

Tracing the evolution of human gene regulation and its association with shifts in environment

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Abstract

As humans spread throughout the world, they adapted to variation in many environmental factors, including climate, diet, and pathogens. Because many of these adaptations were likely mediated by multiple non-coding variants with small effects on gene regulation, it has been difficult to link genomic signals of selection to specific genes, and to describe the regulatory response to selection. To overcome this challenge, we adapted PrediXcan, a machine learning method for imputing gene regulation from genotype data, to analyze low-coverage ancient human DNA (aDNA). First, we used simulated genomes to benchmark strategies for adapting gene regulatory prediction to increase robustness to incomplete aDNA data. Applying the resulting models to 490 ancient Eurasians, we found that genes with the strongest divergent regulation among ancient populations with hunter-gatherer, pastoralist, and agricultural lifestyles are enriched for metabolic and immune functions. Next, we explored the contribution of divergent gene regulation to two traits with strong evidence of recent adaptation: dietary metabolism and skin pigmentation. We found enrichment for divergent regulation among genes previously proposed to be involved in diet-related local adaptation, and in many cases, the predicted effects on regulation provide explanations for previously observed signals of selection, e.g., at *FADS1*, *GPX1*, and *LEPR*. For skin pigmentation, we applied new models trained in melanocytes to a time series of 2999 ancient Europeans spanning ~38,000 years BP. In contrast to diet, skin pigmentation genes show little regulatory change over time, suggesting that adaptation mainly involved large-effect coding variants. This work demonstrates how aDNA can be combined with present-day genomes to shed light on the biological differences among ancient populations, the role of gene regulation in adaptation, and the relationship between ancient genetic diversity and the present-day distribution of complex traits.

Introduction

In the last decade, the number of ancient DNA (aDNA) samples from anatomically modern humans (AMHs) has increased dramatically (Marciniak and Perry, 2017). These samples span the globe, and cover time periods from several hundred to tens of thousands of years ago. This is a rich data source for understanding genetic changes and adaptations that occurred as humans expanded across the globe. However, linking genetic differences in aDNA samples to phenotypes poses several challenges (Irving-Pease *et al.*, 2021). First, while the samples are often paired with archaeological information, this is limited to what biological material has survived for thousands of years. Thus, most phenotypes of

40 interest are not directly measurable. Second, due the complexity of many phenotypes and gaps in our
41 knowledge of the genetic architecture of most traits, drawing conclusions about most phenotypes of
42 interest based on genetic information alone is challenging (Benton *et al.*, 2021; Li *et al.*, 2020).

43 To date, most studies have focused on comparing aDNA from different geographical regions to map
44 migrations and their relationship to archaeological changes (Skoglund and Mathieson, 2018). Shifts
45 from a hunter-gatherer lifestyle to pastoral herding and agricultural farming have been of particular
46 interest, because these changes had profound implications for multiple aspects of life. These include
47 changes in day-to-day activities, population density, interactions with the environment, and substantial
48 dietary shifts, such as increased reliance on domesticated grains (Goude and Fontugne, 2016; Olsson and
49 Paik, 2016). These shifts likely modified selective pressures on populations as their lifestyles, diets, and
50 pathogen exposures changed.

51 Genomic scans in present-day populations have identified many loci with evidence of positive selection
52 (Field *et al.*, 2016; Grossman *et al.*, 2013; Rees *et al.*, 2020; Voight *et al.*, 2006). In some cases, selection
53 can be linked to changes in the coding sequence of specific genes (Grossman *et al.*, 2013; Lamason *et al.*,
54 2005). In others, it can be linked to changes in gene regulation. For example, selection at the *FADS1* locus
55 is linked to increased expression (Buckley *et al.*, 2017; Mathieson and Mathieson, 2018; Ye *et al.*, 2017).
56 However in most cases, the molecular basis of signals of selection remains poorly understood, even when
57 a specific gene can be implicated. For example, the leptin receptor (*LEPR*) is surrounded by a haplotype
58 that has experienced recent positive selection (Voight *et al.*, 2006), and protein-coding changes in *LEPR*
59 have been implicated in increased cold tolerance (Hancock *et al.*, 2008). However, altered expression
60 of this gene is also associated with altered appetite regulation and metabolism (Kentish *et al.*, 2013;
61 Loos *et al.*, 2006). Due to the difficulty in measuring environmental variables and disentangling LD
62 patterns, it remains unclear whether selection is acting on coding variants, expression changes, or both,
63 and which environmental variable is the source of the selective pressure (Luca *et al.*, 2010). Even these
64 examples are exceptional; most selection signals cannot even be confidently attributed to specific genes.
65 Selection peaks often span many genes, with little indication of which might drive changes in fitness or
66 the underlying molecular mechanisms. This motivated us to ask whether information about variants
67 associated with gene expression, such as expression quantitative trait loci (eQTL), could help to identify
68 genes under selection—analogueous to the way in which eQTL data can inform variant-gene-phenotype
69 mapping in genome-wide and transcriptome-wide association studies.

70 We therefore developed an approach to identify genes whose regulation shifted in coordination with
71 lifestyle changes in recent human history. These differences in regulation between ancient human groups
72 in distinct environments suggest adaptation. To quantify gene regulation from aDNA samples, we
73 adapted the PrediXcan-based approach we previously used to study gene regulation in archaic hominins
74 (Colbran *et al.*, 2019; Gamazon *et al.*, 2015). Since available human aDNA have variable quality and
75 coverage, we conducted simulations and control analyses to evaluate how models for imputing gene
76 regulation perform when applied to low-coverage data, and how to ameliorate the effects of missing
77 variants. These yielded heuristics for determining when regulation could be accurately modeled.

78 Guided by these simulations, we applied PrediXcan models for thousands of genes to hundreds of
79 ancient humans representing populations from hunter-gatherer, pastoral, and agricultural lifestyles. We
80 found enrichment for metabolic and immune pathways among the genes most divergently regulated
81 between lifestyle groups. This reflects both the altered metabolic requirements and immune pressures
82 of lifestyle shifts and highlights specific genes and pathways involved. For example, divergent regulation
83 of *LEPR* suggests that its functions in metabolism and appetite regulation were relevant for recent
84 adaptation. We also analyzed the predicted regulation of 20 diet-related genes in genomic regions with
85 evidence of recent local adaptation. Supporting the accuracy of our approach, we rediscover the *FADS*
86 locus regulatory haplotype that has been previously shown to vary by lifestyle and is likely the target of

87 selection. We also identified divergent regulation between aDNA samples for selected genes involved in
88 response to selenium (*GPX1*) and carnitine (*SLC22A5*) levels.

89 Modeling gene regulation using aDNA also allows us to characterise the nature of selection on specific
90 phenotypes. To illustrate this, we investigated changes in predicted regulation of genes involved in skin
91 pigmentation—the phenotype that is most clearly under directional selection in these populations—
92 using PrediXcan models trained on expression data from melanocytes. We find that skin pigmentation
93 genes show no consistent change in regulation over time suggesting that, for this particular phenotype,
94 evolutionary change was driven by coding variants rather than regulatory changes. Overall, this work
95 provides an atlas of imputed regulation for hundreds of ancient humans across thousands of genes to
96 facilitate future exploration of gene regulatory shifts in recent human evolution, and demonstrates the
97 utility of combining molecular predictive models with ancient DNA to understand the evolution of
98 complex traits.

99 Results

100 Gene regulatory patterns can be imputed using low-coverage aDNA data

101 The genetically regulated component of gene expression can be predicted by machine learning models
102 trained on gene expression. Previous approaches have applied these models to genome-wide common
103 variant data from present-day humans (Fig. 1A), for example to perform transcriptome-wide association
104 studies (Gamazon *et al.*, 2015; Zhou *et al.*, 2020; Zhu and Zhou, 2020), and to high-coverage archaic
105 hominin genomes (Colbran *et al.*, 2019). Here, we adapt this approach to enable application to low-
106 coverage genotype data from ancient human individuals, considering the unique attributes of these data.
107 In particular, aDNA data vary in coverage, depth, and quality. This creates a trade-off between number
108 of individuals available for analysis and the genotype quality.

109 To explore this trade-off and the feasibility of this approach on available aDNA data, we created
110 simulated ancient genomes by removing variants from present-day individuals with whole-genome se-
111 quencing from the 1000 Genomes Project (1kG) (The 1000 Genomes Project Consortium, 2015). (See
112 the Supplementary Materials for detailed discussion.) First, we found that PrediXcan models trained
113 using common variants identified from present-day whole genome sequencing data are robust to ran-
114 dom patterns of missing data (Spearman $\rho > 0.75$ with up to 45% of variants missing; Supplementary
115 Fig. 2A). However, nearly all aDNA samples used here were genotyped by targeted capture of $\sim 1240k$
116 variants (“1240k set”; Supplementary Fig. 1B) (Fu *et al.*, 2015; Haak *et al.*, 2015). Furthermore, many
117 of the ancient samples have low genotyping coverage resulting in many missing variants (Supplementary
118 Fig. 2B). Thus, we next matched the missing data to patterns observed in aDNA and compared the per-
119 formance of different prediction models applied to full genomes vs. genomes with simulated missing data
120 (Supplementary Fig. 1A). These models’ consistency decreased substantially when applied to genomes
121 with missing data matched to that in ancient DNA samples (median Spearman $\rho = 0.39$; Fig. 1B).

122 To address this, we trained prediction models using only variants from the 1240k set. The predictions
123 of these models were correlated with those of the full models (median Spearman $\rho = 0.67$), as expected
124 given the LD between variants in the 1240k set and those in the full models. We also identified a set of
125 variants that were most frequently available in the highest quality ancient samples; this resulted in a set of
126 the 600,000 most-informative variants from the 1240k set (“top600k set”). We then trained models using
127 these variants targeted to the aDNA data (top600k) and evaluated their performance on full genomes and
128 simulated ancient genomes (Methods). While predictions made by the 1240k and top600k models were
129 largely consistent with those made by the Full models when applied to genomes with no missing data
130 (median rho 0.82 and 0.79 respectively), only the 1240k models maintained consistency when applied to

131 incomplete genomes (Fig. 1C). We therefore concluded that the 1240k trained models strike a balance
132 between accuracy and sample size when applied to ancient data, and thus we used these models for the
133 rest of our analysis.

134 **Imputing gene regulatory differences between ancient human populations**

135 We collected ancient human samples with genetic data from a variety of sequencing and genotyping
136 platforms (Methods). Based on the analyses in the previous section, we ranked individuals by the
137 number of sites successfully genotyped, and took the top quartile of individuals (>771240 SNPs, or
138 $0.74\times$ coverage), restricting to individuals from Eurasia due to sample density and genetic similarity to
139 the training data (Fig. 2A). The samples ranged in date from 90 years before present (yBP) to 45,000
140 yBP, with the majority between 2,500 and 6,000 yBP (Fig. 2B).

141 We then assigned individuals to a lifestyle (hunter-gatherer, pastoralist, or agricultural) by literature
142 review of the associated archaeological culture based on information from the original aDNA publications.
143 In general, hunter-gatherers were from sites: 1) dated to times before any evidence of domestication
144 or 2) with evidence only for foraging and meat consumption and no domesticated plants or animals.
145 Agriculturalists were from sites with evidence for domesticated grains and animals. Pastoralists can
146 be difficult to distinguish from agriculturists, and here refers to individuals from often semi-nomadic
147 societies focused on domesticated animals (primarily the Yamnaya and similar groups). In addition, in
148 some cases, the lifestyle distinction was based on genetic similarity to other groups, so the categories used
149 here are based on a combination of genetics and archaeology. Because of these difficulties, we focused
150 primarily on comparisons between hunter-gatherers and the other groups. This process resulted in 490
151 ancient Eurasian individuals with an assigned lifestyle and aDNA for further study (Fig. 2C).

152 We then applied the 210,800 “1240k” gene regulation prediction models described in the previous
153 section to the 490 ancient samples, as well as to 503 present-day Europeans from the 1000 Genomes
154 Project (The 1000 Genomes Project Consortium, 2015). This resulted in normalized expression pre-
155 dictions in different tissues (“predicted regulation”) for 14,873 unique genes. The observed expression
156 level of a gene in a tissue in an individual is a combination of genetically regulated and environmental
157 factors. The output of our prediction model is not a direct proxy for the observed expression, but rather
158 a quantification of the genetic component of gene regulation. Thus, differences in predicted regulation
159 between individuals reflect potential differences in the inherited genetic component of expression, not
160 environmentally driven differences.

161 **Divergently regulated genes are enriched for immune and metabolic functions**

162 To survey high-level differences among ancient individuals from the three lifestyle groups, we identified
163 divergently regulated genes as those for which the P -value of a Kruskal-Wallis test passed a Bonfer-
164 roni multiple testing correction (per-tissue). For example, *GPR84* was among the most differences in
165 predicted regulation between populations (Fig. 3A; Adrenal Gland predicted regulation of -0.0421 in
166 agriculturalists vs. 0.197 in hunter-gatherers; K-W P -value). Overall, 5759 unique genes showed evi-
167 dence of divergent regulation between lifestyles in at least one tissue (median 2 tissues; Supplementary
168 Fig. 6A), and an average of 9.8% of genes in each tissue were divergent (Supplementary Fig. 6B). How-
169 ever, most divergent genes had relatively small changes in magnitude between groups (e.g. maximum
170 1.17 magnitude difference between hunter-gatherers and agriculturalists in Subcutaneous Adipose) and
171 the majority of these differences are likely attributable to genetic drift, rather than the effects of selection.
172 We therefore imposed a genomic control (Methods) on the full distribution of 210,800 (genes \times tissues)
173 Kruskal-Wallis P -values (Fig. 3A). We focused on the 500 genes with the most evidence of divergent
174 regulation (corrected $P < 3.46 \times 10^{-3}$, FDR = 0.586), which may be enriched for targets of selection.

175 We hypothesized that immune and metabolic traits were among those under the most selective pres-
176 sure as populations transitioned between lifestyles. To identify systematic patterns in the 500 most di-
177 vergently regulated genes, we conducted Gene Ontology (GO) over-representation analysis. The twenty
178 most-enriched annotation terms (Fig. 3B) included immune-related (e.g. “antigen processing and pre-
179 sentation”) as well as basic metabolic processes and cellular functions (e.g. “glycoprotein metabolic
180 process”). In addition, the enrichments for some of the more general terms may be driven by genes
181 with pleiotropic immune system effects. For example, the eight genes driving the enrichment of the
182 “DNA-templated transcription, elongation” term included *THOC5*, which also functions in immunity
183 and response to stimuli through cytokine-mediated pathways (Mancini *et al.*, 2004; Tamura *et al.*, 1999),
184 *ELP1*, which has functions in proinflammatory signalling (Cohen *et al.*, 1998), and *AFF4*, a component
185 of the super elongation complex, which is recruited in response to HIV-1 infection (Chou *et al.*, 2013; He
186 *et al.*, 2010).

187 Many gene sets are likely to maintain similar regulatory patterns across populations, regardless of
188 lifestyle, and these should not be enriched among the top divergently regulated genes. To test this, we
189 quantified the enrichment of three such sets under strong functional constraint among the 500 most di-
190 verged genes between lifestyle groups across tissues: 1) genes that have experienced stabilizing selection
191 on their levels of expression across many species (Chen *et al.*, 2018), 2) genes responsible for core house-
192 keeping functions (Eisenberg and Levanon, 2013), and 3) genes that are intolerant to loss-of-function
193 coding variation (“LOF-intolerant”) in present-day humans (Lek *et al.*, 2016) (Methods). As expected,
194 LOF-intolerant genes and those under long-term stabilizing selection are not enriched (Table 1). Surpris-
195 ingly, housekeeping genes were slightly enriched (OR = 1.33, $P = 0.0076$). By definition, housekeeping
196 genes have uniform and ubiquitous expression across tissues, so this pattern could partially be explained
197 by increased power to model changes in their regulation in multiple tissues. However, many housekeeping
198 genes are also involved in basic cellular metabolism (Eisenberg and Levanon, 2003), which could require
199 fine tuning in response to changes in nutrient sources or other environmental shifts. We also tested for
200 enrichment of genes that encode proteins that directly interact with viruses, since these genes are known
201 to evolve rapidly (Enard *et al.*, 2016), but we find no enrichment among the top 500 genes, suggesting
202 that selection at these loci could be driven by coding rather than regulatory changes.

203 Several of the top divergently regulated genes underlying the GO functional enrichments have been
204 implicated in local adaptation, for example *EP300* (Zheng *et al.*, 2017) and several subunits of HLA-DQ
205 (Catassi and Catassi, 2018; De Silvestri *et al.*, 2018; Pierini and Lenz, 2018). In the next two sections,
206 we explore the connection between sequence signatures of recent adaptive evolution and divergent gene
207 regulation with a focus on diet and skin pigmentation.

208 **Changes in gene regulation contributed to adaptation to diet between ancient** 209 **lifestyles**

210 Many regions of the human genome bear signatures of recent population-specific adaptive evolution.
211 However, the phenotypic drivers and molecular mechanisms underlying these evolutionary signatures are
212 largely unresolved. Since diet was one of the main factors that shifted with the change from hunting and
213 gathering to farming, we hypothesized that gene regulatory changes between lifestyle groups might be
214 the target of signals of selection at dietary genes.

215 We compared the predicted regulation of 20 diet-related genes in regions with evidence of population-
216 specific local adaptation (Rees *et al.*, 2020) between ancient human groups with different lifestyles (Meth-
217 ods). Models for the 20 genes tested were enriched for lower P -values ($P = 1.19 \times 10^{-14}$, K-S test), with
218 4 unique genes among the top 500 most diverged genes by group (Supplementary Table 4).

219 *FADS1* showed the most consistent evidence for divergent regulation between agriculturalists, pas-

220 toralists, and hunter-gatherers, with nominally significant differences in 21 tissues (Supplementary Table
221 2). In each tissue, hunter-gatherers had significantly lower *FADS1* levels than in agriculturalists or
222 present-day Europeans, as would be expected from a diet containing higher levels of long-chain plasma
223 unsaturated fatty acids (Fig. 4B). We observed a similar trend among 32 ancient Africans, indicating that
224 this is not necessarily specific to Eurasian populations (Supplementary Fig. 7A). The variants driving
225 these regulatory differences are in linkage disequilibrium (LD) with the functional haplotype implicated
226 in previous evolutionary studies (Supplementary Fig. 7A; Supplementary Table 3) (Ameur *et al.*, 2012;
227 Buckley *et al.*, 2017; Mathieson and Mathieson, 2018; Ye *et al.*, 2017). Overall, *FADS1* predicted regu-
228 lation is also negatively correlated with the date of the sample (Spearman $\rho = -0.32$, $P = 1.95 \times 10^{-20}$,
229 which agrees with known allele frequency trajectories (Buckley *et al.*, 2017; Mathieson and Mathieson,
230 2018; Ye *et al.*, 2017).

231 Another gene in the *FADS* gene cluster, *FADS2*, functions in the same pathway as *FADS1* and is
232 also among the 500 most diverged genes. However it shows evidence for divergent regulation in fewer
233 tissues than *FADS1* (Supplementary Table 4), and the direction of effect is not consistent across tissues.
234 Its presence therefore seems more likely to be due to overlap in regulatory variants with *FADS1* than
235 to selection on *FADS2* regulation specifically. Our results further support the relevance of lifestyle
236 differences between ancient populations in selection on the *FADS* locus and highlights the potential
237 importance of regulatory changes of *FADS1* in dietary adaptation in Eurasians.

238 Among the putative diet adaptation genes, *GPX1*, an antioxidant selenoprotein, and *SLC22A5*, a
239 transporter responsible for recycling and uptake of carnitine (Console *et al.*, 2018). (Supplementary Table
240 4; Supplementary Fig. 8) were also divergently regulated. The *GPX1* locus has experienced selective
241 sweeps related to environmental selenium levels (Engelken *et al.*, 2016; White *et al.*, 2015), and has been
242 implicated in response to viral infections (Guillin *et al.*, 2019). Carnitine plays an important role in the
243 transport of certain long-chain fatty acids to the mitochondria for energy production; thus, modulation
244 of its regulation could suggest a difference in metabolism related to variation in the energy demands of
245 different lifestyles. Both selenium and carnitine levels differ in the likely primary diets of the ancient
246 populations considered here (Flanagan *et al.*, 2010; Mann, 2018), suggesting that both as potential
247 targets of local adaptation.

248 Though it was not on the list of putative diet-related adaptation genes, *LEPR* has been suggested as
249 the driver of nearby signatures of selection due to its function in appetite and cold tolerance (Hancock
250 *et al.*, 2008; Luca *et al.*, 2010; Voight *et al.*, 2006). *LEPR* was divergently regulated between lifestyle
251 groups in the cerebellum (Fig. 4B) (the only brain tissue with a model for *LEPR*), both adipose tissues,
252 and several other tissues. It was consistently predicted to be downregulated in agriculturalists compared
253 to the other two groups in each tissue (Supplementary Table 5). Leptin is a hormone produced by adipose
254 cells that suppresses appetite (Barrios-Correa *et al.*, 2018), so this supports a possible connection between
255 appetite regulation and the observed signatures of selection. This is particularly relevant to modern
256 populations given the association of decreased *LEPR* function with obesity and metabolic disorders
257 (Dehghani *et al.*, 2018; Farooqi *et al.*, 2007).

258 Overall, these analyses suggest that recent regulatory changes made a substantial contribution to
259 adaptation to diet. More broadly, they demonstrate the potential for this method to explain observed
260 signals of selection and to disentangle its effects on nearby genes.

261 **Skin pigmentation evolution was not driven by changes in gene regulation**

262 We hypothesized that genes involved in complex phenotypes under selection in a population would
263 exhibit systematic changes over time in their regulation. To test this, we focused on skin pigmentation,
264 a trait that is known to have been under selection in humans in West Eurasia (Berg and Coop, 2014; Ju

265 and Mathieson, 2020; Wilde *et al.*, 2014) and for which many of the genes involved are well-understood
266 (Sturm and Duffy, 2012). We trained new PrediXcan models using genetic variants and gene expression
267 in melanocytes from a diverse population (Zhang *et al.*, 2017). We were able to model 17 genes known
268 to be involved in the melanogenesis pathway (Sturm and Duffy, 2012). Because skin pigmentation-
269 associated variants changed in frequency over time, we applied these models to a time series of 2999
270 ancient Europeans dated between 38,052 yBP and 150 yBP, as well as 503 present-day Europeans from
271 the 1000 Genomes Project and tested for systematic changes over time in predicted regulation.

272 Skin pigmentation genes are not enriched for differential regulation compared to all 6923 genes mod-
273 eled in melanocytes (K-S Test $P = 0.53$; Fig. 5A). Predicted regulation showed a nominally significant
274 linear relationship with time for only four skin pigmentation genes (Table 1), and only one (*TYR*)
275 remained significant after genomic control.

276 We predict that *TYR*'s expression increased over time (Fig. 5B) and is higher in non-African (particu-
277 larly European) populations compared to African populations (Fig. 5C), and in (more recent) agricultur-
278 alist populations compared to hunter-gatherers (Fig. 5D). *TYR* encodes an enzyme important for one of
279 the earliest steps of the melanogenesis pathway and loss-of-function mutations cause albinism (Ghodsine-
280 jad Kalahroudi *et al.*, 2014; Norman *et al.*, 2017). It is therefore surprising that increased expression
281 would be driven by selection for decreased pigmentation. One possibility is that increased expression
282 due to gene regulatory variants compensates for the increase in frequency in Europeans of an activity-
283 reducing coding variant (rs1042602) in *TYR* (Wilde *et al.*, 2014). Selection on pigmentation could favor
284 the coding variant, while the maintenance of other functions of the gene could require increased expres-
285 sion. Supporting this, rs1042602 has a positive weight in the fitted PrediXcan model showing that it in
286 fact is associated with increased expression.

287 Finally, we were unable to build accurate PrediXcan models for many known pigmentation genes,
288 including those with known selected coding changes (Lamason *et al.*, 2005; Soejima and Koda, 2007),
289 mostly because there was not enough regulatory variation nearby the genes. Overall, our results suggest
290 that, in contrast to diet, changes in gene regulation did not play a large role in the evolution of skin
291 pigmentation in Europe. This is consistent with observations that selection signals for pigmentation-
292 associated variants in Europe are mostly driven by a relatively small number large-effect, coding variants
293 despite the polygenic nature of the phenotype Ju and Mathieson (2020).

Gene	β (All) (95%CI)	P (all)	β (<15ky) (95%CI)	P (<15ky)
<i>TYR</i>	-2.05e-6 (-3.1e-6 - 9.67e-7)	0.00021	-4.7e-6 (-6.43e-6 - -2.97e-6)	1.08e-7
<i>TRPM1</i>	-9.93e-7 (-1.97e-6 - -2.05e-8)	0.045	-2.039e-6 (-3.59e-6 - -4.83e-7)	0.010
<i>MITF</i>	1.65e-6 (1.08e-7 - 3.2e-6)	0.036	2.80e-6 (3.34e-7 - 5.27e-6)	0.026
<i>KIT</i>	-3.62e-7 (-7.56e-7 - 3.16e-8)	0.071	-7.08e-7 (-1.33e-6 - -8.10e-8)	0.027

Table 1: **Skin pigmentation genes with nominally significant associations between ancient sample age and regulation.** Betas and P-values were calculated using a linear regression of the predicted regulation on the date, including the first 10 ancestry principal components.

294 Discussion

295 In this study, we adapted the PrediXcan approach for modeling the genetic component of tissue-specific
296 gene regulation and applied it to hundreds of low-coverage ancient DNA samples from individuals from
297 three different lifestyles and to a ~38,000-year transect of ancient Europeans. Our simulations and
298 evaluations suggest that models of gene regulation for thousands of genes retain utility even when variant

299 data are limited, as long as the models are trained for the specific application and their limitations
300 properly taken into account. This is encouraging for the expansion of the PrediXcan approach to other
301 contexts in which different variants were assayed than those used to train the original PrediXcan models.
302 As more accurate methods are developed, it will be important to keep this aspect of their performance
303 in mind.

304 Here, we found that over 5,000 genes showed evidence for divergent regulation among ancient hunter-
305 gatherers, pastoralists, and agriculturalists in at least one tissue. The 500 genes most divergently regu-
306 lated between lifestyles were enriched for metabolic and immune processes, indicating that altered gene
307 regulation has shaped these functions during recent human evolution. Focusing on genes involved in diet,
308 we find enrichment for divergent regulation in genes with nearby signals of recent selection, suggesting
309 that changes in gene regulation may play a substantial role in adaptation to changes in diet.

310 Second, we trained new prediction models in melanocytes to analyze changes in the regulation of skin
311 pigmentation genes in a time transect of ancient and present-day Europeans spanning 38,000 years. In
312 contrast to genes associated with diet, we found that most genes we modeled show little to no systematic
313 change in regulation over time, suggesting that selection on skin pigmentation mostly operated on a
314 few large-effect coding variants. The exception, *TYR*, is predicted to have been up-regulated over time,
315 which is contrary (with respect to the trait) to the effects of a known coding variant in the gene and the
316 predicted effects of gene expression on the trait itself (Chaki *et al.*, 2011; Wilde *et al.*, 2014). However,
317 the increased expression in Europeans may be a response to the increase in frequency of a coding variant
318 (rs1042602) that decreases activity. These results underscore the wide variety of adaptive mechanisms in
319 recent human evolution, and the ability of ancient DNA to illuminate these mechanisms. The other skin
320 pigmentation genes that show nominal changes in predicted regulation over time, *MITF* and *TRPM1*,
321 are closely linked to *TYR* in the melanogenesis pathway, with *MITF* regulating both *TYR* and *TRPM1*
322 (D’Mello *et al.*, 2016). Further analysis of the predicted perturbations of those relationships is needed
323 to better understand the phenotypic consequences of these changes.

324 There are a several caveats to consider when interpreting these PrediXcan results. Previous work
325 has demonstrated that, while there are some decreases in accuracy, the approach maintains utility when
326 applied to non-European present-day populations and to archaic hominins (Colbran *et al.*, 2019; Petty
327 *et al.*, 2019). Furthermore, the ancient Eurasian individuals considered here are less diverged from the
328 GTEx cohort used for training than in these previous applications. However, due to the low coverage of
329 the aDNA data and the focus on commonly assayed variants, there are many regulatory effects that these
330 models do not capture. In addition, the models do not capture the effects of environment (both direct
331 and indirect) on gene expression. Therefore, while differences in predicted regulation do not necessarily
332 indicate a change in transcript expression levels, they do identify change in the genetic architecture
333 of a gene’s regulation. Our approach is therefore complementary to experimental assays of the regulatory
334 effects of ancient genomic variants in present-day human cells (Weiss *et al.*, 2021), and such approaches
335 could be used to test our computational predictions. Another major limitation is that we are only able
336 to draw conclusions about genes with sufficient expression and nearby present-day common variation.
337 Finally, we have not developed a formal test for selection on gene regulation. While we have in some
338 cases been able to link regulatory variation to signals of selection based on genomic data, most of the
339 differences we observe were likely the result of genetic drift. Developing tests for selection on gene
340 regulation that consider aDNA remains an important area for future work.

341 Despite these limitations, we demonstrate the utility of considering regulatory effects of variants in
342 combination in ancient individuals. In particular, we show that changes in gene regulation were essential
343 to many, but not all, recent human adaptations. The frequent occurrence of metabolic and immune genes
344 among the most divergently regulated genes between ancient lifestyles underscores the contribution of
345 gene regulation to adaptation to the substantial changes in lifestyle that the shift from nomadic hunting

346 and gathering to stationary farming had on humans. Our targeted analysis of diet genes with evidence
347 of results adaptive evolution further suggests that adapting to diets with different nutrient and fat
348 compositions required population-level shifts in the regulation of many metabolic genes. In contrast, the
349 lack of consistent gene regulatory changes in skin pigmentation genes suggests that adaptation in this
350 trait was mainly mediated by coding variants.

351 Lifestyle and sun exposure are not the only variables that differ among the ancient humans with
352 genetic information, and more diverse aDNA data are rapidly becoming available. Therefore, extending
353 this analysis to ancient individuals across other evolutionary shifts will be promising. It will also be infor-
354 mative to expand studies into non-European populations, both ancient and present-day, to learn when
355 gene regulatory shifts are unique to specific populations or shared.

356 Overall, this study demonstrates the power of focusing evolutionary analyses on combinations of
357 variants with established relationships to molecular phenotypes. Our approach is well-positioned to
358 use the increasing availability of present-day and ancient genome data to provide both mechanistic
359 explanations of selection signals and to generate hypothesis about phenotypic differences between ancient
360 and present-day groups. While this study focused on gene regulatory shifts in response to changes in
361 lifestyle and temporal shifts in regulation of skin pigmentation genes, similar methods could be applied
362 in many other questions and sets of ancient samples. Given the importance of gene regulation in recent
363 evolution, this is a necessary step in identifying and interpreting candidate regions that have been shaped
364 by recent human evolution. Further analyses using this approach will contribute to understanding the
365 genome's response to large-scale environmental changes and the influence of these changes on humans
366 today.

367 **Methods**

368 **Ancient genotype and lifestyle data**

369 For the lifestyle analyses, we obtained ancient human genotypes from a set compiled and analyzed by
370 the Allen Ancient DNA Resource (v42.4; accessed March 1, 2020), then lifted them over the Genome
371 Build hg38 using liftOverPlink. We filtered out samples that did not pass their QC procedure and ranked
372 remaining samples by genotype count (i.e., the number of variants with a genotype call). We also filtered
373 samples by their continent of origin, and primarily focused on 490 ancient Eurasians. (A FADS1 analysis
374 additionally considered 32 ancient Africans.) For a present-day comparison, we used genome for 503
375 European samples from the 1000 Genomes Project (The 1000 Genomes Project Consortium, 2015).

376 We manually assigned ancient samples to lifestyle groups by literature review based on archaeological
377 information about the site and previous research about the associated culture. More specifically, we
378 used lifestyles as assigned by the original publication of the sample where available. We then propagated
379 those lifestyle labels to other samples based on the associated culture (again, as assigned by the original
380 publication), then conducted a further literature review to match any unassigned cultures to a lifestyle
381 based on similarity to those already matched. Samples were removed from consideration when there was
382 not enough lifestyle-related evidence to make a call. The distinction between pastoral and agricultural
383 groups was often difficult, and when there was ambiguity the groups were preferentially assigned to the
384 agricultural category (Supplemental File S1).

385 Adapting PrediXcan for aDNA

386 Final models for aDNA-based gene regulation prediction

387 The set of models used for all lifestyle analyses were trained on whole genome sequencing and RNA-seq
388 data from GTEx v8 for 49 tissues using 1,240,000 variants that were genotyped by first enriching for
389 the targeted variants (“1240k set”) (Fu *et al.*, 2015; Haak *et al.*, 2015). For each tissue, we considered
390 only models that explained a significant amount of variance ($FDR < 0.05$, $r^2 > 0.01$). In addition, we
391 further required that each 1240k-trained model maintain high correlations with the original GTEx model
392 ($r > 0.5$) over all 2504 1kG individuals. All LD calculations for variants in all 1kG Populations were
393 made using LDLink (Machiela and Chanock, 2015).

394 The set of models used to study skin pigmentation were trained on genotype and RNA-seq data
395 collected from melanocytes from 106 male skin samples (Zhang *et al.*, 2017). We imputed all genotypes
396 to 1000 Genomes using the NIH TOPMed server (Das *et al.*, 2016) with the following settings: ref:
397 1kG Phase 3 v5; pop = other/mixed; rsq filter 0.001; phasing = eagle v2.4. We filtered genes to those
398 with measured expression in at least 10 samples, with RSEM > 0.5 and > 6 reads, then each gene was
399 inverse quantile normalized to a standard normal distribution across samples. We then corrected for
400 ancestry using the first 3 principle components and 10 PEER factors. We trained the PrediXcan models
401 using only ~1,240,000 SNPs that were genotyped by first enriching for those targeted SNPs (“1240k
402 set”) (Fu *et al.*, 2015; Haak *et al.*, 2015), and included any gene for which the model was able to explain
403 a nominally significant amount of variance in the observed expression ($P < 0.05$). We focused on a set of
404 17 genes (Sturm and Duffy, 2012) involved in skin pigmentation for which we were able to build models.

405 We abbreviate the 49 GTEx tissues considered as follows: Adipose - Subcutaneous: ADPS, Adipose
406 - Visceral Omentum: ABPV, Adrenal Gland: ADRNLG, Artery - Aorta: ARTA, Artery - Coronary:
407 ARTC, Artery - Tibial: ARTT, Brain - Amygdala: BRNAMY, Brain - Anterior Cingulate Cortex:
408 BRNACC, Brain - Caudate: BRNCDT, Brain - Cerebellar Hemisphere: BRNCHB, Brain - Cerebel-
409 lum: BRNCHA, Brain - Cortex: BRNCTX, Brain - Frontal Cortex: BRNFCTX, Brain - Hippocampus:
410 BRNHPP, Brain - Hypothalamus: BRNHPT, Brain - Nucleus Accumbens basal ganglia: BRNNCC,
411 Brain - putamen basal ganglia: BRNPMT, Brain- Spinal Cord Cervical C-1: BRNSPN, Brain- Substan-
412 tia Nigra: BRNSN, Breast: BREAST, Cells - Transformed Fibroblasts: FIBS, Colon - Sigmoid: CLNS,
413 Colon - Transverse: CLNT, Esophagus - Gastroesophageal Junction: ESPGJ, Esophagus - Mucosa:
414 ESPMC, Esophagus - Muscularis: ESPMS, Heart - Atrial Appendage: HRTAA, Heart - Left Ventri-
415 cle: HRTLTV, Kidney Cortex: KDNY, Liver: LIVER, Lung: LUNG, Minor Salivary Gland: MNRSB,
416 Cells- EBV-transformed Lymphocytes: LYMPH, Ovary: OVARY, Pancreas: PNCS, Pituitary: PTTY,
417 Prostate: PRSTT, Skeletal Muscle: MSCSK, Skin - Not sun-exposed: SKINNS, Skin - Sun-exposed:
418 SKINS, Small Intestine: SMINT, Spleen: SPLEEN, Stomach: STMCH, Testis: TESTIS, Thyroid: THY-
419 ROID, Tibial Nerve: NERVET, Uterus: UTERUS, Vagina: VAGINA, Whole Blood: WHLBLD.

420 Evaluating strategies for applying PrediXcan to aDNA

421 To evaluate the performance of different strategies for training PrediXcan regulation prediction mod-
422 els and applying them to aDNA, we carried out several simulations. In the random simulations, for
423 each percentage missing threshold, we randomly selected 20 European individuals from 1kG (The 1000
424 Genomes Project Consortium, 2015), then randomly removed that percentage of genotype calls from
425 their genomes before applying PrediXcan models to the simulated genomes (Supplementary Fig. 1). For
426 each downsampled genome, we calculated a Spearman correlation between the predicted regulation of
427 each gene in four tissues for the downsampled vs. the full genome. Thus, each box in Supplementary
428 Fig. 2A has 80 (20×4) points. We then calculated the Spearman correlation between the median corre-

429 lation between downsampled and full model predictions for each threshold and the percentage of variants
430 missing at that threshold.

431 We also simulated missing data by matching patterns of missing variants from aDNA samples (Sup-
432 plementary Fig. 1B). We used 3383 ancient human samples compiled and made available by the Allen
433 Ancient DNA Resource on March 1, 2020 (v42.4). We selected three random Europeans from 1kG,
434 then for each ancient sample we created three matching masked genomes that were missing exactly the
435 same variants. For each masked genome, we calculated the Spearman correlation between the predicted
436 regulation of each gene in all four tissues for the masked vs. the full genome (i.e. one correlation per
437 individual).

438 We also evaluated three different sets of variants for training PrediXcan models. The “full set”
439 consisted of all variable sites identified in GTEx v8 (this included both single nucleotide variants and
440 short indels in hg38 coordinates). The “1240k set” was formed by intersecting the full set with the variants
441 genotyped on the 1240k chip, which totalled 714,959 variants after lifting them over to hg38. Lastly, we
442 assembled the “top600k set” of variants, which is a subset of the 1240k set with high “support”. We
443 calculated the “support” for each variant over N aDNA samples as $\sum_{n=1}^N NumVars_n$, where $NumVars$
444 is the number of variants successfully called in sample n . In other words, support for a variant is
445 the number of samples in which that variant was successfully genotyped, weighted by the quality (i.e.,
446 number of genotyped variants) of the sample. A variant can therefore obtain a high support either by
447 being genotyped in many low-quality samples, or in fewer high-quality samples. We ranked the variants
448 by their support. We identified the top 600k variants, and for the purposes of simulating the behaviour
449 of models when applied to incomplete data, we also considered the top 500k variants with the highest
450 support (“top500k”; $N = 499,666$). For each set of variants, we trained a set of models and created a
451 set of 1kG genomes masked to only include those variants (Fig. 1A). We assessed the performance of
452 combinations of models and genomes by calculating the correlation of predictions made by each model-
453 genome pair with predictions made by the Full models on the Full 1kG Genomes (i.e. one correlation
454 was calculated per individual 1kG sample for each pair).

455 Identifying divergent gene regulation between ancient lifestyles

456 To identify genes with evidence for divergence in predicted gene regulation between the three lifestyle
457 groups, we applied a Kruskal-Wallis test for the predictions of each gene model over individuals from
458 each group. We accounted for multiple testing with a Bonferroni correction within each tissue. Genes
459 passing the correction in at least one tissue are said to show evidence for a significant difference in
460 regulation. To further isolate the genes that are the most likely to be diverged due to selection rather
461 than drift, used genomic control to correct for population stratification by calculating an inflation factor
462 λ and recalculating p-values based on the distribution of χ^2/λ (Devlin and Roeder, 1999). To focus our
463 discussion on the genes with the strongest evidence for divergence, we sorted all models by GC-corrected
464 P -value and identified the top 500 unique genes (corresponding to 1236 models), which corresponded to
465 those with at least one model with a GC-corrected $P < 3.46 \times 10^{-3}$ and FDR=0.586.

466 Gene set enrichment among diverged genes

467 To conduct functional enrichment analyses on the top 500 most diverged genes, we tested for GO anno-
468 tation over-representation using WebGestalt with default parameters (Liao *et al.*, 2019) Specifically, we
469 compared the biological process GO terms among the 500 most diverged genes versus all genes with a
470 model in at least one tissue. We also tested for enrichment of several other gene sets of interest: 1) genes
471 whose expression in particular tissues is under stabilizing selection across 17 mammalian species (Chen
472 *et al.*, 2018); genes that are intolerant to loss-of-function variants in their protein products (called if

473 the upper bound of the 95% confidence interval of the observed/expected ratio is lower than 0.35) (Lek
474 *et al.*, 2016); 3) housekeeping genes that show consistent expression across tissues (Eisenberg and Lev-
475 anon, 2013); and 4) a set genes encoding virus interacting proteins (Enard *et al.*, 2016). We calculated
476 an odds ratio for each, and used a Fisher’s exact test to determine significance. For the genes under
477 stabilizing selection on gene expression, we considered only those tested in that study before calculating
478 statistics.

479 Skin pigmentation time series data and analysis

480 We obtained ancient human genome data from the Allen Ancient DNA Resource (v44.3; accessed Febru-
481 ary 8, 2021). We filtered for individual human samples from Europe (west of 59° East), and in the case
482 of duplicate individuals chose the sample with the highest average coverage. We filled in missing dosages
483 using the mean dosage across the other samples. This resulted in 2999 ancient Europeans, to which we
484 added 503 European samples from the 1000 Genomes Project (The 1000 Genomes Project Consortium,
485 2015) to construct a time series ranging from 38,052 yBP to present (31 samples were older than 15,000
486 yBP).

487 To identify genes which showed a systematic change in regulation over time, we obtained predicted
488 regulation values for each gene in each individual using the melanocyte PrediXcan models. We then re-
489 gressed the predicted regulation on the date of the sample using a linear regression framework, including
490 the first 10 principle components to correct for ancestry. We further controlled for population strati-
491 fication using genomic control (Devlin and Roeder, 1999), and identified the skin pigmentation genes
492 for which the effect size of date was significant (corrected $P < 0.05$). We additionally compared the
493 predicted regulation of *TYR* in all 2504 individuals from the 1000 Genomes Project (The 1000 Genomes
494 Project Consortium, 2015), separated by continental ancestry.

495 Data and Code Availability

496 All data and scripts are available on Github at https://github.com/colbrall/ancient_human_predixcan
497 and https://github.com/colbrall/skin_pigmentation_regulation

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510 Author Contributions

511 L.L.C., I.M. and J.A.C. designed the experiments and wrote the manuscript. M.R.J. designed the
512 simulation experiments and conducted pilot simulation analyses. L.L.C conducted all other experiments.
513 All authors edited and approved the final manuscript.

514 Competing Interests

515 The authors report no conflicts of interest.

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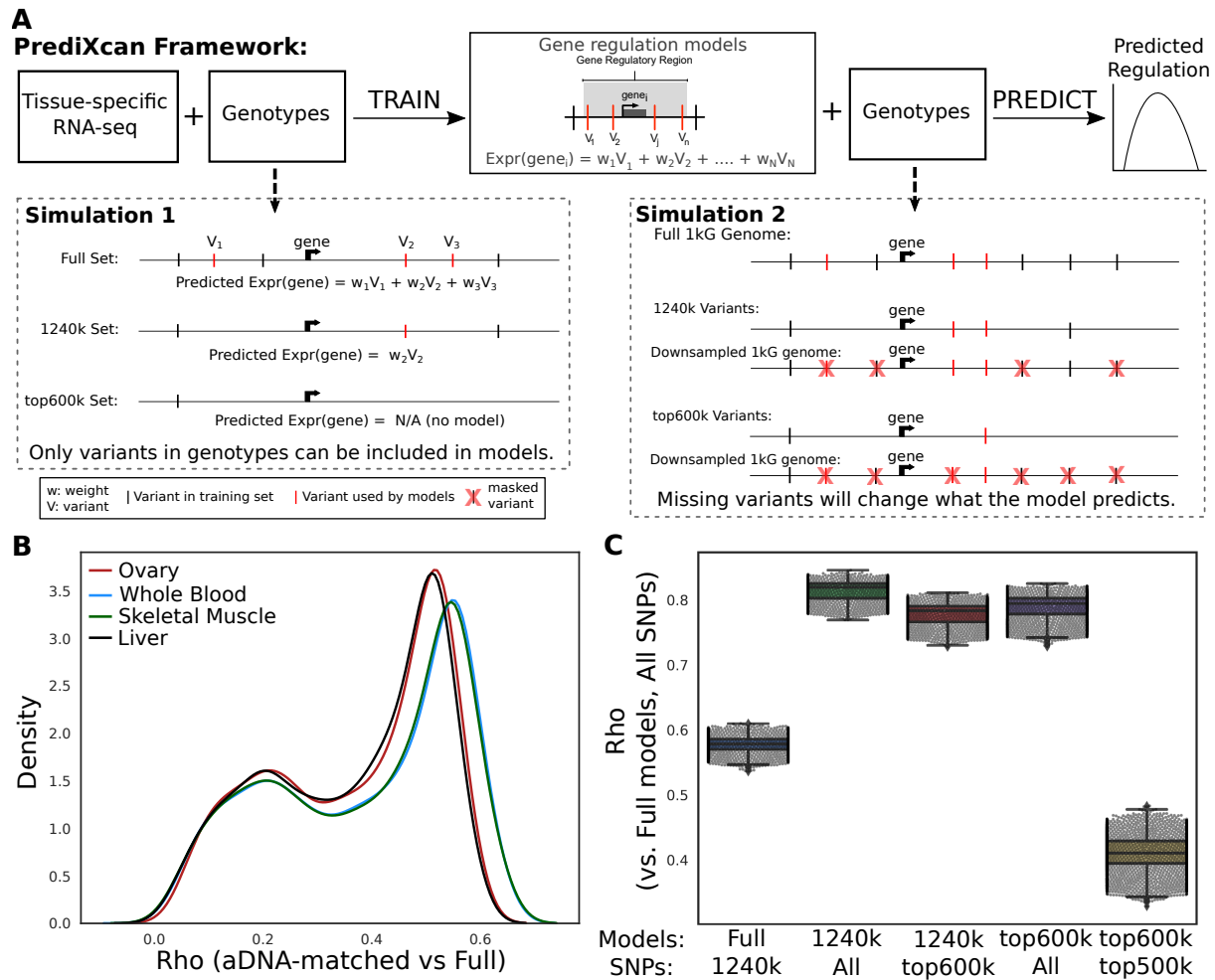


Figure 1: Gene regulatory prediction models can be trained for application to low-coverage ancient DNA. (a) Schematic of the framework for training and testing PrediXcan models. PrediXcan consists of statistical models for imputing genetic regulation of gene expression that are trained on genetic variants and normalized transcriptomes from diverse tissues collected as part of the GTEx Project. For each gene, PrediXcan considers genetic variants within 1 Mb of the gene (grey box) and uses elastic net regression to learn a combination of variants and weights to predict variance in its expression across individuals. Variants included in the final model are illustrated by red vertical lines. (b) To evaluate the potential for gene regulatory prediction using aDNA, we performed several analyses. First, we evaluated the effects of using three different variants for model training: Full (all common variants in GTEx), 1240k (all variants in the aDNA 1240k capture set), and top600k (the 600k most representative variants from the 1240k capture set; see Methods). We also simulated the presence of missing data in the prediction phase by masking variants from genomes from the 1000 Genomes project such that only variants from each of the 3 sets (Full, 1240k, top600k) were available for use in prediction. (c) Distribution of Spearman ρ between predictions per individual in four tissues (Skeletal Muscle, Whole Blood, Liver, Ovary) when considering the complete genome vs. 1240k-matched simulated ancient genomes. (d) Spearman ρ between predictions from a range of targeted models on down-sampled genomes to the Full PrediXcan models applied to all variants available for 1kG individuals. Models were trained on different variant subsets (x-axis, top row: All, 1240k, top600k) and applied to complete or downsampled 1kG genomes (x-axis, bottom row: All, 1240k, top600k). There is one point per individual sample.

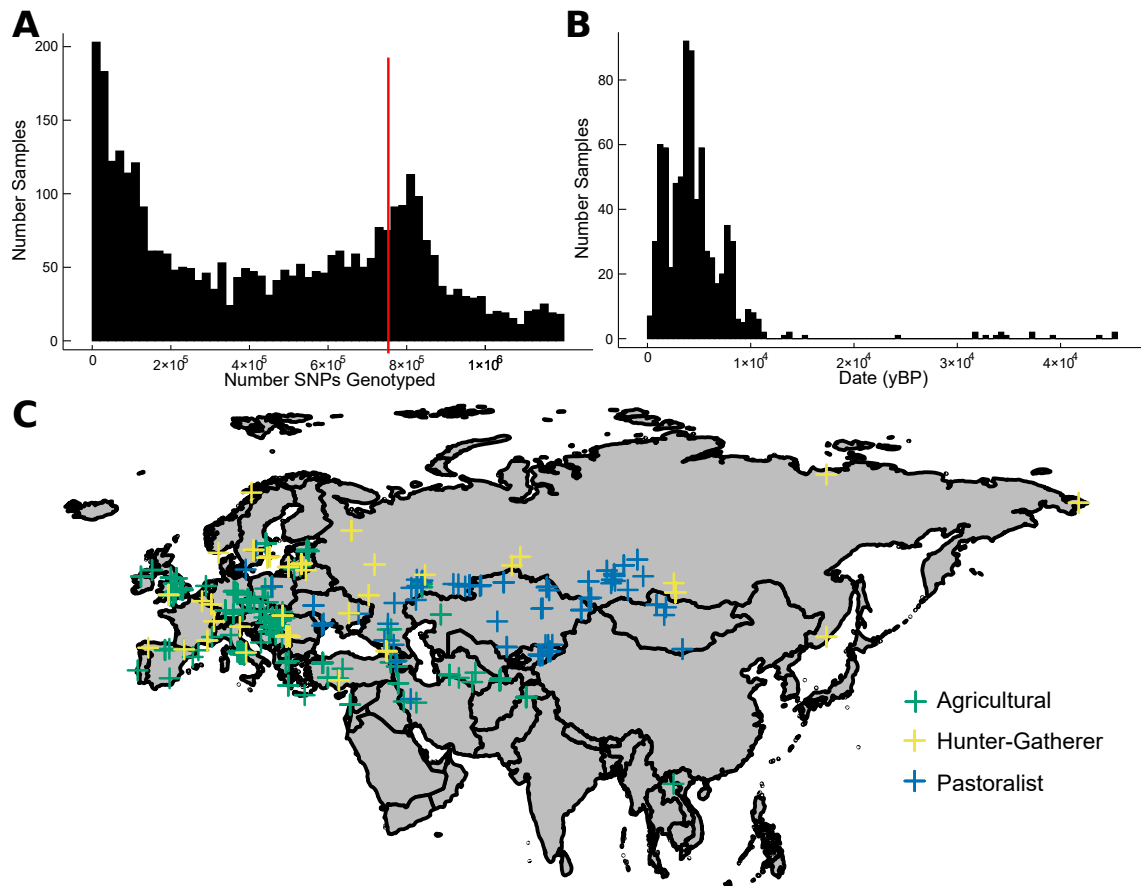


Figure 2: **Attributes of ancient humans considered in this study.** (a) Distribution of the number of variants with genotype call in the aDNA samples. The maximum is 1,233,013, the number of SNPs on the 1240k genotyping chip. We analyzed individuals in the 3rd quartile or above (red line, 771,029 SNPs). (b) Distribution of the age of 490 Eurasian samples analyzed in years before present (yBP). (c) We assigned ancient Eurasians with sufficient genetic data to three lifestyles: Green = agriculturalist, Blue = pastoralist, Yellow = hunter-gatherer.

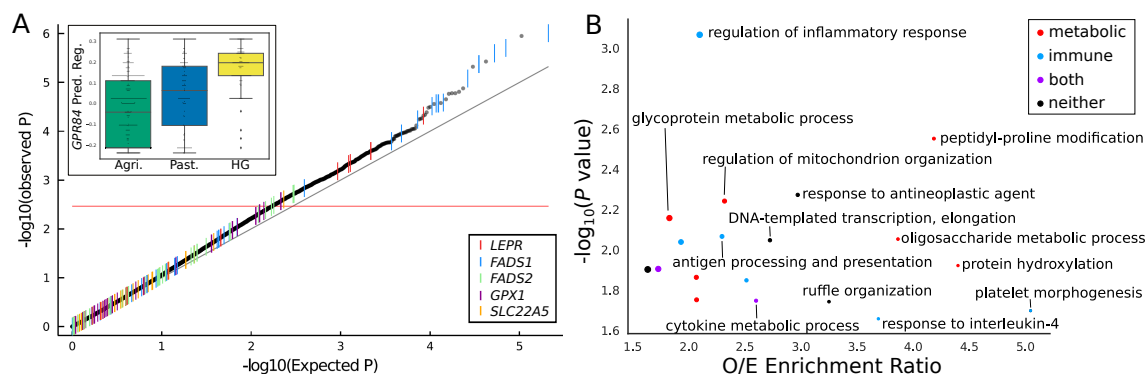


Figure 3: **Immune and metabolic genes are among the most diverged between ancient lifestyle groups.** (a) QQ plot for all gene regulation models in all tissues. Observed P -values are calculated after GC correction. The 500 most divergently regulated genes have at least 1 model above the red line. Inset: Predicted Regulation of *GPR84* in Adrenal Gland. (b) The most-enriched Gene Ontology (GO) terms among the 500 most diverged genes. Point size scales with number of diverged genes in each category (range 3-24).

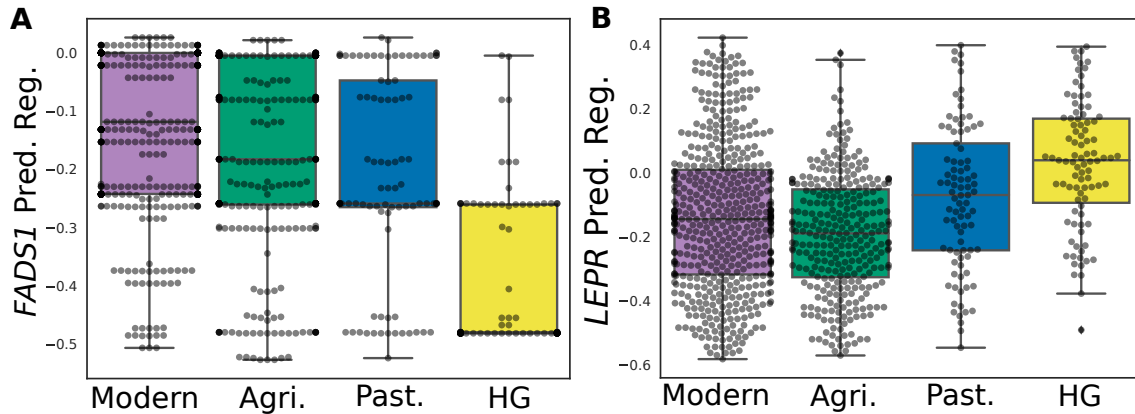


Figure 4: **Ancient humans from different lifestyles had significant differences in regulation of key diet genes** (a) *FADS1* shows divergence in predicted regulation in Subcutaneous Adipose tissue between lifestyles (Kruskal-Wallis $P = 5.7 \times 10^{-24}$), as well as in eight other tissues. (b) *LEPR* regulation in Cerebellum is divergent across lifestyles (Kruskal-Wallis $P = 3.6 \times 10^{-17}$). Plotted with 503 present-day Europeans for comparison. Purple = Present-day Europeans, Green = agriculturalists, Blue = pastoralists, Yellow = hunter-gatherers.

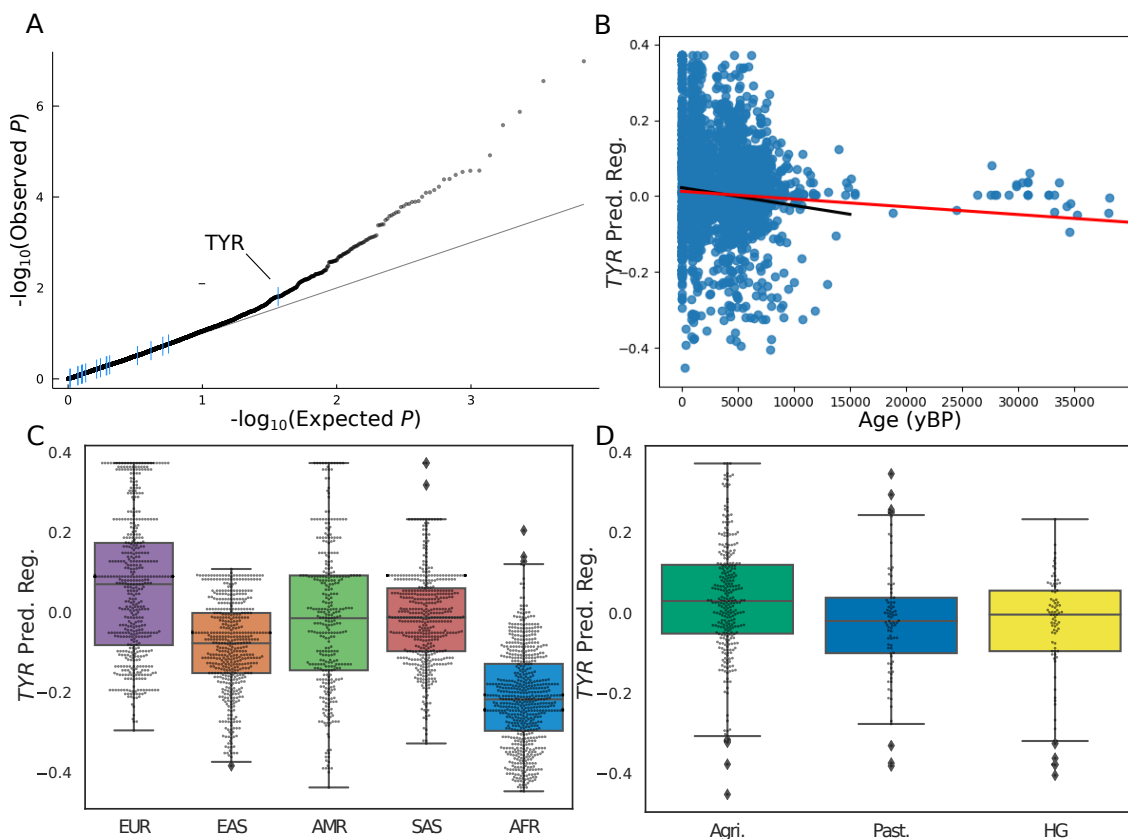


Figure 5: **Most skin pigmentation genes show little change in regulation in the last 38,000 years in Europeans** (a) Q-Q plot with P -values from linear regressions of date vs. predicted regulation for all modeled genes in melanocytes (Methods). The 17 skin pigmentation are highlighted in blue. (b) Predicted regulation of *TYR* increases over time in Europeans. The red line shows a regression calculated over all individuals, and the black regression line was calculated only over individuals < 15,000 yBP. (c) *TYR* predicted regulation in present-day 1kG populations, separated by continent of ancestry. (d) *TYR* predicted regulation in ancient Eurasians, split by lifestyle. Green = agriculturalists, Blue = pastoralists, Yellow = hunter-gatherers.

Supplementary Materials

Evaluating the robustness of gene regulation prediction models to missing data

There are several challenges involved in adapting PrediXcan to be applied to ancient DNA. First, ancient individuals may be genetically diverged from the populations used to train the prediction models. Recent evaluations of the portability of these models across modern human populations have shown that while their accuracy decreases when applied across human populations, most models maintain substantial predictive ability that enables the discovery of meaningful biological associations Okoro *et al.* (2021); Petty *et al.* (2019). We have also demonstrated that this approach can be applied to archaic hominin genomes Colbran *et al.* (2019). The ancient Eurasian individuals we analyze here are less genetically diverged from the training population than modern African-ancestry individuals or archaic hominins. In addition, we stress that these models do not aim to predict gene expression itself, but rather to quantify the common variant mediated component of gene regulation, and thus, they provide an means to detect shifts in regulatory architecture.

Second, available aDNA data varies in coverage, depth, and quality. This creates a trade-off between number of individuals available for analysis and the quality of their genotyping. In addition, many other potential applications of PrediXcan involve populations that differ from the training populations. In these situations, even if the populations are of similar ancestry, all the variants the models use to predict gene expression may not be assayed in the population of interest. Therefore it is of great interest to understand how PrediXcan behaves under these conditions with varying levels of missing variant data, and develop ways to optimize its performance in such cases.

To better understand and quantify these patterns, we first trained PrediXcan models on expression data and all available variants in GTEx v8 (“Full models”). We then applied these prediction models to all variants and downsampled sets of variants from individuals with whole-genome sequencing from the 1000 Genomes Project (1kG) The 1000 Genomes Project Consortium (2015). This enabled us to evaluate how predictions change with missing variant data. We selected nine thresholds for percentage of missing SNPs (5%-45% missing) and downsampled 20 random European individuals per threshold (Supplementary Fig. 1A). The agreement between the predictions on downsampled genomes and full genomes was strongly correlated with the percentage of SNPs missing (Supplementary Fig. 2B). However, Spearman correlations were above 0.75 for all comparisons, even when genomes were missing as many as 45% of their SNPs. This suggests that model accuracy can be maintained even at relatively high rates of missingness, likely due to LD between variants.

While this is encouraging, missing SNPs may not be randomly distributed throughout the genome in some applications. To evaluate how biases in missingness across the genome could affect these results, we repeated the comparison described above. However, instead of randomly downsampling SNPs, we matched the patterns of missingness to the dataset (Fig. 1B) of particular interest to us: 3383 aDNA samples, with widely varying numbers of missing SNPs (Supplementary Fig. 2C). Overall, the correlations were much lower (median Spearman $\rho=0.39$; Fig. 1B). This is unsurprising given that the aDNA samples were obtained using targeted capture, while the model training data was based on whole genome sequencing. At most, the aDNA samples had 714,959 SNPs, while the training data had over 5 million (i.e. 87% missing). This indicates that, while models can tolerate a fair amount of missing data, the missingness caused by a mismatch between genotyped SNPs and training SNPs is likely to substantially decrease prediction accuracy.

We next evaluated whether imputation performance could be improved in our aDNA application by customizing the training data to contain only variants that will be available in the application data. This

770 step ensures that any variants used that are not assayed in the application data are not used in model
771 training. Thus, we retrained PrediXcan models using GTEx v8, but only considered the SNPs present
772 in the 1240k capture set that is commonly used in aDNA studies (“1240k set”; Supplementary Fig. 1B).
773 This resulted in 714,959 variants for model training (the number that were successfully lifted over to
774 the hg38 genome build), as opposed to the 5,310,489 variants available for the full models based on
775 whole genomes. Because most of the genotyped aDNA samples tend to be low-coverage (Supplementary
776 Fig. 2C), we also tested the use-case where we chose the SNPs in the dataset most likely to provide
777 information. To do this, we ranked SNPs by the number of samples in the 3383 ancient samples used
778 above with genotype calls, and weighted that count by the overall coverage of those samples. We then
779 chose the 600,000 SNPs with the best ranking (“top600k set”), thereby prioritizing SNPs that were
780 frequently present in the best-quality samples.

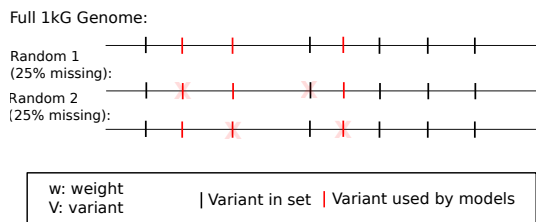
781 Training models on fewer SNPs resulted in a small decrease in the variance explained in gene expres-
782 sion for some genes (Fig. 3), and fewer significant models were constructed in each tissue (7184, 6587,
783 and 5196 significant models for Full, 1240k, and top600k respectively in Whole Blood). This also resulted
784 in inconsistent predictions when models were applied to the same individuals (Fig. 4). This behaviour
785 is caused by the decrease in available SNPs for modelling, which is reflected in the smaller models built
786 in the 1240k and top600k sets (Fig. 5A,C). While many genes continued to be successfully modelled by
787 the targeted models, restricting to fewer SNPs resulted in a loss of genes for which the Full models had
788 a lower r^2 .

789 While these results demonstrate that using more SNPs during training results in more numerous
790 and accurate models, this does not take into account the presence of missing data in the genomes that
791 will be used for predictions. Therefore the outstanding question is whether model performance is more
792 consistent when trained on fewer SNPs without a large missing data percentage, or if it is better to
793 include more SNPs during training, but allow more missing data during application. To answer this, we
794 applied our targeted models described above to 1kG genomes downsampled to match the various sets of
795 SNPs used during model training and compared their agreement with the full models applied to the full
796 genomes. For the top600k models, we further downsampled the application set to 500k SNPs using the
797 same methodology.

798 In line with our initial findings, we found that all models lost consistency when applied to genomes
799 with missing SNPs (Fig. 1C). The 1240k models maintained the highest agreement with the Full mod-
800 els when applied to incomplete data (median $\rho=0.78$, vs 0.58 and 0.41 for Full and top600k models,
801 respectively), though they also had a larger variance in agreement. The Full models likely did worse
802 because there was a much larger drop in the number of SNPs available compared to training, while
803 the top600k models’ reliance on fewer SNPs may have increased their susceptibility to missing SNPs.
804 This suggests that a balance between targeting model training for the dataset in question and allowing
805 some missingness is the best course of action. For analyses presented in this paper, we therefore applied
806 models targeted to the 1240k variant set to ancient individuals with relatively high coverage (above the
807 3rd quartile among all samples available).

808 **Supplementary Figures**

Random Simulations:



Matched Simulations:

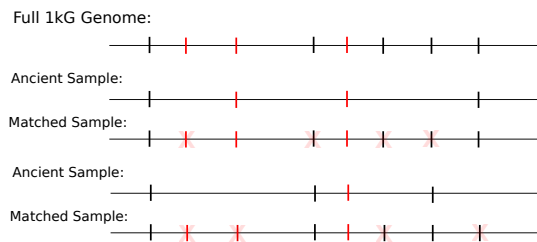


Figure 1: **Schematic of process for generating random and matched simulated genomes.** Starting from a complete genome from 1kG, for the random simulations we mask a random number of variants corresponding to the specified missing percentage. For the matched simulations, we pair a complete modern genome and an ancient genome, and mask any variants in the modern genome that are not present in the ancient one. (b) Schematic of creating targeted variants sets to train PrediXcan models.

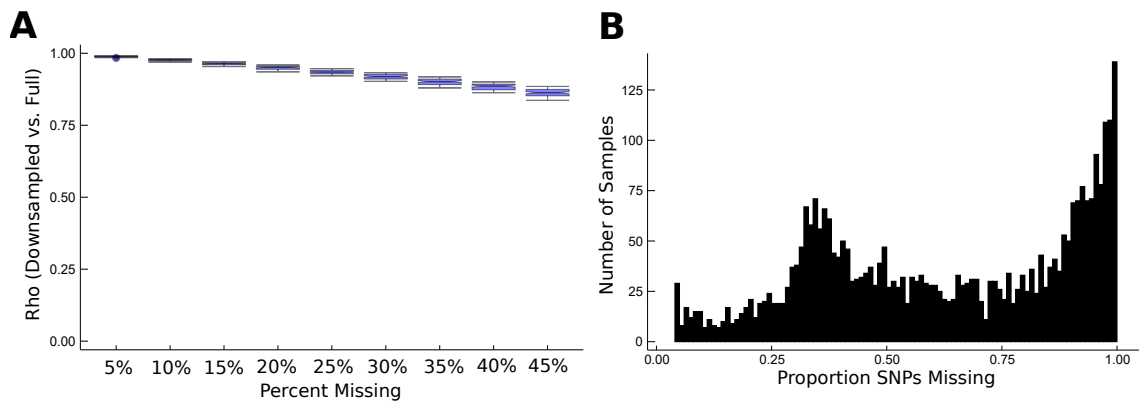


Figure 2: **Missing variants affect PrediXcan performance.** (a) The Spearman ρ between predictions in four tissues calculated for the complete genome vs. random simulations decreases as the percentage of missing variants increases, but remains high even with 45% of variants masked. (c) Distribution of the proportion of missing variants in the aDNA data compared to all variants included in 1kG.

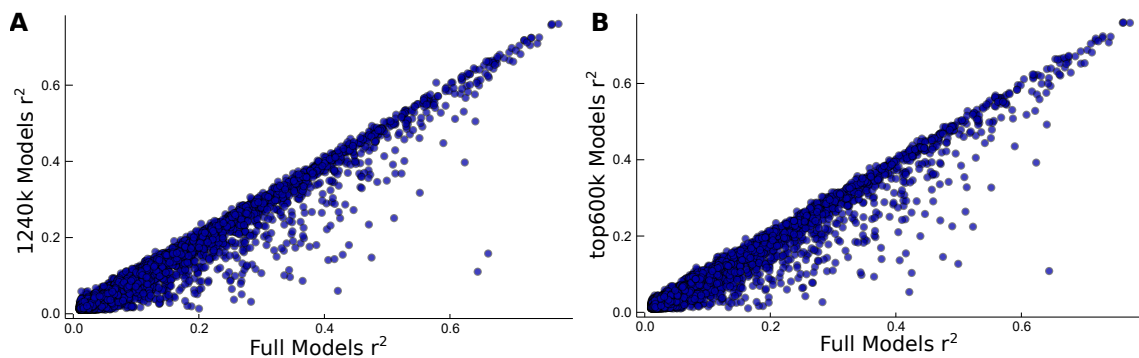


Figure 3: **Models trained on fewer SNPs explain less variance in gene expression.** Scatterplots of training r^2 for (a) 1240k models and (b) top600k models vs. Full models in Whole Blood. Other tissues tested matched trends. r^2 is calculated over observed vs. predicted expression.

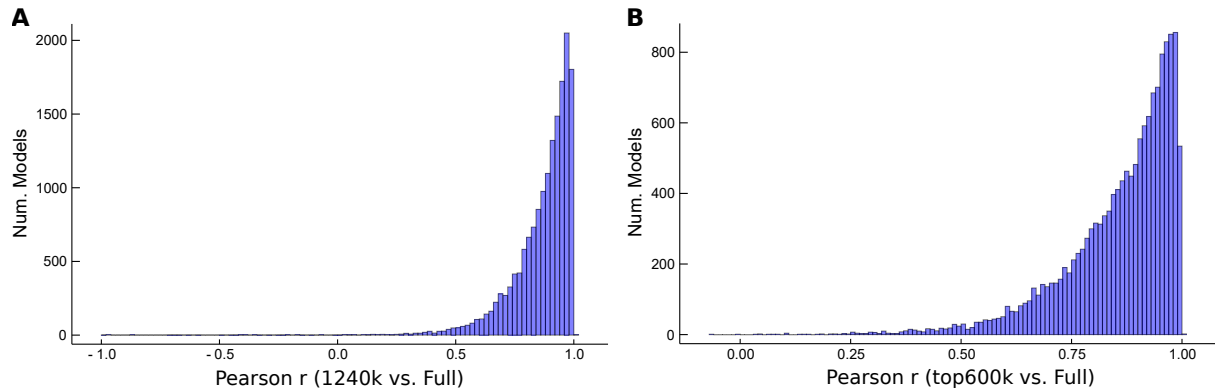


Figure 4: **Targeted models predict consistent gene regulatory patterns.** (a) Pearson r by model between Full model 1kG predictions and (a) 1240k and (b) top600k models for all genes in 4 tissues- Liver, Ovary, Whole Blood, Skeletal Muscle. Pearson correlation calculated for each model between predictions made on all 1kG individuals using Full models vs. 1240k or top600k models.

Gene Set	N Modeled	N in top 500	OR [95% CI]	Fisher's Exact <i>P</i>
Stabilizing Selection	4519	167	0.99 [0.53, 2.05]	1.0
Housekeeping	3127	130	1.33 [1.08, 1.64]	0.0076
LoF-Intolerant	2195	67	0.891 [0.675, 1.16]	0.42
Virus Interacting	1022	37	1.0 [0.75, 1.53]	0.21

Table 1: **Enrichment for four gene sets of interest in the 500 most diverged genes.** The odds ratio was calculated as the odds of a gene's presence in the category given it is in the top 500 divergently regulated genes. The analysis only includes genes that were both considered for inclusion in the set and had at least one PrediXcan model. For example, genes not tested for stabilizing selection on gene expression were not considered.

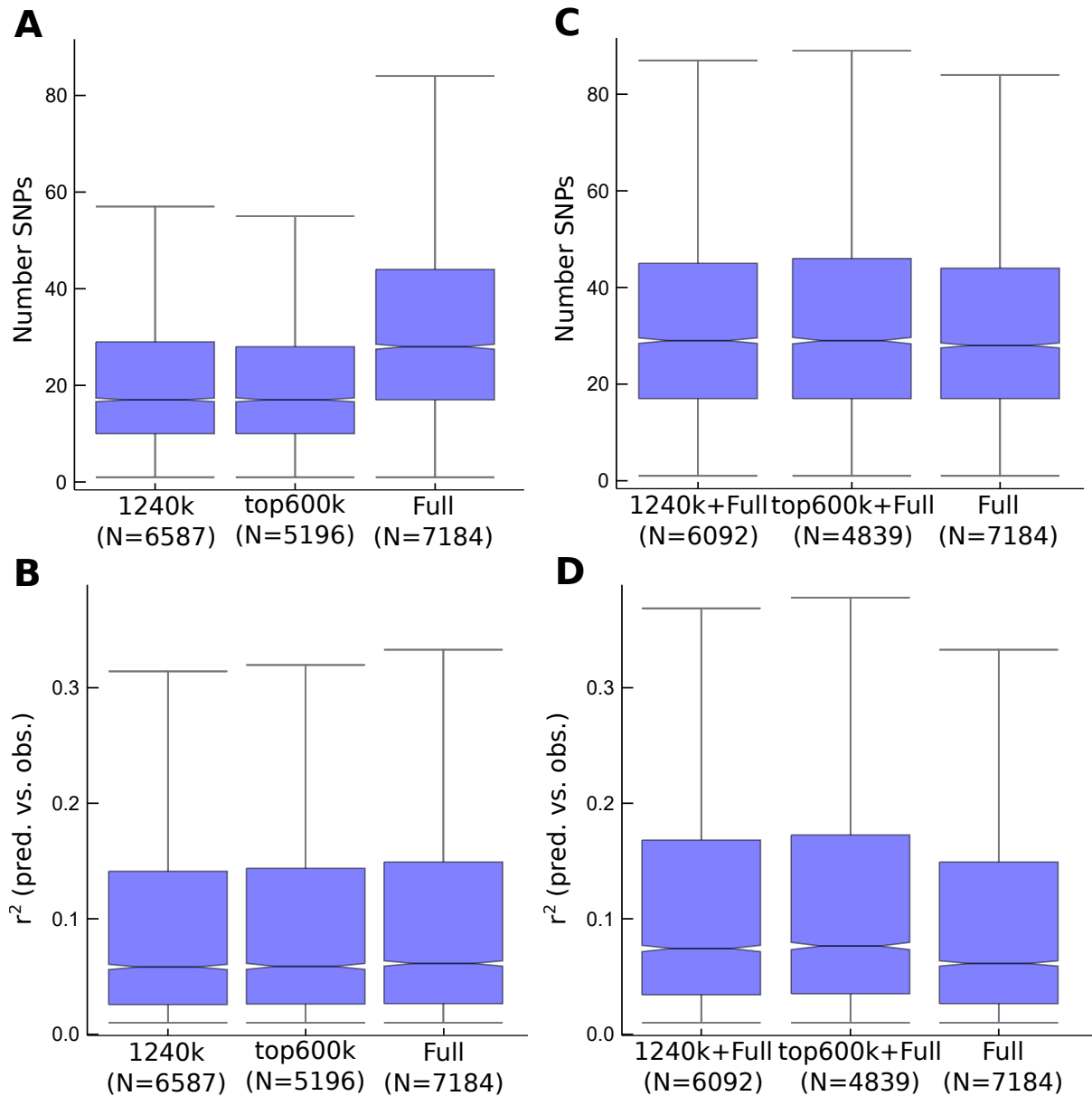


Figure 5: **Targeted models use fewer SNPs and succeed in modelling fewer genes.** (a) Number of SNPs in each set of models for Whole Blood. (b) r^2 between predicted and observed expression for each model in Whole Blood. By definition, significant models had to have $r^2 > 0.01$ and have a within-tissue FDR < 0.05 . (c) Number of SNPs and (d) r^2 in models shared (identified as significant) in both the Full set and either the 1240k or top600k Set (metrics plotted are those from the Full set). Full set replotted on all plots for comparison. Other tissues tested showed similar trends.

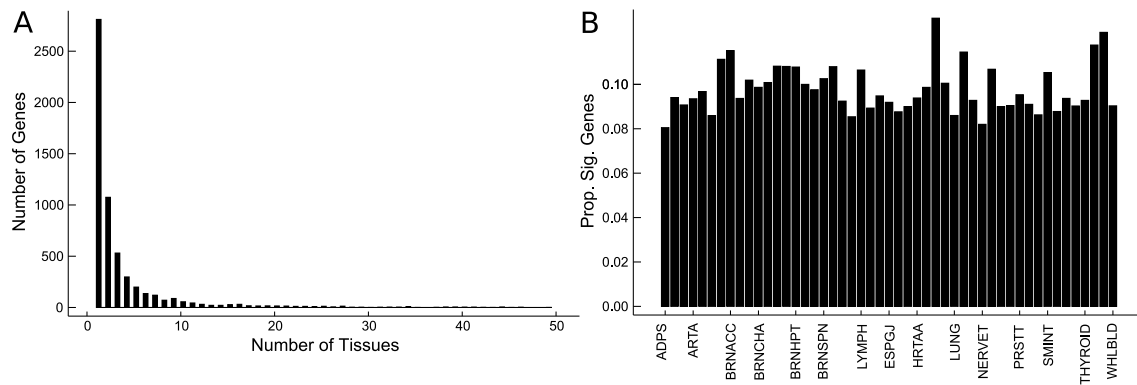


Figure 6: Thousands of genes are divergently regulated between lifestyle groups. (A) Distribution of the number of tissues in which each gene ($N = 5760$) is significantly different between groups. (B) The proportion of significant models out of all models in a tissue. Tissues are in alphabetical order: **Adipose_Subcutaneous**, Adipose_Visceral_Omentum, Adrenal_Gland, **Artery_Aorta**, Artery_Coronary, Artery_Tibial, Brain_Amygdala, **Brain_Anterior_cingulate_cortex**, Brain_Caudate_basal_ganglia, Brain_Cerebellar_Hemisphere, **Brain_Cerebellum**, Brain_Cortex, Brain_Frontal_Cortex, Brain_Hippocampus, **Brain_Hypothalamus**, Brain_Nucleus_accumbens_basal_ganglia, Brain_Putamen_basal_ganglia, **Brain_Spinal_cord_cervical_c-1**, Brain_Substantia_nigra, Breast_Mammary_Tissue, Cells_Cultured_fibroblasts, **Cells_EBV-transformed_lymphocytes**, Colon_Sigmoid, Colon_Transverse, **Esophagus_Gastroesophageal_Junction**, Esophagus_Mucosa, Esophagus_Muscularis, **Heart_Atrial_Appendage**, Heart_Left_Ventricle, Kidney_Cortex, Liver, **Lung**, Minor_Salivary_Gland, Muscle_Skeletal, Nerve_Tibial, Ovary, Pancreas, Pituitary, **Prostate**, Skin_Not_Sun_Exposed_Suprapubic, Skin_Sun_Exposed_Lower_leg, **Small_Intestine_Terminal_Ileum**, Spleen, Stomach, Testis, **Thyroid**, Uterus, Vagina, **Whole_Blood**.

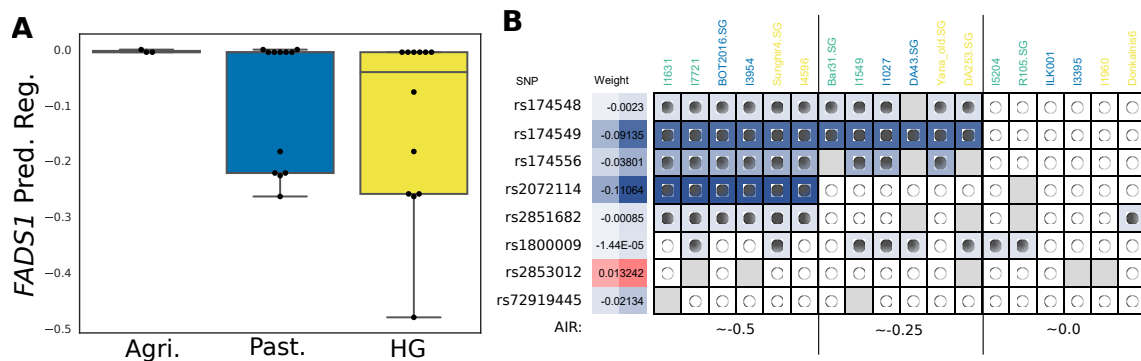


Figure 7: *FADS1* model variants tag a selected haplotype. (a) 27 ancient Africans follow a similar trend in expression differences to that seen in ancient Eurasian populations. (b) Breakdown of the 8 SNPs in the model of *FADS1* in Adipose_Subcutaneous tissue and their presence in representative ancient Eurasians across a range of predicted normalized expression values. Cells are coloured by the weight that SNP contributed to the prediction, while the circles indicate the alleles present in that individual (filled = homozygous effect, empty = homozygous reference). A grey square indicates the SNP was ungenotyped in that individual. The vast majority of ancient samples appear homozygous due to being extremely low-coverage, such that many sites are represented by only a single read.

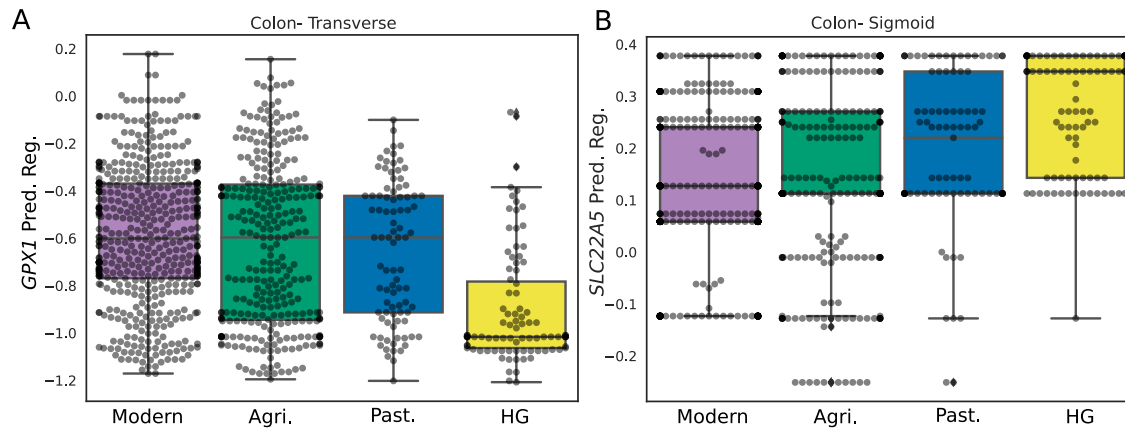


Figure 8: **Divergently regulated genes include** (A) *GPX1* in Transverse Colon and (B) *SLC22A5* in Sigmoid Colon. Plotted with 503 modern Europeans for comparison in purple. Green = Agriculturalists, Blue = Pastoralists, Yellow = Hunter-Gatherers.

Tissue	Agri.	Past.	HG	Corrected <i>P</i>
Adipose.Subcutaneous**	-0.183	-0.259	-0.480	8.28×10^{-6}
Adipose.Visceral.Omentum	-0.132	-0.158	-0.158	0.0554
Brain.Cerebellar.Hemisphere**	-0.882	-1.228	-1.422	1.11×10^{-4}
Brain.Cerebellum**	-0.887	-1.184	-1.716	5.76×10^{-5}
Brain.Frontal.Cortex**	-0.391	-0.602	-0.690	2.59×10^{-9}
Brain.Putamen.basal.ganglia	-0.360	-0.469	-0.530	0.0596
Cells.Cultured.fibroblasts	-0.712	-0.768	-1.024	0.0168
Colon.Sigmoid**	-0.360	-0.456	-0.859	2.69×10^{-5}
Esophagus.Gastroesophageal.Junction**	-0.378	-0.426	-0.579	3.06×10^{-5}
Esophagus.Mucosa	-0.620	-0.769	-0.836	9.2×10^{-3}
Esophagus.Muscularis	-0.319	-0.409	-0.506	0.117
Heart.Atrial.Appendage	-0.225	-0.281	-0.382	0.016
Heart.Left.Ventricle**	-0.169	-0.330	-0.924	2.53×10^{-6}
Lung**	-0.106	-0.154	-0.237	4.2×10^{-6}
Muscle.Skeletal**	-0.410	-0.674	-0.787	9.77×10^{-7}
Nerve.Tibial	-0.560	-0.748	-0.868	0.0135
Pancreas**	-0.452	-0.882	-1.414	1.6×10^{-4}
Stomach**	-0.401	-0.641	-0.872	1.97×10^{-6}
Testis**	-0.610	-0.774	-0.862	1.46×10^{-3}
Thyroid**	-0.295	-0.483	-0.649	2.7×10^{-5}
Whole.Blood	0.0914	0.108	0.132	0.0759

Table 2: ***FADS1* models with divergent regulation by lifestyle.** Median predicted regulation for each group *P*-value is calculated from Kruskal-Wallis test, after GC-correction. *FADS1* was modeled in an additional 9 tissues. **model *P*-value is below that corresponding to the top 500 unique genes.

Model SNP rsID	Weight	Haplotype	Tag SNP	r^2
rs2072114	-0.111	B	rs174546	0.340
rs2072114	-0.111	C	rs102274	0.329
rs2072114	-0.111	D	rs174576	0.421
rs174549	-0.0914	B	rs174546	0.918
rs174549	-0.0914	C	rs102274	0.892
rs174549	-0.0914	D	rs174576	0.673
rs174556	-0.0380	B	rs174546	0.914
rs174556	-0.0380	C	rs102274	0.889
rs174556	-0.0380	D	rs174576	0.675

Table 3: ***FADS1* model SNPs LD with established haplotype.** The 3 highest-weight SNPs from Subcutaneous Adipose. Haplotype labels correspond to those in Mathieson & Mathieson (2018) Mathieson and Mathieson (2018).

Gene	Tissue	Agri.	Past.	HG	P
HFE	Brain_Nucleus_accumbens_basal_ganglia	-0.538	-0.426	-0.280	0.0523
TRIP4	Brain_Cerebellar_Hemisphere	-0.030	-0.067	-0.203	0.0458
	Brain_Frontal_Cortex	-0.046	-0.053	-0.098	0.0868
	Skin_Not_Sun_Exposed_Suprapubic	-0.537	-0.621	-0.684	0.054
FADS2	Breast_Mammary_Tissue	-0.046	0.020	0.087	0.0332
	Esophagus_Muscularis	0.255	0.416	0.454	0.0714
	Pancreas	0.155	0.204	0.011	0.0187
	Skin_Not_Sun_Exposed_Suprapubic	0.239	0.266	0.266	0.0433
	Testis**	0.414	0.457	0.459	2.49e-3
SLC22A4	Cells_Cultured_fibroblasts	0.304	0.360	0.304	0.0656
	Thyroid	-0.037	-0.036	-0.141	0.0329
SLC22A5	Adipose_Subcutaneous**	0.253	0.253	0.315	1.94e-3
	Adipose_Visceral_Omentum	0.054	0.054	0.054	0.0232
	Artery_Tibial	0.602	0.736	0.746	0.0337
	Cells_Cultured_fibroblasts**	0.644	0.705	0.832	0.0019
	Cells_EBV-transformed_lymphocytes	0.182	0.326	0.366	0.00352
	Colon_Sigmoid**	0.113	0.230	0.348	0.00324
	Esophagus_Gastroesophageal_Junction	-0.139	-0.039	0.174	0.00498
	Esophagus_Muscularis	0.180	0.255	0.421	0.00413
	Minor_Salivary_Gland	0.228	0.253	0.262	0.0935
	Nerve_Tibial	0.655	0.688	0.781	0.0307
	Pancreas	-0.099	-0.074	0.024	0.0112
	Thyroid	0.320	0.385	0.398	0.0368
	Whole_Blood	0.781	0.910	1.043	0.0115
DI2	Nerve_Tibial	-0.239	-0.312	-0.389	0.0644
AS3MT	Adipose_Visceral_Omentum	-0.143	-0.097	-0.495	0.0738
	Brain_Hypothalamus	-0.328	-0.206	-0.688	0.0923
	Heart_Atrial_Appendage	-0.243	-0.046	-0.449	0.0769
GPX1	Adrenal_Gland	-0.469	-0.529	-0.835	0.0105
	Cells_Cultured_fibroblasts	-0.691	-0.625	-1.115	0.0199
	Colon_Transverse**	-0.597	-0.597	-1.016	0.00271
	Esophagus_Mucosa	-0.372	-0.374	-0.663	4.8e-3
	Prostate	-0.317	-0.317	-0.572	0.00541
	Skin_Not_Sun_Exposed_Suprapubic	-0.379	-0.432	-0.712	0.00429
	Skin_Sun_Exposed_Lower_leg	-0.475	-0.444	-0.741	0.00913
	Small_Intestine_Terminal_Ileum	0.054	0.054	-0.100	0.0573
	Thyroid	-0.705	-0.774	-0.836	0.0335

Table 4: **Dietary gene models with divergent regulation by lifestyle.** Median predicted regulation for each group. *P*-value is calculated from Kruskal-Wallis test, after GC-correction. *FADS1* models in Table 2 also belong in this table, but are not reprinted to avoid redundancy. **model *P*-value is below that corresponding to the top 500 unique genes.

Tissue	Agri.	Past.	HG	Corrected <i>P</i>
Adipose_Subcutaneous	-0.504	-0.374	-0.325	0.0151
Adipose_Visceral_Omentum**	-0.251	-0.0661	-0.0555	6.61×10^{-4}
Brain_Cerebellar_Hemisphere**	0.00454	0.199	0.317	4.68×10^{-4}
Brain_Cerebellum**	-0.191	0.0699	0.0395	2.54×10^{-4}
Esophagus_Gastroesophageal_Junction**	-0.0109	0.0443	0.174	1.92×10^{-3}
Heart_Atrial_Appendage**	-0.136	-0.0663	-0.0537	4.48×10^{-4}
Testis**	-0.246	-0.0970	-0.0659	4.8×10^{-5}
Whole_Blood	0.0559	0.211	0.178	0.0725

Table 5: **LEPR models with divergent regulation by lifestyle.** Median predicted regulation for each group *P*-value is calculated from Kruskal-Wallis test, after GC-correction. *LEPR* was modeled in an additional 11 tissues. **model *P*-value is below that corresponding to the top 500 unique genes.

GO term	Num. Genes	Enrichment	<i>P</i>
platelet morphogenesis	3	5.04	0.020
response to mitochondrial depolarisation	3	5.04	0.020
protein hydroxylation	4	4.40	0.012
peptidyl-proline modification	6	4.18	0.0028
oligosaccharide metabolic process	5	3.86	0.0089
response to interleukin-4	4	3.69	0.022
ruffle organization	5	3.25	0.018
response to antineoplastic agent	8	2.97	0.0053
DNA-templated transcription, elongation	8	2.72	0.0090
cytokine metabolic process	7	2.60	0.018
type I interferon production	8	2.51	0.014
regulation of mitochondrion organization	12	2.32	0.0057
antigen processing and presentation	11	2.30	0.0086
regulation of inflammatory response	22	2.10	$8.6e-4$
carbohydrate derivative catabolic process	11	2.07	0.018
cellular modified amino acid metabolic process	12	2.07	0.014
positive regulation of response to external stimulus	16	1.93	0.0092
glycoprotein metabolic process	20	1.83	0.0070
positive regulation of cytokine production	20	1.72	0.012
negative regulation of intracellular signal transduction	24	1.63	0.013

Table 6: **Top 20 enriched GO terms among the 500 genes most diverged between lifestyles.**

Publication	Eur. LS	Afr. LS	Skin Pig.	DOI
AllentoftNature2015	14	0	59	10.1038/nature14507
AmorimNatureCommunications2018	0	0	63	10.1038/s41467-018-06024-4
AntonioGaoMootsScience2019	69	0	131	10.1126/science.aay6826
BraceDiekmannNatureEcologyEvolution2019	10	0	22	10.1038/s41559-019-0871-9
BroushakiScience2016	3	0	0	10.1126/science.aaf7943
BrunelPNAS2020	0	0	58	10.1073/pnas.1918034117
CassidyNature2020	0	0	44	10.1038/s41586-020-2378-6
CassidyPNAS2016	2	0	4	10.1073/pnas.1518445113
DamgaardNature2018	27	0	27	10.1038/s41586-018-0094-2
DamgaardScience2018	18	0	1	10.1126/science.aar7711
EbenesersdottirScience2018	0	0	27	10.1126/science.aar2625
FeldmanNatureCommunications2019	2	0	0	10.1126/sciadv.aax0061
FernandesNatureEcologyEvolution2020	0	0	62	10.1038/s41559-020-1102-0
FernandesScientificReports2018	7	0	17	10.1038/s41598-018-33067-w
FernandesSirakNature2020	0	0	2	10.1038/s41586-020-03053-2
FlegontovNature2019	2	0	19	10.1038/s41586-019-1251-y
FregelPNAS2018	0	0	4	10.1073/pnas.1800851115
FuNature2014	1	0	0	10.0.4.14/nature13810
FuNature2015	0	0	1	10.1038/nature14558
FuNature2016	4	0	34	10.1038/nature17993
FurtwanglerNatureCommunications2020	0	0	96	10.1038/s41467-020-15560-x
GambaNatureCommunications2014	4	0	3	10.1038/ncomms6257
GonzalesFortesCurrentBiology2017	2	0	6	10.1016/j.cub.2017.05.023
GonzalesFortesProcRoyalSocB2019	0	0	4	10.1098/rspb.2018.2288
GuntherPLoS Biology2018	4	0	7	10.1371/journal.pbio.2003703
GuntherPNAS2015	3	0	5	10.1073/pnas.1509851112
HaberAJHG2017	3	0	0	10.1016/j.ajhg.2017.06.013
HofmanovaPNAS2016	4	0	3	10.1073/pnas.1523951113
JarveCurrentBiology2019	0	0	258	10.1016/j.cub.2019.06.019
JeongNatureEcologyEvolution2019	1	0	0	10.1038/s41559-019-0878-2
JeongPNAS2018	3	0	0	10.1073/pnas.1813608115
JonesCurrentBiology2017	1	0	3	10.1016/j.cub.2016.12.060
JonesNatureCommunications2015	3	0	1	10.1038/ncomms9912
KellerNatureCommunications2012	0	0	1	10.1038/ncomms1701
KilincCurrentBiology2016	1	0	0	10.1016/j.cub.2016.07.057
KrzewinskaCurrentBiology2018	6	0	23	10.1016/j.cub.2018.06.053
KrzewinskaScienceAdvances2018	3	0	35	10.1126/sciadv.aat4457
LamnidisNatureCommunications2018	0	0	15	10.1038/s41467-018-07483-5
LazaridisNature2014	3	0	2	10.1038/nature13673

Publication	Eur. LS	Afr. LS	Skin Pig.	DOI
LazaridisNature2016	8	0	0	10.1038/nature19310
LazaridisNature2017	3	0	16	10.1038/nature23310
LinderholmNatureScientificReports2020	0	0	19	10.1038/s41598-020-63138-w
LipsonNature2017	16	0	135	10.1038/nature24476
LipsonNature2020	0	6	0	10.1038/s41586-020-1929-1
MalmstromProcBiolSci2019	3	0	11	10.1098/rspb.2019.1528
MarcusNatureCommunications2020	0	0	70	10.1038/s41467-020-14523-6
MargaryanWillerslevNature2020	0	0	414	10.1038/s41586-020-2688-8
MartinianoNatureCommunications2016	5	0	9	http://10.0.4.14/ncomms10326
MartinianoPLoSGenetics2017	0	0	14	10.1371/journal.pgen.1006852
MathiesonNature2015	44	0	67	10.1038/nature16152
MathiesonNature2018	52	0	219	10.1101/135616
McCollScience2018	1	0	0	10.1126/science.aat3628
MitnikNatureCommunications2018	6	0	37	10.1038/s41467-018-02825-9
MitnikScience2019	2	0	89	10.1126/science.aax6219
NarasimhanPattersonScience2019	69	0	29	10.1126/science.aat7487
NikitinScientificReports2019	0	0	2	10.1038/s41598-019-56029-2
OlaldeNature2014	1	0	0	10.0.4.14/nature12960
OlaldeMBE2015	0	0	1	10.1093/molbev/msv181
OlaldeNature2018	43	0	388	10.1038/nature25738
OlaldeScience2019	4	0	275	10.1126/science.aav4040
PrendergastLipsonSawchukScience2019	0	10	0	10.1126/science.aaw6275
Pruefer2017	2	0	0	10.1126/science.aao1887
RivollatScienceAdvance2020	0	0	101	10.1126/sciadv.aaz5344
SaagCurrentBiology2017	2	0	7	10.1016/j.cub.2017.06.022
SaagCurrentBiology2019	0	0	43	10.1016/j.cub.2019.04.026
SanchezQuintoPNAS2019	6	0	23	10.1073/pnas.1818037116
SchiffelsNatureCommunications	7	0	10	10.1038/ncomms10408
SchlebuschScience2017	0	4	0	10.1126/science.aao6266
SchroederPNAS2019	0	0	24	10.1073/pnas.1820210116
Seguin-OrlandoScience2014	1	0	0	10.1126/science.aaa0114
SikoraNature2019	5	0	9	10.1038/s41586-019-1279-z
SikoraScience2017	5	0	5	10.1126/science.aao1807
SkoglundScience2014	1	0	11	10.1126/science.1253448
SkoglundCell2017	0	3	0	10.1016/j.cell.2017.08.049
SullivanScienceAdvances2018	0	0	8	10.1126/sciadv.aao1262
TeschlerNicolaCommunicationsBiology2020	0	0	2	10.1038/s42003-020-01372-8
UnterlanderNatureCommunications2017	2	0	2	10.1038/ncomms14615
ValdioseraPNAS2018	3	0	11	10.1073/pnas.1717762115
vandeLoosdrechtScience2018	0	4	0	10.1126/science.aar8380

Publication	Eur. LS	Afr. LS	Skin Pig.	DOI
VeeramahPNAS2018	0	0	40	10.1073/pnas.1719880115
VillalbaMoucoCurrentBiology2019	1	0	10	10.1016/j.cub.2019.02.006
WangNatureCommunications2019	3	0	47	10.1038/s41467-018-08220-8
ZallouaScientificReports2018	0	0	1	10.1038/s41598-018-35667-y

Table 7: **Original publications for samples used in each analysis.** Publications are identified by First author name, year, and journal, with the doi provided. Also given are the number of samples from that publication used in each analysis (Eurasian Lifestyle, African *FADS1* Lifestyle, Skin Pigmentation). Specific samples used can be found in Supplemental File S1