Linguistic Modulation of Phoneme Encoding

1 Linguistic modulation of the neural encoding of

2 phonemes

- ³ Seung-Goo Kim¹, Federico De Martino², Tobias Overath^{1,3,4}
- ⁴ ¹Department of Psychology and Neuroscience, Duke University, Durham, N.C., U.S.A.
- ⁵ ²Faculty of Psychology and Neuroscience, University of Maastricht, The Netherlands.
- ⁶ ³Duke Institute for Brain Sciences, Duke University, Durham, N.C., U.S.A.
- ⁷ ⁴Center for Cognitive Neuroscience, Duke University, Durham, N.C., U.S.A.
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9 Corresponding authors:

- 10 <u>solleo@gmail.com</u> (S.-G. K.), <u>t.overath@duke.edu</u> (T. O.)
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- 14

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15 Abstract

16 Speech comprehension entails the neural mapping of the acoustic speech signal onto learned linguistic 17 units. This acousto-linguistic transformation is bi-directional, whereby higher-level linguistic processes 18 (e.g., semantics) modulate the acoustic analysis of individual linguistic units. Here, we investigated the 19 cortical topography and linguistic modulation of the most fundamental linguistic unit, the phoneme. We 20 presented natural speech and 'phoneme quilts' (pseudo-randomly shuffled phonemes) in either a familiar 21 (English) or unfamiliar (Korean) language to native English speakers while recording fMRI. This design 22 dissociates the contribution of acoustic and linguistic processes towards phoneme analysis. We show 23 that (1) the four main phoneme classes (vowels, nasals, plosives, fricatives) are differentially and 24 topographically encoded in human auditory cortex, and that (2) their acoustic analysis is modulated by 25 linguistic analysis. These results suggest that the linguistic modulation of cortical sensitivity to phoneme 26 classes minimizes prediction error during natural speech perception, thereby aiding speech 27 comprehension in challenging listening situations.

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28 1 Introduction

29 Speech comprehension relies on the neural mapping of the acoustic speech signal onto linguistic 30 categories (Hickok and Poeppel, 2007; Kleinschmidt and Jaeger, 2015; Poeppel et al., 2008). As such, 31 the acoustic speech waveform that reaches our ears is converted into a neural code in the inner ear, 32 which is then processed along the ascending auditory system and subsequently matched to learned 33 linguistic categories (Friederici, 2011; Hickok and Poeppel, 2007). However, while this acousto-linguistic 34 transformation is the basis for successful speech comprehension, many aspects of it still remain unknown. 35 Here, we asked (1) whether the acousto-linguistic transformation of the most fundamental linguistic unit, 36 the phoneme, is organized topographically by phoneme class in human auditory cortex, and (2) whether 37 this transformation is malleable to top-down linguistic information.

38 The phoneme is the smallest perceptual unit capable of determining the meaning of a word (e.g., the 39 words pin and chin differ only with respect to their initial phonemes) (Stevens, 2000). Of the upward of 40 100 phonemes in use world-wide, approximately 44 phonemes make up the English language and these 41 are categorized primarily based on articulatory features into four main classes: vowels, nasals and 42 sonorants, plosives, fricatives and affricates (Ladefoged, 2001; Ladefoged and Johnstone, 2015). Each 43 phoneme class has characteristic acoustic features; for example, while vowel sounds display a sustained 44 period of harmonicity, plosives are characterized by a brief period of silence followed by a short 45 broadband noise burst. Individual phonemes and the phoneme classes to which they belong have distinct 46 temporal neural correlates: each phoneme class has a unique time-locked neural response, or phoneme-47 related potential (PRP; Khalighinejad et al. (2017); Lee and Overath (in revision)). The phoneme-class-48 specific PRPs likely reflect the neural analysis of their acoustic characteristics (e.g., timing of energy onset, 49 harmonicity, etc.) in functionally separate parts of auditory cortex. While previous intracranial recording 50 studies similarly revealed phonetic feature selectivity in the human superior temporal gyrus (Mesgarani et 51 al., 2014; Yi et al., 2019), they found no topographical organization of phoneme classes.

52 Of course, phonemes do not occur in isolation, but instead form sequences to create syllables and 53 words. The order in which phonemes can occur is governed by phonotactics, and is unique to each 54 language (Chomsky and Halle, 1965). Apart from learning to recognize the language-specific phonemes 55 themselves (Cheour et al., 1998), phonotactics is one of the first sets of rules infants need to learn during 56 language acquisition (Friederici and Wessels, 1993; Jusczyk et al., 1994; Mattys and Jusczyk, 2001). This 57 may be achieved via learning the likelihood of phoneme transitions: for example, in English certain 58 phoneme transition probabilities are statistically unlikely (or even non-existent, e.g., /dla/) while others 59 are statistically more likely (e.g., /gla/). A similar principle is thought to be employed for syllable transitions, 60 where statistically improbable syllable transitions can indicate between-word boundaries (Saffran et al., 61 1996).

Thus, while the initial analysis of phonemes is based on their acoustic features (Khalighinejad et al., 2017; Lee and Overath, in revision; Mesgarani et al., 2014; Yi et al., 2019), subsequent processing stages are likely more linguistic in nature, such as those identifying language-specific phonemes or phonotactics, or even higher-level processes underlying the analysis of syntax, semantics, or lexical access (Friederici et al., 1993; Kocagoncu et al., 2017; Kutas and Hillyard, 1983). While decades of research have resulted in detailed speech/language models (Friederici, 2011; Hickok and Poeppel, 2007; Rauschecker and Scott,

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68 2009), a clear demarcation between acoustic and linguistic analyses has largely remained elusive. One reason for this is that, in everyday listening situations, acoustic and linguistic analyses are difficult to 69 70 separate and likely interact, e.g., via top-down modulation of acoustic feature analysis by linguistic 71 processes (Anderson et al., 2003; Davis and Johnsrude, 2007; Díaz et al., 2008). In addition, previous 72 studies that investigated phoneme processing in naturalistic contexts (Khalighinejad et al., 2017; 73 Mesgarani et al., 2014), did so only in a familiar language: this approach is unable to dissociate the initial 74 acoustic processes from the obligatory nature of linguistic processes that become engaged in a native, 75 familiar language.

76 In contrast, Lee and Overath (in revision) were recently able to dissociate the acoustic and linguistic 77 processes underlying phoneme analysis by comparing PRPs in familiar vs. foreign languages. They used 78 a variant of a novel sound guilting algorithm (Overath et al., 2015; Overath and Paik, 2021) to create 79 speech-based guilts in which linguistic units (phoneme, syllable, word) were pseudo-randomly 'stitched 80 together' to form a new stimulus. This paradigm allowed the comparison of an acoustic stimulus 81 manipulation (speech-based quilting) in a familiar vs. foreign language: if the processing of phonemes is 82 affected by the acoustic manipulation (increasing linguistic unit size of speech guilts) in a familiar language 83 only, then this would suggest that linguistic analysis in the familiar language influenced the acoustic 84 analysis of phonemes. Put differently, if no phonemic repertoire or phonotactic rules are available to a 85 listener (as is the case in a foreign language), the encoding of phonemes themselves should be 86 independent of their ordering (phonotactics) or linguistic unit size in which they appear. Using EEG to 87 investigate the PRP for different phoneme classes (Khalighinejad et al., 2017), Lee and Overath (in revision) 88 found that vowels in particular are amenable to such top-down linguistic modulation. However, the limited 89 spatial resolution of EEG did not allow inferences as to where in the auditory cortex (or beyond) such top-90 down modulation might originate, or act upon.

91 Recent advances in fMRI time-series analysis have demonstrated that the neural activity to natural 92 speech stimuli can be predicted from fast-paced acoustic (e.g., envelope, spectrum), phonological, and 93 semantic features via linearized encoding modeling (De Heer et al., 2017; Huth et al., 2016). Inspired by 94 this approach, the current study employed linearized encoding modeling of fMRI data in human speech 95 cortex in an effort to reveal the separate encoding of acoustic and linguistic features of speech. 96 Specifically, we used speech-based quilting (phoneme quilts vs. original speech) in familiar (English) vs. 97 foreign (Korean) languages to dissociate the neural correlates of the acoustic and linguistic processes 98 that contribute to the analysis of a fundamental linguistic unit, the phoneme. We show, for the first time, 99 (1) that individual phoneme classes are differentially and topographically encoded in fMRI data, and (2) 100 that their acoustic analysis is modulated by linguistic processes.

101 2 Results

Linearized encoding models with predictors for the four phoneme classes (i.e., vowels, nasals and approximants, plosives, fricatives and affricatives; "Phonemes") and the cochleogram envelope ("Envelope") were used to predict the fMRI time series acquired from native English speakers without any knowledge in Korean while listening to speech stimuli in four conditions (phoneme quilts or original

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speech, in English or Korean). We used multiple ridge-regression models to infer the significance of the

- 107 encoding contribution of a specific predictor or a condition from an increase in prediction accuracy (i.e.,
- 108 Pearson's correlation coefficient) when adding the specific predictor or condition to a model (see **Figure**
- 109 **4** for an overview of the analysis).

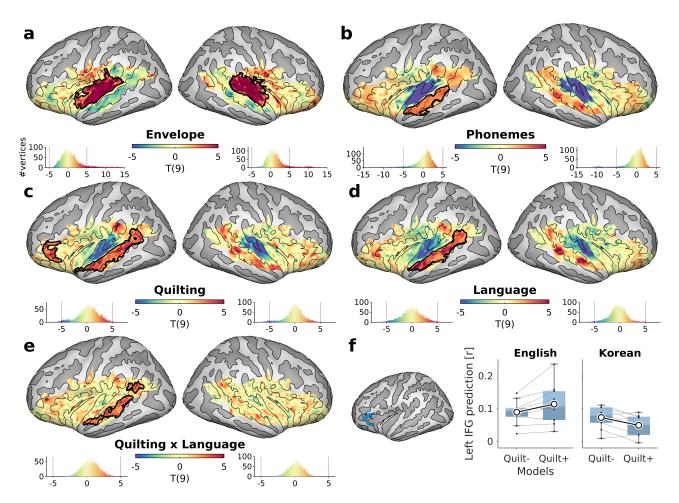
110 *2.1 Encoding of phonemes and conditions*

We first investigated whether the acoustic envelope and linguistic phoneme classes are encoded differently in auditory cortex. The inclusion of the Envelope predictor yielded a marked increase in prediction accuracy in the primary auditory cortex, mid-STG, and planum temporale (max t(9) = 19.816; min cluster-P < 0.0001; max positive cluster size = 1649 vertices; max diff r = 0.0139, 95%CI = [0.012, 0.015]; **Figure 1a**). Conversely, the addition of the Phoneme predictors revealed the strongest positive contribution in left STS (max t(9) = 5.540; min cluster-P = 0.032; max cluster size = 678 vertices; max diff r = 0.156 [0.076, 0.253]; **Figure 1b**).

118 After establishing that both Envelope and Phonemes have significant contributions (and should 119 therefore be included in the full model), we investigated the effects of the factors Quilting and Language. 120 We found a main effect of Quilting in the left STS and left IFG (max t(9) = 7.298; min cluster-P = 0.003; 121 max cluster size = 1028 vertices; max diff r = 0.133 [0.091, 0.171]; Figure 1c), and a main effect of 122 Language in a similar location in the left STS, but not in IFG (max t(9) = 8.086; min cluster-P = 0.005; max 123 cluster size = 939 vertices; max diff r = 0.113 [0.061, 0.166]; Figure 1d). Left STS also revealed an 124 interaction, where the prediction accuracy change by modeling the Original-vs-Quilting conditions was 125 positive for English conditions and negative for Korean (max t(9) = 5.465; min cluster-P = 0.003; max 126 cluster size = 517 vertices; max diff r = 0.181 [0.103, 0.276]; Figure 1e).

127 A previous study from our group (Overath and Paik, 2021) used a similar paradigm to dissociate 128 acoustic and linguistic processes by guilting speech (English vs. Korean) with various durations of 129 temporal speech structure (set segment durations, e.g., 30 ms or 960 ms, as opposed to linguistic units 130 with variable durations as in the current study); they found that activity in left IFG increased as a function 131 of temporal speech structure only for English, but remained unaffected for increases in temporal speech 132 structure in Korean. Since the acoustic manipulation of temporal speech structure was the same in both 133 languages, this result was interpreted as evidence for an acousto-linguistic transformation in left IFG. We 134 therefore tested for a similar interaction using anatomically defined ROIs of IFG (a combination of 135 G front inf-Orbital and G front inf-Triangul in FreeSurfer's Destrieux "a2009s" Atlas). The 136 permutation test revealed an interaction in the left IFG that was due to a selective increase in prediction 137 accuracy in the English conditions (t[9] = 3.63, P = 0.005; Figure 1f).

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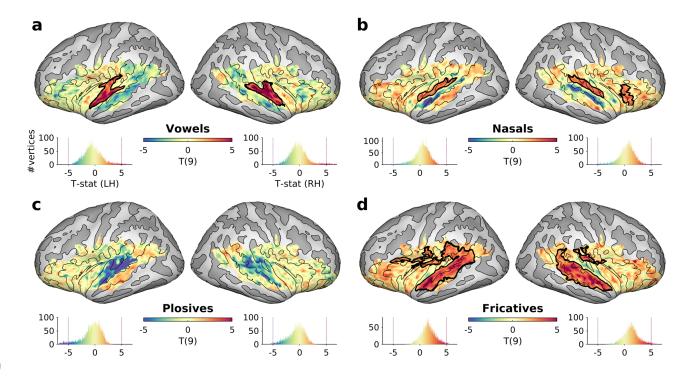
139 Figure 1. Encoding of features and conditions. t-statistic maps comparing prediction 140 accuracies from models with vs. without (a) Envelope, (b) Phonemes, (c) Quilting, (d) Language, (e) and differences in Quilting encoding between languages (i.e., 141 142 interaction). *t*-maps are truncated at |t| < 5 for visualization; thick contours in black 143 mark areas with cluster-P < 0.05. Curvatures of the cortical surface are displayed in 144 brighter (convex) and darker (concave) grays with an isocontour at the curvature of 145 zero in black. Colored histograms of the *t*-values over the full range are displayed 146 below each hemisphere. See Supplementary Figure S6 for effects at the subject-level. 147 (f) Average prediction accuracies in the region-of-interest (ROI) in the left inferior 148 frontal gyrus (IFG; marked in blue) are shown for English and Korean when modeling 149 the guilting conditions (Quilt+) or not (Quilt-). Individual participants are marked as 150 black dots and paired for identical participants. The means are marked by white 151 circles and linked for comparison between models. Box plots mark the first three 152 quartiles (top and bottom edges of a box and a shade) and the 1.5 interquartile range 153 (whiskers).

154 2.2 Encoding of individual phoneme classes

We further investigated the encoding of individual phoneme classes by comparing prediction accuracies between a full model and a reduced model without a specific phoneme class feature (**Figure 2a–d**). This

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- 157 analysis revealed significant increases in prediction accuracies when adding vowels in the bilateral HG
- and STG, when adding nasals in the bilateral PT and lateral HG, and when adding fricatives in the bilateral
- 159 STS.

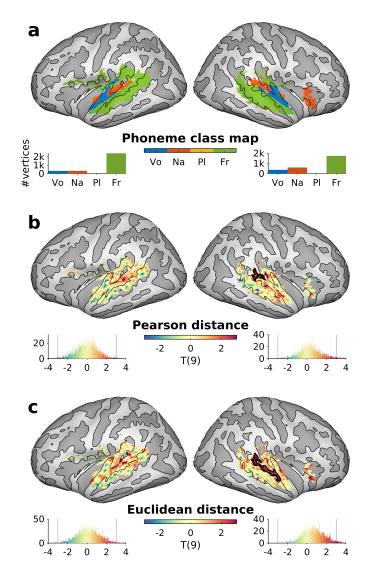


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161Figure 2. Unique encoding of individual phoneme classes. *t*-statistic maps comparing162the prediction accuracy of a full model with that of a reduced model without a specific163phoneme feature: (a) vowels, (b) nasals, (c) plosives, or (d) fricatives. Thick contours in164black mark areas for which cluster-*P* < 0.05.</td>

165 Since adding phoneme classes had significant effects in distinct spatial patterns, we constructed a 166 winner-take-all map of phoneme classes (thresholded for cluster-P < 0.05 for any phoneme class; Figure 167 3a). The effects of adding phoneme classes were largely symmetrical between hemispheres: vowels in HG and lateral STG, nasals in anterior Heschl's sulcus (HS) and PT, and fricatives in lateral STG and STS 168 169 (however, note the binary nature of the winner-take-all map; see Figure S7 for low-dimensional 170 projections of the phoneme encoding vectors). Consequently, we tested whether phoneme classes were 171 encoded differently as a function of Quilting, and whether this effect was more pronounced in English 172 than in Korean; such an interaction would suggest that linguistic processes (which are available in a 173 familiar, or native language only) modulate the processing of phonemes. We reasoned that this would be 174 the case if the encoding of phoneme classes was affected by quilting more in English than in Korean; in 175 other words, if, for a given vertex, the difference between the phoneme class vector of guilted vs. original 176 speech was more dissimilar in English than in Korean (see Figure 5 for a schematic cartoon of the 177 multivariate analysis). Both Pearson and Euclidian distances between phoneme class vectors in guilted 178 vs. original speech conditions were significantly greater in English than in Korean in the right STG 179 (Pearson distance, cluster-P = 0.0469, Figure 3b; Euclidian distance, cluster-P = 0.0029, Figure 3c).

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181Figure 3. Encoding patterns of phoneme classes. (a) Winner-take-all map for the four182phoneme classes. Vertices were selected for significant encoding for any phoneme183class (cluster-P < 0.05). The histograms denote the number of 'winner' vertices per184phoneme class in the left and right hemisphere. (b-c) Multivariate distance metrics185were greater in English than Korean in the right posterior lateral STG (cluster-P <1860.05), when computed with respect to (b) Pearson distance and (c) Euclidean187distance.

188 **3 Discussion**

The phoneme is the fundamental linguistic unit that determines the meaning of words. We show that the four main phoneme classes are encoded in fMRI data recorded from continuous speech signals, revealing a distinct phoneme class topography in human auditory cortex. Moreover, the acoustic processes underlying this phoneme analysis are modulated by linguistic analysis, whereby the acoustic manipulation (phoneme quilts vs. original speech) affected phoneme encoding more in a familiar language than in a foreign language. The results also reveal relatively stronger neural correlates for lower-level acoustic

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attributes (e.g., speech envelope) of the speech signal in early auditory cortex, and for higher-levellinguistic features (phoneme classes) in STS.

197 **3.1** Distinct encoding of individual phoneme classes

198 To our knowledge, we present the first evidence for topographically organized phoneme-class sensitive 199 responses in human auditory cortex using fMRI data measured from listening to continuous, natural 200 speech signals. More specifically, while some previous studies investigated phoneme processing by 201 presenting individual phonemes in isolation (e.g., Formisano et al. (2008); Obleser et al. (2010); see also 202 review in DeWitt and Rauschecker (2012)), the power of the current approach rests on the fact that it 203 delineates phoneme-class sensitive responses within a more ecologically valid environment of 204 continuous speech (Hamilton and Huth, 2020). As such, the phoneme-class map can be regarded as the 205 hemodynamic equivalent of the electrophysiological phoneme-related potential (Khalighinejad et al., 2017; 206 Mesgarani et al., 2014).

207 Figure 3a revealed a clear topography for different phoneme classes, which was remarkably homologous between the left and right hemispheres, down to the cluster for nasals in antero-medial HS. 208 209 Vowels showed the strongest sensitivity in early auditory cortex in HG and parts of STG. Since one of the 210 main features of vowels is their harmonicity, which is a defining characteristic of pitch (Plack et al., 2005), 211 this matches well with studies that have shown pitch-sensitive responses in these early cortical areas 212 (Bendor and Wang, 2005; Griffiths and Hall, 2012; Hall and Plack, 2009; Kim et al., in revision). Of the four 213 phoneme classes, fricatives 'won' the majority of vertices, which were mostly located in non-primary 214 cortex (STG and STS). While the large extent was a somewhat unexpected finding, its location in non-215 primary cortex agrees with a previously proposed functional hierarchy in auditory cortex, whereby 216 spectral filter width increases (e.g., from sinusoids via narrowband to broadband noise) from primary to 217 non-primary auditory cortex (Carrasco and Lomber (2009); Chevillet et al. (2011); Pandya et al. (2007); 218 Rauschecker and Tian (2004); Rauschecker et al. (1995); Wessinger et al. (2001) though see Overath et 219 al. (2012); Wang et al. (2012)). Since high-frequency broadband noise is a defining characteristic of 220 fricatives, the strong response in STG and STS could reflect such spectral sensitivity. Nasals revealed 221 highest prediction accuracies in the non-primary auditory cortex (e.g., lateral HG, PP, and PT). These 222 areas roughly correspond to regions that display sensitivity to slow temporal modulations (Baumann et 223 al., 2015; Santoro et al., 2014). In particular, Schönwiesner and Zatorre (2009) revealed a preference for 224 low spectral density (with slow temporal modulation rates) in these regions. Nasals are characterized by 225 a 'murmur', related to the nasal resonances if the oral tract is closed, which effectively amounts to a low-226 pass filter (Qi and Fox, 1992). An animal model (ferrets) showed that nasals tend to excite primary auditory 227 cells that are tuned for slow temporal modulations (Mesgarani et al., 2008). Thus, it is conceivable that 228 the spectrotemporal modulation preference in the non-primary auditory cortex is reflected in the preferred 229 encoding of the narrow-band filtered fluctuation of nasals. Finally, plosives, which are characterized by a 230 complete occlusion followed a subsequent broadband burst, were the only phoneme class that did not 231 reveal areas with maximal prediction accuracy compared to the other phoneme classes. As hinted in 232 Figure 2c, there was a slight (subthreshold) increase in prediction accuracies in the left STS for plosives, 233 which is similar to that of fricatives but at weaker magnitudes. This is in line with a similarity between 234 responses to plosives and to fricatives (Khalighinejad et al., 2017), which suggests similar neural generators. Plosives and fricatives are both characterized by noise bursts; the brevity of the burst in plosives compared to the more sustained noise burst in fricatives might have resulted in an overall lower encoding prediction for plosives in similar regions as those encoding fricatives, which in turn might explain the absence of plosive phoneme class 'winner' regions in the current study.

239 Mesgarani et al. (2014) measured phoneme-related potentials from continuous speech using 240 intracranial ECoG recordings from six epileptic patients undergoing pre-surgery evaluation, but did not 241 find a consistent topographic organization of phoneme classes across patients. However, upon closer 242 inspection, their Figure S6, which depicts electrodes in a winner-take-all manner along the STG for one 243 patient, is compatible to the results we report here with fMRI: vowel-sensitive electrodes were located 244 near lateral HG and adjacent STG, while fricative-sensitive electrodes were found over STS and posterior 245 STG. Interestingly, plosive-sensitive electrodes were also located over STS and posterior STG. Four of 246 the six nasal-sensitive electrodes were located on STG either anterior or posterior to HG, which generally 247 matches the current topography. Given that the current results are based on ~160 minutes of stimuli per 248 participant (see Methods), compared to ~17 minutes of stimuli in Mesgarani et al. (2014), it is conceivable 249 that the collection of more ECoG data would have revealed a topography such as the one we report here 250 (though the higher signal-to-noise ratio of ECoG data likely reduces this order of magnitude difference).

While **Figure 3a** suggests clear topographic distinctions between phoneme classes, it is important to note that this is partly an artifact of winner-take-all maps and does not necessarily implicate that acoustic features of, for example, vowels are not processed beyond HG (just that their prediction accuracy was not maximal elsewhere). In fact, **Figure S7b** highlights the underlying high-dimensionality of responses at each vertex.

256 *3.2 Linguistic modulation of acoustic phoneme-class analysis*

257 One of our aims was to dissociate acoustic from linguistic processes, which would enable us to determine 258 their interaction, i.e., whether linguistic processes modulate the acoustic analysis of phonemes. To this 259 end, we found that the acoustic manipulation (phoneme quilts vs. natural speech) had a larger effect on 260 phoneme processing in a familiar language (English) than in a foreign language (Korean): phoneme class 261 encoding was more dissimilar between phoneme-quilt and natural speech conditions in English than in 262 Korean. Since the acoustic manipulation was the same for each language, this suggests that the greater 263 difference between acoustic contexts was due to linguistic processes becoming engaged in a familiar 264 language. Linguistic processes such as phonotactic, syntactic, as well as semantic analyses might 265 therefore modulate the acoustic processing of phonemes, e.g., via hierarchical predictive coding or 266 minimizing top-down prediction errors (Friston and Kiebel, 2009; Rao and Ballard, 1999). To our 267 knowledge, this is the first demonstration of such linguistic modulation of a fundamental linguistic unit 268 using fMRI. However, these results align with Lee and Overath (in revision), who found similar evidence 269 for top-down linguistic modulation of phonemic analysis using a different recording modality (EEG).

Perhaps the best-known example of the modulatory influence of linguistic information is that of phonemic restoration (Samuel, 1981; Warren, 1970). In phonemic restoration, a phoneme is still 'perceived' even if it is masked or replaced completely by noise. This is often interpreted as an advantageous adaptation to speech perception in noisy environments, where it is common for

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274 interrupting or masking sounds to last only for a few tens or hundreds of milliseconds (i.e., on a temporal 275 scale that is commensurate with that of phonemes). The top-down predictive nature of this phenomenon 276 is further highlighted by the fact that, if the acoustic information is ambiguous, a 'best guess' phoneme 277 is perceived (Leonard et al., 2016; Samuel, 1987). In fact, there is a wealth of evidence for such restorative 278 processes in speech perception, for example from studies using noise-vocoded stimuli (Giraud et al., 279 2004; Narain et al., 2003; Obleser et al., 2008; Scott et al., 2000; Shannon et al., 1995; Wild et al., 2012) 280 or other methods to distort the speech signal (Davis et al., 2011; Eckert et al., 2016), while the most 281 common explanation for restorative effects refers to top-down, predictive (Friston and Kiebel, 2009) 282 linguistic processes.

283 The locus of phonemic restoration, i.e. the region in which linguistic modulation is strongest, was 284 recently shown to be situated in bilateral STG, likely due to receiving modulatory signals from left IFG 285 (Leonard et al., 2016). This aligns remarkably well with the current study, where we found the strongest 286 effect of linguistic modulation along right STG. Note that this region along STG touches upon areas of all 287 three phoneme-class 'winners' (vowels, nasals, fricatives; cf. Figure 3a) and is therefore ideally situated 288 to modulate the neural analysis of these phoneme classes. The apparent right-lateralization may in part 289 be a consequence of the cluster-forming threshold, which penalizes or disregards smaller activation 290 clusters, since similar but smaller peaks along left STG are also visible for both Pearson and Euclidean 291 distance metrics (Figure 3b-c).

292 The STG is a reasonable locus for such linguistic modulation, since it represents an intermediary 293 processing stage in the language network that receives bottom-up information from primary auditory 294 cortex and PT, as well as top-down information from higher-order auditory and frontal regions (Friederici, 295 2009, 2011; Hickok and Poeppel, 2007; Rauschecker and Scott, 2009). For example, the analysis of 296 spectral shape (a necessary computation to differentiate between the formant structures of different 297 vowels) relies on bottom-up changes in effective connectivity between HG to PT, as well as PT to 298 STG/STS regions (Kumar et al., 2007; Warren et al., 2005). In contrast, top-down signals from frontal 299 cortex (e.g., left IFG) have been shown to modulate speech processing in auditory cortex (Cope et al., 300 2017; Overath and Paik, 2021; Park et al., 2015; Sohoglu et al., 2012).

301 In the domain of electrophysiological measurements of speech perception, there is currently 302 disagreement as to the extent that neural indices (such as speech-envelope entrainment, or phoneme 303 encoding) can be interpreted as markers of linguistic processes that are necessary for speech 304 comprehension (Di Liberto et al., 2015; Ding and Simon, 2013; Luo and Poeppel, 2007; Vanthornhout et 305 al., 2018), or whether a more parsimonious explanation of these indices is that they reflect the analysis 306 of characteristic acoustic properties of the speech signal (Baltzell et al., 2017; Daube et al., 2019; Howard 307 and Poeppel, 2010; Millman et al., 2015; Verschueren et al., 2021). Our study is able to shed new light on 308 this controversy by directly comparing the encoding of acoustic properties of phonemes in either a 309 familiar language or in a foreign language, in which no higher-level linguistic analysis takes place. Based 310 on the current results, we suggest that both accounts have merit: an (initial) analysis is likely driven by 311 the acoustic properties of phonemes, while a (subsequent) linguistic analysis modulates this acoustic 312 analysis.

We should note that the current study did not address or measure linguistic processes explicitly. For example, participants did not perform a linguistic task (e.g., speech comprehension), but were simply

315 asked to detect a change in speaker, a task that is largely orthogonal to linguistic processing (see also 316 Overath and Paik (2021) for a similar task). Therefore, we interpret the linguistic modulation of phoneme 317 class analysis as obligatory linguistic processes that become engaged as soon as familiar linguistic 318 templates (e.g., phonotactics, syntax, lexicon, semantics) are detected in the signal. Future studies will 319 need to determine whether, and to what extent, these obligatory linguistic processes for phoneme 320 analysis are malleable to various tasks that engage specific linguistic processes. For example, the neural 321 processing of acoustic features in speech sounds has been shown to be enhanced or sharpened if they 322 are task-relevant, attended to vs. ignored, or primed (Holdgraf et al., 2016; Leonard et al., 2016; 323 Mesgarani and Chang, 2012; Rutten et al., 2019), and similar processes might become engaged for 324 phoneme class encoding.

325 3.3 Encoding of envelope and phoneme classes in the BOLD time series

326 One of our preliminary aims was to confirm that rapid acoustic and phonetic features can be shown to 327 be encoded in a hemodynamic response that is approximately two orders of magnitude slower (tens of 328 milliseconds vs. seconds). Encoding of these features had previously been demonstrated using 329 electrophysiological methods, which afford commensurate millisecond temporal resolution (Di Liberto et 330 al., 2015; Khalighinejad et al., 2017; Mesgarani et al., 2014; Yi et al., 2019). Nevertheless, the novel use 331 of linearized ridge-regression modeling of fMRI BOLD signal time series was recently employed to 332 successfully (and separably) predict acoustic and phonetic features: De Heer et al. (2017) collected fMRI 333 data while presenting continuous, natural speech, and were able to reveal that the acoustic speech 334 envelope predicted the BOLD time series best in HG, whereas articulatory phonetic features were 335 predicted most accurately in higher-level auditory cortex such as STG. The current study is in broad 336 agreement with these findings: while areas in early auditory cortex best encoded the acoustic speech 337 envelope, higher-level areas in STG and STS did so for phoneme classes.

338 More broadly, our study confirms that neural responses to rapid speech features, which are temporally 339 integrated over several hundreds of milliseconds in the BOLD time series, can be revealed using linearized 340 encoding modeling. Such models take advantage of the spatially separated functional organization of 341 auditory cortex, for example with respect to prominent acoustic features such as frequency, spectro-342 temporal modulations, or spectral bandwidth (Baumann et al., 2015; Moerel et al., 2018; Rauschecker 343 and Tian. 2004: Saenz and Langers. 2014: Santoro et al., 2014). This should encourage the future use of 344 more naturalistic stimulus paradigms that allow the investigation of the complex dynamics of linguistic 345 processes (Hamilton and Huth, 2020).

346 *3.4 Modulation of acoustic and linguistic contexts*

The analyses of the two factors Quilting and Language were motivated by previous studies that investigated the processing of temporal speech structure using segment-based speech quilting. In particular, these studies showed sensitivity in STS to temporal speech structure in either only a foreign language (Overath et al., 2015), or both familiar and foreign languages (Overath and Paik, 2021), which is comparable to a main effect of Quilting here. In addition, activity in left IFG revealed an interaction between Quilting and Language and increased as a function of temporal speech structure only in the

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familiar language (Overath and Paik, 2021). In the current study, Quilting and Language both had greater
 prediction accuracies in left STS, while their interaction in the same area (as well as left IFG) was due to
 larger prediction accuracy differences between the two Quilting conditions in English than in Korean.

356 For successful speech comprehension, the temporal dynamics of speech necessitate analyses at 357 multiple scales that are commensurate with the average durations of phonemes, syllables, words, 358 sentences, etc. This temporal hierarchy is thought to be reflected in a cortical processing hierarchy in 359 which the neuronal temporal window of integration (Theunissen and Miller, 1995) increases from primary 360 auditory cortex via non-primary auditory cortex to frontal cortex (e.g., Lerner et al. (2011); Norman-361 Haignere et al. (2020); though see Blank and Fedorenko (2020) for a recent counterargument against the 362 hierarchy). The current results of greater prediction accuracy in STS as a function of Quilting largely 363 support this view. A novel finding is the left-hemispheric lateralization. However, it is possible that this 364 was driven by the interaction between Quilting and Language.

365 It is important to note that the segment-based quilting in previous studies disrupted the speech signal 366 to a larger degree than the speech-based quilting employed here. The shortest segment length (30 ms) 367 used in the previous studies, together with their placement irrespective of linguistic units, likely resulted 368 in no phonemes being left intact in the resulting speech quilt. In contrast, the current speech-based 369 quilting procedure preserved the phonemes (though likely still disrupted co-articulation cues).

370 *3.5 Future directions*

371 The current study makes a number of predictions for future studies investigating the acousto-linguistic 372 transformation of speech. We show evidence for linguistic modulation of a fundamental linguistic unit, 373 the phoneme, in native English speakers when listening to English speech, but not when listening to a 374 foreign language for which participants had no linguistic repertoire. Therefore, while it is unlikely that the 375 current results are specific to English phonemes, future studies should confirm this interaction in native 376 Korean participants who have no knowledge of English. Similarly, people who are perfectly bilingual in 377 English and Korean should show evidence for linguistic modulation in both languages as a function of 378 quilting, while those for whom both languages are foreign should not.

379 In addition, the fact that the linguistic modulation of the acoustic speech signal operates at such an 380 early stage of linguistic analysis likely reflects its significance: if linguistic modulation starts at the level of 381 phonemes, its ability to impact a later word processing stage is conceivably greater than if linguistic 382 modulation only started at the word processing stage. Given the highly predictive nature of speech 383 processing (see Section 3.2 above), such modulation might be particularly helpful in situations in which 384 the speech signal is compromised (e.g., in noisy conditions such as in a restaurant or bar). People with 385 hearing loss (e.g., presbycusis) are a clinical population that is known to struggle in such situations, even 386 with the help of hearing aids (Moore, 1996; Shinn-Cunningham and Best, 2008). It is therefore possible 387 that (at least) one reason for their exacerbated speech comprehension difficulties in noisy situations is 388 that the linguistic modulation of phonemes has deteriorated, thereby reducing the effectiveness of 389 predictive speech processes. A similar argument might be made for people suffering from 'hidden hearing 390 loss': i.e., hearing difficulties without detectable deficits in routine audiometry tests (Kujawa and Liberman,

2009; Ruggles et al., 2011). We predict that linguistic modulation of phoneme analysis is reduced in these
 populations (particularly in situations with background noise) and might thus serve as a clinical marker.

393 On a more technical note: we investigated the relative contributions of several predictors in our models. 394 However, it is possible that the addition of predictors leads to a decrease in prediction accuracy, which 395 can be explained by over-penalization originating from using a single regularizing parameter for all 396 features. During optimization, introducing irrelevant features into the model could require greater 397 regularization to minimize prediction errors. This would shrink predictions from "well-predicting" 398 predictors, resulting in an overall decrease in prediction accuracy. In such instances, banded ridge 399 regression, where independent regularization parameters for multiple groups of features are optimized 400 together, has recently been proposed as a solution to avoid over-penalization (Nunez-Elizalde et al., 2019). 401 Future studies will need to explore the benefits of such an approach in paradigms that attempt to explain 402 multiple features from fMRI data.

403 *3.6 Conclusions*

404 In conclusion, the current study demonstrates for the first time that individual phoneme classes derived 405 from continuous speech signals are encoded differentially in the BOLD signal time series. The phoneme 406 class topography reflects the processing of the different acoustic features that characterize the four 407 phoneme classes. As such, it represents a significant step in our understanding of the functional 408 organization of human auditory cortex. Moreover, by using a design that dissociates acoustic from 409 linguistic processes, we show that the acoustic processing of a fundamental linguistic unit, the phoneme, 410 is modulated by linguistic analysis. The fact that this modulation already operates at such an early stage 411 likely enhances its ability to impact subsequent, higher-level processing stages, and as such might 412 represent an important mechanism that facilitates speech comprehension in challenging listening 413 situations.

414 4 Methods

415 4.1 Participants

Ten native English speakers without any knowledge or experience in Korean participated in the current study (mean age = 24.0 ± 2.2 years; 6 females). Eight participants volunteered in three sessions consisting of 8 runs each on separate days (intervals in days: mean = 8.5, standard deviation = 16.6, min = 1, max = 70) and two other participants in a single session each (6 runs and 8 runs, respectively), resulting in a total of 24 scanning sessions. This is on par with similar approaches that maximize intra-subject reliability over intra-subject variability in the data (Breedlove et al., 2020; Huth et al., 2016; Kay et al., 2008; Moerel et al., 2013; Naselaris et al., 2015; Norman-Haignere et al., 2015; Santoro et al., 2017).

All participants were recruited via the Brain Imaging and Analysis Center (BIAC) at Duke University
 Medical Center, NC, USA after safety screening for MRI (e.g., free of metal implants and claustrophobia).
 All reported to have normal hearing and no history or presence of neurological or psychiatric disorders.

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426 Informed written consent was obtained from all participants prior to the study in compliance with the 427 protocols approved by the Duke University Health System Institutional Review Board.

428 *4.2 Stimuli*

Speech stimuli were created from recordings (44,100 Hz sampling rate, 16-bit precision) of four female bilingual (Korean and English) speakers reading textbooks in either language as in previous studies (Lee and Overath, in revision; Overath and Paik, 2021). Native English and Korean speakers judged the recordings as coming from native English and Korean speakers, respectively. Korean was chosen because of its dissimilarity to English: it shares no etymological roots with English and has different syntactic and phonetic structures (Sohn, 2001).

435 We used a modified version of the quilting algorithm (Lee and Overath, in revision; Overath et al., 2015) 436 where we pseudorandomized the order of phonemes (instead of set segment lengths). First, phonemes 437 were extracted from the recordings and corresponding transcripts using the Penn Phonetic Lab Forced 438 Aligner¹ (Yuan and Liberman, 2008) for English speech and the Korean Phonetic Aligner² (Yoon and Kang, 439 2013) for Korean speech. The phoneme segmentation output was a Praat TextGrid, which was then 440 imported to MATLAB³ via the mPraat toolbox (Bořil and Skarnitzl, 2016). The alignment was manually 441 validated by a native English and Korean speaker, respectively (Lee and Overath, in revision; Overath and 442 Paik, 2021). The durations of phonemes in the recordings of natural speech in milliseconds were as 443 follows (see **Supplementary Figure S1** for histograms): min = 4.3, max = 396.2, mean = 72.8, median = 444 63.8, standard deviation = 41.7, skewness = 1.2 in English (N = 10,514); min = 8.9, max = 308.3, mean = 445 71.9, median = 63.7, standard deviation = 36.0, skewness = 1.3 in Korean (N = 10,894). The average 446 durations were similar between languages (0.9 ms longer in English, t[21406] = 1.67, P = 0.094) while the 447 distributions were slightly different for that English had more instances of short (e.g., < 20 ms) phonemes (Kolmogorov-Smirnov statistic = 0.1413, $P = 10^{-93}$). 448

449 The phoneme segments were pseudorandomly rearranged to create novel phoneme guilts. For each 450 stimulus, a random initial phoneme was chosen; subsequent phonemes were selected such that the 451 acoustic change at the boundary was as close as possible to the acoustic change in the original source 452 signal (using the L2-norm metric of an ERB-spaced cochleogram; see (Overath et al., 2015)). In addition, 453 we applied the following exclusion criteria: (a) the phoneme duration needed to be at least 20 ms, (b) two 454 identical phonemes could not occur next to each other, (c) for a given phoneme, its subsequent phoneme 455 could not be the same as in the original source signal. We used the pitch-synchronous overlap-add 456 (PSOLA) algorithm (Moulines and Charpentier, 1990) to further minimize abrupt changes in pitch at 457 phoneme boundaries. Overall alterations due to the quilting algorithm were quantified by the Kullback-458 Leibler divergence (D_{KL}) between L2-norm acoustic change distributions in the original source and the created phoneme quilt (median $D_{KL} = 0.6873$ bits for English, 0.6004 bits for Korean; Wilcoxon rank sum 459 460 equal median test: Z = 0.5913, P = 0.5543). In the phoneme quilts, the durations of phonemes in 461 milliseconds were as follows (see Supplementary Figure S1 for histograms): min = 20.0, max = 351.0,

¹ https://babel.ling.upenn.edu/phonetics/old_website_2015/p2fa/index.html

² https://korean.utsc.utoronto.ca/kpa/

³ https://github.com/bbTomas/mPraat

462 mean = 72.3, median = 63.0, standard deviation = 39.4, skewness = 1.4 in English (N = 10,467); min = 463 20.0, max = 383.0, mean = 69.7, median = 60.0, standard deviation = 36.3, skewness = 1.5 in Korean (N464 = 11,213). There were slight differences between languages in means (2.6 ms longer in English, *t*[21678] 465 = 5.08, $P = 10^{-7}$) and distributions (KS-stat = 0.0657, $P = 10^{-21}$), however, the mean difference of 2.6 ms 466 is much shorter than the modeled cochlear integration time-window of 20 ms.

For both languages (English and Korean), the 33-s long stimuli in the two experimental conditions (Original and Phoneme Quilts) were created by concatenating six 5.5-s stimuli (24 unique exemplars per condition and language). Subsequent 5.5-s stimuli were either from the same or a different speaker (participants were asked to detect changes in the speaker, see **Section 4.3**). The overall sound intensity was normalized by equalizing the root-mean-square (RMS) signal intensity across stimuli. At the beginning and the end of the 33-s stimuli, 10-ms cosine ramps were applied to avoid abrupt intensity changes.

474 *4.3 Experimental procedure*

475 Functional MRI data were acquired while participants listened to the speech stimuli (either Original or 476 Phoneme Quilts in either language) and performed a task to maintain attention to the stimuli. A 33-s trial 477 consisted of six 5.5-s stimuli of multiple speakers in a given condition. Silent inter-stimulus intervals (ISIs) 478 were uniformly varied between 5.6 s and 10.4 s (mean = 8 s). One run consisted of twelve 33-s trials, and 479 one session consisted of eight 8.5-min runs (except for one participant, who only completed six runs). 480 For one of the eight participants with three sessions, one run was prematurely terminated after 9 of the 481 12 trials due to technical difficulties (the intact 9 trials from the run were still used in the analysis). In total, 482 fMRI data corresponding to ~203 min/participant were obtained for the 8 participants with 3 full sessions 483 (average of ~174 min/participant for all 10 participants); this corresponds to ~158 minutes of stimuli (excluding the ISI) per participant with 3 full sessions (average of ~137 min/participant for all 10 484 485 participants).

The stimulus presentation timing was controlled via the Psychophysics Toolbox (v3.0.11⁴). Each run was triggered by the TTL signal from the MRI scanner mediated by a counter. Digital auditory signals at 44,100 Hz sampling rate and 16-bit precision from a Windows desktop were converted to analog signals by an external digital amplifier (Sony, Tokyo, Japan) and delivered to participants via MRI-compatible insert earphones (S14, Sensimetrics, MA, USA) at a comfortable listening level (~75 dB SPL). Participants wore protective earmuffs on top of the earphones to further reduce acoustic noise from the MRI scanner.

The task was to indicate a change in speaker (i.e., a 5.5. s stimulus of one speaker followed by a different speaker) via a button press on an MRI-compatible four-button pad (average speaker changes per trial = 3.5, between 1 and 4). The performance was assessed via d-prime $d' = \Phi^{-1}(\Pr(Y|s)) - \Phi^{-1}(\Pr(Y|n))$ where $\Pr(Y|s)$ is the hit rate in "signal" trials and $\Pr(Y|n)$ is the false alarm rate in "noise" trials and $\Phi^{-1}(\cdot)$ is the inverse cumulative distribution function of the zero-mean, unit-variance Gaussian distribution (Macmillan and Kaplan, 1985). Responses were classified as a hit if they occurred within 3 s following a change in speaker (and otherwise classified as false alarm). In the case of multiple responses

⁴ http://psychtoolbox.org/

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within one 5.5-s stimulus segment, only the first response was counted. For extreme values of hit/false
alarm rates (i.e., 0 or 1), an adjustment (i.e., adding 0.5/n to zero or subtracting 0.5/n from one for n trials)
was made to avoid infinite values of *d*' (Macmillan and Kaplan, 1985).

502 After each 33-s trial, participants received visual feedback about their performance (D' = d'/max d'503 where max d' is a d' for a perfect performance, ranging between [-100%, 100%]) with a description 504 ("POOR" for D' < 0, "FAIR" for $0 \le D' < 50\%$, "GOOD" for $50\% \le D' < 100\%$, "PERFECT!" for D' = 100%) 505 to encourage continued attention. While multiple button presses were discarded from computing d', an 506 alerting message was presented to the participants ("NO KEY PRESSED!" or "TOO MANY KEYS 507 PRESSED!") instead of the performance feedback when the button presses were too many (> 5) or none 508 (2.5% of total 2,397 trials from 9 participants; participant 1 was excluded from the d-prime analysis due 509 to a technical fault of the in-scanner response device). The average D' was $61.1\% \pm 38.4\%$ points (d' = 1.14 \pm 0.72), without a significant difference between languages (repeated-measures ANOVA, $\eta_p^2 = 0.43$, 510 F[1,7] = 5.46, P = 0.21), but between original speech and phoneme quilts ($\eta_p^2 = 0.70, F[1,7] = 16.37, P = 16.37$ 511 512 0.02).

513 4.4 Image acquisition

514 All images were acquired using a GE MR 750 3.0 Tesla scanner (General Electric, Milwaukee, WI, USA) 515 with a 32-channel head coil system at the Duke University Hospital, NC, USA. For blood-oxygen-level-516 dependent (BOLD) contrast, gradient-echo echo-planar imaging (GE-EPI) with a simultaneous multi-slice 517 (SMS) acceleration factor of 3 (i.e., 3 slices acquired in parallel with aliasing of FOV/3 shifts) was used (in-518 plane pixel size = 2 × 2 mm², slice thickness = 2 mm, FOV = 256 mm, matrix size = 128 × 128, TE = 30 519 ms, flip angle = 73°, TR = 1200 ms, phase-encoding direction = posterior-to-anterior). A total of 39 slices 520 were acquired for each volume (13 slices per band) in an interleaved ascending sequence. At the 521 beginning of a run, the volume was centered on the supratemporal plane, covering from the inferior 522 colliculus to the inferior frontal gyrus. To correct for magnetic inhomogeneity artifacts, an additional GE-523 EPI image of 3 volumes with a reversed phase encoding direction (posterior-to-anterior) was acquired 524 after each run except for the first participant.

For T1-weighted contrast, a magnetization prepared rapid gradient echo (MP-RAGE) scan covering the whole-brain (in-plane pixel size = $1 \times 1 \text{ mm}^2$, slice thickness = 1 mm, FOV = 256 mm, matrix size = 256×256 , TE = 3.2 ms, flip angle = 8° , TR = 2264 ms, number of slices = 156) was acquired at the end of each session.

529 4.5 Image processing

530 4.5.1 Anatomical images

531 T1-weighted images were segmented using SPM (SPM12; v7487⁵) to obtain tissue probability maps 532 (spm.spatial.preproc), which were used for anatomical CompCor regressors (Behzadi et al., 2007).

⁵ https://www.fil.ion.ucl.ac.uk/spm/

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533 High-resolution cortical surfaces were fully automatically constructed using FreeSurfer (v6.0.0⁶) for 534 surface-based analysis.

535 4.5.2 Functional images

536 The displacement due to inhomogeneity in the B0 field (i.e., susceptibility artifacts) was corrected using 537 topup in FSL (v5.0.11⁷) with the reversed phase-encoding images. The first 6 volumes (i.e., "dummy 538 scans") were subsequently discarded from the analyses. Temporal and spatial realignments were 539 achieved using SPM: the slices were first temporally aligned to the center of the TR using sinc-540 interpolation (spm.temporal.st), and then the volumes were spatially aligned to the mean volume using 541 4-th degree B-spline interpolation (spm.spatial.realignunwarp). Since we used a multiband sequence 542 (i.e., 3 slices were acquired simultaneously), slice acquisition time and reference time were provided 543 (instead of slice order) for slice-timing correction.

Anatomical CompCor regressors were extracted from realigned EPI volumes. On concatenated time series from voxels with > 99% probability for white matter and cerebrospinal fluid, principal component analysis (PCA) was applied to extract principal components. Six components with highest eigenvalues were used as "CompCor" regressors in the GLM denoising procedure (see **Section 4.5.3**).

Next, the EPI volumes were projected onto individual cortical surfaces (~150,000 vertices per hemisphere) at the middle depth of cortices by averaging samples at the 40%, 50%, and 60% of cortical thickness to avoid aliasing (mri_vol2surf in FreeSurfer). Surface-mapped functional data were normalized to 'fsaverage6' surfaces (40,962 vertices per hemisphere) via spherical surface registration, and then smoothed with a 2-D Gaussian kernel with the full-width-at-half-maximum (FWHM) of 6 mm (i.e., pixels of the EPI slices) via iterative nearest-neighbor averaging (mri_surf2surf in FreeSurfer).

554 4.5.3 Surface-based GLM denoising

555 We applied a model-based denoising technique for task-based fMRI data ("GLMdenoise" v1.4⁸) to the 556 surface-mapped data (Kay et al., 2013). The algorithm extracts 'noise' regressors from the data that would 557 increase prediction accuracy in leave-one-run-out-cross-validation. This is achieved by first defining 558 'noise pool' voxels with negative R² values for a given design matrix (i.e., voxels that are irrelevant to the 559 task of interest), extracting principal components from the noise pool, and then determining an optimal 560 number of components to remove as a minimal number where the improvement in cross-validation 561 prediction decays. We used box-car functions to represent the four conditions in the design matrix. On 562 average, 4.5 ± 2.1 noise regressors were regressed out. These improved reliability in estimation (mean 563 over standard errors ratio of coefficients estimates across CV folds: median increase = 0.82; mean 564 increase = 1.12) but only slightly increased predication accuracy (cross-validation R^2 : median increase = 565 0.25% points; mean increase = 0.56% points). In addition to the noise regressors, the 4-th order 566 polynomial fits to slow drifts in BOLD time series, the six CompCor regressors, and the button-press

⁶ http://freesurfer.net/

⁷ https://fsl.fmrib.ox.ac.uk/

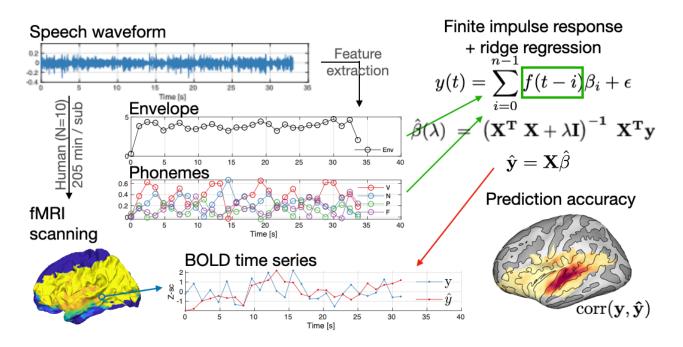
⁸ https://kendrickkay.net/GLMdenoise/

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regressors convoluted with a canonical HRF were regressed out from the residuals (i.e., prediction fromthe design matrix subtracted from the data).

569 4.6 Voxel-wise linearized encoding analysis

We predicted BOLD time series at each voxel in response to speech sounds using a linearized encoding model based on finite-impulse response (FIR) functions. Multiple lags were used to model the variable hemodynamic responses in different cortical areas (De Heer et al., 2017; Huth et al., 2016). In order to account for the collinearity of predictors representing acoustic and phonetic information, we used ridge regression to fit the model (i.e., FIR weights) and evaluated the prediction via cross-validation. The procedures are explained in detail in the following subsections.



576

577 Figure 4. Linearized encoding analysis overview. Functional MRI data was acquired 578 from 10 human participants while listening to unmanipulated, or phoneme-scrambled 579 speech stimuli in either English or Korean. From the speech waveform, cochleogram 580 envelope and the duration of phoneme classes were extracted and down-sampled at 581 the fMRI sampling rate (1/1.2 Hz). After preprocessing, surface-mapped BOLD time 582 series was predicted using a linear method (finite impulse response modeling with 583 ridge regression). The prediction accuracy was measured by Pearson correlation 584 between actual and predicted BOLD time series.

585 4.6.1 Vertex selection

586 For our interest in auditory and linguistic processing, we restricted our analysis to vertices in cortical 587 regions that are previously known to be involved in speech processing so as to avoid unnecessary 588 computations. Specifically, from the automatic parcellation based on the Desikan-Killiany cortical atlas 589 (Desikan et al., 2006), the following 19 labels were included: 'bankssts', 'caudalmiddlefrontal',

590 'inferiorparietal', 'inferiortemporal', 'lateralorbitofrontal', 'middletemporal', 'parsopercularis', 591 'parsorbitalis', 'parstriangularis', 'postcentral', 'precentral', 'rostralmiddlefrontal', 'superiorparietal', 592 'superiortemporal', 'supramarginal', 'frontalpole', 'temporalpole', 'transversetemporal', 'insula'. Selected 593 regions are visualized in Supplementary Figure S2. Vertices with BOLD time series varied across 594 participants due to the variability of head sizes, individual acquisition volumes at each session, and 595 movements across runs during sessions. Supplementary Figure S3 shows the overlap of selected 596 vertices across participants. On average, 28,297 ± 3,748 vertices were selected per participant.

597 4.6.2 Predictors

598 We included as predictors (i) the durations of phoneme classes (vowels, nasals and approximants, 599 plosives, fricatives and affricatives; Vo, Na, Pl, Fr, respectively), and (ii) the speech envelope (En). For (i), 600 the onset time and duration of each phoneme were determined and then grouped according to phoneme 601 class (Ladefoged and Johnstone, 2015; Shin, 2015) (see Supplementary Table S1). Bigram transition 602 probabilities between phoneme classes (Supplementary Figure S4) were effectively altered by the 603 quilting algorithm (Hotelling's T^2 between Original and Phoneme-quilts = 1563, $P < 10^{-6}$ for English; 604 Hotelling's $T^2 = 1258$, $P < 10^{-6}$ for Korean). The durations of phoneme classes were modelled as box-car 605 functions at the audio sampling rate (44.1 kHz) and were then down-sampled to 1/TR (1/1.2 = 0.833 Hz) 606 following anti-aliasing low-pass filtering. To align with the slice timing correction applied to the BOLD 607 time series, the resampled time points were also at the center of the TR. For (ii), the speech envelope was 608 computed from a cochleogram (30 filters from 20 to 10,000 Hz, equally spaced on an equivalent 609 rectangular bandwidth [ERB] scale) by raising the Hilbert envelope of the resulting cochleogram to a 610 power of 0.3 to simulate cochlear compression and summing energy across all 30 ERB channels 611 (McDermott and Simoncelli, 2011; Overath et al., 2015). The speech envelope was then down-sampled 612 as for the phoneme class durations.

613 The down-sampled predictors showed strong collinearity; the square root of the maximum eigenvalue 614 divided by the minimum eigenvalue of the design matrix (i.e., the "condition index") was 35, which is 615 higher than a "diagnostic" criterion (> 30) for a "moderate" multicollinearity (Belsley, 1991). This was due 616 to the high dependency between the vowel and the envelope predictors; the proportions of explained 617 variance by the corresponding eigenvector (i.e., variance decomposition proportion; VDP) were 0.93 and 618 0.99 for the vowels and the envelope, respectively. The collinearity patterns were similar across 619 conditions (Supplementary Figure S5). The existence of multicollinearity motivated the use of a 620 penalized regression.

621 4.6.3 Finite-impulse response modelling

A FIR model was used to predict the BOLD time series at each voxel. In this approach, we modelled the neural response as a convolution of the predictors and a linear FIR filter, which is a commonly used approach in receptive field mapping of neural populations (Ringach et al., 1997; Wu et al., 2006).

625 Consider a linear model for *t* time points and *p* predictors,

$$\mathbf{y} = \mathbf{X}\boldsymbol{\beta} + \boldsymbol{\varepsilon},\tag{1}$$

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where **X** is a $(t \times p)$ design matrix (i.e., a FIR model), **y** is a $(t \times 1)$ data vector (i.e., BOLD time series at a certain voxel), **β** is a $(t \times p)$ unknown coefficient vector, and **ε** is a noise vector from a zero-mean Gaussian distribution with a serial correlation $\varepsilon \sim \mathcal{N}(\mathbf{0}, \sigma^2 \mathbf{\Omega})$ where **Ω** is a $(t \times t)$ unknown covariance matrix and σ^2 is a scale factor. For the FIR modeling, the design matrix **X** consists of matrices of delayed features as:

$$\mathbf{X} = \begin{bmatrix} f_1 & f_2 & \cdots & f_p \end{bmatrix} * \mathbf{H}(\mathbf{n}),$$

for *p* features and *n* delays as implemented in a convolutional kernel H(n), while * denotes the convolution operation. The actual features tested are explained in Model Comparison (**Section 4.6.6**). A Toeplitz matrix can be constructed for delayed features between time point t_1 and t_2 with *n* delays for the *i*-th feature as:

636
$$f_i(t1,t2) * \mathbf{H}(n) = \begin{bmatrix} f_i(t_1) & f_i(t_1-1) & \dots & f_i(t_1-(n-1)) \\ f_i(t_1+1) & f_i(t_1) & \dots & f_i(t_1-n) \\ \vdots & \vdots & \dots & \vdots \\ f_i(t_2) & f_i(t_2-1) & \dots & f_i(t_2-(n-1)) \end{bmatrix},$$

637 where $f_i(t)$ is the scalar value of the *i*-th predictor at time point *t*. In the current study, we delayed the 638 predictors by 0, 1, ..., 20 TRs (0, 1.2, ..., 24 s). Once unknown coefficients (or weights) are estimated, an 639 inner product $X\hat{\beta}$ is effectively a convolution of the *i*-th feature and the estimated filter.

640 4.6.4 Model estimation

While it is standard to pre-whiten the data when modeling autocorrelated noise for a Generalized Least Squares (GLS) solution (Aitken, 1936), here we did not pre-whiten the model. This is because even with autocorrelated noise, an Ordinary Least Squares (OLS) solution is still an unbiased estimator (only its efficiency is suboptimal) and because our goal was to estimate (predict) responses, not to infer significance. In particular, for the current data, GLS often yielded worse cross-validation prediction than OLS. Therefore, we empirically determined not to pre-whiten the model.

647 As we detected a strong collinearity among the predictors, we applied L₂-norm regularization to the 648 OLS estimation of Equation (1), which is known as a ridge solution (Hoerl and Kennard, 1970):

$$\widehat{\boldsymbol{\beta}}(\lambda) = \left(\mathbf{X}^{\mathrm{T}} \mathbf{X} + \lambda \mathbf{I}\right)^{-1} \mathbf{X}^{\mathrm{T}} \mathbf{y},\tag{2}$$

649 where $\hat{\beta}(\lambda)$ is a vector of penalized estimates, I is an identity matrix, and λ is a ridge penalty term. Note 650 that predictors and responses were standardized (i.e., Z-scored) prior to fitting so that estimated weights (betas) could be compared across models with different lambdas (Santoro et al., 2014). For the 651 652 optimization of the hyperparameter λ , we used a method called "ridge trace" (Hoerl and Kennard, 1970), 653 which finds the smallest λ that returns "stabilized" normalized coefficients so that the bias introduced by 654 λ can be minimized (i.e., an optimal point in bias-variance tradeoff). To define stability, we used a criterion from Santoro et al. (2014). That is, for a given equation with ρ coefficients $\boldsymbol{\beta} = [\beta_1, \beta_2, ..., \beta_p]^T$, we 655 656 determined an optimal lambda λ^* from a set of non-zero, incremental lambdas { $\lambda_1, \lambda_2, \ldots, \lambda_k$ } as the 657 smallest λ such that an increment of λ results in changes in all coefficient $\hat{\beta}_i(\lambda)$ smaller than 20% of their 658 initial values $\hat{\beta}_i(\lambda_1)$ for all *p* coefficients:

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$$\lambda^* = \lambda: \quad \Delta \hat{\beta}_i(\lambda) / \hat{\beta}_i(\lambda_1) < 0.2 \quad \forall \lambda \ge \lambda^*, \forall i \in \{1, 2, \dots, p\}.$$
(3)

Since we used five features for four conditions and 21 lags, the total number of predictors p was 420 in the current study. We used a range of lambdas from $10^{0.5}$ to 10^{11} with multiplicative increment of $10^{1/3}$, scaled by the number of predictors for the ridge trace method.

662 4.6.5 Model validation

We assessed the predictive performance of vertex-wise linearized encoding models via cross-validation (CV). The runs per session were split into a training set and a test set. Then the coefficients (betas) in Equation (2) and the hyperparameters (lambdas) in Equation (3) were estimated with the training set. We predicted the test set with the predictor weights estimated from the training set as:

$$\hat{\mathbf{y}}_{te} = \mathbf{X}_{te} \hat{\boldsymbol{\beta}}_{tr}(\lambda) \tag{4}$$

667 where subscripts 'tr' and 'te' indicate the training set and test set, respectively.

We avoided leave-one-out-CV because of concerns regarding high variance due to the underrepresentativeness of test sets (Hastie et al., 2009; Poldrack et al., 2020). Instead, we used 2-fold CV (odd runs and even runs were training and test sets in one fold, and vice versa in another fold). For each fold, training and test sets consisted of about 4,000 time points (12 runs for each), except participants 1 and 8, whose runs were a total of 6 and 8, respectively. Time points between trials were excluded. We used Pearson's correlation (*r*) between the predicted and measured BOLD time series as the performance metric.

675 4.6.6 Model comparison

Our first objective was to demonstrate that phoneme class information is encoded in the BOLD time series. This was achieved by comparing the prediction accuracies of models with and without phoneme classes. The design matrix of the full model with phoneme classes (*Phon*) and envelope (*Env*) while encoding all four conditions can be written as:

$$\mathbf{X}_{Phon+Env,C} = [\mathbf{C} \otimes \mathbf{F}_{Phone+Env}] * \mathbf{H}(\mathbf{n}),$$
(5)

680 where **C** is a $(t \times 4)$ matrix of dummy predictors of four conditions as $\mathbf{C} = [\mathbf{c}_{EP} \ \mathbf{c}_{EO} \ \mathbf{c}_{KP} \ \mathbf{c}_{KO}]$, \otimes is the Kronecker product operator, **F** is a $(t \times 5)$ matrix of predictors of five features as \mathbf{F}_{PE} = 681 682 $[f_{V_0} \ f_{N_a} \ f_{Pl} \ f_{Fr} \ f_{En}]$, and H(n) is a kernel that imposes *n* delays. The subscripts denote the four 683 experimental conditions (EP, English-Phoneme quilt; EO, English-Original; KP, Korean-Phoneme quilt; 684 KO, Korean-Original) and features (Vo, vowels; Na, nasals and approximants; PI, plosives; Fr, fricatives 685 and affricatives; En, envelope). The Kronecker product with dummy predictors for conditions creates 686 condition-specific predictors (5 features in each of four conditions, 20 in total), and the convolution 687 produces a total of 420 predictors (20 predictors x 21 lags).

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For model comparisons, we created a reduced model without the Phoneme predictors and only with
the Envelope predictor (84 predictors = 1 feature × 4 conditions × 21 lags) by replacing the feature matrix **F** in Eq. (5), which can then be written as:

$$\mathbf{X}_{Env,C} = [\mathbf{C} \otimes \mathbf{F}_{Env}] * \mathbf{H}(\mathbf{n}),$$

691 where $\mathbf{F}_{Env} = [\mathbf{f}_{En}]$. The null and alternative hypotheses for the encoding of phonemes can be formulated 692 as:

$$\begin{array}{ll} H_0: & \mathbb{E}(r_{Phon+Env,C+}) \leq \mathbb{E}(r_{Env,C}) \\ H_A: & \mathbb{E}(r_{Phon+Env,C+}) > \mathbb{E}(r_{Env,C})' \end{array}$$

693 where \mathbb{E} is the expectation and *r* is the model performance with respect to Pearson's correlation 694 coefficient. In other words, *H*₀ would be rejected if the prediction accuracy is greater in the full model 695 than in the reduced model without phonemes, since this indicates that the addition of phonemes in the 696 full model improves model performance. Otherwise, H₀ can not be rejected.

697 Similarly, we constructed another reduced model without the Envelope predictor and only with the
698 Phoneme predictors (336 predictors = 4 features × 4 conditions × 21 lags) by replacing the feature matrix
699 F in Eq. (5):

$$\mathbf{X}_{Phon,C} = [\mathbf{C} \otimes \mathbf{F}_{Phon}] * \mathbf{H}(\mathbf{n}),$$

where $\mathbf{F}_{Phon} = [f_{Vo} \ f_{Na} \ f_{Pl} \ f_{Fr}]$. The hypotheses for the encoding of the speech envelope can then be formulated similarly as outlined above. Note that in cross-validation, since the noise in the training and test sets is independent, an increase in model complexity by additional predictors does not necessarily lead to an increase of *r* (unlike overfitting to noise in the training set), unless the newly added predictors capture certain activity that is common to both training and test data sets (Hastie et al., 2009; Kriegeskorte et al., 2009; Varoquaux et al., 2017).

Our second objective was to test the contributions of the Language (English, Korean) and Quilting (Phoneme quilt, Original) factors. This was again achieved by comparing the prediction accuracy *r* of a full model with a reduced model. As for the main effect of Language, we would need to compare the full model with a reduced model that does not capture the effect of language (i.e., the main effect of Language = (Language + Quilting) - (Quilting) = Language). Specifically, a reduced model only with quilting-specific predictors ("Quilting-only" model) was created by replacing the condition matrix **C** in Eq. (5) as:

$$\mathbf{X}_{Phon+Env,Q} = \left[\mathbf{C}_{Q} \otimes \mathbf{F}_{Phon+Env}\right] * \mathbf{H}(\mathbf{n}),$$

where $C_Q = [c_P \ c_0]$ with dummy predictors for Phoneme quilt (c_P) and Original (c_0). Note that in C_Q , the English-Phoneme and Korean-Phoneme conditions would be modeled by a single dummy variable c_P , and the English-Original and Korean-Original would be collapsed into c_0 . Similarly, for the main effect of Quilting, a reduced model only with language-specific predictors ("Language-only" model) was constructed by replacing the condition matrix **C** in Eq. (5) as:

$$\mathbf{X}_{Phon+Env,L} = [\mathbf{C}_L \otimes \mathbf{F}_{Phon+Env}] * \mathbf{H}(\mathbf{n}),$$

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717 where $\mathbf{C}_L = \begin{bmatrix} \mathbf{c}_{\mathrm{E}} & \mathbf{c}_{\mathrm{K}} \end{bmatrix}$ with dummy predictors for English (\mathbf{c}_{E}) and Korean (\mathbf{c}_{K}). The logic is again that, if the 718 full model performs better than an alternative reduced model (e.g., Language-ignored model), the 719 improvement in information encoding can be attributed to the ignored factor (e.g., Language). More 720 specifically, if the true FIR kernel for the phoneme class vowels was different when listening to Korean vs. 721 English, modeling them together with a common predictor would result in the loss of predictive power. 722 Therefore, the difference between the full model and the Language-ignored model can be interpreted as 723 a main effect of Language, while the difference between the full model and the Quilting-ignored model 724 can be interpreted as a main effect of Quilting. We further estimated the interaction between Language 725 and Quilting by comparing the effect of Quilting estimated from subsets of the data: English conditions 726 and Korean conditions, separately.

727 Statistical inference was computed via a non-parametric paired t-test using a cluster-based 728 permutation test at group-level (Maris and Oostenveld, 2007). Specifically, r values of both models were 729 calculated for each participant (N = 10), and then the difference between two models at each vertex was 730 calculated. Next, the signs of differences across participants were flipped over all possible permutations 731 $(2^{10} = 1,024)$ to form a null distribution. One-tailed *P*-values were computed from the null distribution as 732 we would regard a decrease of prediction accuracy as a non-significant encoding of the information as 733 well as non-significant changes of prediction accuracy. Note that the inference was computed at the 734 group-level, not the subject-level. Even with overlapping models in nested models, it is possible that the 735 prediction could worsen due to the penalization introduced by additional variables. Bootstrapped 95% 736 confidence intervals were computed for r differences (10,000 bootstrapping). Vertex-wise multiple 737 comparisons correction was applied via a cluster-based permutation test as implemented in 738 ft statistics montecarlo.m in FieldTrip (v20180903)⁹ with a custom modification of clusterstat.m 739 for a faster cluster identification through parallelization. In an earlier fMRI methodological study (Eklund 740 et al., 2016), it was shown that a liberal cluster-forming threshold (CFT) in a cluster-level inference based 741 on the random field theory resulted in a severely inflated family-wise error rate (FWER), whereas the 742 permutation test showed a consistent, proper control of the FWER regardless of the choice of a CFT. A 743 recent study formally showed that a CFT in permutation tests does not affect the FWER, but only the 744 sensitivity (Maris, 2019). Thus, in the current study, clusters were defined by an arbitrary threshold of the 745 alpha-level of 0.05 (for vertex-wise P-values) to improve the sensitivity, and the cluster-wise P-values are 746 thresholded at the alpha-level of 0.05 to control the FWER at 0.05.

747 4.6.7 Phoneme-class-specific effects

We further investigated the specific contribution of each phoneme class by comparing a full model with all phoneme classes (Eq. 5) with reduced models without a particular phoneme class. For example, a model without nasals would be $X_{Phon_{Na-}+Env,C+} = [C \otimes F_{Phon_{Na-}+Env}] * H(n)$, where $F_{Phon_{Na-}+Env} =$ $[f_{Vo} f_{Pl} f_{Fr} f_{En}]$. From such comparisons, we constructed a (1 × 4) vector ("phoneme encoding vector") of the changes of prediction accuracies for the four phoneme classes d = $[\Delta r_{Vo} \Delta r_{Pl} \Delta r_{Na} \Delta r_{Fr}]$ at each vertex. From these vertex-wise vectors, a winner-take-all phoneme

⁹ http://www.fieldtriptoolbox.org/

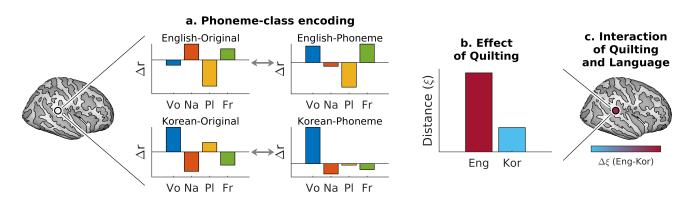
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class map was created (at each vector with a significant prediction accuracy increase [cluster-P < 0.05] for at least one phoneme class).

Subsequently, we tested whether the phoneme encoding vectors were modulated differentially by Language (English, Korean) and Quilting (Phoneme-quilt, Original). Specifically, for an interaction between Language and Quilting, we expected that a multivariate dissimilarity of phoneme encoding patterns between English-Original and English-Phoneme levels would be greater than that between Korean-Original and Korean-Phoneme levels. Put differently, we expected the phoneme encoding patterns to be more similar (i.e., constant) between the Korean pairs than the English pairs. The corresponding null and alternative hypotheses can be expressed formally as:

$$\begin{cases} H_0: \quad \mathbb{E}(\xi(\boldsymbol{d}_{EP}, \boldsymbol{d}_{EO})) \leq \mathbb{E}(\xi(\boldsymbol{d}_{KP}, \boldsymbol{d}_{KO})) \\ H_A: \quad \mathbb{E}(\xi(\boldsymbol{d}_{EP}, \boldsymbol{d}_{EO})) > \mathbb{E}(\xi(\boldsymbol{d}_{KP}, \boldsymbol{d}_{KO})) \end{cases}$$

where \mathbb{E} is the expectation and ξ is a non-directional distance measure, either Pearson distance (1-*r*) or Euclidian distance (see **Figure 5** for a schematic cartoon). Pearson distance is sensitive to (normalized) relative patterns of the vectors, but insensitive to absolute magnitudes. We therefore incorporated the Euclidian distance metric to characterize differences in magnitudes as well. We tested the difference between Language pairs via one-sample *t*-tests using the cluster-based permutation test as described above (**Section 4.6.6**).



770 Figure 5. Schematic of the multivariate analysis on phoneme-class encoding vectors. 771 (a) For each vertex, its [1x4] phoneme-class encoding vector was defined by the 772 prediction accuracy changes when adding a particular phoneme class to the model 773 for each of four conditions (two languages x two guilting conditions). (b) Distance 774 metrics (Pearson or Euclidian) were computed within each language between the 775 Original and Phoneme quilt conditions. (c) The difference in this distance metric 776 between languages (English-minus-Korean; i.e., the interaction of Quilting and 777 Language) was mapped back to the corresponding vertex (see Figure 3b-c).

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For visualization, the 4-D phoneme encoding vectors were projected to a 3-D eigenspace using principal component analysis (PCA). The first three principal component scores (i.e., eigenvariates) were re-scaled between zero and one and mapped to RGB values, respectively. The vertex-mapped RGB values were interpolated on the triangular faces of the cortical meshes using MALTAB's patch function.

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Note that the visualization is intended as an intuitive representation only; the actual comparisons were
 made on the scalar distance values comparing 4-D vectors.

785 4.7 Data availability

The data that support the findings of this study are available from the corresponding authors upon reasonable request.

788 *4.8 Code availability*

789 The computer code that was used for this study is available on the Open Science Framework repository¹⁰.

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¹⁰ https://osf.io/zgj3m/?view_only=cd4942f9ea674d79a5644796d5498e3c

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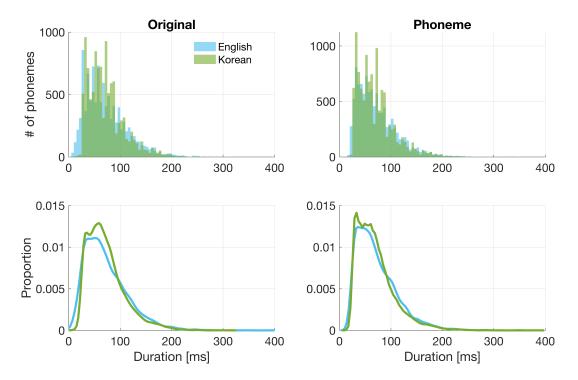
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1078 7 Supplementary materials

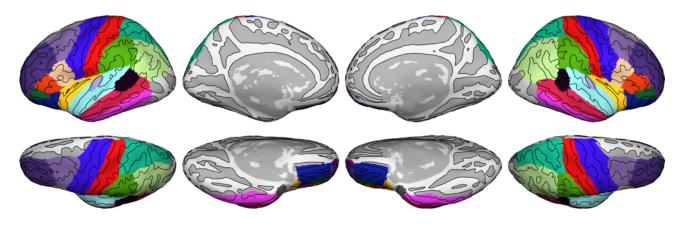
1079 7.1 Supplementary figures



1081Figure S1. Histograms of phoneme durations. The distributions of phoneme durations1082in the Original natural speech (left) and the Phoneme quilts (right) are shown for1083English (light blue) and Korean (lime green) in histograms (top) and smoothed density1084functions (bottom). Non-linguistic segments (e.g., short pauses) and the last segment1085of each stimulus file (could have been cropped to equalize durations of stimuli) were1086discarded from calculation.

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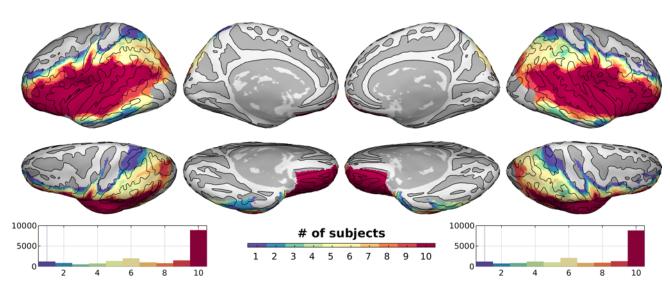
1089 1090 Figure S2. Regions of interest. From the automatic parcellation based on the Desikan-Killiany cortical atlas (Desikan et al., 2006) in FreeSurfer, the following 19 labels were

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1091	included: 'bankssts', 'caudalmiddlefrontal', 'inferiorparietal', 'inferiortemporal',			
1092	'lateralorbitofrontal', 'middletemporal', 'parsopercularis', 'parsorbitalis',			
1093	'parstriangularis', 'postcentral', 'precentral', 'rostralmiddlefrontal', 'superiorparietal',			
1094	'superiortemporal', 'supramarginal', 'frontalpole', 'temporalpole',			

1095 'transversetemporal', 'insula'.

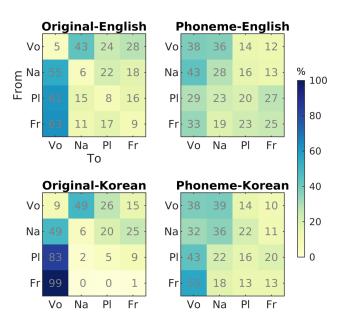
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1098Figure S3. Overlap of selected vertices across participants (N = 10). The colored1099histograms below display the number of vertices over the number of participants.

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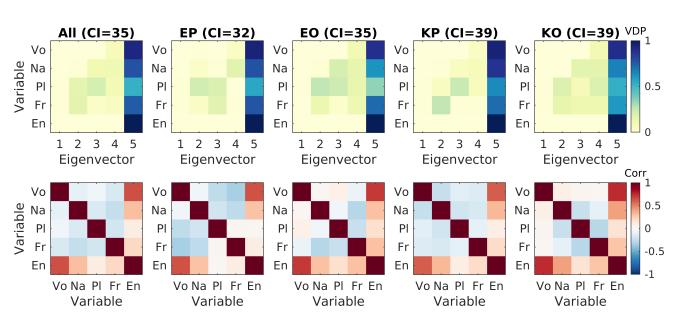


- 1102
 Figure S4. Transition probability between phoneme classes. Phoneme transitions
- 1103 were counted as consecutive occurrences of four phoneme classes without taking
- 1104 word boundaries into account, and cumulated over all stimuli for visualization.

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1105	Transition probabilities are displayed from the <i>i</i> -th phoneme class (Vo, vowel; Na,					
1106	nasal; PI, plosive; Fr, fricative) in rows to the <i>j</i> -th phoneme class in columns for the					
1107	four main conditions ($\Pr(j i) = T_{i,j} / \sum_j T_{i,j}$ where $T_{i,j}$ is the number of transitions from <i>i</i>					
1108	to <i>)</i> i.					

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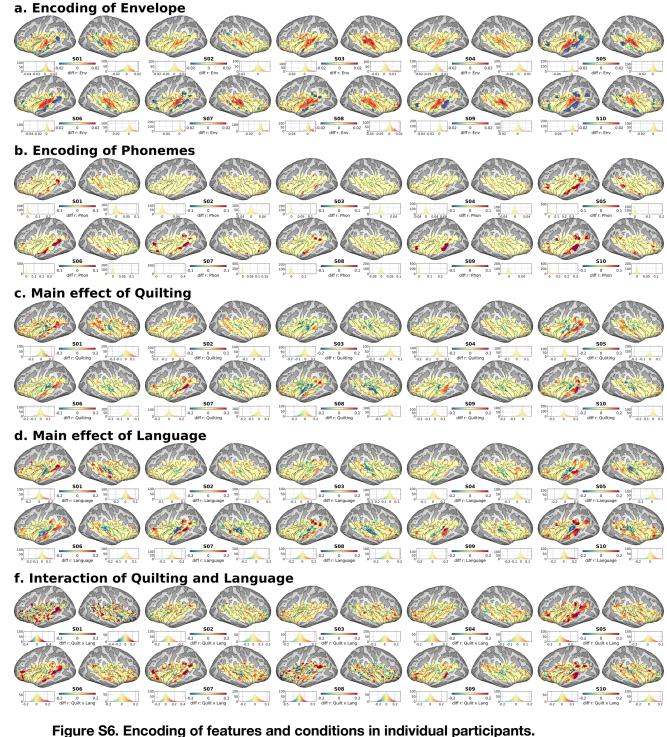
1111Figure S5. Collinearity of predictors. Variance decomposition proportion (VDP, upper)1112and pair-wise Pearson correlation (corr, lower) between predictive variables (Vo,1113vowel; Na, nasal; PI, plosive; Fr, fricative; En, envelope) are shown for all conditions1114together (left-most column) and for each condition (EP, English-Phoneme; EO,1115English-Original, KP, Korean-Phoneme; KO, Korean-Original) with conditional indices

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(CI).

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- 1120 Unthresholded effect size maps (differences in Pearson correlation) are shown for (a)
- 1121 Envelope, (b) Phonemes, (c) Quilting, (d) Language, and (e) the interaction of Quilting 1122 and Language.

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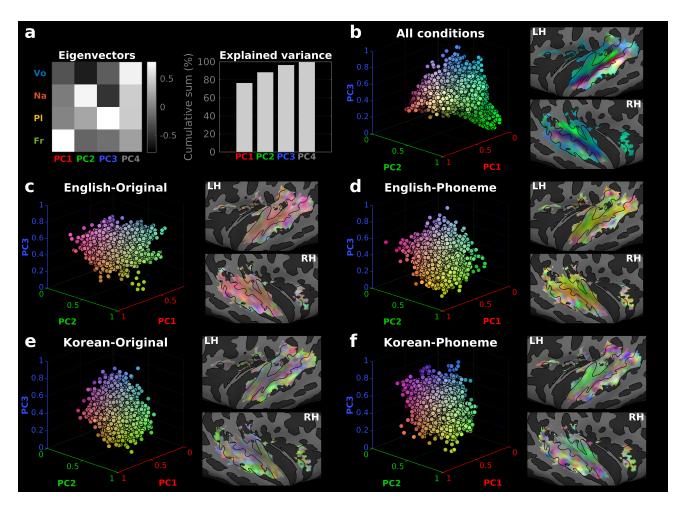


Figure S7. Low dimensional projection (3-D; RGB) of the phoneme-class encoding vectors (4-D) using principal component analysis (PCA). (a) Eigenvectors (left) and the explained variance (right) of the principal components. The first three components explained >98% of the variance. (b) RGB visualization of the distribution of the first three eigenvariates (i.e., factor loadings) in the 3-D eigenspace (left; each circle represents a vertex) and the anatomical space (right). Eigenvariates were scaled from 1130 0 to 1 to enable the use of RGB values for visualization. Vertices were selected for 1131 significant encoding for any phoneme class (cluster-P < 0.05). (d-f) RGB visualization 1132 of the distribution of the first three eigenvariates (i.e., factor loadings) phoneme-class 1133 encoding vectors in each of the four conditions: (c) English-Original, (d) English-1134 Phoneme quilt, (e) Korean-Original, (f) Korean-Phoneme quilt.

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1136 7.2 Supplementary tables

	Vowel	Nasal/Approximant	Plosive	Fricative
English	AA, AE, AH, AO,	L, M, N, NG, R, W, Y	B, D, G, K, P,	CH, DH, F, JH,
	AW, AY, EH, ER,		Т	S, SH, TH, V, Z
	EY, IH, IY, OY,			
	OW, UH, UW			
Korean	A, AE, E, EO, EU,	L, M, N, NG, R	B, BB, D,	C, H, J, JJ, S, SS
	I, O, OE, U, WA,		DD, G, GG,	
	WAE, WE, WEO,		K, P, T	
	WI, YA, YAE, YE,			
	YEO, YI, YO, YU			

- 1137
 Table S1. Individual phonemes included in analysis for each articulatory phoneme
- 1138 class.