed to bear a lower degree of shared ancestry with the other pairs in genetic structure analyses (Fig. 1c-d). Given that ecotype pair 5 is the most eastern in terms of geographic distribution, it can be hypothesized that this pair represents a more distinct lineage, as break zones in the distribution of genetic diversity and distribution of biota have been identified to the West of this area of the Alps (Thiel-Egenter et al. 2011). Our sampling was not appropriate to further test hypotheses of biogeographic nature. Even so, our results suggest that parallel evolution is analyzed at different levels of coancestry in our dataset. This implies that parallel signatures of ecotype evolution can decrease significantly, even within a relatively small geographic range. This view is in line with previous findings of unexpectedly heterogeneous differentiation

between freshwater and marine sticklebacks across the globe, including more distant lineages (Fang et al. 2020).

Despite the low parallelism in gene activity, we identified across the ecotype pairs a high reproducibility of the biological processes related to ecological divergence (i.e., different water and light availability, and biotic stress) at the two elevations. Functional enrichment of responses to biotic stress are consistent with the biotic divergence between the two habitat types, featuring distinct potentially pathogenic microbiomes (Trucchi et al. 2017). The dichotomy of convergence in enriched GO terms, but a low amount of shared DEGs, indicates that different redundant genes likely concur to shape similar phenotypic differentiation, as expected under polygenic adaptation (Barghi et al. 2020).

The presence (montane ecotype) or absence (alpine ecotype) of multicellular glandular hairs on the plants represents a striking morphological difference in our system. Trichome formation has been studied extensively in Brassicaceae, especially in *Arabidopsis*, where this trait is controlled by a relatively simple regulatory pathway shared across the family (Hülkamp et al. 1994; Hülkamp 2004; Hilscher et al. 2009; Pesch and Hülkamp 2009; Tominaga-Wada et al. 2011; Chopra et al. 2019). Still, a certain degree of genetic redundancy has been shown to underlie trichome formation in *Arabidopsis* (Khosla et al. 2014). Studies on other plant lineages, such as cotton (Machado et al. 2009), snapdragons (Tan et al. 2020), *Artemisia* (Shi et al. 2018) and tomato (Chang et al. 2018), highlighted that the genetic basis of multicellular glandular trichomes formation does not always involve the same loci as in *Arabidopsis*. Trichome formation outside of the Brassicaceae family likely involves convergent changes in different genetic components (Serna and Martin 2006; Tan et al. 2020) and has been reported to be initiated even as an epigenetic response to herbivory in *Mimulus guttatus* (Scoville et al. 2011).

We expected to find evidence of specific genes controlling trichome development in our transcriptome dataset. However, we did not observe a consistent change in regulation of particular genes underlying trichome initiation and elongation across ecotype pairs. This can be caused by sampling only one ontogenetic stage during leaf development, which might not be the most informative for a specific trait. Nonetheless, it is interesting to see that some genes known to underlie hair initiation, elongation and malformation in other plant species were differentially expressed in some ecotype pairs, but not in all of them.

Analyses of replicated evolution in laboratory experiments on bacteria (Cooper et al. 2003; Fong et al. 2005), yeast (Nguyen Ba et al. 2019) and *Drosophila* (Barghi et al. 2019) have provided insights about adaptation, showing that redundant trajectories can lead to the same phenotypic optimum, when selection acts on polygenic traits. In line with other studies on diverse organisms including whitefish (Rougeux et al. 2019), hummingbirds (Lim et al. 2019), snails (Ravinet et al. 2016) and frogs (Sun et al. 2018), our results suggest that convergent phenotypes can be achieved via changes in different genes affecting the same molecular pathway and, ultimately, adaptive trait, and that this polygenic basis might facilitate repeated adaptation to different elevations via alternative routes.

In conclusion, this study adds evidence to recent findings showing that polygenic traits and genetic redundancy open multiple threads for adaptation, providing the substrate for reproducible outcomes in convergent divergence events. Future studies using transcriptomics as well as genomic approaches should focus on genotype-by-environment interactions, e.g., in reciprocal transplantation experiments, to further deepen our understanding of the process of adaptation in *H. pusillum*.

Materials and methods

Sampling, library preparation and sequencing.

We performed DE analyses on 24 plants grown in common garden settings at the Botanical Garden of the University of Innsbruck, Austria. Wild seeds were collected from four alpine/montane ecotype pairs in the south-eastern Alps (Fig. 1b, Table S1). The numbering of localities is consistent with that used in Bertel et al. (2018) and the acronyms corresponding to Trucchi et al. (2017) are added in Table S1. All seeds were germinated on the same day and the seedlings were grown in uniform conditions. One week before RNA fixation, the plants were brought to a climate chamber (Percival PGC6L set to 16 h 25 °C three lamps/8 h 15 °C no lamps). Then, 400 mg of green fresh stalk-leaf material, sampled at a similar developmental stage for all individuals, was fixed in RNAlater in the same morning and kept at -80 °C until extraction. Total RNA was extracted from ca 90 mg leaves using the mirVana miRNA Isolation Kit (Ambion) following the manufacturer's instructions. Residual DNA has been digested with the RNase-Free DNase Set (Qiagen); the abundant ribosomal RNA was depleted by using the Ribo-Zero rRNA Removal Kit (Illumina). RNA was then quantified with a NanoDrop2000 spectrophotometer (Thermo Scientific), and quality assessed using a 2100 Bioanalyzer (Agilent). Strand-specific libraries were prepared with the NEBNext Ultra Directional RNA Library Prep Kit for Illumina (New England Biolabs). Two individual RNA-seq libraries were pooled and sequenced per Illumina HiSeq 2500 lane with single-end reads (100 bp) at the NGS Facility at the Vienna BioCenter Core Facilities (VBCF; <https://www.viennabiocenter.org/>). Two samples (A1a and A4b) were sequenced with paired-end reads (150 bp) with the initial aim of assembling reference transcriptomes.

The population genetic analyses (i.e., SNP-based) were performed on a larger dataset including in total 63 individuals from the four population pairs (Supporting information Table S1). For this we added RNA-seq samples from ecotype pairs 1 and 3 (Fig. 1b), which were

sampled within a different experiment. The procedure used to prepare the RNA-seq libraries was the same as described above, except that 21 individual samples have been pooled and sequenced together with single-end reads (100 bp) on Illumina NovaSeq S1 at the Vienna BioCenter Core Facilities.

Genomic DNA extraction and sequencing. A reference genome was assembled using short and long read technologies from an alpine individual that descended from population 1, from a selfed line over three generations. DNA was extracted from leaves using a CTAB protocol adapted from Cota-Sánchez et al. (2006). DNA for long reads was extracted from etiolated tissue after keeping the plant for one week under no light conditions. Illumina libraries were prepared with IlluminaTruSeq DNA PCR-free kits (Illumina) and sequenced as 150 bp paired-end reads on Illumina HiSeq X Ten by Macrogen Inc. (Korea). PacBio library preparation and sequencing of four SMRT cells on a Sequel I instrument was done at the sequencing facility of the Vienna BioCenter Core Facilities.

Reference genome assembly and annotation. We performed a hybrid *de novo* genome assembly using ca 120x paired-end Illumina and ca 15x PacBio reads with MaSuRCA v.3.2.5 (Zimin et al. 2013). The assembled genome was structurally annotated *ab initio* using Augustus (Stanke et al. 2006) and GeneMark-ET (Lomsadze et al. 2014), as implemented in BRAKER1 v.2.1.0 (Hoff et al. 2016) with the options `--softmasking=1 --filterOutShort`. Mapped RNA-seq data from three different samples was used to improve *de novo* gene finding.

A transcriptome was assembled using Trinity v.2.4.0 (Haas et al. 2013) to be used in MAKER-P annotation as expressed sequence tag (EST). We used as additional evidence the transcriptome of the closely related *Silene vulgaris* (Sloan et al. 2011). The annotation was further improved using MAKER-P v.2.31.10 (Campbell et al. 2014) supplying gene models identified using BRAKER1 and after generating a custom repeat library for masking using RepeatModeler v.1.0.11 (<http://www.repeatmasker.org/RepeatModeler/>) as implemented in

MAKER-P. Gene models identified by both BRAKER1 and MAKER-P were functionally annotated using Blast2GO (Götz et al. 2008; Haas et al. 2013). BUSCO v.2.0 (Simão et al. 2015) was used for quality assessment of the assembled genome and annotated gene models.

Genetic diversity and structure. RNA-seq data was demultiplexed using BamIndexDecoder v.1.03 (<http://wtisi-npg.github.io/illumina2bam/#BamIndexDecoder>) and raw sequencing reads were cleaned to remove adaptors and quality filtered using trimmomatic v.0.36 (Bolger et al. 2014). Individual reads were aligned to the reference genome using STAR v.2.6.0c (Dobin et al. 2013). Mapped files were sorted according to the mapping position and duplicates were marked and removed using Picard v.2.9.2 (<https://broadinstitute.github.io/picard/>). Subsequently, we used a pipeline implemented in ANGSD v.0.931 (Korneliussen et al. 2014) to estimate genotype likelihoods. The latter might be more reliable than genotype calling for low coverage segments (Korneliussen et al. 2014), in particular when handling data with strongly varying sequencing depth among regions and individuals such as RNA-seq. Briefly, after mapping and removing duplicates, individual bam files were processed using GATK v.3.7.0 function IndelRealigner to locally improve read alignments around indels. Subsequently, ANGSD was run to compute posterior probabilities for the three possible genotypes at each variant locus (considering only bi-allelic SNPs), taking into account the observed allelic state in each read, the sequencing depth and the Phred-scaled quality scores. ANGSD was run with the options *-GL 2 -doMajorMinor 1 -doMaf 1 -SNP_pval 2e-6 -minMapQ 20 -minQ 20 -minInd 12 -minMaf 0.045 -doGlf 2*. A significant portion of RNA-seq data includes protein coding regions expected to be under selection. To investigate genetic structure and demography the SNPs dataset was further filtered to keep FFD sites using the Bioconductor package VariantAnnotation in R (Obenchain et al. 2014).

A covariance matrix computed from the genotype likelihoods of FFD SNPs at unlinked positions (i.e., one per 10 Kb windows) was used for principal component analysis using

PCAngsd (Meisner and Albrechtsen 2018). To test for admixture, we run NgsAdmix (Skotte et al. 2013) on genotype likelihoods at FFD unlinked sites. The number of clusters tested for the admixture analysis ranged from $K = 1$ to $K = 9$. The seed for initializing the EM algorithm was set to values ranging from 10 to 50 to test for convergence. Finally, the K best explaining the variance observed in the data was evaluated using the Evanno method (Evanno et al. 2005) in CLUMPAK (<http://clumpak.tau.ac.il/bestK.html>). Result plotting was performed using R.

For each population we estimated the average global Watterson's θ_w and average pairwise nucleotide diversity (π), whereas to test for departures from mutation/drift equilibrium we computed Tajima's D (Tajima 1989). Global average estimates of these statistics were computed using ANGSD and custom bash scripts, implementing a sliding window approach with windows of 50 Kb and a step of size 10 Kb.

We estimated between-population differentiation as F_{ST} for all pairs of populations at high and low elevation respectively, as well as for pairs of ecotypes across localities. F_{ST} statistics were carried out in ANGSD using the folded joint site frequency spectra (jSFS) for all population pairs as summary statistics. Given that no suitable outgroup sequence was available, the ancestral state was unknown. As a consequence, we observed a deviation from the expected SFS for some populations (i.e. a high frequency of sites with fixed derived alleles) when polarizing toward the major allele throughout the alpine populations. Therefore, we produced site allele frequency likelihoods using ANGSD settings *-dosaf 1 -GL 2 -minQ 20 -P 8 -skipTriallelic 1 -doMajorMinor 1 -anc reference.genome.fasta*, limiting the analysis to the set of FFD sites using the *-sites* option. Finally, we used the *-fold* option to fold the spectra when using realSFS (for further analyses in ANGSD) or using a custom R script to fold the spectra into fastsimcoal2 format (for coalescent simulations in fastsimcoal2).

Testing alternative demographic scenarios. We evaluated which demographic scenario (1-origin vs 2-origins) explains our data using fastSimcoal2 v.2.6.0.3 (Excoffier et al.

2013). We tested four populations at a time, i.e. with two ecotype pairs in each simulation, using for each analysis the jSFS for all six combinations of populations as summary statistics. For all models we let the algorithm estimate the effective population size (N), the mutation rate (μ) and the time of each split (T_1 , T_2 and T_3 , Fig. 3). Although N , μ and the time of split between ecotypes in each pair have been previously estimated by Trucchi et al (2017), we started with broad search ranges for the parameters to not constrain a priori the model. The final priors of the simulations were set for a mutation rate between $1e-8$ and $1e-10$, the effective population size between 50 and 50,000 (alpine populations) and 50 and 5,000 (montane populations), and for the time of each split between 1,000 and 100,000 generations ago. We forced T_1 to predate T_2 and T_3 , and performed separate simulations setting $T_2 > T_3$ and $T_3 > T_2$, respectively. For the models including gene flow, migration rate (m) between any pair of demes was initially set to a range between $10e-10$ and two.

The generation time in *H. pusillum* was reported to be 1 year (Flatscher et al. 2012; Trucchi et al. 2017). While most populations in the montane zone flower during the first year after germination, this is not the case in the alpine environment, where plants usually start to flower in the second year after germination. Therefore, 1 year is most likely an underestimation of the intergeneration interval, which is more realistically around 3 years. While this parameter does not affect the overall results in terms of topology, it should be considered carefully in terms of divergence times between ecotypes that were previously hypothesized to be post-glacial (Flatscher et al. 2012; Trucchi et al. 2017).

FastSimcoal2 was run excluding monomorphic sites (-0 option). We performed 200,000 simulations and ran up to 50 optimizations (ECM) cycles to estimate the parameters. To find the global optimum of the best combination of parameter estimates, we performed 60 replicates of each simulation run. The MaxEstLhood is the maximum estimated likelihood across all replicate runs, while the MaxObsLhood is the maximum possible value for the

likelihood if there was a perfect fit of the expected to the observed site frequency spectrum. We report the difference between these two estimates (ΔL) for each model and ΔAIC scores (i.e., the difference between the AIC for the best possible model and the tested model) to compare models with different numbers of parameters. Finally, the parameter estimations of the best run were used to simulate the expected jSFS and test the goodness of fit of the topology plus parameter estimates to the observed data.

Differential gene expression analysis. Only unique read alignments were considered to produce a table of counts using FeatureCounts v.1.6.3 (Liao et al. 2014) with the option *-t gene* to count only reads mapping to genes. DE analyses were performed using the Bioconductor package EdgeR v.3.24.3 (Robinson et al. 2010). The count matrix was filtered, keeping only genes with mean counts per million (cpm) higher than 1. Data normalization to account for library depth and RNA composition was performed using the weighted trimmed mean of M-values (TMM) method. The estimateDisp() function of edgeR was used to estimate the trended dispersion coefficients across all expressed tags by supplying a design matrix with ecotype pair and ecotype information for each sample. We implemented a generalized linear model (glm) to find gene expression differences between low and high elevation ecotypes by taking into account the effects of the covariates ecotype and ecotype pair on gene expression. A likelihood ratio test (lrt) was used to test for DE genes between ecotypes in each pair. The level of significance was adjusted using Benjamini-Hochberg correction of p-values to account for multiple testing (threshold of FDR < 0.05). The statistical significance of the overlaps between lists of DEGs was tested using a hypergeometric test implemented in the Bioconductor package SuperExactTest (Wang et al. 2015).

Functional interpretation of DEG. We performed separate GO terms enrichment analysis for each ecotype pair and gave special attention to functions that were shared among lists of DEG. Fisher test statistics implemented in the Bioconductor package topGO v.2.34.0

(<https://bioconductor.org/packages/release/bioc/html/topGO.html>) were run with the algorithm “weight01” to test for over-representation of specific functions conditioned on neighbouring terms. Multiple testing correction of p-values (FDR correction) was applied and significance was assessed below a threshold of 0.05. DEG were also explicitly searched for protein coding genes and transcription factors underlying the formation of trichomes and visually checked using R.

Detection of multilocus gene expression variation. To detect gene expression changes underlying adaptive traits with a strongly polygenic basis we performed a cRDA of the gene expression data using the R Package vegan v.2.5-6 (Oksanen et al. 2019). The cRDA approach is well suited to identify groups of genes showing expression changes that covary with the “ecotype” variable while controlling for population structure (Bourret et al. 2014; Forester et al. 2018). As a table of response variables in the cRDA we used the matrix of read counts after filtering using mean cpm higher than 1 as in the DE analysis. First, the cRDA includes a multiple regression step of gene expression on the explanatory variable “ecotype”. In our case, the RDA was conditioned to remove the effects of the geographic ecotype pair using the formula “~ ecotype + Condition(pair)”. In the second step, a principal component analysis (PCA) of the fitted values from the multiple regression is performed to produce canonical axes, based on which an ordination in the space of the explanatory variable is performed. The first axis of the cRDA therefore shows the variance explained by the constrained variable “ecotype”, while the second axis is the first component of the PCA nested into the RDA, representing the main axis of unconstrained variance. The significance of the cRDA was tested with ANOVA and 1,000 permutations. Each gene was assigned a cRDA score that is a measure of the degree of association between the expression level of a gene and the variable “ecotype”. Outliers were defined as genes with scores above the significance

thresholds of ± 2 and, respectively, ± 2.6 standard deviations from the mean score of the constrained axis, corresponding to p-value thresholds of 0.05 and 0.01, respectively.

SNPs calling and detection of selection outliers. In order to perform selection scans, we performed variants calling following best standard practices implemented in GATK v.4.1.8.1 (Van der Auwera and O'Connor 2020). Briefly, after sorting mapped files and duplicates removal (see above), we used the splitN'trim function to split reads with Ns in the CIGAR string and trim overhangs. We called variants using HaplotypeCaller with the option -ERC GVCF and merged multiple samples in gvcf format using the GenomicsDBImport utility with the -L option to operate in parallel on multiple genomic intervals. Finally, we used GenotypeGVCFs to perform joint genotyping. The resulting vcf file was processed using the vcfallelicprimitives modality implemented in vcflib v.1.0.2 (<https://github.com/vcflib/vcflib>) with the options --keep-info --keep-geno to split indels into multiple SNPs. Filtering was performed using vcftools v.0.1.16 (Danecek et al. 2011) with the options --max-alleles 2 --min-alleles 2 --minDP 4 --minGQ 20 --minQ 30 --remove-indels --max-missing 0.5.

Selection outliers analyses were carried out on ecotype pairs 1 and 3, for which we had a minimum of 10 individuals in each population analyzed. To detect outlier SNPs potentially under divergent selection during ecotype adaptation to different elevations we followed the method implemented in the R package pcadapt based on Principal Component Analysis (Luu et al. 2017). After filtering the vcf file, we used vcftools to obtain two separate vcf files for pair 1 and 3 and retained loci with a minimum minor allele frequency 0.1. Plink (Purcell et al. 2007) was used to convert vcf files into the plink binary bed format. We used the pcadapt() function of pcadapt with the LD.clumping option to perform thinning using a window size of 200 SNPs and a $r^2 = 0.1$ (default parameters). Outlier SNPs for ecotype divergence were defined as loci showing a strong correlation with the first principal component, which in both pairs separates

the ecotypes (Fig. S8). P-values were corrected using the Bonferroni method and a threshold of adjusted $p < 0.05$ was chosen to assess significance.

Data accessibility. Scripts used to perform the analyses can be found under this gitHub repository <https://github.com/aglaszuk>.

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Figures

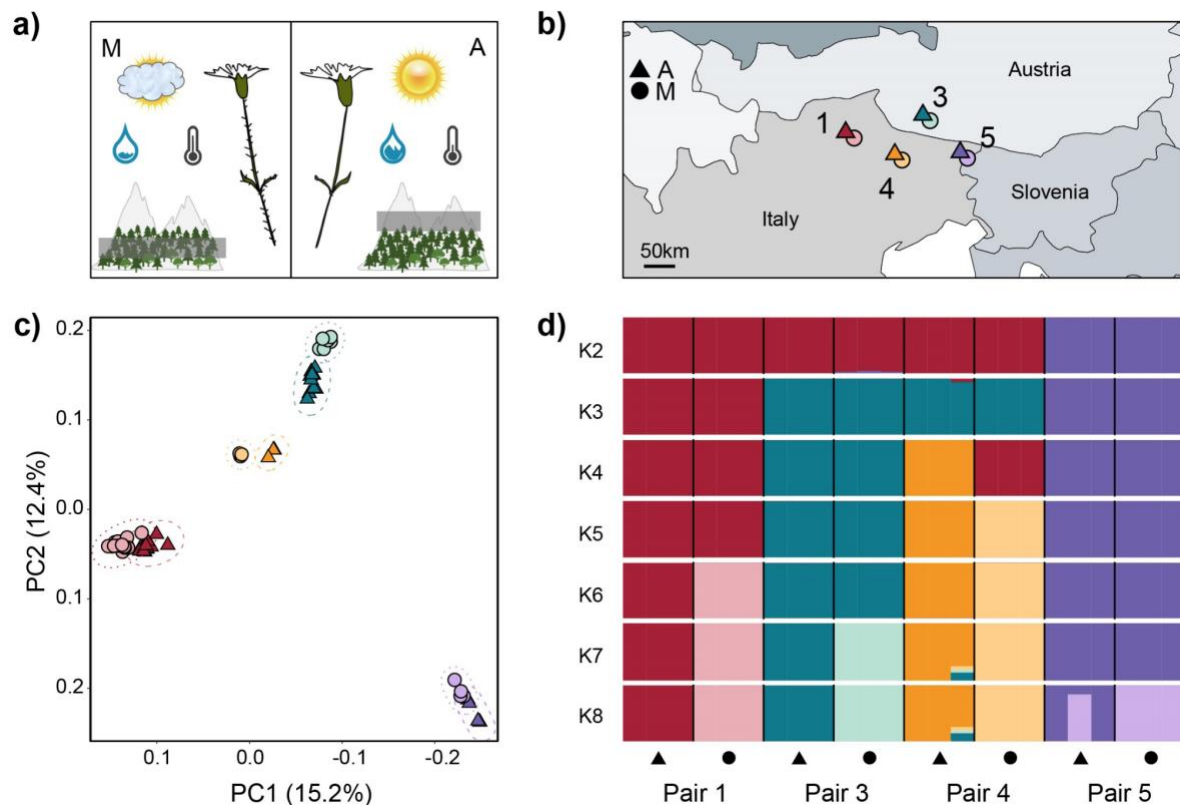


Figure 1. Study system, sampling setup, and genetic variation among four montane (M) - alpine (A) ecotype pairs of *Heliosperma pusillum*. Color coding of populations is consistent across panels. The numbering of the ecotype pairs is consistent with a previous publication on the same system (Bertel et al. 2018). **(a)** Graphic description of the main ecological and morphological differences between the ecotypes. **(b)** Geographic map showing the location of the analyzed populations in the southeastern Alps. **(c)** Clustering of individuals along the first two vectors of a principal component analysis. **(d)** Bar plot showing the assignment of individuals to the clusters identified by NgsAdmix for K = 2 through 8.

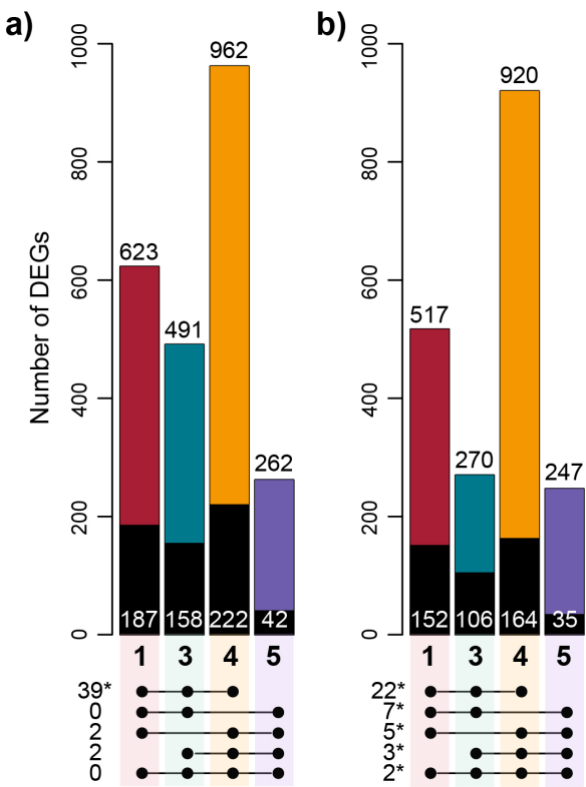


Figure 2. Differentially expressed genes (DEGs) at each ecotype pair show low overlap across different pairs. Colors represent the ecotype pair as in Fig. 1. Histograms show the number of DEGs (FDR < 0.05) underexpressed (a) and overexpressed (b) in the montane ecotype compared to the alpine in each pair. Colored and black areas of the bars show the amount of DEGs unique to each ecotype pair and, respectively, shared with at least one other pair. Numbers reported on top of the bars show the total amount of DEGs between ecotypes per pair and category. Numbers on the black areas show the amount of DEGs shared with at least one other pair. Linked dots below bars show the amount of shared DEGs between at least three pairs. Stars indicate that the overlap is significantly higher than chance expectations (hypergeometric test, $p < 0.01$).

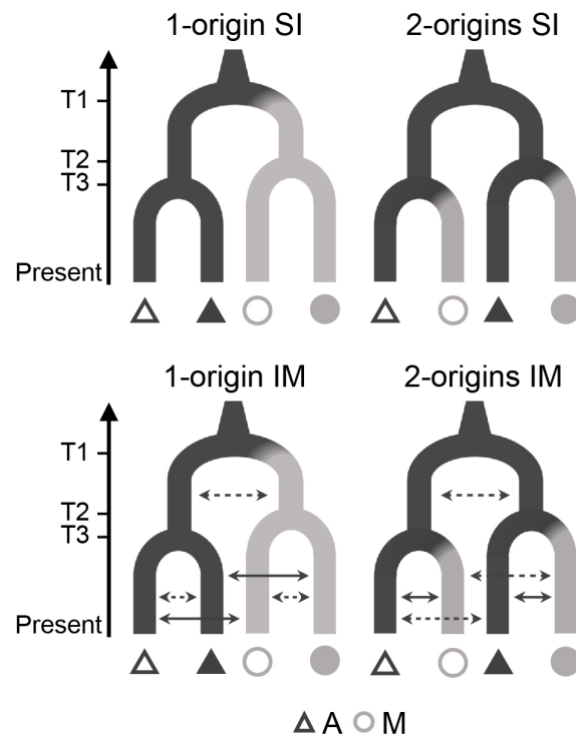


Figure 3. Alternative topologies tested using Fastsimcoal2 for all combinations of two ecotype pairs. Strict isolation (SI, upper panels) and isolation with migration (IM, lower panels) were modeled. Solid arrows in the IM models indicate higher migration rates expected between ecotypes at each locality according to population structure results. Divergence times T2 and T3 were allowed to vary (i.e., $T2 > T3$ but also $T3 > T2$ were modeled), whereas T1 was always the oldest event. Triangles and circles represent populations of the alpine (A) and the montane (M) ecotype, respectively. Filled and empty symbols represent different ecotype pairs.

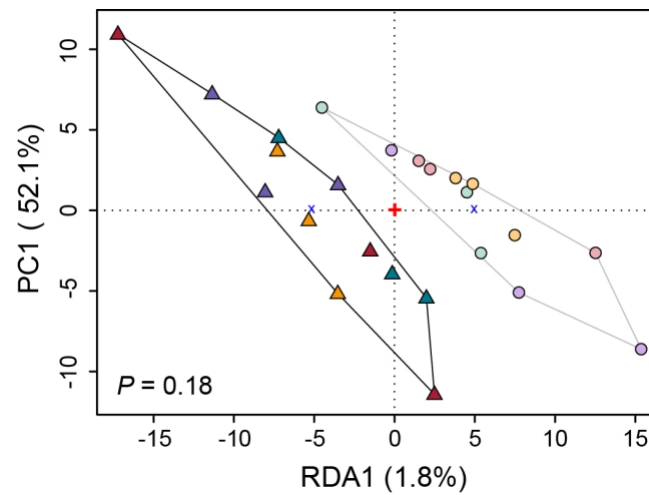


Figure 4. Expression divergence between accessions of the alpine and the montane ecotypes captured with conditioned redundancy analysis (cRDA). Colors represent the populations as in Fig. 1. Grey and black clusters correspond to montane and alpine ecotypes, respectively. The ANOVA test of the full model was not significant ($p = 0.18$), confirming that most expression differences between ecotypes in our dataset do not follow consistent routes across ecotype pairs.

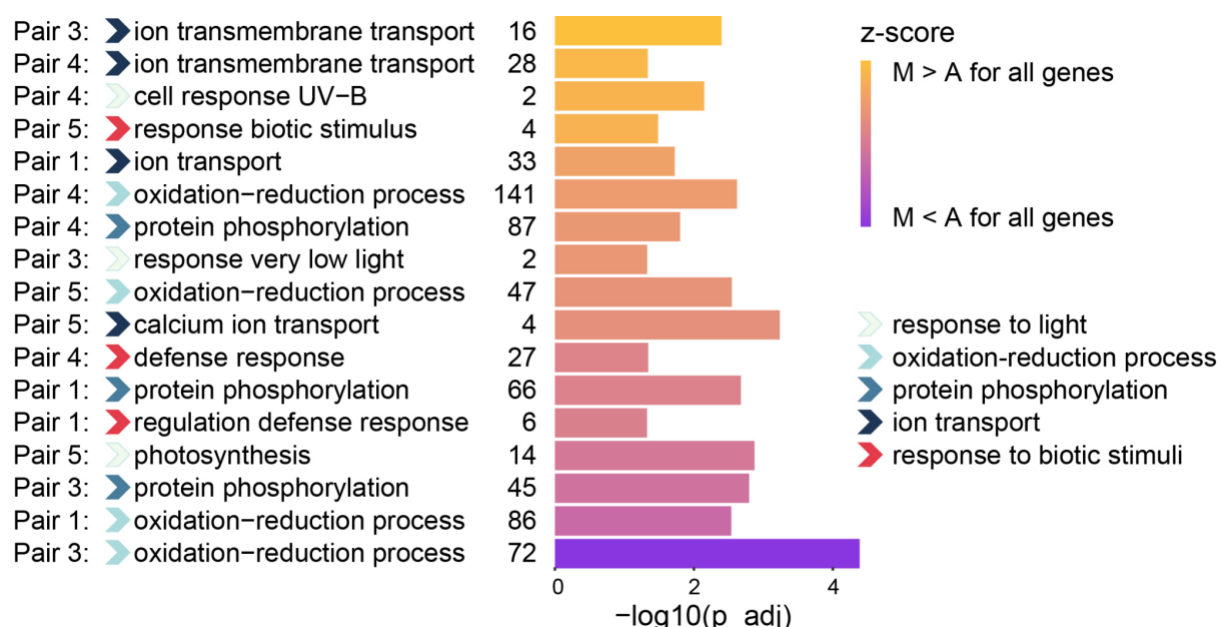


Figure 5. Functional enrichment of differentially expressed genes (DEGs) showing that across ecotype pairs similar biological processes are linked to adaptation to the different elevations. Height of the bars shows the significance of the enriched GO terms (Fisher's test). Numbers left of the bars show the number of DEGs underlying the corresponding GO term. Descriptions of GO terms were shortened for visual clarity. The ecotype pair in which a certain term is found to be enriched is specified on the left side of the plot. The z-score (color scale of the bars) was computed based on the log fold-change of gene expression, whereas positive and negative values show over- and underexpression in the montane ecotype respectively.

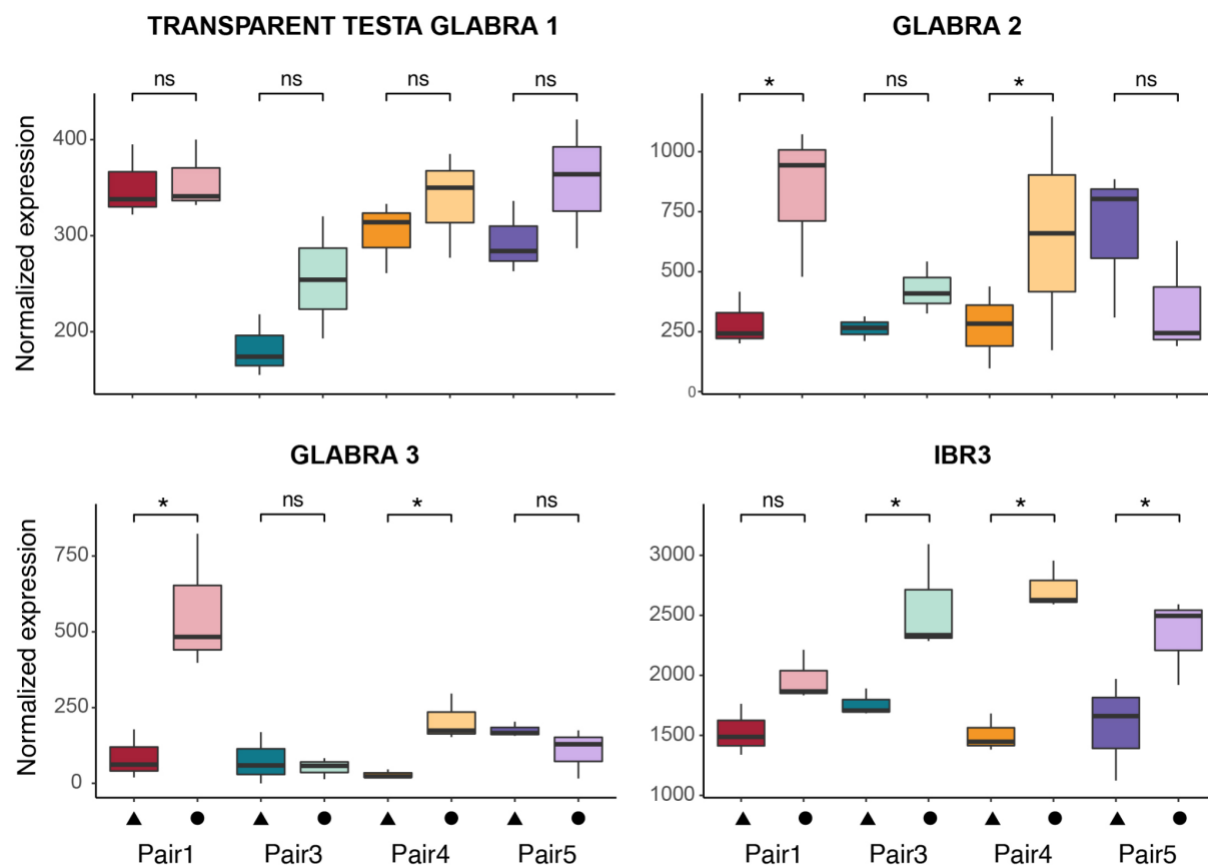


Figure 6. Examples of expression of genes known to be related to trichome formation and elongation in plants. Colors represent the populations as in Fig. 1. Stars indicate significant differential expression ($p < 0.05$) before false discovery rate (FDR) correction. Non-significant differences are marked with ns.